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Particle Physics Phenomenology

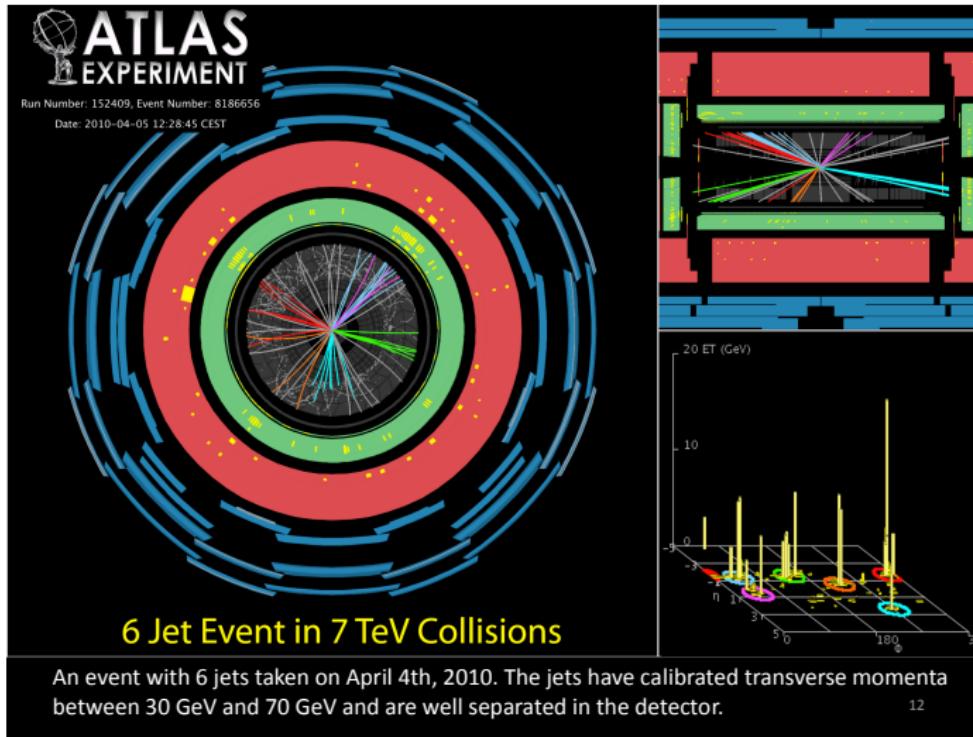
3. Evolution equations and final-state showers

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Multijets – the need for showers



Accelerated electric charges radiate photons ,

see e.g. J.D. Jackson, *Classical Electrodynamics*.

A charge ze that changes its velocity vector from β to β' radiates a spectrum of photons that depends on its trajectory. In the long-wavelength limit it reduces to

$$\lim_{\omega \rightarrow 0} \frac{d^2I}{d\omega d\Omega} = \frac{z^2e^2}{4\pi^2} \left| \epsilon^* \left(\frac{\beta'}{1 - \mathbf{n}\beta'} - \frac{\beta}{1 - \mathbf{n}\beta} \right) \right|^2$$

where \mathbf{n} is a vector on the unit sphere Ω , ω is the energy of the radiated photon, and ϵ its polarization.

- ① For fast particles radiation collinear with the β and β' directions is strongly enhanced.
- ② $dN/d\omega = (1/\omega)dI/d\omega \propto 1/\omega$ so infinitely many infinitely soft photons are emitted, but the net energy taken away is finite.

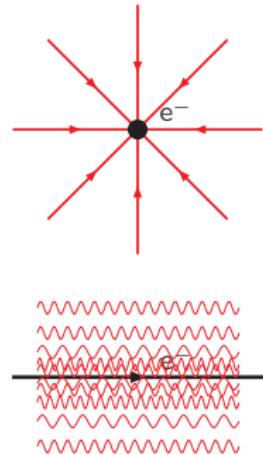
QED bremsstrahlung – 2

An electrical charge, say an electron,
is surrounded by a field:

For a rapidly moving charge
this field can be expressed in terms of
an equivalent flux of photons:

$$dn_\gamma \approx \frac{2\alpha_{em}}{\pi} \frac{d\theta}{\theta} \frac{d\omega}{\omega}$$

Equivalent Photon Approximation,
or method of virtual quanta (e.g. Jackson)
(Bohr; Fermi; Weiszäcker, Williams ~1934)



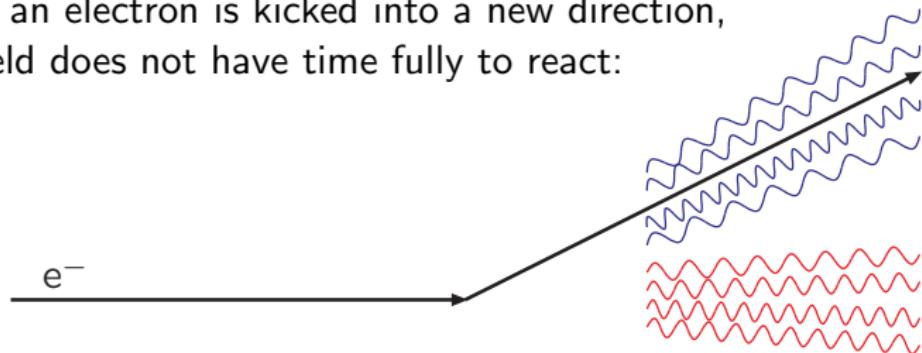
θ : collinear divergence, saved by $m_e > 0$ in full expression.

ω : true divergence, $n_\gamma \propto \int d\omega/\omega = \infty$, but $E_\gamma \propto \int \omega d\omega/\omega$ finite.

These are virtual photons: continuously emitted and reabsorbed.

QED bremsstrahlung – 3

When an electron is kicked into a new direction,
the field does not have time fully to react:



- Initial State Radiation (ISR):
part of it continues \sim in original direction of e^-
- Final State Radiation (FSR):
the field needs to be regenerated around outgoing e^- ,
and transients are emitted \sim around outgoing e^- direction

Emission rate provided by equivalent photon flux in both cases.
Approximate cutoffs related to timescale of process:
the more violent the hard collision, the more radiation!

Divergences

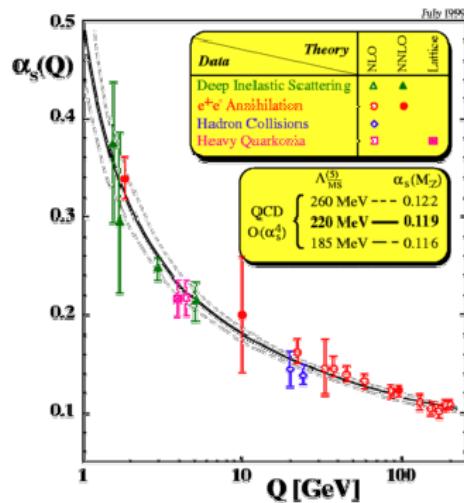
Emission rate $q \rightarrow qg$ diverges when

- collinear: opening angle $\theta_{qg} \rightarrow 0$
- soft: gluon energy $E_g \rightarrow 0$

Almost identical to $e \rightarrow e\gamma$

but QCD is non-Abelian so additionally

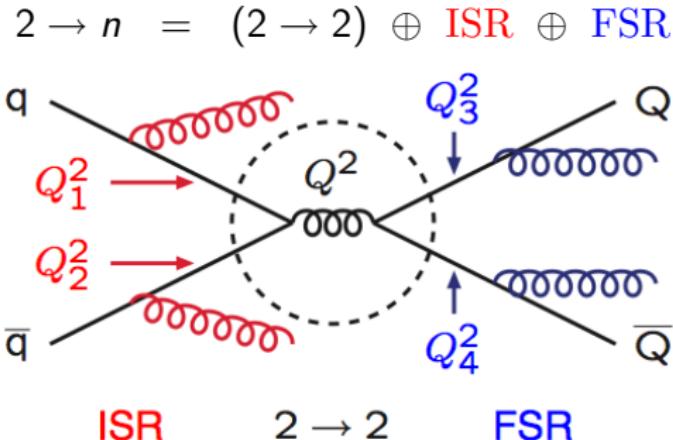
- $g \rightarrow gg$ similarly divergent
- $\alpha_s(Q^2)$ diverges for $Q^2 \rightarrow 0$
(actually for $Q^2 \rightarrow \Lambda_{\text{QCD}}^2$)



Big probability for one emission \implies also big for several
 \implies with ME's need to calculate to high order **and** with many loops \implies extremely demanding technically (not solved!), and involving big cancellations between positive and negative contributions.

