## OSTEP Concurrency: Threads

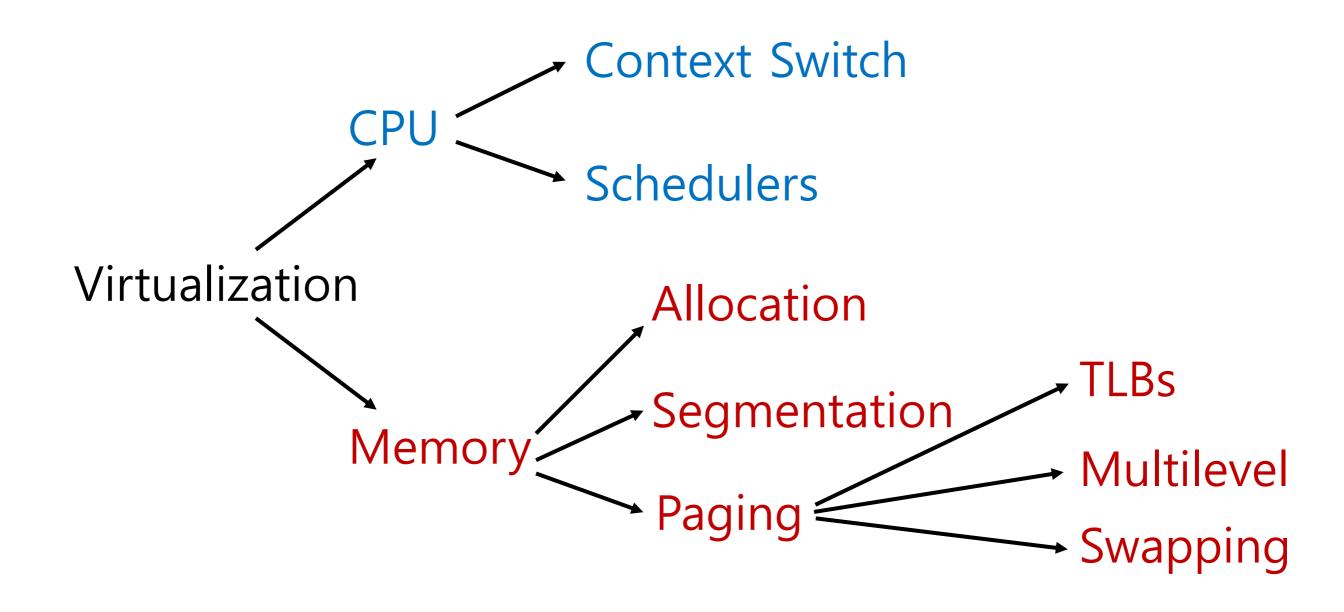
#### Questions answered in this lecture:

Why is concurrency useful?

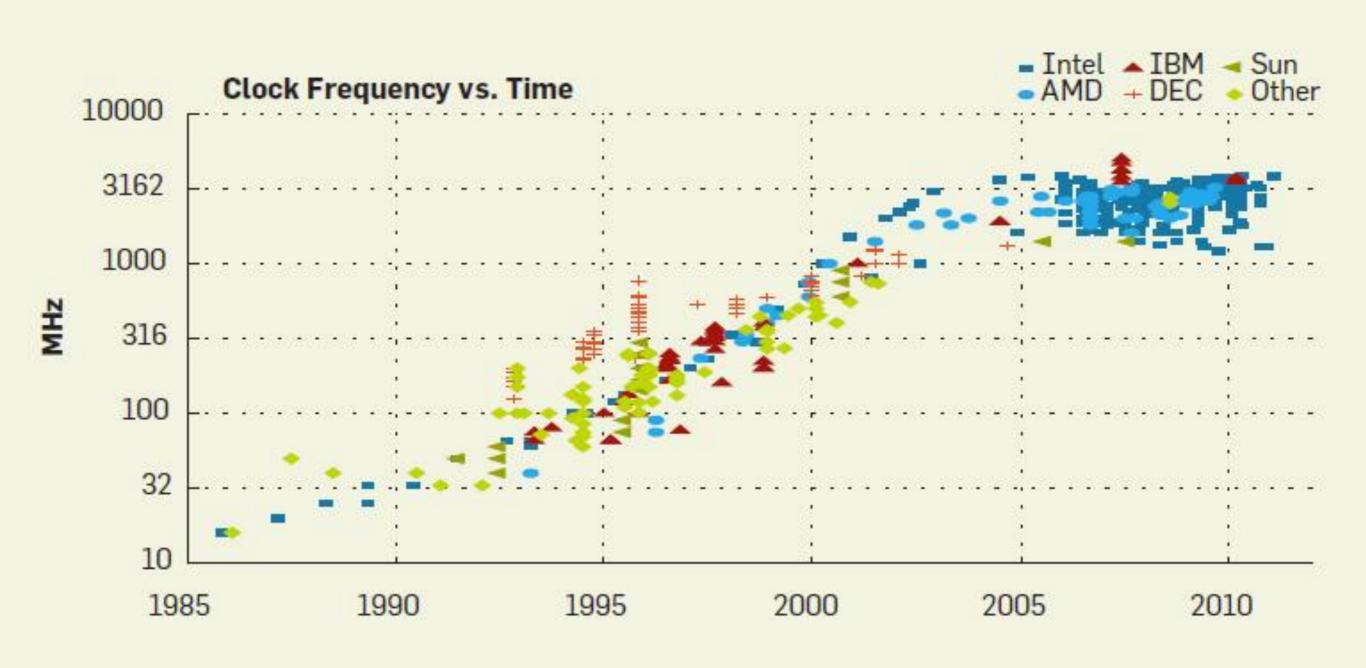
What is a thread and how does it differ from processes?

What can go wrong if scheduling of critical sections is not atomic?

# Review: Easy Piece 1



## Motivation for Concurrency



## Motivation

CPU Trend: Same speed, but multiple cores

Goal: Write applications that fully utilize many cores

Option 1: Build apps from many communicating processes

- Example: Chrome (process per tab)
- Communicate via pipe() or similar

#### Pros?

Don't need new abstractions; good for security

#### Cons?

- Cumbersome programming
- High communication overheads
- Expensive context switching (why expensive?)

