

Compute-and-Forward: An Explicit Link between Finite Field and Gaussian Interference Networks

Bobak Nazer
Boston University

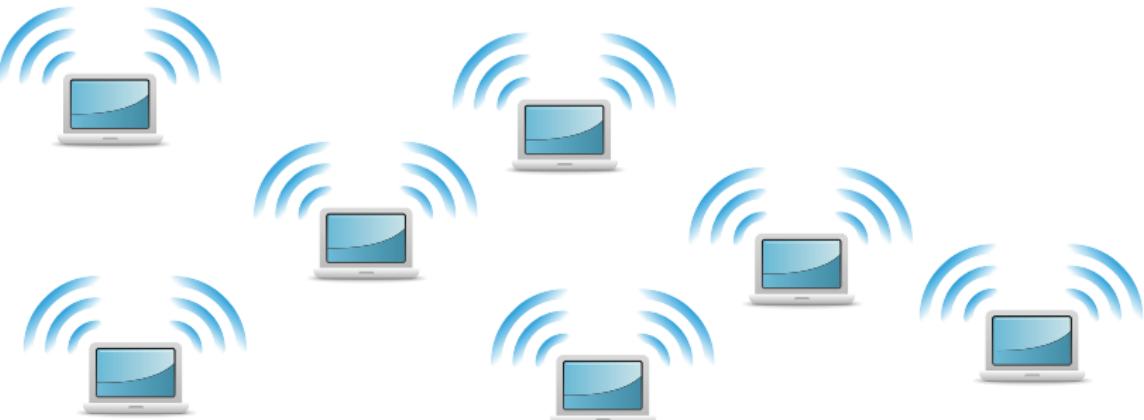
Netcod 2013
June 8, 2013

Multi-User Wireless Networks



- Must cope with **interference**, **fading**, and **noise**.

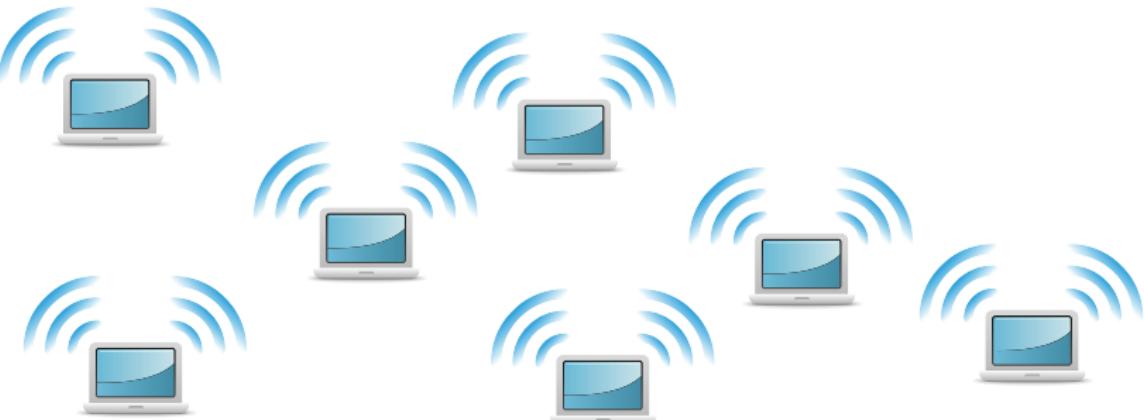
Multi-User Wireless Networks



- Must cope with **interference**, **fading**, and **noise**.
- Receivers observe noisy linear combinations of transmitted signals:

$$\mathbf{y} = \sum_{\ell} h_{\ell} \mathbf{x}_{\ell} + \mathbf{z}$$

Multi-User Wireless Networks

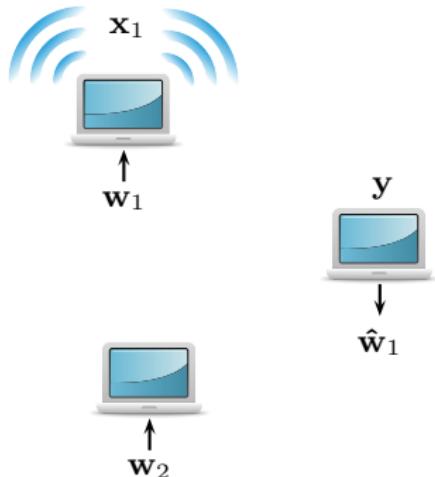


- Must cope with **interference**, **fading**, and **noise**.
- Receivers observe noisy linear combinations of transmitted signals:

$$\mathbf{y} = \sum_{\ell} h_{\ell} \mathbf{x}_{\ell} + \mathbf{z}$$

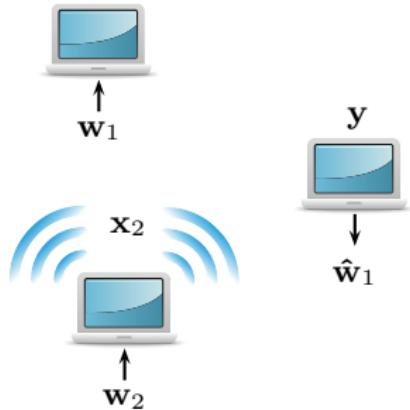
- How should we deal with interference?

Multi-User Wireless Networks



Possible Coding Strategies:

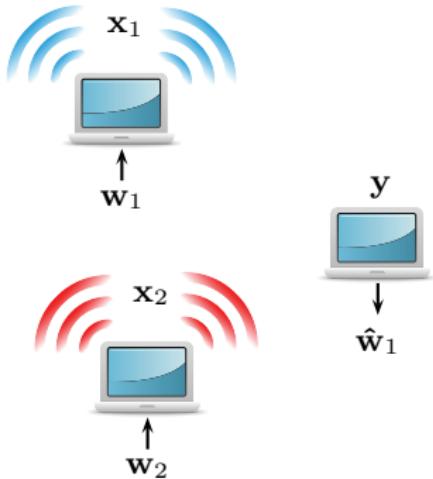
- Avoid interference / orthogonalize.



Possible Coding Strategies:

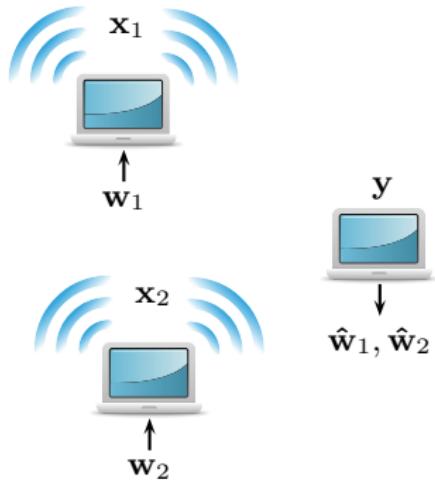
- Avoid interference / orthogonalize.

Multi-User Wireless Networks



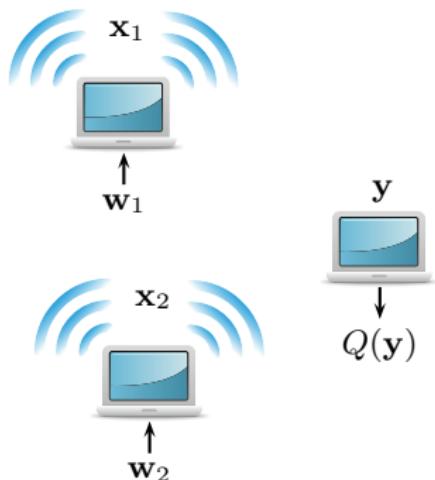
Possible Coding Strategies:

- Avoid interference / orthogonalize.
- Treat interference as noise.



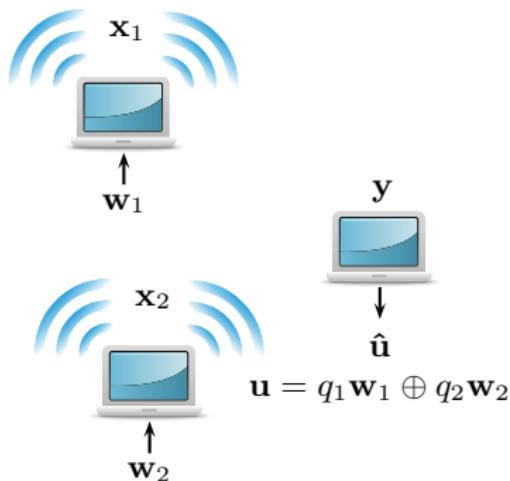
Possible Coding Strategies:

- Avoid interference / orthogonalize.
- Treat interference as noise.
- Decode interfering codewords.



Possible Coding Strategies:

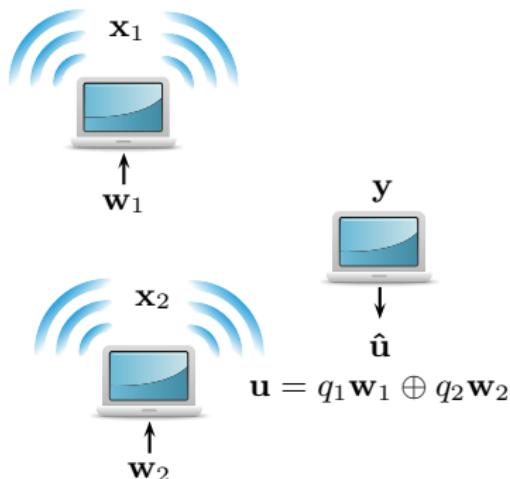
- Avoid interference / orthogonalize.
- Treat interference as noise.
- Decode interfering codewords.
- Work with the analog channel output (or a quantized version).



Possible Coding Strategies:

- Avoid interference / orthogonalize.
- Treat interference as noise.
- Decode interfering codewords.
- Work with the analog channel output (or a quantized version).
- Decode linear combinations of codewords.

- **Conventional Approach:** First, eliminate **interference** and then remove **noise**.



Possible Coding Strategies:

- Avoid interference / orthogonalize.
- Treat interference as noise.
- Decode interfering codewords.
- Work with the analog channel output (or a quantized version).
- Decode linear combinations of codewords.

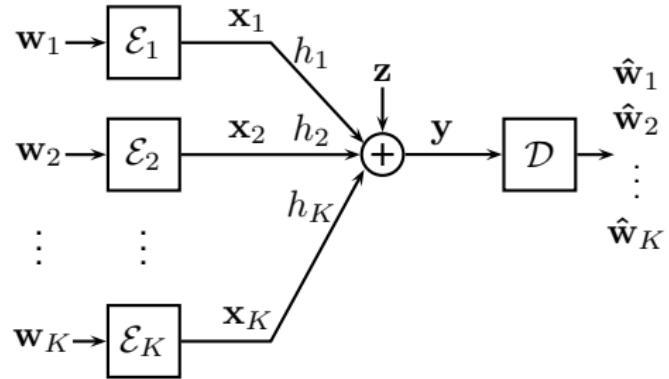
- **Conventional Approach:** First, eliminate **interference** and then remove **noise**.
- **This Talk:** First, remove **noise** and then eliminate **interference**.

Compute-and-Forward

Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.

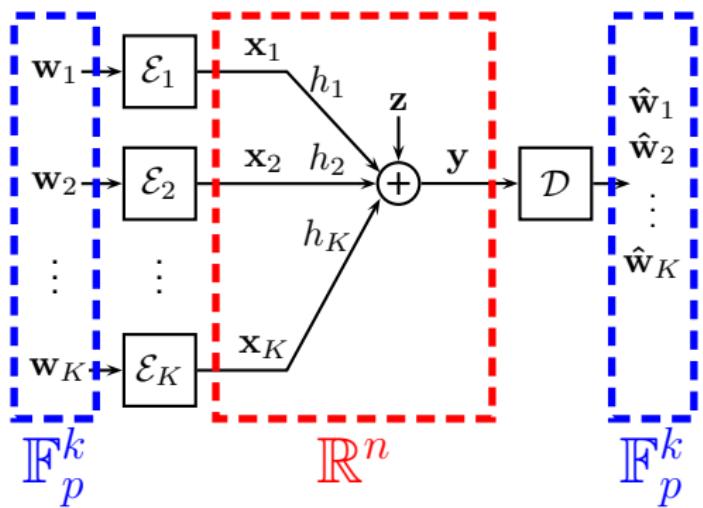
Compute-and-Forward

Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



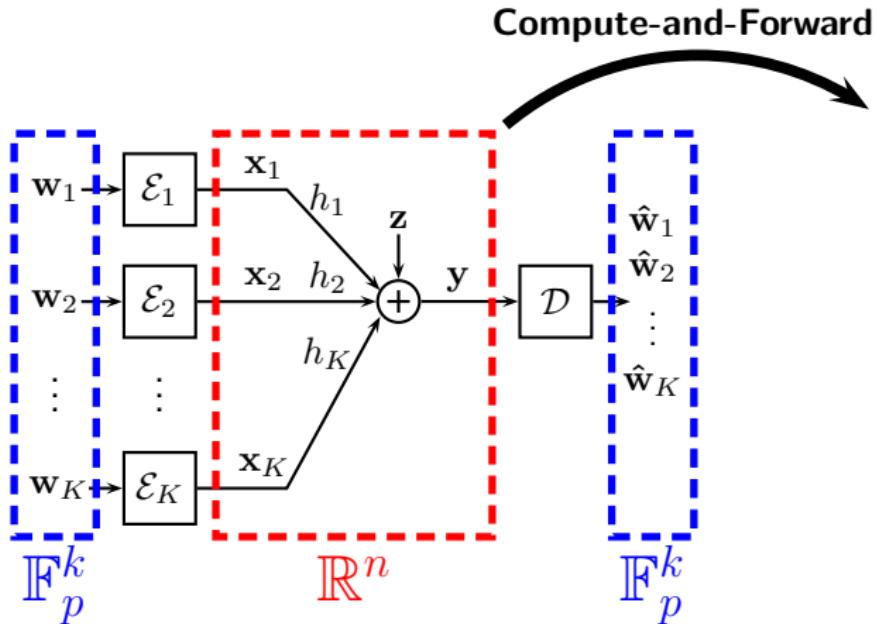
Compute-and-Forward

Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



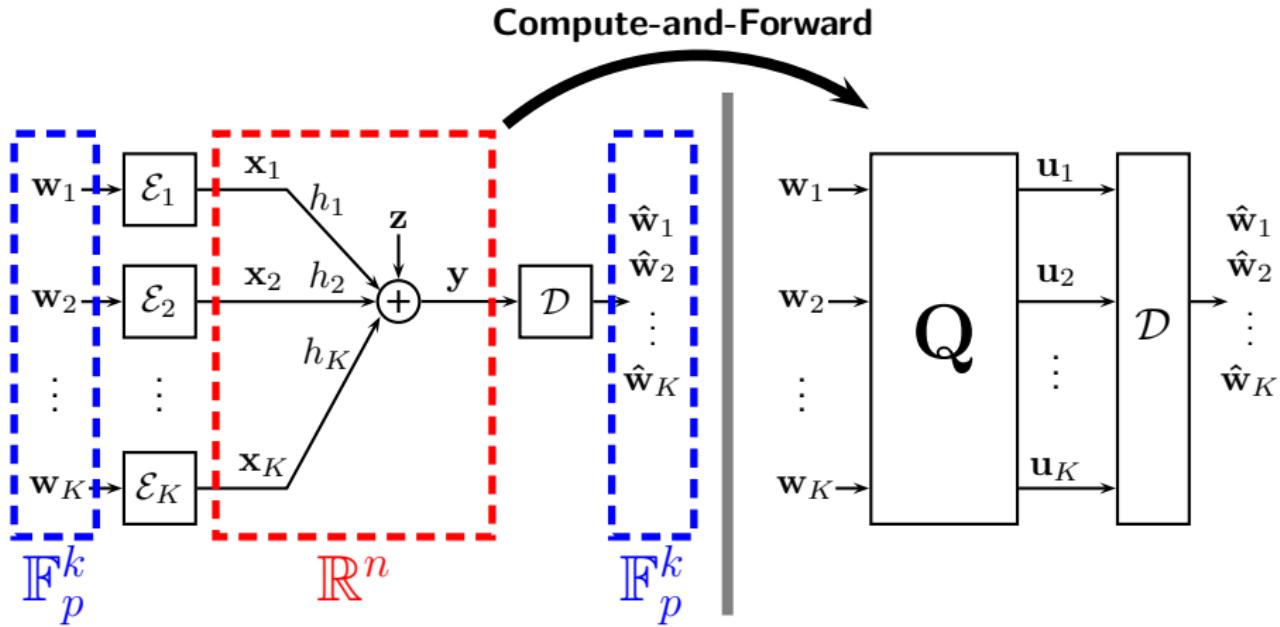
Compute-and-Forward

Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



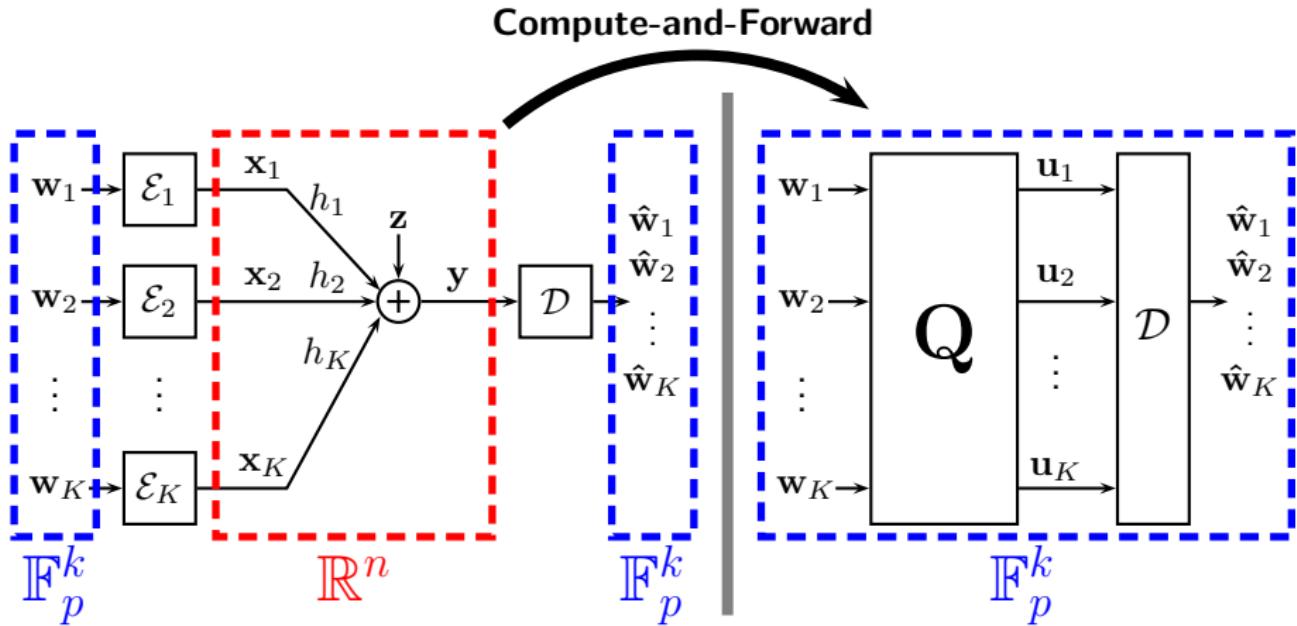
Compute-and-Forward

Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



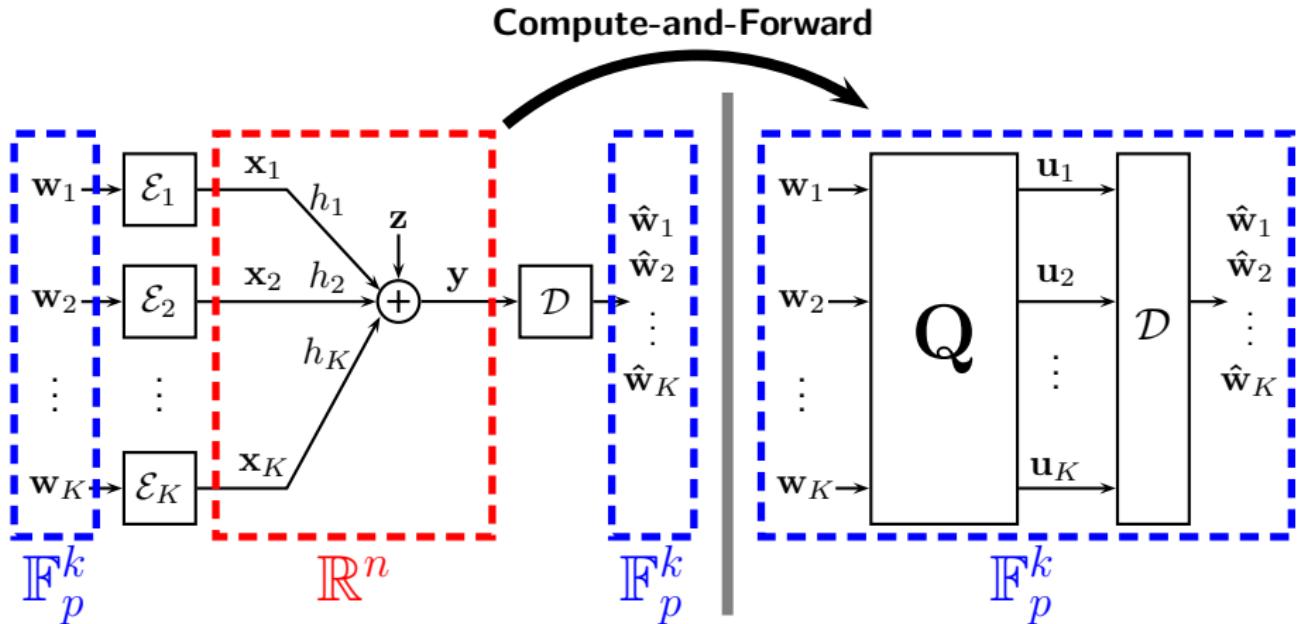
Compute-and-Forward

Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



Compute-and-Forward

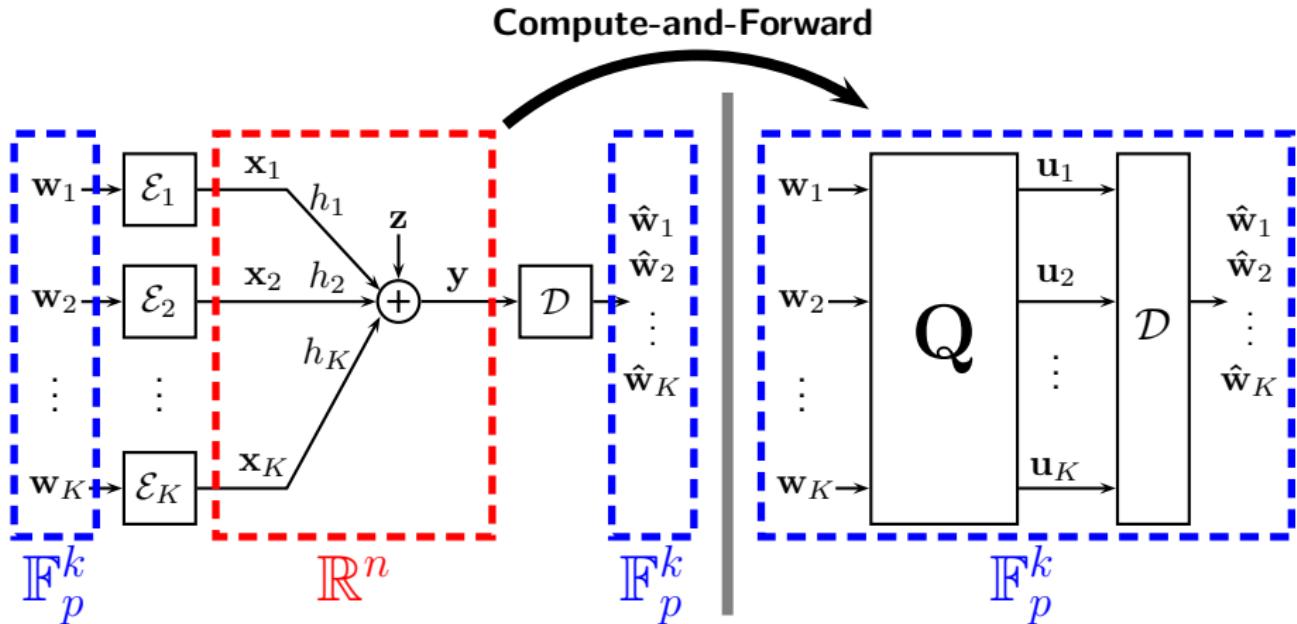
Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



- Which linear combinations can be sent over a given channel?

Compute-and-Forward

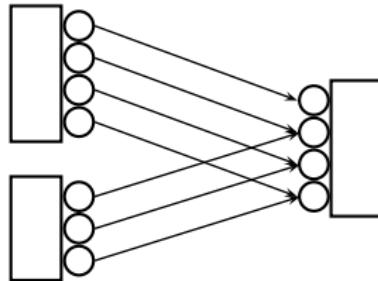
Goal: Convert **noisy Gaussian** networks into **noiseless finite field** ones.



- Which linear combinations can be sent over a given channel?
- Where can this help us?