Alternative approach: **parton showers**

The Parton-Shower Approach



FSR = Final-State Radiation = timelike shower

$Q_i^2 \sim m^2 > 0$ decreasing

ISR = Initial-State Radiation = spacelike showers

$Q_i^2 \sim -m^2 > 0$ increasing

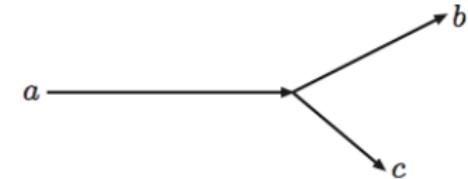
Why “time” like and “space” like?

Consider four-momentum conservation in a branching $a \rightarrow b c$

$$\mathbf{p}_{\perp a} = 0 \Rightarrow \mathbf{p}_{\perp c} = -\mathbf{p}_{\perp b}$$

$$p_+ = E + p_L \Rightarrow p_{+a} = p_{+b} + p_{+c}$$

$$p_- = E - p_L \Rightarrow p_{-a} = p_{-b} + p_{-c}$$



Define $p_{+b} = z p_{+a}$, $p_{+c} = (1-z) p_{+a}$

Use $p_+ p_- = E^2 - p_L^2 = m^2 + p_\perp^2$

$$\frac{m_a^2 + p_{\perp a}^2}{p_{+a}} = \frac{m_b^2 + p_{\perp b}^2}{z p_{+a}} + \frac{m_c^2 + p_{\perp c}^2}{(1-z) p_{+a}}$$

$$\Rightarrow m_a^2 = \frac{m_b^2 + p_\perp^2}{z} + \frac{m_c^2 + p_\perp^2}{1-z} = \frac{m_b^2}{z} + \frac{m_c^2}{1-z} + \frac{p_\perp^2}{z(1-z)}$$

Final-state shower: $m_b = m_c = 0 \Rightarrow m_a^2 = \frac{p_\perp^2}{z(1-z)} > 0 \Rightarrow$ timelike

Initial-state shower: $m_a = m_c = 0 \Rightarrow m_b^2 = -\frac{p_\perp^2}{1-z} < 0 \Rightarrow$ spacelike

Showers and cross sections

Shower evolution is viewed as a probabilistic process,
which occurs with unit total probability:
the cross section is not directly affected

However, more complicated than so

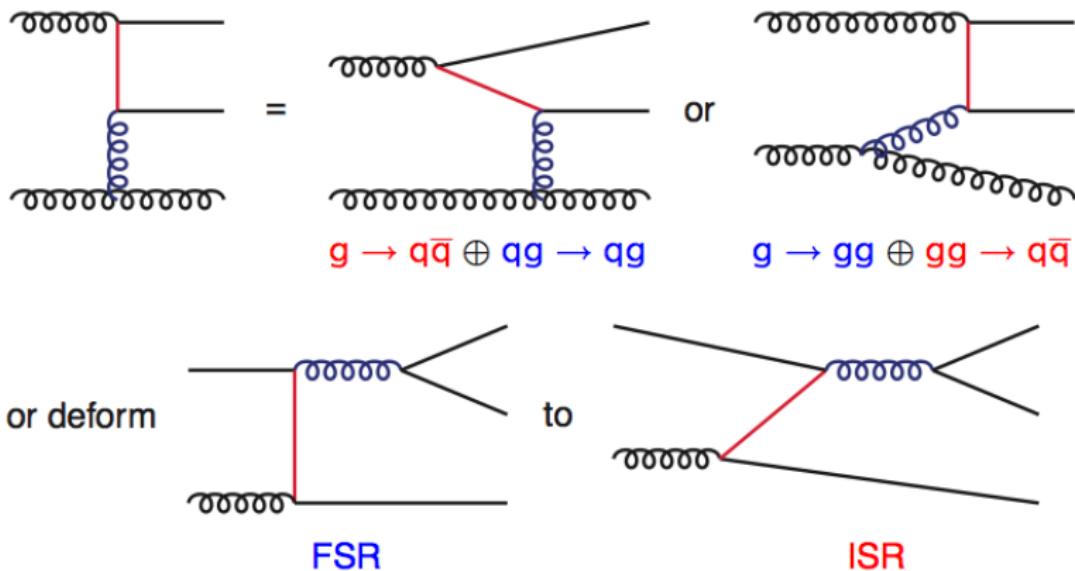
- PDF evolution \approx showers \Rightarrow enters in convoluted cross section, e.g. for $2 \rightarrow 2$ processes

$$\sigma = \iiint dx_1 dx_2 d\hat{t} f_i(x_1, Q^2) f_j(x_2, Q^2) \frac{d\hat{\sigma}_{ij}}{d\hat{t}}$$

- Shower affects event shape
 - E.g. start from 2-jet event with $p_{\perp 1} = p_{\perp 2} = 100$ GeV.
ISR gives third jet, plus recoil to existing two, so
 $p_{\perp 1} = 110$ GeV, $p_{\perp 2} = 90$ GeV, $p_{\perp 3} = 20$ GeV:
 - hardest $p_{\perp \text{jet}}$ spectrum goes up
 - two-jets with both jets above some $p_{\perp \min}$ comes down
 - three-jet rate goes up
 - inclusive $p_{\perp \text{jet}}$ spectrum goes up (steeply falling slope!)

Doublecounting

A $2 \rightarrow n$ graph can be “simplified” to $2 \rightarrow 2$ in different ways:



Do not doublecount: $2 \rightarrow 2 = \text{most virtual} = \text{shortest distance}$

Conflict: theory derivations assume virtualities strongly ordered;
interesting physics often in regions where this is not true!

Timelike shower: the prototype

For the rest of this lecture, consider specifically

Final State Radiation

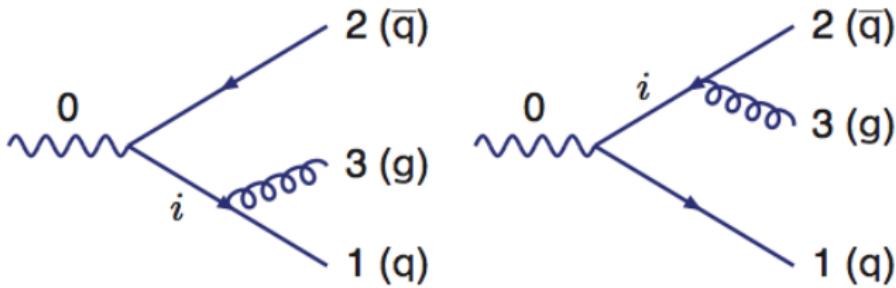
Timelike shower: the prototype

For the rest of this lecture, consider specifically

Final State Radiation

Standard process: $e^+e^- \rightarrow q\bar{q}g$ (or $q\bar{q} \rightarrow \gamma^*/Z^0/W^\pm \rightarrow q\bar{q}g$).

Contributions from two Feynman diagrams:



For simplicity neglect quark masses.

Flavour dependence and mix of γ^* and Z^0 propagators divides out in ratio $\sigma(e^+e^- \rightarrow q\bar{q}g)/\sigma(e^+e^- \rightarrow q\bar{q})$.

The phase space – 1

Define $p_0 = p_1 + p_2 + p_3$, and

$$x_i = \frac{2p_0 p_i}{p_0^2} = \frac{2E_{\text{cm}} E_i}{E_{\text{cm}}^2} = \frac{2E_i}{E_{\text{cm}}}$$

so that $x_1 + x_2 + x_3 = 2$. Also note that

$$y_{jk} = \frac{m_{jk}^2}{E_{\text{cm}}^2} = \frac{(p_j + p_k)^2}{p_0^2} = \frac{(p_0 - p_i)^2}{p_0^2} = \frac{p_0^2 - 2p_0 p_i + p_i^2}{p_0^2} = 1 - x_i$$

with i, j, k permutation of 1, 2, 3.

Hence $y_{23} + y_{13} + y_{12} = 3 - (x_1 + x_2 + x_3) = 1$.

Limit $x_1, x_2 \rightarrow 1 \Leftrightarrow y_{23}, y_{13} \rightarrow 0 \Leftrightarrow m_{\bar{q}g}^2, m_{qg}^2 \rightarrow 0$,
i.e. propagator for $\bar{q} \rightarrow \bar{q}g, q \rightarrow qg$ goes on shell.

The phase space – 2

Since $0 \leq y_{jk} \leq 1$ and $x_i = 1 - y_{jk}$ it follows that $0 \leq x_i \leq 1$.