# CONCURRENCY: Option 2

Thread is a new abstraction for a single running process:

Threads are like processes, except: multiple threads of same process share an address space (e.g., using same PT).

Divide large task across several cooperative threads

Communicate through shared address space

## Context switch between threads

Each thread has its own <u>program counter</u> and <u>set of registers</u>.

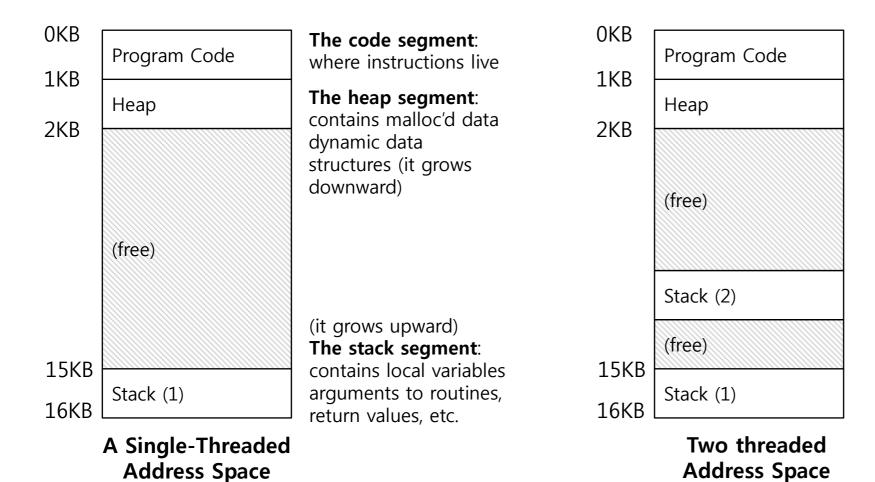
• One or more **thread control blocks(TCBs)** are needed to store the state of each thread.

When switching from running one (T1) to running the other (T2),

- The register state of T1 be saved.
- The register state of T2 restored.
- The address space remains the same.

## The stack of the relevant thread

#### There will be one stack per thread.



## Race condition

#### Example with two threads

- counter = counter + 1 (default is 50)
- We expect the result is 52. However,

| OS         | Thread1                 | Thread2             | •   |    | uction)<br>counter |
|------------|-------------------------|---------------------|-----|----|--------------------|
|            | before critical section |                     | 100 | 0  | 50                 |
|            | mov 0x8049a1c,          | %eax                | 105 | 50 | 50                 |
|            | add \$0x1, %eax         |                     | 108 | 51 | 50                 |
| interrupt  |                         |                     |     |    |                    |
| save T1's  | state                   |                     |     |    |                    |
| restore T2 | 's state                |                     | 100 | 0  | 50                 |
|            | 1                       | mov 0x8049a1c, %eax | 105 | 50 | 50                 |
|            |                         | add \$0x1, %eax     | 108 | 51 | 50                 |
|            | 1                       | mov %eax, 0x8049a1c | 113 | 51 | 51                 |
| interrupt  |                         |                     |     |    |                    |
| save T2's  | state                   |                     |     |    |                    |
| restore T1 | 's state                |                     | 108 | 51 | 50                 |
|            | mov %eax, 0x804         | 9a1c                | 113 | 51 | 51                 |

## Critical section

A piece of code that accesses a shared variable and must not be concurrently executed by more than one thread.

- Multiple threads executing critical section can result in a race condition.
- Need to support atomicity for critical sections (mutual exclusion)

## Locks

Ensure that any such critical section executes as if it were a single atomic instruction (execute a series of instructions atomically).

```
1  lock_t mutex;
2  . . .
3  lock(&mutex);
4  balance = balance + 1;
5  unlock(&mutex);
Critical section
```

## Common Programming Models

Multi-threaded programs tend to be structured as:

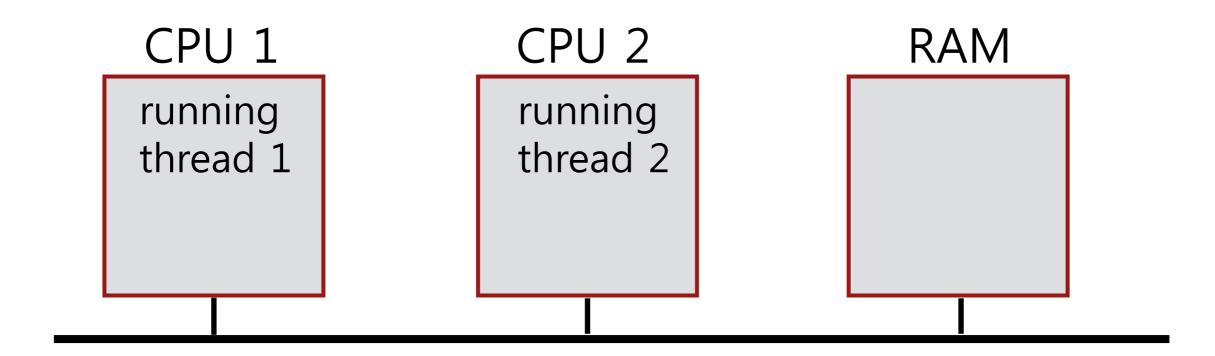
### Producer/consumer

Multiple producer threads create data (or work) that is handled by one of the multiple consumer threads

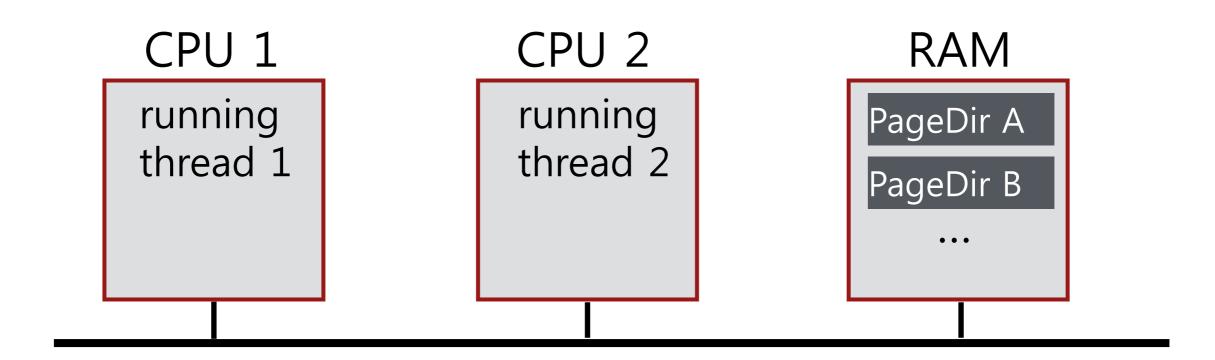
## Pipeline

Task is divided into series of subtasks, each of which is handled in series by a different thread

