

Chris McCormick [About](#) [Tutorials](#) [Archive](#)

Word2Vec Tutorial Part 2 - Negative Sampling

11 Jan 2017

In part 2 of the word2vec tutorial (here's [part 1](#)), I'll cover a few additional modifications to the basic skip-gram model which are important for actually making it feasible to train.

When you read the tutorial on the skip-gram model for Word2Vec, you may have noticed something—it's a huge neural network!

In the example I gave, we had word vectors with 300 components, and a vocabulary of 10,000 words. Recall that the neural network had two weight matrices—a hidden layer and output layer. Both of these layers would have a weight matrix with $300 \times 10,000 = 3$ million weights each!

Running gradient descent on a neural network that large is going to be slow. And to make matters worse, you need a huge amount of training data in order to tune that many weights and avoid over-fitting. millions of weights times billions of training samples means that training this model is going to be a beast.

The authors of Word2Vec addressed these issues in their second [paper](#).

There are three innovations in this second paper:

1. Treating common word pairs or phrases as single "words" in their

model.

2. Subsampling frequent words to decrease the number of training examples.
3. Modifying the optimization objective with a technique they called "Negative Sampling", which causes each training sample to update only a small percentage of the model's weights.

It's worth noting that subsampling frequent words and applying Negative Sampling not only reduced the compute burden of the training process, but also improved the quality of their resulting word vectors as well.

Word Pairs and "Phrases"

The authors pointed out that a word pair like "Boston Globe" (a newspaper) has a much different meaning than the individual words "Boston" and "Globe". So it makes sense to treat "Boston Globe", wherever it occurs in the text, as a single word with its own word vector representation.

You can see the results in their published model, which was trained on 100 billion words from a Google News dataset. The addition of phrases to the model swelled the vocabulary size to 3 million words!

If you're interested in their resulting vocabulary, I poked around it a bit and published a post on it [here](#). You can also just browse their vocabulary [here](#).

Phrase detection is covered in the "Learning Phrases" section of their [paper](#). They shared their implementation in `word2phrase.c`—I've shared a commented (but otherwise unaltered) copy of this code [here](#).

I don't think their phrase detection approach is a key contribution of their paper, but I'll share a little about it anyway since it's pretty straightforward.

Each pass of their tool only looks at combinations of 2 words, but you can run it multiple times to get longer phrases. So, the first pass will pick up the phrase "New_York", and then running it again will pick up "New_York_City" as a combination of "New_York" and "City".

The tool counts the number of times each combination of two words appears in the training text, and then these counts are used in an equation to determine which word combinations to turn into phrases. The equation is designed to make phrases out of words which occur together often relative to the number of individual occurrences. It also favors phrases made of infrequent words in order to avoid making phrases out of common words like "and the" or "this is".

You can see more details about their equation in my code comments [here](#).

One thought I had for an alternate phrase recognition strategy would be to use the titles of all Wikipedia articles as your vocabulary.

Subsampling Frequent Words

In part 1 of this tutorial, I showed how training samples were created from the source text, but I'll repeat it here. The below example shows some of the training samples (word pairs) we would take from the sentence "The quick brown fox jumps over the lazy dog." I've used a small window size of 2 just for the example. The word highlighted in blue is the input word.

Source Text	Training Samples
<div>The quick brown fox jumps over the lazy dog.</div> →	(the, quick) (the, brown)
<div>The quick brown fox jumps over the lazy dog.</div> →	(quick, the) (quick, brown) (quick, fox)
<div>The quick brown fox jumps over the lazy dog.</div> →	(brown, the) (brown, quick) (brown, fox) (brown, jumps)
<div>The quick brown fox jumps over the lazy dog.</div> →	(fox, quick) (fox, brown) (fox, jumps) (fox, over)

There are two “problems” with common words like “the”:

1. When looking at word pairs, (“fox”, “the”) doesn’t tell us much about the meaning of “fox”. “the” appears in the context of pretty much every word.
2. We will have many more samples of (“the”, ...) than we need to learn a good vector for “the”.

Word2Vec implements a “subsampling” scheme to address this. For each word we encounter in our training text, there is a chance that we will effectively delete it from the text. The probability that we cut the word is related to the word’s frequency.

If we have a window size of 10, and we remove a specific instance of “the” from our text:

1. As we train on the remaining words, “the” will not appear in any of their context windows.

2. We'll have 10 fewer training samples where "the" is the input word.

Note how these two effects help address the two problems stated above.