Also obvious from momentum conservation:

$$\mathbf{p}_i + \mathbf{p}_j + \mathbf{p}_k = \mathbf{0}$$

$$\Rightarrow |\mathbf{p}_i| = |-(\mathbf{p}_j + \mathbf{p}_k)| \leq |\mathbf{p}_j| + |\mathbf{p}_k|$$

$$\Leftrightarrow E_i \leq E_j + E_k \Leftrightarrow x_i \leq x_j + x_k$$

$$\Rightarrow x_i \leq (x_i + x_j + x_k)/2 = 1.$$

The phase space – 2

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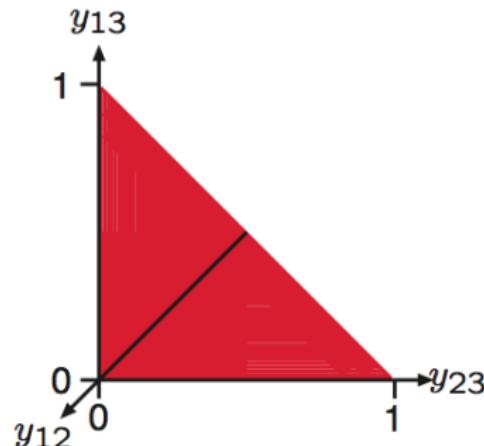
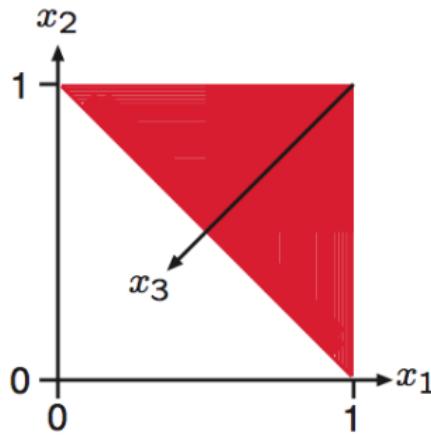
$$\mathbf{p}_i + \mathbf{p}_j + \mathbf{p}_k = \mathbf{0}$$

$$\Rightarrow |\mathbf{p}_i| = |-(\mathbf{p}_j + \mathbf{p}_k)| \leq |\mathbf{p}_j| + |\mathbf{p}_k|$$

$$\Leftrightarrow E_i \leq E_j + E_k \Leftrightarrow x_i \leq x_j + x_k$$

$$\Rightarrow x_i \leq (x_i + x_j + x_k)/2 = 1.$$

Allowed triangular region of phase space:



The matrix elements – 1

$$\begin{aligned}\sigma_0 &= \sigma(e^+e^- \rightarrow q\bar{q}) = \frac{4\pi\alpha_{\text{em}}^2}{3} N_c \left\{ \frac{q_f^2}{s} \right. \\ &\quad \left. - \frac{2q_f v_f}{4\sin^2\theta_W} \frac{s - m_Z^2}{(s - m_Z^2)^2 + m_Z^2\Gamma_Z^2} + \frac{v_f^2 + a_f^2}{(4\sin^2\theta_W)^2} \frac{s}{(s - m_Z^2)^2 + m_Z^2\Gamma_Z^2} \right\}\end{aligned}$$

$$\boxed{\frac{d\sigma_{\text{ME}}}{\sigma_0} = \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} dx_1 dx_2}$$

Note on Phase Space:

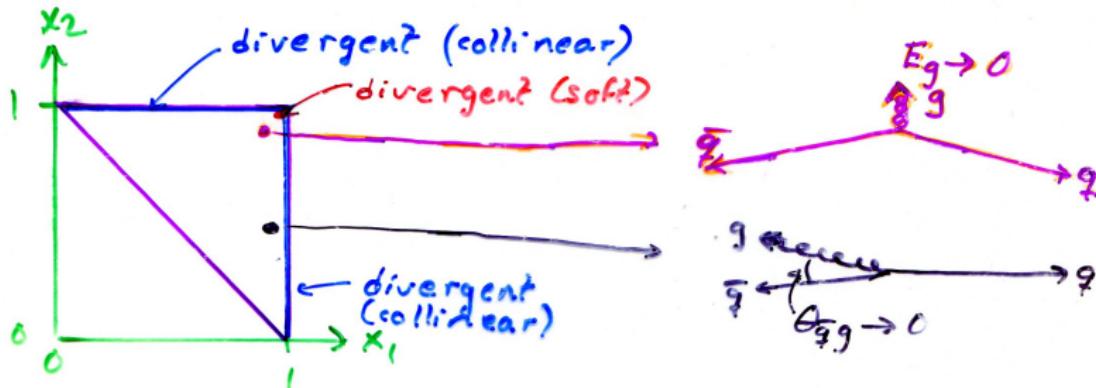
$$dPS_2 \propto d\cos\theta_q d\phi_q$$

$$dPS_3 \propto d\cos\theta_q d\phi_q d\chi_{qg} dx_1 dx_2$$

Since $x_1, x_2 \rightarrow 1$ dominates, $x_1^2 + x_2^2 \approx 2$, and

$$\frac{d\sigma_{\text{ME}}}{\sigma_0} \approx \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{2 dx_1 dx_2}{(1-x_1)(1-x_2)} = \frac{\alpha_s}{2\pi} \frac{8}{3} \frac{dy_{23} dy_{13}}{y_{23} y_{13}} = \frac{\alpha_s}{2\pi} \frac{8}{3} \frac{dm_{23}^2 dm_{13}^2}{m_{23}^2 m_{13}^2}$$

The matrix elements – 2



Two “kinds” of singularities, **collinear** and **soft**, closely interlinked.

Convenient (but arbitrary) subdivision:

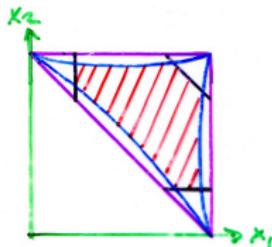
$$\frac{1}{(1-x_1)(1-x_2)} \frac{(1-x_1)+(1-x_2)}{x_3} = \frac{1}{(1-x_2)x_3} + \frac{1}{(1-x_1)x_3}$$

so split into radiation “from” q and “from” \bar{q}

$$\frac{d\sigma_{ME}}{\sigma_0} \approx \frac{\alpha_s}{2\pi} \frac{8}{3} \left(\frac{dm_{13}^2}{m_{13}^2} \frac{dx_3}{x_3} + \frac{dm_{23}^2}{m_{23}^2} \frac{dx_3}{x_3} \right)$$

The matrix elements – 3

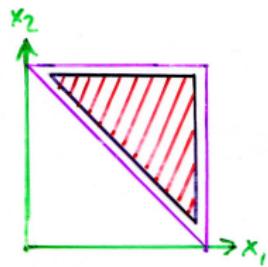
Divergences necessitates introduction of cuts for physical rate:



(ϵ, δ) cuts (Sterman–Weinberg)

$$x_i < 1 - \epsilon, \theta_{ij} > \delta$$

“all but a fraction ϵ of the energy is within cones of size δ ”



y cut: $y_{ij} > y_{\min}$

Also called Thrust cut, where

$$T = \max(x_1, x_2, x_3) = 1 - \min(y_{12}, y_{13}, y_{23})$$

for three-jets.

$$\int_{y_{\min}} \frac{d\sigma_{\text{ME}}}{\sigma_0} \approx \frac{\alpha_s}{2\pi} \frac{8}{3} \int_{y_{\min}} \frac{dy_{23} dy_{13}}{y_{23} y_{13}} \approx \frac{4\alpha_s}{3\pi} \ln^2 y_{\min}$$

i.e. double logarithmic divergence.

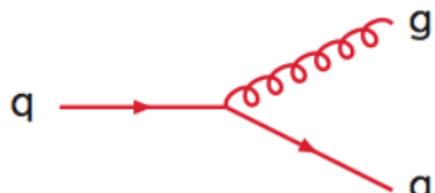
From matrix elements to parton showers

Rewrite for $x_2 \rightarrow 1$, i.e. q-g collinear limit:

$$1 - x_2 = \frac{m_{13}^2}{E_{\text{cm}}^2} = \frac{Q^2}{E_{\text{cm}}^2} \Rightarrow dx_2 = \frac{dQ^2}{E_{\text{cm}}^2}$$

define z as fraction q retains
in branching $q \rightarrow qg$

$$\begin{aligned}x_1 &\approx z \Rightarrow dx_1 \approx dz \\x_3 &\approx 1 - z\end{aligned}$$



$$\Rightarrow d\mathcal{P} = \frac{d\sigma}{\sigma_0} = \frac{\alpha_s}{2\pi} \frac{dx_2}{(1-x_2)} \frac{4}{3} \frac{x_2^2 + x_1^2}{(1-x_1)} dx_1 \approx \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} \frac{4}{3} \frac{1+z^2}{1-z} dz$$

In limit $x_1 \rightarrow 1$ same result, but for $\bar{q} \rightarrow \bar{q}g$.

