Component Design

For an Intrusion Detection System using a Neural Network

Version 1.0

Submitted in partial fulfillment of the requirements of the degree of MSE

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CIS 895 – MSE Project

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Table of Contents

1	Intı	roduction		
2	Arc	Architecture3		
3		mponent Design2		
	3.1	Component Diagram		
	3.2	Component Interface Specification		
4	Sta	ite Diagram6		
	4.1	State Diagram Specification		
5	Cla	ass Design		
	5.1	Class Design Diagram		
	5.1			
	5.1	.2 Recorder		
	5.1	.3 Neural Network		
	5.1	.4 Data Reader		
	5.1	.5 PyIDS (UI)		
6	Sec	quence Design20		
	6.1	Operating Sequence Diagram		
	6.2	Train Sequence Diagram		

1 Introduction

This document will provide the component design information for the PyIDS – a python interpretation of an intrusion detection system. The intrusion detection system is a single component itself but consists of several pieces that work together to perform the required functionality. This document will explain the detailed design of each of the components using the standard UML design language.

2 Architecture

The Intrusion Detection System architecture is a very simple design. The architecture is a layered approach that is event driven. There are three main layers contained within the IDS. The three layers are the Network Traffic Reader, Neural Network, and Recorder. The Network Traffic Reader is a data reading layer of the system. It will take the data from the network card and package it in a way that is useful to the rest of the system. The Neural Network layer of the system is the brains of the system. It will take the data that is read in the Network Traffic Reader layer and make a decision based on backpropogation training or loaded synapse weights. The final layer is the Recorder. The Neural Network layer will communicate to this layer indicating any malicious packets it has received. It is the Recorder layer's responsibility to log that information and notify the user. Since the system architecture is simple in nature, the Recorder also acts as the user interface. It will respond to the user when a start or train sequence is requested and notify the other layers of this information.

3 Component Design

In this section, we will look at the different components of the system and focus on how they interact with each other through interfaces. We will also look at what interfaces the overall system has with external devices and users.

3.1 Component Diagram

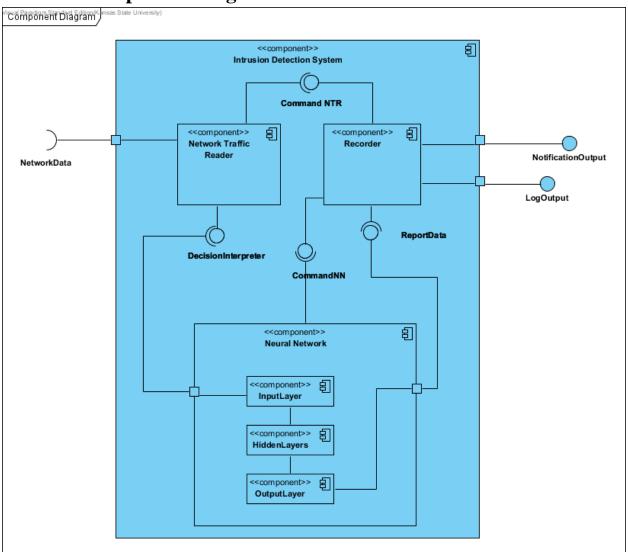


Figure 1. IDS System Component Design

3.2 Component Interface Specification

Figure 1 shows the component diagram of the Intrusion Detection System. There are three main components contained in the overall component of the Intrusion Detection System. There are also three external interfaces to this system which are the NetworkData, which is the data traffic, the NotificationOutput and

LogOutput which are notifications to the user about the data the system read. The Network Traffic Reader component is the component of the Intrusion Detection System that will read the network traffic. It will then pass this data via the DecisionInterpreter connection to the Neural Network component. This Neural Network component consists of three sub-components. These components are each of the layers of the Neural Network component. The InputLayer component will receive the data for the Neural Network component and pass the data on to the HiddenLayer and then to the OutputLayer. The OutputLayer then provides the connection of ReportData to the Recorder component. This connection will be how the Neural Network component passes any decisions of malicious data traffic to the recorder. Any non-malicious traffic can also be passed via this interface as well. The final component of this Intrusion Detection System is the Recorder component. This component is responsible for both logging and notifying the user of the data that it received.

