



M2177.003100

Deep Learning

[5: Convolutional Neural Nets (Part 2)]

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(last compiled at 12:23:00 on 2018/10/03)

Outline

Background

CNN Architectures

- AlexNet

- VGG

- GoogLeNet

- ResNet

- Recent Architectures

Summary

References

- *Deep Learning* by Goodfellow, Bengio and Courville [▶ Link](#)
 - ▶ Chapter 9
- online resources:
 - ▶ *Deep Learning Specialization (coursera)* [▶ Link](#)
 - ▶ *Stanford CS231n: CNN for Visual Recognition* [▶ Link](#)
 - ▶ *Machine Learning Yearning* [▶ Link](#)

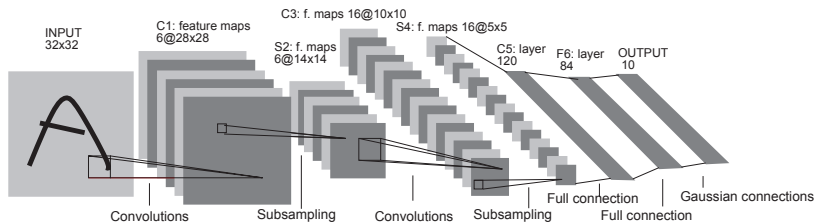
Outline

Background

Summary

CNN Architectures

Classic: LeNet-5



(source: LeCun, 1998)

- CONV-POOL-CONV-POOL-FC-FC

- ▶ 5×5 conv filters (stride 1)
- ▶ 2×2 pooling layers (stride 2)

1×1 convolution on volumes

6	2	1	1
9	4	5	6
2	7	5	3
8	2	3	5

4×4

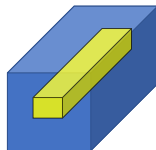
*

2

=

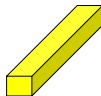
12	4	2	2
18	8	10	12
4	14	10	6
16	4	6	10

4×4



$4 \times 4 \times 64$

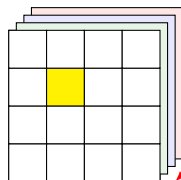
*



$1 \times 1 \times 64$

C filters

=



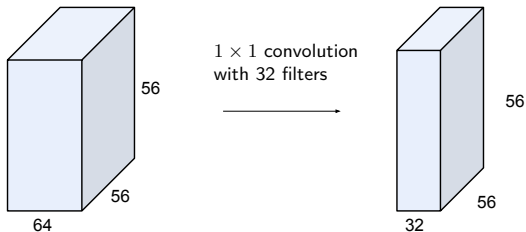
$4 \times 4 \times C$

depth C

(source: coursera)

- nonlinearity (e.g. ReLU) can follow 1×1 convolution \rightarrow “network in network”

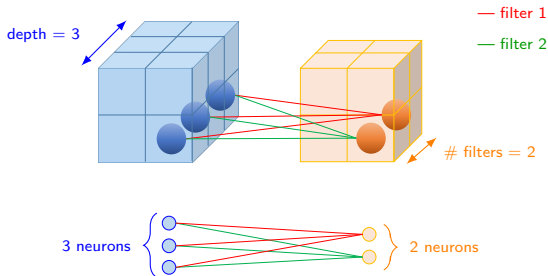
- 1×1 convolution: widely used for depth adjustment
- example:



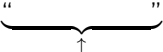
(source: cs231n)

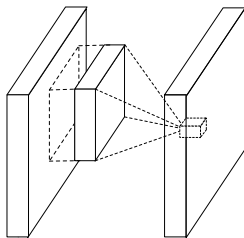
- ▶ each filter: size $1 \times 1 \times 64$ (performs a 64-dim dot product)
 - ▶ preserves spatial dimensions and reduces depth
 - ▶ projects depth to ____ dimension (combination of feature maps)
- in general
 - ▶ we can reduce/maintain/increase depth using 1×1 convolution

- a set of 1×1 conv filters: can be interpreted as forming an _____
 - ▶ **input dimension** of this FC layer
= _____ of the input volume to 1×1 conv filters
 - ▶ **output dimension** of this FC layer
= _____ of 1×1 conv filters
- example: $2 \times 2 \times 3$ volume applied to two $1 \times 1 \times 3$ filters
 - ▶ $2 \times 2 = 4$ instances of an FC layer, which maps 3 neurons to 2 neurons

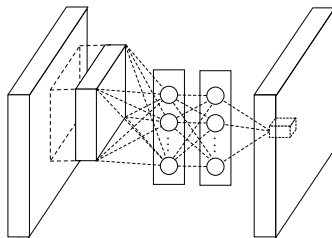


Network in network

- Mlpconv layer with “” within each conv layer
composed of FC layer (with 1×1 conv) + nonlinearity
- ▶ can compute more **abstract features** for local patches
- ▶ precursor to GoogLeNet and ResNet “bottleneck” layers



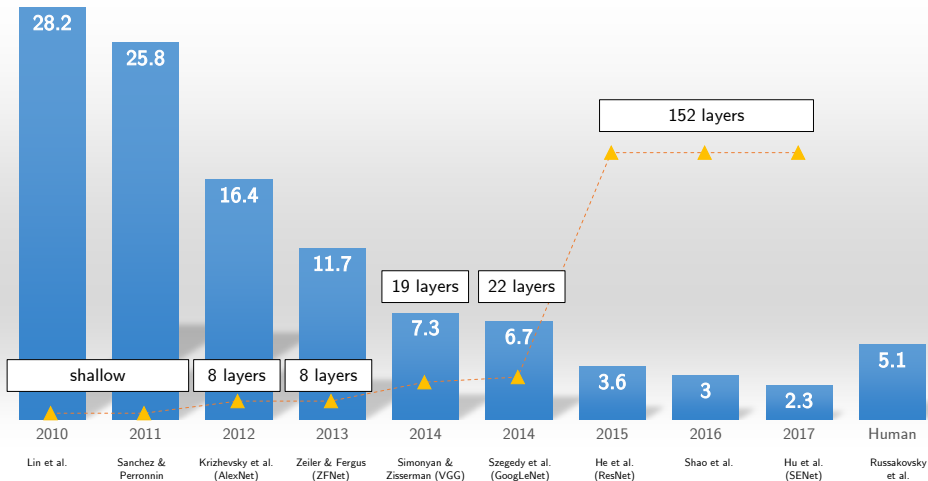
(a) linear convolution layer



(b) Mlpconv layer

(source: Lin et al., 2014)