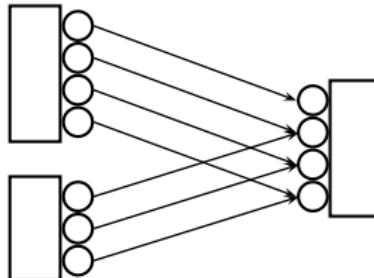
Top-Down vs. Bottom-Up

Deterministic Model from Avestimehr-Diggavi-Tse '11:

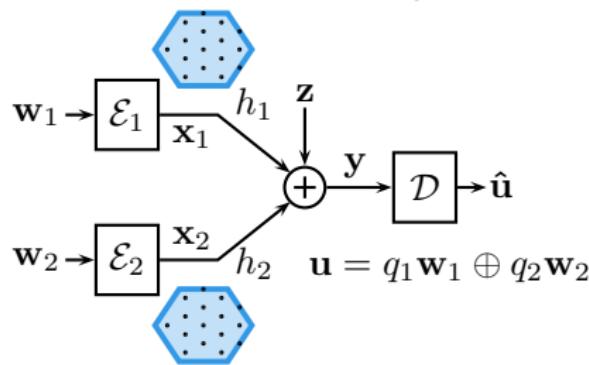


Top-Down vs. Bottom-Up

Deterministic Model from Avestimehr-Diggavi-Tse '11:



Compute-and-Forward from Nazer-Gastpar '11:



Top-Down vs. Bottom-Up

Deterministic Model from Avestimehr-Diggavi-Tse '11:

- Top-down approach.

Compute-and-Forward from Nazer-Gastpar '11:

- Bottom-up approach.

Top-Down vs. Bottom-Up

Deterministic Model from Avestimehr-Diggavi-Tse '11:

- Top-down approach.
- Proofs guided by finite-field models but no explicit mapping.

Compute-and-Forward from Nazer-Gastpar '11:

- Bottom-up approach.
- Explicit mapping from Gaussian to finite-field models.

Top-Down vs. Bottom-Up

Deterministic Model from Avestimehr-Diggavi-Tse '11:

- Top-down approach.
- Proofs guided by finite-field models but no explicit mapping.
- Clear interpretation of achievable rate region as a constant gap from cut-set upper bounds for many interesting topologies.

Compute-and-Forward from Nazer-Gastpar '11:

- Bottom-up approach.
- Explicit mapping from Gaussian to finite-field models.
- More challenging to connect achievable rate region to upper bounds.

Top-Down vs. Bottom-Up

Deterministic Model from Avestimehr-Diggavi-Tse '11:

- Top-down approach.
- Proofs guided by finite-field models but no explicit mapping.
- Clear interpretation of achievable rate region as a constant gap from cut-set upper bounds for many interesting topologies.
- More challenging to capture physical-layer phenomena such as MIMO and interference alignment.

Compute-and-Forward from Nazer-Gastpar '11:

- Bottom-up approach.
- Explicit mapping from Gaussian to finite-field models.
- More challenging to connect achievable rate region to upper bounds.
- Clear interpretation of physical-layer phenomena such as MIMO and interference alignment.

Road Map

- Compute-and-Forward:

Road Map

- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.

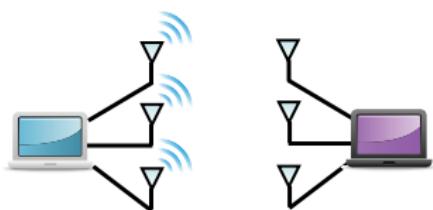
Road Map

- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.
- Applications to communication across single-hop Gaussian networks.

Road Map

- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.
- Applications to communication across single-hop Gaussian networks.

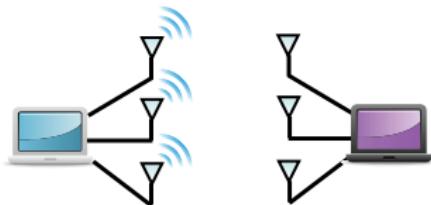
MIMO
Channels



Road Map

- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.
- Applications to communication across single-hop Gaussian networks.

MIMO
Channels



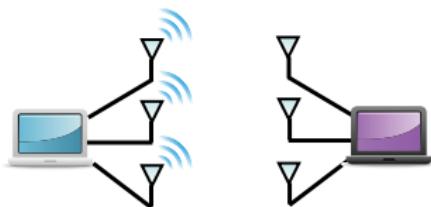
Multiple-Access
Channels



Road Map

- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.
- Applications to communication across single-hop Gaussian networks.

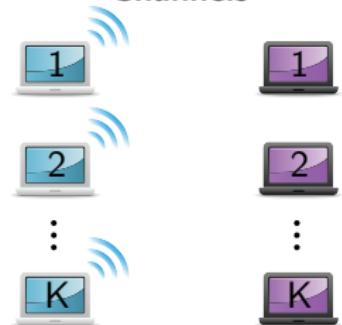
MIMO
Channels



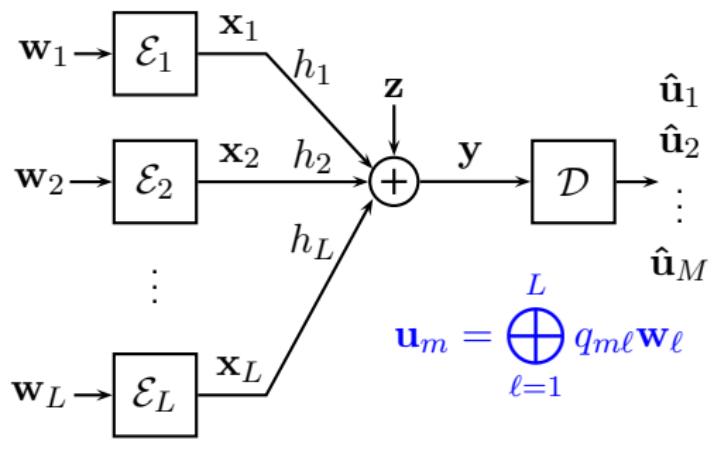
Multiple-Access
Channels



Interference
Channels

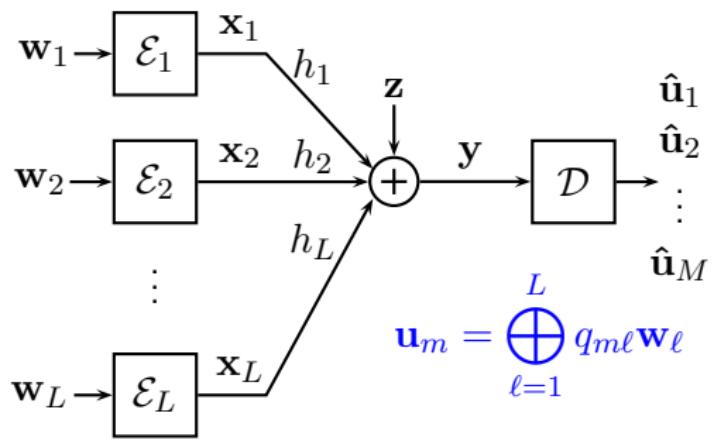


Compute-and-Forward: Problem Statement



- Messages are finite field vectors, $\mathbf{w}_\ell \in \mathbb{F}_p^k$.
- Real-valued inputs and outputs, $\mathbf{x}_\ell, \mathbf{y} \in \mathbb{R}^n$.
- Power constraint, $\|\mathbf{x}_\ell\|^2 \leq nP$.
- Gaussian noise, $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$.
- Equal rates: $R = \frac{k}{n} \log_2 p$

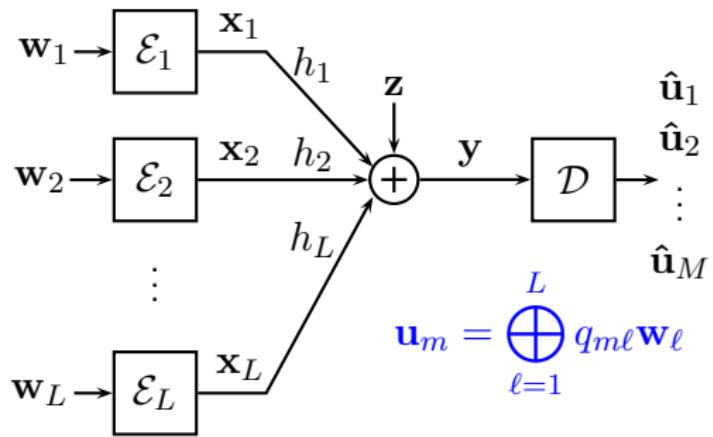
Compute-and-Forward: Problem Statement



- Messages are finite field vectors, $\mathbf{w}_\ell \in \mathbb{F}_p^k$.
- Real-valued inputs and outputs, $\mathbf{x}_\ell, \mathbf{y} \in \mathbb{R}^n$.
- Power constraint, $\|\mathbf{x}_\ell\|^2 \leq nP$.
- Gaussian noise, $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$.
- Equal rates: $R = \frac{k}{n} \log_2 p$

- Decoder wants M linear combinations of the messages with vanishing probability of error $\lim_{n \rightarrow \infty} \mathbb{P}\left(\{\hat{\mathbf{u}}_1 \neq \mathbf{u}_1\} \cup \dots \cup \{\hat{\mathbf{u}}_M \neq \mathbf{u}_M\}\right) = 0$.

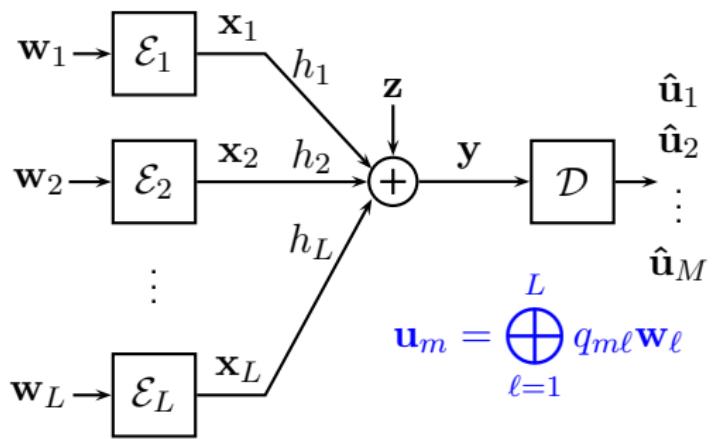
Compute-and-Forward: Problem Statement



- Messages are finite field vectors, $\mathbf{w}_\ell \in \mathbb{F}_p^k$.
- Real-valued inputs and outputs, $\mathbf{x}_\ell, \mathbf{y} \in \mathbb{R}^n$.
- Power constraint, $\|\mathbf{x}_\ell\|^2 \leq nP$.
- Gaussian noise, $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$.
- Equal rates: $R = \frac{k}{n} \log_2 p$

- Decoder wants M linear combinations of the messages with vanishing probability of error $\lim_{n \rightarrow \infty} \mathbb{P}\left(\{\hat{\mathbf{u}}_1 \neq \mathbf{u}_1\} \cup \dots \cup \{\hat{\mathbf{u}}_M \neq \mathbf{u}_M\}\right) = 0$.
- Receiver can use its channel state information (CSI) to match the linear combination coefficients $q_{m\ell} \in \mathbb{F}_p$ to the channel coefficients $h_\ell \in \mathbb{R}$. Transmitters do not require CSI.

Compute-and-Forward: Problem Statement



- Messages are finite field vectors, $\mathbf{w}_\ell \in \mathbb{F}_p^k$.
- Real-valued inputs and outputs, $\mathbf{x}_\ell, \mathbf{y} \in \mathbb{R}^n$.
- Power constraint, $\|\mathbf{x}_\ell\|^2 \leq nP$.
- Gaussian noise, $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$.
- Equal rates: $R = \frac{k}{n} \log_2 p$

- Decoder wants M linear combinations of the messages with vanishing probability of error $\lim_{n \rightarrow \infty} \mathbb{P}\left(\{\hat{\mathbf{u}}_1 \neq \mathbf{u}_1\} \cup \dots \cup \{\hat{\mathbf{u}}_M \neq \mathbf{u}_M\}\right) = 0$.
- Receiver can use its channel state information (CSI) to match the linear combination coefficients $q_{m\ell} \in \mathbb{F}_p$ to the channel coefficients $h_\ell \in \mathbb{R}$. Transmitters do not require CSI.
- What rates are achievable as a function of h_ℓ and $q_{m\ell}$?

Computation Rate

- Want to characterize achievable rates as a function of h_ℓ and $q_{m\ell}$.

Computation Rate

- Want to characterize achievable rates as a function of h_ℓ and $q_{m\ell}$.
- Easier to think about **integer** rather than **finite field** coefficients.

Computation Rate

- Want to characterize achievable rates as a function of h_ℓ and $q_{m\ell}$.
- Easier to think about **integer** rather than **finite field** coefficients.
- The **linear combination** with **integer coefficient vector**

$\mathbf{a}_m = [a_{m1} \ a_{m2} \ \cdots \ a_{mL}]^T \in \mathbb{Z}^L$ corresponds to

$$\mathbf{u}_m = \bigoplus_{\ell=1}^L q_{m\ell} \mathbf{w}_\ell \quad \text{where } q_{m\ell} = g^{-1}([a_{m\ell}] \bmod p)$$

where $g(\cdot)$ is the natural mapping between \mathbb{F}_p and \mathbb{Z} .

Computation Rate

- Want to characterize achievable rates as a function of h_ℓ and $q_{m\ell}$.
- Easier to think about **integer** rather than **finite field** coefficients.
- The **linear combination** with **integer coefficient vector**
 $\mathbf{a}_m = [a_{m1} \ a_{m2} \ \cdots \ a_{mL}]^T \in \mathbb{Z}^L$ corresponds to

$$\mathbf{u}_m = \bigoplus_{\ell=1}^L q_{m\ell} \mathbf{w}_\ell \quad \text{where } q_{m\ell} = g^{-1}([a_{m\ell}] \bmod p)$$

where $g(\cdot)$ is the natural mapping between \mathbb{F}_p and \mathbb{Z} .

- Key Definition:** The **computation rate region** described by $R_{\text{comp}}(\mathbf{h}, \mathbf{a})$ is *achievable* if, for any $\epsilon > 0$ and n, p large enough, a receiver can decode any linear combinations with integer coefficient vectors $\mathbf{a}_1, \dots, \mathbf{a}_M \in \mathbb{Z}^L$ for which the message rate R satisfies

$$R < \min_m R_{\text{comp}}(\mathbf{h}, \mathbf{a}_m)$$

Compute-and-Forward: Achievable Rates

Theorem (Nazer-Gastpar '11)

The computation rate region described by

$$R_{comp}(\mathbf{h}, \mathbf{a}) = \max_{\alpha \in \mathbb{R}} \frac{1}{2} \log^+ \left(\frac{P}{\alpha^2 + P\|\alpha\mathbf{h} - \mathbf{a}\|^2} \right)$$

is achievable.

Compute-and-Forward: Achievable Rates

Theorem (Nazer-Gastpar '11)

The computation rate region described by

$$R_{comp}(\mathbf{h}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{P}{\mathbf{a}^\top (P^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^\top)^{-1} \mathbf{a}} \right)$$

is achievable.

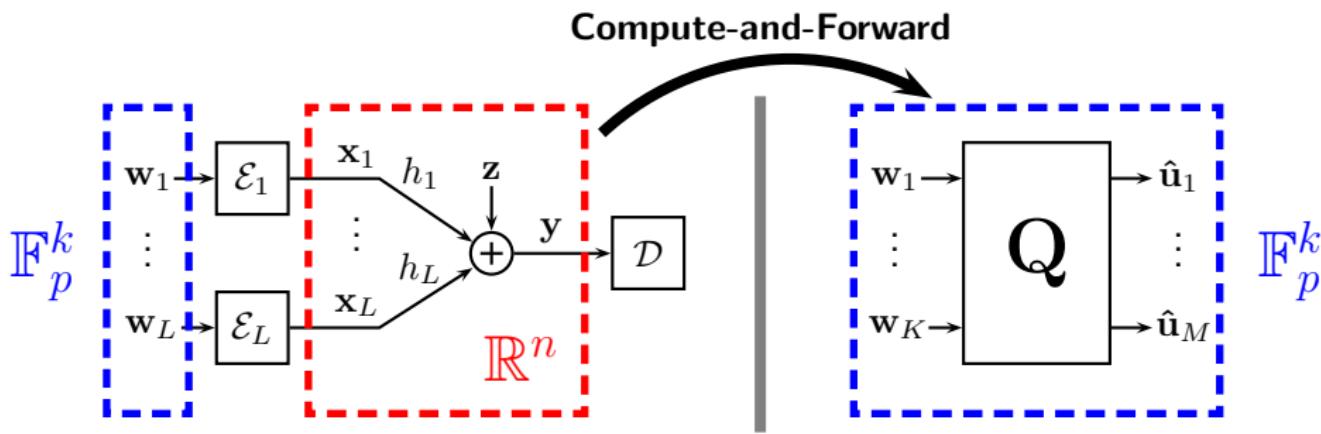
Compute-and-Forward: Achievable Rates

Theorem (Nazer-Gastpar '11)

The computation rate region described by

$$R_{\text{comp}}(\mathbf{h}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{P}{\mathbf{a}^\top (P^{-1}\mathbf{I} + \mathbf{h}\mathbf{h}^\top)^{-1} \mathbf{a}} \right)$$

is achievable.



if $R < \min_m R_{\text{comp}}(\mathbf{h}, \mathbf{a}_m)$ for some $\mathbf{a}_m \in \mathbb{Z}^L$ satisfying $g^{-1}([\mathbf{a}_m] \bmod p) = \mathbf{q}_m$.

Compute-and-Forward: Achievable Rates

Theorem (Nazer-Gastpar '11)

The computation rate region described by

$$R_{\text{comp}}(\mathbf{h}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{P}{\mathbf{a}^\top (P^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^\top)^{-1} \mathbf{a}} \right)$$

is achievable.

Special Cases:

- Perfect Match: $R_{\text{comp}}(\mathbf{a}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{1}{\|\mathbf{a}\|^2} + P \right)$

Compute-and-Forward: Achievable Rates

Theorem (Nazer-Gastpar '11)

The computation rate region described by

$$R_{\text{comp}}(\mathbf{h}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{P}{\mathbf{a}^\top (P^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^\top)^{-1} \mathbf{a}} \right)$$

is achievable.

Special Cases:

- Perfect Match: $R_{\text{comp}}(\mathbf{a}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{1}{\|\mathbf{a}\|^2} + P \right)$
- Decode a Message:

$$R_{\text{comp}}\left(\mathbf{h}, [\underbrace{0 \cdots 0}_{m-1 \text{ zeros}} \ 1 \ 0 \ \cdots \ 0]^\top\right) = \frac{1}{2} \log \left(1 + \frac{h_m^2 P}{1 + P \sum_{\ell \neq m} h_\ell^2} \right)$$

Compute-and-Forward: Effective Noise

$$\begin{aligned}\mathbf{y} &= \sum_{\ell=1}^L h_\ell \mathbf{x}_\ell + \mathbf{z} \\ &= \underbrace{\sum_{\ell=1}^L a_\ell \mathbf{x}_\ell}_{\text{green}} + \sum_{\ell=1}^L (h_\ell - a_\ell) \mathbf{x}_\ell + \mathbf{z}\end{aligned}$$

Desired Codebook:

- Closed under integer linear combinations \implies lattice codebook.

Compute-and-Forward: Effective Noise

$$\begin{aligned}\mathbf{y} &= \sum_{\ell=1}^L h_\ell \mathbf{x}_\ell + \mathbf{z} \\ &= \sum_{\ell=1}^L a_\ell \mathbf{x}_\ell + \underbrace{\sum_{\ell=1}^L (h_\ell - a_\ell) \mathbf{x}_\ell}_{\text{Effective Noise}} + \mathbf{z}\end{aligned}$$

Desired Codebook:

- Closed under integer linear combinations \implies lattice codebook.
- Independent effective noise \implies dithering.

Compute-and-Forward: Effective Noise

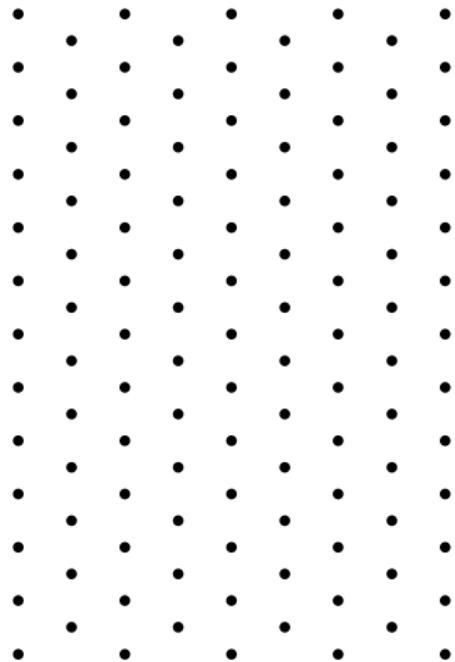
$$\begin{aligned} \mathbf{y} &= \sum_{\ell=1}^L h_\ell \mathbf{x}_\ell + \mathbf{z} \\ &= \sum_{\ell=1}^L a_\ell \mathbf{x}_\ell + \underbrace{\sum_{\ell=1}^L (h_\ell - a_\ell) \mathbf{x}_\ell}_{\text{Effective Noise}} + \mathbf{z} \xrightarrow{\text{Decode}} \bigoplus_{\ell=1}^L q_\ell \mathbf{w}_\ell \end{aligned}$$

Desired Codebook:

- Closed under integer linear combinations \implies lattice codebook.
- Independent effective noise \implies dithering.
- Isomorphic to \mathbb{F}_p^k \implies nested lattice codebook.

Nested Lattices

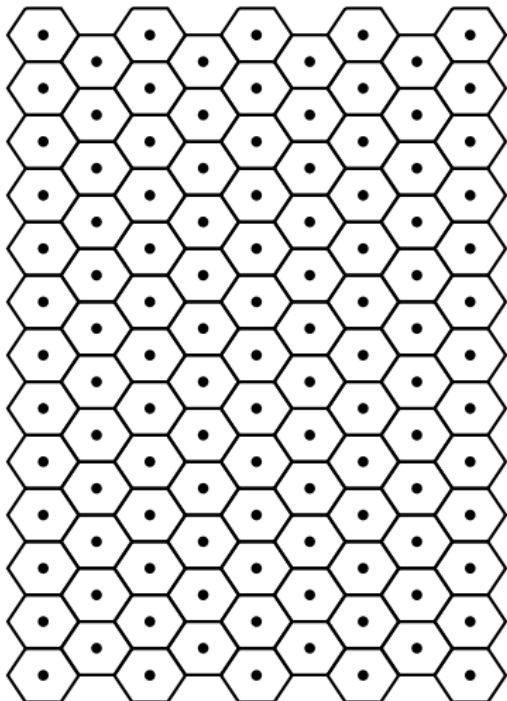
- A lattice is a discrete subgroup of \mathbb{R}^n .



Nested Lattices

- A lattice is a discrete subgroup of \mathbb{R}^n .
- Nearest neighbor quantizer:

$$Q_{\Lambda}(\mathbf{x}) = \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|_2$$



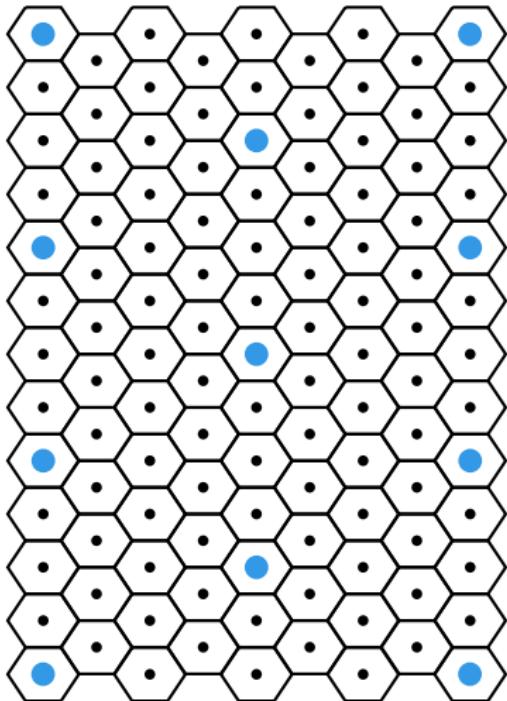
Nested Lattices

- A lattice is a discrete subgroup of \mathbb{R}^n .

- Nearest neighbor quantizer:

$$Q_{\Lambda}(\mathbf{x}) = \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|_2$$

- Two lattices Λ and Λ_{FINE} are nested if $\Lambda \subset \Lambda_{\text{FINE}}$



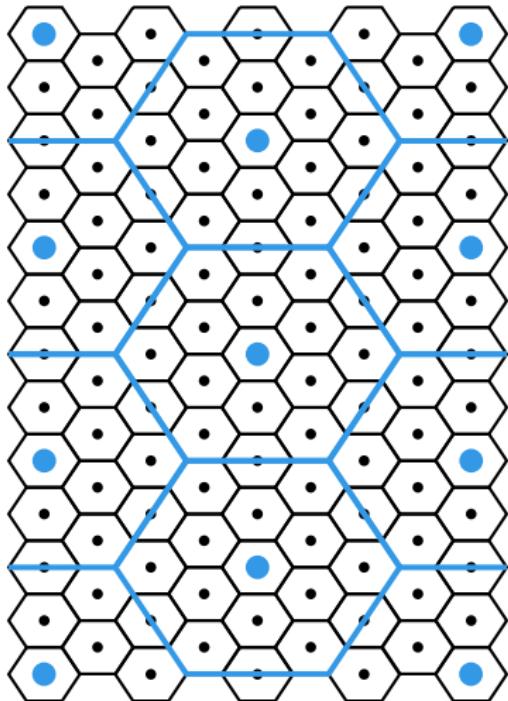
Nested Lattices

- A lattice is a discrete subgroup of \mathbb{R}^n .