$dQ^2/Q^2 = dm^2/m^2$: "mass (or collinear) singularity"

$dz/(1-z) = d\omega/\omega$ "soft singularity"

The DGLAP equations

Generalizes to

DGLAP (Dokshitzer–Gribov–Lipatov–Altarelli–Parisi)

$$d\mathcal{P}_{a \rightarrow bc} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \rightarrow bc}(z) dz$$

$$P_{q \rightarrow qg} = \frac{4}{3} \frac{1+z^2}{1-z}$$

$$P_{g \rightarrow gg} = 3 \frac{(1-z)(1-z)^2}{z(1-z)}$$

$$P_{g \rightarrow q\bar{q}} = \frac{n_f}{2} (z^2 + (1-z)^2) \quad (n_f = \text{no. of quark flavours})$$

Universality: any matrix element reduces to DGLAP in collinear limit.

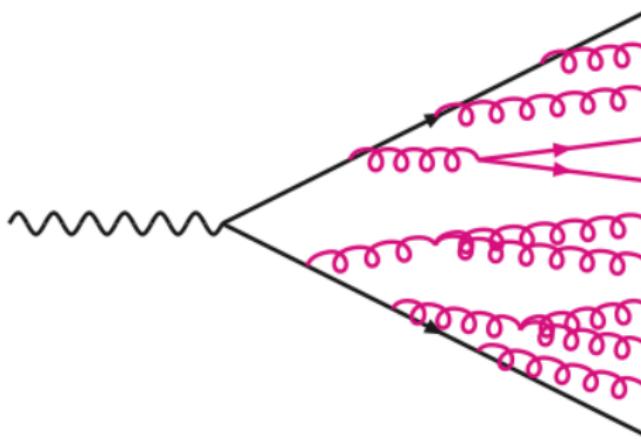
$$\text{e.g. } \frac{d\sigma(H^0 \rightarrow q\bar{q}g)}{d\sigma(H^0 \rightarrow q\bar{q})} = \frac{d\sigma(Z^0 \rightarrow q\bar{q}g)}{d\sigma(Z^0 \rightarrow q\bar{q})} \text{ in collinear limit}$$

The iterative structure

Generalizes to many consecutive emissions if strongly ordered,
 $Q_1^2 \gg Q_2^2 \gg Q_3^2 \dots$ (\approx time-ordered).

To cover “all” of phase space use DGLAP in whole region
 $Q_1^2 > Q_2^2 > Q_3^2 \dots$

Iteration gives
final-state
parton showers:



Need soft/collinear cuts to stay away from nonperturbative physics.
Details model-dependent, but around 1 GeV scale.

The ordering variable

In the evolution with

$$d\mathcal{P}_{a \rightarrow bc} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \rightarrow bc}(z) dz$$

- Q^2 is a “temporal” variable: ordering of emissions (memory)
- z is a “spatial” variable: no ordering (no memory)

If $Q^2 = m^2$ is one possible evolution variable

then $Q'^2 = f(z)Q^2$ is also allowed, since

$$\left| \frac{d(Q'^2, z)}{d(Q^2, z)} \right| = \begin{vmatrix} \frac{\partial Q'^2}{\partial Q^2} & \frac{\partial Q'^2}{\partial z} \\ \frac{\partial z}{\partial Q^2} & \frac{\partial z}{\partial z} \end{vmatrix} = \begin{vmatrix} f(z) & f'(z)Q^2 \\ 0 & 1 \end{vmatrix} = f(z)$$

$$\Rightarrow d\mathcal{P}_{a \rightarrow bc} = \frac{\alpha_s}{2\pi} \frac{f(z)dQ^2}{f(z)Q^2} P_{a \rightarrow bc}(z) dz = \frac{\alpha_s}{2\pi} \frac{dQ'^2}{Q'^2} P_{a \rightarrow bc}(z) dz$$

- $Q'^2 = E_a^2 \theta_{a \rightarrow bc}^2 \approx m^2/(z(1-z))$; angular-ordered shower
- $Q'^2 = p_\perp^2 \approx m^2 z(1-z)$; transverse-momentum-ordered

The Sudakov form factor – 1

(Back to radioactive decay:) Conservation of total probability:

$$\mathcal{P}(\text{no decay}) = 1 - \mathcal{P}(\text{decay})$$

“multiplicativeness” in “time” evolution:

$$\mathcal{P}_{\text{no}}(0 < t \leq T) = \mathcal{P}_{\text{no}}(0 < t \leq T_1) \mathcal{P}_{\text{no}}(T_1 < t \leq T)$$

Subdivide further, with $T_i = (i/n)T$, $0 \leq i \leq n$:

$$\begin{aligned}\mathcal{P}_{\text{no}}(0 < t \leq T) &= \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} \mathcal{P}_{\text{no}}(T_i < t \leq T_{i+1}) \\ &= \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} (1 - \mathcal{P}_{\text{decay}}(T_i < t \leq T_{i+1})) \\ &= \exp \left(- \lim_{n \rightarrow \infty} \sum_{i=0}^{n-1} \mathcal{P}_{\text{decay}}(T_i < t \leq T_{i+1}) \right) \\ &= \exp \left(- \int_0^T \frac{d\mathcal{P}_{\text{decay}}(t)}{dt} dt \right) \\ \implies d\mathcal{P}_{\text{first}}(T) &= d\mathcal{P}_{\text{decay}}(T) \exp \left(- \int_0^T \frac{d\mathcal{P}_{\text{decay}}(t)}{dt} dt \right)\end{aligned}$$

The Sudakov form factor – 2

Correspondingly, with $Q \sim 1/t$ (Heisenberg)

$$\begin{aligned} d\mathcal{P}_{a \rightarrow bc} &= \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \rightarrow bc}(z) dz \\ &\quad \exp \left(- \sum_{b,c} \int_{Q^2}^{Q^2_{\max}} \frac{dQ'^2}{Q'^2} \int \frac{\alpha_s}{2\pi} P_{a \rightarrow bc}(z') dz' \right) \end{aligned}$$

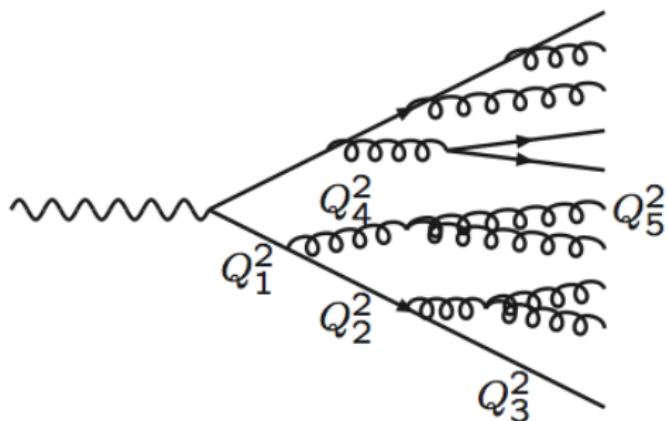
where the exponent is (one definition of) the Sudakov form factor

A given parton can only branch once, i.e. if it did not already do so

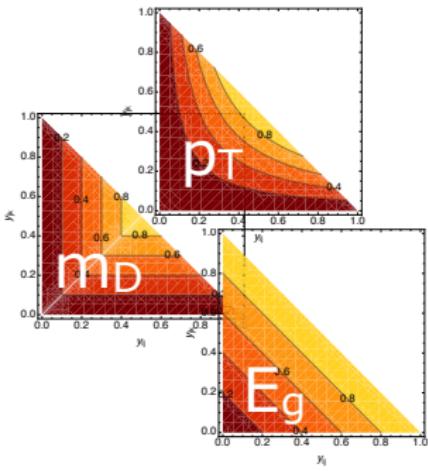
Note that $\sum_{b,c} \int \int d\mathcal{P}_{a \rightarrow bc} \equiv 1 \Rightarrow$ convenient for Monte Carlo
($\equiv 1$ if extended over whole phase space, else possibly nothing happens before you reach $Q_0 \approx 1$ GeV).

More common/strict definition of Sudakov form factor based on $\int_{Q_0^2}^{Q^2}$ instead of $\int_{Q^2}^{Q^2_{\max}}$.

The Sudakov form factor – 3

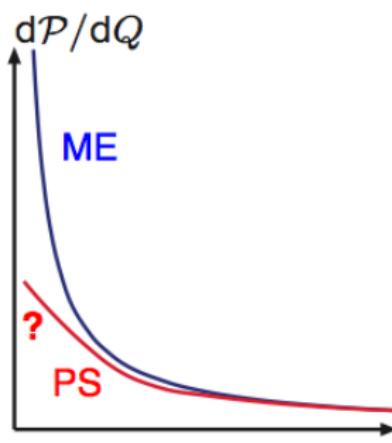


Sudakov form factor provides
“time” ordering of shower:
lower $Q^2 \leftrightarrow$ longer times
 $Q_1^2 > Q_2^2 > Q_3^2$
 $Q_1^2 > Q_4^2 > Q_5^2$
etc.