ImageNet challenge winners



Outline

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CNN Architectures

AlexNet

VGG

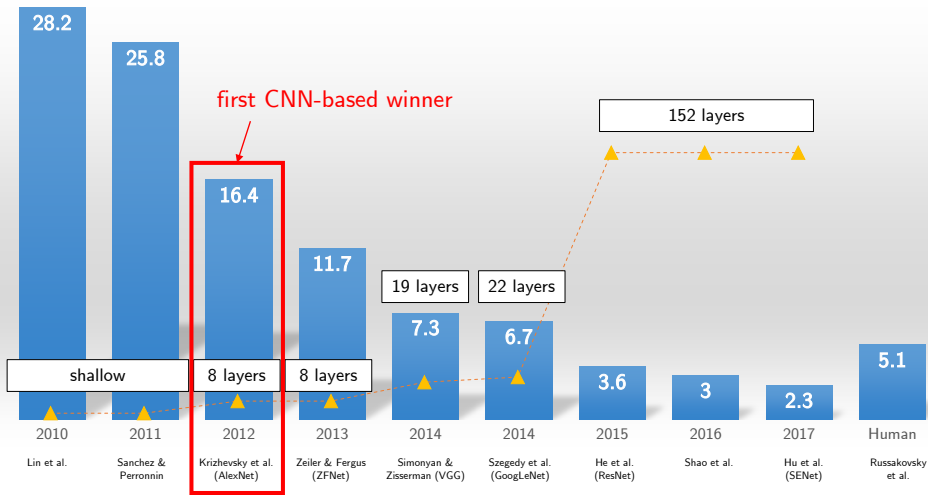
GoogLeNet

ResNet

Recent Architectures

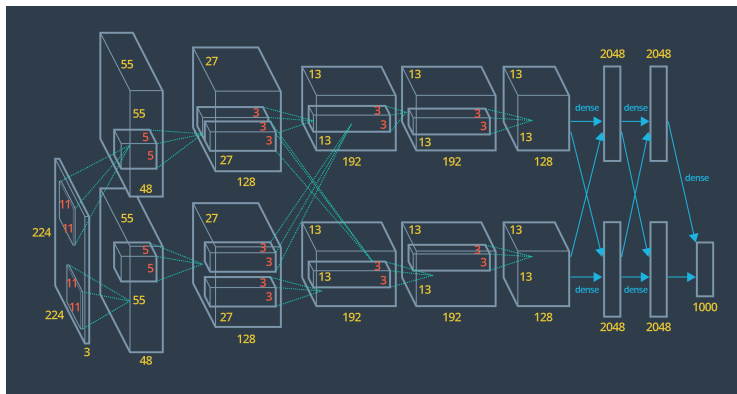
Summary

ImageNet challenge winners



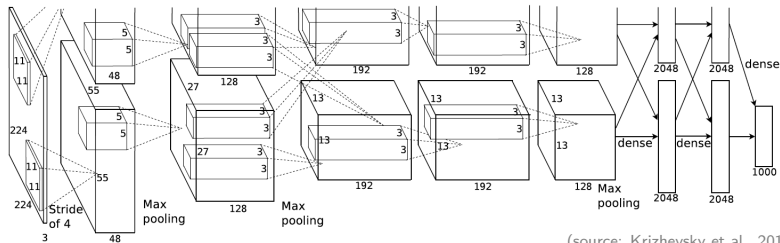
AlexNet

- Alex Krizhevsky, Ilya Sutskever, Geoffrey Hinton (2012)
 - ▶ ILSVRC 2012 winner



(source: yuchao.us)

Architecture



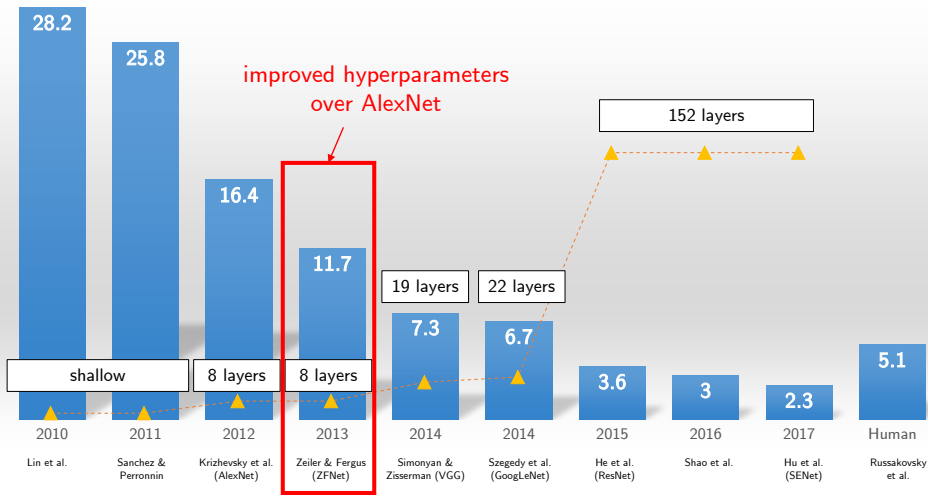
227x227x3	INPUT	
55x55x96	CONV1	96 11x11 filters at stride 4, pad 0
27x27x96	MAX POOL1	3x3 filters at stride 2
27x27x96	NORM1	normalization layer
27x27x256	CONV2	256 5x5 filters at stride 1, pad 2
13x13x256	MAX POOL2	3x3 filters at stride 2
13x13x256	NORM2	normalization layer
13x13x384	CONV3	384 3x3 filters at stride 1, pad 1
13x13x384	CONV4	384 3x3 filters at stride 1, pad 1
13x13x256	CONV5	256 3x3 filters at stride 1, pad 1
6x6x256	MAX POOL3	3x3 filters at stride 2
4096	FC6	4096 neurons
4096	FC7	4096 neurons
1000	FC8	1000 neurons (class scores)