- Nearest neighbor quantizer:

$$Q_{\Lambda}(\mathbf{x}) = \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|_2$$

- Two lattices Λ and Λ_{FINE} are nested if $\Lambda \subset \Lambda_{\text{FINE}}$



Nested Lattices

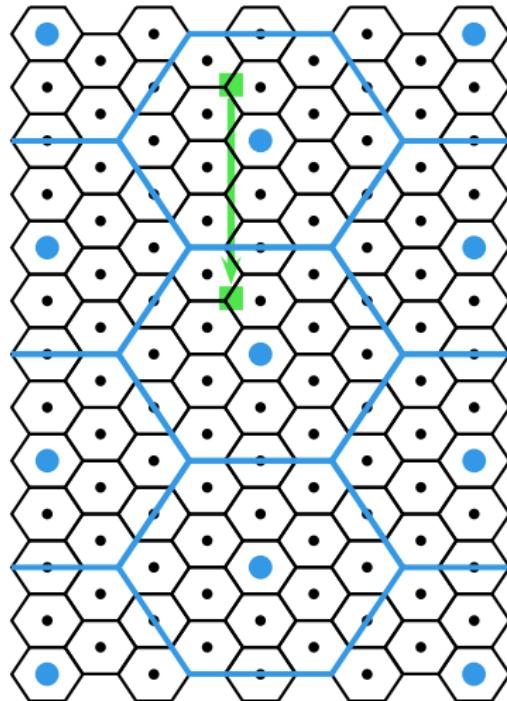
- A lattice is a discrete subgroup of \mathbb{R}^n .

- Nearest neighbor quantizer:

$$Q_{\Lambda}(\mathbf{x}) = \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|_2$$

- Two lattices Λ and Λ_{FINE} are nested if $\Lambda \subset \Lambda_{\text{FINE}}$
- Quantization error serves as modulo operation:

$$[\mathbf{x}] \bmod \Lambda = \mathbf{x} - Q_{\Lambda}(\mathbf{x}) .$$

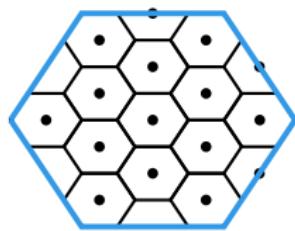


Distributive Law:

$$[\mathbf{x}_1 + a[\mathbf{x}_2] \bmod \Lambda] \bmod \Lambda = [\mathbf{x}_1 + a\mathbf{x}_2] \bmod \Lambda \quad \text{for all } a \in \mathbb{Z}.$$

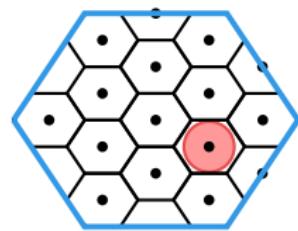
Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of Λ_{FINE} that lie in the fundamental Voronoi region of Λ .



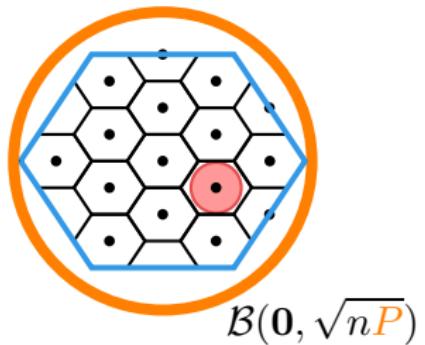
Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of Λ_{FINE} that lie in the fundamental Voronoi region of Λ .
- Fine lattice Λ_{FINE} protects against noise.



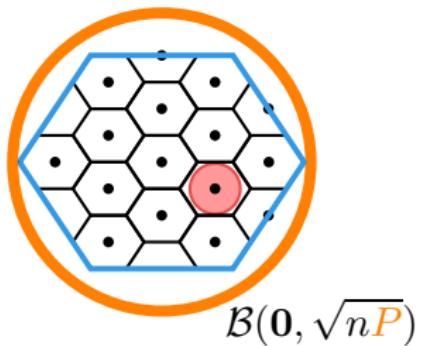
Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of Λ_{FINE} that lie in the fundamental Voronoi region of Λ .
- Fine lattice Λ_{FINE} protects against noise.
- Coarse lattice Λ enforces the power constraint.



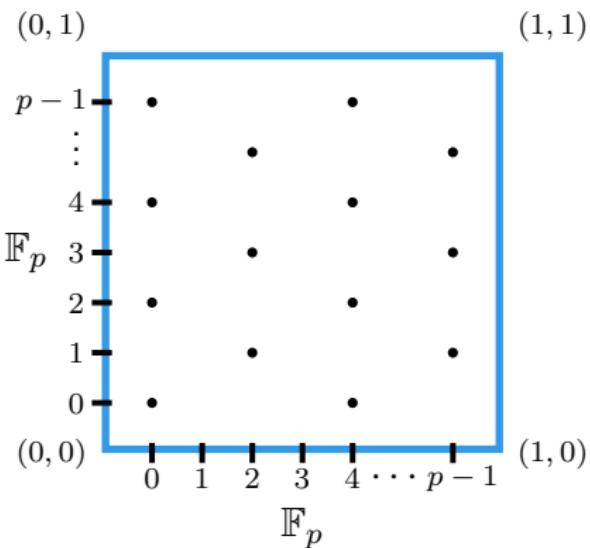
Nested Lattice Codes

- **Nested Lattice Code:** Formed by taking all elements of Λ_{FINE} that lie in the fundamental Voronoi region of Λ .
- Fine lattice Λ_{FINE} protects against noise.
- Coarse lattice Λ enforces the power constraint.
- Existence of good nested lattice codes:
Loeliger '97, Forney-Trott-Chung '00, Erez-Litsyn-Zamir '05, Ordentlich-Erez '13.
- **Erez-Zamir '04:** Nested lattice codes can achieve the point-to-point Gaussian capacity.



Construction A: Lattice Codes from Linear Codes

- Map elements $\{0, 1, 2, \dots, p - 1\}$ to equally spaced points on $[0, 1)$.
- Choose generator matrix $\mathbf{G} \in \mathbb{F}_p^{n \times k}$ and place its codewords into the unit cube $[0, 1]^n$.



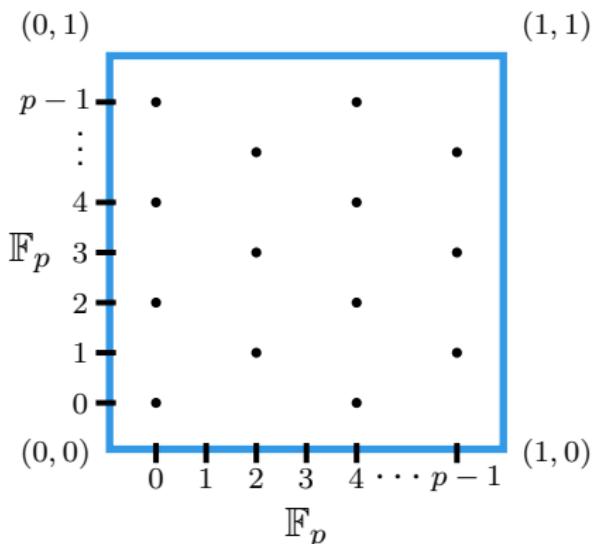
Construction A: Lattice Codes from Linear Codes

- Map elements $\{0, 1, 2, \dots, p - 1\}$ to equally spaced points on $[0, 1)$.
- Choose generator matrix $\mathbf{G} \in \mathbb{F}_p^{n \times k}$ and place its codewords into the unit cube $[0, 1]^n$.
- Enables us to map between nested lattice codewords \mathbf{t}_ℓ and finite field messages \mathbf{w}_ℓ :

Encoding: $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$

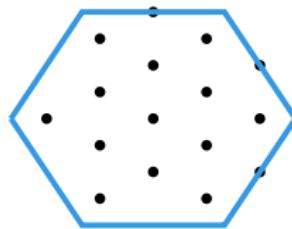
Decoding: $\phi^{-1}\left(\left[\sum_{\ell=1}^L a_\ell \mathbf{t}_\ell\right] \bmod \Lambda\right) = \bigoplus_{\ell=1}^L q_\ell \mathbf{w}_\ell$

where $q_\ell = g^{-1}([a_\ell] \bmod p)$.



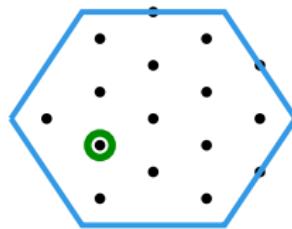
Dithering

- The **effective noise** will be a mixture of the channel inputs \mathbf{x}_ℓ and the noise \mathbf{z} .
- Dithering can make the **effective noise** look independent from the desired lattice codeword.



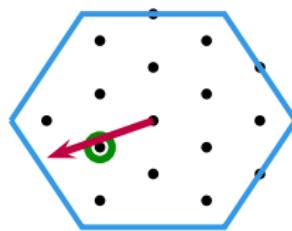
Dithering

- The **effective noise** will be a mixture of the channel inputs \mathbf{x}_ℓ and the noise \mathbf{z} .
- Dithering can make the **effective noise** look independent from the desired lattice codeword.
- Map message \mathbf{w}_ℓ to a **lattice codeword** \mathbf{t}_ℓ .



Dithering

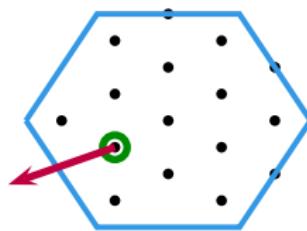
- The **effective noise** will be a mixture of the channel inputs \mathbf{x}_ℓ and the noise \mathbf{z} .
- Dithering can make the **effective noise** look independent from the desired lattice codeword.
- Map message \mathbf{w}_ℓ to a **lattice codeword** \mathbf{t}_ℓ .
- Generate a **random dither vector** \mathbf{d}_ℓ uniformly over Voronoi region of Λ .



Dithering

- The **effective noise** will be a mixture of the channel inputs \mathbf{x}_ℓ and the noise \mathbf{z} .
- Dithering** can make the **effective noise** look independent from the desired lattice codeword.
- Map message \mathbf{w}_ℓ to a **lattice codeword** \mathbf{t}_ℓ .
- Generate a **random dither vector** \mathbf{d}_ℓ uniformly over Voronoi region of Λ .
- Transmitter sends a **dithered** codeword:

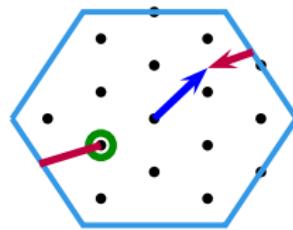
$$\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$$



Dithering

- The **effective noise** will be a mixture of the channel inputs \mathbf{x}_ℓ and the noise \mathbf{z} .
- Dithering** can make the **effective noise** look independent from the desired lattice codeword.
- Map message \mathbf{w}_ℓ to a **lattice codeword** \mathbf{t}_ℓ .
- Generate a **random dither vector** \mathbf{d}_ℓ uniformly over Voronoi region of Λ .
- Transmitter sends a **dithered** codeword:

$$\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$$



Dithering

- The **effective noise** will be a mixture of the channel inputs \mathbf{x}_ℓ and the noise \mathbf{z} .
- Dithering can make the **effective noise** look independent from the desired lattice codeword.

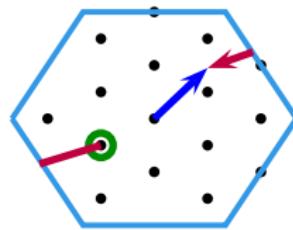
- Map message \mathbf{w}_ℓ to a **lattice codeword** \mathbf{t}_ℓ .

- Generate a **random dither vector** \mathbf{d}_ℓ uniformly over Voronoi region of Λ .

- Transmitter sends a **dithered** codeword:

$$\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$$

- \mathbf{x}_ℓ is now independent of the codeword \mathbf{t}_ℓ .



Compute-and-Forward: Encoding and Decoding

- Map messages to lattice points, $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$.

Compute-and-Forward: Encoding and Decoding

- Map messages to lattice points, $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$.
- Transmit **dithered** codewords, $\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$

Compute-and-Forward: Encoding and Decoding

- Map messages to lattice points, $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$.
- Transmit **dithered** codewords, $\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$
- Receiver scales its observation by α , removes **dithers**, and decodes an integer-linear combination $[\sum a_\ell \mathbf{t}_\ell] \bmod \Lambda$.

$$\begin{aligned}\tilde{\mathbf{y}} &= \left[\alpha \left(\sum_{\ell=1}^L h_\ell \mathbf{x}_\ell + \mathbf{z} \right) - \sum_{\ell=1}^L a_\ell \mathbf{d}_\ell \right] \bmod \Lambda \\ &= \left[\sum_{\ell=1}^L a_\ell (\mathbf{x}_\ell - \mathbf{d}_\ell) + \sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z} \right] \bmod \Lambda \\ &= \left[\left[\sum_{\ell=1}^L a_\ell \mathbf{t}_\ell \right] \bmod \Lambda + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}) \right] \bmod \Lambda\end{aligned}$$

Compute-and-Forward: Encoding and Decoding

- Map messages to lattice points, $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$.
- Transmit **dithered** codewords, $\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$
- Receiver scales its observation by α , removes **dithers**, and decodes an integer-linear combination $[\sum a_\ell \mathbf{t}_\ell] \bmod \Lambda$.

$$\begin{aligned}\tilde{\mathbf{y}} &= \left[\alpha \left(\sum_{\ell=1}^L h_\ell \mathbf{x}_\ell + \mathbf{z} \right) - \sum_{\ell=1}^L a_\ell \mathbf{d}_\ell \right] \bmod \Lambda \\ &= \left[\sum_{\ell=1}^L a_\ell (\mathbf{x}_\ell - \mathbf{d}_\ell) + \sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z} \right] \bmod \Lambda \\ &= \left[\left[\sum_{\ell=1}^L a_\ell \mathbf{t}_\ell \right] \bmod \Lambda + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}) \right] \bmod \Lambda\end{aligned}$$

Compute-and-Forward: Encoding and Decoding

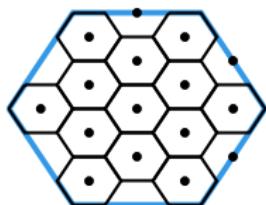
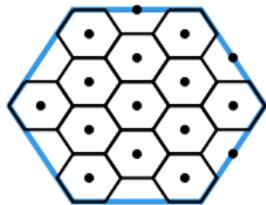
- Map messages to lattice points, $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$.
- Transmit **dithered** codewords, $\mathbf{x}_\ell = [\mathbf{t}_\ell + \mathbf{d}_\ell] \bmod \Lambda$
- Receiver scales its observation by α , removes **dithers**, and decodes an integer-linear combination $[\sum a_\ell \mathbf{t}_\ell] \bmod \Lambda$.

$$\begin{aligned}\tilde{\mathbf{y}} &= \left[\alpha \left(\sum_{\ell=1}^L h_\ell \mathbf{x}_\ell + \mathbf{z} \right) - \sum_{\ell=1}^L a_\ell \mathbf{d}_\ell \right] \bmod \Lambda \\ &= \left[\sum_{\ell=1}^L a_\ell (\mathbf{x}_\ell - \mathbf{d}_\ell) + \sum_{\ell=1}^L (\alpha h_\ell - a_\ell) \mathbf{x}_\ell + \alpha \mathbf{z} \right] \bmod \Lambda \\ &= \left[\left[\sum_{\ell=1}^L a_\ell \mathbf{t}_\ell \right] \bmod \Lambda + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}) \right] \bmod \Lambda\end{aligned}$$

- Apply inverse map ϕ^{-1} to **integer-linear combination** to obtain linear combination $\bigoplus_\ell q_\ell \mathbf{w}_\ell$ with coefficients $q_\ell = g^{-1}([a_\ell] \bmod p)$.

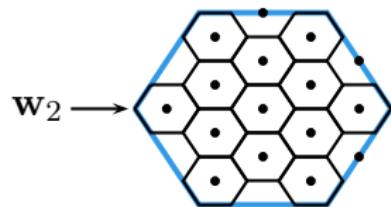
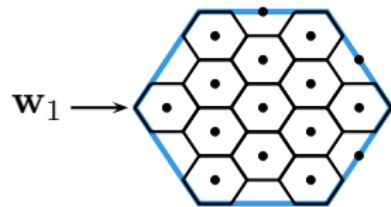
Compute-and-Forward: Illustration

All users pick the [same nested lattice code](#):



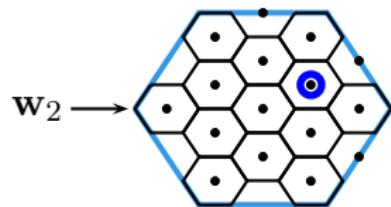
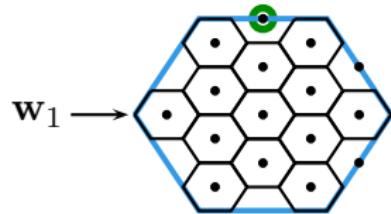
Compute-and-Forward: Illustration

Choose message vectors over finite field $\mathbf{w}_\ell \in \mathbb{F}_p^k$:



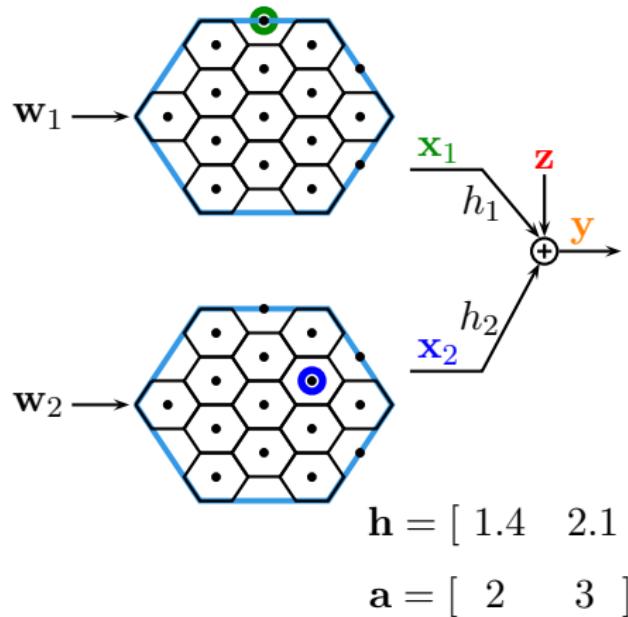
Compute-and-Forward: Illustration

Map \mathbf{w}_ℓ to lattice point $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$:



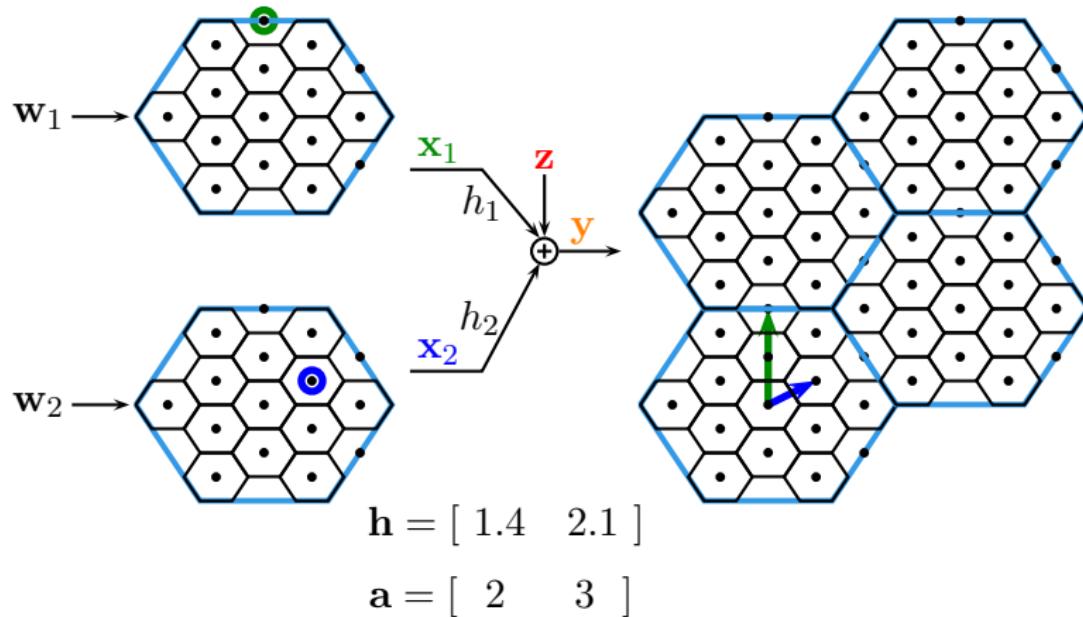
Compute-and-Forward: Illustration

Transmit lattice points over the channel:



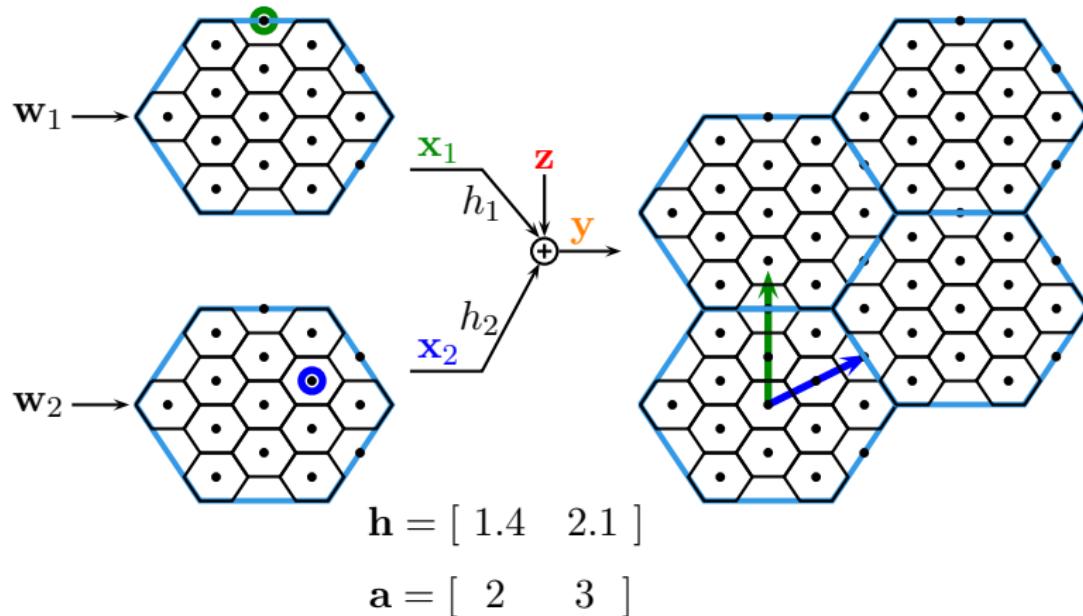
Compute-and-Forward: Illustration

Transmit lattice points over the channel:



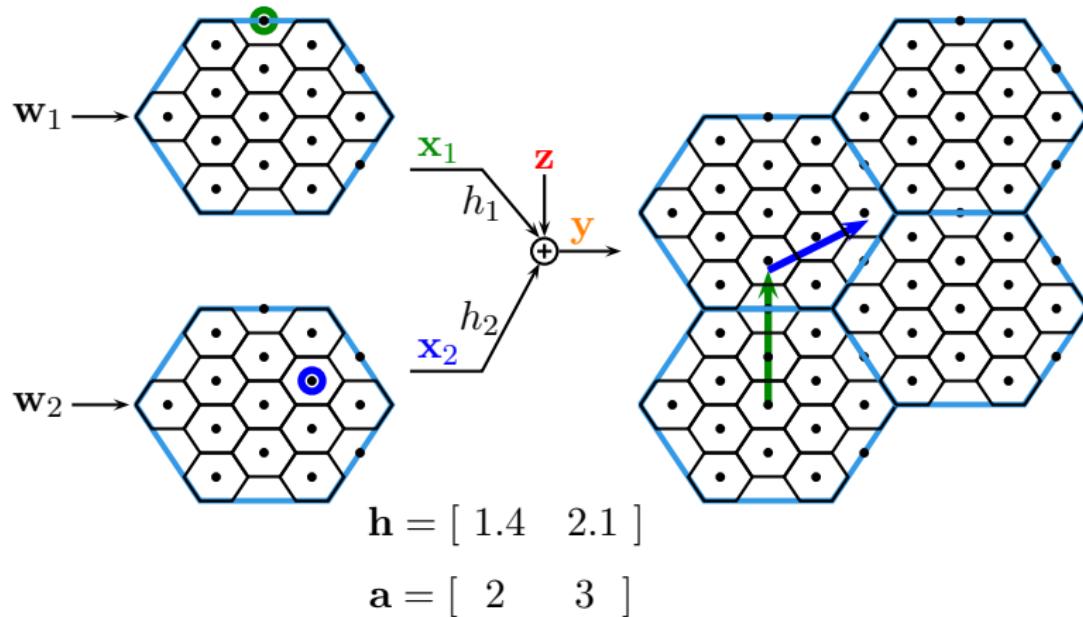
Compute-and-Forward: Illustration

Lattice codewords are scaled by channel coefficients:



