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Particle Physics Phenomenology

9. Total Cross Sections and Generator Physics

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Units and naive cross sections

Natural size : length $1 \text{ fm} = 10^{-15} \text{ m}$

$$\Rightarrow \text{area } 1 \text{ fm}^2 = 10^{-30} \text{ m}^2$$

Historically: $1 \text{ barn} = 1 \text{ b} = 10^{-24} \text{ cm}^2 = 10^{-28} \text{ m}^2$

$$\Rightarrow 1 \text{ mb} = 10^{-3} \text{ b} = 10^{-31} \text{ m}^2 = 0.1 \text{ fm}^2$$

Outdated, but recall that $[\mathcal{L}] = \text{cm}^{-2}\text{s}^{-1}$.

Proton radius $r_p \approx 0.7 \text{ fm}$

\Rightarrow area $A_p \approx \pi r_p^2 \approx 1.5 \text{ fm}^2 \rightarrow 1 \text{ fm}^2$ since 2 of 3 dimensions.

Total hadronic cross section \approx when two protons overlap ?

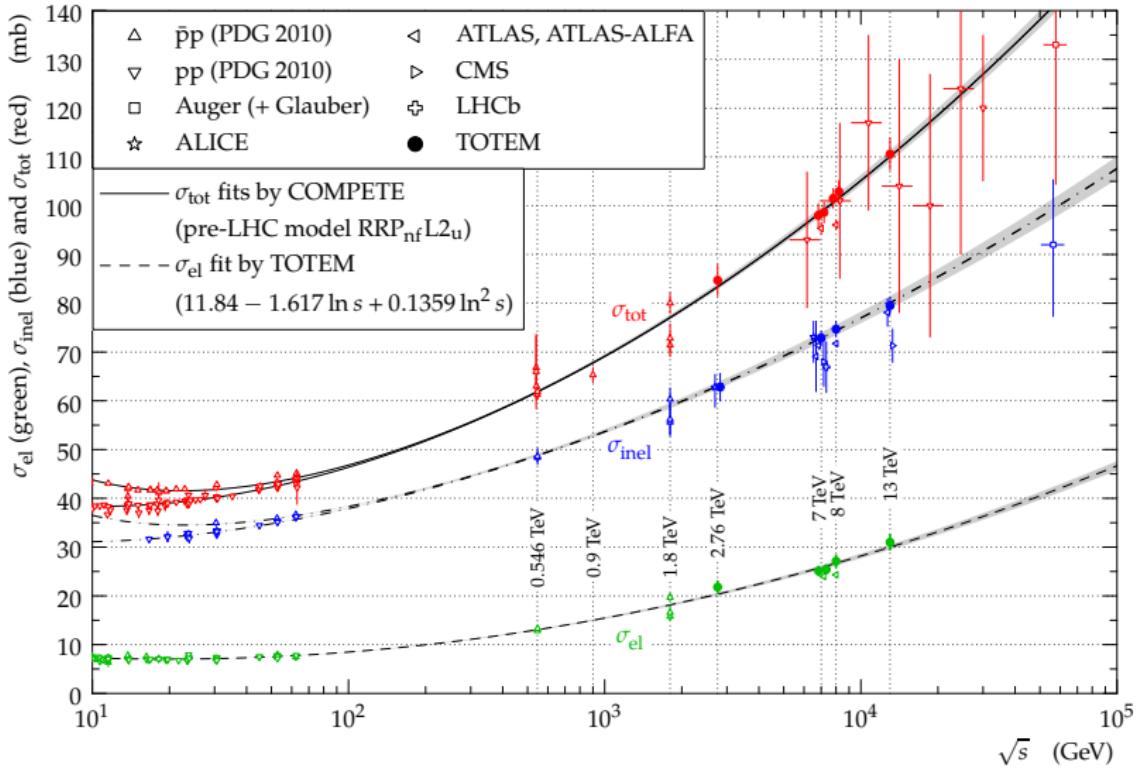
$$\Rightarrow \sigma_{pp} \approx \pi(2r_p)^2 \approx 4 \text{ fm}^2 = \boxed{40 \text{ mb}}.$$

$\sigma_{pp}^{\text{QED}} = \infty$ since protons are charged,

but for $pp \rightarrow pp$ elastic scattering in $\theta \rightarrow 0$ limit \Rightarrow unmeasurable

Conventionally: $\sigma_{pp}^{\text{tot}} = \sigma_{pp}^{\text{QCD}}$

Total cross section



Impact-parameter MPI unitarization

Here naive model — more sophisticated later

Assume Poissonian distribution of $\langle n(b) \rangle$, i.e. average number of MPI at impact parameter b :

$$P_i(b) = \frac{\langle n(b) \rangle}{i!} \exp(-\langle n(b) \rangle)$$

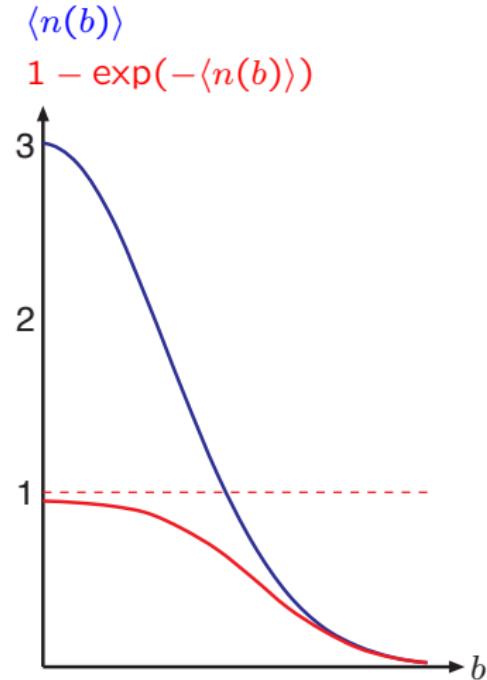
$$P_0(b) = \exp(-\langle n(b) \rangle)$$

$$P_{\geq 1}(b) = 1 - \exp(-\langle n(b) \rangle)$$

$$\sigma_{\text{MPI}} = \int d^2 b (1 - \exp(-\langle n(b) \rangle))$$

Increasing energy \Rightarrow more MPI:

- **Bigger:** non-negligible to larger b
- **Blacker:** $P_{\geq 1}(b) \rightarrow 1$ for small b
- **Edgier?:** rim $P_{\geq 1}(b) \approx 0.5$ thinner



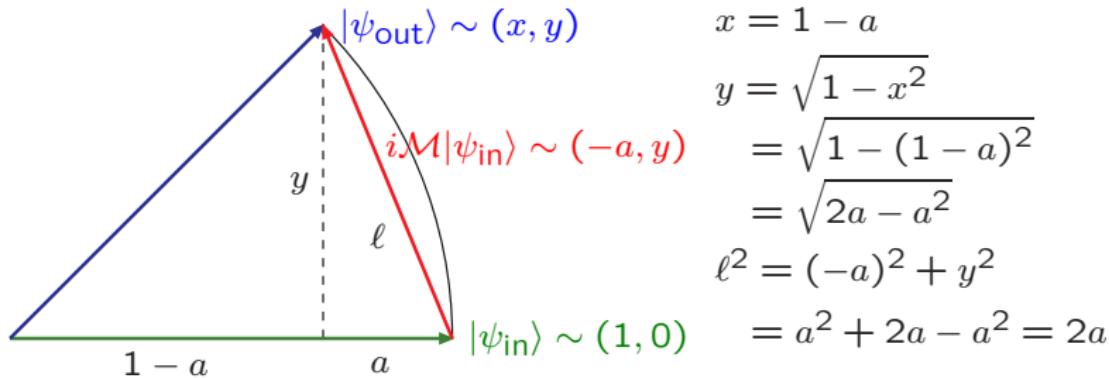
The optical theorem – 1

$$|\psi_{\text{out}}\rangle = S |\psi_{\text{in}}\rangle = (1 + i\mathcal{M}) |\psi_{\text{in}}\rangle$$

Unitarity: conservation of probability:

$$S^\dagger S = 1 \Rightarrow (1 - i\mathcal{M}^\dagger)(1 + i\mathcal{M}) = 1$$

$$\Rightarrow i(\mathcal{M} - \mathcal{M}^\dagger) + \mathcal{M}^\dagger \mathcal{M} = 0 \Rightarrow 2 \operatorname{Im} \mathcal{M} = \mathcal{M}^\dagger \mathcal{M}$$



The optical theorem – 2

Introduce 2-body in-state $|ab\rangle$,
and complete set of possible final states $|n\rangle$: $\sum_n |n\rangle\langle n| = 1$

$$\begin{aligned} 2 \operatorname{Im} \langle ab | \mathcal{M} | ab \rangle &= \langle ab | \mathcal{M}^\dagger \mathcal{M} | ab \rangle \\ &= \sum_n \langle ab | \mathcal{M}^\dagger | n \rangle \langle n | \mathcal{M} | ab \rangle \\ &= \sum_n |\langle n | \mathcal{M} | ab \rangle|^2 \end{aligned}$$

$\operatorname{Im} \langle ab | \mathcal{M} | ab \rangle \sim$ amplitude for forward ($t = 0$) elastic scattering,
 $\sum_n |\langle n | \mathcal{M} | ab \rangle|^2 \sim$ total cross section to all possible final states,

$$\Rightarrow \frac{d\sigma_{\text{el}}(s, t = 0)}{dt} \propto \sigma_{\text{tot}}^2(s)$$

If you understand the elastic cross section you get the total for free.

The optical theorem and unitarization

Eikonal function $\chi(b, s)$, for now real, gives scattering amplitude

$$\sigma_{\text{tot}}(s) \sim \text{Im } f(s, 0) = 2 \int d^2 b \left(1 - e^{-\chi(b, s)} \right)$$

$$\sigma_{\text{el}}(s) \sim |f(s, t)|^2 = \int d^2 b \left(1 - e^{-\chi(b, s)} \right)^2$$

$$\sigma_{\text{inel}}(s) = \sigma_{\text{tot}}(s) - \sigma_{\text{el}}(s) = \int d^2 b \left(1 - e^{-2\chi(b, s)} \right)$$

Associate $\sigma_{\text{MPI}}(s) = \sigma_{\text{inel}}(s) \Rightarrow 2\chi(b, s) = \langle n(b) \rangle$ or

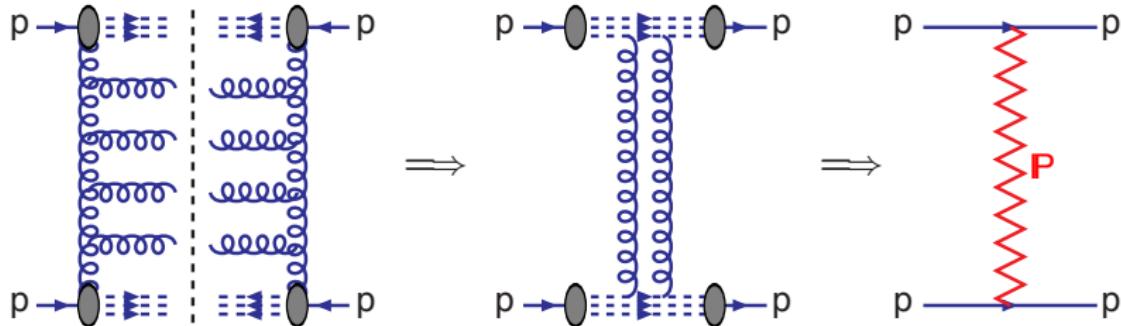
$$\chi(b, s) = \frac{1}{2} \sigma_{\text{MPI}}(s) A(b)$$

$$A(\mathbf{b}) = \int d^2 b' G(\mathbf{b}') G(\mathbf{b} - \mathbf{b}')$$

$$\int d^2 b A(\mathbf{b}) = \int d^2 (\mathbf{b} - \mathbf{b}') G(\mathbf{b} - \mathbf{b}') \int d^2 \mathbf{b}' G(\mathbf{b}') = 1$$

The Pomeron

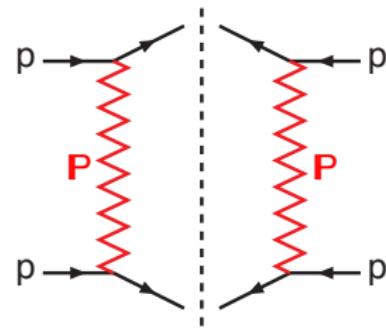
Amplitude for (forward) elastic scattering from total cross section:



introducing the Pomeron \mathbb{P} as shorthand
for the effective 2-gluon exchange.