Sampling rate

The word2vec C code implements an equation for calculating a probability with which to keep a given word in the vocabulary.

w_i is the word, $z(w_i)$ is the fraction of the total words in the corpus that are that word. For example, if the word "peanut" occurs 1,000 times in a 1 billion word corpus, then $z(\text{'peanut'}) = 1\text{E-}6$.

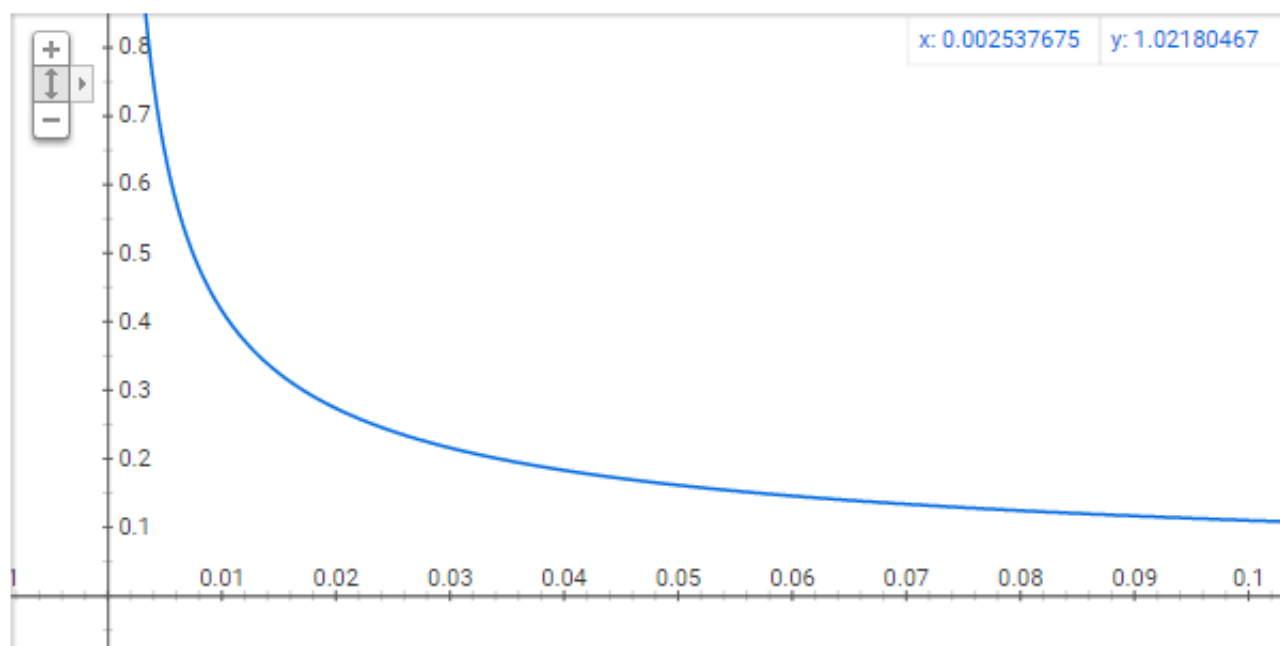
There is also a parameter in the code named 'sample' which controls how much subsampling occurs, and the default value is 0.001. Smaller values of 'sample' mean words are less likely to be kept.

$P(w_i)$ is the probability of *keeping* the word:

$$P(w_i) = \left(\sqrt{\frac{z(w_i)}{0.001}} + 1 \right) \cdot \frac{0.001}{z(w_i)}$$

You can plot this quickly in Google to see the shape.

Graph for $(\sqrt{x/0.001}+1)*0.001/x$



No single word should be a very large percentage of the corpus, so we want to look at pretty small values on the x-axis.

Here are some interesting points in this function (again this is using the default sample value of 0.001).

- $P(w_i) = 1.0$ (100% chance of being kept) when $z(w_i) \leq 0.0026$.
 - This means that only words which represent more than 0.26% of the total words will be subsampled.
- $P(w_i) = 0.5$ (50% chance of being kept) when $z(w_i) = 0.00746$.
- $P(w_i) = 0.033$ (3.3% chance of being kept) when $z(w_i) = 1.0$.
 - That is, if the corpus consisted entirely of word w_i , which of course is ridiculous.

You may notice that the paper defines this function a little differently than what's implemented in the C code, but I figure the C implementation is the more authoritative version.

Negative Sampling

Training a neural network means taking a training example and adjusting all of the neuron weights slightly so that it predicts that training sample more accurately. In other words, each training sample will tweak *all* of the weights in the neural network.