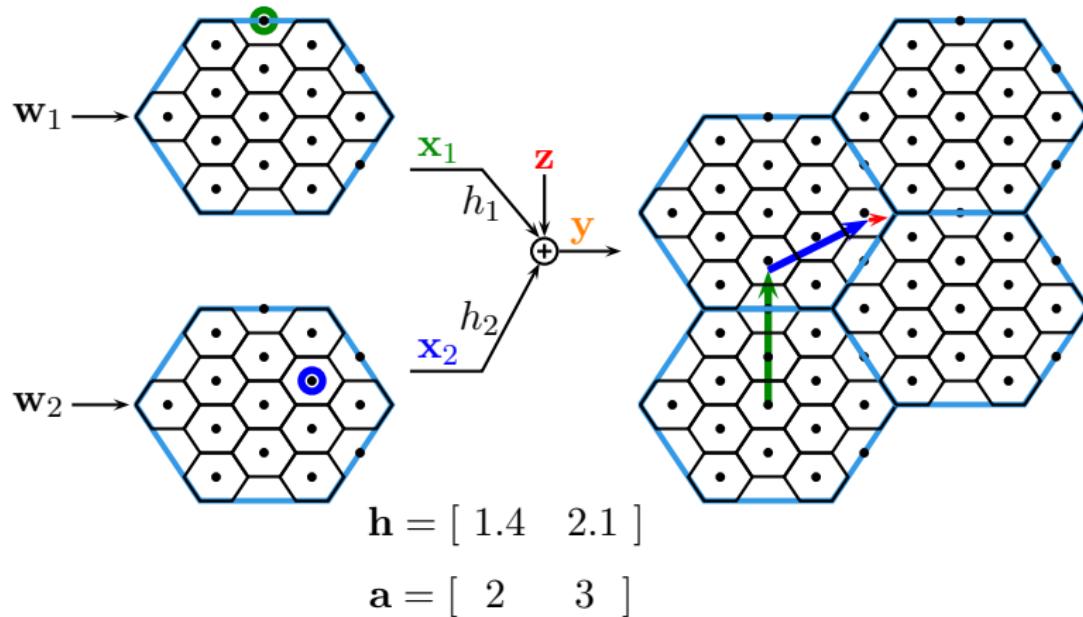
Compute-and-Forward: Illustration

Scaled codewords added together plus **noise**:



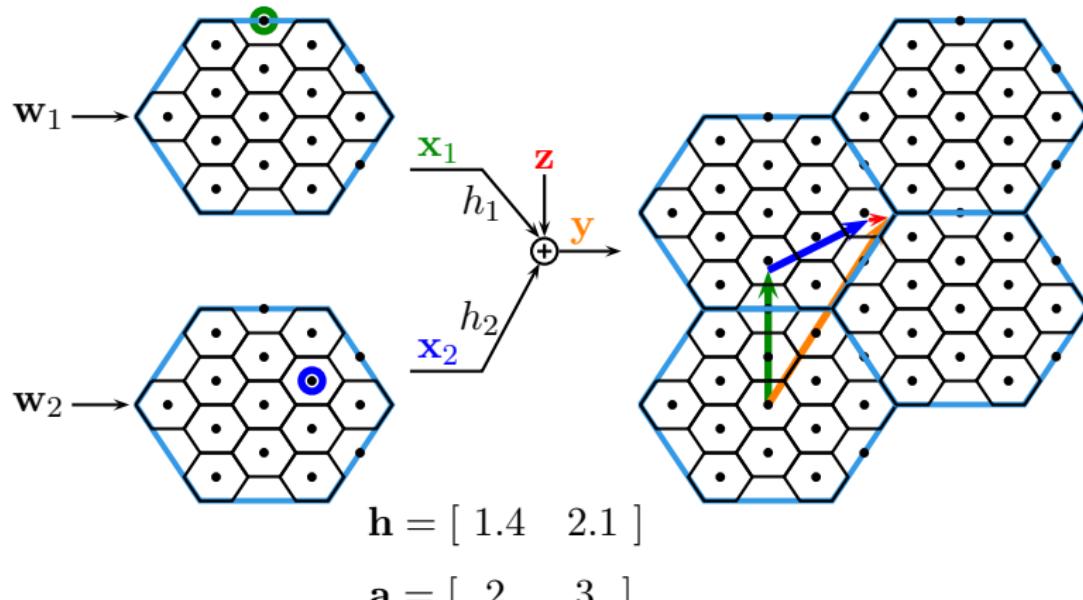
Compute-and-Forward: Illustration

Scaled codewords added together plus **noise**:



Compute-and-Forward: Illustration

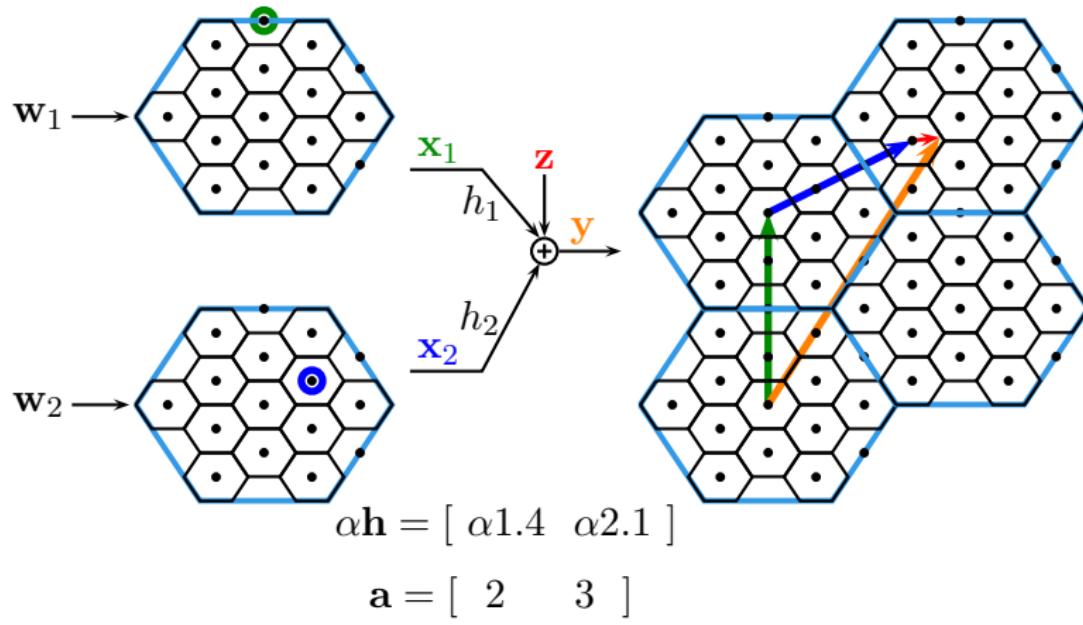
Extra noise penalty for non-integer channel coefficients:



$$\text{Effective noise: } 1 + P\|\mathbf{h} - \mathbf{a}\|^2$$

Compute-and-Forward: Illustration

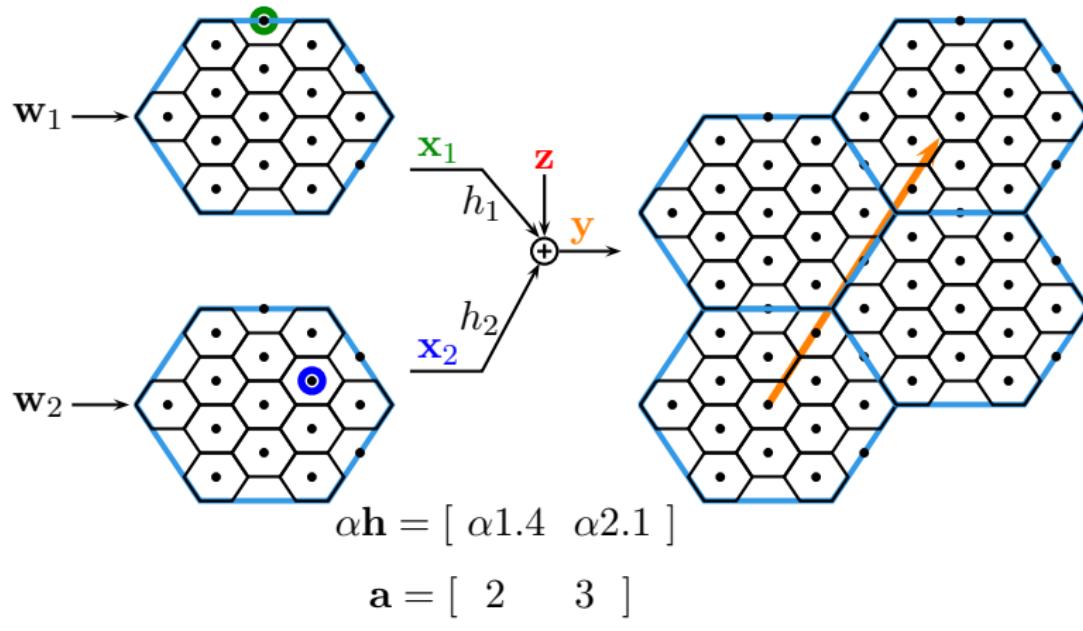
Scale output by α to reduce non-integer noise penalty:



Effective noise: $\alpha^2 + P\|\alpha\mathbf{h} - \mathbf{a}\|^2$

Compute-and-Forward: Illustration

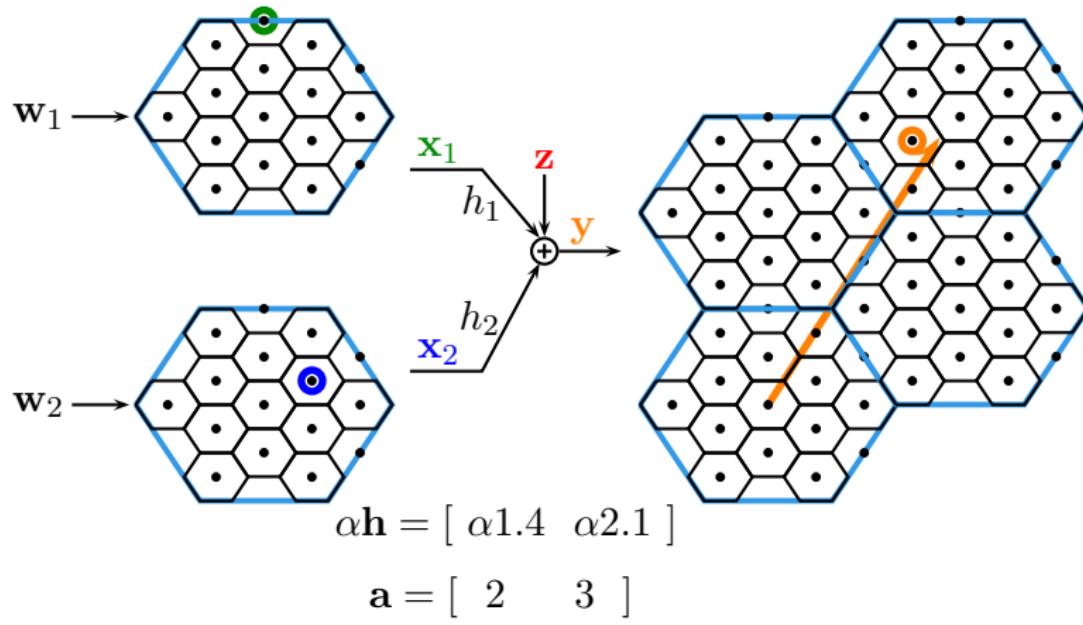
Scale output by α to reduce non-integer noise penalty:



$$\text{Effective noise: } \alpha^2 + P\|\alpha \mathbf{h} - \mathbf{a}\|^2$$

Compute-and-Forward: Illustration

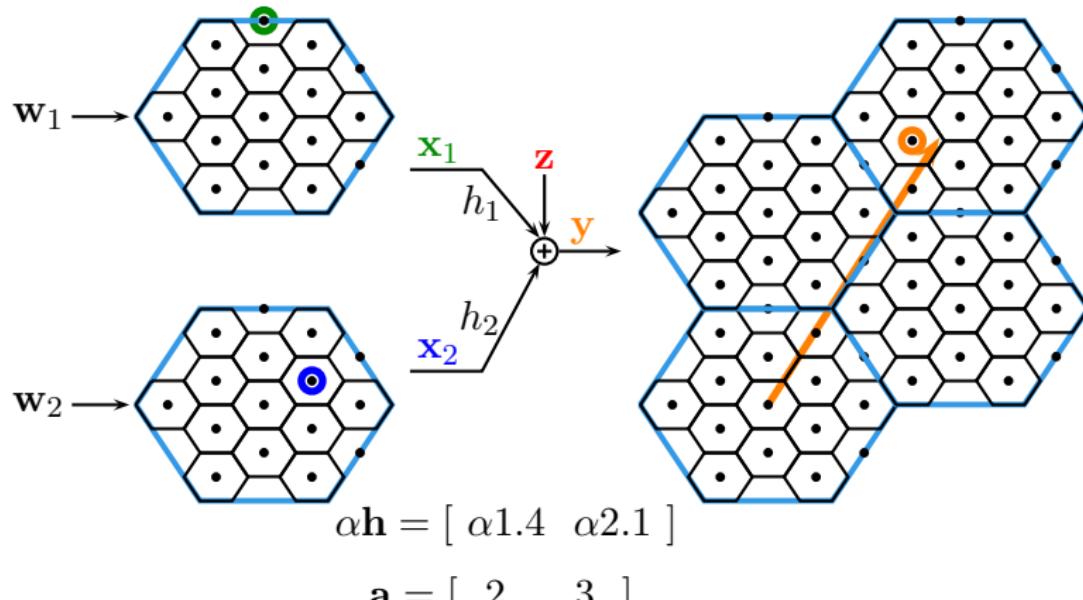
Decode to the closest lattice point:



$$\text{Effective noise: } \alpha^2 + P\|\alpha\mathbf{h} - \mathbf{a}\|^2$$

Compute-and-Forward: Illustration

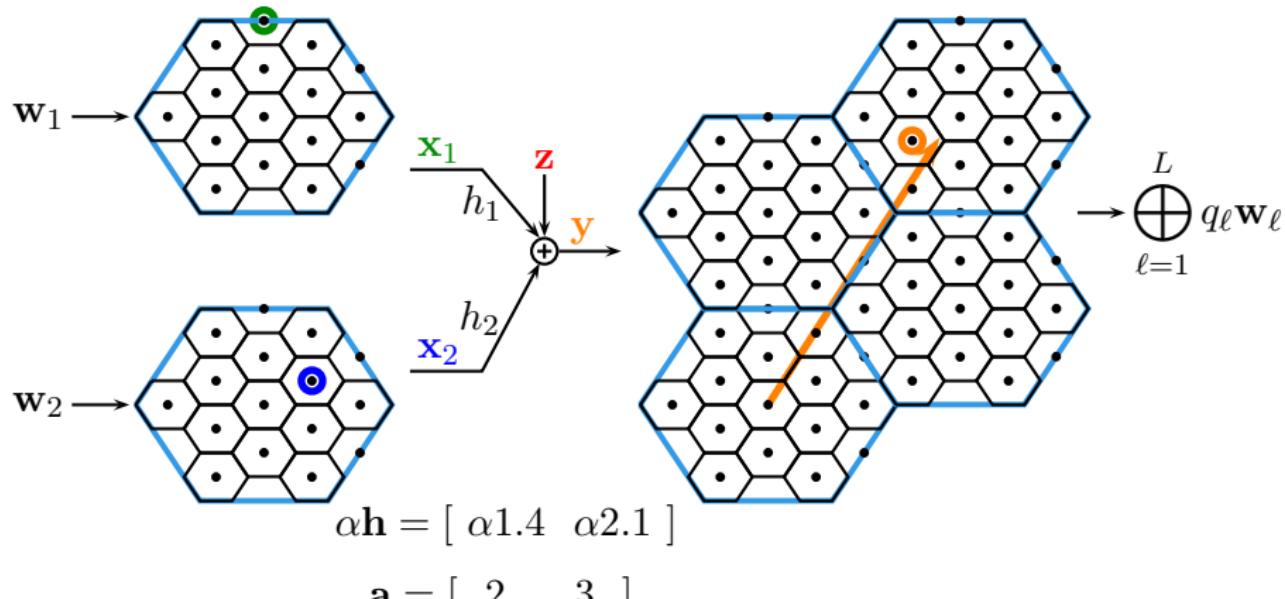
Recover integer linear combination modulo Λ :



$$\text{Effective noise: } \alpha^2 + P\|\alpha h - a\|^2$$

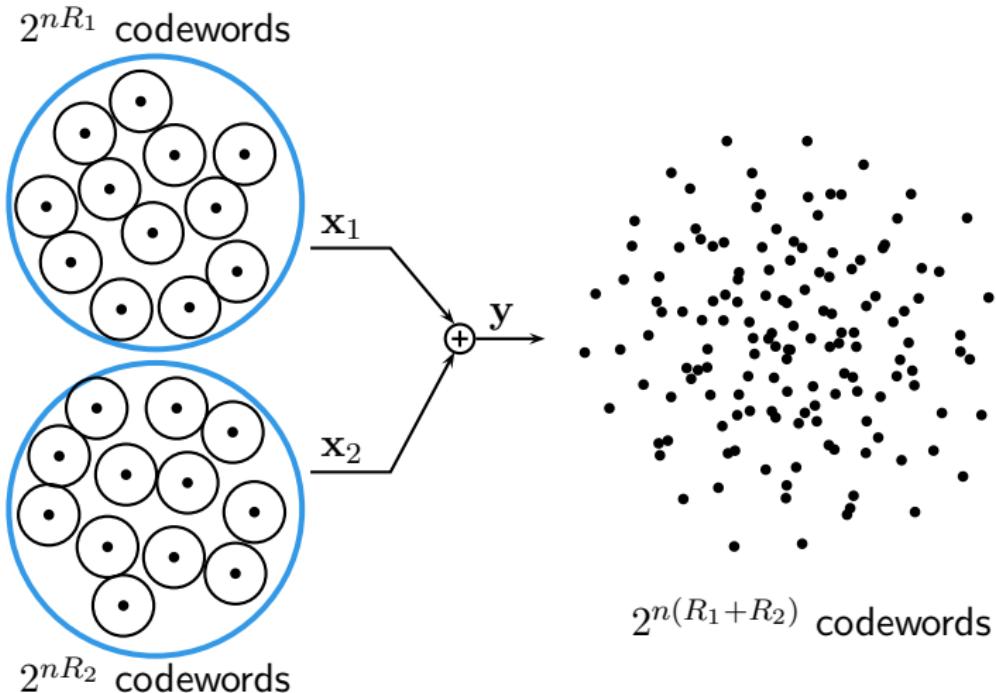
Compute-and-Forward: Illustration

Map back to linear combination of the messages:

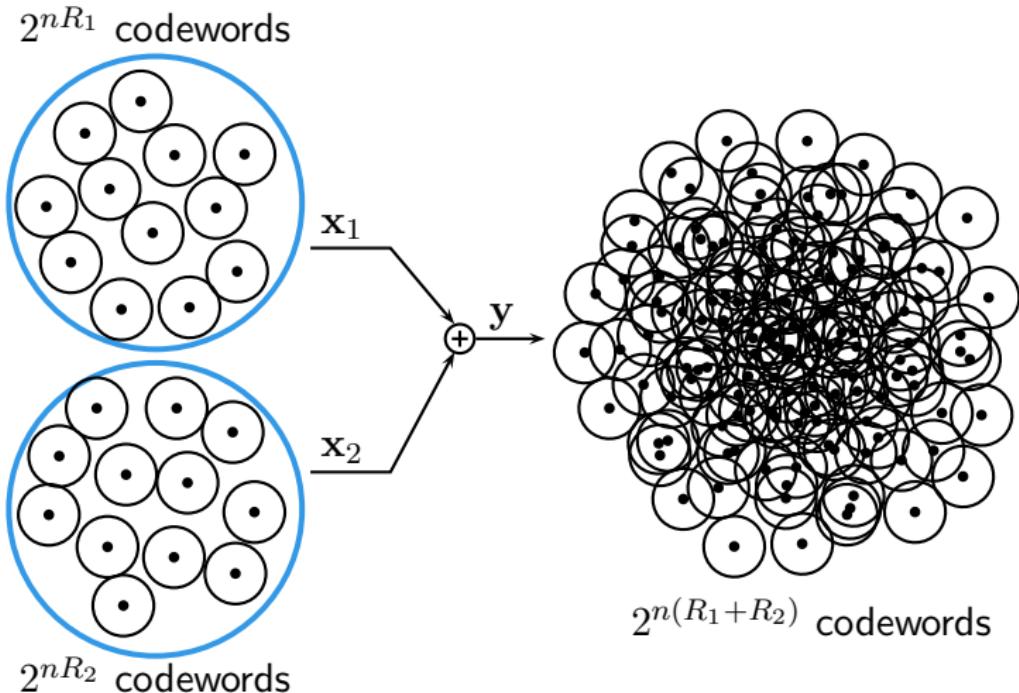


$$\text{Effective noise: } \alpha^2 + P\|\alpha h - \mathbf{a}\|^2$$

Random i.i.d. codes are not good for computation



Random i.i.d. codes are not good for computation

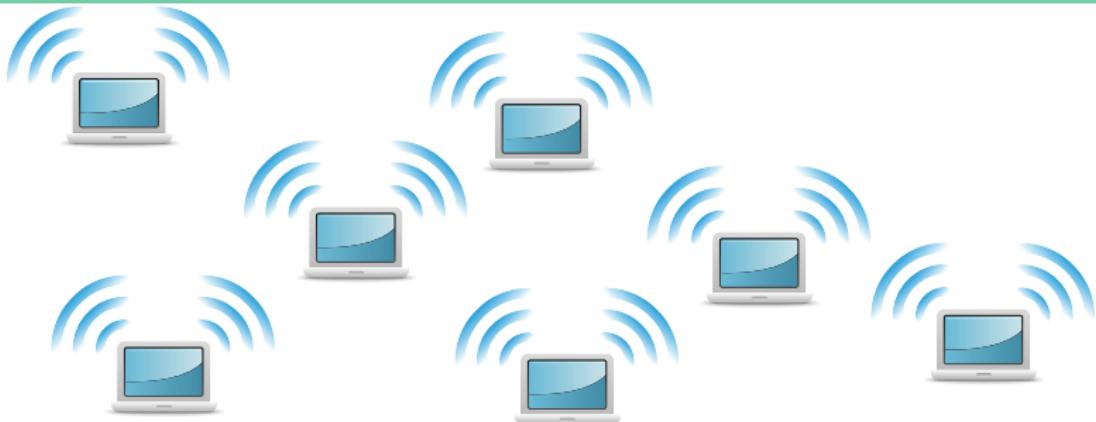


Physical-Layer Network Coding



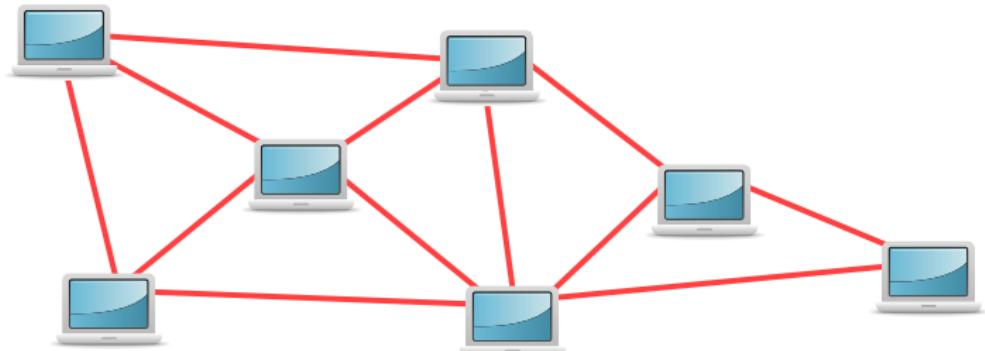
- Usually fight interference and convert to **network of bit pipes**.

Physical-Layer Network Coding



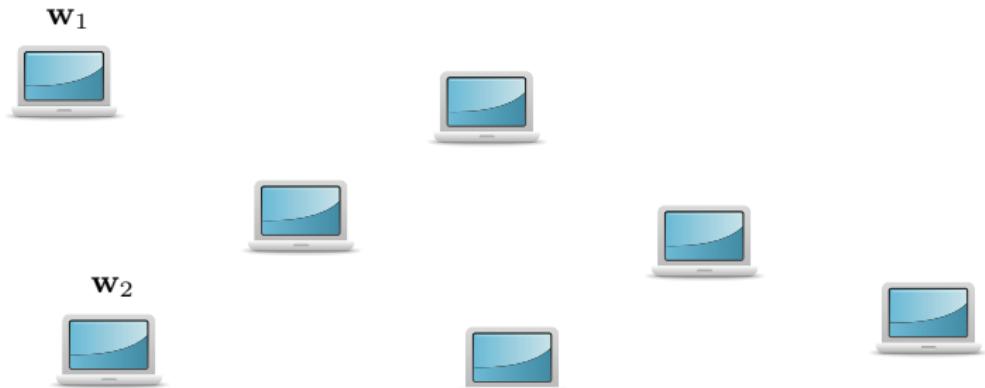
- Usually fight interference and convert to **network of bit pipes**.

Physical-Layer Network Coding



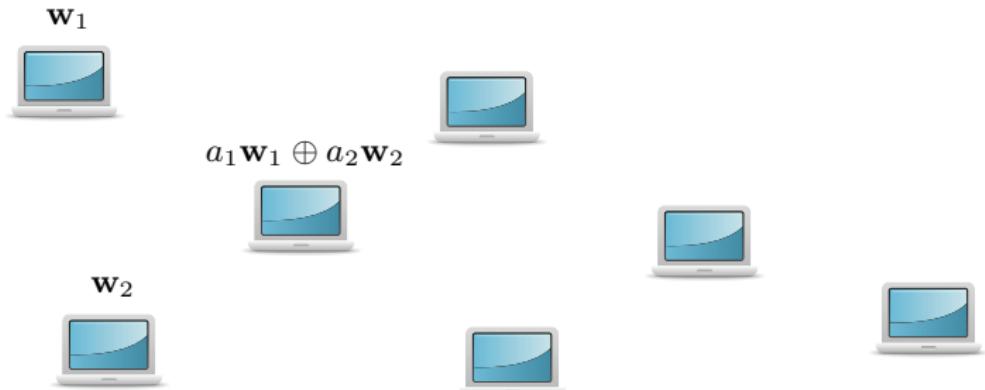
- Usually fight interference and convert to **network of bit pipes**.