Since $p \rightarrow p \mathbb{P}$ the Pomeron must have
the quantum numbers of the vacuum:
 0^+ colour singlet.

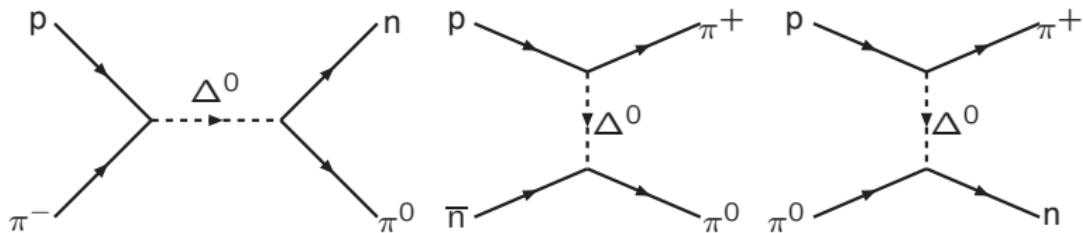
Recall: elastic cross section requires
squaring one more time:



Field theory

Historically, the Pomeron precedes QCD and (established) quarks.
Instead based on general properties of field theory;

- Unitarity: optical theorem.
- Crossing: if $\mathcal{M}(s, t, u)$ describes $1 + 2 \rightarrow 3 + 4$
then $\mathcal{M}(t, s, u)$ describes $1 + \bar{3} \rightarrow \bar{2} + 4$
and $\mathcal{M}(u, t, s)$ describes $1 + \bar{4} \rightarrow 3 + \bar{2}$.



- Analyticity: \mathcal{M} analytic function of s, t, u
 \Rightarrow poles, branch cuts, dispersion relations, ...
- Regge theory.

Regge theory – 1

Start with elastic-scattering partial-wave series in s channel:

$$\mathcal{M}(s, t) = \sum_{J=0}^{\infty} (2J+1) \mathcal{M}_J(s) P_J(\cos \theta)$$

where J is s -channel angular momentum,
 P_J Legendre polynomials, and

$$\cos \theta = 1 + \frac{2t}{s - 4m^2} \quad \text{if } m_1^2 = m_2^2 = m_3^2 = m_4^2 = m^2 .$$

Cross to t -channel

$$\mathcal{M}(s, t) = \sum_{J=0}^{\infty} (2J+1) \mathcal{M}_J(t) P_J(\cos \theta_t) \quad \text{with } \cos \theta_t = 1 + \frac{2s}{t - 4m^2} .$$

For $s \rightarrow \infty$, t fixed: $\cos \theta_t \rightarrow -\infty$:

$$P_J(\cos \theta_t) \sim (\cos \theta_t)^J \sim s^J$$

Regge theory – 2

Gives divergent series

$$\mathcal{M}(s, t) = \sum_{J=0}^{\infty} (2J+1) s^J f_J(t)$$

so resum with analytic continuation,
using $J \rightarrow \alpha$ as complex variable.

Simple example: $1 + x + x^2 + x^3 + \dots$ badly divergent for $x > 1$,
but resums to $1/(1-x)$, which is finite except in pole $x = 1$.

Result: if there exists a “trajectory” of particles $\alpha(t)$,
i.e. straight line of poles corresponding to integer or half-integer α ,
then $\mathcal{M}(s, t) \sim f(t) s^{\alpha(t)}$
and $\sigma_{\text{tot}} \sim \mathcal{M}(s, 0)/s \sim s^{\alpha(0)-1}$.

Regge trajectories

Linear trajectories $\alpha(t) = \alpha(0) + \alpha't$

both in meson and baryon sector:

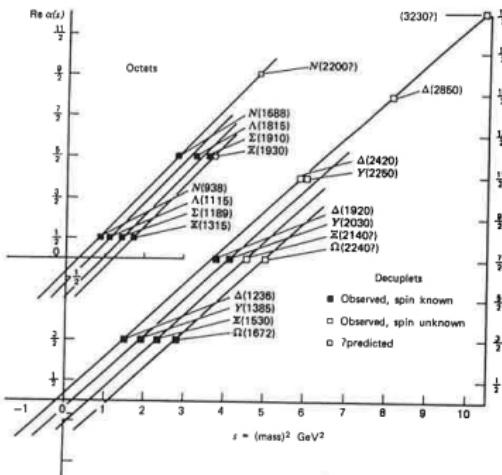
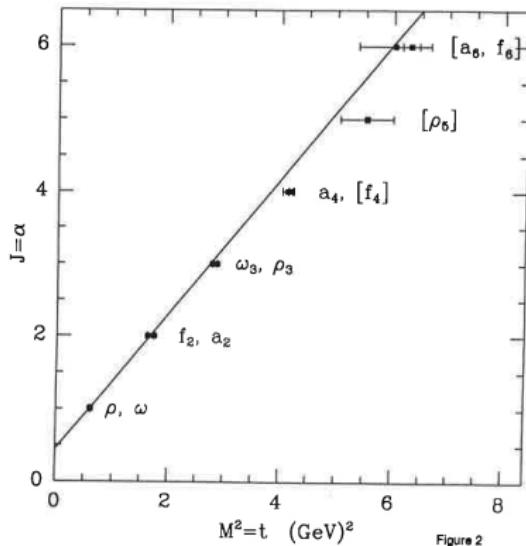


Fig. 17-8 A Chew-Frautschi plot for baryons. The lines, which are assumed to be Regge trajectories, connect baryon families with the same I , B , and S (17CH). Reproduced with permission from "Evidence for Regge Poles and Hadron Collision Phenomena at High Energies," Annual Review of Nuclear Science, 22, 263 (1972). Copyright © by Annual Reviews, Inc., 1972. All rights reserved.

Most important is ρ trajectory with intercept $\alpha(0) \approx 0.5$.

Why linear trajectory?

View a meson at rest as a stiffly rotating string with endpoint quarks moving at the speed of light

$$0 \leq r \leq d, v(r) = r/d, \gamma(r) = 1/\sqrt{1 - r^2/d^2}$$

$$m = 2 \int_0^d dr \kappa \gamma(r) = 2\kappa d \int_0^1 \frac{dy}{\sqrt{1-y^2}} = \pi \kappa d$$

$$L = 2 \int_0^d dr r \kappa v(r) \gamma(r) = 2\kappa d^2 \int_0^1 \frac{y^2 dy}{\sqrt{1-y^2}}$$

$$= \frac{\pi}{2} \kappa d^2 = \frac{m^2}{2\pi\kappa}$$

$$\alpha' = \frac{d\alpha}{dt} = \frac{dL}{dm^2} = \frac{1}{2\pi\kappa}$$



Data $\alpha' \approx 0.9 \text{ GeV}^{-2}$ gives $\kappa \approx 0.9 \text{ GeV/fm}$.

Linear Regge trajectories and linear confinement are closely related!

The Reggeon(s)

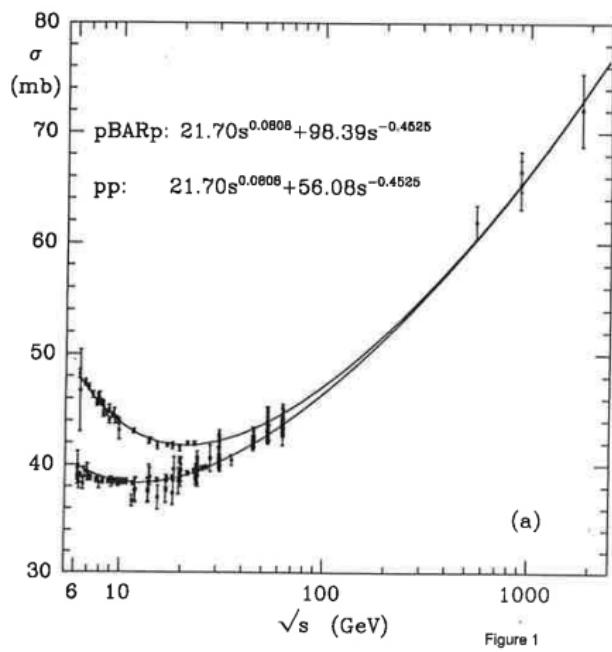


Figure 1

The ρ trajectory has
 $\alpha(0) \approx 0.5$
 $\Rightarrow \sigma_{\text{tot}} \approx s^{-0.5}$:
required for low energies
but not enough.

$$\begin{aligned}\sigma_{\text{tot}}^{\text{pp}} &\approx 21.7 s^{0.08} + 98.4 s^{-0.45} \\ \sigma_{\text{tot}}^{\text{p}\bar{\text{p}}} &\approx 21.7 s^{0.08} + 56.1 s^{-0.45}\end{aligned}$$

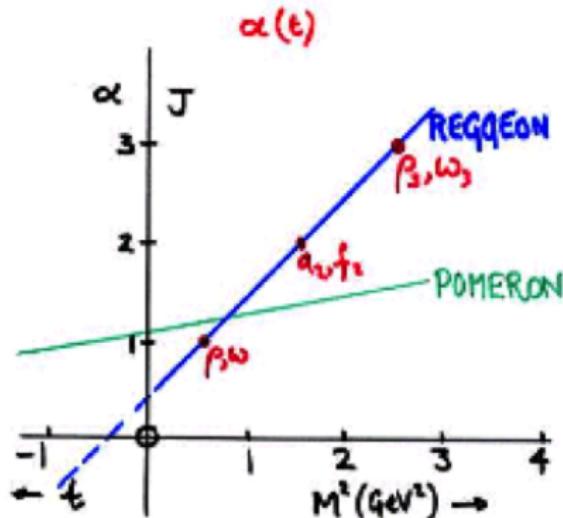
Pomeron term “universal”,
while Reggeon contributions
are process-dependent:
pp only ρ^0 exchange $pp \rightarrow pp$
while $p\bar{p}$ has $p\bar{p} \rightarrow p\bar{p}$
and $p\bar{p} \rightarrow n\bar{n}$.

The Pomeron trajectory

$$\alpha(t) = \alpha(0) + \alpha' t = 1 + \epsilon + \alpha' t.$$

Critical Pomeron (original): $\epsilon = 0 \Rightarrow \sigma_{\text{tot}}$ constant.

Supercritical Pomeron: $\epsilon > 0 \Rightarrow \sigma_{\text{tot}}$ rises.



Need a trajectory with intercept $\alpha(0) \approx 1.08$.

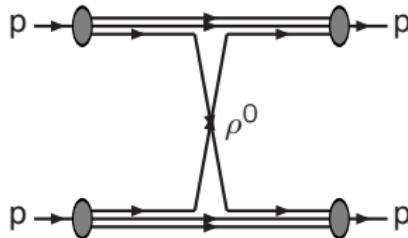
Consistent with spin-2 glueball around $m = 2$ GeV, but mixing $gg \leftrightarrow q\bar{q}g \leftrightarrow q\bar{q}$ leaves no clear glueball.