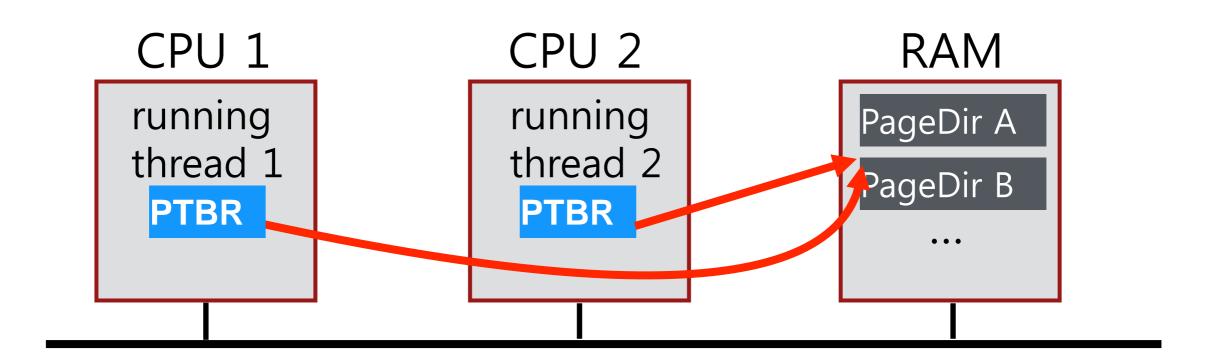
# Defer work with background thread One thread performs non-critical work in the background (when CPU idle)

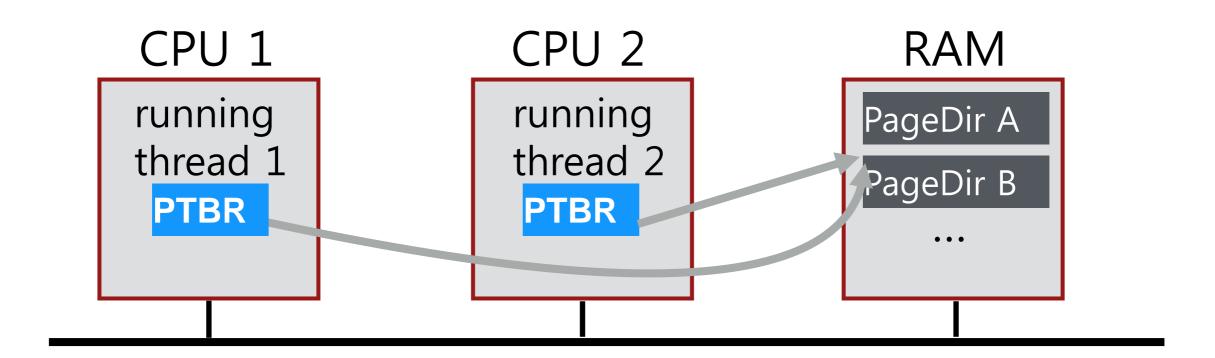


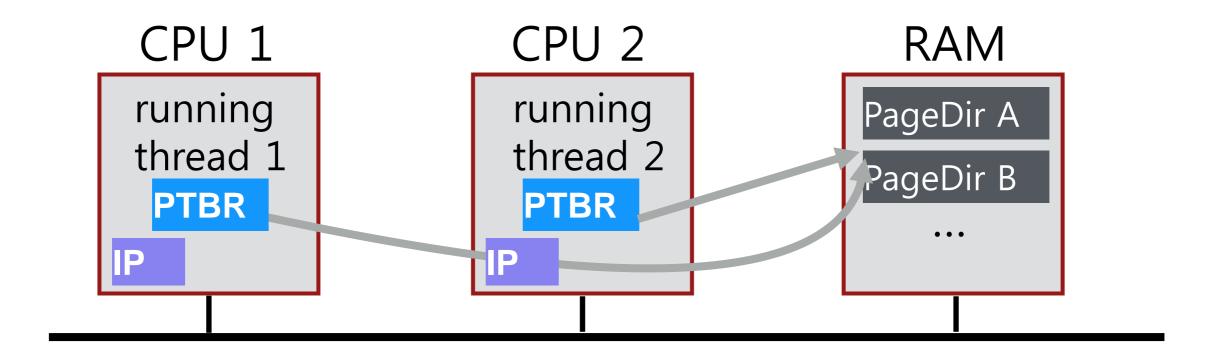
What state do threads share?



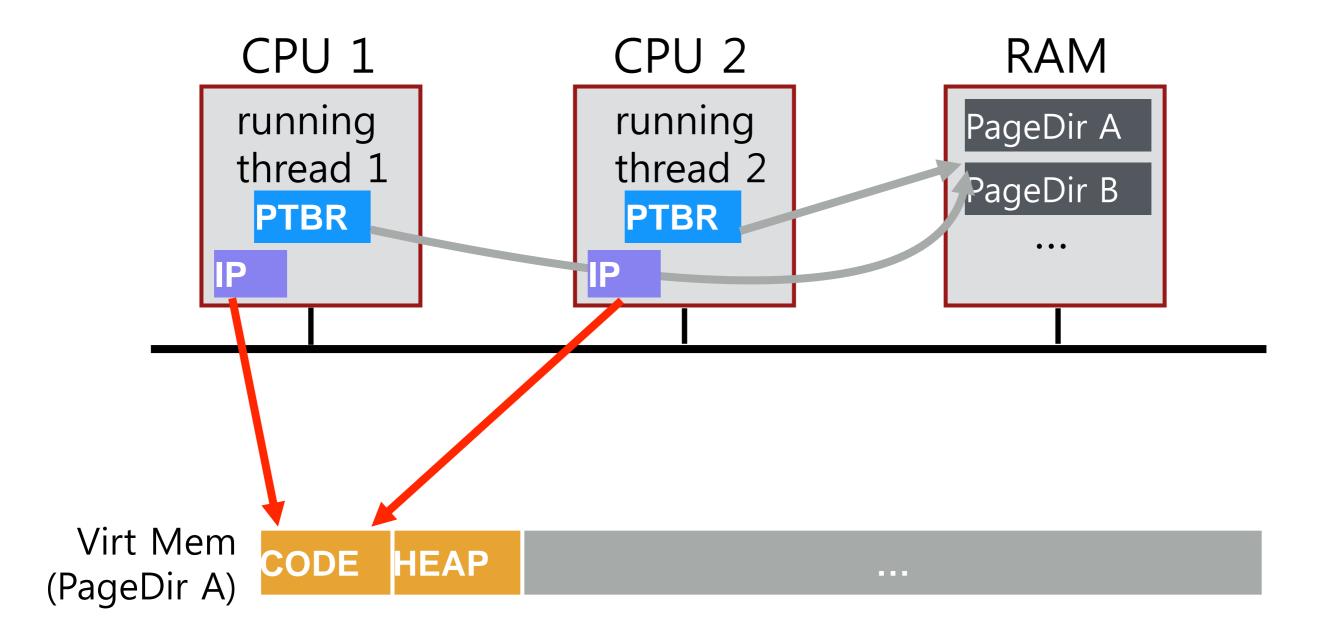
What threads share page directories?

