# SOLSA HIMIP (Hyperspectral Image Manipulation, Interpretation and Processing) Software

## User Manual (Version 1.0)

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#### 1. Introduction

HIMIP (Hyperspectral Image Manipulation, Interpretation and Processing) is the software that has been designed for manipulating, interpreting and processing hyperspectral images for mineralogical analysis of drill cores. The main functionalities of HIMIP include: reflectance computation, hull correction computation, spectra manipulation, spectral library management, hyperspectral image registration and sparse hyperspectral unmixing associated with superpixel algorithms.

HIMIP implements a graphic user interface (GUI) to facilitate its use as presented in Figure 1.

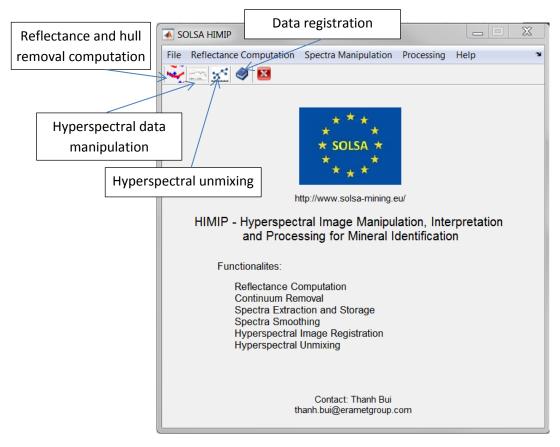


Figure 1. The main GUI of Solsa HIMIP

The GUI of HIMIP allows accessing to the following interfaces:

- Reflectance and hull removal computation
- Hyperspectral data manipulation
- Hyperspectral unmixing for mineral identification
- Data registration

The following sections briefly present the way to use those interfaces.

#### 2. Reflectance and hull removal computation

The acquired data consist of sample data, white and dark references. The reflectance needs to be computed from those data to obtain exploitable data using the 'Reflectance and Hull Removal Computation' interface as Figure 3.

For reflectance computation, users have two modes: automatic selection and manual selection. In the automatic selection, users just need to select the path containing acquired data, and then specify the reflectance file name. The acquired data consist of sample, white and dark references. The reflectance file name should be similar to sample file name with an addition of \_refl. For example, if the sample file name is bauxite.raw, the white and dark reference file names must be WHITEREF\_bauxite.raw and DARKREF\_bauxite.raw, and the reflectance file name should be bauxite\_refl.raw. Finally, the computation will proceed by clicking "Execute" button. Users can see the status of the computation process by looking the bottom of the interface: if there is no computation in progress it shows "Free", otherwise "Busy". Figure 2 shows a typical data filename structure within a data folder, note that the \* reflCR.raw file contains hull removal data that will be computed using the guidance later.

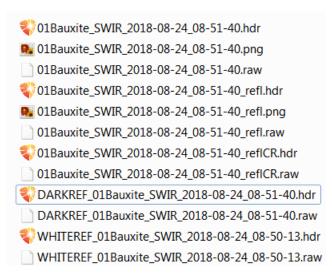


Figure 2. A typical data filename structure in the data folder

In the manual selection, users need to select appropriate sample, white and dark reference file names as well as specifying the reflectance file name. Similarly, the reflectance file name should be similar **sample file name with an addition of \_refl.** 

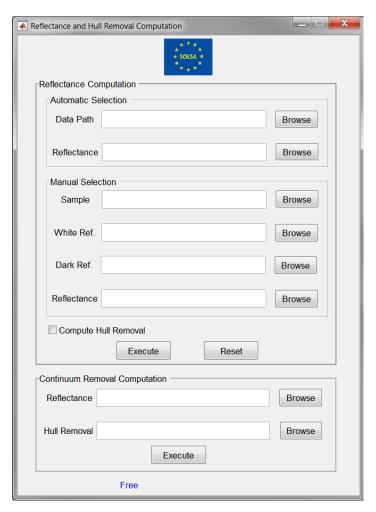


Figure 3. Interface for computing reflectance and hull correction

The users can check the checkbox named "Compute Hull Removal" to compute also the hull removal for the whole hyperspectral image. During hull removal computation, users can see a window showing the computation progress as in Figure 4. Note that, hull removal computation takes time, especially for large hyperspectral images, therefore, if only reflectance data is needed, don't check this checkbox.

The 'Reset' button allows clearing all selected paths or file names to start a new selection.