Excess number of neutral states consistent with it, however.

Purist's view: the Pomeron is not a physical state, only a field theoretical construction.

Trajectories and PDFs

The Regge limit, t fixed and $s \rightarrow \infty$ corresponds to $x \rightarrow 0$.

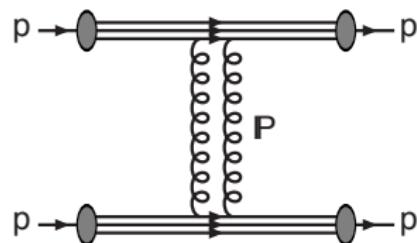


related to exchange of
valence quarks

$$\begin{aligned}\sigma_{\text{tot,Reggeon}} &\propto 1/\sqrt{s} \\ \Rightarrow q_{\text{val}} &\propto 1/\sqrt{x}\end{aligned}$$

or more properly

$$q(x) = N_q \frac{(1-x)^{n_q}}{\sqrt{x}}$$



related to exchange of
gluons (and sea quarks)

$$\begin{aligned}\sigma_{\text{tot,Pomeron}} &\propto 1/s^{1.08} \\ \Rightarrow g &\propto 1/x^{1.08}\end{aligned}$$

or more properly

$$g(x) = N_g \frac{(1-x)^{n_g}}{x^{1.08}}$$

Elastic scattering

Recall $\mathcal{M}(s, t) \approx C f(t) s^{\alpha(t)}$, with $\alpha(t) \approx \alpha(0) + \alpha' t$.

Here $f(t)$ form factor, amplitude that hadrons do not break up.

Simple ansatz $f(t) \approx \exp(b_A t) \exp(b_B t)$ for $A + B \rightarrow A + B$.

Dimensional consistency $s \rightarrow s/s_0 = \bar{s}$;

e.g. with $s_0 = 1/\alpha' = 4 \text{ GeV}^2$.

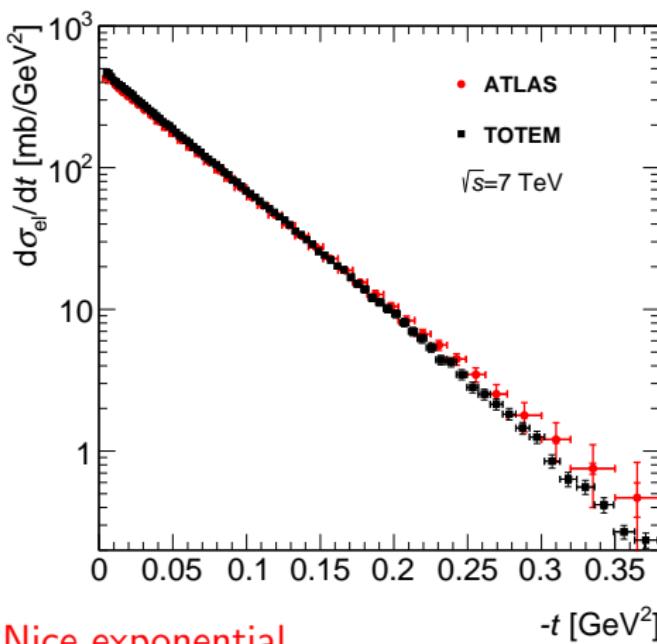
$$\begin{aligned}\mathcal{M}(s, t) &\approx i C \exp(b_A t) \exp(b_B t) \bar{s}^{\alpha(0) + \alpha' t} \\ &= i C \bar{s}^{\alpha(0)} \exp((b_A + b_B + \alpha' \ln \bar{s}) t)\end{aligned}$$

$$B_{\text{el}} = 2(b_A + b_B + \alpha' \ln \bar{s})$$

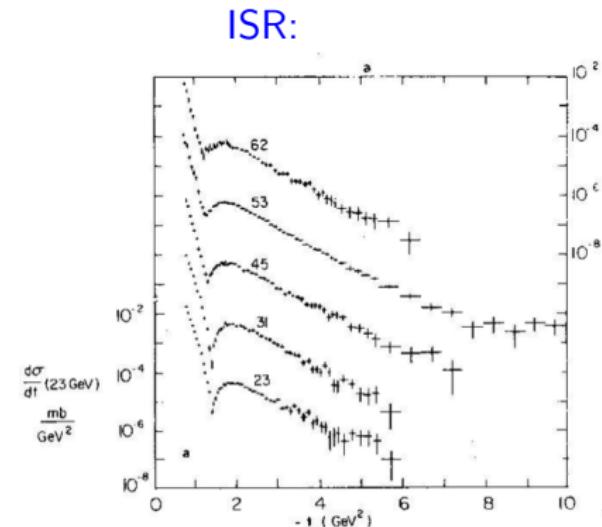
$$\sigma_{\text{tot}} = \frac{\text{Im} \mathcal{M}(s, 0)}{s} = C \bar{s}^{\alpha(0)}$$

$$\begin{aligned}d\sigma_{\text{el}} &= \frac{|\mathcal{M}(s, t)|^2}{2s} \frac{dt}{8\pi s} = \frac{|\mathcal{M}(s, 0)|^2 \exp(B_{\text{el}} t)}{s^2} \frac{dt}{16\pi} \\ &= \sigma_{\text{tot}}^2 \exp(B_{\text{el}} t) \frac{dt}{16\pi}\end{aligned}$$

The differential elastic cross section



Nice exponential
at intermediate $|t|$ values.

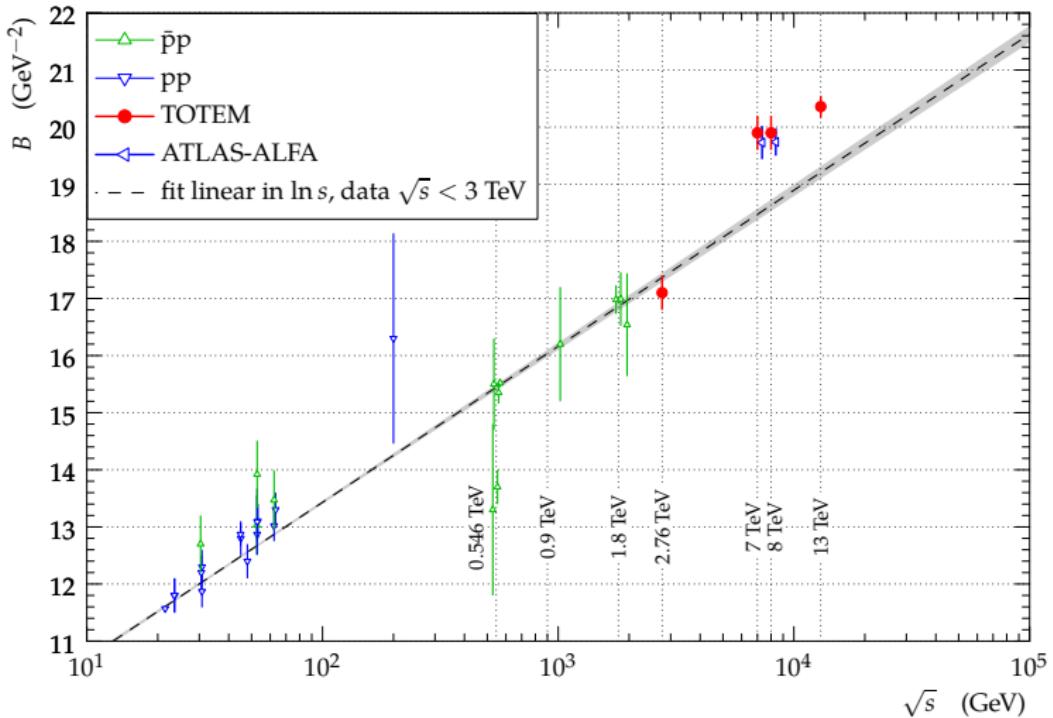


More complicated structure
at large $|t|$ values:
interference between contributing
amplitudes, e.g. Reggeons.

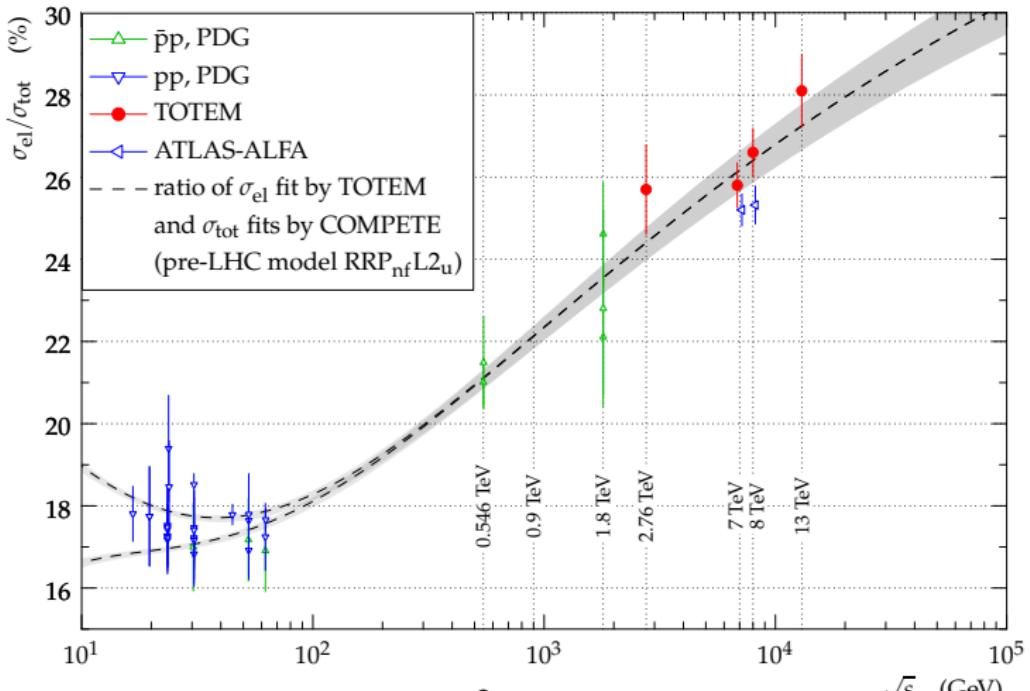
The elastic slope

$$B_{\text{el}} = 2(b_A + b_B + \alpha' \ln \bar{s}) = 9.2 + 0.5 \ln(s/4) \quad [\text{GeV}^{-2}]$$

undershoots at 7 TeV: 17.4 vs. data 19.8.



The elastic fraction



$$\sigma_{el} \approx \frac{\sigma_{tot}^2}{16\pi B_{el}} \Rightarrow \frac{\sigma_{el}}{\sigma_{tot}} \propto \frac{\sigma_{tot}}{B_{el}}$$

Increase has to slow down, e.g. by faster B_{el} growth!