As we discussed above, the size of our word vocabulary means that our skip-gram neural network has a tremendous number of weights, all of which would be updated slightly by every one of our billions of training samples!

Negative sampling addresses this by having each training sample only modify a small percentage of the weights, rather than all of them. Here's how it works.

When training the network on the word pair ("fox", "quick"), recall that the "label" or "correct output" of the network is a one-hot vector. That is, for the output neuron corresponding to "quick" to output a 1, and for *all* of the other thousands of output neurons to output a 0.

With negative sampling, we are instead going to randomly select just a small number of "negative" words (let's say 5) to update the weights for. (In this context, a "negative" word is one for which we want the network to output a 0 for). We will also still update the weights for our "positive" word (which is the word "quick" in our current example).

The paper says that selecting 5-20 words works well for smaller datasets, and you can get away with only 2-5 words for large datasets.

Recall that the output layer of our model has a weight matrix that's 300 x 10,000. So we will just be updating the weights for our positive word ("quick"), plus the weights for 5 other words that we want to output 0. That's a total of 6 output neurons, and 1,800 weight values total. That's only 0.06% of the 3M weights in the output layer!

In the hidden layer, only the weights for the input word are updated (this is true whether you're using Negative Sampling or not).

Selecting Negative Samples

The "negative samples" (that is, the 5 output words that we'll train to output 0) are selected using a "unigram distribution", where more frequent words are more likely to be selected as negative samples.

For instance, suppose you had your entire training corpus as a list of words, and you chose your 5 negative samples by picking randomly from the list. In this case, the probability for picking the word "couch" would be equal to the number of times "couch" appears in the corpus, divided the total number of word occus in the corpus. This is expressed by the following equation:

$$P(w_i) = \frac{f(w_i)}{\sum_{j=0}^n (f(w_j))}$$

The authors state in their paper that they tried a number of variations on this equation, and the one which performed best was to raise the word counts to the 3/4 power:

$$P(w_i) = \frac{f(w_i)^{3/4}}{\sum_{j=0}^n (f(w_j)^{3/4})}$$

If you play with some sample values, you'll find that, compared to the simpler equation, this one has the tendency to increase the probability for less frequent words and decrease the probability for more frequent words.

The way this selection is implemented in the C code is interesting. They have a large array with 100M elements (which they refer to as the unigram table). They fill this table with the index of each word in the vocabulary multiple times, and the number of times a word's index appears in the table is given by $P(w_i) * \text{table_size}$. Then, to actually select a negative sample, you just generate a random integer between 0 and 100M, and use the word at that index in the table. Since the higher probability words occur more times in the table, you're more likely to pick those.

Other Resources

For the most detailed and accurate explanation of word2vec, you should check out the C code. I've published an extensively commented (but otherwise unaltered) version of the code [here](#).

Also, did you know that the word2vec model can also be applied to non-text data for recommender systems and ad targeting? Instead of learning vectors from a sequence of words, you can learn vectors from a sequence of user actions. Read more about this in my new post [here](#).

Finally, I've also created a [post](#) with links to and descriptions of other word2vec tutorials, papers, and implementations.

Cite

McCormick, C. (2017, January 11). *Word2Vec Tutorial Part 2 - Negative Sampling*. Retrieved from <http://www.mccormickml.com>

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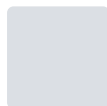


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**Yueting Liu** · 2 years ago

I have got a virtual map in my head about word2vec within a couple hours thanks to your posts. The concept doesn't seem daunting anymore. Your posts are so enlightening and easily understandable. Thank you so much for the wonderful work!!!

27 ^ | ▾ · Reply · Share ›

**Chris McCormick**  Yueting Liu · 2 years ago

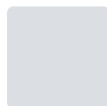
Awesome! Great to hear that it was so helpful--I enjoy writing these tutorials, and it's very rewarding to hear when they make a difference for people!

7 ^ | ▾ · Reply · Share ›

**1mike12** ➔ Yueting Liu · a year ago

I agree, shit is lit up cuz

1 ^ | ▾ · Reply · Share ›

**Mahnaz K** · 6 months ago

Hi Chris, I hope that you'll still read and respond to comments on this post sometimes. Great post, also appreciate clarification made in comments. I've a question for you. When you're explaining the $3/4$ power for weights, you're referring to range(0,1). But the X axis is the word frequency which won't fall in that range. Shouldn't we be focused on the rest of plot and note that raising frequency to the power of $3/4$ will change the distribution the way that words with their frequencies being in a (wide) range would be treated the same way? - almost like bucketing!