• total number of parameters

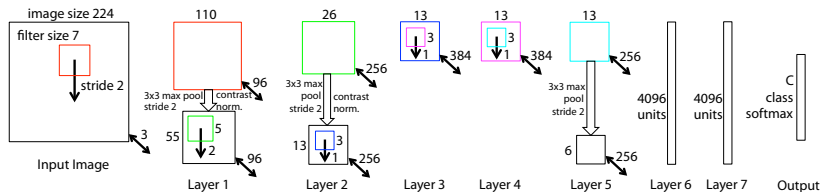
► 60M

- details:
 - ▶ first use of ReLU
 - ▶ used normalization (NORM) layers (not common anymore)
 - ▶ heavy data augmentation
 - ▶ dropout: 0.5
 - ▶ batch size: 128
 - ▶ SGD + momentum (0.9)
 - ▶ learning rate: 10^{-2}
(reduced by 10 manually when validation accuracy plateaus)
 - ▶ L2 weight decay: 5×10^{-4}
 - ▶ 7 CNN ensemble: 18.2% \rightarrow 15.4%
- trained on GTX 580 GPU (only 3GB memory)
 - ▶ network spread across 2 GPUs

ImageNet challenge winners



ZFNet (Zeiler and Fergus, 2013)



(source: Zeiler and Fergus, 2013)

- the same as AlexNet but
 - ▶ CONV1: change from (11x11 stride 4) to (7x7 stride 2)
 - ▶ CONV3, 4, 5: instead of 384, 384, 256 filters use 512, 1024, 512
 - ▶ ImageNet top 5 error: 16.4% → 11.7%

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VGG

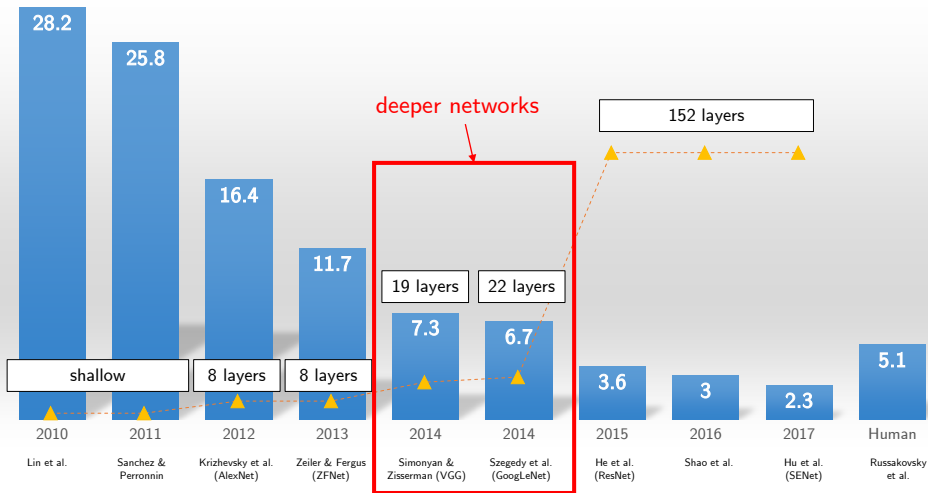
GoogLeNet

ResNet

Recent Architectures

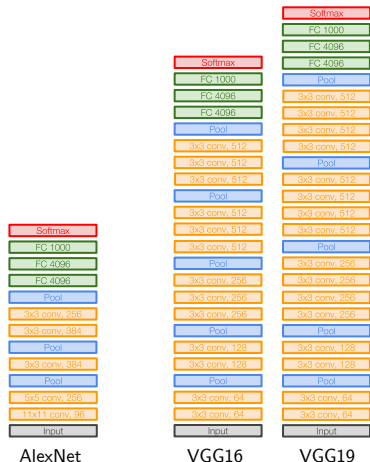
Summary

ImageNet challenge winners



VGG

- Simonyan and Zisserman (2014)
- key idea: _____ filters, _____ networks
 - ▶ only
3x3 CONV stride 1, pad 1
2x2 MAX POOL stride 2
- ILSVRC top 5 error
 - ▶ 11.7% (ZFNet, 2013)
→ 7.3% (VGG, 2014)
- two versions: VGG16, VGG19
 - ▶ VGG19 only slightly better
(use more memory)



(source: cs231n)

Why use smaller filters?

- consider stacking three 3x3 conv (stride 1) layers

- benefits

- ▶ its effective receptive field

= that of one ____ conv layer

- ▶ but deeper

⇒ more non-linearities

- ▶ and fewer parameters¹:

$$3 \times (3^2 C^2) \text{ vs } 7^2 C^2$$



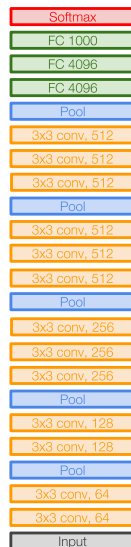
(source: cs231n)

¹assuming C channels per layer and C filters per layer

Architecture (VGG16)

layer type	dimension	memory (96MB/image)	parameters (138M total)
INPUT	224x224x3	224*224*3=150K	0
CONV3-64	224x224x64	224*224*64=3.2M	$(3*3*3)*64 = 1,728$
CONV3-64	224x224x64	224*224*64=3.2M	$(3*3*64)*64 = 36,864$
POOL2	112x112x64	112*112*64=800K	0
CONV3-128	112x112x128	112*112*128=1.6M	$(3*3*64)*128 = 73,728$
CONV3-128	112x112x128	112*112*128=1.6M	$(3*3*128)*128 = 147,456$
POOL2	56x56x128	56*56*128=400K	0
CONV3-256	56x56x256	56*56*256=800K	$(3*3*128)*256 = 294,912$
CONV3-256	56x56x256	56*56*256=800K	$(3*3*256)*256 = 589,824$
CONV3-256	56x56x256	56*56*256=800K	$(3*3*256)*256 = 589,824$
POOL2	28x28x256	28*28*256=200K	0
CONV3-512	28x28x512	28*28*512=400K	$(3*3*256)*512 = 1,179,648$
CONV3-512	28x28x512	28*28*512=400K	$(3*3*512)*512 = 2,359,296$
CONV3-512	28x28x512	28*28*512=400K	$(3*3*512)*512 = 2,359,296$
POOL2	14x14x512	14*14*512=100K	0
CONV3-512	14x14x512	14*14*512=100K	$(3*3*512)*512 = 2,359,296$
CONV3-512	14x14x512	14*14*512=100K	$(3*3*512)*512 = 2,359,296$
CONV3-512	14x14x512	14*14*512=100K	$(3*3*512)*512 = 2,359,296$
POOL2	7x7x512	7*7*512=25K	0
FC	1x1x4096	4096	$7*7*512*4096 = 102,760,448$
FC	1x1x4096	4096	$4096*4096 = 16,777,216$
FC	1x1x1000	1000	$4096*1000 = 4,096,000$