Parametrization comments

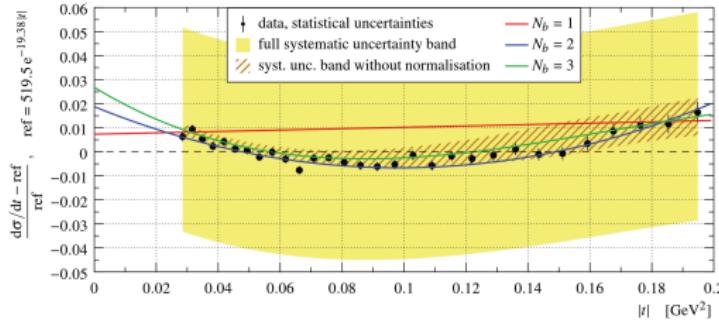
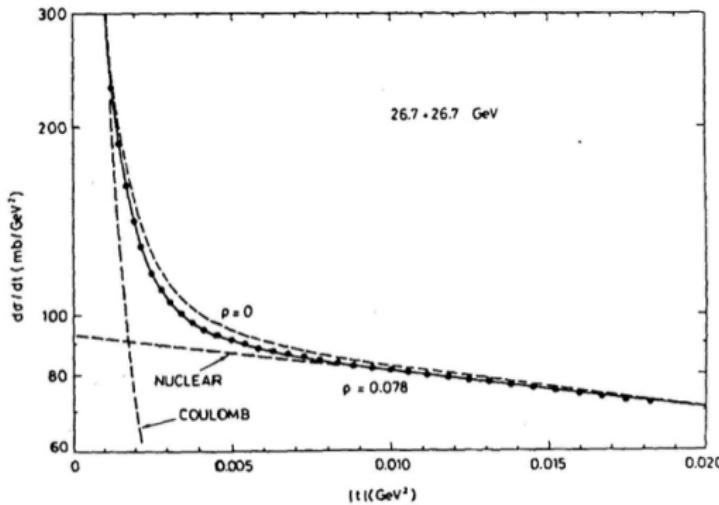
Common with $c_0 + c_1 \ln \bar{s} + c_2 \ln^2 \bar{s}$ parametrizations
for $\sigma_{\text{tot}}(s)$, $\sigma_{\text{el}}(s)$ and $B_{\text{el}}(s)$, inspired by

$$\text{Froissart - Martin bound : } \sigma_{\text{tot}}(s) \leq \frac{\pi}{m_\pi^2} \ln^2 \bar{s}$$

but nowhere near saturation at LHC, so $s^{0.08}$ also OK.

- Several Pomerons? Two-Pomeron models common!
Higher intercept = “perturbative Pomeron” = QCD jets.
- Odderon: odd-parity exchange, could give $\sigma_{\text{tot}}^{\text{PP}}(s) > \sigma_{\text{tot}}^{\text{P}\bar{\text{P}}}(s)$.
- Multiple Reggeon trajectories.
⇒ Quite complicated fits to data, see e.g.
Review of Particle Physics, pp. 590 – 597 in 2016 edition.

The Coulomb contribution



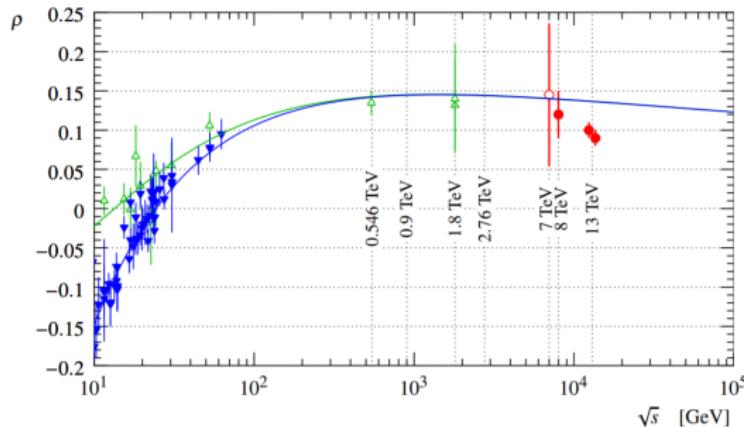
Coulomb dominates at small $|t|$: perfect luminometer if measurable.
However: unknown relative phase to ordinary “nuclear” (=“QCD”)

Further: “perfect” exponential is model, not theory.
Could have $B(t) = b_1 t + b_2 t^2 + \dots$.
TOTEM observes $\sim 1\%$ deviations.

The ρ parameter

What if \mathcal{M} not purely imaginary? Introduce

$$\rho(s) = \frac{\operatorname{Re} \mathcal{M}(s, 0)}{\operatorname{Im} \mathcal{M}(s, 0)} \Rightarrow |\mathcal{M}(s, 0)|^2 = (1 + \rho^2(s)) \operatorname{Im} \mathcal{M}(s, 0)$$



$\rho^2(s) \approx 0.04$ at LHC
⇒ often neglected

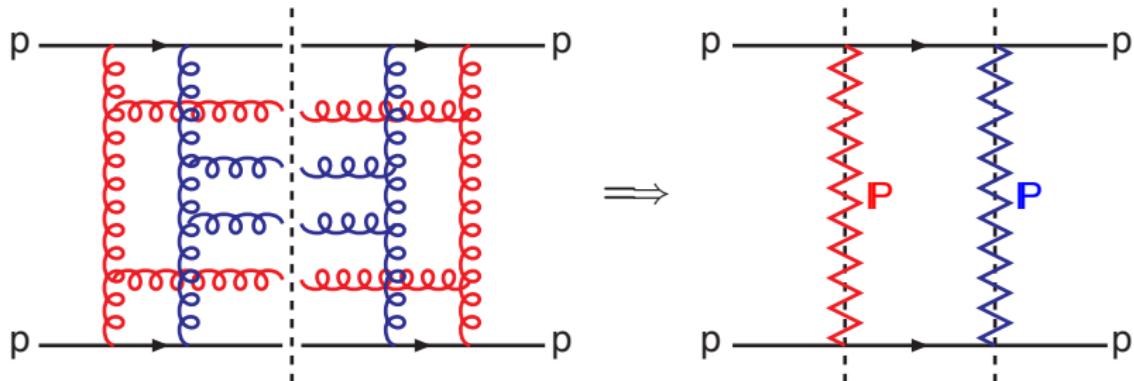
Recently unexpected
small value observed
by TOTEM.

$$\frac{d\sigma_{\text{el}}(s)}{dt} = (1 + \rho^2(s)) \frac{\sigma_{\text{tot}}^2(s)}{16\pi} \exp(B_{\text{el}}(s) t)$$

$$\sigma_{\text{el}}(s) = (1 + \rho^2(s)) \frac{\sigma_{\text{tot}}^2(s)}{16\pi B_{\text{el}}(s)}$$

Cut Pomerons

Naively $1 \text{ IP} \approx 1 \text{ MPI}$ interaction, but in $p_\perp \rightarrow 0$ limit
⇒ longitudinal hadronization, multiparticle production
so multiple exchanges possible just like several MPIs



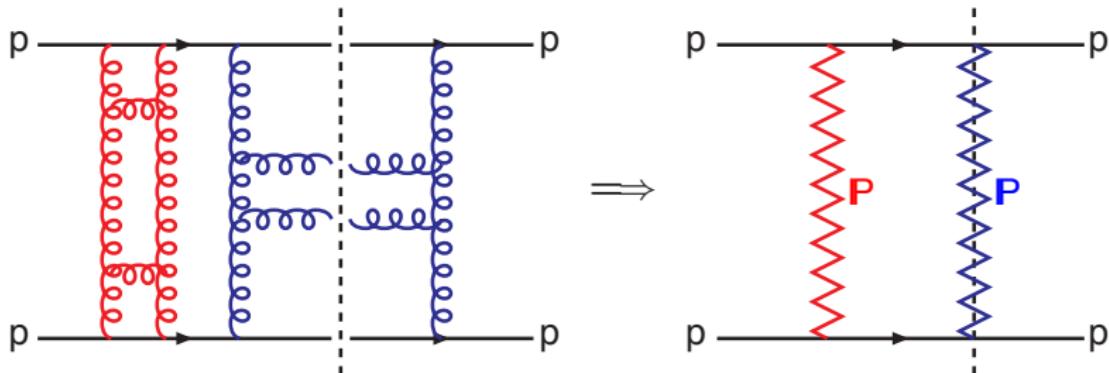
Physical cut: final state in squared Feynman graph.

Only one physical cut, duplicated for easier drawings.

Cut Pomeron: only one gluon line exchanged in physical final state, so coloured beam remnants and multiparticle production.

Uncut Pomerons

Also need virtual corrections to compensate real Pomerons:



Uncut Pomeron: a Pomeron exchange that leaves no explicit trace in final state, just like loops in standard perturbation theory.

Restores unitarity: $\mathcal{P}_{\text{cut}} + \mathcal{P}_{\text{uncut}} = 1$;

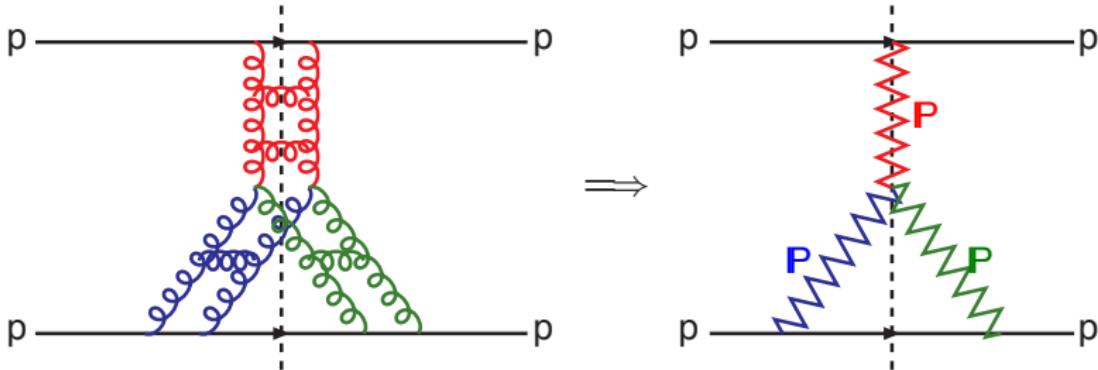
just like real and virtual singularities cancel for matrix elements:



Minor issue: $p_{\perp} = 0$, so not ordering variable, but rapidity OK.

The triple Pomeron vertex

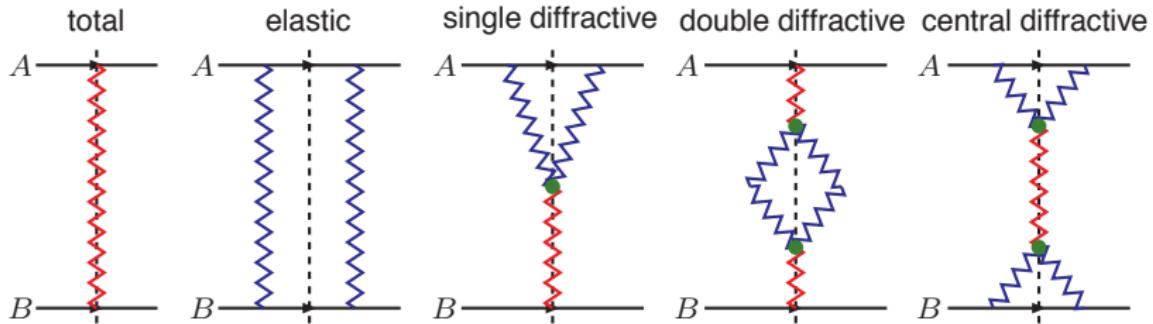
Gluon chains can split or fuse, giving diffraction:



Develops into a whole “Feynman diagram” framework:

- Pomeron propagator $G_{\mathbb{P}}(\bar{s}, t) = i \bar{s}^{\alpha(t)-1}$.
- $\beta_A(t) = \beta_A(0) \exp(b_A t)$, $A = p, n, \pi, \dots$, strength of AAIP vertex and A form factor.
- $g_{3\mathbb{P}}$ triple-Pomeron vertex.
- ($G_{\mathbb{R}}(\bar{s}, t)$ and $g_{\mathbb{R}\mathbb{R}\mathbb{P}}$ for Reggeons.)