The Sudakov form factor – 4

Sudakov regulates singularity for *first* emission ...



... but in limit of *repeated soft* emissions $q \rightarrow qg$ (but no $g \rightarrow gg$) one obtains the same inclusive Q emission spectrum as for ME, i.e. **divergent ME spectrum**
 \iff **infinite number of PS emissions**
Proof: as for veto algorithm (what is probability to have an emission at Q after 0, 1, 2, 3, ... previous ones?)

More complicated in reality:

- energy-momentum conservation effects big since α_s big, so hard emissions frequent
- $g \rightarrow gg$ branchings leads to accelerated multiplication of partons

Matrix elements and the Sudakov

Recall $e^+e^- \rightarrow q\bar{q}g$, with phase space slicing at y :

$$\begin{aligned}\frac{\sigma_R(y)}{\sigma_0} &\approx \frac{4\alpha_s}{3\pi} \ln^2 y \\ \frac{\sigma_V(y)}{\sigma_0} &\approx \frac{\alpha_s}{\pi} - \frac{4\alpha_s}{3\pi} \ln^2 y \\ \sigma_0 + \sigma_R(y) + \sigma_V(y) &= \left(1 + \frac{\alpha_s}{\pi}\right) \sigma_0\end{aligned}$$

If small finite term α_s/π neglected then $\boxed{\sigma_V(y) = -\sigma_R(y)}$

If shower emissions ordered in y then

$$\begin{aligned}\frac{dP}{dy} &= \frac{1}{\sigma_0} \frac{d\sigma_R}{dy} \exp\left(-\int_y^1 \frac{1}{\sigma_0} \frac{d\sigma_R}{dy'} dy'\right) \\ &= \frac{1}{\sigma_0} \frac{d\sigma_R}{dy} \exp\left(\frac{\sigma_V(y)}{\sigma_0}\right) \\ &= \frac{1}{\sigma_0} \frac{d\sigma_R}{dy} \left(1 + \frac{\sigma_V(y)}{\sigma_0} + \dots\right)\end{aligned}$$

Shower evolution generation – 1

Veto algorithm crucial for practical implementation

- Allowed Q^2 -dependent range $z_{\min}(Q^2) \leq z \leq z_{\max}(Q^2)$ overestimated by maximum range $z_{\min}(Q_0^2) \leq z \leq z_{\max}(Q_0^2)$.
- Splitting functions overestimated to simplify, e.g. $1 + z^2 \leq 2$.
- For now assume α_s fixed, so combine to upper estimate
 $I_z \simeq (\alpha_s/2\pi) \int P(z) dz$
- Splitting probability overestimated by

$$I_z \frac{dQ^2}{Q^2} \exp \left(- \int_{Q^2}^{Q_{\max}^2} I_z \frac{dQ'^2}{Q'^2} \right)$$

- “Radioactive decays” formula gives solution

$$\exp \left(-I_z \ln \frac{Q_{\max}^2}{Q^2} \right) = \left(\frac{Q^2}{Q_{\max}^2} \right)^{I_z} = R \quad \Rightarrow \quad Q^2 = Q_{\max}^2 R^{1/I_z}$$

Shower evolution generation – 2

- If $Q < Q_0$ then stop evolution
- Pick z in overestimated range with overestimated P , e.g.

$$\int_{z_{\min}(Q_0^2)}^z \frac{2 dz'}{1 - z'} = R \int_{z_{\min}(Q_0^2)}^{z_{\max}(Q_0^2)} \frac{2 dz'}{1 - z'}$$

- If z outside allowed range for chosen Q^2 or if $P_{\text{true}}(z)/P_{\text{overestimation}}(z) < R$ then put $Q_{\max}^2 = Q^2$ and continue downwards evolution (veto algorithm)
- If two possible channels, like $g \rightarrow gg$ and $g \rightarrow q\bar{q}$, then combined overestimation or use “the winner take it all”, i.e. pick one Q^2 scale for each channel and retain the largest
- If $\alpha_s = \alpha_s(Q^2) \propto 1/\ln(Q^2/\Lambda^2)$ then use that

$$\int_{Q^2}^{Q_{\max}^2} \frac{1}{\ln \frac{Q'^2}{\Lambda^2}} \frac{dQ'^2}{Q'^2} = \int_{Q^2}^{Q_{\max}^2} \frac{d \ln \frac{Q'^2}{\Lambda^2}}{\ln \frac{Q'^2}{\Lambda^2}} = \ln \ln \frac{Q_{\max}^2}{\Lambda^2} - \ln \ln \frac{Q^2}{\Lambda^2}$$

Coherence – 1

QED: Chudakov effect (mid-fifties)

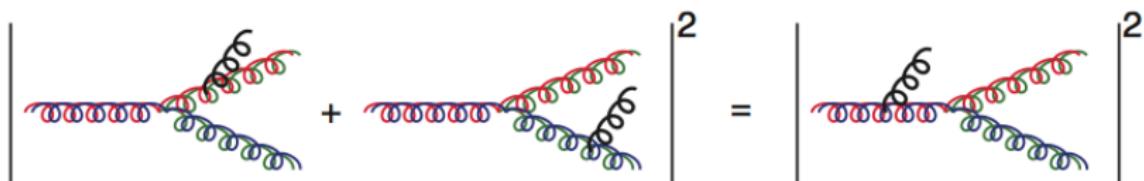


emulsion plate

reduced ionization

normal ionization

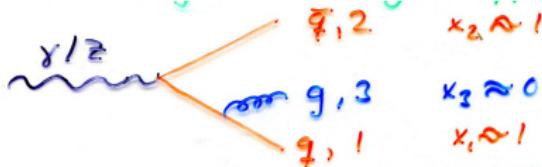
QCD: colour coherence for **soft** gluon emission



- solved by
- requiring emission angles to be decreasing
 - or • requiring transverse momenta to be decreasing

Coherence – 2

Simple illustration of principles: consider emission of soft gluon off fast moving colour singlet $q\bar{q}$ system:



recall $\frac{1}{\sigma} \frac{d\sigma}{dx_1 dx_2} \propto \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} \sim \frac{2}{(1-x_1)(1-x_2)}$

$$1-x_1 = y_{23} = \frac{(p_2 + p_3)^2}{(p_1 + p_2 + p_3)^2} \approx \frac{2p_2 p_3}{2p_1 p_2} = \frac{E_2 E_3 (1 - \cos \theta_{23})}{E_1 E_2 (1 - \cos \theta_{12})} = \frac{E_3 a_{23}}{E_1 a_{12}}$$

with $a_{ij} = 1 - \cos \theta_{ij}$.

Recasting matrix element in gluon momentum variables:

$$\frac{d\sigma}{\sigma} \propto \frac{dE_3}{E_3} d\Omega_3 \frac{a_{12}}{a_{13} a_{23}}$$

which is singular both for $a_{13} \rightarrow 0$ and $a_{23} \rightarrow 0$.

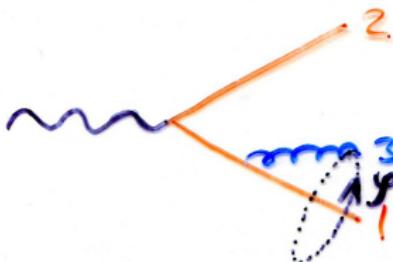
Coherence – 3

Rewrite angular dependence

$$\begin{aligned}\frac{2a_{12}}{a_{13}a_{23}} &= \frac{2a_{12} - a_{13} - a_{23}}{a_{13}a_{23}} + \frac{1}{a_{13}} + \frac{1}{a_{23}} \\ &= \frac{1}{a_{13}} \left(1 + \frac{a_{12} - a_{13}}{a_{23}} \right) + \frac{1}{a_{23}} \left(1 + \frac{a_{12} - a_{23}}{a_{13}} \right)\end{aligned}$$

where $(a_{12} - a_{13})/a_{23}$ is not singular:

$a_{23} \rightarrow 0 \Rightarrow 2$ and 3 become parallel $\Rightarrow a_{12} - a_{13} \rightarrow 0$.