Physical-Layer Network Coding



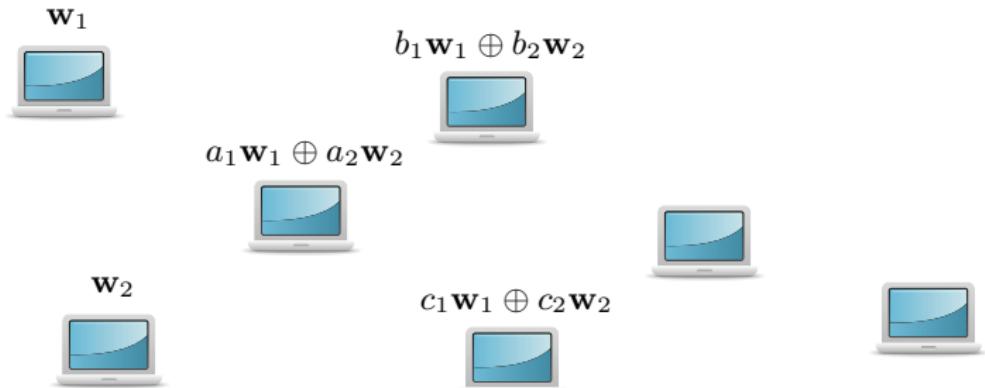
- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06.**
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11.**

Physical-Layer Network Coding



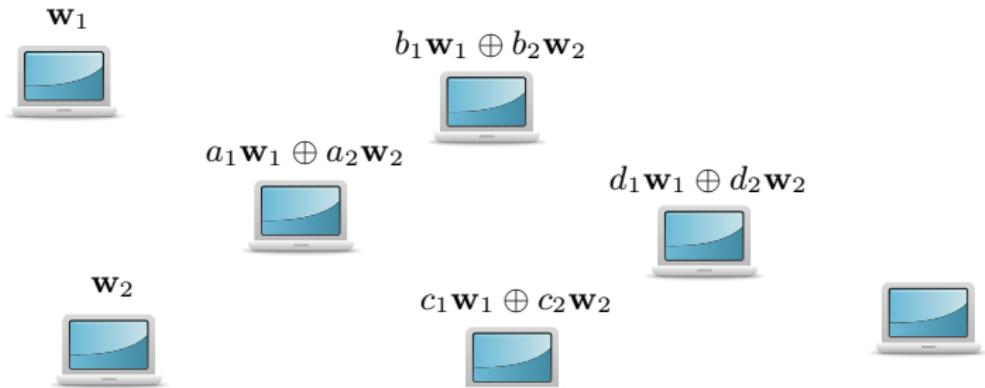
- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06**.
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11**.

Physical-Layer Network Coding



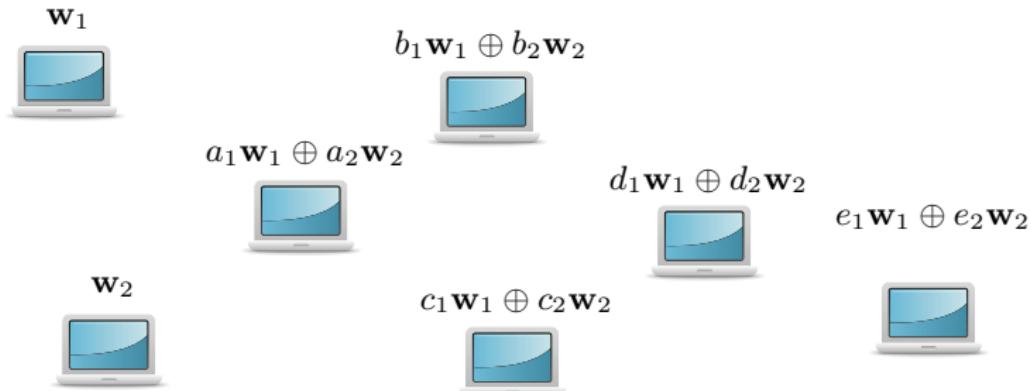
- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06**.
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11**.

Physical-Layer Network Coding



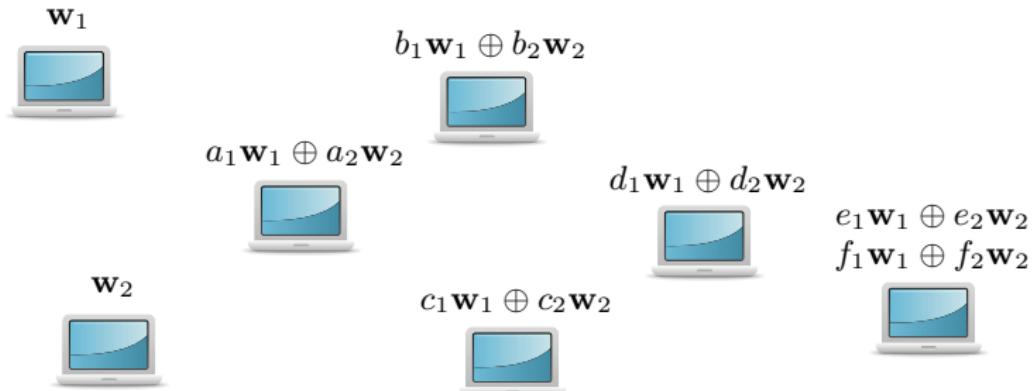
- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06**.
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11**.

Physical-Layer Network Coding



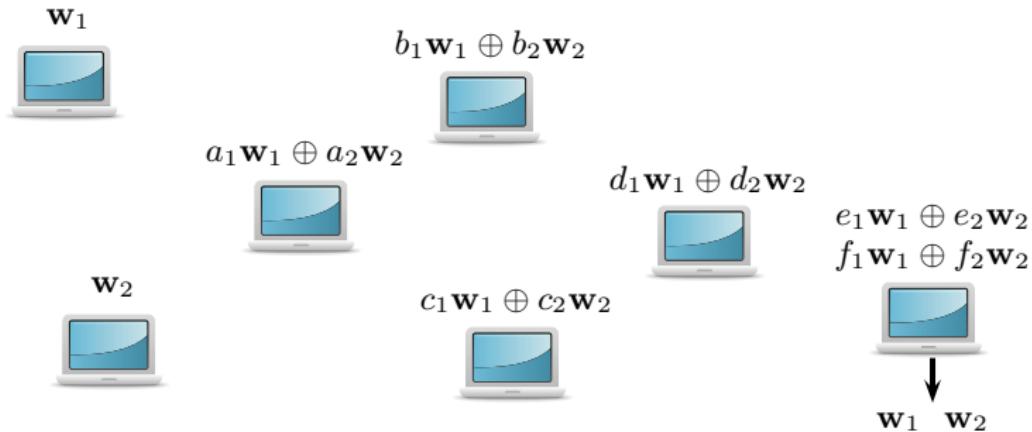
- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06**.
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11**.

Physical-Layer Network Coding



- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06**.
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11**.

Physical-Layer Network Coding

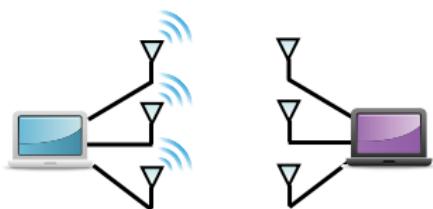


- Usually fight interference and convert to **network of bit pipes**.
- **Physical-layer network coding:** exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06, Popovski-Yomo '06, Nazer-Gastpar '06**.
- Compute-and-forward is an information-theoretic approach.
- Recent surveys: **Liew-Zhang-Lu '11, Nazer-Gastpar Proc. IEEE '11**.

Road Map

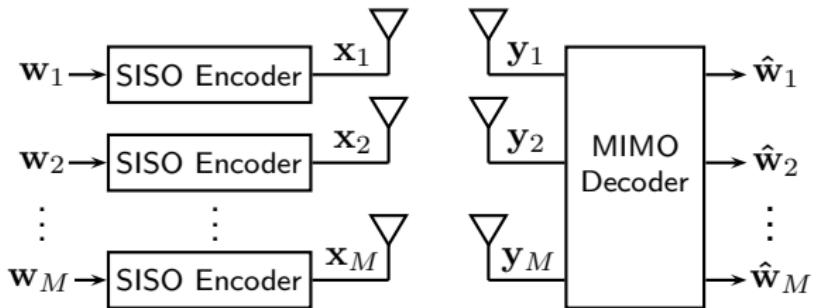
- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.
- Applications to communication across single-hop Gaussian networks.

MIMO
Channels



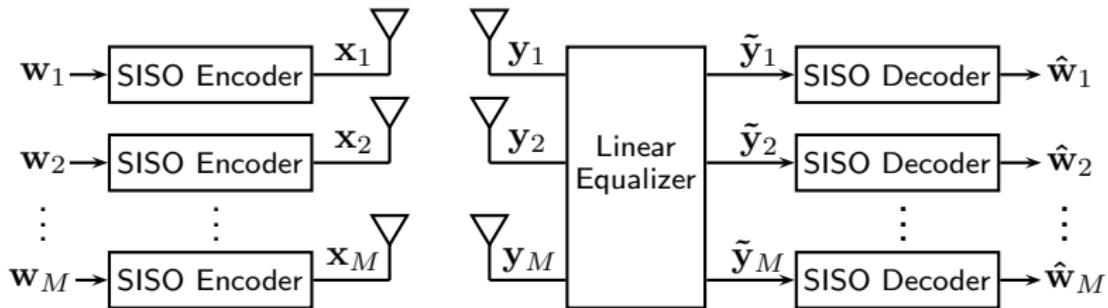
Joint work with Jiening Zhan, Uri Erez, and Michael Gastpar.

MIMO Problem Statement



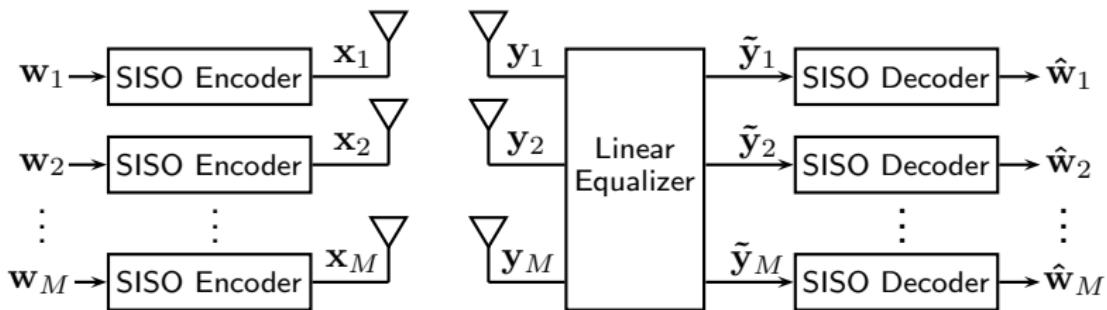
- Each antenna encodes an independent data stream of rate R (e.g., V-BLAST setting, cellular uplink).
- Channel model: $\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z}$ where \mathbf{Z} is elementwise i.i.d. $\mathcal{N}(0, 1)$.
- Probability of error: $\mathbb{P}(\{\hat{w}_1 \neq w_1\} \cup \dots \cup \{\hat{w}_M \neq w_M\}) < \epsilon$
- **Joint maximum likelihood decoding** is optimal but has high implementation complexity.

Zero-Forcing Linear Receivers



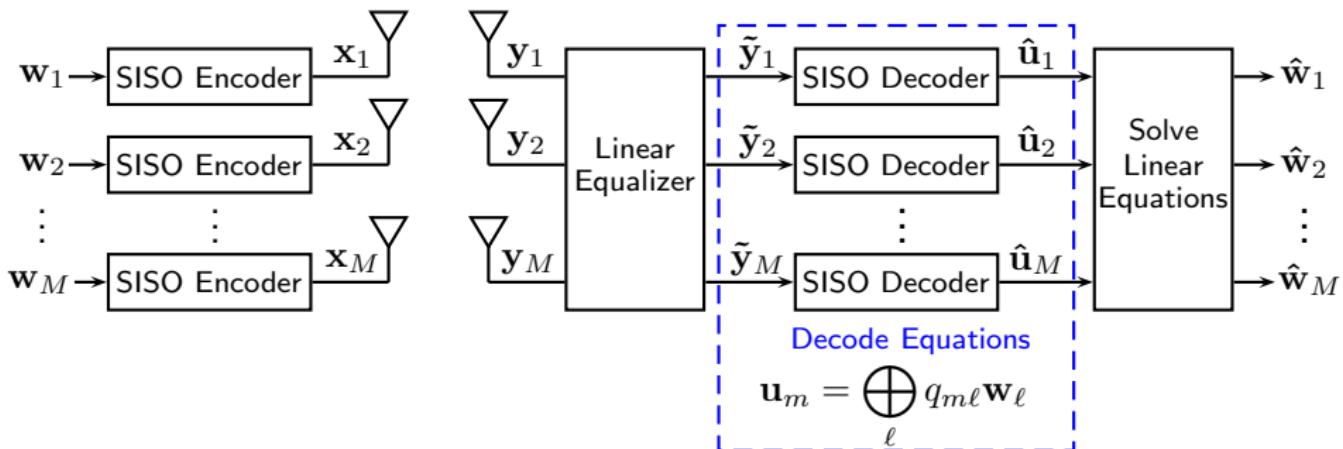
- Project the received signal, $\tilde{\mathbf{Y}} = \mathbf{B}\mathbf{Y}$, to **eliminate interference** between data streams.
- **Zero-Forcing:** Set $\mathbf{B} = \mathbf{H}^{-1}$ to obtain $\tilde{\mathbf{Y}} = \mathbf{X} + \mathbf{H}^{-1}\mathbf{Z}$.
- Significantly reduces complexity at the expense of performance.

Zero-Forcing Linear Receivers



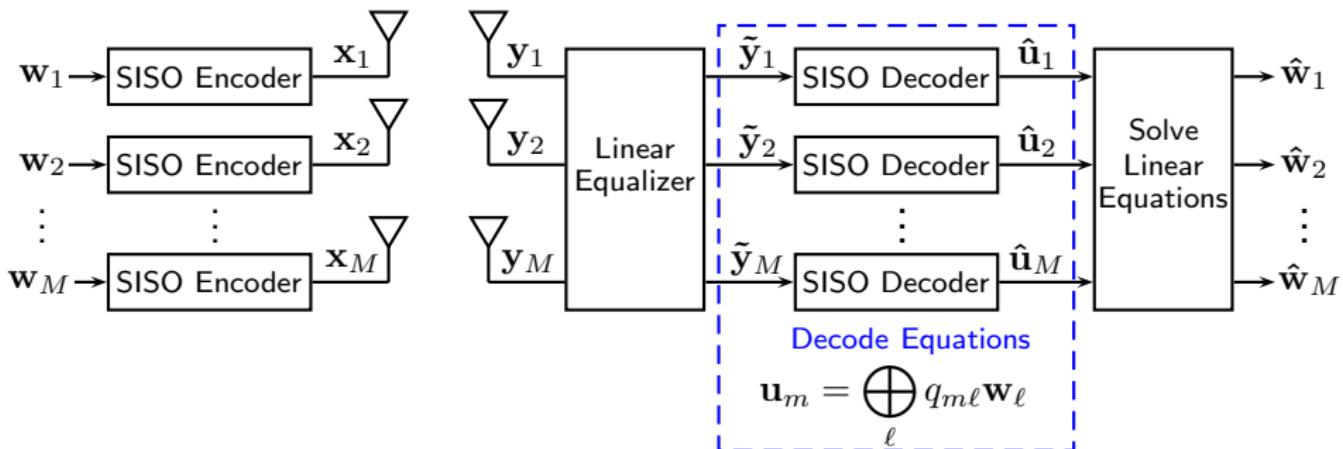
- Project the received signal, $\tilde{\mathbf{Y}} = \mathbf{B}\mathbf{Y}$, to **eliminate interference** between data streams.
- **Zero-Forcing:** Set $\mathbf{B} = \mathbf{H}^{-1}$ to obtain $\tilde{\mathbf{Y}} = \mathbf{X} + \mathbf{H}^{-1}\mathbf{Z}$.
- Significantly reduces complexity at the expense of performance.
- Slight improvement possible by using an MMSE projection instead.

Integer-Forcing Linear Receivers



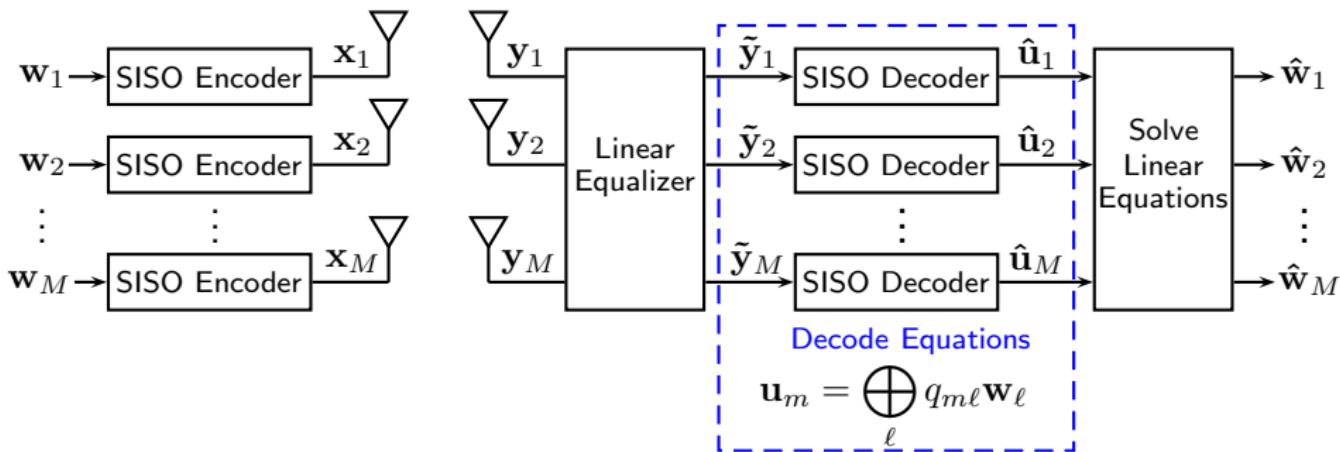
- Project the received signal, $\tilde{\mathbf{Y}} = \mathbf{B}\mathbf{Y}$, to create an integer-valued effective channel matrix.
- **Integer-Forcing:** Set $\mathbf{B} = \mathbf{A}\mathbf{H}^{-1}$ to obtain $\tilde{\mathbf{Y}} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z}$. Solve resulting equations, **Zhan-Nazer-Erez-Gastpar '12**.
- Optimize over $\mathbf{A} \in \mathbb{Z}^{M \times M}$ to minimize effective noise.

Integer-Forcing Linear Receivers



- Project the received signal, $\tilde{\mathbf{Y}} = \mathbf{B}\mathbf{Y}$, to create an **integer-valued** effective channel matrix.
- **Integer-Forcing:** Set $\mathbf{B} = \mathbf{A}\mathbf{H}^{-1}$ to obtain $\tilde{\mathbf{Y}} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z}$. Solve resulting equations, **Zhan-Nazer-Erez-Gastpar '12**.
- Optimize over $\mathbf{A} \in \mathbb{Z}^{M \times M}$ to minimize effective noise.
- Includes **zero-forcing** by setting $\mathbf{A} = \mathbf{I}$.

Integer-Forcing Linear Receivers



- Project the received signal, $\tilde{\mathbf{Y}} = \mathbf{B}\mathbf{Y}$, to create an integer-valued effective channel matrix.
- Integer-Forcing: Set $\mathbf{B} = \mathbf{A}\mathbf{H}^{-1}$ to obtain $\tilde{\mathbf{Y}} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z}$. Solve resulting equations, Zhan-Nazer-Erez-Gastpar '12.
- Optimize over $\mathbf{A} \in \mathbb{Z}^{M \times M}$ to minimize effective noise.
- Includes zero-forcing by setting $\mathbf{A} = \mathbf{I}$.
- Slight improvement possible by using an MMSE projection instead.

MIMO Compute-and-Forward

- Receiver has an M -dimensional observation of each transmitted symbol:

$$\begin{aligned}\mathbf{Y} &= \sum_{m=1}^M \mathbf{h}_m \mathbf{x}_m^\top + \mathbf{Z} \\ &= \mathbf{H} \mathbf{X} + \mathbf{Z}\end{aligned}$$

MIMO Compute-and-Forward

- Receiver has an M -dimensional observation of each transmitted symbol:

$$\begin{aligned}\mathbf{Y} &= \sum_{m=1}^M \mathbf{h}_m \mathbf{x}_m^\top + \mathbf{Z} \\ &= \mathbf{H}\mathbf{X} + \mathbf{Z}\end{aligned}$$

- To recover the linear combination with integer coefficient vector $\mathbf{a} \in \mathbb{Z}^L$, the receiver projects its observation:

$$\mathbf{b}^\top \mathbf{Y} = \mathbf{a}^\top \mathbf{X} + (\mathbf{b}^\top \mathbf{H} - \mathbf{a}^\top) \mathbf{X} + \mathbf{b}^\top \mathbf{Z}$$

MIMO Compute-and-Forward

- Receiver has an M -dimensional observation of each transmitted symbol:

$$\begin{aligned}\mathbf{Y} &= \sum_{m=1}^M \mathbf{h}_m \mathbf{x}_m^\top + \mathbf{Z} \\ &= \mathbf{H}\mathbf{X} + \mathbf{Z}\end{aligned}$$

- To recover the linear combination with integer coefficient vector $\mathbf{a} \in \mathbb{Z}^L$, the receiver projects its observation:

$$\mathbf{b}^\top \mathbf{Y} = \mathbf{a}^\top \mathbf{X} + (\mathbf{b}^\top \mathbf{H} - \mathbf{a}^\top) \mathbf{X} + \mathbf{b}^\top \mathbf{Z}$$

Theorem (Zhan-Nazer-Erez-Gastpar '12)

The computation rate region described by

$$R_{comp}(\mathbf{H}, \mathbf{a}) = \max_{\mathbf{b} \in \mathbb{R}^M} \frac{1}{2} \log^+ \left(\frac{P}{\|\mathbf{b}\|^2 + P\|\mathbf{H}^\top \mathbf{b} - \mathbf{a}\|^2} \right)$$

is achievable.

MIMO Compute-and-Forward

- Receiver has an M -dimensional observation of each transmitted symbol:

$$\begin{aligned}\mathbf{Y} &= \sum_{m=1}^M \mathbf{h}_m \mathbf{x}_m^\top + \mathbf{Z} \\ &= \mathbf{H}\mathbf{X} + \mathbf{Z}\end{aligned}$$

- To recover the linear combination with integer coefficient vector $\mathbf{a} \in \mathbb{Z}^L$, the receiver projects its observation:

$$\mathbf{b}^\top \mathbf{Y} = \mathbf{a}^\top \mathbf{X} + (\mathbf{b}^\top \mathbf{H} - \mathbf{a}^\top) \mathbf{X} + \mathbf{b}^\top \mathbf{Z}$$

Theorem (Zhan-Nazer-Erez-Gastpar '12)

The computation rate region described by

$$R_{comp}(\mathbf{H}, \mathbf{a}) = \frac{1}{2} \log^+ \left(\frac{P}{\mathbf{a}^\top (P^{-1} \mathbf{I} + \mathbf{H} \mathbf{H}^\top)^{-1} \mathbf{a}} \right)$$

is achievable.

Comparison

Integer-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{A}\mathbf{H}^{-1}\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{A}\mathbf{X}$$

Comparison

Integer-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{A}\mathbf{H}^{-1}\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{A}\mathbf{X}$$

- Achievable rate: $R_{\text{IF}}(\mathbf{H}) = M \max_{\substack{\mathbf{A} \in \mathbb{Z}^{M \times M} \\ \text{rank}(\mathbf{A})=M}} \min_m R_{\text{comp}}(\mathbf{H}, \mathbf{a}_m)$

Comparison

Integer-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{A}\mathbf{H}^{-1}\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{A}\mathbf{X}$$

- Achievable rate: $R_{\text{IF}}(\mathbf{H}) = M \max_{\substack{\mathbf{A} \in \mathbb{Z}^{M \times M} \\ \text{rank}(\mathbf{A})=M}} \min_m R_{\text{comp}}(\mathbf{H}, \mathbf{a}_m)$
- Only need to search over vectors satisfying $\|\mathbf{a}_m\|^2 \leq 1 + P\lambda_{\max}^2(\mathbf{H})$.

Comparison

Integer-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{A}\mathbf{H}^{-1}\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{A}\mathbf{X}$$

- Achievable rate: $R_{\text{IF}}(\mathbf{H}) = M \max_{\substack{\mathbf{A} \in \mathbb{Z}^{M \times M} \\ \text{rank}(\mathbf{A})=M}} \min_m R_{\text{comp}}(\mathbf{H}, \mathbf{a}_m)$
- Only need to search over vectors satisfying $\|\mathbf{a}_m\|^2 \leq 1 + P \lambda_{\max}^2(\mathbf{H})$.
- Faster search: Apply LLL algorithm to $\mathbf{F} = (P^{-1}\mathbf{I} + \mathbf{H}\mathbf{H}^\top)^{-1/2}$.

Comparison

Integer-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{A}\mathbf{H}^{-1}\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{A}\mathbf{X}$$

- Achievable rate: $R_{\text{IF}}(\mathbf{H}) = M \max_{\substack{\mathbf{A} \in \mathbb{Z}^{M \times M} \\ \text{rank}(\mathbf{A})=M}} \min_m R_{\text{comp}}(\mathbf{H}, \mathbf{a}_m)$
- Only need to search over vectors satisfying $\|\mathbf{a}_m\|^2 \leq 1 + P\lambda_{\max}^2(\mathbf{H})$.
- Faster search: Apply LLL algorithm to $\mathbf{F} = (P^{-1}\mathbf{I} + \mathbf{H}\mathbf{H}^\top)^{-1/2}$.