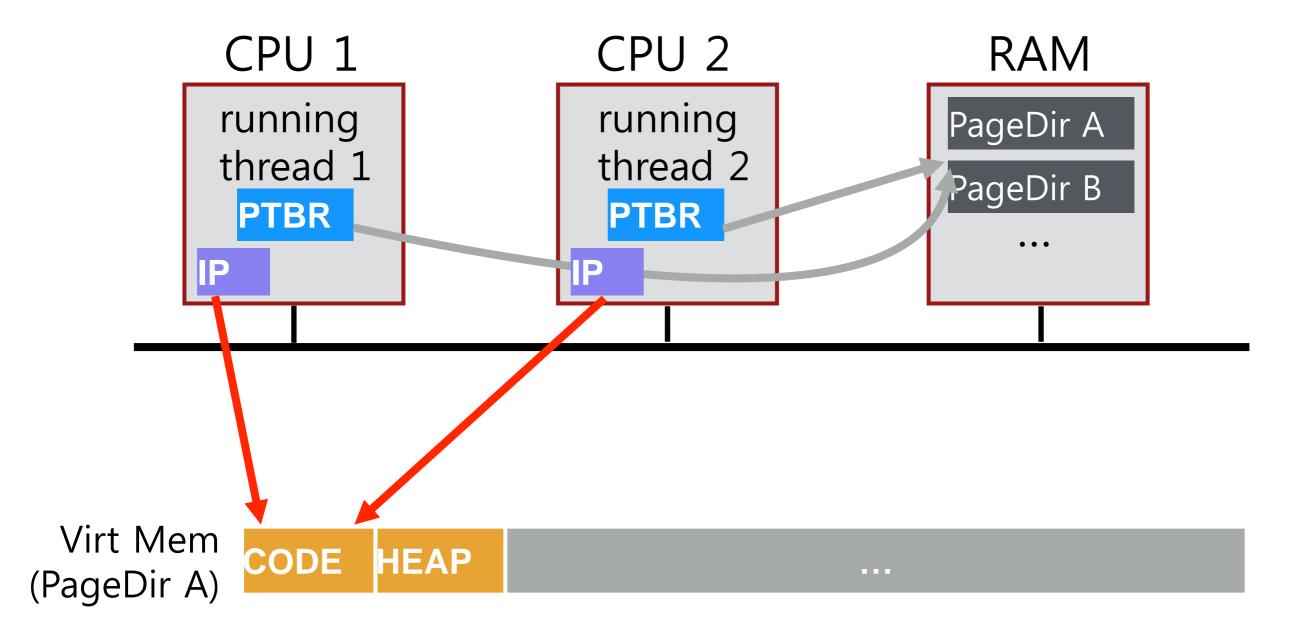






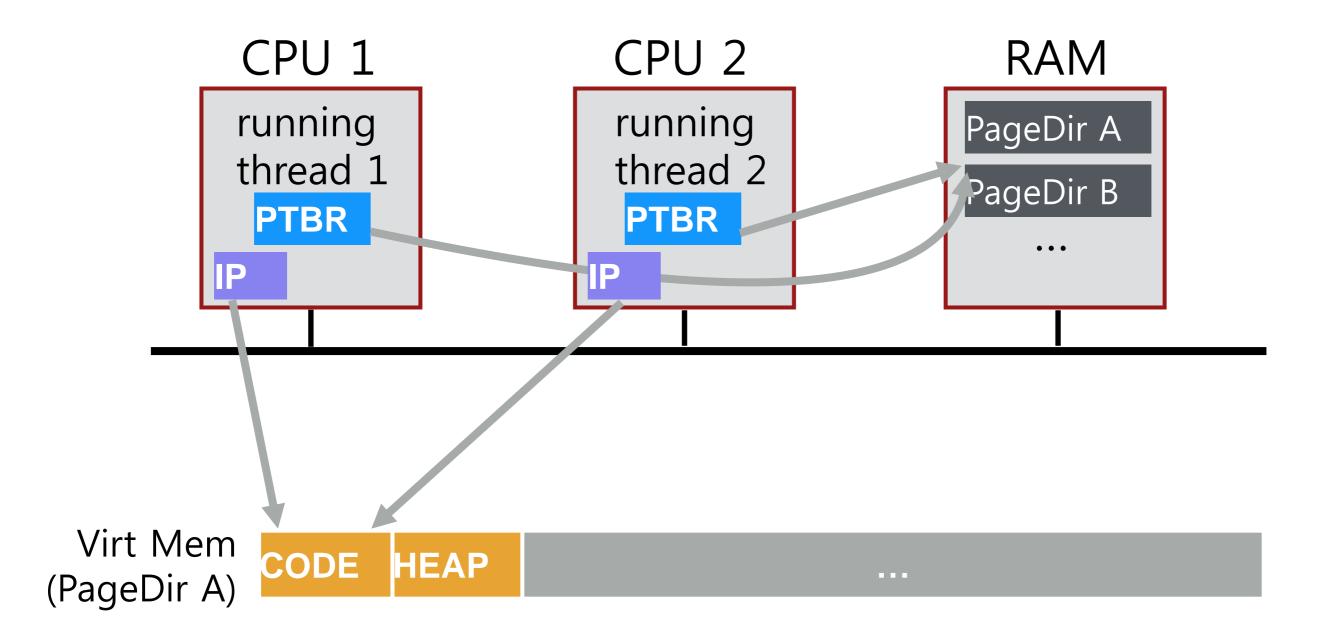
Do threads share Instruction Pointer?