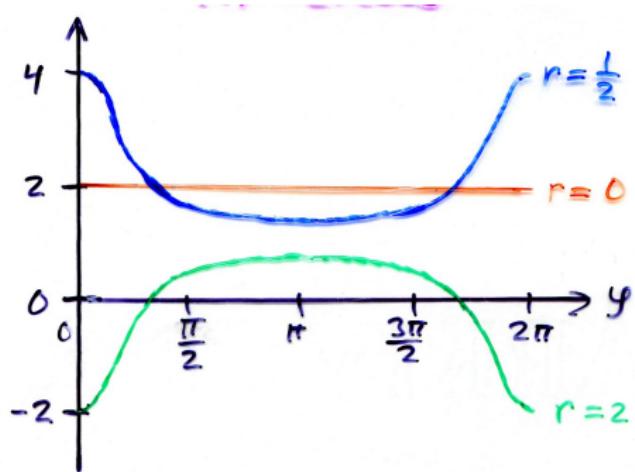
$$\begin{aligned}\cos \theta_{23} &= \sin \theta_{12} \sin \theta_{13} \cos \varphi \\ &\quad + \cos \theta_{12} \cos \theta_{13}\end{aligned}$$

Also $\theta_{ij} \rightarrow 0 \Rightarrow a_{ij} = 1 - \cos \theta_{ij} \approx \theta_{ij}^2/2$.

Coherence – 4

$$1 + \frac{a_{12} - a_{13}}{a_{23}} \approx 1 + \frac{\theta_{12}^2 - \theta_{13}^2}{\theta_{12}^2 + \theta_{13}^2 - \theta_{12}\theta_{13}\cos\varphi} = 1 + \frac{1 - r^2}{1 + r^2 - 2r\cos\varphi}$$

with $r = \theta_{13}/\theta_{12}$.



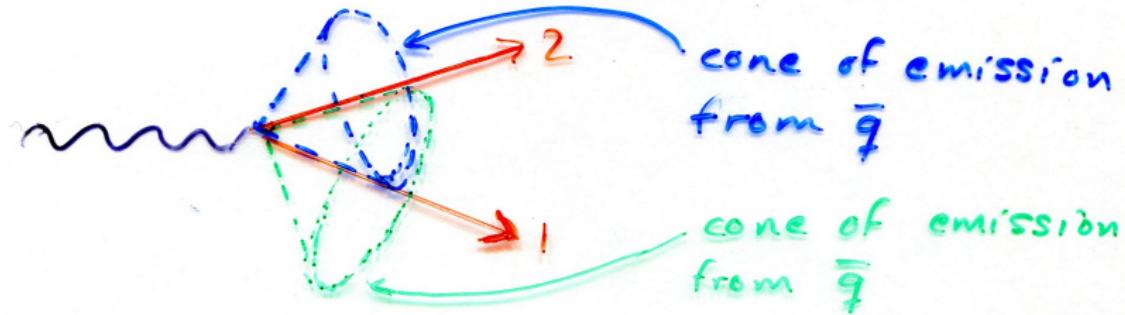
$$\int_0^{2\pi} \left(1 + \frac{1 - r^2}{1 + r^2 - 2r\cos\varphi}\right) d\varphi = 2\pi (1 + \text{sign}(1 - r^2)) = 4\pi\Theta(1 - r)$$

i.e. $= 4\pi$ for $r < 1$ but $= 0$ for $r > 1$.

Coherence – 5

Thus, averaged over φ

$$\frac{d\sigma}{\sigma} \propto \frac{dE_3}{E_3} d\Omega_3 \left\{ \frac{2}{\theta_{13}^2} \Theta(\theta_{12} - \theta_{13}) + \frac{2}{\theta_{23}^2} \Theta(\theta_{12} - \theta_{23}) \right\}$$



Reasoning above generalizes also when more than two partons may radiate.

Key consequences of angular ordering:

- slower multiplicity growth of partons (and thus of hadrons),
- depletion of particle production at small $x = E/E_{\text{jet}}$.

The eikonal approximation – 1

Derivation of soft radiation pattern for generic topology:

Soft gluons = long wavelength.

Thus internal lines of hard processes are not resolved,
i.e. only external lines contribute,
and these may be treated as classical currents:

$$d\sigma_{n+1} = d\sigma_n \frac{d^3 k}{(2\pi)^3 2\omega} \left| \sum_{i=1}^n g_s \mathbf{T}_i \frac{\mathbf{p}_i}{\mathbf{p}_i \cdot \mathbf{k}} \right|^2$$

where \mathbf{T}_i are colour charge matrices, $\omega = |\mathbf{k}|$ and

$$\frac{\mathbf{p}_i}{\mathbf{p}_i \cdot \mathbf{k}} = \frac{E_i(1, \beta_i)}{E_i(1, \beta_i) \omega(1, \mathbf{n})} = \frac{1}{\omega} \frac{(1, \beta_i)}{1 - \mathbf{n} \cdot \beta_i}$$

is $\propto (\phi, \mathbf{A})$ of a moving charge (cf. Jackson).

The eikonal approximation – 2

Expand square

$$d\sigma_{n+1} = d\sigma_n \frac{d^3 k}{2\pi\omega} \frac{\alpha_s}{2\pi} \sum_{i,j} (-\mathbf{T}_i \mathbf{T}_j) \frac{p_i p_j}{(p_i k)(p_j k)}$$

Note that for $p_i^2 = m_i^2 = 0$ the $i = j$ terms vanish,

the radiation is not by a single charge but by a dipole .

E.g.

$$\begin{aligned}\frac{d\sigma_3}{\sigma_2} &= \frac{d^3 p_3}{2\pi E_3} \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{p_1 p_2}{(p_1 p_3)(p_2 p_3)} 2 \\ &= \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{s(1-x_3)/2}{s^2(1-x_2)(1-x_1)/4} 2 \frac{d^3 p_3}{2\pi E_3} \\ &\approx \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{2}{(1-x_1)(1-x_2)} dx_1 dx_2\end{aligned}$$

The eikonal approximation – 3

Generalizes to higher multiplicities,
e.g. $e^+e^- \rightarrow q(1)\bar{q}(2)g(3)g(k)$:

$$\begin{aligned}\frac{d\sigma_4}{\sigma_3} &\propto \frac{\alpha_s}{2\pi} \left\{ (-\mathbf{T}_1 \mathbf{T}_3) \hat{13} + (-\mathbf{T}_2 \mathbf{T}_3) \hat{23} + (-\mathbf{T}_1 \mathbf{T}_2) \hat{12} \right\} \frac{d^3 k}{2\pi\omega} \\ &\propto \frac{\alpha_s}{2\pi} \left\{ \hat{13} + \hat{23} - \frac{1}{N_C^2} \hat{12} \right\} \frac{d^3 k}{2\pi\omega}\end{aligned}$$

where

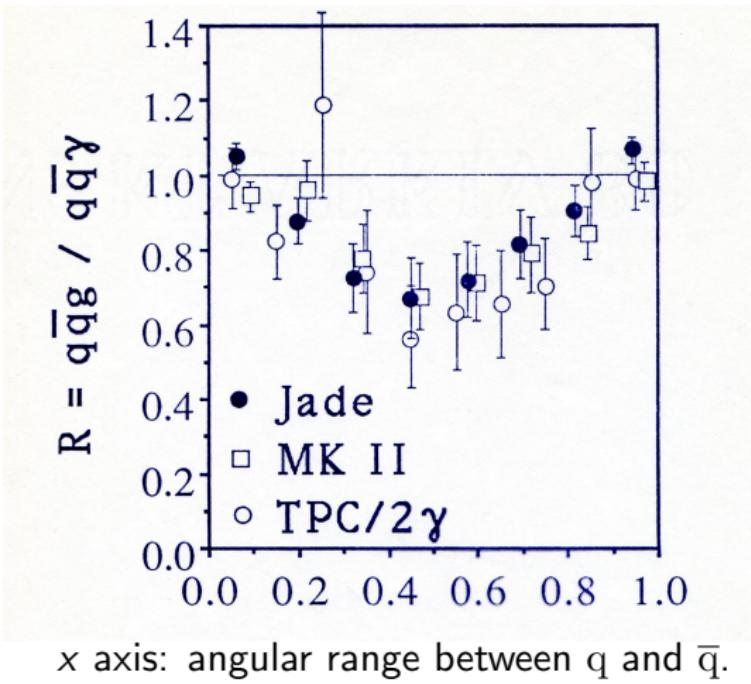
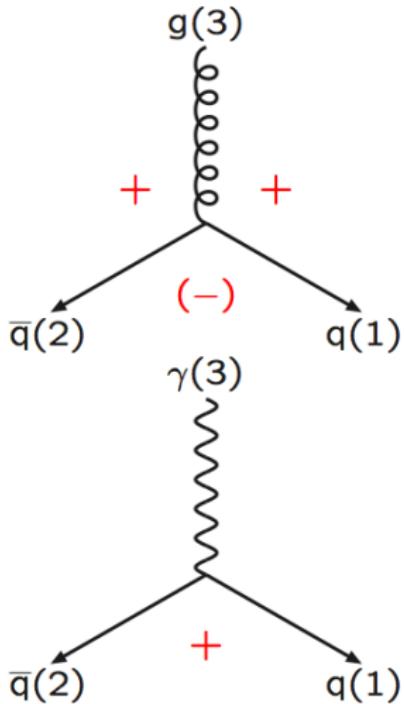
$$\hat{ij} = \frac{p_i p_j}{(p_i k)(p_j k)}$$

encode radiating dipoles.