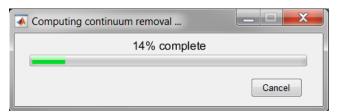


Figure 4. The window showing progress of hull removal computation, user can click cancel to discard the computation.

In case the reflectance data exist, users can compute corresponding hull removal data using the "Continuum Removal Computation" section at the bottom part of the interface in Figure 3.

### 3. Spectral manipulation

The interface for manipulating spectra is shown in Figure 5

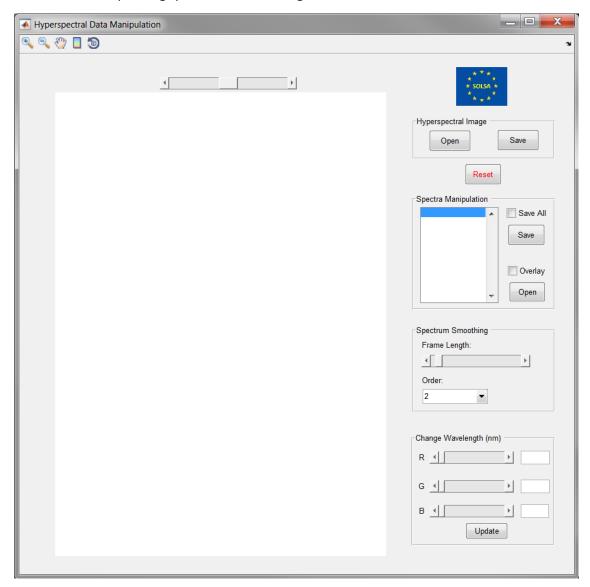


Figure 5. The interface for manipulating the hyperspectral data

#### 3.1. Open data, change spectral bands and adjust the intensities

In the "Hyperspectral Image" section of the interface, users can open hyperspectral image reflectance by clicking "Open" button. Click "Save" to save the false color image that is shown. The false color image is the concatenation of the data from three spectral bands (wavelengths). Therefore, in the "Change Wavelength (nm)" section, users can change the wavelengths of three bands (R, G, B) to obtain expected image. Furthermore, the slider on top of the image allows adjusting the intensity of the image.

#### 3.2. Spectral manipulation

Users can click on a location of the image to display reflectance spectrum at this location. The legend of the reflectance showing its position in the hyperspectral image is shown in the list box in the "Spectral Manipulation" section. The list will be appended if the users click several times on different locations of the hyperspectral image. Users can select spectra in the list box and save them by clicking "Save" button. In addition, if the "Save All" checkbox is ticked, all spectra in the list box will be saved when "Save" button is clicked. The "Open" button enables opening existing spectra that could come from spectral library. The opening spectrum will be displayed on the current figure or new figure by checking or unchecked the "Overlay" checkbox.

On the figure showing the spectrum, the wavelength position and intensity of each peak can be obtained by dragging the mouse to the peak.

If the reflectance is too noisy, users can filter the noise and smooth the spectrum using Savitzky-Golay filter by selecting appropriate frame lengths and order in the "Spectrum Smoothing" section.

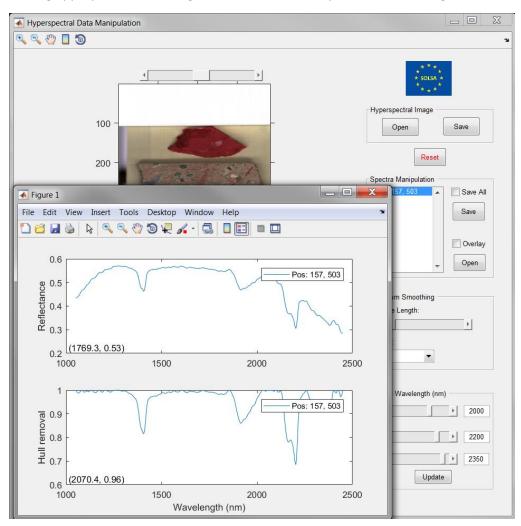


Figure 6. The window shows the reflectance, its hull correction and associated wavelength and intensity when pointing to each peak.

#### 4. Hyperspectral unmixing

For automatic mineral identification, HIMIP implements three sparse unmixing techniques including full constrained least squares (FCLS), sparse unmixing by variable splitting and augmented Lagrangian (SUnSAL), and collaborative sparse unmixing by variable splitting (CLSUnSAL) [1], [2] with the incorporation of the under extension spectral library [3], [4]. The interface for doing unmixing is shown in Figure 7. The novelty of the software lies in its incorporation of spatial information using superpixel algorithms into sparse unmixing techniques, which significantly improves its accuracy and efficiency. In addition to applying unmixing computation on reflectance data, HIMIP implements the unmixing computation on two other kinds of data including logarithm of reflectance and continuum removal. The software also provides users necessary tools to explore the unmixing results.