26 ^ | ▾ · Reply · Share ›

**binspiredmamakrissy** ➔ Mahnaz K · 2 months ago

mahnaz_k more

^ | ▾ · Reply · Share ›

**Smith Jason** ➔ Mahnaz K · 2 months ago

In my opinion, I think you can limit the range of the $f(w_i)$ to (0,1) by dividing $N_{3/4}$ on both denominator and numerator. N is the sum of the word frequency of the whole words in the training vocabulary. Instead of taking $f(w_i)$ as the

word frequency, you can see it as the the fraction of the total words in the corpus that are that word.

^ | v · Reply · Share ›

Laurence Obi · 10 months ago

Hi Chris,

Awesome post. Very insightful. However, I do have a question. I noticed that in the bid to reduce the amount of weights we'll have to update, frequently occurring words were paired and viewed as one word. Intuitively, that looks to me like we've just added an extra word (New York) while other versions of the word New that have not occurred with the word York would be treated as stand-alone. Am I entirely wrong to assume that the ultimate size of our one-hot encoded vector would grow in this regard?

Thanks.

4 ^ | v · Reply · Share ›

Mahnaz K → Laurence Obi · 6 months ago

"Common word pair" is an improvement to the original model in a way to increase the performance of the model. As it was mentioned in the post the context for "New" as a single word is different than the context for "New-York". Unlike other improvements presented in second paper, this one brings a cost along. Still it's worth it.

^ | v · Reply · Share ›

fangchao liu · a year ago

Thanks a lot for your awesome blog!

But I got a question about the negative sampling process while reading.

In the paper, it'll sample some negative words to which the outputs are expected zeros, but what if the sampled word is occasionally in the context of the input word? Form example, sentence is "The quick brown fox jumps over the lazy dog", input word is "fox", the positive word is "jumps", and one sampled word is "brown". Will this situation result in some errors?

2 ^ | v · Reply · Share ›

lovekesh thakur → fangchao liu · 5 months ago

It's probabilistic model. So, if we have a large vocabulary, the probability of occurring this will be small.

^ | v · Reply · Share ›

Jane · 2 years ago

so aweeesome! Thanks Chris! Everything became soo clear! So much fun learn it all!

2 ^ | v · Reply · Share ›

Chris McCormick → Jane · 2 years ago

 **Chris McCormick** Mod · Jane · 2 years ago
Haha, thanks, Jane! Great to hear that it was helpful.
^ | v · Reply · Share ›

 **Ben Bowles** · 2 years ago

Thanks for the great tutorial.

About this comment "Recall that the output layer of our model has a weight matrix that's $300 \times 10,000$. So we will just be updating the weights for our positive word ("quick"), plus the weights for 5 other words that we want to output 0. That's a total of 6 output neurons, and 1,800 weight values total. That's only 0.06% of the 3M weights in the output layer!"

Should this actually be 3600 weights total for each training example, given that we have an embedding matrix and an matrix of weights, and BOTH involve updating 1800 weights (300×6 neurons)? (Both of which should be whatever dimension you are using for your embeddings multiplied by vocab size)?

1 ^ | v · Reply · Share ›

 **Chris McCormick** Mod → Ben Bowles · 2 years ago
Hi Ben, thanks for the comment.

In my comment I'm talking specifically about the output layer. If you include the hidden layer, then yes, there are more weights updated. The number of weights updated in the hidden layer is only 300, though, not 1800, because there is only a single input word.

So the total for the whole network is 2,100. 300 weights in the hidden layer for the input word, plus 6×300 weights in the output layer for the positive word and five negative samples.

And yes, you would replace "300" with whatever dimension you are using for your word embeddings. The vocabulary size does *not* factor into this, though - you're just working with one input word and 6 output words, so the size of your vocabulary doesn't impact this.

Hope that helps! Thanks!

^ | v · Reply · Share ›

 **Ben Bowles** → Chris McCormick · 2 years ago

This is super helpful, I appreciate this. My intuition (however naive it may be) was that the embeddings in the hidden layer for the negative sample words should also be updated as they are relevant to the loss function. Why is this not the case? I suppose I may have to drill down into the equation for backprop to find out. I suppose it has to do with

the fact that when the one-hot vector is propagated forward in the network, it amounts to selecting only the embedding that corresponds to the target word.