Neglecting $1/N_c^2$ -suppressed terms, radiation can be viewed as coming from two “independent” dipoles, qg and $\bar{q}g$.

The eikonal approximation – 4

Compare with $e^+e^- \rightarrow q(1)\bar{q}(2)\gamma(3)g(k)$:
the γ does not carry colour, so here only $q\bar{q}$ dipole.



Scale choice

Branching rate of $a \rightarrow bc$ is proportional to $\alpha_s(Q^2)$.

Naive guess $Q^2 = m_a^2$.

Then next-to-leading branching kernel in limit $z \rightarrow 1$ (soft g)

$$\begin{aligned}\frac{\alpha_s}{2\pi} P_{q \rightarrow qg}(z) &\rightarrow \frac{\alpha_s}{2\pi} \left\{ P_{q \rightarrow qg}(z) + \frac{\alpha_s}{2\pi} P'_{q \rightarrow qg}(z) \right\} \\ &\approx \frac{\alpha_s(m_a^2)}{2\pi} \left\{ 1 - \frac{\ln(1-z)}{\ln(m_a^2/\Lambda^2)} \right\} P_{q \rightarrow qg}(z) \\ &\approx \frac{\alpha_s((1-z)m_a^2)}{2\pi} P_{q \rightarrow qg}(z) \approx \frac{\alpha_s(p_\perp^2)}{2\pi} P_{q \rightarrow qg}(z)\end{aligned}$$

Corresponding results hold for $g \rightarrow gg$, $z \rightarrow 0$ and $z \rightarrow 1$,
so natural to pick generically $Q^2 = p_\perp^2 = z(1-z)m_a^2$.

Does not absorb finite corrections for non-singular z ,
so not complete NLO, but part of the way.

The Common Showering Algorithms (LEP era)

Three main approaches to showering in common use:

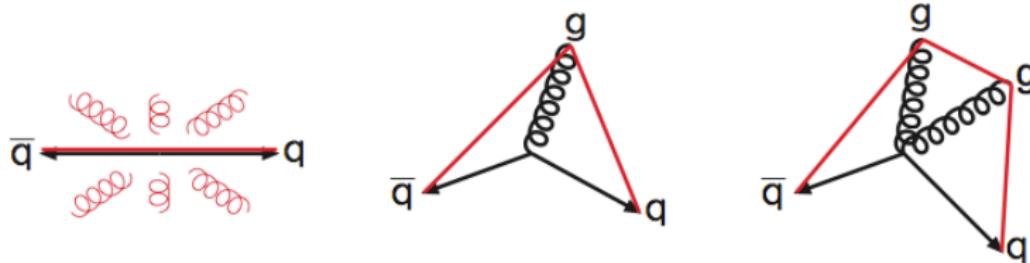
Two are based on the standard shower language
of $a \rightarrow bc$ successive branchings:



HERWIG: $Q^2 \approx E^2(1 - \cos \theta) \approx E^2\theta^2/2$

PYTHIA: $Q^2 = m^2$ (timelike) or $= -m^2$ (spacelike)

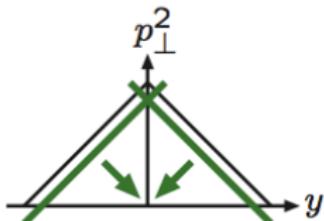
One is based on a picture of dipole emission $ab \rightarrow cde$:



ARIADNE: $Q^2 = p_{\perp}^2$; FSR mainly, ISR is primitive

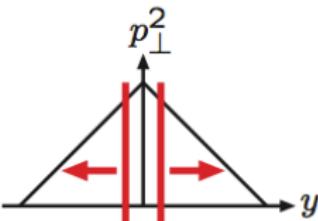
Ordering variables in final-state radiation (LEP era)

PYTHIA: $Q^2 = m^2$



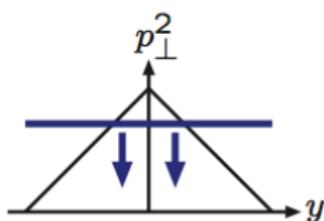
large mass first
⇒ “hardness” ordered
coherence brute force
covers phase space
ME merging simple
 $g \rightarrow q\bar{q}$ simple
not Lorentz invariant
no stop/restart
ISR: $m^2 \rightarrow -m^2$

HERWIG: $Q^2 \sim E^2 \theta^2$



large angle first
⇒ **hardness not ordered**
coherence inherent
gaps in coverage
ME merging messy
 $g \rightarrow q\bar{q}$ simple
not Lorentz invariant
no stop/restart
ISR: $\theta \rightarrow \theta$

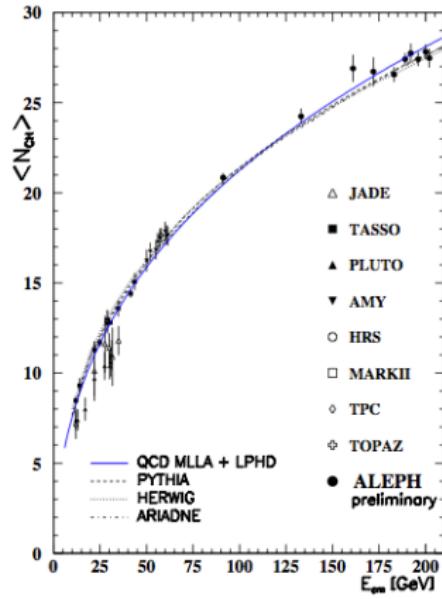
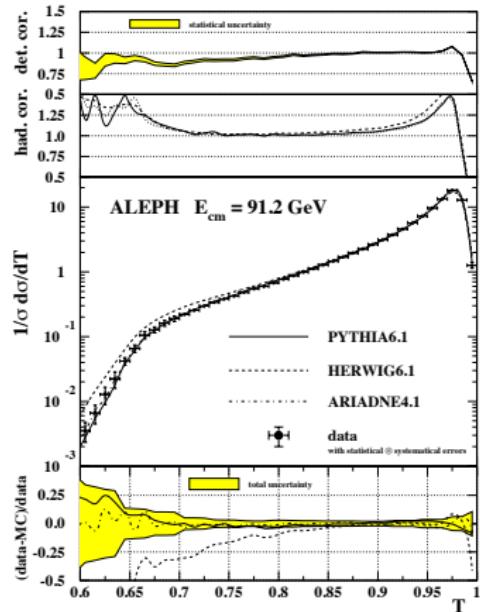
ARIADNE: $Q^2 = p_\perp^2$



large p_\perp first
⇒ “hardness” ordered
coherence inherent
covers phase space
ME merging simple
 $g \rightarrow q\bar{q}$ simple
Lorentz invariant
can stop/restart
ISR: more messy

Data comparisons (LEP)

All three algorithms do a reasonable job of describing LEP data, but typically ARIADNE (p_T^2) > PYTHIA (m^2) > HERWIG (θ)



... and programs evolve to do even better ...

The HERWIG algorithm

Basic ideas, to which much has been added over the years:

- ① Evolution in $Q_a^2 = E_a^2 \xi_a$ with $\xi_a \approx 1 - \cos \theta_a$, i.e.

$$d\mathcal{P}_{a \rightarrow bc} = \frac{\alpha_s}{2\pi} \frac{d(E_a^2 \xi_a)}{E_a^2 \xi_a} P_{a \rightarrow bc}(z) dz = \frac{\alpha_s}{2\pi} \frac{d\xi_a}{\xi_a} P_{a \rightarrow bc}(z) dz$$

Require ordering of consecutive ξ values, i.e. $(\xi_b)_{\max} < \xi_a$ and $(\xi_c)_{\max} < \xi_a$.

- ② Reconstruct masses backwards in algorithm

$$m_a^2 = m_b^2 + m_c^2 + 2E_b E_c \xi_a$$

Note: $\xi_a = 1 - \cos \theta_a$ only holds for $m_b = m_c = 0$.

- ③ Reconstruct complete kinematics of shower (forward again).