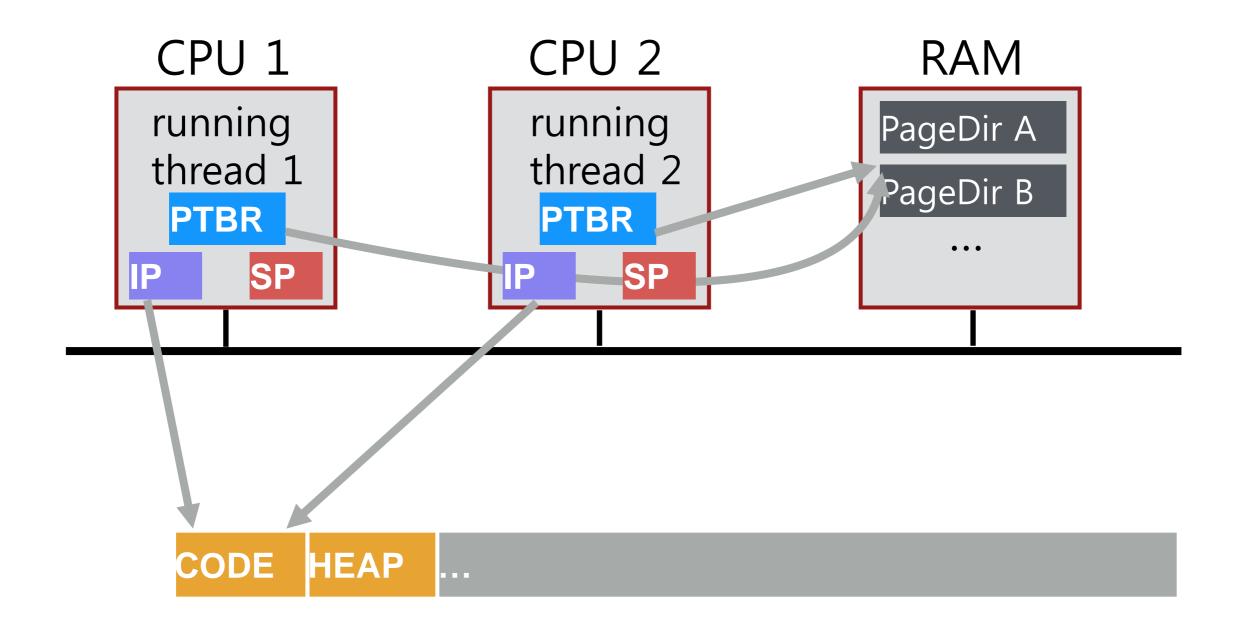




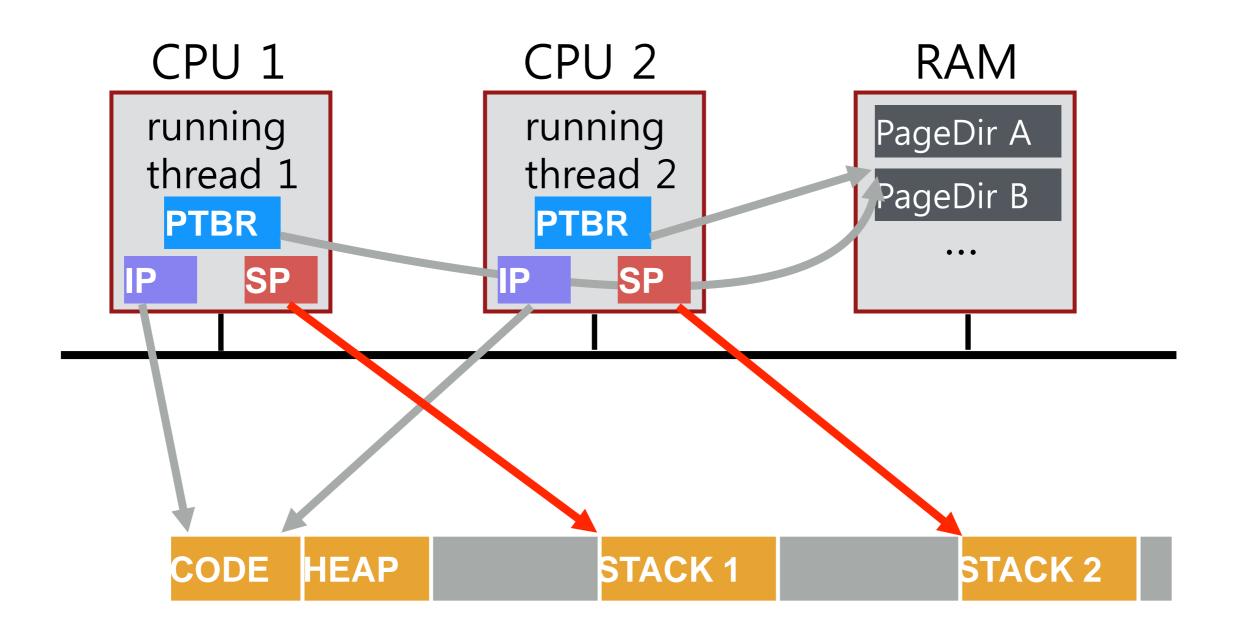
Share code, but each thread may be executing different code at the same time