4 State Diagram

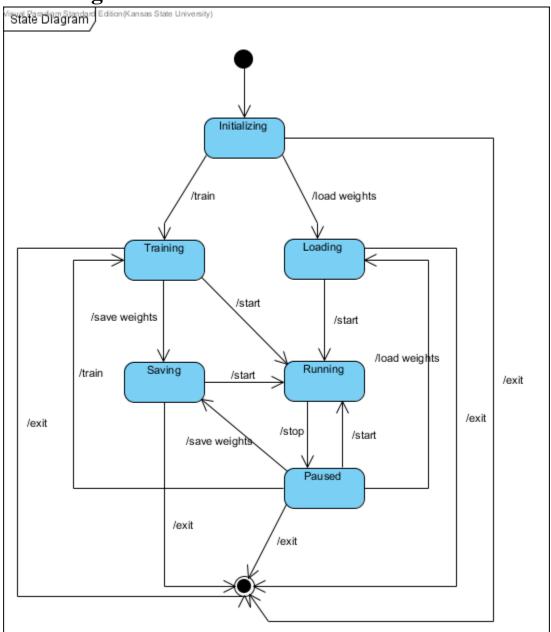


Figure 2. IDS State Diagram

4.1 State Diagram Specification

There are six main states for the IDS system. These six states are initializing, training, loading, saving, running, and paused. The entry point into the system is initializing and the exit point can be reached from any state by exiting the application. The actions to take from one state to the next are noted in the diagram.

5 Class Design

In this section, we will look briefly at a class design diagram of the three components of the system. Since this is a high level architecture document, this section will not completely detail each of these classes.

5.1 Class Design Diagram

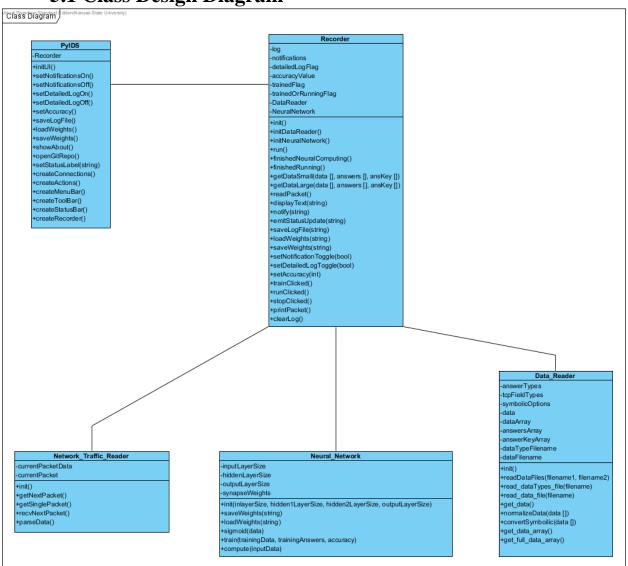


Figure 3. IDS System Class Diagrams

Figure 3 shows a class diagram of the three main components of the system. As mentioned previously, these three components are the Recorder, the Neural Network, and the Network Traffic Reader.

5.1.1 Network Traffic Reader

Name:	init
	This function will initialized the Network
Purpose	Traffic Reader
Inputs:	None
Outputs:	et
Pre-Conditions:	None
	The Network Traffic Reader will be
Post-Conditions:	initialized.

Name:	getNextPacket
	This function will get the next packet on the
Purpose	list.
Inputs:	None
Outputs:	currentPacketData string of data
Pre-Conditions:	The current packet data must be set.
Post-Conditions:	None

Name:	getSinglePacket
Purpose	This function will get a single new packet.
Inputs:	None
Outputs:	currentPacketData string of data
Pre-Conditions:	None
	The current packet data will be set and
Post-Conditions:	returned.

Name:	recvNextPacket
	This function will grab the newest packet
Purpose	off of the network card.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The current packet will be set.

Name:	parseData
	This function will parse the data out of the
Purpose	current packet.
Inputs:	currentacket string of data
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The current packet data will be set.

5.1.2 Recorder

Name:	init
Purpose	This function will initializes the Recorder.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	Recorder is initialized.

Name:	initDataReader
	This function will initialize the data reader
Purpose	part of the system.
Inputs:	None
Outputs:	None
Pre-Conditions:	The Recorder is initialized.
	The system will have the data and be
Post-Conditions:	considered initialized.

Name:	initNeuralNetwork
	This function will initialize the neural
Purpose	network part of the system.
Inputs:	None
Outputs:	None
Pre-Conditions:	The Recorder is initialized.
	The system will have initilized the neural
Post-Conditions:	network and be considered trained.