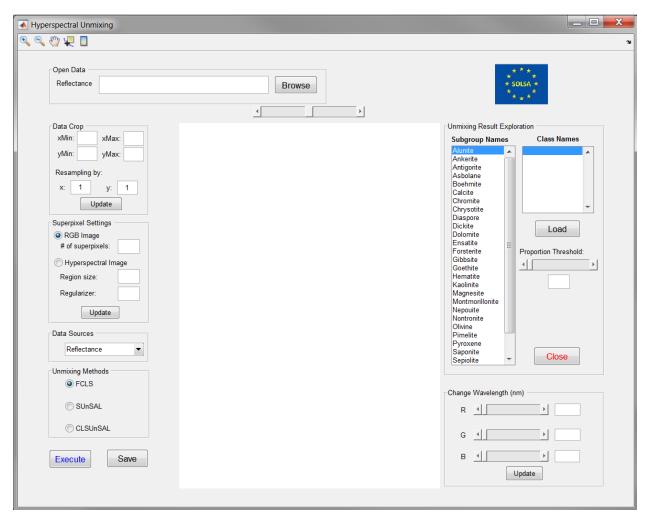


Figure 7. The interface for hyperspectral unmixing

The unmixing process consists of following steps:

**Step 1:** Click "Browse" button and select appropriate reflectance data file to open a hyperspectral image as Figure 8. Note that the x and y axes of the image are displayed in pixels, facilitating applying data cropping step afterward.

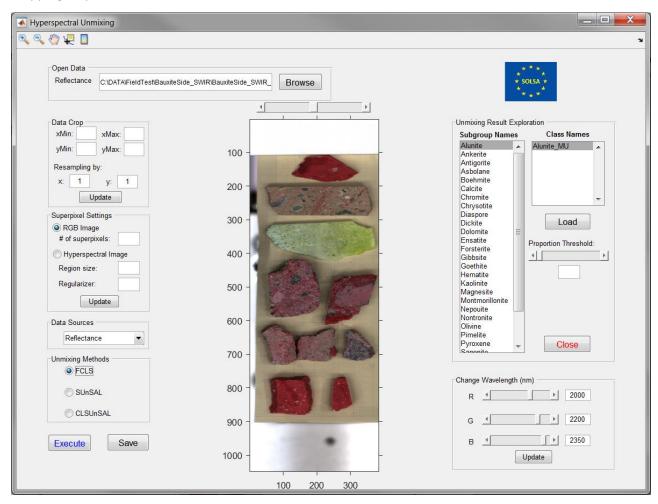
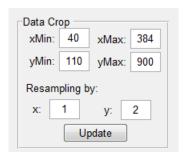


Figure 8. The interface with the display of a hyperspectral image

**Step 2:** To speed up the computation process, it is necessary to limit the unmixing process to the samples (not the holding plate) and apply down sampling the data. The "Data Crop" section makes this possible. Fill in the information as Figure 9 and then click "Update" to apply the settings. An image will be displayed to enable users to check whether the settings are correct or not. If not, change the filled information and click "Update" again.



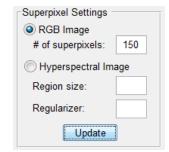


Figure 9. Apply data cropping and resampling (left) as well as superpixel detection.

**Step 3:** In the "Superpixel Settings" section, select "RGB Image", and fill the number of superpixels is 150 as Figure 9, then click "Update" to apply the superpixel computation.

**Step 4:** Select data sources that will be applied unmixing process, three kinds of data sources exist: reflectance, log of reflectance and continuum removal data. Let select reflectance.

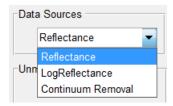


Figure 10. Data source selection

**Step 5:** Select one of the three existing unmixing methods: FCLS, SUnSAL, CLSUnSAL. Let select CLSUnSAL and then click "Execute" button to apply unmixing computation. A window indicates the progress of the unmixing process will be displayed as Figure 11.

**Step 6:** After the unmixing process finished, users can save the unmixing results for later use by clicking the "Save" button next to the "Execute" button.