Differential cross section expressions



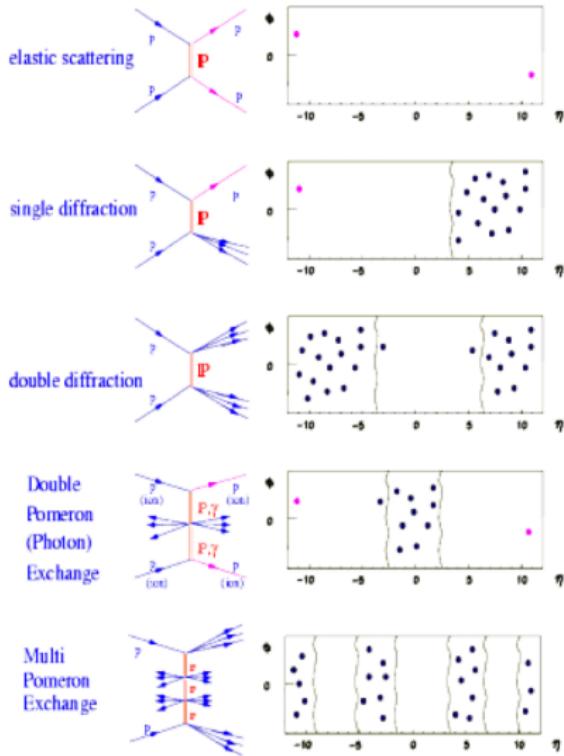
$$\sigma_{\text{tot}}^{AB} = \beta_A(0) \beta_B(0) \text{Im } G_{\text{IP}}(s/s_0, 0)$$

$$\frac{d\sigma_{\text{el}}^{AB}}{dt} = \frac{1}{16\pi} \beta_A^2(t) \beta_B^2(t) |G_{\text{IP}}(s/s_0, t)|^2$$

$$\frac{d\sigma_{\text{sd}}^{AB \rightarrow AX}}{dt dM^2} = \frac{1}{16\pi M^2} g_{3\text{IP}} \beta_A^2(t) \beta_B(0) |G_{\text{IP}}(s/M^2, t)|^2 \text{Im } G(M^2/s_0, 0)$$

$$\begin{aligned} \frac{d\sigma_{\text{dd}}^{AB \rightarrow X_1 X_2}}{dt dM_1^2 dM_2^2} &= \frac{1}{16\pi M_1^2 M_2^2} g_{3\text{IP}}^2 \beta_A(0) \beta_B(0) |G_{\text{IP}}(ss_0/(M_1^2 M_2^2), t)|^2 \\ &\times \text{Im } G(M_1^2/s_0, 0) \text{Im } G(M_2^2/s_0, 0) \end{aligned}$$

Event-type properties



- Phase space for diffractive masses and rapidity gaps roughly like $dM^2/M^2 = dy$, i.e. flat in rapidity.
- Extra divergence $\int_{s_0}^s dM^2/M^2 = \log(s/s_0)$ means σ_{sd} grow faster than σ_{tot} , and σ_{dd} even faster.
- Plausibly sequence of increasingly complicated topologies.
- $B_{el}(s) > B_{sd}(s, M^2) > B_{dd}(s, M_1^2, M_2^2)$

Single diffractive kinematics

$$\xi = \frac{M_X^2}{s} \Rightarrow x_p = \frac{2E_p}{E_{cm}} = 1 - \xi$$

$$d(\log M_X^2) = \frac{dM_X^2}{M_X^2} = \frac{d\xi}{\xi} = d(\log \xi)$$

$$\Delta y_{tot} \approx 2 \log \left(\frac{(E + p_z)_p}{m_p} \right) = \log \frac{s}{m_p^2}$$

$$\Delta y_X \approx \log \frac{M_X^2}{m_p^2}$$

$$\Delta y_{gap} \approx \Delta y_{tot} - \Delta y_X \approx \log \frac{s}{M_X^2} = \log \frac{1}{\xi} = -\log \xi$$

Experimentally: spurious “false” gaps if $\Delta y_{gap} \leq 3 \Rightarrow \xi \geq 0.05$.

$B_{sd} \sim 10 \text{ GeV}^{-2} \Rightarrow \langle p_\perp^2 \rangle \approx \langle |t| \rangle \approx 0.1 \text{ GeV}^2$.

$E_{cm} = 7 \text{ TeV} \Rightarrow \langle \theta \rangle \approx 0.35/3500 = 10^{-4}$ so need Roman pots.

Rise of diffractive cross section

Naive steep rise of all diffractive topologies problematic.

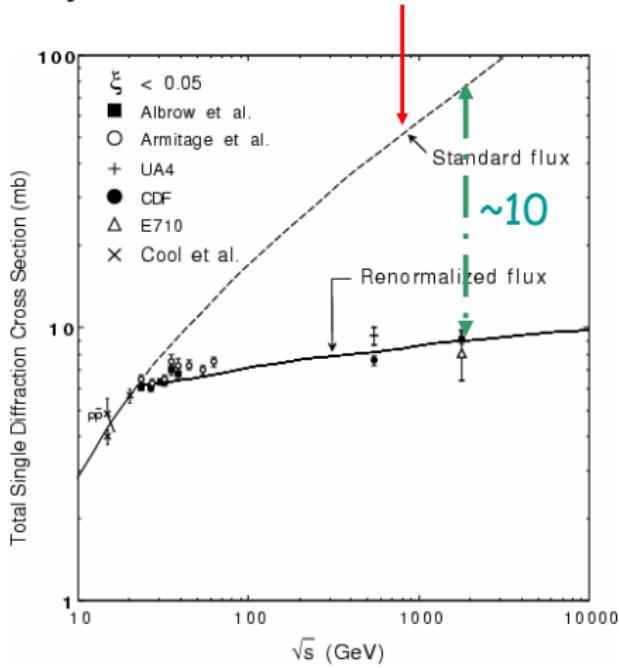
Goulianis: rescale Pomeron flux by hand:

- ❖ Unitarity problem:
With factorization
and std pomeron flux
 σ_{SD} exceeds σ_T at
 $\sqrt{s} \approx 2$ TeV.

- ❖ Renormalization:
normalize the pomeron
flux to unity

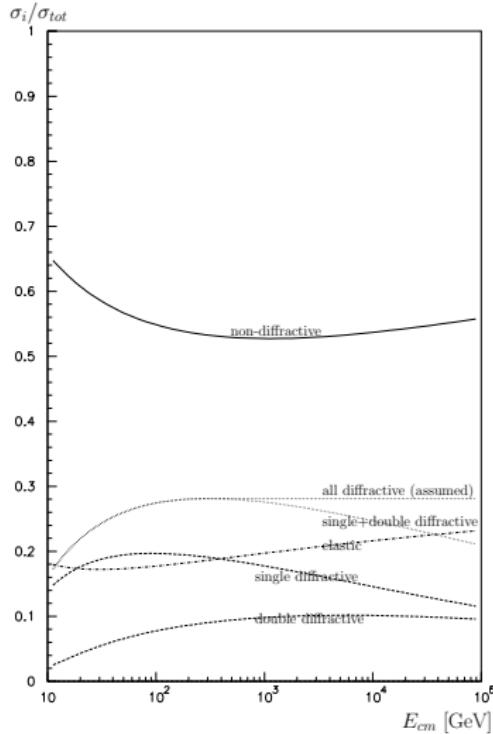
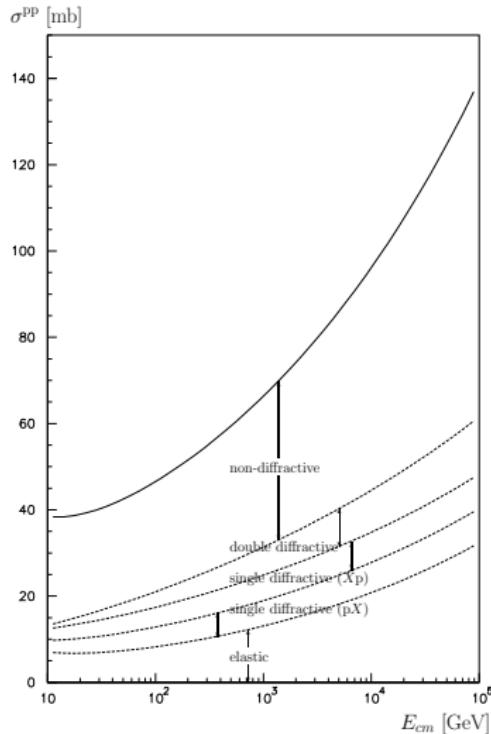
KG, PLB 358 (1995) 379

$$\int_{\xi_{min}}^{0.1} \int_{t=-\infty}^0 f_{IP/p}(t, \xi) d\xi dt = 1$$



Cross section fractions

Schuler&Sjöstrand 1993: patch up for finite asymptotic ratios:



Ongoing work with Christine for new solution.

Total unitarization

DTUJET/DPMJET/PHOJET: eikonalization of four components:

$i = s$: soft Pomerons \Leftrightarrow normal nondiffractive exchange

$i = h$: hard cross section \Leftrightarrow QCD jets

$i = t$: triple-Pomeron processes \Leftrightarrow single diffraction

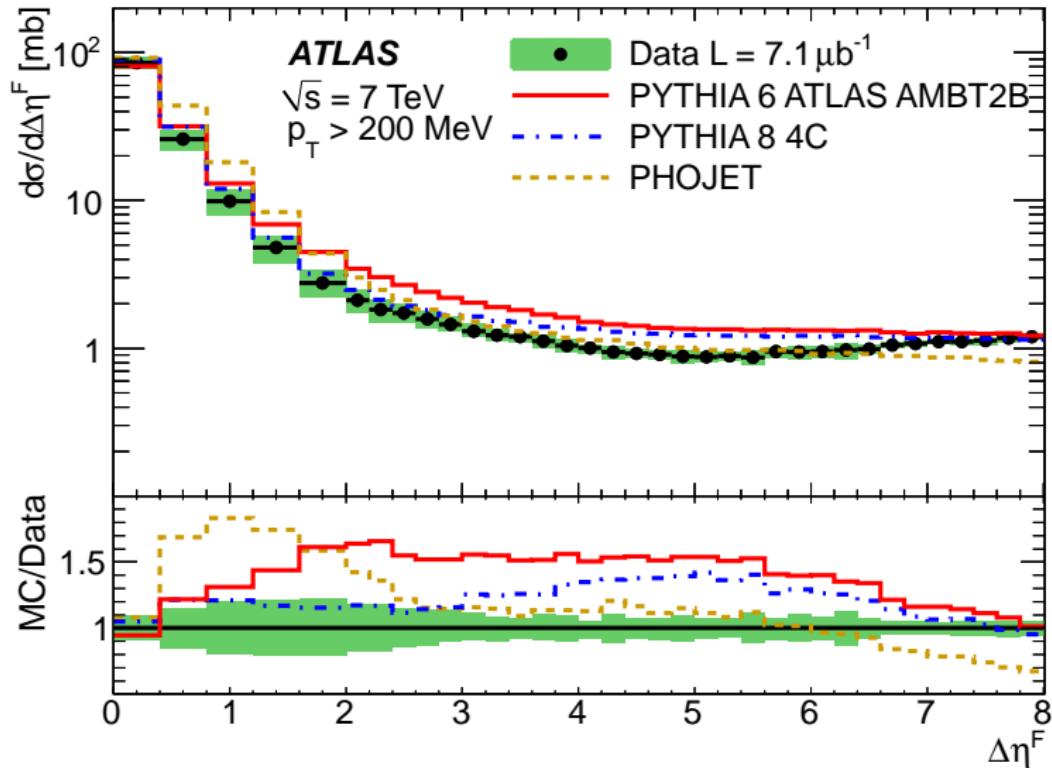
$i = c$: cut loops \Leftrightarrow double diffraction

$$\chi_i(b, s) = \frac{\sigma_i(s)}{8\pi B_i(s)} \exp\left(-\frac{b^2}{4B_i(s)}\right) \quad \text{with} \quad \int 2\chi_i(b, s) d^2b = \sigma_i(s)$$

$$\begin{aligned} \sigma(n_s, n_h, n_t, n_c, b, s) &= \frac{(2\chi_s)^{n_s}}{n_s!} \frac{(2\chi_h)^{n_h}}{n_h!} \frac{(2\chi_t)^{n_t}}{n_t!} \frac{(2\chi_c)^{n_c}}{n_c!} \\ &\times \exp\left(-2 \sum_i \chi_i(b, s)\right) \end{aligned}$$