Name:	run
	This function will tell the system to begin
Purpose	running.
Inputs:	None
Outputs:	None
Pre-Conditions:	The Neural Network must be trained.
Post-Conditions:	The system will be operating.

Name:	finishedNeuralComputing
	This function will determine if the system
Purpose	should begin running or not after training.
Inputs:	None
Outputs:	None
Pre-Conditions:	The Neural Network must be trained.
Post-Conditions:	The system will be considered trained.

Name:	finishedRunning
	This function will perofrm cleanup on the
Purpose	completion of a run.
Inputs:	None
Outputs:	None
Pre-Conditions:	The system is running.
Post-Conditions:	The system is stopped.

Name:	getDataSmall
Purpose	This function stores the small data set
	data list of values; answers list of values;
Inputs:	ansKey list of values
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The data will be stored in the Recorder.

Name:	getDataLarge
Purpose	This function stores the large data set
	data list of values; answers list of values;
Inputs:	ansKey list of values
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The data will be stored in the Recorder.

Name:	readPacket
Purpose	This function reads a single new packet.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
	The single packet will be sent to the log and
Post-Conditions:	notifications.

Name:	displayText
	This function will print the text to the log
Purpose	and store it to be printed later.
Inputs:	info string
Outputs:	None
Pre-Conditions:	None
	The data will be stored and printed to the
Post-Conditions:	log.

Name:	notify
	This function will print the notification
Purpose	string.
Inputs:	info string
Outputs:	Notification
Pre-Conditions:	None
Post-Conditions:	The info will be displayed in a notification.

Name:	emitStatusUpdate
	This function will tell the status bar to
Purpose	update the status.
Inputs:	status string
Outputs:	None
Pre-Conditions:	None
	The status bar will be updated with new
Post-Conditions:	status.

Name:	saveLogFile
Purpose	This function will save the log data to a file.
Inputs:	filename string
Outputs:	Log File
Pre-Conditions:	None
Post-Conditions:	The log file will be written out.

Name:	loadWeights
	The function will load the currently saved
Purpose	synapse weights into the Neural Network.
Inputs:	filename string
Outputs:	None
	The UI is initialized and saved weights must
Pre-Conditions:	exist.
Post-Conditions:	The Neural Network will be trained.

Name:	saveWeights
	The function will save the current weights
Purpose	to a file.
Inputs:	filename string
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	There will be a save weights file.

Name:	setNotificationToggle
	This function will set the flag to show or not
Purpose	show notifications.
Inputs:	flag bool
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The notifications flag will be set.

Name:	setDetailedLogToggle
	This function will set the flag to show or not
Purpose	show the detailed log.
Inputs:	flag bool
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The detailed log flag will be set.

Name:	setAccuracy
	This function will set the current minimum
Purpose	accuracy requirement.
Inputs:	value integer
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The minimum accuracy value will be set.

Name:	trainClicked
Purpose	This function will start training the system
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The system will be trained.

Name:	runClicked
Purpose	This function will start running the system
Inputs:	None
Outputs:	None
Pre-Conditions:	The system is trained.
Post-Conditions:	The system will be running.

Name:	stopClicked
	This function will tell the system to stop
Purpose	running.
Inputs:	None
Outputs:	None
Pre-Conditions:	The system must be running.
Post-Conditions:	The system must not be running.

Name:	printPacket
	This function will get a new packet and print
Purpose	it.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
	A new packet will be passed to the
Post-Conditions:	readPacket function.

Name:	clearLog
Purpose	This function will clear the displayed log.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The log will be cleared.

5.1.3 Neural Network

Name:	init
	This function will set the size for the
Purpose	number of the layer nodes.
	inputLayerSize integer; hiddenLayer1Size
	integer; hiddenLayer2Size integer;
Inputs:	outputLayerSize integer
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The input layer size will exist.

Name:	saveWeights
Purpose	This function will save the weights.
Inputs:	filename string
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The weights of the system will be saved.

Name:	loadWeights
Purpose	This function will load the weights.
Inputs:	filename string
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The weights will be loaded.

Name:	sigmoid
	This function will perform a sigmoid
Purpose	function on the data it is given.
Inputs:	data matrix of integers
Outputs:	data matrix of integers
Pre-Conditions:	None
Post-Conditions:	None

Name:	train
Purpose	This function will train the Neural Network.
	trainingData matrix of integers
	trainingAnswers matrix of integers
Inputs:	accuracy integer
Outputs:	data matrix of integers
	Training data must have been read into the
Pre-Conditions:	Neural Network.
	The Neural Network will be considered
Post-Conditions:	trained.

