

Shor's Algorithm

September 3, 2023

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Periodic functions

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Observe $p = r$ due to $f(x + r) \equiv a^{x+r} \equiv a^x \times a^r \equiv a^x \equiv f(x)$

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Period to factoring

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So $\gcd(ca, N)$ and $\gcd(c'b, N)$ to equal p and q or q and p respectively
 $\gcd((x^{r/2} - 1), N)$ and $\gcd((x^{r/2} + 1), N)$ can be used to find p and q \square

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Probability of conditions on r holding

- ① $x^{r/2} \not\equiv 1 \pmod{N}$
- ② $x^{r/2} \not\equiv -1 \pmod{N}$
- ③ r is even

1. Holds due to r being the smallest for $x^r \equiv 1 \pmod{N}$. \therefore if it didn't hold then r wouldn't be the smallest thus contradiction

2. and 3. are proved to hold at least $\frac{1}{2}$ time for a random x , Appendix M [Mermin, 2007].

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Shor Algorithm Overview

- The first part of this presentation was the classical part of Shor Algorithm, which allows for factoring if you have the period of a periodic function.
- The quantum part of the algorithm acquire this period. It does this by using Quantum Parallelism with Quantum Fourier Transform throw in.
- Fourier Transform decomposes a function into frequency components

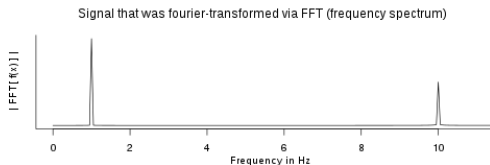
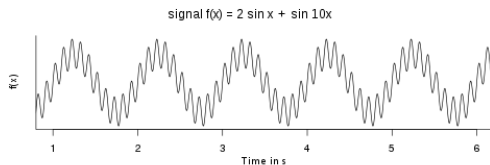
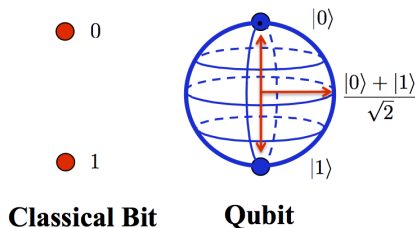


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Hadamard gate

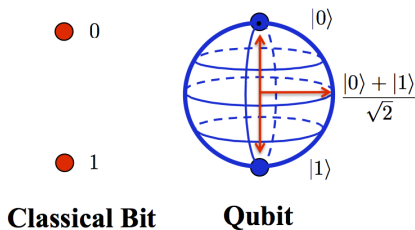


$$H^{\otimes n} |x\rangle = \frac{\sum_z (-1)^{x \cdot z} |z\rangle}{2^{n/2}}$$

$$H^{\otimes n} |000\rangle = \frac{1}{\sqrt{8}} (|000\rangle + |001\rangle + |010\rangle + |011\rangle + |100\rangle + |101\rangle + |110\rangle + |111\rangle)$$

$$\sum_{i=0}^{2^n-1} |\alpha_i|^2 = 1$$

Hadamard gate



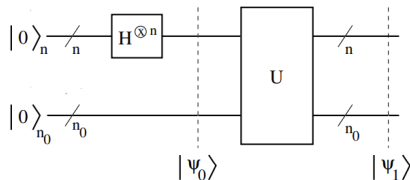
$$H^{\otimes n} |x\rangle = \frac{\sum_z (-1)^{x \cdot z} |z\rangle}{2^{n/2}} H^{\otimes n} |000\rangle = \frac{1}{\sqrt{8}} (|000\rangle + |001\rangle + |010\rangle + |011\rangle + |100\rangle + |101\rangle + |110\rangle + |111\rangle)$$

Using the above the probability of measuring 001 (the first qubit being zero, second zero, third one) is $\frac{(\frac{1}{\sqrt{8}})^2}{\sum_{i=0}^{2^n-1} |\alpha_i|^2} = \frac{1}{8}$

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Superpositioned input



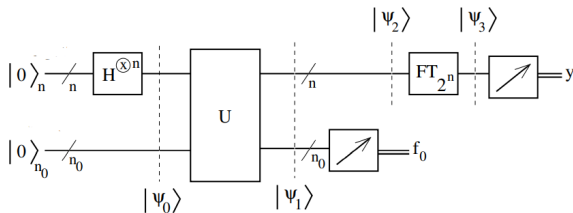
We assume $2^n > N^2$ where n is the number of qubits in the first register and $n_0 = \frac{n}{2}$ in second.

[Young, 2022] The state entering U is $|\psi_0\rangle = \frac{1}{2^{n/2}} \sum_{x=0}^{2^n-1} |x\rangle_n |0\rangle_{n_0}$ and the state exiting from U is $|\psi_1\rangle = \frac{1}{2^{n/2}} \sum_{x=0}^{2^n-1} |x\rangle_n |f(x)\rangle_{n_0}$ where $f(x) = a^x \bmod N$.

U is treated as a black box however under the hood it could use modular exponentiation (same as square and multiply) to achieve this.