- ▶ most **memory**: in early _____
- ▶ most **parameters**: in late ____

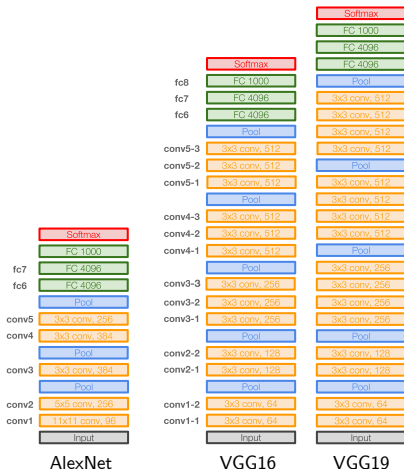


VGG16

- ILSVRC'14 ranking: 2nd in classification, 1st in localization

- details:

- ▶ similar training procedure as AlexNet
- ▶ no local response normalization (LRN)
- ▶ use **ensembles** for best results
- ▶ **FC7 features** _____ well to other tasks



(source: cs231n)

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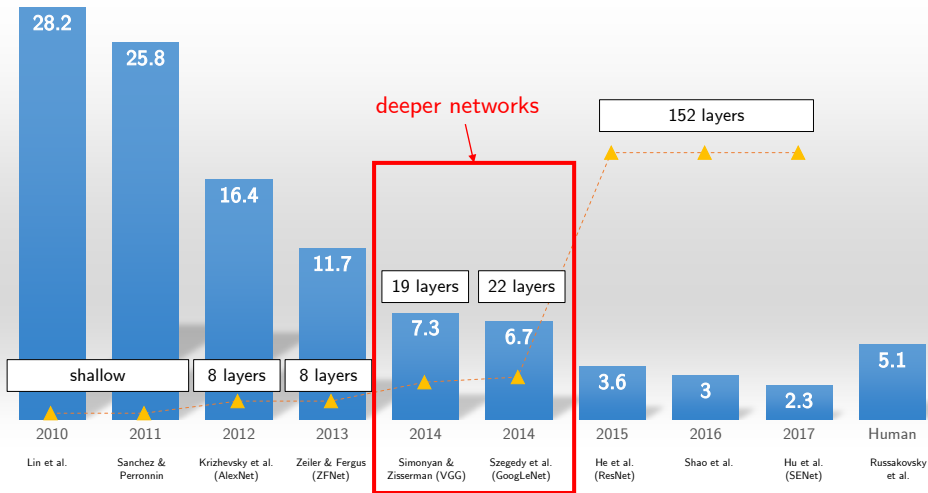
GoogLeNet

ResNet

Recent Architectures

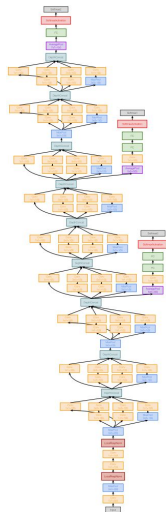
Summary

ImageNet challenge winners



GoogLeNet

- Szegedy et al. (2014)
- key idea: deeper networks with **computational efficiency**
 - ▶ 22 layers
 - ▶ efficient “_____” module
 - ▶ minimal use of FC layers
 - ▶ **only 5 million** parameters!
(12x less than AlexNet)
 - ▶ ILSVRC'14 classification winner (6.7% top 5 error)



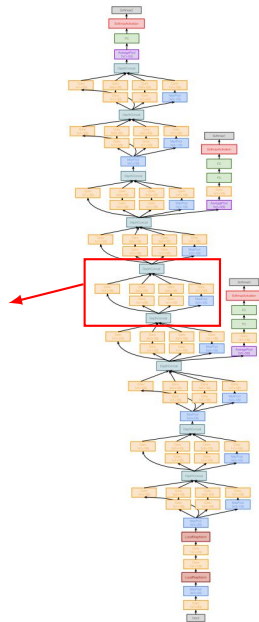
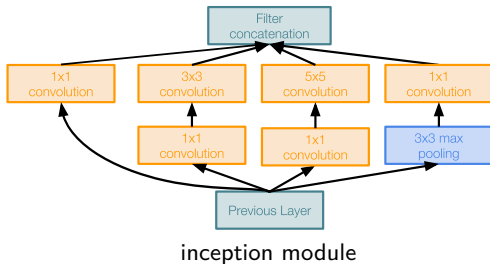
(source: cs231n)



(source: Warner Bros. Pictures, <http://knowyourmeme.com/memes/we-need-to-go-deeper>)

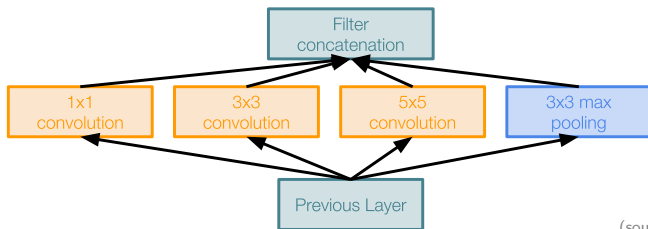
- inception module

- ▶ design a good **local network** topology (_____ within a network)
- ▶ then stack these modules



(source: cs231n)

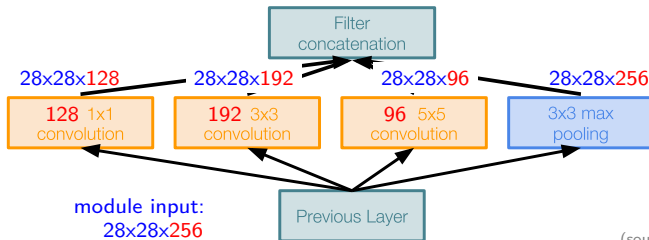
Naïve inception module



(source: cs231n)

- apply **parallel filter** operations on the input
 - ▶ **multiple receptive field** sizes (1x1, 3x3, 5x5) for convolution
 - ▶ pooling (3x3)
- concatenate all filter outputs together:

- problem with this idea:



(source: cs231n)

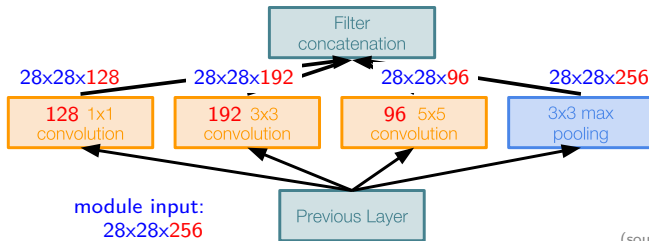
- output size** after filter concatenation: 529k

▶ $28 \times 28 \times (128 + 192 + 96 + 256) = 28 \times 28 \times 672$

- total number of convolution operations:** 854M

▶ $\underbrace{28 \times 28 \times 128 \times 1 \times 1 \times 256}_{(1 \times 1 \text{ conv, } 128)} + \underbrace{28 \times 28 \times 192 \times 3 \times 3 \times 256}_{(3 \times 3 \text{ conv, } 192)} + \underbrace{28 \times 28 \times 96 \times 5 \times 5 \times 256}_{(5 \times 5 \text{ conv, } 96)}$

⇒ very expensive to compute



(source: cs231n)

- another challenge:

- ▶ pooling layer **preserves feature depth**

⇒ total depth after concatenation → can **only grow** at every layer

- solution

- ▶ "bottleneck" layers

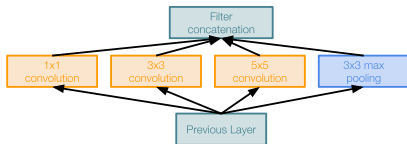


use _____ to reduce feature depth

Inception module

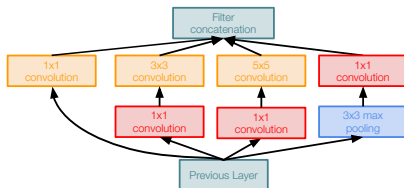
- comparison:

naïve inception module



(source: cs231n)

inception module with _____

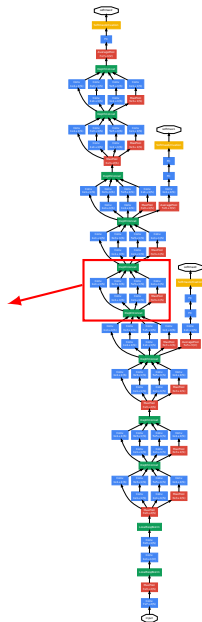
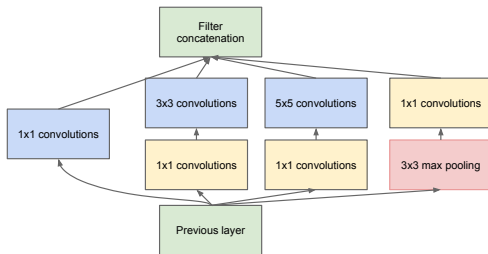


- ▶ 1x1 conv “**bottleneck**” layers
- ▶ the same setup as on page 29:

845M ops → 358M ops

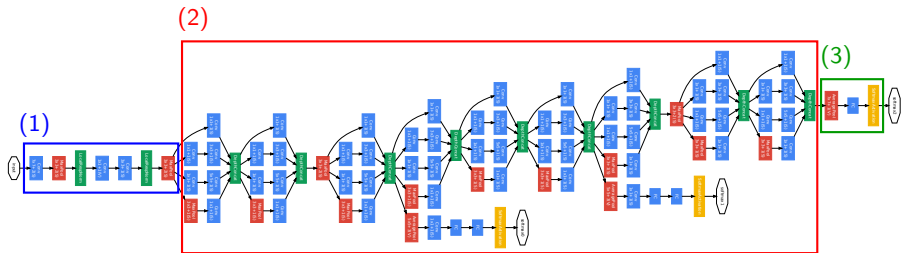
GoogLeNet

- **stacked** inception modules
- ▶ with dimension reduction on top of each other



(source: Szegedy et al., 2014)

- full GoogLeNet architecture:



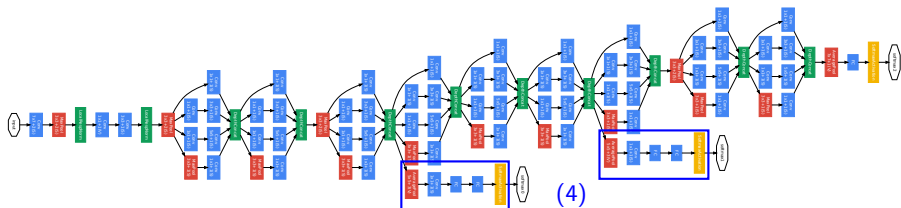
(source: Szegedy et al., 2014)

(1) **stem network:** CONV-POOL-2xCONV-POOL

(2) **stacked** _____ modules

(3) classifier **output**

- full GoogLeNet architecture:



(source: Szegedy et al., 2014)

(4) **auxiliary classification outputs:** AvgPOOL-1x1CONV-FC-FC-SOFTMAX

- ▷ to inject additional _____ at lower layers

- total 22 layers with weights
 - ▶ parallel layers count as 1 layer \Rightarrow 2 layers per inception module
 - ▶ auxiliary output layers: not counted in