Name:	compute
	This function will compute the decision for
Purpose	a signle set of data.
Inputs:	data matrix of integers
Outputs:	answer integer
Pre-Conditions:	The Neural Network must be trained.
Post-Conditions:	None

5.1.4 Data Reader

Name:	init
	This function will initialized the Data
Purpose	Reader.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The Data Reader will be initialized.

Name:	readDataFiles
	This function will call the methods to read
Purpose	the data files.
Inputs:	dataTypeFile string; dataFile string
Outputs:	None
Pre-Conditions:	The Data Reader is initialized.
	The data files have been read into
Post-Conditions:	memory.

Name:	read_dataTypes_file
	This function will read the dataTypes file
	and store the values needed into data
Purpose	structures.
Inputs:	filename string
Outputs:	None
Pre-Conditions:	The Data Reader is initialized.
Post-Conditions:	The data file has been read into memory.

Name:	read_data_file
	This function will read the data file and
	store the values needed into data
Purpose	structures.
Inputs:	filename string
Outputs:	None
Pre-Conditions:	The Data Reader is initialized.
Post-Conditions:	The data file has been read into memory.

Name:	get_data
Purpose	This function returns the current data.
Inputs:	None
Outputs:	data list of strings
Pre-Conditions:	The data has been read into memeory.
Post-Conditions:	None

Name:	normalizeData
	This function returns the current data
Purpose	normalized.
Inputs:	data list of strings
Outputs:	data list of strings
Pre-Conditions:	The data has been read into memeory.
Post-Conditions:	None

Name:	convertSymbolic
	This function returns symbolic values as
Purpose	the actual numerical value.
Inputs:	data list of strings
Outputs:	data list of strings
Pre-Conditions:	The data has been read into memeory.
Post-Conditions:	None

Name:	get_data_array
	This function parses out the useful data
Purpose	from the data in memory.
Inputs:	None
Outputs:	data list of strings
Pre-Conditions:	None
Post-Conditions:	The data has been read into memeory.

Name:	get_full_data_array
	This function parses out the useful data
Purpose	from the data in memory.
Inputs:	None
Outputs:	data list of strings
Pre-Conditions:	None
Post-Conditions:	The data has been read into memeory.

5.1.5 **PyIDS** (UI)

Name:	initUl
	This function will initialize the User
Purpose	Interface (UI) portion of the system.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
	The UI will be initialized and can be
Post-Conditions:	displayed.

Name:	setNotificationsOn
	This function will tell the Recorder to turn
Purpose	on the notifications setting.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	Notifications can now be displayed.

Name:	setNotificationsOff
	This function will tell the Recorder to turn
Purpose	off the notifications setting.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	Notifications can now not be displayed.

Name:	setDetailedLogOn
	This function will tell the Recorder to turn
Purpose	on the detailed log setting.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The detailed log setting will be on.

Name:	setDetailedLogOff
	This function will tell the Recorder to turn
Purpose	off the detailed log setting.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The detailed log setting will be off.

Name:	setAccuracy
	This function will tell the Recorder the
	minimum accuracy requirement for the
Purpose	Neural Network.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
	The Neural Network accuracy setting will be
Post-Conditions:	changed

Name:	saveLogFile
Purpose	This function will save the log to a file.
Inputs:	None
Outputs:	Log File
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The log will be saved to a file.

Name:	loadWeights
Purpose	This funtion will load a weights file.
Inputs:	None
Outputs:	None
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The weights file will be loaded.

Name:	saveWeights
Purpose	This funtion will save the weights to a file.
Inputs:	None
Outputs:	Weights File
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The weights will be saved to a file.

Name:	showAbout
Purpose	This function will show the about window.
Inputs:	None
Outputs:	The about window will be up.
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The about window will be shown.

Name:	openGitRepo
	This function will take the user to the
Purpose	GitHub page.
Inputs:	None
Outputs:	The GiyHub page will be opened.
Pre-Conditions:	The UI is initialized.
Post-Conditions:	The GitHub page will be shown.

Name:	setStatusLabel
	This function will change the status on the
Purpose	status bar.
Inputs:	status string
Outputs:	None
Pre-Conditions:	The UI is initialized.
	The status label on the status bar is
Post-Conditions:	updated.