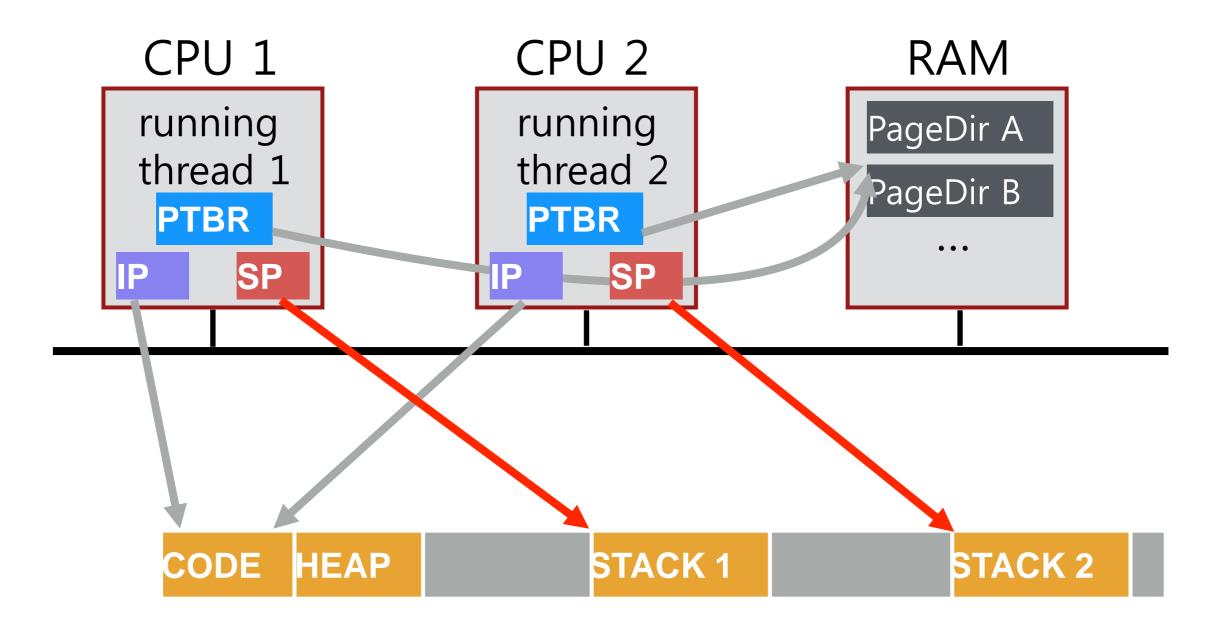
→ Different Instruction Pointers





Do threads share stack pointer?





threads executing different functions need different stacks

## THREAD VS. Process

#### Multiple threads within a single process share:

- Process ID (PID)
- Address space
  - Code (instructions)
  - Most data (heap)
- Open file descriptors
- Current working directory
- User and group id

#### Each thread has its own

- Thread ID (TID)
- Set of registers, including Program counter and Stack pointer
- Stack for local variables and return addresses (in same address space)

## THREAD API

Variety of thread systems exist

• POSIX Pthreads

### Common thread operations

- Create
- Exit
- Join (instead of wait() for processes)

## Thread Creation

#### How to create and control threads?

- thread: Used to interact with this thread.
- attr: Used to specify any attributes this thread might have.
  - Stack size, Scheduling priority, ...
- start routine: the function this thread start running in.
- arg: the argument to be passed to the function (start routine)
  - a void pointer allows us to pass in any type of argument.

## Thread Creation (Cont.)

If start\_routine instead required another type argument, the declaration would look like this:

• An integer argument:

Return an integer:

## Example: Creating a Thread

```
#include <pthread.h>
typedef struct myarg t {
      int a;
      int b;
} myarg t;
void *mythread(void *arg) {
      myarg t *m = (myarg_t *) arg;
      printf("%d %d\n", m->a, m->b);
      return NULL;
int main(int argc, char *argv[]) {
      pthread t p;
      int rc;
      myarg t args;
      args.a = 10;
      args.b = 20;
      rc = pthread create(&p, NULL, mythread, &args);
```

## Wait for a thread to complete

```
int pthread_join(pthread_t thread, void **value_ptr);
```

- thread: Specify which thread to wait for
- value\_ptr: A pointer to the <u>return value</u>
  - Because pthread\_join() routine changes the value, you need to pass in a pointer to that value.

## Example: Waiting for Thread Completion

```
#include <stdio.h>
  #include <pthread.h>
  #include <assert.h>
  #include <stdlib.h>
5
  typedef struct myarg t {
       int a;
8
       int b;
   } myarg t;
10
11 typedef struct myret t {
12
       int x;
13
       int y;
14 } myret t;
15
16 void *mythread(void *arg) {
17
       myarg t *m = (myarg t *) arg;
18
       printf("%d %d\n", m->a, m->b);
       myret t *r = malloc(sizeof(myret t));
19
20
   r->x = 1;
21 r->y = 2;
    return (void *) r;
22
23 }
24
```

# Example: Waiting for Thread Completion (Cont.)

```
int main(int argc, char *argv[]) {
26
       int rc;
27
       pthread t p;
28
       myret t *m;
29
30
       myarg t args;
       args.a = 10;
31
32
       args.b = 20;
33
       pthread create(&p, NULL, mythread, &args);
       pthread join(p, (void **) &m); // this thread has been
34
                            // waiting inside of the
                     // pthread join() routine.
35
       printf("returned %d %d\n", m->x, m->y);
       return 0;
36
37 }
```

## Example: Dangerous code

Be careful with how values are returned from a thread.

```
1  void *mythread(void *arg) {
2    myarg_t *m = (myarg_t *) arg;
3    printf("%d %d\n", m->a, m->b);
4    myret_t r; // ALLOCATED ON STACK: BAD!
5    r.x = 1;
6    r.y = 2;
7    return (void *) &r;
8 }
```

• When the variable r returns, it is automatically de-allocated.

# Example: Simpler Argument Passing to a Thread

Just passing in a single value

```
void *mythread(void *arg) {
       int m = (int) arg;
       printf("%d\n", m);
       return (void *) (arg + 1);
   int main(int argc, char *argv[]) {
       pthread t p;
       int rc, m;
       pthread create(&p, NULL, mythread, (void *) 100);
10
       pthread join(p, (void **) &m);
11
      printf("returned %d\n", m);
12
13
     return 0:
14 }
```

## Locks

#### Provide mutual exclusion to a critical section

Interface

```
int pthread_mutex_lock(pthread_mutex_t *mutex);
int pthread_mutex_unlock(pthread_mutex_t *mutex);
```

Usage (w/o lock initialization and error check)

```
pthread_mutex_t lock;
pthread_mutex_lock(&lock);
x = x + 1; // or whatever your critical section is
pthread_mutex_unlock(&lock);
```

- No other thread holds the lock → the thread will acquire the lock and enter the critical section.
- If another thread hold the lock → the thread will not return from the call until it has acquired the lock.