Zero-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{H}^{-1}\mathbf{Y} = \mathbf{X} + \mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{X}$$

Comparison

Integer-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{A}\mathbf{H}^{-1}\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{A}\mathbf{X}$$

- Achievable rate: $R_{\text{IF}}(\mathbf{H}) = M \max_{\substack{\mathbf{A} \in \mathbb{Z}^{M \times M} \\ \text{rank}(\mathbf{A})=M}} \min_m R_{\text{comp}}(\mathbf{H}, \mathbf{a}_m)$
- Only need to search over vectors satisfying $\|\mathbf{a}_m\|^2 \leq 1 + P \lambda_{\max}^2(\mathbf{H})$.
- Faster search: Apply LLL algorithm to $\mathbf{F} = (P^{-1}\mathbf{I} + \mathbf{H}\mathbf{H}^\top)^{-1/2}$.

Zero-Forcing:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} \xrightarrow{\text{Project}} \mathbf{H}^{-1}\mathbf{Y} = \mathbf{X} + \mathbf{H}^{-1}\mathbf{Z} \xrightarrow{\text{Decode}} \mathbf{X}$$

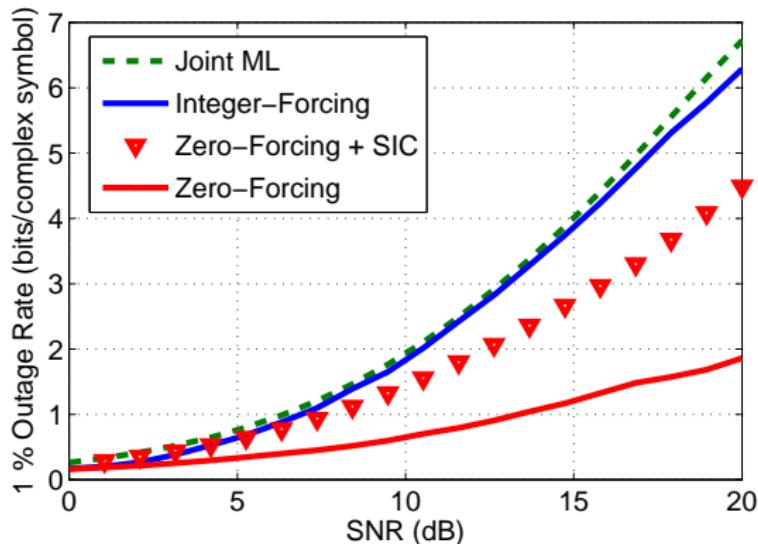
- Achievable rate: $R_{\text{ZF}}(\mathbf{H}) = M \min_m R_{\text{comp}}(\mathbf{H}, [\underbrace{0 \cdots 0}_{m-1 \text{ zeros}}, 1, 0, \dots, 0]^\top)$

Outage Rates

- Channel matrix \mathbf{H} is i.i.d. Rayleigh, **only known at the receiver**.
- For a fixed probability ρ , the *outage rate* is defined to be

$$R_{\text{OUT}}(\rho) = \sup \left\{ R : \Pr(R(\mathbf{H}) < R) \leq \rho \right\}.$$

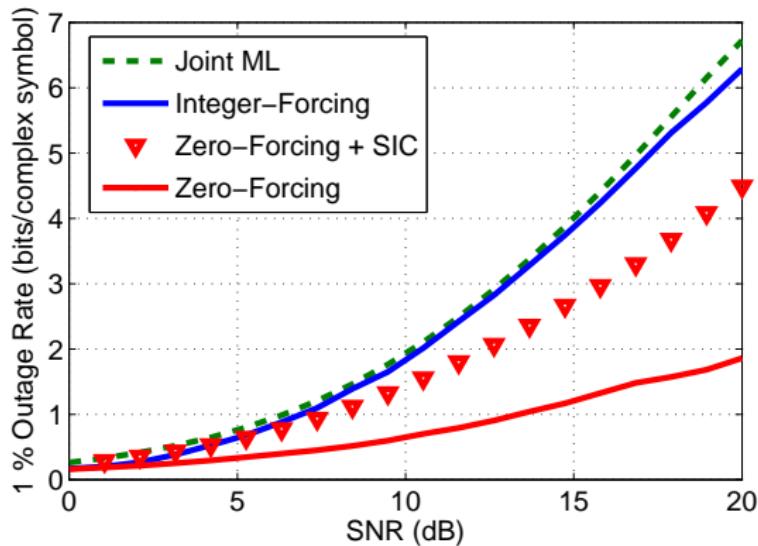
Outage Rates



- Channel matrix \mathbf{H} is i.i.d. Rayleigh, **only known at the receiver**.
- For a fixed probability ρ , the *outage rate* is defined to be

$$R_{\text{OUT}}(\rho) = \sup \left\{ R : \Pr (R(\mathbf{H}) < R) \leq \rho \right\}.$$

Outage Rates



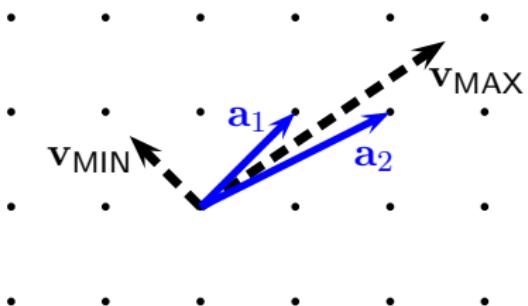
- Channel matrix \mathbf{H} is i.i.d. Rayleigh, **only known at the receiver**.
- For a fixed probability ρ , the *outage rate* is defined to be

$$R_{\text{OUT}}(\rho) = \sup \left\{ R : \Pr (R(\mathbf{H}) < R) \leq \rho \right\}.$$

- **Integer-forcing** beats **zero-forcing** (even if it is augmented with successive interference cancellation (SIC)).

Integer-Forcing Geometry

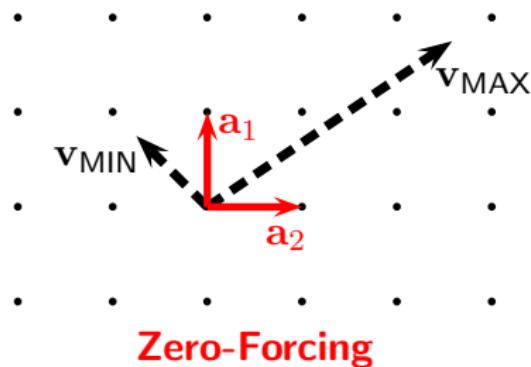
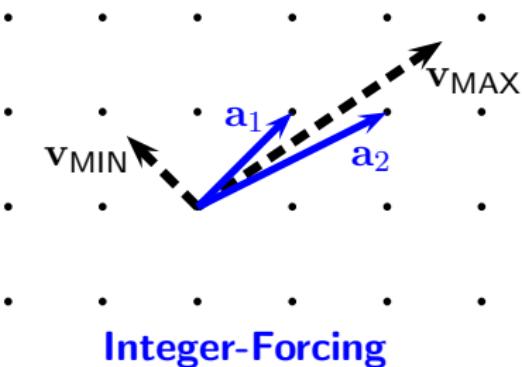
- Integer-forcing can adapt to the channel by choosing a basis (of integer vectors) close to the maximum singular vector.



Integer-Forcing

Integer-Forcing Geometry

- Integer-forcing can adapt to the channel by choosing a basis (of integer vectors) close to the maximum singular vector.
- Zero-forcing implicitly decodes using the standard basis.



Diversity-Multiplexing Tradeoff

- **Zheng-Tse '03:** A family of codes is said to achieve spatial multiplexing gain r and diversity gain d if the total data rate and the average probability of error satisfy

$$\lim_{\text{SNR} \rightarrow \infty} \frac{R(\text{SNR})}{\log \text{SNR}} \geq r$$

$$\lim_{\text{SNR} \rightarrow \infty} \frac{\log p_{\text{error}}(\text{SNR})}{\log \text{SNR}} \leq -d.$$

Diversity-Multiplexing Tradeoff

- **Zheng-Tse '03:** A family of codes is said to achieve spatial multiplexing gain r and diversity gain d if the total data rate and the average probability of error satisfy

$$\lim_{\text{SNR} \rightarrow \infty} \frac{R(\text{SNR})}{\log \text{SNR}} \geq r$$

$$\lim_{\text{SNR} \rightarrow \infty} \frac{\log p_{\text{error}}(\text{SNR})}{\log \text{SNR}} \leq -d.$$

- **Zhan-Nazer-Erez-Gastpar '12:** Integer-forcing can attain the optimal DMT while conventional linear receivers cannot.

Diversity-Multiplexing Tradeoff

- **Zheng-Tse '03:** A family of codes is said to achieve spatial multiplexing gain r and diversity gain d if the total data rate and the average probability of error satisfy

$$\lim_{\text{SNR} \rightarrow \infty} \frac{R(\text{SNR})}{\log \text{SNR}} \geq r$$

$$\lim_{\text{SNR} \rightarrow \infty} \frac{\log p_{\text{error}}(\text{SNR})}{\log \text{SNR}} \leq -d.$$

- **Zhan-Nazer-Erez-Gastpar '12:** Integer-forcing can attain the optimal DMT while conventional linear receivers cannot.
- What about space-time coding at the transmitter?

Diversity-Multiplexing Tradeoff

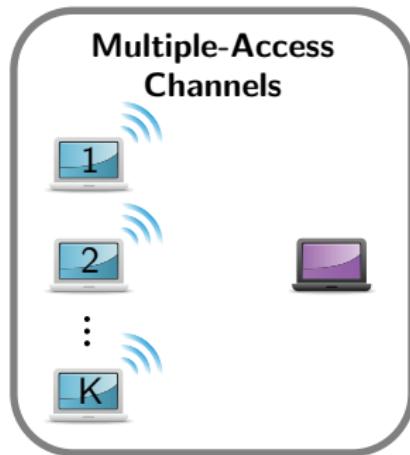
- **Zheng-Tse '03:** A family of codes is said to achieve spatial multiplexing gain r and diversity gain d if the total data rate and the average probability of error satisfy

$$\lim_{\text{SNR} \rightarrow \infty} \frac{R(\text{SNR})}{\log \text{SNR}} \geq r$$
$$\lim_{\text{SNR} \rightarrow \infty} \frac{\log p_{\text{error}}(\text{SNR})}{\log \text{SNR}} \leq -d.$$

- **Zhan-Nazer-Erez-Gastpar '12:** Integer-forcing can attain the optimal DMT while conventional linear receivers cannot.
- What about space-time coding at the transmitter?
- **Ordentlich-Erez '13:** Linear dispersion codes + integer-forcing achieves the MIMO capacity universally to within a constant gap. Includes optimal DMT as a special case.

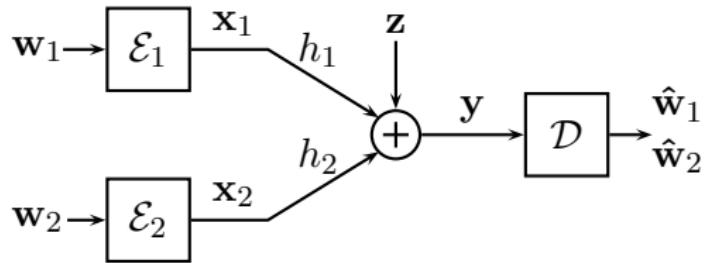
Road Map

- Compute-and-Forward:
 - Achievability results for Gaussian networks.
 - Proof ideas.
- Applications to communication across single-hop Gaussian networks.



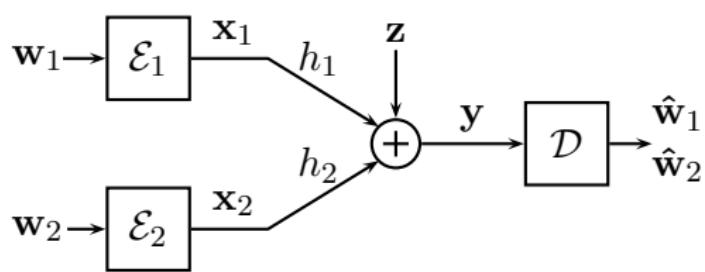
Joint work with Or Ordentlich and Uri Erez.

Gaussian Multiple-Access Channel

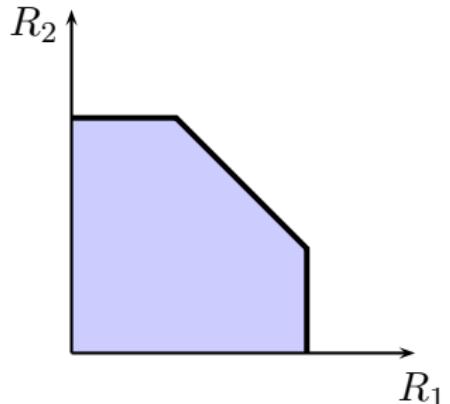


$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$

Gaussian Multiple-Access Channel



$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$



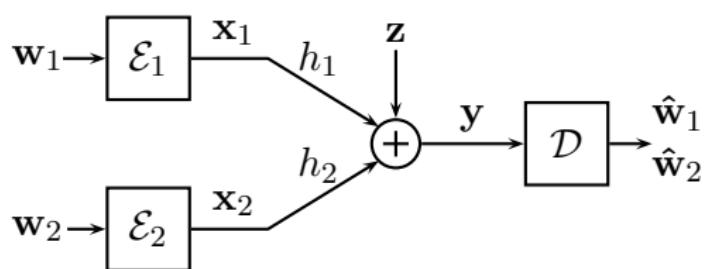
Theorem (Ahlswede '71, Liao '72, Wyner '74, Cover '75)

The capacity region is the set of all rate pairs (R_1, R_2) satisfying:

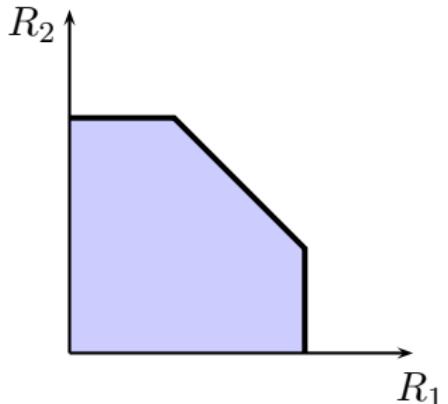
$$R_1 < \frac{1}{2} \log(1 + h_1^2 P) \quad R_2 < \frac{1}{2} \log(1 + h_2^2 P)$$

$$R_1 + R_2 < \frac{1}{2} \log(1 + \|\mathbf{h}\|^2 P)$$

Gaussian Multiple-Access Channel



$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$



Theorem (Ahlswede '71, Liao '72, Wyner '74, Cover '75)

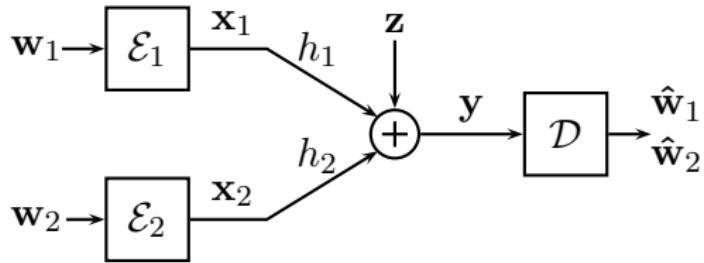
The capacity region is the set of all rate pairs (R_1, R_2) satisfying:

$$R_1 < \frac{1}{2} \log(1 + h_1^2 P) \quad R_2 < \frac{1}{2} \log(1 + h_2^2 P)$$

$$R_1 + R_2 < \frac{1}{2} \log(1 + \|\mathbf{h}\|^2 P)$$

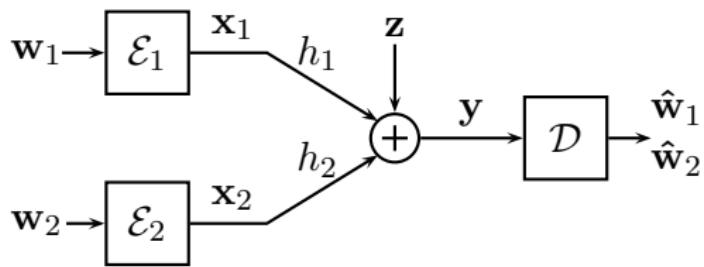
Achievable via joint decoding.

Successive Cancellation

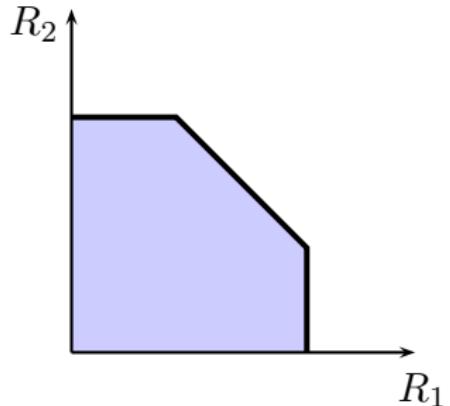


$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$

Successive Cancellation

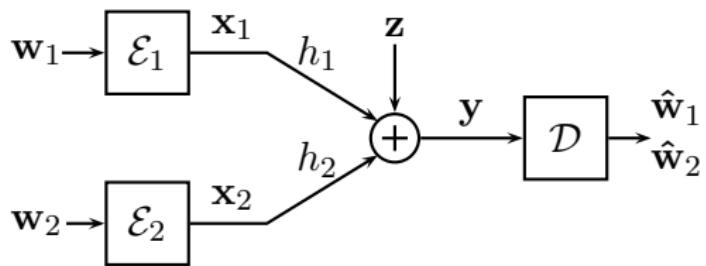


$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$

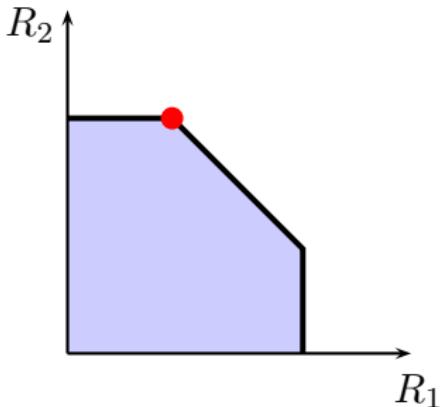


- Treat \mathbf{x}_2 as noise and decode \mathbf{x}_1 , $R_1 < \frac{1}{2} \log \left(1 + \frac{h_1^2 P}{1 + h_2^2 P} \right)$.

Successive Cancellation

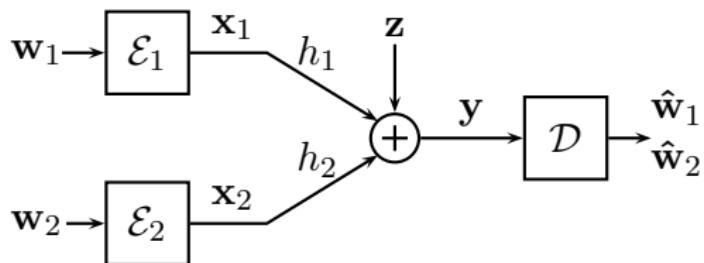


$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$

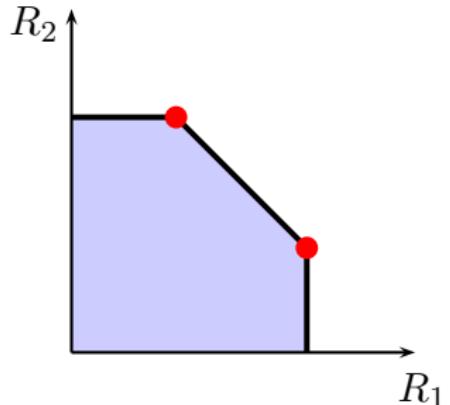


- Treat \mathbf{x}_2 as noise and decode \mathbf{x}_1 , $R_1 < \frac{1}{2} \log \left(1 + \frac{h_1^2 P}{1 + h_2^2 P} \right)$.
- Cancel \mathbf{x}_1 and decode \mathbf{x}_2 , $R_2 < \frac{1}{2} \log \left(1 + h_2^2 P \right)$.

Successive Cancellation

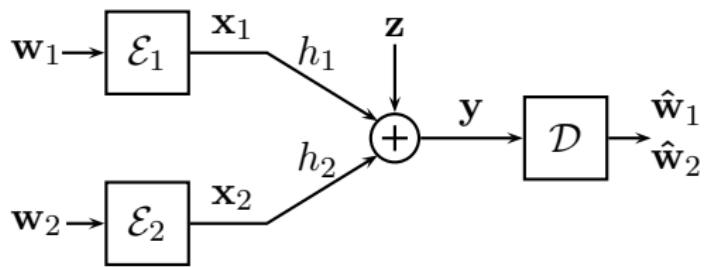


$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$

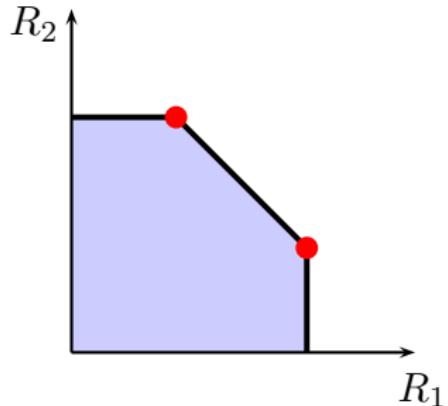


- Treat \mathbf{x}_2 as noise and decode \mathbf{x}_1 , $R_1 < \frac{1}{2} \log \left(1 + \frac{h_1^2 P}{1 + h_2^2 P} \right)$.
- Cancel \mathbf{x}_1 and decode \mathbf{x}_2 , $R_2 < \frac{1}{2} \log \left(1 + h_2^2 P \right)$.
- Switch decoding order for the other corner point.

Successive Cancellation

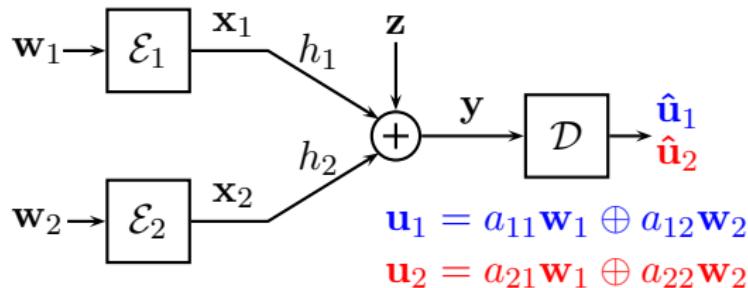


$$\|\mathbf{x}_\ell\|^2 \leq nP, \quad \mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}).$$



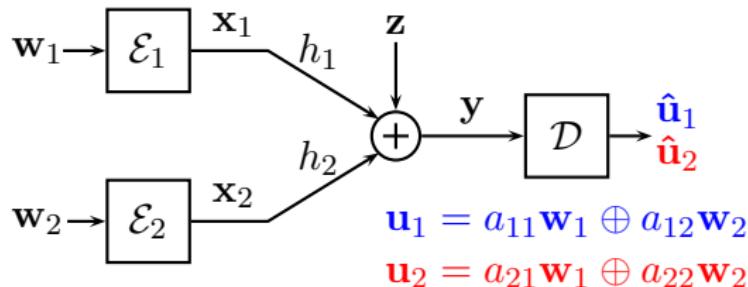
- Treat \mathbf{x}_2 as noise and decode \mathbf{x}_1 , $R_1 < \frac{1}{2} \log \left(1 + \frac{h_1^2 P}{1 + h_2^2 P} \right)$.
- Cancel \mathbf{x}_1 and decode \mathbf{x}_2 , $R_2 < \frac{1}{2} \log \left(1 + h_2^2 P \right)$.
- Switch decoding order for the other corner point.
- Achieves capacity when combined with time-sharing or rate-splitting (Rimoldi-Urbanke '96).

Two Linear Combinations

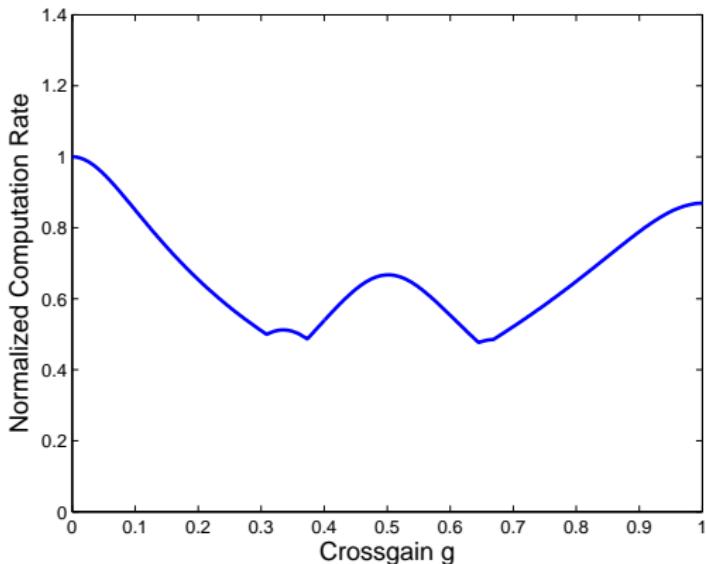


- Decode two linearly independent equations.