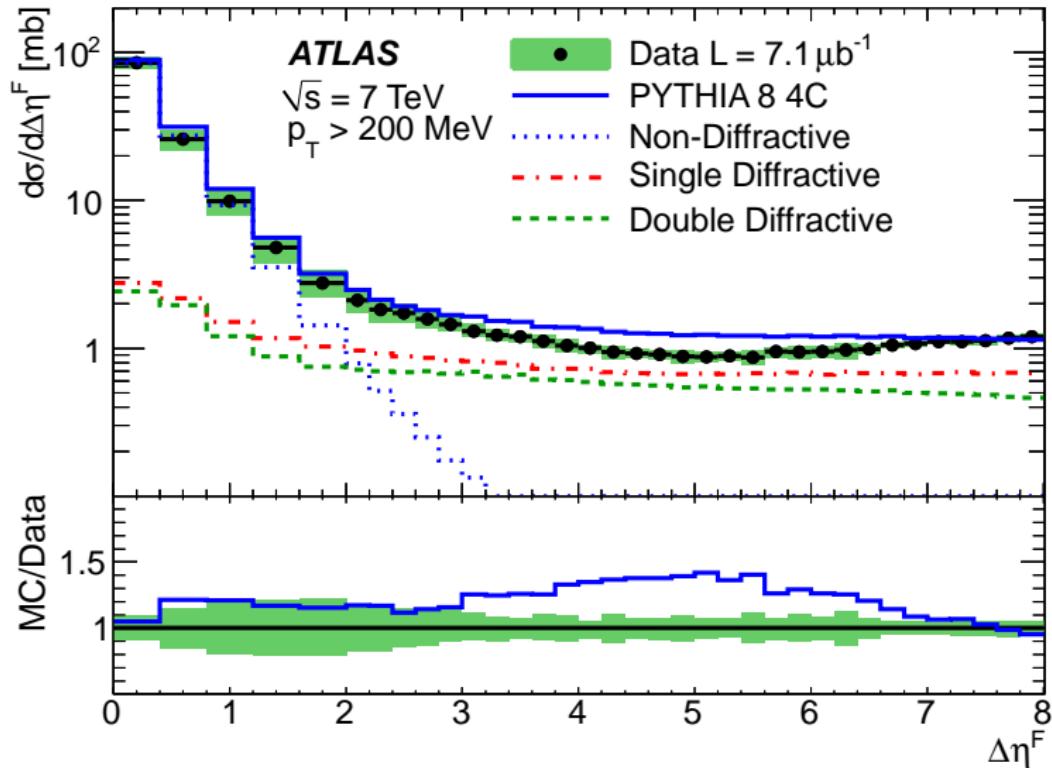
Soft+hard interactions may overlay single/double diffraction and fill up rapidity gaps. \Rightarrow Diffraction killed for central collisions but survives for peripheral ones.

Measured gap size



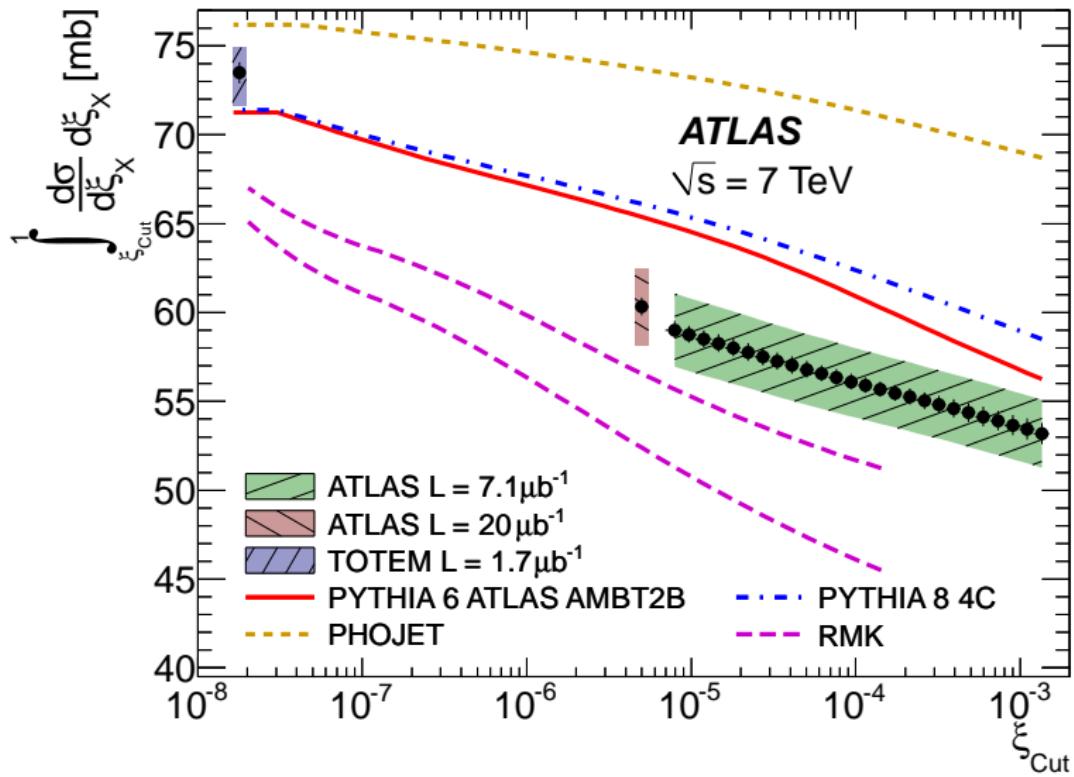
PYTHIA 8 tune 4C has reduced diffraction, but not enough.

Gaps by subprocess



Non-diffractive fine, but wrong gap spectrum for diffraction.

Diffractive mass dependence

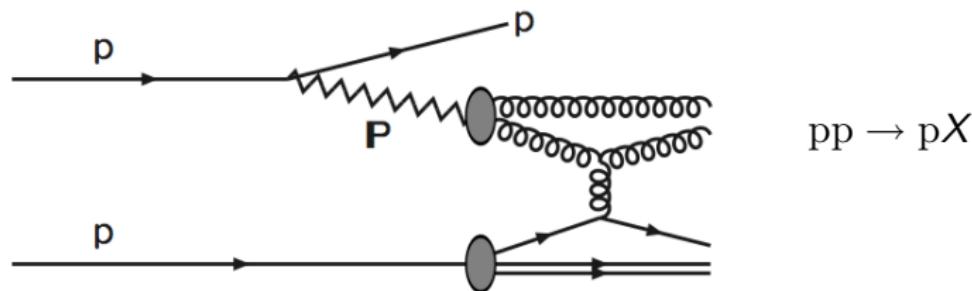


Need more low-mass enhancement than already in PYTHIA.

The Ingelman–Schlein model

Regge theory predicts/parametrizes rate of diffractive interactions, but does not tell what diffractive events look like.

Ingelman-Schlein (1984): Pomeron as hadron with partonic content
Diffractive event = (Pomeron flux) × (IPp collision)



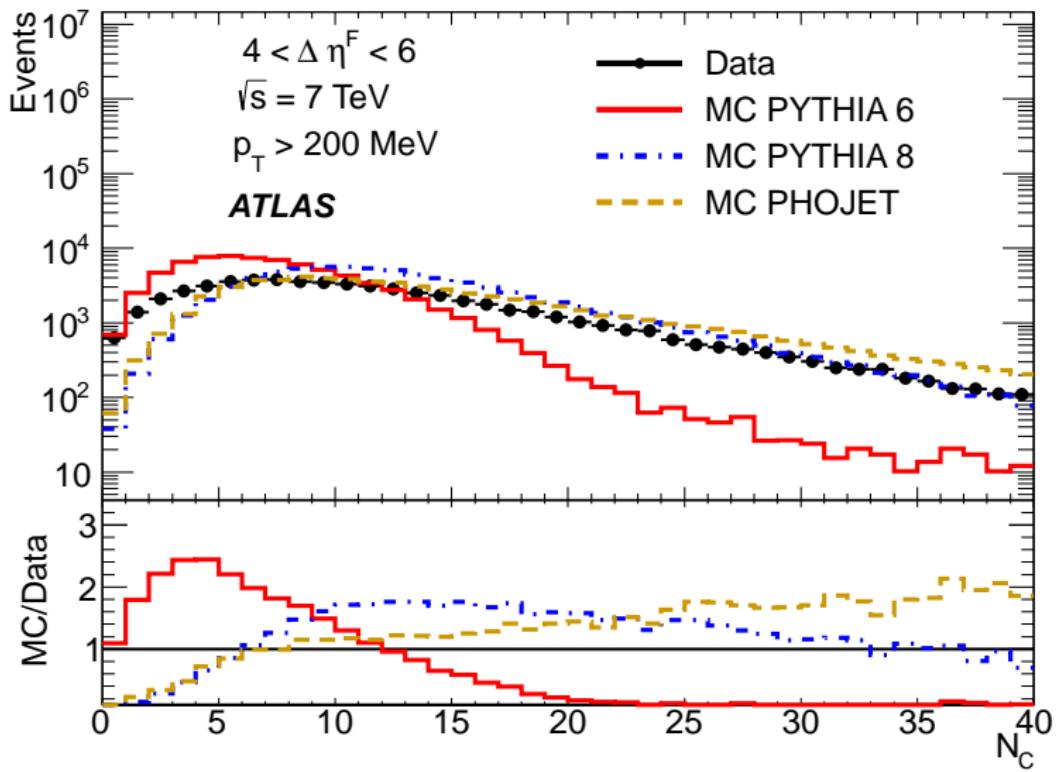
IPp subcollision just like a pp one,
with (semi)hard interaction, MPIs, ISR, FSR, beam remnants,
only with a different IP PDF.

IP PDF mainly determined from HERA data.

IP flux and IP PDF not separately determined.

IP not real particle so not normalized to unit momentum sum (!?).

Multiplicity in diffractive events



PYTHIA 6 lacks MPI, ISR, FSR in diffraction, so undershoots.

