

# Majorana Landau Level Spectroscopy – a proposal for observing pseudo magnetic fields in strained thin films of $\alpha$ -RuCl<sub>3</sub>

us  
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abstract

## I. INTRODUCTION

Lattice strain can induce effective magnetic fields without actually breaking time reversal symmetry. An out of plane (along the  $z$ -axis) magnetic field can be induced by strain fields of the form  $B = \beta [2\partial_x u_{xy} - \partial_y(u_{xx} - u_{yy})]$ . Here, in addition to our numerical evaluations we try to obtain a more microscopic understanding of the influence of such a pseudo magnetic field on a Majorana fermion system and how the expected Landau level degeneracy is manifest in the Raman response function.

Via minimal coupling of the vector potential we use the canonical momenta  $\mathbf{\Pi} = \mathbf{p} - \frac{e}{c}\mathbf{A}$  and work in the Landau gauge  $\mathbf{A} = B(0, x)$ . We study the low energy behaviour of our Majorana fermion systems in the flux free low temperature sector by expanding the Majorana field operators  $\hat{\Psi} = (\Psi_A(\mathbf{r})\Psi_B(\mathbf{r}))^T$  around the two Dirac points (labeled by  $\nu = \pm 1$ )

$$H_\nu \approx i \int d^2\mathbf{r} \hat{\Psi}^\dagger \begin{pmatrix} 0 & \nu p_x - i(p_y - \nu Bx) \\ -\nu p_x - i(p_y - \nu Bx) & 0 \end{pmatrix} \hat{\Psi}$$

Note that  $B$  has opposite sign at the two Dirac points leaving TRS intact, which however prevents the usual trick of combining the two Majorana cones into a single cone of complex fermions. Nevertheless, we can concentrate on only one of the cones because there is no coupling between the two.

We introduce the ladder operator  $a = \frac{l}{2\hbar} (\Pi_x - i\Pi_y)$  with  $l^2 = \frac{c\hbar}{e|B|}$ . Then we expand the field operators in terms of the standard Landau Level wave functions  $\Psi_A(\mathbf{r}) = \sum_{n,p} \Phi_{n-1,p}(\mathbf{r}) f_{Anp}$  and  $\Psi_B(\mathbf{r}) = \sum_{n,p} \Phi_{n,p}(\mathbf{r}) f_{Bnp}$  with Majorana operators  $f_{A/Bnp}$  obeying the Majorana commutation relations (but note the sign change of momenta from conjugation  $\Psi_B^\dagger(\mathbf{r}) = \sum_{n,p} \Phi_{n,p}^*(\mathbf{r}) f_{Bn-p}$ ). Using the ladder operator properties  $a\Phi_n = \sqrt{n}\Phi_{n-1}$  and  $a^\dagger\Phi_n = \sqrt{n+1}\Phi_{n+1}$  we obtain the Hamiltonian

$$H_+ \approx (f_{An-p} \ f_{Bn-p}) \begin{pmatrix} 0 & i\frac{2\hbar}{l}\sqrt{n} \\ -i\frac{2\hbar}{l}\sqrt{n} & 0 \end{pmatrix} \begin{pmatrix} f_{Anp} \\ f_{Bnp} \end{pmatrix} \quad (1)$$

It is diagonalized by introducing the complex fermions  $a_{np}$  with  $f_{Bnp} = a_{np} + a_{n-p}^\dagger$  and  $f_{Anp} = i(a_{np} - a_{n-p}^\dagger)$  such that

$$H_+ \approx \sum_{np} E(n) \left[ a_{np}^\dagger a_{np} - \frac{1}{2} \right] \quad (2)$$

with the energies  $E(n) = 4\frac{\sqrt{2\hbar}}{l}\sqrt{n}$  obeying the well known  $\propto \sqrt{n}$  scaling of Dirac fermions.

Next, we look at the low energy behaviour of the Raman response  $I(\omega) = \int_{-\infty}^{\infty} dt e^{i\omega t} \langle R(t)R(0) \rangle$ . The main difference between the non-resonant and resonant Raman vertices is that the former couples both sublattices whereas the latter does not

$$\begin{aligned} R_{\text{non-res}} &\propto i \int d^2\mathbf{r} \Psi_A^\dagger(\mathbf{r})(t) \Psi_B(\mathbf{r}) \\ &\propto \sum_{np} [a_{n-p}(t) - a_{np}^\dagger(t)] [a_{n-1p} + a_{n-1-p}^\dagger] \\ R_{\text{res}} &\propto i \int d^2\mathbf{r} [\Psi_A^\dagger(\mathbf{r})(t) \Psi_B(\mathbf{r} + \delta) - \Psi_A^\dagger(\mathbf{r})(t) \Psi_B(\mathbf{r} - \delta)] \\ &\propto \sum_{np} \sin(p\delta) [a_{n-p}(t) - a_{np}^\dagger(t)] [a_{np} + a_{n-p}^\dagger]. \end{aligned} \quad (3)$$

The form of both vertices is very similar but only the non-resonant vertex mixes states which differ by one LL index. From the time dependence  $a_{np}(t) = a_{np}e^{-itE(n)}$  and  $a_{np}|0\rangle = 0$  we can directly calculate the low energy Raman responses

$$\begin{aligned} I_{\text{non-res}} &\propto \sum_{np} \delta[\omega - E(n) - E(n+1)] \\ I_{\text{res}} &\propto \sum_{np} \delta[\omega - 2E(n)]. \end{aligned} \quad (4)$$

In agreement with the numerical observation we find different scaling of the resonant and non-resonant intensities which originates from the sub-lattice selectivity of the vertices and the special structure of LL wave functions in Dirac systems which mix adjacent LL indices.