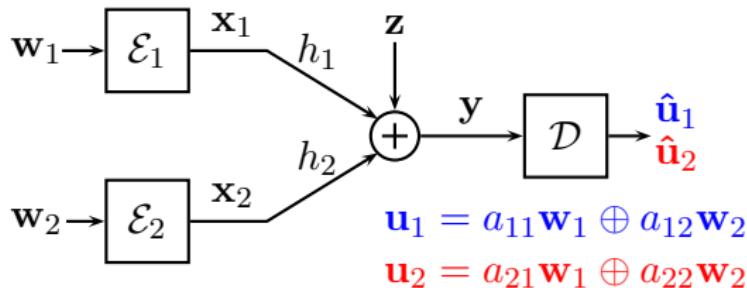
Two Linear Combinations



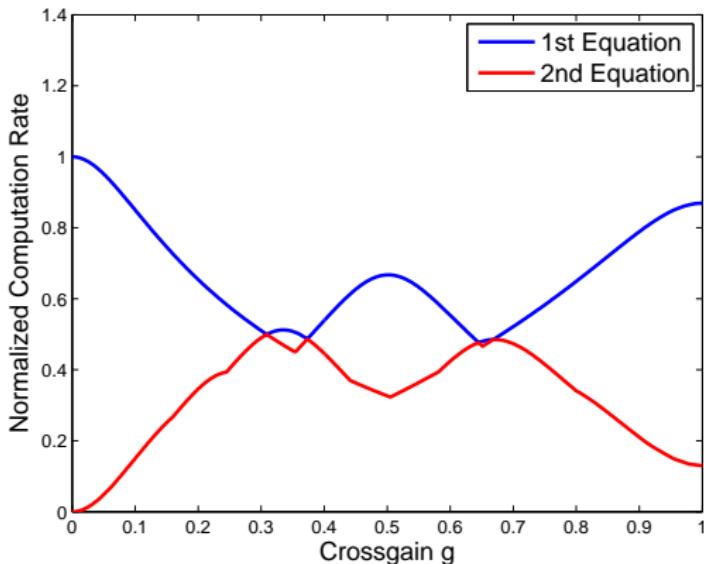
- Decode two linearly independent equations.



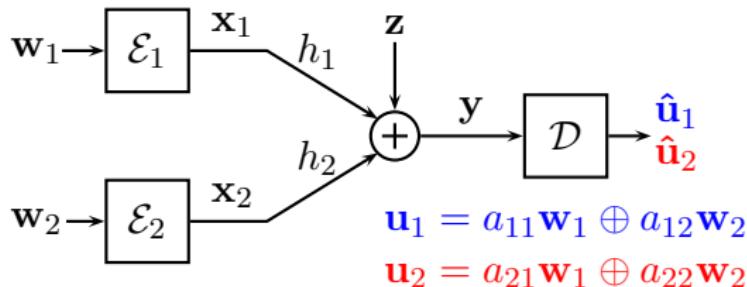
Two Linear Combinations



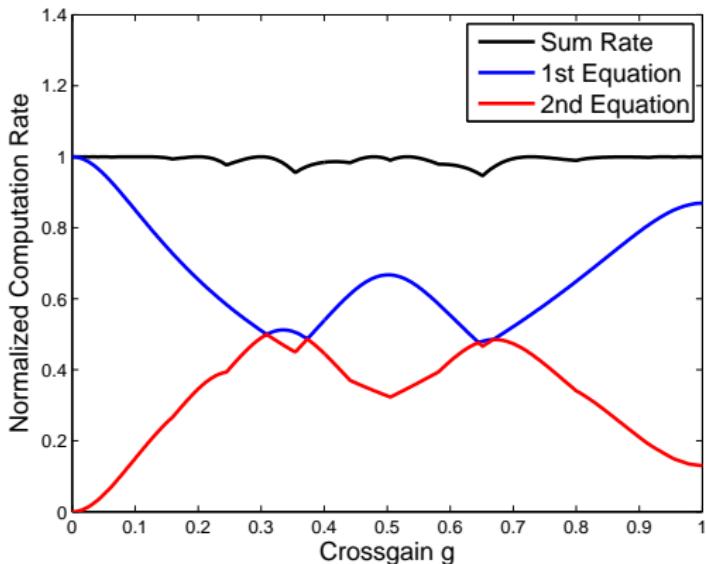
- Decode two linearly independent equations.



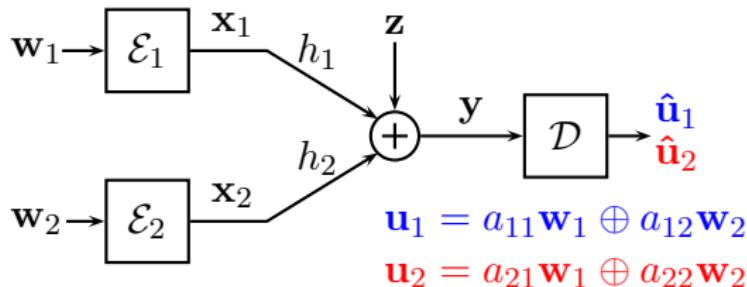
Two Linear Combinations



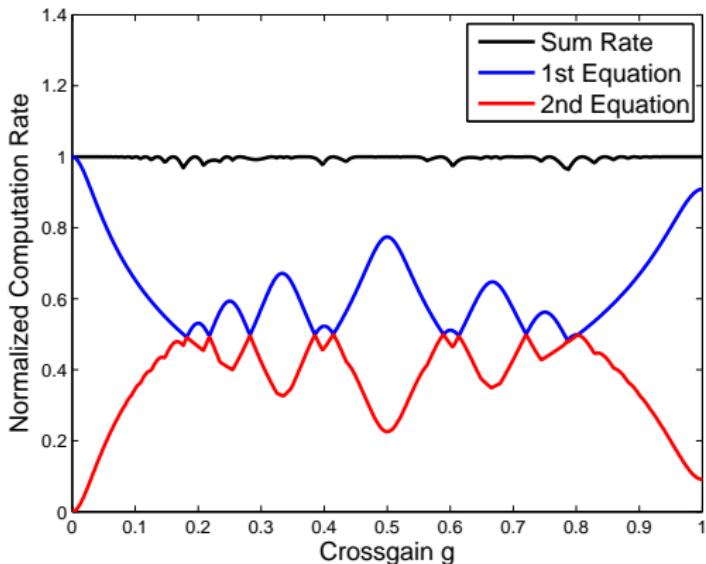
- Decode two linearly independent equations.



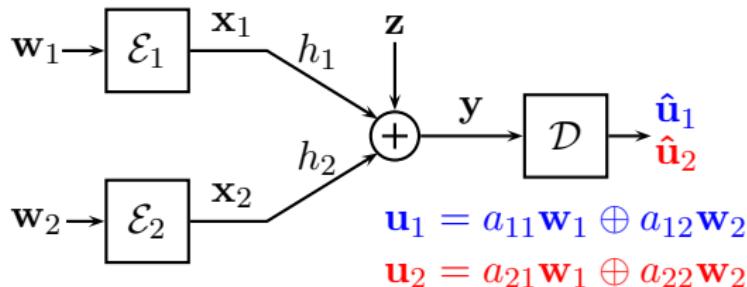
Two Linear Combinations



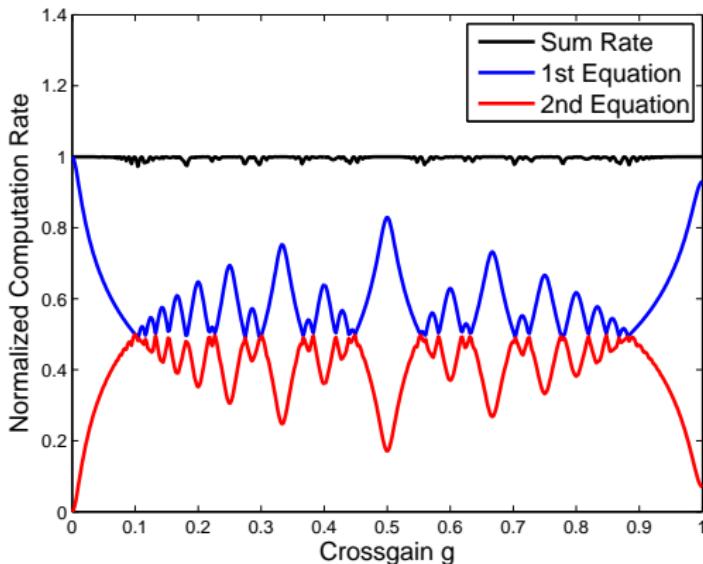
- Decode two linearly independent equations.



Two Linear Combinations



- Decode two linearly independent equations.



Sum of Computation Rates

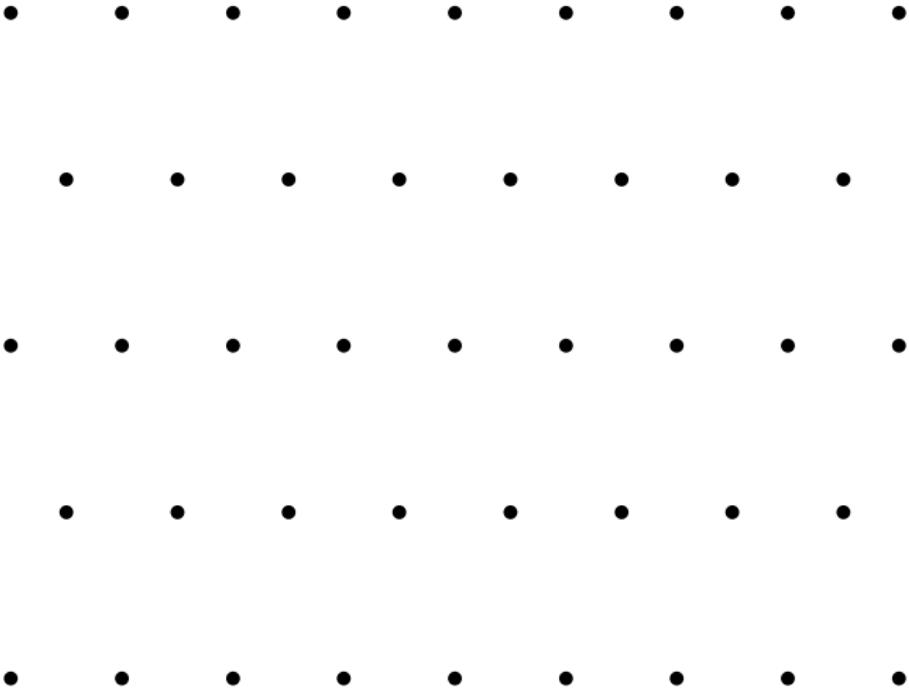
- Looks as if the sum of computation rates is **nearly equal to the MAC sum capacity**. Why is this happening?
- Let $\mathbf{F} = (P^{-1/2}\mathbf{I} + \mathbf{h}\mathbf{h}^T)^{-1/2}$. Then, each computation rate can be written as

$$R_{\text{comp}}(\mathbf{h}, \mathbf{a}_k) = \frac{1}{2} \log^+ \left(\frac{P}{\|\mathbf{F} \mathbf{a}_k\|^2} \right).$$

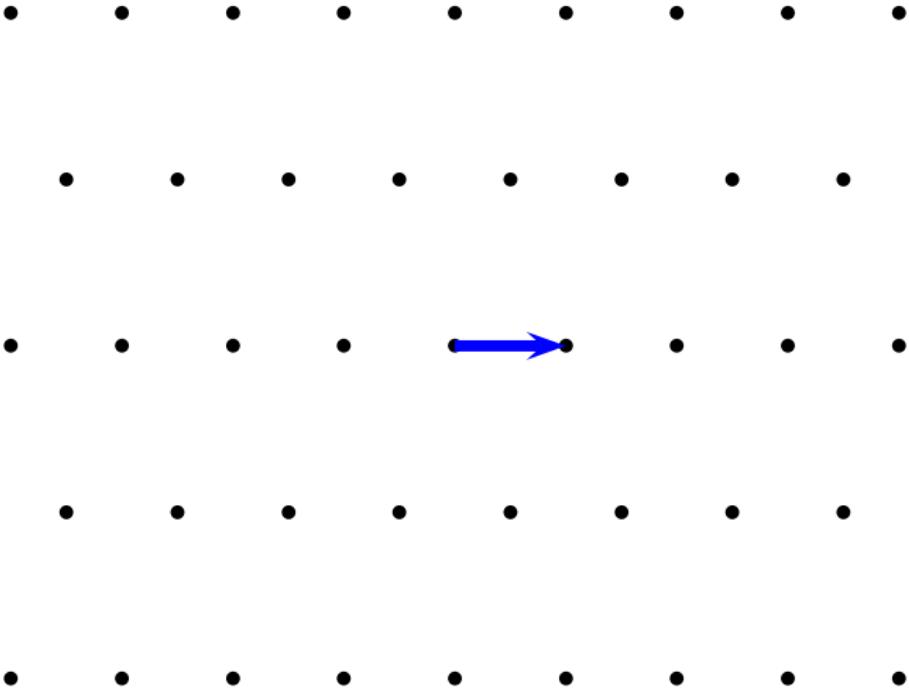
- Thus, **decoding the best linear combinations** is the same as finding the **successive minima** $\lambda_k(\mathbf{F})$ for the lattice $\Lambda(\mathbf{F}) = \mathbf{F}\mathbb{Z}^K$:

$$\lambda_k(\mathbf{F}) \triangleq \inf \left\{ r : \dim \left(\text{span} \left(\Lambda(\mathbf{F}) \cap \mathcal{B}(\mathbf{0}, r) \right) \right) \geq k \right\}.$$

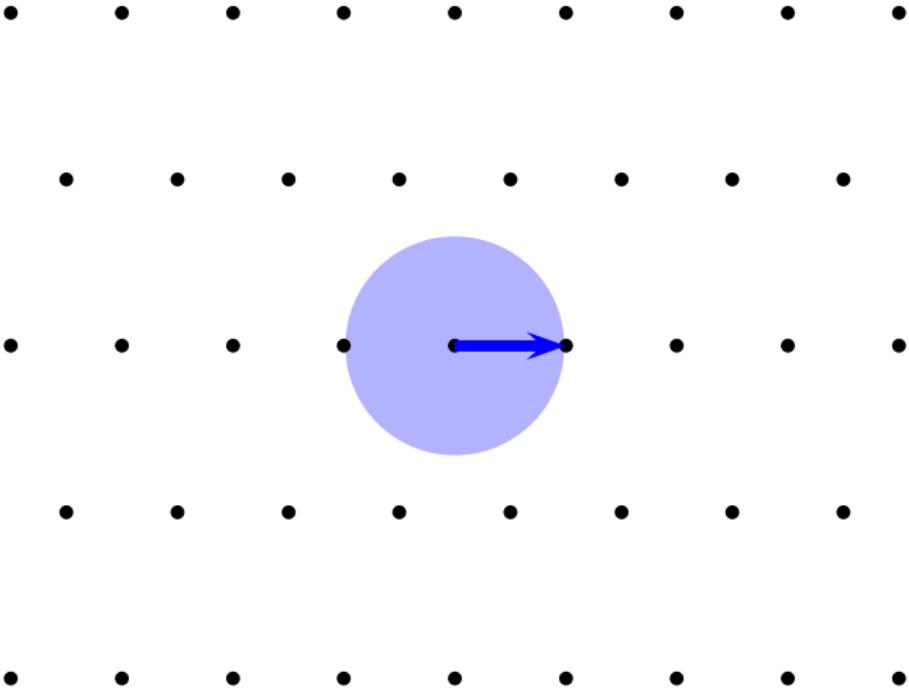
Successive Minima



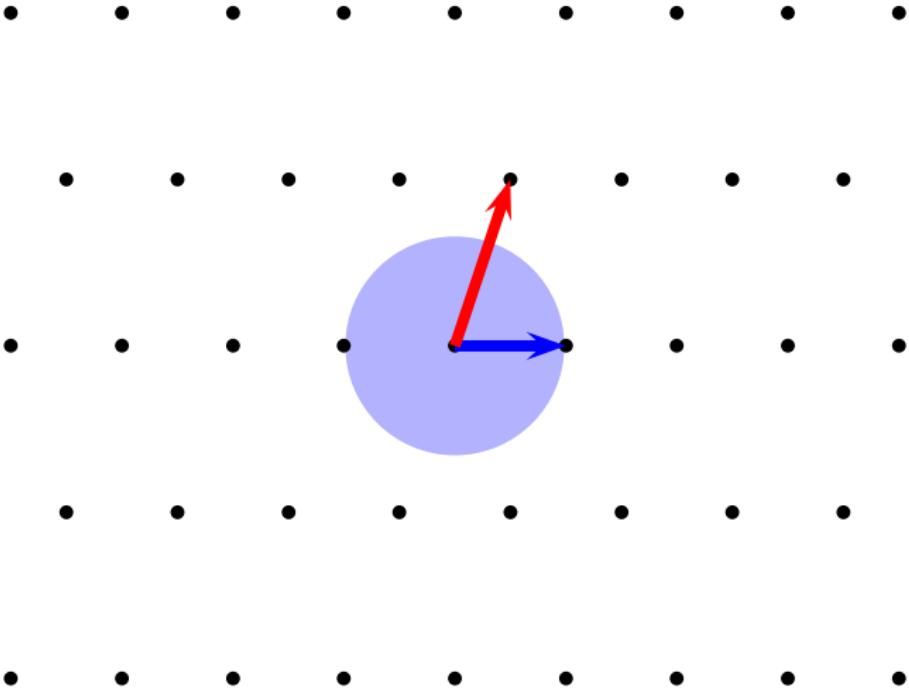
Successive Minima



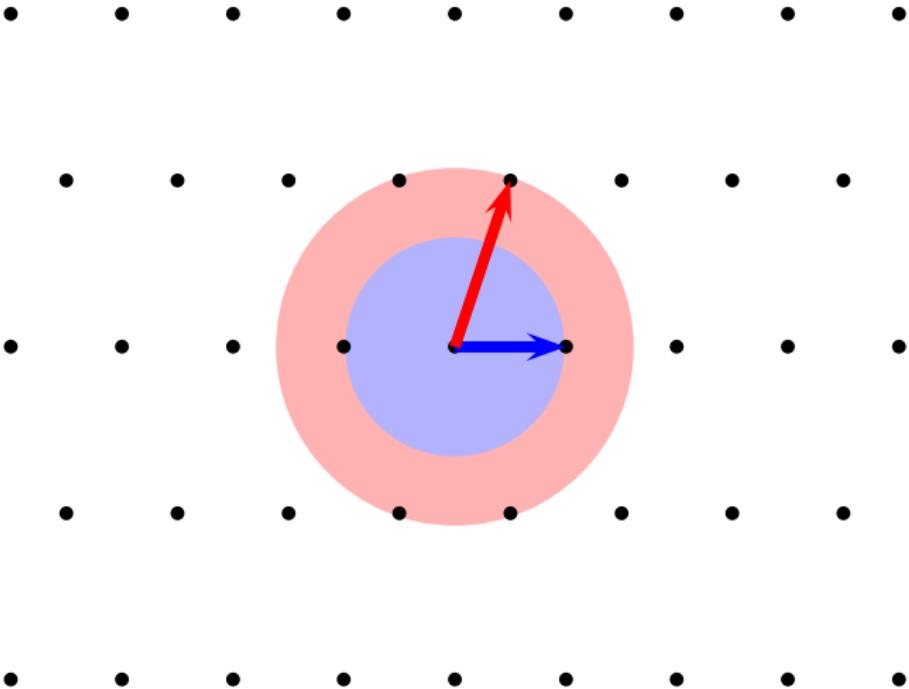
Successive Minima



Successive Minima



Successive Minima



Minkowski's Theorem on Successive Minima

Theorem (Minkowski)

Let $\Lambda(\mathbf{F})$ be a lattice spanned by a full-rank $K \times K$ matrix \mathbf{F} . Its successive minima $\lambda_k(\mathbf{F})$ satisfy

$$\prod_{k=1}^K \lambda_k^2(\mathbf{F}) \leq K^K |\det(\mathbf{F})|^2.$$

Minkowski's Theorem on Successive Minima

Theorem (Minkowski)

Let $\Lambda(\mathbf{F})$ be a lattice spanned by a full-rank $K \times K$ matrix \mathbf{F} . Its successive minima $\lambda_k(\mathbf{F})$ satisfy

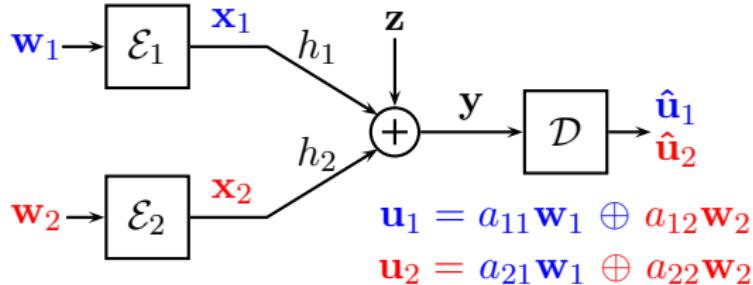
$$\prod_{k=1}^K \lambda_k^2(\mathbf{F}) \leq K^K |\det(\mathbf{F})|^2 .$$

Theorem (Ordentlich-Erez-Nazer '12)

The sum of the K best linearly independent computation rates satisfies

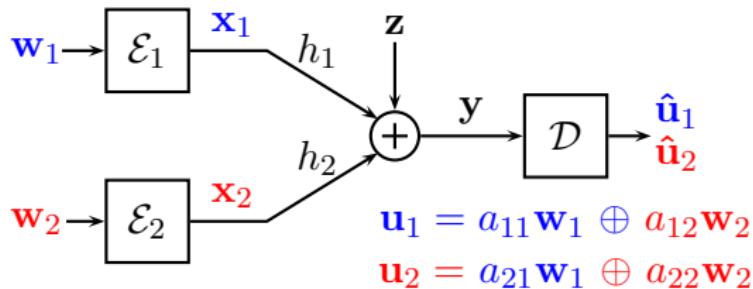
$$\sum_{k=1}^K R_{comp}(\mathbf{h}, \mathbf{a}_k) \geq \frac{1}{2} \log(1 + \|\mathbf{h}\|^2 \text{SNR}) - \frac{K}{2} \log K .$$

Operational Interpretation: Multiple-Access



- Associate each computation rate to a message.

Operational Interpretation: Multiple-Access

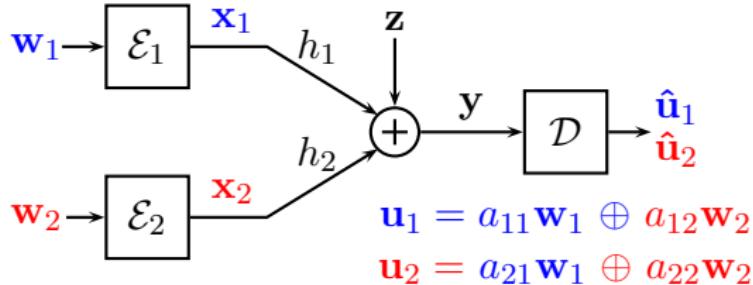


- Associate each computation rate to a message.

- Decoding the first equation succeeds since

$$\max(R_1, R_2) < R_{\text{comp}}(\mathbf{h}, \mathbf{a}_1) .$$

Operational Interpretation: Multiple-Access



- Associate each computation rate to a message.

- Decoding the **first equation** succeeds since

$$\max(R_1, R_2) < R_{\text{comp}}(\mathbf{h}, \mathbf{a}_1) .$$

- Decoding the **second equation** runs into an issue:

$$\max(R_1, R_2) > R_{\text{comp}}(\mathbf{h}, \mathbf{a}_2) .$$

(Algebraic) Successive Cancellation

- After decoding the **first equation**, the receiver knows

$$\mathbf{v}_1 = [a_{11}\mathbf{t}_1 + a_{12}\mathbf{t}_2] \text{ mod } \Lambda .$$

(Algebraic) Successive Cancellation

- After decoding the **first equation**, the receiver knows

$$\mathbf{v}_1 = [a_{11}\mathbf{t}_1 + a_{12}\mathbf{t}_2] \bmod \Lambda .$$

- The effective channel for the **second equation** is

$$\tilde{\mathbf{y}}_2 = [a_{21}\mathbf{t}_1 + a_{22}\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \bmod \Lambda .$$

(Algebraic) Successive Cancellation

- After decoding the **first equation**, the receiver knows

$$\mathbf{v}_1 = [a_{11}\mathbf{t}_1 + a_{12}\mathbf{t}_2] \pmod{\Lambda}.$$

- The effective channel for the **second equation** is

$$\tilde{\mathbf{y}}_2 = [a_{21}\mathbf{t}_1 + a_{22}\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \pmod{\Lambda}.$$

- Using \mathbf{v}_1 we can **cancel out \mathbf{t}_1** from $\tilde{\mathbf{y}}_2$ without changing the effective noise.

$$\begin{aligned}\tilde{\mathbf{y}}_2^{\text{SI}} &= [\mathbf{s}_2 - b_1 \mathbf{v}_1] \pmod{\Lambda} \\ &= [(a_{22} - b_1 a_{12})\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \pmod{\Lambda}.\end{aligned}$$

(Algebraic) Successive Cancellation

- After decoding the **first equation**, the receiver knows

$$\mathbf{v}_1 = [a_{11}\mathbf{t}_1 + a_{12}\mathbf{t}_2] \bmod \Lambda .$$

- The effective channel for the **second equation** is

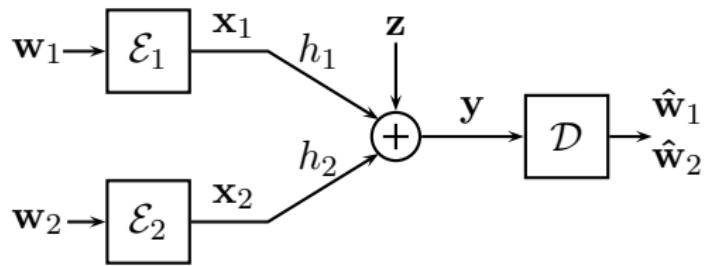
$$\tilde{\mathbf{y}}_2 = [a_{21}\mathbf{t}_1 + a_{22}\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \bmod \Lambda .$$

- Using \mathbf{v}_1 we can **cancel out \mathbf{t}_1** from $\tilde{\mathbf{y}}_2$ without changing the effective noise.