+ angular ordering built in from start

- total jet/system mass not known beforehand (\Rightarrow boosts)

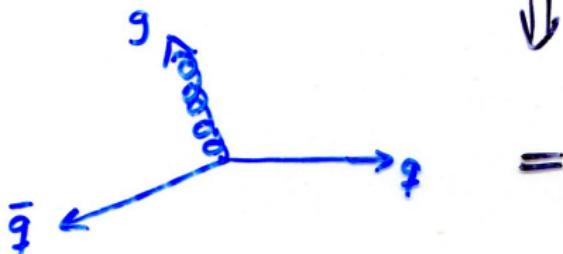
- some wide-angle regions never populated, “dead zones”

The ARIADNE algorithm – 1

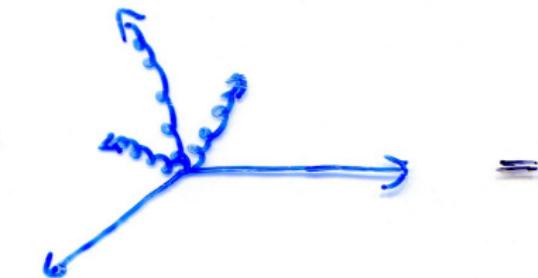
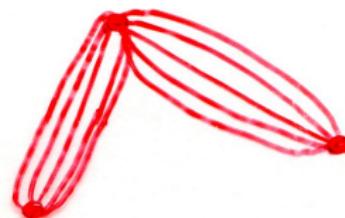
partonic picture



equivalent dipole picture



\Downarrow



$=$

\Downarrow



The ARIADNE algorithm – 2

- Dual description of topology:
 - a dipole connects two partons
 - a gluon connects two dipoles
- Dual description of particle production
 - shower: parton → parton + parton
 - dipole: dipole → dipole + dipole
- Use effective 3-jet matrix elements
in rest frame of each new dipole
- Evolve downwards in p_{\perp}
 \Rightarrow angular ordering for free
- No need to assign virtualities to partons;
(E, \mathbf{p}) conserved inside dipole
- $(qg) \rightarrow (qg) + (gg)$ and $(gg) \rightarrow (gg) + (gg)$ standard fare;
generalization of $g \rightarrow q\bar{q}$ nontrivial

The ARIADNE algorithm – 3

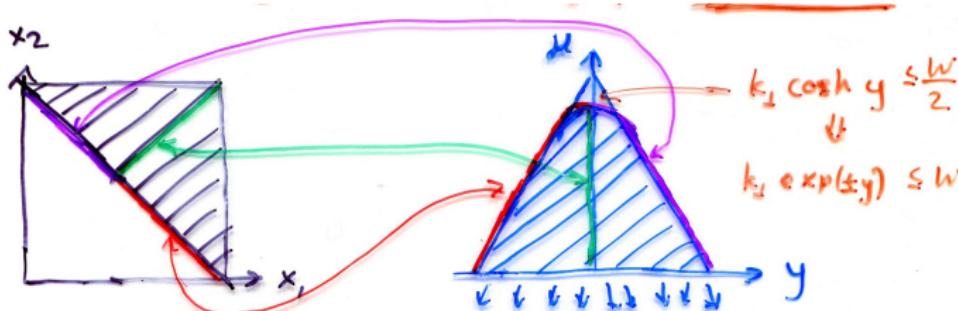
Transform 3-jet variables from (x_1, x_2) to (κ, y) where

$$e^\kappa = p_\perp^2 = E_3^2 = \frac{(E_1 E_3)(E_2 E_3)}{E_1 E_2} \approx \frac{(p_1 p_3)(p_2 p_3)}{s/4} = s(1 - x_1)(1 - x_2)$$

(in frame with q and \bar{q} opposite and g at 90°)

$$y \approx \frac{1}{2} \ln \frac{(E + p_z)_g}{(E - p_z)_g} \approx \frac{1}{2} \ln \frac{(1 - x_1)(E + p_z)_q}{(1 - x_2)(E - p_z)_{\bar{q}}} = \frac{1}{2} \ln \frac{1 - x_1}{1 - x_2}$$

$$\frac{d\sigma}{\sigma} \approx \frac{8}{3} \frac{\alpha_s}{2\pi} \frac{dx_1 dx_2}{(1 - x_1)(1 - x_2)} = \frac{8}{3} \frac{\alpha_s(p_\perp^2)}{2\pi} \frac{dp_\perp^2}{p_\perp^2} dy = \frac{4\alpha_s(p_\perp^2)}{3\pi} dk dy$$



Features of dipole showers

- Quantum coherence on similar grounds for angular and k_T -ordering, typical ordering in dipole showers by k_\perp .
- Many new shower formulations in past few years, many (nearly all) based on dipoles in one way or the other.
- Seemingly closer link to NLO calculations: Use subtraction kernels like antennae or Catani-Seymour kernels.
- Typically: First emission fully accounted for.

Survey of existing showering tools

Tools	evolution	AO/Cohherence
Ariadne	k_{\perp} -ordered	by construction
Herwig	angular ordering	by construction
Herwig++	improved angular ordering	by construction
Pythia	old: virtuality ordered new: k_{\perp} -ordered	by hand by construction
Sherpa	virtuality ordered (like old Pythia) new: k_{\perp} -ordering	by hand by construction
Vincia	k_{\perp} -ordered	by construction

Nowadays also Herwig++/Herwig7 has a dipole shower as an option.

Dipoles and recoils

Consider dipole emission $q\bar{q} \rightarrow q\bar{q}g$.

Given internal topology, e.g. (x_1, x_2) , how is event oriented,
i.e. how is recoil of emission shared?

- ARIADNE: minimize $p_{\perp q}^2 + p_{\perp \bar{q}}^2$ of new q and \bar{q}
with respect to original $q\bar{q}$ axis.
- PYTHIA and others: keep either q or \bar{q} direction fix,
e.g. with $\mathcal{P} = m_{qg}^2 / (m_{qg}^2 + m_{\bar{q}g}^2)$ for q to keep its direction.

(In addition isotropic φ angle around original $q\bar{q}$ axis.)

Such differences carry over to subsequent emissions,
with further ambiguities, and can affect final results.

Exemplifies “subleading effects”.

Prompt photon production in showers

In shower evolution:

$$\begin{aligned} P_{q \rightarrow qg} &= \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{1+z^2}{1-z} \\ P_{q \rightarrow q\gamma} &= \frac{\alpha_{em}}{2\pi} e_q^2 \frac{1+z^2}{1-z} \\ \frac{P_{q \rightarrow q\gamma}}{P_{q \rightarrow qg}} &= \frac{\alpha_{em} e_q^2}{\alpha_s \frac{4}{3}} \approx \frac{1}{200} \end{aligned}$$

but

- no direct emission from $g \Rightarrow$ dilution
- γ uncharged \Rightarrow no coherence \Rightarrow more, but negligibly
- at small p_\perp overwhelming background from $\pi^0 \rightarrow \gamma\gamma$
- competition with g emission reduces by factor 2–3 relative to if only γ emission from quark
- reasonably well tested/understood at LEP

Leading Log and beyond

Neglecting Sudakovs, rate of one emission is:

$$\mathcal{P}_{q \rightarrow qg} \approx \int_{Q_{\min}^2}^{Q_{\max}^2} \frac{dQ^2}{Q^2} \int_{z_{\min}}^{z_{\max}} dz \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{1+z^2}{1-z} \sim \alpha_s \ln^2$$

Rate for n emissions is of form:

$$\mathcal{P}_{q \rightarrow qng} \sim (\mathcal{P}_{q \rightarrow qg})^n \sim \alpha_s^n \ln^{2n}$$

Next-to-leading log (NLL): include *all* corrections of type $\alpha_s^n \ln^{2n-1}$

No existing generator completely NLL (?), but

- energy-momentum conservation (and “recoil” effects)
 - coherence
 - $2/(1-z) \rightarrow (1+z^2)/(1-z)$
 - scale choice $\alpha_s(p_\perp^2)$ absorbs singular terms $\propto \ln z, \ln(1-z)$ in $\mathcal{O}(\alpha_s^2)$ splitting kernels $P_{q \rightarrow qg}$ and $P_{g \rightarrow gg}$
 - ...
- ⇒ far better than naive, analytical LL

On the road to NLL showers

Key ingredient to NLL is NLO splitting kernels:

- $1 \rightarrow 3$, i.e $q \rightarrow qgg$, $q \rightarrow qq'\bar{q}'$, $g \rightarrow ggg$, $g \rightarrow q\bar{q}g$;
- virtual corrections to $1 \rightarrow 2$ (not positive definite).

Are known in terms of energy sharing, but less well defined in p_\perp .

- NLLJET (Kato, Munehisa, ~1990): first with NLO splitting kernels for e^+e^- , but other problems.
- VINCIA (Skands et al., 2017): combine $\mathcal{O}(\alpha_s^2)$ -corrected iterated $2 \rightarrow 3$ kernels for ordered emissions with tree-level $2 \rightarrow 4$ kernels for unordered emissions, but only for e^+e^- .
- DIRE (Höche, Prestel, 2017): dipole shower with NLO splitting kernels, including handling of negative weights, also for hadron–hadron.

Further efforts under way, e.g. for Herwig7.

Also colour effects relevant, including $1/N_C^2$ -suppressed terms, studied by Plätzer, Sjödahl and Thorén.