## Locks (Cont.)

All locks must be properly initialized.

• One way: using PTHREAD MUTEX INITIALIZER

```
pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;
```

• The dynamic way: using pthread mutex init()

```
int rc = pthread_mutex_init(&lock, NULL);
assert(rc == 0); // always check success!
```

## Locks (Cont.)

#### Check errors code when calling lock and unlock

An example wrapper

```
•// Use this to keep your code clean but check for failures
// Only use if exiting program is OK upon failure
void Pthread_mutex_lock(pthread_mutex_t *mutex) {
   int rc = pthread_mutex_lock(mutex);
   assert(rc == 0);
}
```

#### These two calls are used in lock acquisition

- trylock: return failure if the lock is already held
- timelock: return after a timeout

## Locks (Cont.)

These two calls are also used in lock acquisition

- trylock: return failure if the lock is already held
- timelock: return after a timeout or after acquiring the lock

### Condition Variables

**Condition variables** are useful when some kind of signaling must take place between threads.

- pthread cond wait:
  - Put the calling thread to sleep.
  - Wait for some other thread to signal it.
- pthread cond signal:
  - · Unblock at least one of the threads that are blocked on the condition variable

### Condition Variables (Cont.)

#### A thread calling wait routine:

```
pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t init = PTHREAD_COND_INITIALIZER;

pthread_mutex_lock(&lock);
while (initialized == 0)
    pthread_cond_wait(&init, &lock);
pthread_mutex_unlock(&lock);
```

- The wait call releases the lock when putting said caller to sleep.
- Before returning after being woken, the wait call re-acquire the lock.

#### A thread calling signal routine:

```
pthread_mutex_lock(&lock);
initialized = 1;
pthread_cond_signal(&init);
pthread_mutex_unlock(&lock);
```

### Condition Variables (Cont.)

The waiting thread **re-checks** the condition in a while loop, instead of a simple if statement.

```
pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t init = PTHREAD_COND_INITIALIZER;

pthread_mutex_lock(&lock);
while (initialized == 0)
    pthread_cond_wait(&init, &lock);
pthread_mutex_unlock(&lock);
```

 Without rechecking, the waiting thread will continue thinking that the condition has changed <u>even though it has not</u>.

### Condition Variables (Cont.)

Don't ever to this.

A thread calling wait routine:

```
while(initialized == 0)
; // spin
```

A thread calling signal routine:

```
initialized = 1;
```

- It performs poorly in many cases. → just wastes CPU cycles.
- It is error prone.

### Compiling and Running

To compile them, you must include the header pthread.h

• Explicitly link with the pthreads library, by adding the -pthread flag.

```
prompt> gcc -o main main.c -Wall -pthread
```

For more information,

```
man -k pthread
```

### OS Support: Approach 1

### User-level threads: Many-to-one thread mapping

- Implemented by user-level runtime libraries
  - Create, schedule, synchronize threads at user-level
- OS is not aware of user-level threads
  - OS thinks each process contains only a single thread of control

#### Advantages

- Does not require OS support; Portable
- Can tune scheduling policy to meet application demands
- Lower overhead thread operations since no system call

#### Disadvantages?

- Cannot leverage multiprocessors
- Entire process blocks when one thread blocks

### OS Support: Approach 2

#### Kernel-level threads: One-to-one thread mapping

- OS provides each user-level thread with a kernel thread
- Each kernel thread scheduled independently
- Thread operations (creation, scheduling, synchronization) performed by OS

#### Advantages

- Each kernel-level thread can run in parallel on a multiprocessor
- When one thread blocks, other threads from process can be scheduled

#### Disadvantages

- Higher overhead for thread operations
- OS must scale well with increasing number of threads

# Demo: Basic threads

balance = balance + 1; balance at 0x9cd4

#### State:

0x9cd4: 100

%eax: ?

%rip = 0x195

process control

blocks:

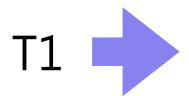
Thread 1

%eax: ?

%rip: 0x195

Thread 2

%eax: ?



- 0x195 mov 0x9cd4 %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax 0x9cd4A

#### State:

0x9cd4: 100

%eax: 100

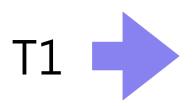
%rip = 0x19a

process control blocks: Thread 1 %eax: ?

%rip: 0x195

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 100

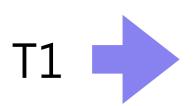
%eax: 101

%rip = 0x19d

process control blocks: Thread 1
%eax: ?
%rip: 0x195

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

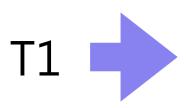
process control blocks: Thread 1
%eax: ?

%rip: 0x195

Thread 2

%eax: ?

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

process control

blocks:

Thread 1

%eax: ?

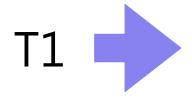
%rip: 0x195

Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



## **Thread Context Switch**

#### State:

0x9cd4: 101

%eax: ?

%rip = 0x195

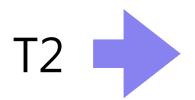
process control blocks: Thread 1

%eax: 101

%rip: 0x1a2

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x19a

process control

blocks:

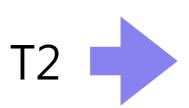
Thread 1

%eax: 101

%rip: 0x1a2

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

process

control

blocks:

#### State:

0x9cd4: 101

%eax: 102

%rip = 0x19d

Thread 1

%eax: 101

%rip: 0x1a2

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 102

%eax: 102

%rip = 0x1a2

process

control

blocks:

Thread 1

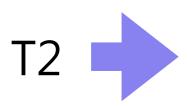
%eax: 101

%rip: 0x1a2

Thread 2

%eax: ?

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



#### State:

0x9cd4: 102

%eax: 102

%rip = 0x1a2

process

control

blocks:

Thread 1

%eax: 101

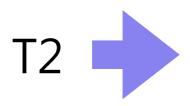
%rip: 0x1a2

Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



Desired Result!

# Another schedule

#### State:

0x9cd4: 100

%eax: ?

%rip = 0x195

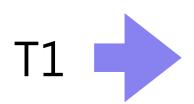
process control blocks: Thread 1

%eax: ?