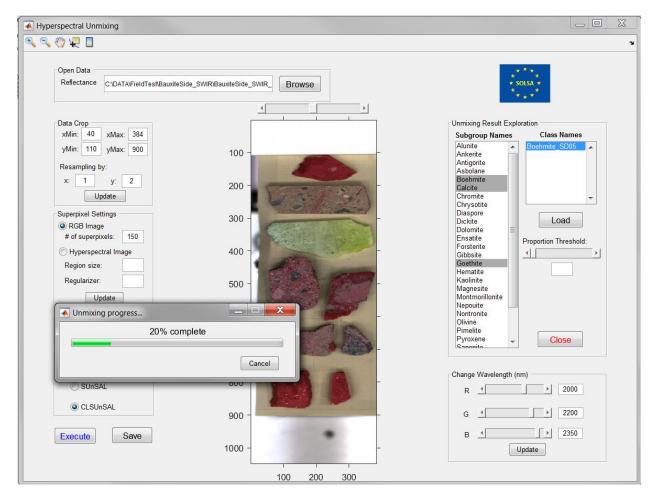


Figure 11. Unmixing process is ongoing, currently 20% complete.

**Step 7:** The "Unmixing Result Exploration" section allows exploring the unmixing results by displaying the proportion of each minerals present in the samples. Users can select appropriate minerals that they want to examine in the samples in the "Subgroup Names" list box. Note that in the spectral library, each mineral in the subgroup may contain more than one spectrum extracted from different reference samples; the "Class Names" list box displays the names of the spectra constituting a Subgroup Name. Users can select the spectra names in the "Class Names" list box to display their proportion.

By holding Control key, users can select several minerals from the list box as Figure 12.

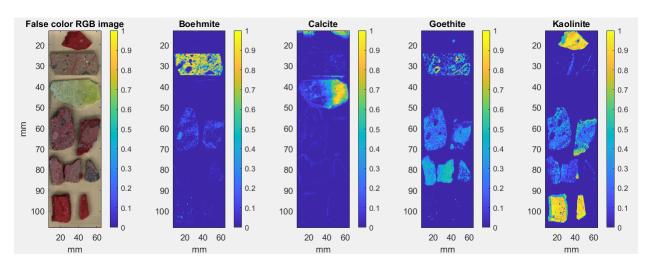


Figure 12. Proportions of boehmite, calcite, goethite and kaolinite next to the false color image of the samples. Note that as indicated by the color bar, the more yellow, the higher the proportion.

**Step 8:** Users can explore the hyperspectral unmixing results that were saved by clicking "Load" button in the "Unmixing Result Exploration" and selecting appropriate saved unmixing result.

### 5. Data registration

As our analytical system consists of several sensors with different angles of view and resolutions, HIMIP implements a registration technique based on control points with different geometric transformations for registering VNIR and SWIR hyperspectral data. The software enables using existing control points or selecting new control points. Furthermore, we have been developing other registration method based geometry-optic models to register all different kinds of data in our system. The integration of this method and the fusion of registered data are ongoing.



Figure 13. The interface for doing data registration. The moving (unregistered) data are registered to the fixed data. Note that the angle of view of the camera to obtain the fixed data is narrower than that of the moving data.

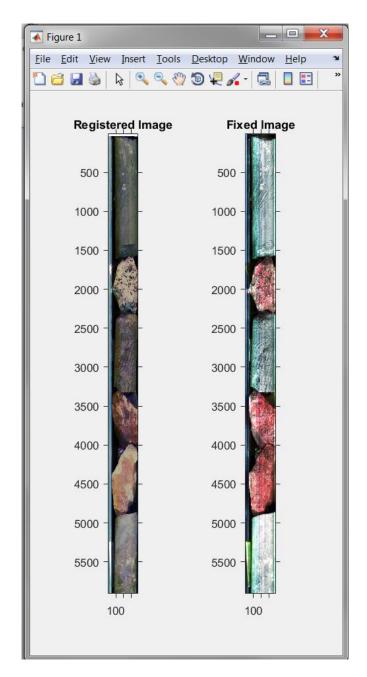


Figure 14. Display the registered data and the fixed data.

#### **References**

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- [3] T. Bui *et al.*, "Building a Hyperspectral Library and its Incorporation into Sparse Unmixing for Mineral Identification," in *IGARSS 2018-2018 IEEE International Geoscience and Remote Sensing Symposium*, 2018, pp. 4261–4264.
- [4] T. Bui et al., "Mineral identification using a new hyperspectral library and sparse unmixing techniques," in EGU General Assembly Conference Abstracts, 2018, vol. 20, p. 18279.