Outline

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VGG

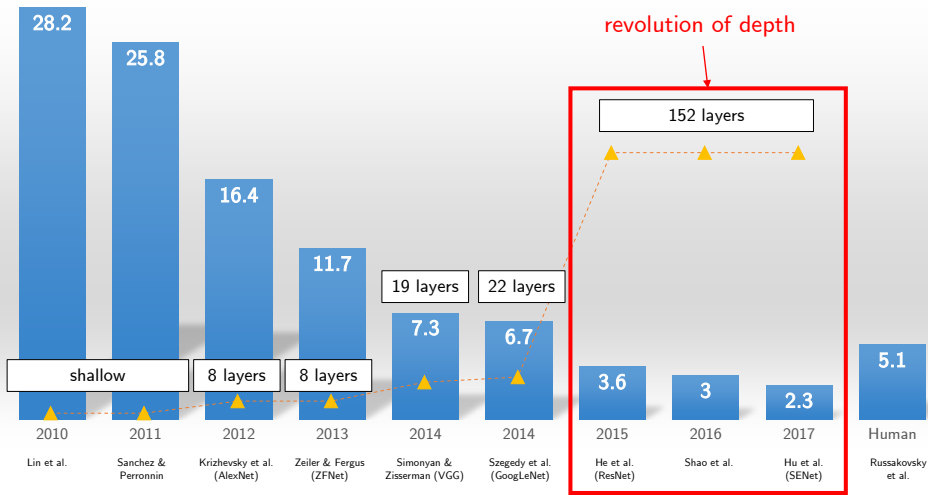
GoogLeNet

ResNet

Recent Architectures

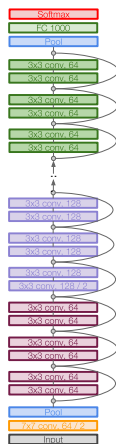
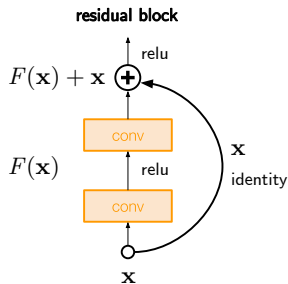
Summary

ImageNet challenge winners



ResNet

- He et al. (2015)
- key idea: **very deep** nets using _____ connections
 - ▶ 152-layer model for ImageNet
 - ▶ ILSVRC'15 classification winner² (3.57% top 5 error)



(source: cs231n)

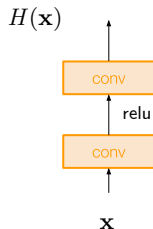
²swept all classification and detection competitions in ILSVRC'15 and COCO'15

- intuition:
 - ▶ if trained appropriately, deeper models should be able to perform
 - ▷ at least as well as shallower models
- a solution by construction:
 - ▶ copy the learned layers from the shallower model
 - ▶ set additional layers to _____ mapping

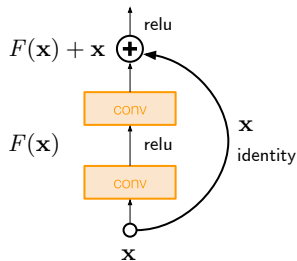
Residual block

- use network layers to fit a _____ mapping: $F(\mathbf{x}) = \overbrace{H(\mathbf{x}) - \mathbf{x}}^{\text{"residual"}}$
 - ▶ instead of directly trying to fit a desired underlying mapping $H(\mathbf{x})$

“plain” layers



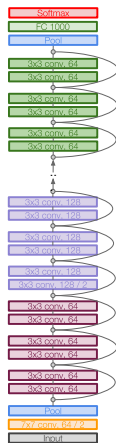
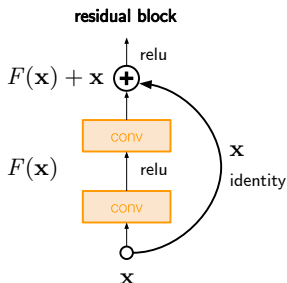
residual block



(source: cs231n)

Architecture

- stack residual blocks
- every residual block
 - ▶ has two 3x3 conv layers
- periodically
 - ▶ double # filters
 - ▶ downsample spatially (stride 2)
- at the beginning
 - ▶ additional conv layer
- no FC layers at the end
 - ▶ only FC1000 to output classes



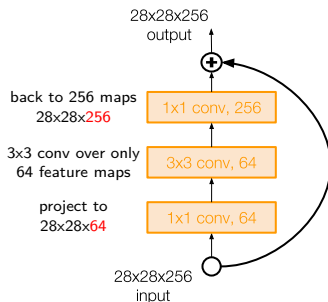
(source: cs231n)

- total depths:

- ▶ 34, 50, 101, or 152 layers for ImageNet



- for deeper nets (50+ layers)
 - ▶ use “_____” layers to improve **efficiency** (similar to GoogLeNet)



(source: cs231n)

- training details:

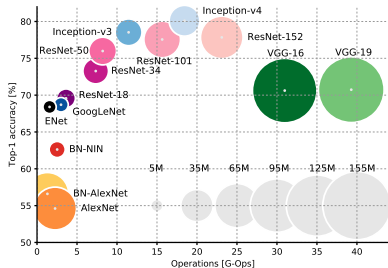
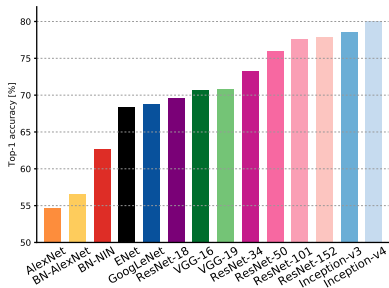
- ▶ *batch normalization* after every CONV layer
- ▶ *Xavier initialization*: initial weight $\sim \mathcal{N}(0, 1/n)$ where $n = \#$ neurons
- ▶ SGD + momentum (0.9)
- ▶ learning rate: 0.1 (divided by 10 when validation error plateaus)
- ▶ mini-batch size: 256
- ▶ weight decay: 10^{-5}
- ▶ no dropout used

- results

- ▶ ILSVRC 2015 winner in all five main tracks (3.6% top 5 error)

better than "_____ performance" (Russakovsky, 2014)

Comparison³



(source: Canziani et al., 2017)

- ▶ Inception-v4: ResNet + Inception
- ▶ VGG: highest memory, most operations
- ▶ _____: most efficient
- ▶ AlexNet: smaller compute, still memory heavy, lower accuracy
- ▶ _____: moderate efficiency depending on model, highest accuracy

³(in left figure) *x*-axis: amount of operations for a single forward pass; circle size \propto # parameters

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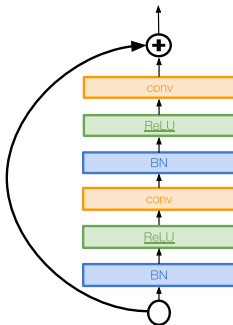
Summary

Improving ResNets

- ideas:
 - ▶ improved residual block
 - ▶ wide ResNet
 - ▶ ResNeXt
 - ▶ stochastic depth
 - ▶ multi-scale ensembling
 - ▶ feature recalibration (SENet)

Identity mappings in deep residual networks (He et al., 2016)

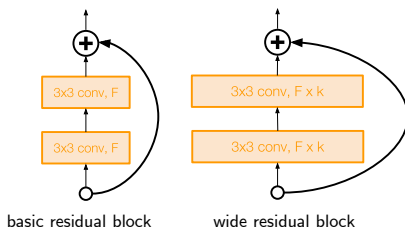
- improved ResNet block design
 - ▶ creates a more **direct path** for propagating info throughout net
- i.e.* moves _____ to residual mapping pathway



(source: He et al.)