Hard diffraction

Diffractive events can contain high- p_\perp jets:

$$\sigma = \int dx_{\text{IP}} f_{\text{IP}/\text{p}}(x_{\text{IP}}, t) \int dx_i f_{i/\text{IP}}(x'_i, Q^2) dx_j \int f_{j/\text{p}}(x_j, Q^2) \int d\hat{\sigma}_{ij}(\hat{s})$$

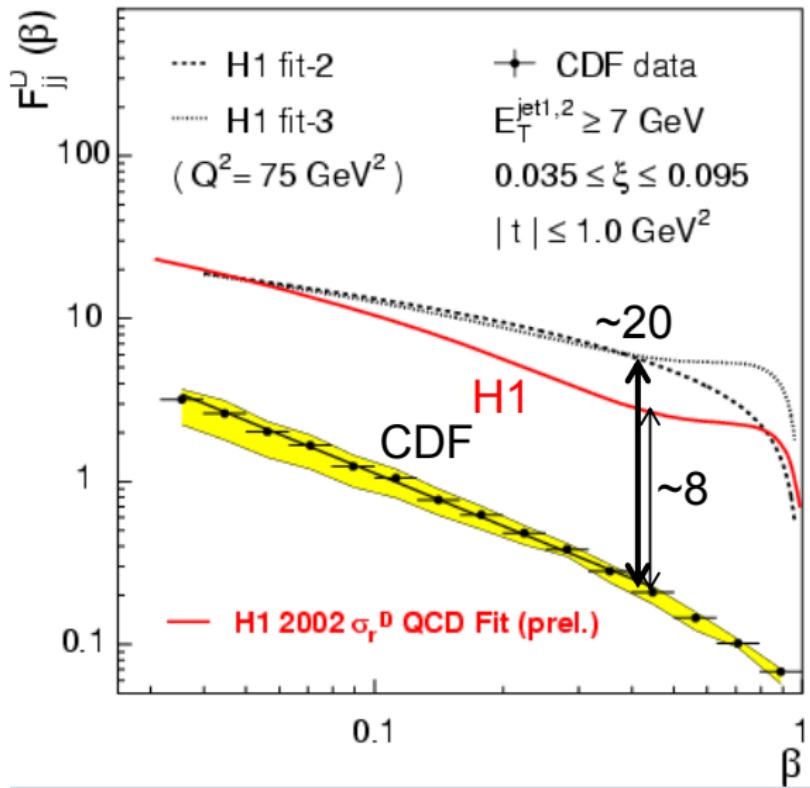
with $M_X^2 = x_{\text{IP}} s = \xi s$ and $\hat{s} = x'_i x_j M_X^2 = \beta x_j M_X^2$,
i.e. $x_i = x_{\text{IP}} x'_i = \xi \beta$.

$$f_{\text{IP}}(x_{\text{IP}}, t) \sim \frac{1}{x_{\text{IP}}} \quad \Rightarrow \quad \frac{d\sigma}{dM_X^2} \sim \frac{1}{M_X^2} \quad \Rightarrow \quad \frac{d\sigma}{dy_{\text{gap}}} \sim \text{constant}$$

Many issues, e.g.:

- ① imperfect factorization
 $f_{i/\text{p}}(x_{\text{IP}}, t, x_i, Q^2) = f_{\text{IP}/\text{p}}(x_{\text{IP}}, t) f_{i/\text{IP}}(x_i, Q^2)$
- ② poor knowledge of $f_{\text{IP}/\text{p}}(x_{\text{IP}}, t)$ and $f_{i/\text{IP}}(x_i, Q^2)$
- ③ parameters of multiple interactions framework
- ④ multipomeron topologies, ...

Non-universality



Diffractive dijet production.

HERA diffraction (flux and PDF) overpredicts Tevatron one by factor ~ 8 .

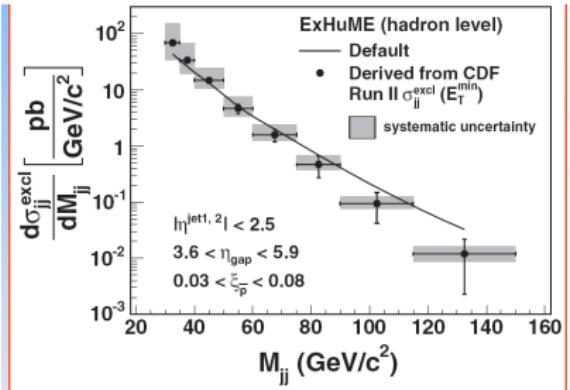
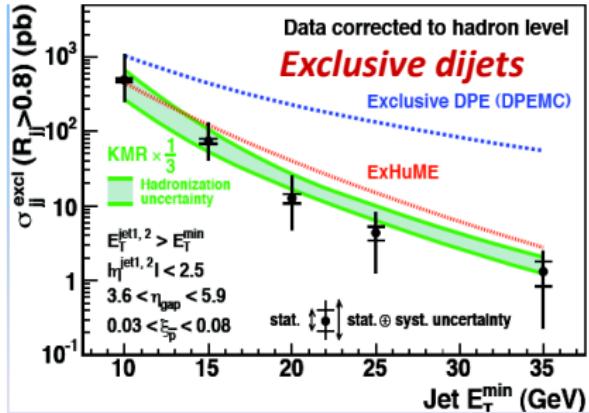
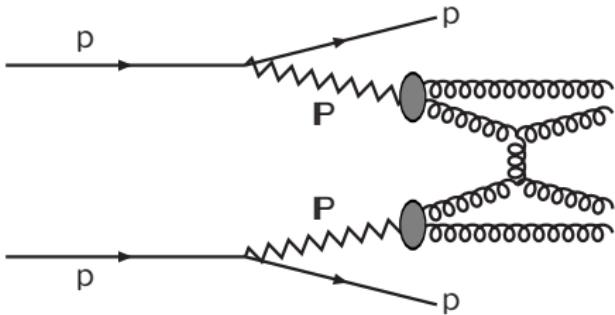
Could be explained by MPI filling up and killing gaps (MPI not present in DIS at HERA).

Central diffraction

Can apply Ingelman-Schlein also to double diffraction or central diffraction:

Extreme case is exclusive central diffraction: no Pomeron beam remnants.

Observed e.g. as exclusive χ_c or exclusive (?) jets:



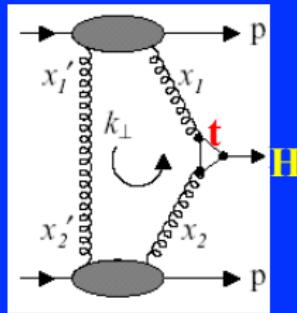
Higgs has vacuum quantum numbers, vacuum has Higgs field.
So $p p \rightarrow p + H + p$ is possible ***in principle***.

Allowed states: $I J^{PC} = 0 \text{ even}^{++}$

$J \geq 2$ strongly suppressed at small $|t|$

Process is $g g \rightarrow H$ through t-loop as usual
with another g-exchange to cancel color
and even leave p' s in ground state.

If we measure p' s (4-vectors):



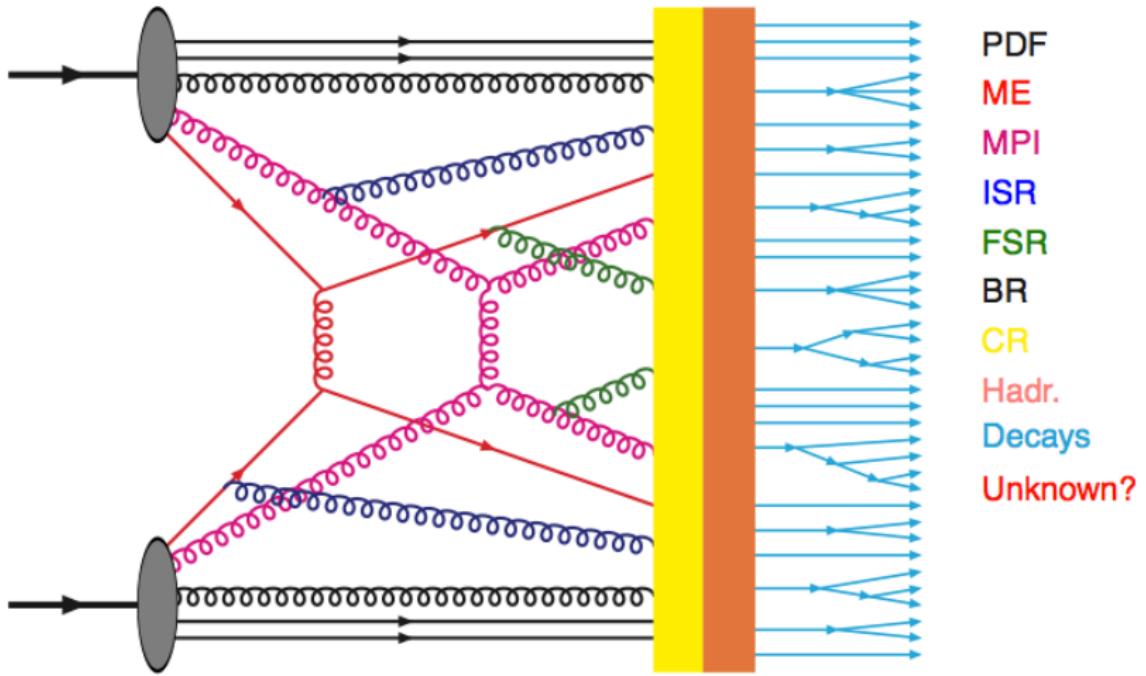
$$M_{\text{CEN}} = \sqrt{(p_1 + p_2 - p_3 - p_4)^2} \quad \longrightarrow \quad \sigma(M_H) \approx 2 \text{ GeV per event}$$

Even for $H \rightarrow W^+ W^- \rightarrow l^\pm \nu JJ$!

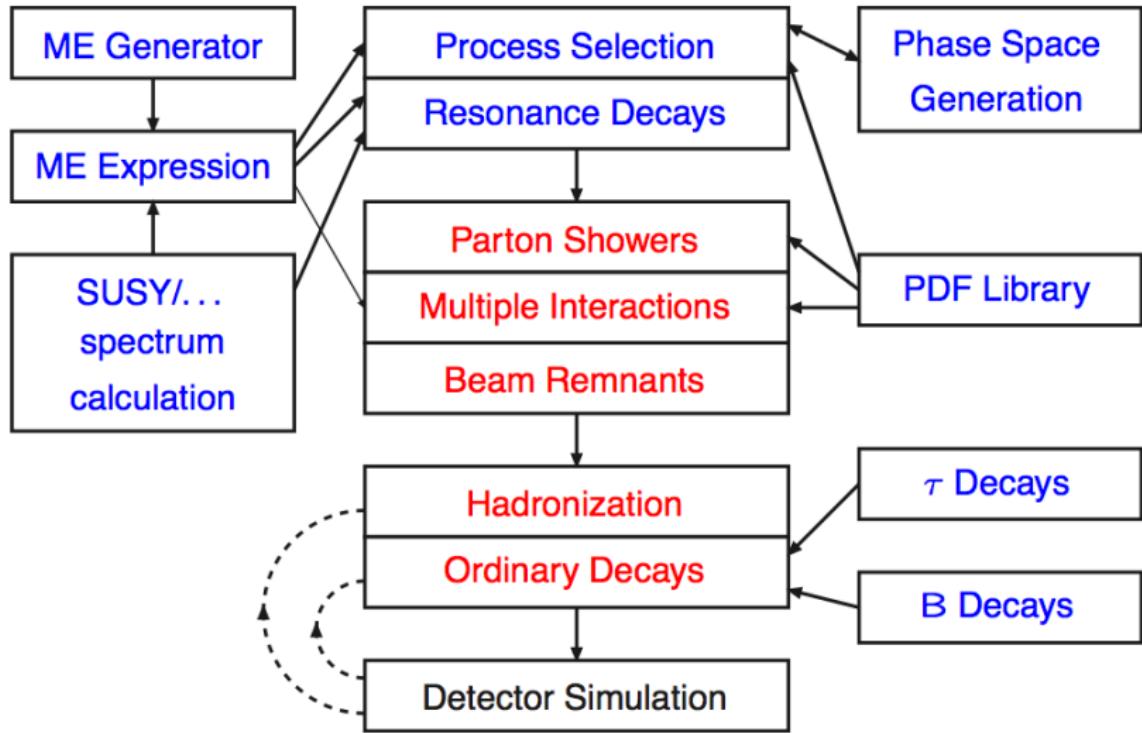
Cross section calculations a topic of some debate.

Exclusive $b\bar{b}$ production non-negligible background to $H \rightarrow b\bar{b}$.

Generator physics



Combining tasks



Need standardized interfaces: see next!

PDG Particle Codes – 1

A. Fundamental objects

1	d	11	e^-	21	g		
2	u	12	ν_e	22	γ	32	Z'^0
3	s	13	μ^-	23	Z^0	33	Z''^0
4	c	14	ν_μ	24	W^+	34	W'^+
5	b	15	τ^-	25	h^0	35	H^0
6	t	16	ν_τ			36	A^0
						37	H^+
						39	Graviton

add – sign for antiparticle, where appropriate

+ a wide range of exotica, e.g.