## II. FINITE TEMPERATURE FORMALISM

By the simple form of the canonical ensemble we can write

$$I(\omega) \propto \sum_{\text{flux sectors } M} e^{-\beta E_0^M} I^M(\omega) \quad (5)$$

where  $E_0^M$  is the energy of the lowest-energy state in the flux-configuration  $M$ . Within each flux sector the Hamiltonian can be written in the Kitaev fermionization as

$$\mathcal{H} = \frac{1}{2} \sum_{\langle rr' \rangle} J^\alpha u_{\langle rr' \rangle}^\alpha i c_r c_{r'} \equiv \frac{1}{2} \sum_{r,r'} H_{rr'} c_r c_{r'}, \quad (6)$$

where  $u_{\langle rr' \rangle}^\alpha = i b_r^\alpha b_{r'}^\alpha = \pm 1$ . In terms of these Majoranas  $H$  has a chiral symmetry  $S$  that flips sign of  $c_r$  on one of

two sublattices (so that  $\{S, H\} = 0$ ). In the basis where  $S$  is diagonal  $H$  takes the block-off-diagonal form

$$H = i \begin{pmatrix} 0 & G \\ -G^\dagger & 0 \end{pmatrix}. \quad (7)$$

In this case the diagonalization of  $H$  can be obtained from the singular value decomposition of  $G$ . Given unitary  $u$  and  $v$  such that  $u^\dagger G v = \epsilon/4$ , then

$$U = \frac{1}{\sqrt{2}} \begin{pmatrix} u & u \\ -iv & iv \end{pmatrix}. \quad (8)$$

Now

$$U^\dagger H U = \Omega = \begin{bmatrix} \text{diag}(\vec{\epsilon}) & 0 \\ 0 & -\text{diag}(\vec{\epsilon}) \end{bmatrix}, \quad (9)$$

with  $\epsilon^\mu \geq 0$ . We can define operators  $a_\lambda = U_{\lambda\lambda'}^\dagger c_\lambda$  for  $\lambda = 1, \dots, n/2$  to get the set of  $n/2$  fermionic quasiparticles  $\{a_\lambda^\dagger, a_{\lambda'}\} = \delta_{\lambda,\lambda'}$  so the Hamiltonian becomes

$$\mathcal{H} = \frac{1}{2} \sum_\lambda \epsilon^\mu [2a_\lambda^\dagger a_\lambda - 1]. \quad (10)$$

Therefore the excitation created by  $(a^\dagger)^\mu$  has energy  $\epsilon^\mu$ .

We can view the quasiparticles formed by  $u$  and  $v$  as  $n$  Majorana fermions, while the formation of  $U$  from  $u$  and  $v$  corresponds to the usual Dirac-fermionization of a pair of Majoranas.

Following 1602.05277 the Raman operator for the Kitaev model is given by

$$\mathcal{R} = \sum_{\alpha=x,y,z} \sum_{\langle ij \rangle_\alpha} (\epsilon_{\text{in}} \cdot \mathbf{d}^\alpha) (\epsilon_{\text{out}} \cdot \mathbf{d}^\alpha) J^\alpha S^\alpha S^\alpha \quad (11)$$

$$= \sum_{\langle rr' \rangle} (\epsilon_{\text{in}} \cdot \mathbf{d}^\alpha) (\epsilon_{\text{out}} \cdot \mathbf{d}^\alpha) H_{rr'} c_r c_{r'} \quad (12)$$

$$= \frac{1}{2} \begin{pmatrix} \mathbf{c}_A \\ \mathbf{c}_B \end{pmatrix}^T i \begin{pmatrix} A & B \\ -B^\dagger & A' \end{pmatrix} \begin{pmatrix} \mathbf{c}_A \\ \mathbf{c}_B \end{pmatrix} \quad (13)$$

$$= \frac{1}{2} \begin{pmatrix} \mathbf{a} \\ (\mathbf{a}^\dagger)^T \end{pmatrix}^\dagger \begin{pmatrix} C & D \\ D^\dagger & -C \end{pmatrix} \begin{pmatrix} \mathbf{a}_{\lambda'} \\ (\mathbf{a}_{\lambda'}^\dagger)^T \end{pmatrix}. \quad (14)$$

Here  $C = u^\dagger B v + v^\dagger B^\dagger u$  and  $D = -u^\dagger B v + v^\dagger B^\dagger u$  for a Raman operator that is symmetric w.r.t. swapping in and out polarizations and  $C = u^\dagger A u + v^\dagger A' v$  and  $D = u^\dagger A u - v^\dagger A' v$  for an antisymmetric channel. Then finally,

$$I^M(\omega) \propto \sum_{\lambda\lambda'} [2|C_{\lambda\lambda'}|^2 f(\epsilon_\lambda) [1 - f(\epsilon_{\lambda'})] \delta(\omega + \epsilon_\lambda - \epsilon_{\lambda'}) + |D_{\lambda\lambda'}|^2 [1 - f(\epsilon_\lambda)] [1 - f(\epsilon_{\lambda'})] \delta(\omega - \epsilon_\lambda - \epsilon_{\lambda'})], \quad (15)$$

where  $B$  and therefore  $C$  and  $D$  depend on the gauge chosen for each flux configuration  $M$ .

We evaluate the sum (5) using a classical Monte-Carlo approach following the VEGAS algorithm where

we sample the entire two-particle spinon spectrum of pseudorandomly-chosen flux configurations until the expected error in the total  $I(\omega)$  is sufficiently low. This is made slightly faster by treating the summand as a different random function for each flux sector of  $p$  total fluxes, independent of their placement.

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