^ | v · Reply · Share ›



Chris McCormick Mod → Ben Bowles · 2 years ago

That's exactly right--the derivative of the model with respect to the weights of any other word besides our input word is going to be zero.

Hit me up on [LinkedIn!](#)

^ | v · Reply · Share ›



Leland Milton Drake → Chris McCormick · a year ago

Hey Chris,

When you say that only 300 weights in the hidden layer are updated, are you assuming that the training is done with a minibatch of size 1?

I think if the minibatch is greater than 1, then the number of weights that will be updated in the hidden layer is $300 \times \text{number of unique input words in that minibatch}$.

Please correct me if I am wrong.

And thank you so much for writing this post. It makes reading the academic papers so much easier!

48 ^ | v · Reply · Share ›



Chris McCormick Mod → Leland Milton Drake · a year ago

Hi Leleand, that's correct--I'm just saying that there are only 300 weights updated per input word.

1 ^ | v · Reply · Share ›



Octavian Bordeanu · 6 days ago

Hi. Great tutorial and thank you. I was wondering what is the resource paper for the following:

"They have a large array with 100M elements (which they refer to as the unigram table). They fill this table with the index of each word in the vocabulary multiple times, and the number of times a word's index appears in the table is given by $P(w_i) \times \text{table_size}$."

I am interested to know why 100 million was chosen as the table size.

^ | v · Reply · Share ›

amine ahnine · 11 days ago

this is probably one of the best articles i've read so far in the matter of word2vec and how it was optimized. Thank you very much Chris!

^ | v · Reply · Share ›

Raki Lachraf · 12 days ago

exactly what I needed to know about Negative Sampling thank you so much may God bless you !

^ | v · Reply · Share ›

Louis · a month ago

This article is pure gold. Thanks Chris

^ | v · Reply · Share ›

Mingxuan Cao · a month ago

hi, thanks for your sharing. You mentioned "In the hidden layer, only the weights for the input word are updated (this is true whether you're using Negative Sampling or not)". I think you are here referring to the input weights matrix, right? How about for the 300x6 output weights matrix when using Negative Sampling? How do you get the weights for this 300x6 matrix? Is it copied from the input weights matrix for these 6 words? Because if only the weights for the input word are updated, then this 300x6 matrix will never be updated. Just wonder where do we get it.

^ | v · Reply · Share ›

Dhruv Bhargava · 2 months ago

In subsampling, do we remove all the instances of the words which have selection probability less than 1 or do we remove the corresponding amount of words (for ex. if the selection probability of a word is .5 then do we remove 50% of it's instances??) also if we do remove all the instances then how are the representations for those words learnt ??

^ | v · Reply · Share ›

Clive777 · 3 months ago

I have a silly qts if my input is quick
Then my output should be
The ,fox , brown rite ?

^ | v · Reply · Share ›

mlwhiz · 3 months ago

This is the most simple explanation of the topic that I found. Thanks for taking out the time to write this

time to write this,

^ | v · Reply · Share ›

trault14 · 4 months ago

Thanks again Chris for this awesome explanation !

I have a question. Why is the length of the unigram table chosen to be 100M elements in the C-code implementation ? Does 100M have a special meaning relative the vocabulary size or the size of the hidden layer ?

^ | v · Reply · Share ›

Ali KebariGhotbi · 5 months ago

Chris, Awesome explanation. You have saved us thousands of man hours putting these together. I have a little point which might be worth adding. The objective function (Eq 4) in the second paper by Mikolov et. al. is an approximation to softmax objective function. Specially, the way $p(w_i)$ s for negative samples show up in equation 4 might be a great addition to this article. Thanks so much for mincing the papers for us.

^ | v · Reply · Share ›

Steven Ghoussain · 6 months ago

Thanks for the great article!

There appear to be two definitions provided for the probability of keeping a word (a negative sample): the first is inversely proportional to the frequency of the word and the second is directly proportional to the frequency of the word?

^ | v · Reply · Share ›

Steven Ghoussain · 6 months ago

Thanks for the great article!

There appear to be two definitions provided for computing the probability that a word is kept: the first is inversely proportional to the frequency of the word and the second is directly proportional to the frequency of the word?

^ | v · Reply · Share ›

Ashish Ranjan · 6 months ago

Hello Chris!

First of all - Thanks a ton for this post. Made my life easier. I have a question which I would appreciate if you could kindly address. In the Negative Sampling section I read:

"In the hidden layer, only the weights for the input word are updated (this is true whether you're using Negative Sampling or not)."

