

COMPLIANCE AND ETHICS REPORTING FORM¹

Confidential

Non-Intimidation and Non-Retaliation Policy

The facility protects employees who report suspected violations, and will ensure confidentiality of compliance and Ethics reporting and compliance and Ethics investigations unless the matter is turned over to law enforcement or disclosure is required during a legal proceeding.

Date reported: _____

Time: _____

Description of Incident:

Parties Involved in the Incident:

Date(s) of Incident: _____

(Optional) Name of Person Reporting Concern _____

(Optional) Contact Phone Number: _____

Does not wish to give name _____

Requests identity to be kept in confidence _____

¹ To be used with Individual Reporting of Compliance and Ethics Concerns Policy and Procedure.