%rip: 0x195

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 100

%eax: 100

%rip = 0x19a

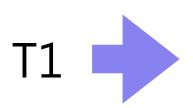
process control blocks: Thread 1

%eax: ?

%rip: 0x195

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 100

%eax: 101

%rip = 0x19d

Thread 1

%eax: ?

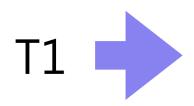
%rip: 0x195

Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



## **Thread Context Switch**

process

control

blocks:

#### State:

0x9cd4: 100

%eax: ?

%rip = 0x195

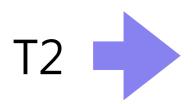
process control blocks: Thread 1

%eax: 101

%rip: 0x19d

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 100

%eax: 100

%rip = 0x19a

process

control

blocks:

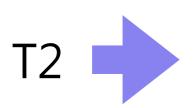
Thread 1

%eax: 101

%rip: 0x19d

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

process

control

blocks:

#### State:

0x9cd4: 100

%eax: 101

%rip = 0x19d

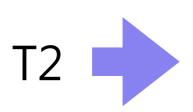
Thread 1

%eax: 101

%rip: 0x19d

Thread 2

%eax: ?



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

process

control

blocks:

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

Thread 1

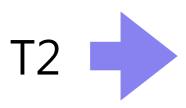
%eax: 101

%rip: 0x19d

Thread 2

%eax: ?

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4A



#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

process

control

blocks:

Thread 1

%eax: 101

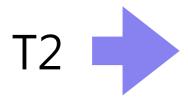
%rip: 0x19d

Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



# **Thread Context Switch**

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x19d

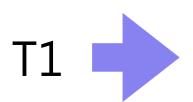
process control blocks: %eax: 101 %rip: 0x19d

Thread 1

Thread 2

%eax: 101

%rip: 0x1a2



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

## **Thread Context Switch**

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x19d

process control

controi blocks: Thread 1

%eax: 101

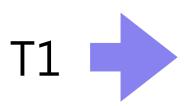
%rip: 0x19d

Thread 2

%eax: 101

%rip: 0x1a2

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

process control

blocks:

Thread 1

%eax: 101

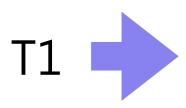
%rip: 0x1a2

Thread 2

%eax: 101

%rip: 0x1a2

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

process

control

blocks:

Thread 1

%eax: 101

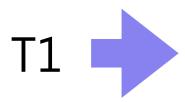
%rip: 0x1a2

Thread 2

%eax: 101

%rip: 0x1a2

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



WRONG Result! Final value of balance is 101

#### Thread 1

mov 0x123, %eax add %0x1, %eax mov %eax, 0x123

#### Thread 2

mov 0x123, %eax

add %0x2, %eax

mov %eax, 0x123

How much is added to shared variable? 3: correct!

#### Thread 1

mov 0x123, %eax add %0x1, %eax

mov %eax, 0x123

#### Thread 2

mov 0x123, %eax

add %0x2, %eax mov %eax, 0x123

How much is added?

2: incorrect!

#### Thread 1

mov 0x123, %eax

add %0x1, %eax

mov %eax, 0x123

#### Thread 2

mov 0x123, %eax

add %0x2, %eax

mov %eax, 0x123

1: incorrect!

How much is added?

#### Thread 1

mov 0x123, %eax add %0x1, %eax mov %eax, 0x123

#### Thread 2

mov 0x123, %eax add %0x2, %eax mov %eax, 0x123

#### Thread 1

Thread 2

mov 0x123, %eax add %0x2, %eax

mov 0x123, %eax add %0x1, %eax mov %eax, 0x123

mov %eax, 0x123

How much is added? 2: incorrect!

### Non-Determinism

Concurrency leads to non-deterministic results

- Not deterministic result: different results even with same inputs
- race conditions

Whether bug manifests depends on CPU schedule!

Passing tests means little

How to program: imagine scheduler is malicious Assume scheduler will pick bad ordering at some point...

### What do we want?

Want 3 instructions to execute as an uninterruptable group. That is, we want them to be atomic

mov 0x123, %eax add %0x1, %eax —critical section mov %eax, 0x123

More general:

Need mutual exclusion for critical sections

• if process A is in critical section C, process B can't (okay if other processes do unrelated work)

### Synchronization

Build higher-level synchronization primitives in OS

 Operations that ensure correct ordering of instructions acr oss threads

Motivation: Build them once and get them right

Monitors
Locks Semaphores
Condition Variables

Loads
Stores Test&Set
Disable Interrupts

# Locks

Goal: Provide mutual exclusion (mutex)

Three common operations:

- Allocate and Initialize
  - Pthread\_mutex\_t mylock = PTHREAD\_MUTEX\_INITIALIZER;
- Acquire
  - Acquire exclusion access to lock;
  - Wait if lock is not available (some other process in critical section)
  - Spin or block (relinquish CPU) while waiting
  - Pthread\_mutex\_lock(&mylock);
- Release
  - Release exclusive access to lock; let another process enter critical se ction
  - Pthread\_mutex\_unlock(&mylock);

# More Demos

### Conclusions

Concurrency is needed to obtain high performance by utilizing multiple cores

Threads are multiple execution streams within a single process or address space (share PID and address space, own registers a nd stack)

Context switches within a critical section can lead to non-deter ministic bugs (race conditions)

Use locks to provide mutual exclusion

### Implementing Synchronization

To implement, need atomic operations

**Atomic operation**: No other instructions can be interleaved Examples of atomic operations

- Code between interrupts on uniprocessors
  - Disable timer interrupts, don't do any I/O
- Loads and stores of words
  - Load r1, B
  - Store r1, A
- Special hw instructions
  - Test&Set
  - Compare&Swap

### Implementing Locks: Attempt #1

```
Turn off interrupts for critical sections
  Prevent dispatcher from running another thread
  Code executes atomically
Void acquire(lockT *I) {
      disableInterrupts();
Void release(lockT *I) {
      enableInterrupts();
```

Disadvantages??

### Implementing LOCKS: Attempt #2

Code uses a single shared lock variable

```
Boolean lock = false; // shared variable
Void acquire() {
      while (lock) /* wait */;
      lock = true;
Void release() {
      lock = false;
```

Why doesn't this work?