Wide ResNet (Zagoruyko et al., 2016)

- the authors argue: **residuals** are the important factor, **not depth**
 - user **wider** residual blocks
 - i.e.* $F \times k$ filters instead of F filters in each layer
 - 50-layer wide ResNet outperforms 152-layer original ResNet
 - computational benefit
 - increasing width** (instead of depth)
- ⇒ more computationally efficient (_____)

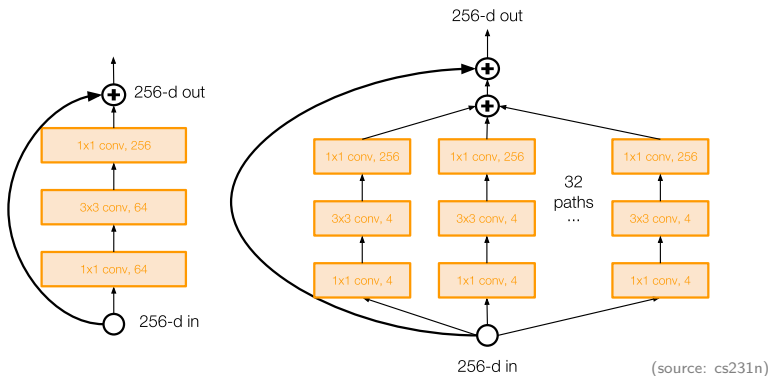


(source: cs231n)

ResNeXt (Xie et al., 2016)

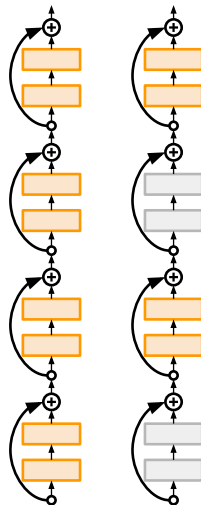
- **aggregated** residual transformations

- ▶ **increases width** of residual block through multiple pathways
similar in spirit to **inception** module



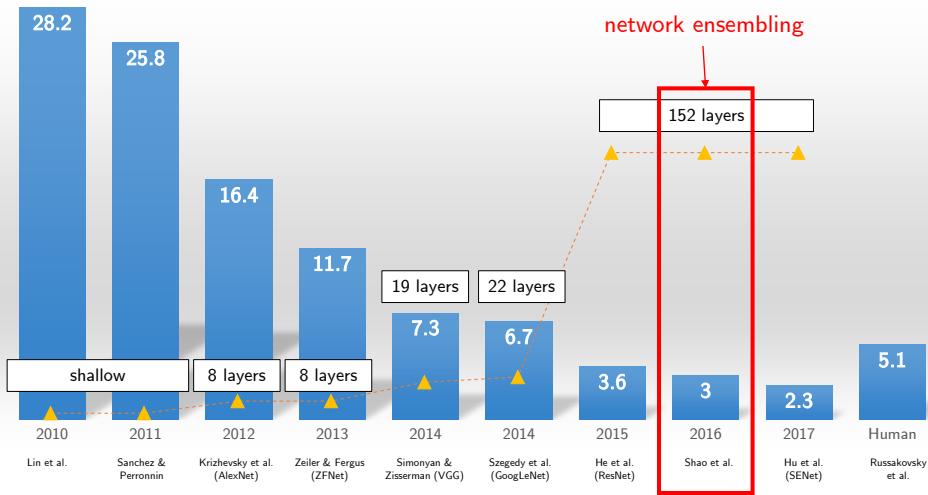
Stochastic depth (Huang et al., 2016)

- motivation:
 - ▶ reduce vanishing gradients and training time through **short networks** during training
- details:
 - ▶ randomly _____ a subset of layers during each training pass
 - ▶ bypass with **identity** function
 - ▶ use full deep network at test time



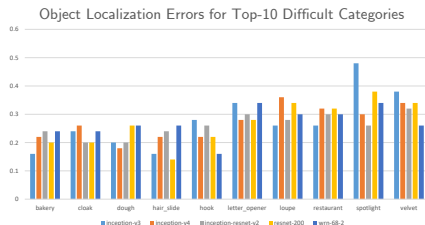
(source: cs231n)

ImageNet challenge winners



Multi-scale ensembling (Shao et al., 2016)

- ILSVRC'16 classification winner⁴
 - ▶ “Good Practices for Deep **Feature Fusion**”
- idea: multi-scale _____ of
 - ▶ inception, inception-ResNet, ResNet, wide ResNet models

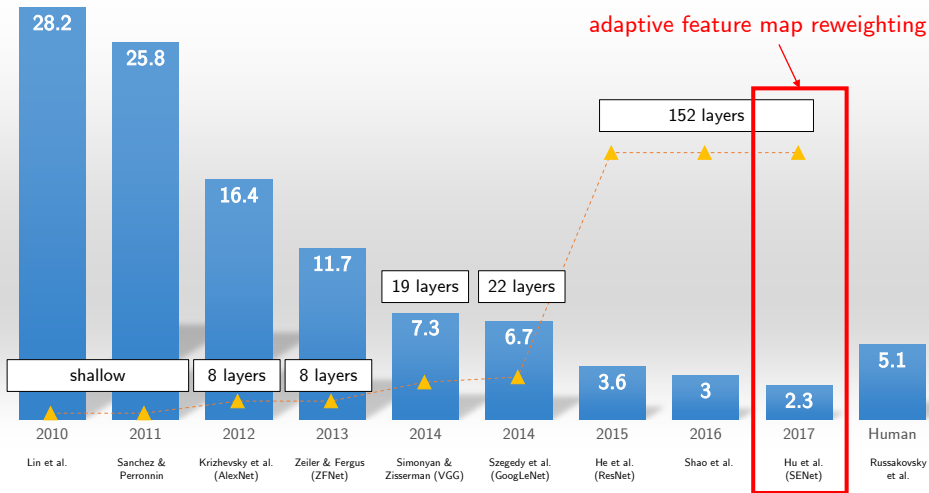


(source: Shao et al.)