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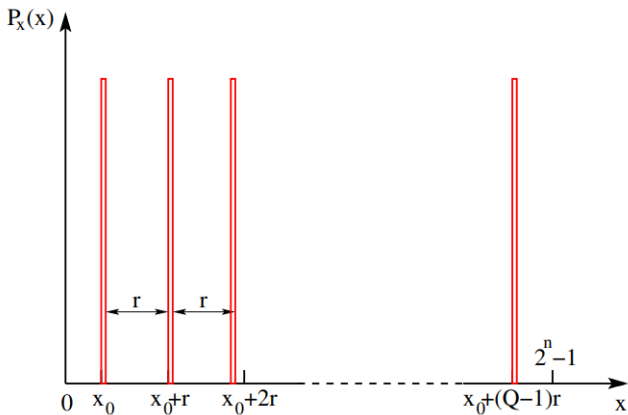


We take a measurement on the lower register which fields f_0 by the extended Born hypothesis the ψ_2 contains a superposition of x where $f(x) = f_0$ i.e $f(x_0 + kr) = f_0$ where $Q = \lfloor \frac{2^n}{r} \rfloor$

$$|\psi_2\rangle = \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} |x_0 + kr\rangle_n$$

FT_{2^n} perform a quantum Fourier transform on ψ_2

$$|\psi_3\rangle = \sum_{p=0}^{2^n-1} (\frac{1}{\sqrt{2^n Q}} \sum_{k=0}^{Q-1} e^{2\pi i(x_0+kr)p/2^n} |p\rangle_n)$$



The above shows the probability of getting a certain value when we take a measurement on $|\psi_2\rangle = \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} |x_0 + kr\rangle_n$

$$P(y) = \frac{1}{2^n Q} \left| \sum_{k=0}^{Q-1} e^{2\pi i k r y / 2^n} \right|^2$$

let $y = m \frac{2^n}{r} + \delta$, we assume $2^n, r$ and m is large (this comes from assuming there are at least N periods in the data x thus $2^n > N^2$ [Mermin, 2007])

$$\begin{aligned} \sum_{k=0}^{Q-1} e^{2\pi i k r y} &= \sum_{k=0}^{Q-1} e^{2\pi i k m} e^{2\pi i k r \delta / 2^n} \\ &= \sum_{k=0}^{Q-1} (-1)^{2km} e^{2\pi i k r \delta / 2^n} \\ &= \sum_{k=0}^{Q-1} e^{2\pi i Q r \delta / 2^n} \\ &= \frac{1 - e^{2\pi i Q r \delta / 2^n}}{1 - e^{2\pi i Q r \delta / 2^n}} \\ &= \frac{e^{\pi i Q r \delta / 2^n} \sin(\pi Q r \delta / 2^n)}{e^{\pi i r \delta / 2^n} \sin(\pi r \delta / 2^n)} \end{aligned}$$

$$\begin{aligned}\sum_{k=0}^{Q-1} e^{2\pi i k r y} &= \frac{(-1)^{Qr\delta/2^n} \sin(\pi Qr\delta/2^n)}{(-1)^{r\delta/2^n} \sin(\pi r\delta/2^n)} \\ &= \frac{\sin(\pi Qr\delta/2^n)}{\sin(\pi r\delta/2^n)}\end{aligned}$$

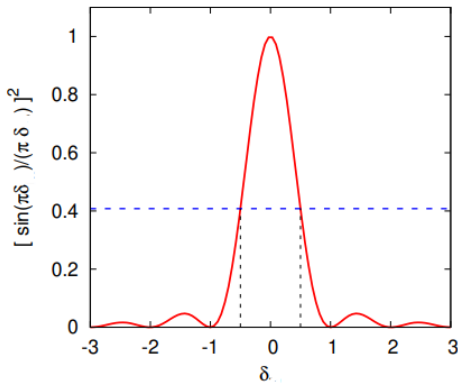
\therefore

$$P(y) = \frac{1}{2^n Q} \left| \frac{\sin(\pi Qr\delta/2^n)}{\sin(\pi r\delta/2^n)} \right|^2$$

Recall that $Q = \lfloor \frac{2^n}{r} \rfloor$ thus Q is at most a one integer away from $\frac{2^n}{r}$ and Q is large $\therefore \frac{Qr}{2^n}$ being 1 is a good approximation.

$\frac{r}{2^n}$ goes small when n is large, since we take n that there are at least N periods and $2^n > N^2$ thus the argument in the $\sin()$ is small allowing to us to use the $\sin()$ approximation

$$P(y) = \frac{1}{r} \left(\frac{\sin(\pi\delta)}{\pi\delta} \right)^2$$



Thus at least 40% of the time δ is within $-\frac{1}{2}$ and $\frac{1}{2}$

Thus y is at most $\frac{1}{2}$ away from $\frac{2^nm}{r}$ 40% of the time. We now assume we have this y .

$$\therefore |y - 2^n \frac{m}{r}| < \frac{1}{2}$$

$$\therefore |\frac{y}{2^n} - \frac{m}{r}| < \frac{1}{2^{n+1}}$$

$$x = c_0 + \frac{1}{c_1 + \frac{1}{c_2 + \frac{1}{c_3 + \dots}}}$$

if $|\frac{y}{2^n} - \frac{m}{r}| < \frac{1}{2^{n+1}}$ then $\frac{m}{r}$ is one of the partial sums in the continued fraction representation of $\frac{y}{2^n}$, A4.16 in Appendix 4

[Nielsen and Chuang, 2010] Using this you can get the value of $\frac{m}{r}$ if m and r have no common factors then you can get r which happens $\frac{1}{2}$ of the time Appendix J [Mermin, 2007] if not you can do some tricks to get r .

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Summary

- Shor algorithm can find factors of N in polynomial time
- Its probabilistic at two stages in the algorithm meaning you may need to run shor algorithm multiple times on different values of x
- The algorithm is hard to implement, however it was implement on a quantum computer which was able to factor 15

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