Why are the weights only for input word updated and not for every other one? If we

are using negative log-likelihood then we also calculate the cost using the $(1-y) \cdot \log(1-y)$ part. Wouldn't this also update weights of other words other than input words? I am sure I am missing something (probably masking of the cross_entropy value before final scalar sum) and I request if you could point me in the right direction.

Cheers!!

^ | v · Reply · Share ›

Amer Alsabbagh · 6 months ago

I really appreciate the details and resources you linked in this article!

^ | v · Reply · Share ›

Gabriel Falcones · 7 months ago

Truly a great explanation!! Thanks for the tutorial!!

^ | v · Reply · Share ›

Rajani M · 9 months ago

Hi. I have doubt on skipgram.

Consider the window size 5 and sentence contains less than 5 words. Whether this kind of sentence trains? or ignores?

^ | v · Reply · Share ›

Andres Suarez → Rajani M · 8 months ago

It will train with the available words only.

^ | v · Reply · Share ›

Rajani M → Andres Suarez · 8 months ago

you mean, It will train the words even though sentence contains less than five words, right?

^ | v · Reply · Share ›

Andres Suarez → Rajani M · 8 months ago

Indeed, it will train a sentence with less words than needed by the window, and it will use only the available words.

^ | v · Reply · Share ›

Rajani M → Andres Suarez · 8 months ago

Thank you so much. This helped me lot.

^ | v · Reply · Share ›

adante · a year ago

Thank you - this is around the fifth word2vec tutorial I've read and the first that has

made sense!

^ | v · Reply · Share ›

li xiang · a year ago

awesome! i am a student from china ,I had read a lot of papers about word2vec.I still can not understand it. after read your post, i finally figured out the insight of word2vec。 thanks a lot。

^ | v · Reply · Share ›

Aakash Tripathi · a year ago

A great blog!!

Got a query in the end- In negative sampling, if weights for "more likely" words are tuned more often in output layer, then if a word is very infrequent (ex - it comes only once in the entire corpus), how'd it's weights be updated?

^ | v · Reply · Share ›

Andres Suarez → Aakash Tripathi · 8 months ago

The weights for a word, the word vector itself, is just updated when the word occurs as input. The negative sampling affects the weights of the output layer, which are not considered in the final vector model.

Looking at the implementation, there's also a parameter which cuts down words that occur less times than a threshold (which is a parameter), so a hapax legomenon (a word occurring only once in a corpus) it might not even appear in any training pair, unless you set your threshold to 1.

^ | v · Reply · Share ›

Deepak Sharma · a year ago

Amazingly explained! I am grateful.

^ | v · Reply · Share ›

Janina Nuber · a year ago

Really nicely explained. Yet I have one question - what is the intuition behind this: "The paper says that selecting 5-20 words works well for smaller datasets, and you can get away with only 2-5 words for large datasets."

I would have expected quite the opposite - that one needs more negative samples in large datasets than in small datasets. Is it because smaller datasets are more prone to overfitting, so I need more negative samples to compensate for that?

Thanks so much!

^ | v · Reply · Share ›

Ziyue Jin · a year ago

The paper says that selecting 5-20 words works well for smaller datasets, and you can get away with only 2-5 words for large datasets."

I thank you very much. I am newbie to this area and your visualization helped me a lot. I have a clear picture of why skip-gram model is good.

^ | v · Reply · Share ›

Joey Bose · a year ago

So the subsampling $P(w_i)$ is not really a probability as its not bounded between 0-1. Case in point try it for $1e-6$ and you get a 1000 something, threw me for quite a loop when i was coding this.

^ | v · Reply · Share ›

Chris McCormick **Mod** → Joey Bose · a year ago

Yeah, good point. You can see that in the plot of $P(w_i)$.

^ | v · Reply · Share ›

Robik Shrestha · a year ago

Yet again crystal clear!

^ | v · Reply · Share ›

Chris McCormick **Mod** → Robik Shrestha · a year ago

Thanks!

^ | v · Reply · Share ›

kasa · a year ago

Hi Chris! Great article, really helpful. Keep up the good work. I just wanted to know your opinion on -ve sampling. The reason why we go for backpropagation is to calculate the derivative of Error WRT various weights. If we do -ve sampling, I feel that we are not capturing the true derivative of error entirely; rather we are approximating its value. Is this understanding correct?

^ | v · Reply · Share ›

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yoch — Very great explanation, thank you !

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yongle — awesome post



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