SUSY	1000021	\tilde{g}
Technicolor	3000113	ρ_{TC}
compositeness	4000005	b^*
extra dimensions	5100039	G^*_{graviton}

PDG Particle Codes – 2

B. Mesons

$$100|q_1| + 10|q_2| + (2s+1) \text{ with } |q_1| \geq |q_2|$$

particle if “heaviest” quark u, \bar{s} , c, \bar{b} ; else antiparticle

111	π^0	311	K^0	130	K_L^0	421	D^0
211	π^+	321	K^+	310	K_S^0	431	D_s^+
221	η^0	331	η'^0	411	D^+	443	J/ψ

C. Baryons

$$1000q_1 + 100q_2 + 10q_3 + (2s+1)$$

with $q_1 \geq q_2 \geq q_3$, or Λ -like $q_1 \geq q_3 \geq q_2$

2112	n	3122	Λ^0	2224	Δ^{++}	3214	Σ^{*0}
2212	p	3212	Σ^0	1114	Δ^-	3334	Ω^-

D. Diquarks

$$1000q_1 + 100q_2 + (2s+1) \text{ with } q_1 \geq q_2$$

1103	uu_1	2101	ud_0	2103	ud_3	2203	dd_3
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Les Houches Accord

Context: ME generator → PS/UE/hadronization

Transfer info on processes, cross sections, parton-level events, . . .

LHA: Les Houches Accord (2001)

formulated in terms of two Fortran commonblocks

- 1) overall run information for initialization
- 2) parton configuration one event at a time

LHEF: Les Houches Event File(s) (2006)

same information, but stored as a single plaintext file.

- + language-independent, cleaner separation, reuse same file
- large files if many events

LHEF3: Les Houches Event File(s) (2014)

backwards compatible, but adds further information,
notably for matching/merging: event weights, event groups, . . .

Other interfaces

- SLHA — SUSY Les Houches Accord: file with info on SUSY or other BSM model (+top, Higgs, W/Z): parameters, masses, mixing matrices, branching ratios, Output from spectrum calculator, input to event generator.
- LHAPDF: uniform interface to PDF parametrizations.
LHAPDF5 drawback: in Fortran; bloated!
LHAPDF6: in C++, standard for LHC (but some gaps)
- HepMC: output of complete generated events
(intermediate stages and final state with hundreds of particles) for subsequent detector simulation or analysis
- Binoth LHA: provide one-loop virtual corrections
- FeynRules: input of Lagrangian and output of Feynman rules, to be used by matrix element generators
- ProMC: event record in highly compressed format
- ...

★ “Trade Union” of (QCD) Event Generator developers ★



Main projects:
HERWIG
SHERPA
PYTHIA
MadGraph
Rivet

Also: PROFESSOR, ARIADNE, DIPSY, HEJ, VINCIA, DIRE, . . .
(Manchester, Durham, Glasgow, Göttingen, Karlsruhe,
Louvain, Lund, UC London
+ associated: CERN, Monash, FNAL, SLAC, Vienna, . . .).

Marie Curie training network 2007–2010, 2013–2016, 2017–2021.
EU money for graduate students, short-terms studentships,
travel, meetings, summer schools.

The workhorses: what are the differences?

HERWIG, PYTHIA and SHERPA offer convenient frameworks for LHC physics studies, but with slightly different emphasis:



PYTHIA (successor to JETSET, begun in 1978):

- originated in hadronization studies: the Lund string
- leading in development of MPI for MB/UE
- pragmatic attitude to showers & matching

HERWIG (successor to EARWIG, begun in 1984):

- originated in coherent-shower studies (angular ordering)
- cluster hadronization & underlying event pragmatic add-on
- spin correlations in decays; MatchBox match&merge



SHERPA (APACIC++/AMEGIC++, begun in 2000):

- own matrix-element calculator/generator
- extensive machinery for matching and merging
- hadronization & min-bias physics lower priority

PYTHIA & HERWIG originally in Fortran, now all C++

Other special-purpose programs – examples

- ARIADNE: dipole shower.
- DIPSY: dipole-based picture of pp, pA and AA collisions.
- HEJ: BFKL-inspired approximate multijet matrix elements.
- VINCIA: antenna shower, aims towards NLL.
- DIRE: dipole shower, aims towards NLL.
- EVTGEN: B decays, with detailed handling of interference, polarization and CP -violation effects.
- TAUOLA, τ decays, with polarization effects (correlated in pairs).
- PHOTOS: photon emission in decays of (electroweak) resonances or hadrons.
- ...

Comparison of tree-level tools

	Models	$2 \rightarrow n$	Ampl.	Integ.	public?	lang.
ALPGEN	SM	$n = 8$	rec.	Multi	yes	Fortran
AMEGIC++	SM,MSSM,ADD	$n = 6$	hel.	Multi	yes	C++
COMIX	SM	$n = 8$	rec.	Multi	yes	C++
COMPHEP	SM,MSSM	$n = 4$	trace	1Channel	yes	C
HELAC	SM	$n = 8$	rec.	Multi	yes	Fortran
MADEVENT	SM,MSSM,UED	$n = 6$	hel.	Multi	yes	Python/Fortran
WHIZARD	SM,MSSM,LH	$n = 8$	rec.	Multi	yes	O'Caml

Specific solutions

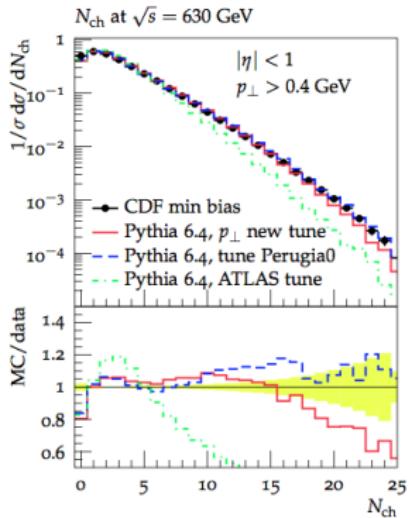
- For a long time only process-specific codes, e.g.:
 - NLOJET++ (jets only),
 - VBFNLO (VBF-type processes),
 - and MCFM (the interesting rest)
- Recently: (semi-)automated codes:
 - BLACKHAT +SHERPA
 - HELAC +CUTTOOLS
 - ROCKET
 - MADLOOP

Also: MadGraph5_aMC@NLO first truly general-purpose NLO.

Rivet

Tool for generator validation and comparisons with data:

- Analyses can be implemented in Rivet and applied to MC
- Uses HepMC \Rightarrow generator-independent, perfect for comparisons
- Many key analyses are already implemented; many more to come.
- Important for keeping your data alive:
Publish your numbers corrected to hadron level and implement your analysis in Rivet.



1. Choosing parameters

Pick the parameters you want to tune:

- Tune everything that is important.
- But remember: Each additional parameter adds one dimension to the parameter space.

Define parameter intervals:

- Make the interval large enough so that the result will not be outside.
- But remember: Cutting down 10 intervals by 10 % shrinks the volume of the parameter space by $2/3$.

2. Predict the Monte Carlo

Get a bin by bin prediction for the MC response as function of the parameter set $\vec{p} = (p_1, p_2, \dots, p_n)$.

Using a second order polynomial takes the correlations between the parameters into account:

$$\begin{aligned} X_{\text{MC}}(p_1, p_2, \dots, p_n) = \\ A_0 + \sum_{i=1}^n B_i p_i + \sum_{i=1}^n C_i p_i^2 + \sum_{i=1}^{n-1} \sum_{j=i+1}^n D_{ij} p_i p_j + \dots \end{aligned}$$

Requires $\mathcal{O}(n^2)$ points *at random* inside allowed parameter volume

3. Fit the prediction to data

Having A_0 , B_i , C_i and D_{ij} we can predict the MC response for any set of parameters very fast. This prediction can be fitted to data, minimising the χ^2 :

$$\chi^2(\vec{p}) = \sum_{\text{observables}} \sum_{\text{bins}} \left(\frac{X_{\text{data}} - X_{\text{MC}}(\vec{p})}{\sigma_{\text{data}}} \right)^2$$

Include all the relevant data distributions in the fit!

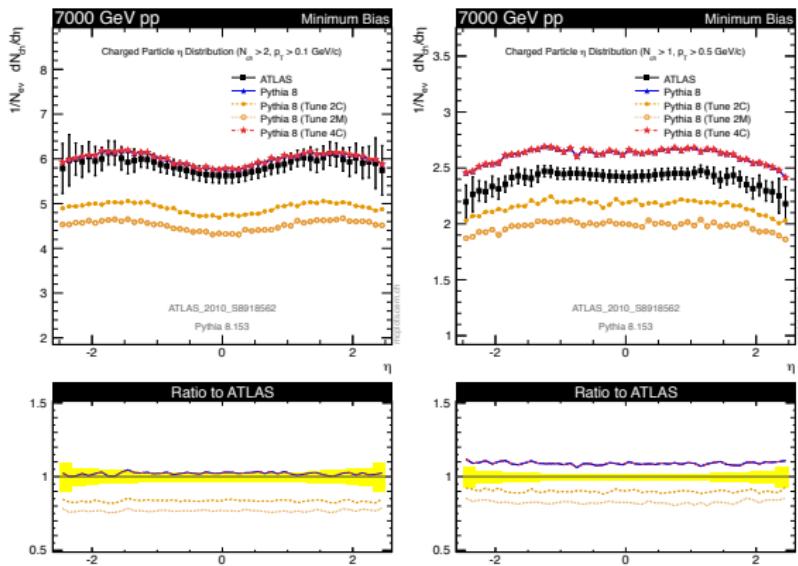
This fit only takes seconds (as compared to days or weeks for a brute force approach).

MCPLLOTS

Repository of comparisons between various tunes and data,
mainly based on RIVET for data analysis,
see <http://mcplots.cern.ch/>.

Part of the LHC@home 2.0 platform for home computer
participation.

Generator	Version
alpgenherwigjimmy	<input type="button" value=""/>
alpgenpythia6	<input type="button" value=""/>
herwig++	<input type="button" value=""/>
herwig++powheg	<input type="button" value=""/>
pythia6	<input type="button" value=""/>
pythia8	<input type="button" value=""/>
sherpa	<input type="button" value=""/>
vinclia	<input type="button" value=""/>





3 Kinds of Tuning



1. Fragmentation Tuning

Non-perturbative: hadronization modeling & parameters

Perturbative: jet radiation, jet broadening, jet structure

2. Initial-State Tuning

Non-perturbative: PDFs, primordial k_T

Perturbative: initial-state radiation, initial-final interference

3. Underlying-Event & Min-Bias Tuning

Non-perturbative: Multi-parton PDFs, Color (re)connections, collective effects, impact parameter dependence, ...

Perturbative: Multi-parton interactions, rescattering

PYTHIA 8 tuning

Currently 34 tunes available:

- 6 internal MB+UE tunes, leading up to 4C and 4Cx.
- 8 ATLAS MB or UE tunes, based on 4C or 4Cx.
- 2 CMS UE tunes based on 4C.
- 1 Monash 2013 tune.
- 14 ATLAS A14 UE tunes based on Monash 2013,
whereof 4 central with different PDF sets,
and 2×5 eigentune variations up/down.
- 1 CMS UE tune based on Monash 2013.
- 2 special-purpose tunes.

To note:

- Even ambitious tunes, like ATLAS A14, with ~ 10 parameters in Professor approach, start from “by hand” tunes.
- Split into UE and MB tunes mainly by user community;
physics conflict beyond reasonable levels not established.