Name:	createConnections
	This function will setup connections for
Purpose	when actions are triggered.
Inputs:	None
Outputs:	None
Pre-Conditions:	The actions have been created.
Post-Conditions:	The connections have been created.

Name:	createActions
	This function will create actions that the
Purpose	user can perform.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The actions have been created.

Name:	createMenuBar
Purpose	This function will create the menu bar.
Inputs:	None
Outputs:	None
Pre-Conditions:	The actions have been created.
Post-Conditions:	The menu bar has been created.

Name:	createToolBar
Purpose	This function will create the tool bar.
Inputs:	None
Outputs:	None
Pre-Conditions:	The actions have been created.
Post-Conditions:	The tool bar has been created.

Name:	createStatusBar
Purpose	This function will create the status bar.
Inputs:	None
Outputs:	None
Pre-Conditions:	The actions have been created.
Post-Conditions:	The status bar has been created.

Name:	createRecorder
Purpose	This function will create the Recorder.
Inputs:	None
Outputs:	None
Pre-Conditions:	None
Post-Conditions:	The Recorder has been created.

6 Sequence Design

In this section, we will look at a couple of the main operating sequences and how system communicates between the different internal components and also to any external user or device.

Sequence Diagram Sequence Diagram Sequence Diagram Neural Network Data Reader 1: Run 2: BeginReadingTraffic 3: Read NextMessage 4: PassMessageData 5: MakeDecision 6: RecordDecision

Figure 4. IDS System Operating Sequence Diagram

Figure 4 shows a sequence diagram of the main operating sequence for the Intrusion Detection System. The sequence begins with the user selecting to begin running the system. The user interface is separated in the diagram to help distinguish between it and the Recorder functionality. As mentioned previously, these two aspects will be handled by the Recorder. The User Interface notifies the Network Data Reader to begin reading network traffic. As each message is read, the Network Data Reader will pass the message data to the Neural Network. The Neural Network will then make a decision about the data packet and notify the Recorder of malicious packets. The Recorder will then notify the user by a notification system and a logging system. This process is an iterative process for each message read by the Network Data Reader.

Name: 1. Operating Sequence Diagram

Description: This use case will allow a *User* to start operating the *IDS* which will notify the *User* of any malicious packets.

Actors: User

Stakeholders: *User* – To start the system.

Specializes: None

Includes: None

Extends: None

Triggers: The *User* selects the start operation.

Pre-condition: *IDS* has been trained.

Basic Flow:

1. The *User* selects the start operating option of the GUI.

- 2. The GUI notifies the rest of the system to begin reading packets.
- 3. The packet reader sends packets to the Neural Network to make decisions.
- 4. The Neural Network notifies the Recorder of the decisions that are made.
- 5. The Recorder notifies the *User* when a malicious packet is found.

Post-conditions: The *IDS* is running.

Exceptions: None

Constraints: None

Variants: The *User* may stop the system which will temporary pause the system until

"Start" is selected again.

Comments: Only malicious packets are notified to the *User*.

Std Sequence Diagram - Train User Interface Neural Network 1: BeginTraining 2: RequestTrainingData 3: Read TrainingData

6.2 Train Sequence Diagram

Figure 5. IDS System Train Sequence Diagram

Figure 5 shows a sequence diagram of the training scenario. This sequence begins with the user selecting to begin training. The User Interface notifies the Neural Network to begin training. The Neural Network will read the Data File containing the training data. It will then evaluate its performance of the training information and notify the user that it has now been trained and is ready to start.

Name: 2. Training Sequence Diagram

Description: This use case will train the *IDS*.

Actors: User

Stakeholders: *User* – To train the system.

Specializes: None

Includes: None

Extends: None

Triggers: The *User* selects the train operation.

Pre-condition: None

Basic Flow:

- 1. The *User* selects the train operating option of the GUI.
- 2. The GUI notifies the Neural Network to begin training.
- 3. The Neural Network read in the training data file.
- 4. The Neural Network begins training until the requested accuracy is met.
- 5. The *IDS* reports to the *User* that it has been trained.

Post-conditions: The *IDS* is trained.

Exceptions: None **Constraints**: None

Variants: The *IDS* may not be able to reach the requested accuracy. In this case, the

system will keep the last training state and report that accuracy.

Comments: None.