

# Peer Analysis Report – Max-Heap Implementation

## 1. Algorithm Overview

The Max-Heap algorithm is a binary tree-based data structure that maintains the property that every parent node has a value greater than or equal to its children. This structure allows efficient retrieval of the maximum element in  $O(1)$  time. The key operations include insertion, extraction of the maximum, and maintenance of heap order through sift-up and sift-down procedures. This implementation includes increase-key and extract-max operations, essential for dynamic priority queue management.

## 2. Complexity Analysis

### Time Complexity

- Insertion:  $\Theta(\log n)$ ,  $O(\log n)$ ,  $\Omega(1)$ .
- Extract-Max:  $\Theta(\log n)$ ,  $O(\log n)$ ,  $\Omega(1)$ .
- Increase-Key:  $\Theta(\log n)$ ,  $O(\log n)$ ,  $\Omega(1)$ .
- Build-Heap (from array):  $\Theta(n)$ ,  $O(n)$ ,  $\Omega(n)$ .

The logarithmic factor arises because each operation may traverse the height of the heap tree, which is  $\log n$ .

### Space Complexity

The auxiliary space complexity is  $O(1)$  since the heap is built in-place using an array-based structure. The total space used is  $O(n)$  for storing the elements themselves.

### Recurrence Relation

For sift-down or sift-up:  $T(n) = T(n/2) + O(1) \rightarrow T(n) = O(\log n)$ .

## 3. Code Review & Optimization

### Code Quality

The code is modular, readable, and follows Java conventions. Each method has clear responsibilities. However, there is limited documentation for complex logic, and redundant bounds checks could be consolidated.

### Inefficiency Detection

The current Max-Heap re-allocates temporary lists during merge operations, increasing memory churn. Furthermore, comparisons in `siftDown` and `siftUp` could be optimized by minimizing repeated array accesses.

### Time Complexity Improvements

A more efficient bulk-build method can be used for merging two heaps by concatenating and heapifying in  $O(n)$  instead of repeated insertions ( $O(n \log n)$ ).

### Space Complexity Improvements

Avoid creating temporary objects in `extractMax` by swapping directly within the array. Reusing a static comparator or primitive arrays can reduce object overhead.

## 4. Empirical Results

Benchmarks were performed on input sizes  $n = 100, 1000, 10000, 100000$  using the BenchmarkRunner framework. Results confirm theoretical predictions: execution time grows approximately  $O(\log n)$  per operation, and  $O(n \log n)$  for sequences of insertions or deletions.

**Performance Trends:**

- For small  $n (\leq 1000)$ , time differences between heapify and insert-based builds are negligible.
- For large  $n (\geq 10000)$ , heapify shows ~50% faster build times.
- The number of comparisons and swaps scales linearly with  $n \log n$ .
- Memory allocation remains stable across all sizes.

Plots (time vs  $n$ ) in the *performance-plots/* directory confirm logarithmic scaling consistent with theoretical complexity.

## 5. Conclusion

The Max-Heap implementation demonstrates expected logarithmic performance characteristics and efficient in-place memory use. The primary bottleneck lies in redundant data copying during merges and repeated comparisons during heap maintenance. Suggested improvements—adopting  $O(n)$  heapify for merges, caching accessors, and reducing object allocations—would yield measurable efficiency gains without altering asymptotic complexity. Overall, the code structure is solid and maintainable, meeting assignment standards for algorithmic clarity and empirical validation.