method	error (%)
Resnet-200	4.26
Inception-v3	4.20
Inception-v4	4.01
Inception-Resnet-v2	3.52
Fusion (val)	2.92
Fusion (test)	2.99

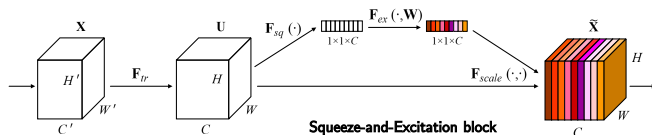
⁴the authors: The Third Research Institute of the Ministry of **Public Security**, China

ImageNet challenge winners

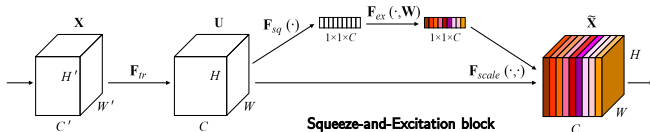


Squeeze-and-Excitation Networks (SENet) (Hu et al., 2017)

- ILSVRC'17 classification winner
 - ▶ base architecture: ResNeXt-152
 - ▶ introduces SE block (applicable to a variety of nets)
 - ▷ **squeeze**: global information embedding
 - ▷ **excitation**: adaptive recalibration
- main idea:
 - ▶ improve representational power of a network
by modeling **interdependencies between** _____ of conv features



(source: Hu et al.)



- $\mathbf{F}_{tr} : \mathbf{X}^{H' \times W' \times C'} \mapsto \mathbf{U}^{H \times W \times C}$ (a conv operation)

- $\mathbf{F}_{sq} : \mathbf{U}^{H \times W \times C} \mapsto \mathbf{Z}^{1 \times 1 \times C}$

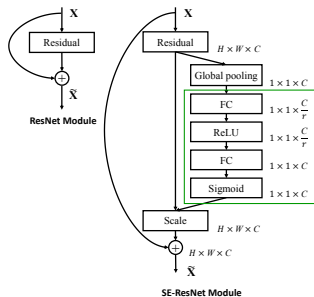
- ▶ global average pooling (each feature map \rightarrow a scalar; depth maintained)
- ▶ “global information embedding” (squeeze)

- $\mathbf{F}_{ex} : \mathbf{Z}^{1 \times 1 \times C} \mapsto \mathbf{S}^{1 \times 1 \times C}$

- ▶ $\underbrace{\text{FC} \rightarrow \text{ReLU} \rightarrow \text{FC}}_{\text{compress}} \rightarrow \underbrace{\text{Sigmoid}}_{\text{decompress}}$
- ▶ to calculate **scale** for each feature map

- $\mathbf{F}_{scale} = \mathbf{S}^{1 \times 1 \times C} \odot \mathbf{U}^{1 \times 1 \times C} = \tilde{\mathbf{X}}$

- ▶ reweight feature maps
- ▶ “adaptive feature recalibration” (excitation)

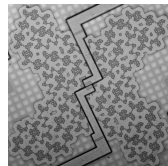


Recent developments

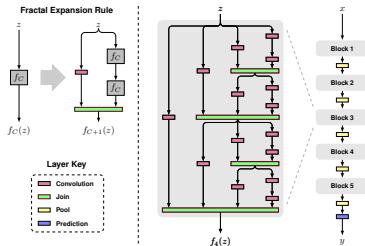
- beyond ResNets:
 - ▶ ultra-deep neural networks without residuals (FractalNet)
 - ▶ densely connected CNNs (DenseNet)
- efficient networks:
 - ▶ Caffe2Go (Facebook), TensorRT (NVIDIA), Core ML (Apple)
 - ▶ SqueezeNet
- meta/automated learning:
 - ▶ Cloud AutoML (Google)

FractalNet (Larsson et al., 2017)

- ultra-deep neural networks without residuals
- argue:
 - ▶ key is **transitioning effectively** from shallow to deep
 - ⇒ residual representations are not necessary
- propose: _____ architecture
 - ▶ both shallow/deep paths to output
 - ▶ trained with dropping out subpaths
 - ▶ full network at test time



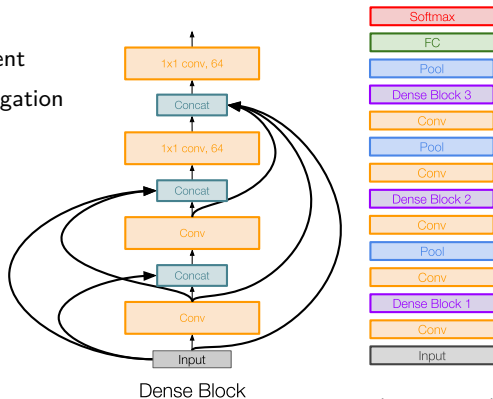
(source: Hajimiri et al.)



(source: Larsson et al.)

DenseNet (Huang et al., 2017)

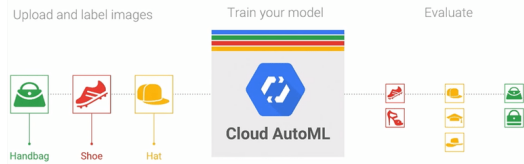
- **densely connected** convolutional networks
- idea: **dense blocks**
 - ▶ each layer is connected to _____ layer in feedforward fashion
- benefits:
 - ▶ alleviates vanishing gradient
 - ▶ strengthens feature propagation
 - ▶ encourages feature reuse



Google Cloud AutoML

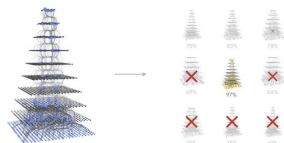
- learning to learn

Cloud AutoML Vision



AutoML

Learning to Learn



(source: Google)

Outline

Background

Summary

CNN Architectures

Summary

- famous four
 - ▶ AlexNet
 - ▶ VGG
 - ▶ GoogLeNet
 - ▶ ResNet
- beyond ResNet
 - ▶ FractalNet
 - ▶ DenseNet
- improving ResNet
 - ▶ wide ResNet
 - ▶ ResNeXt
 - ▶ stochastic depth
 - ▶ SENet
- other ideas
 - ▶ SqueezeNet
 - ▶ autoML