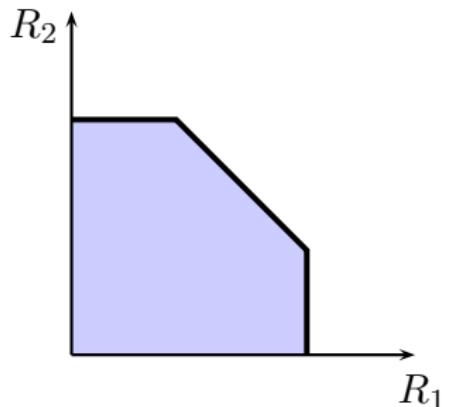
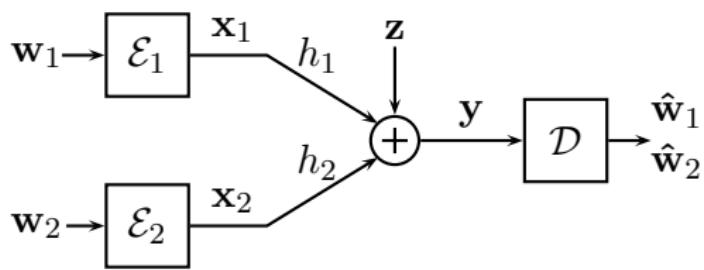
$$\begin{aligned}\tilde{\mathbf{y}}_2^{\text{SI}} &= [\mathbf{s}_2 - b_1 \mathbf{v}_1] \bmod \Lambda \\ &= [(a_{22} - b_1 a_{12})\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \bmod \Lambda .\end{aligned}$$

- Now, the receiver can decode since $R_2 < R_{\text{comp}}(\mathbf{h}, \mathbf{a}_2)$.

Multiple-Access via Computation

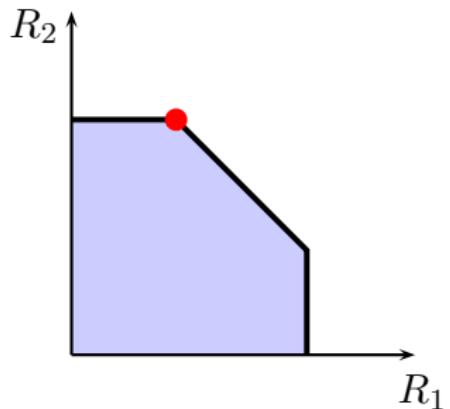
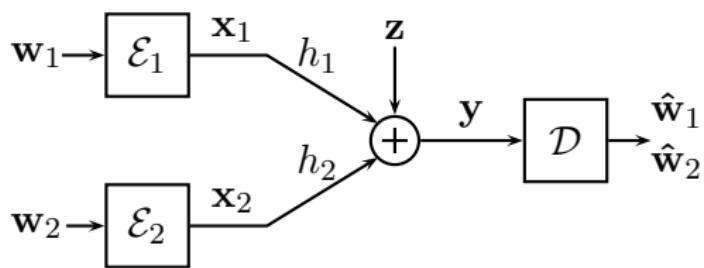


Multiple-Access via Computation



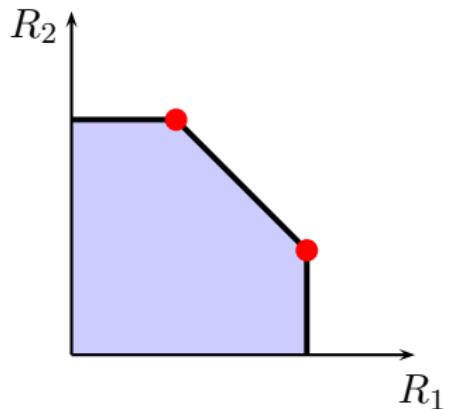
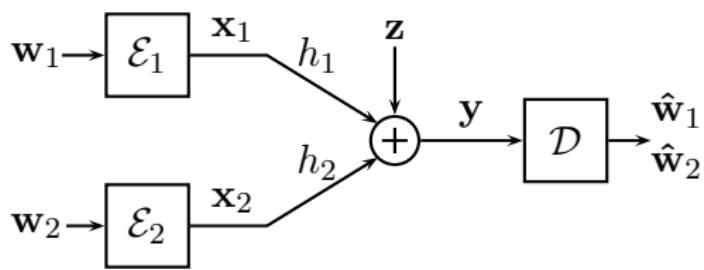
- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.

Multiple-Access via Computation



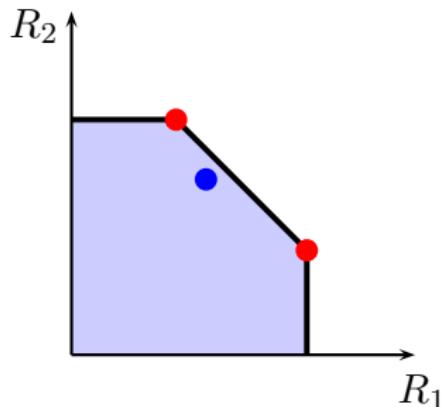
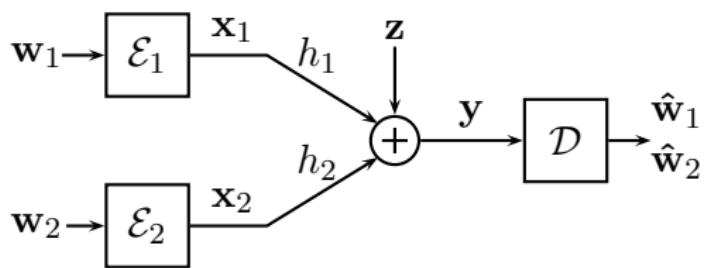
- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.

Multiple-Access via Computation



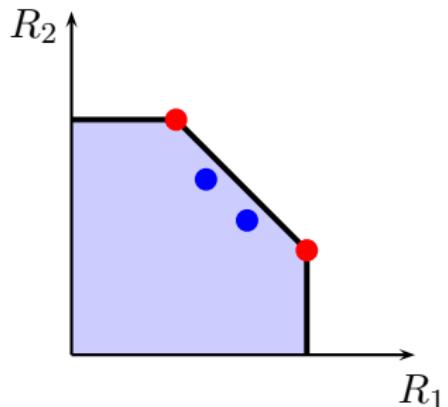
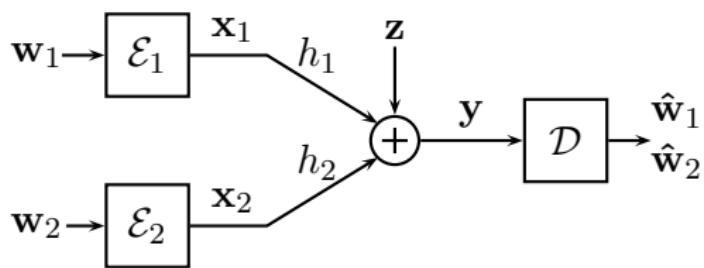
- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.

Multiple-Access via Computation



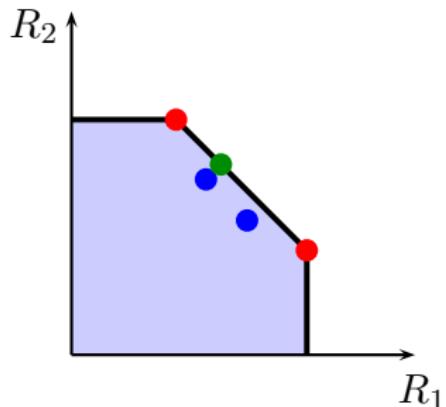
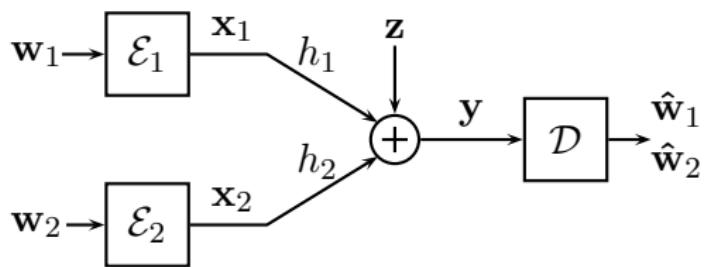
- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.
- Compute-and-forward achieves another set of points near the sum rate boundary. Often closer to the symmetric capacity.

Multiple-Access via Computation



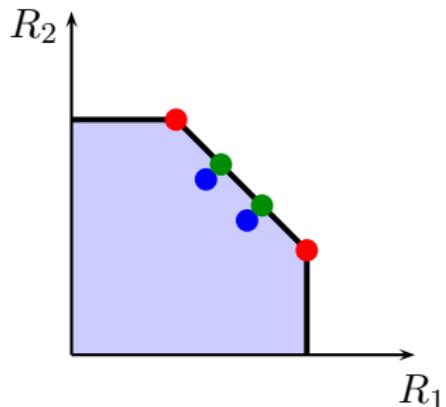
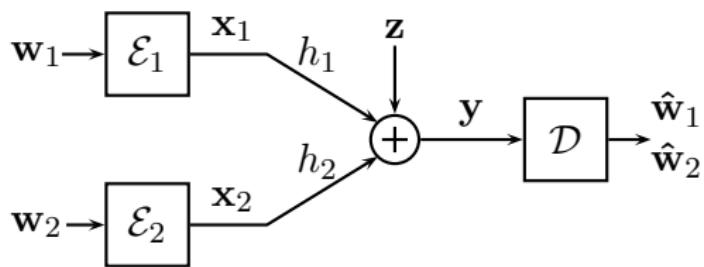
- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.
- Compute-and-forward achieves another set of points near the sum rate boundary. Often closer to the symmetric capacity.

Multiple-Access via Computation



- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.
- Compute-and-forward achieves another set of points near the sum rate boundary. Often closer to the symmetric capacity.
- Successive compute-and-forward can attain the exact sum rate.
Key Idea: Perform an MMSE projection between each decoding step
Nazer '12, Ordentlich-Erez-Nazer '13.

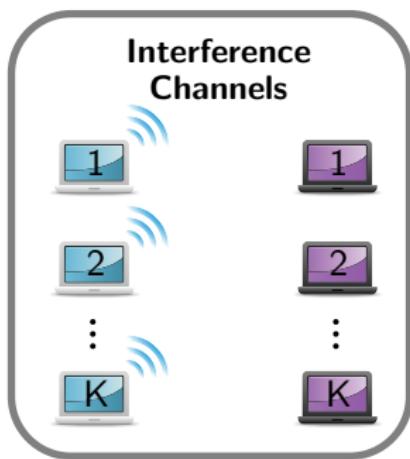
Multiple-Access via Computation



- Successive cancellation (without time-sharing or rate-splitting) achieves corner points.
- Compute-and-forward achieves another set of points near the sum rate boundary. Often closer to the symmetric capacity.
- Successive compute-and-forward can attain the exact sum rate.
Key Idea: Perform an MMSE projection between each decoding step
Nazer '12, Ordentlich-Erez-Nazer '13.

Road Map

- Decoding linear combinations.
 - Achievability results for Gaussian networks.
- Applications to communication across single-hop Gaussian networks.



Joint work with:

Symmetric case: Or Ordentlich and Uri Erez.

Stream-by-stream case: Vasilis Ntranos, Viveck Cadambe, and Giuseppe Caire.

Interference-Free Capacity



Interference-Free Capacity



Time Division



Time Division



Time Division



⋮



⋮



Time Division



⋮

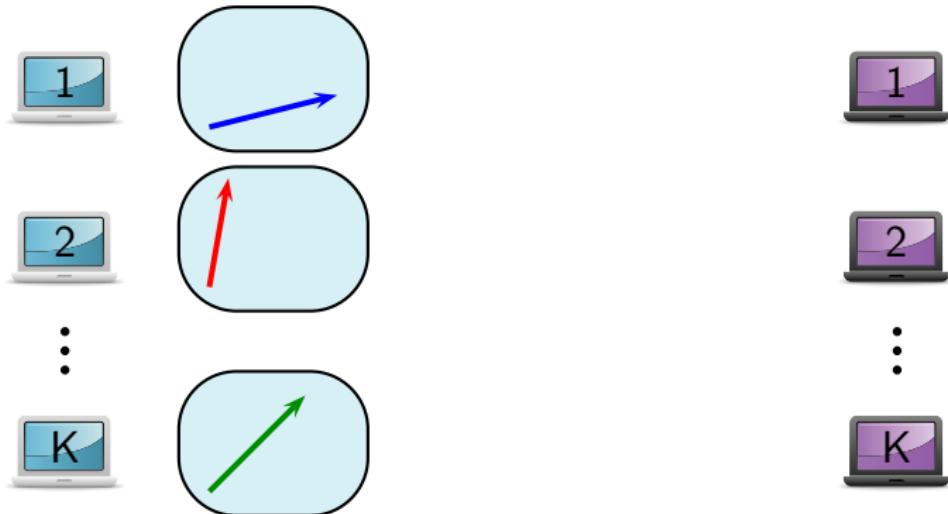


Interference Alignment



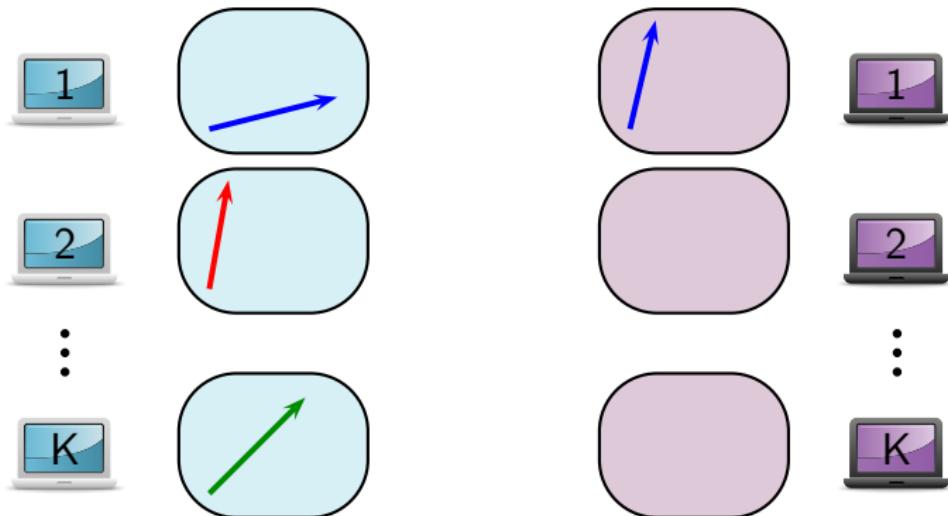
- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



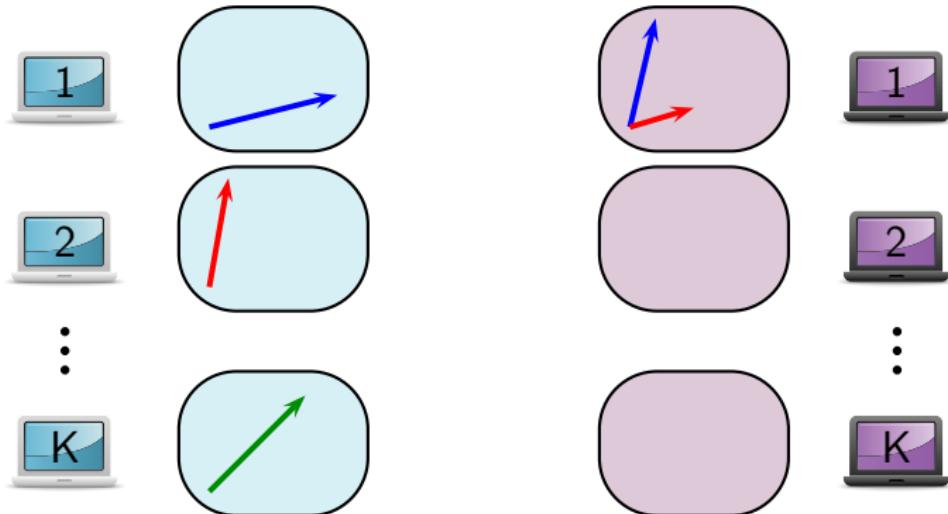
- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



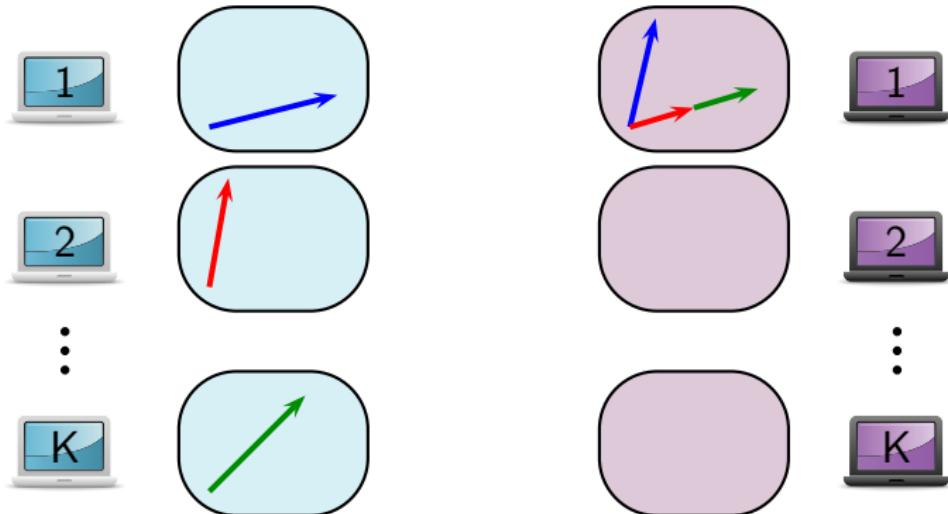
- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



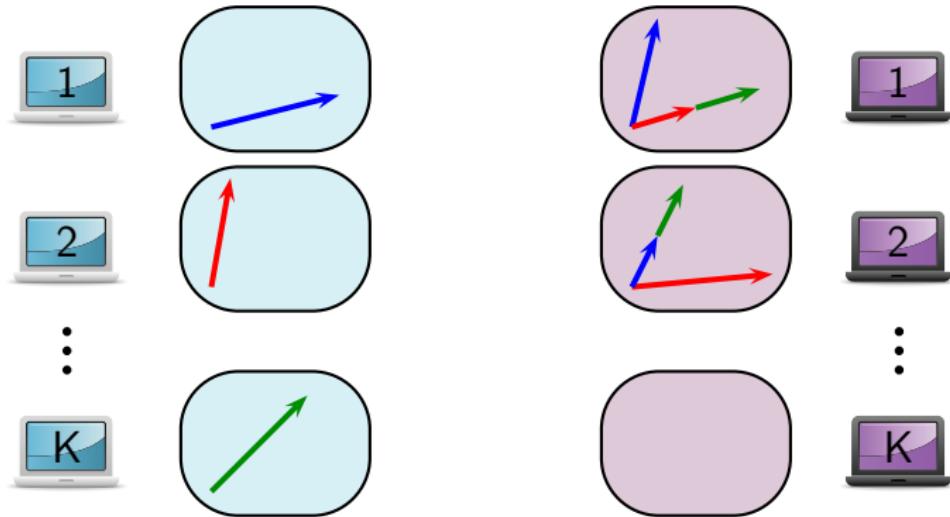
- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



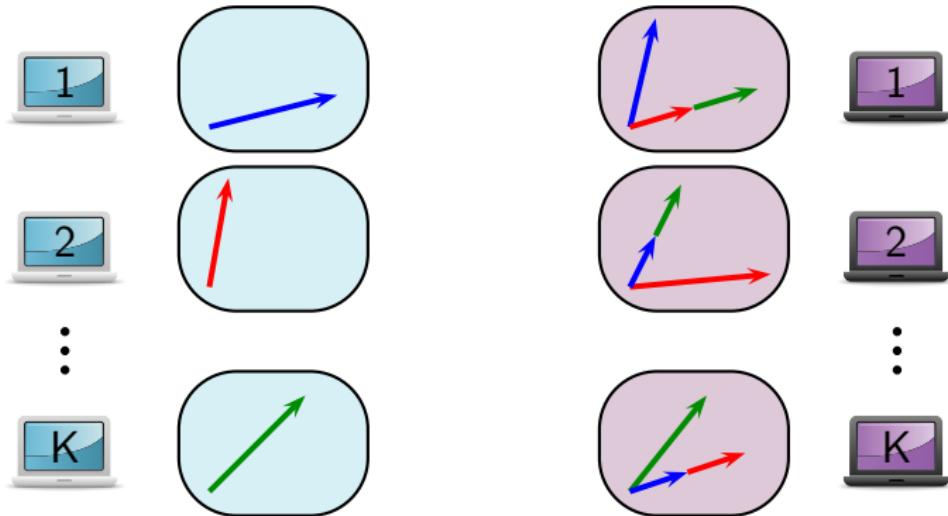
- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



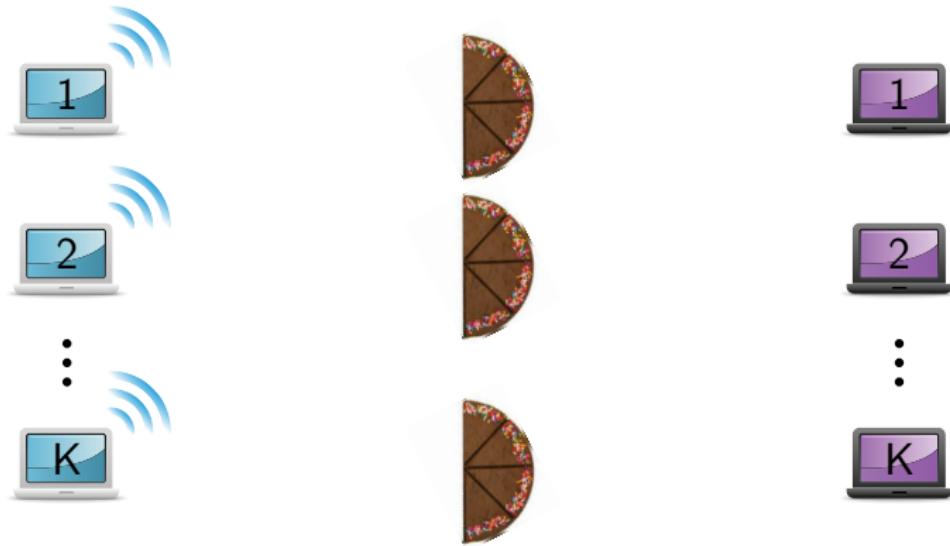
- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment



- **Cadambe-Jafar '08:** Alignment can achieve $K/2$ degrees-of-freedom for the K -user interference channel.
- **Birk-Kol '98:** Alignment for index coding. **Maddah-Ali - Motahari - Khandani '08:** Alignment for the MIMO X channel. See **Jafar '11** monograph (or recent e-book) for a richer history.

Interference Alignment

What do we need to get half the cake? (so far)

- Very large channel diversity (e.g., time extensions, frequency bands):

Interference Alignment

What do we need to get half the cake? (so far)

- Very large channel diversity (e.g., time extensions, frequency bands):
- Very high SNR:

Interference Alignment

What do we need to get half the cake? (so far)

- Very large channel diversity (e.g., time extensions, frequency bands):
 - Cadambe, Jafar '08: Asymptotic alignment. Achieves $\frac{K}{2}$ DoF across roughly 2^{K^2} channel realizations. Signal space alignment.
- Very high SNR:

What do we need to get half the cake? (so far)

- Very large channel diversity (e.g., time extensions, frequency bands):
 - Cadambe, Jafar '08: Asymptotic alignment. Achieves $\frac{K}{2}$ DoF across roughly 2^{K^2} channel realizations. Signal space alignment.
 - Nazer, Gastpar, Jafar, Vishwanath '12: Ergodic alignment. Achieves $\frac{K}{2} \log(1 + 2\text{SNR})$ across roughly $(K\text{SNR})^{K^2/2}$ channel realizations. Signal space alignment.
- Very high SNR:

What do we need to get half the cake? (so far)

- Very large channel diversity (e.g., time extensions, frequency bands):
 - Cadambe, Jafar '08: Asymptotic alignment. Achieves $\frac{K}{2}$ DoF across roughly 2^{K^2} channel realizations. Signal space alignment.
 - Nazer, Gastpar, Jafar, Vishwanath '12: Ergodic alignment. Achieves $\frac{K}{2} \log(1 + 2\text{SNR})$ across roughly $(K\text{SNR})^{K^2/2}$ channel realizations. Signal space alignment.
- Very high SNR:
 - Motahari, Gharan, Maddah-Ali, Khandani '09: Real alignment. Achieves $\frac{K}{2}$ DoF over one channel realization using roughly 2^{K^2} codeword layers. Signal scale alignment.

Integer-Forcing Interference Alignment

Ntranos-Cadambe-Nazer-Caire '13:

- Proposed a new framework, **integer-forcing interference alignment**, that can simultaneously exploit **signal space** and **signal scale** alignment.

Integer-Forcing Interference Alignment

Ntranos-Cadambe-Nazer-Caire '13:

- Proposed a new framework, **integer-forcing interference alignment**, that can simultaneously exploit **signal space** and **signal scale** alignment.
- Aimed at scenarios with **finite channel diversity** (e.g., a few independent fading realizations) and **finite SNR**.

Integer-Forcing Interference Alignment

Ntranos-Cadambe-Nazer-Caire '13:

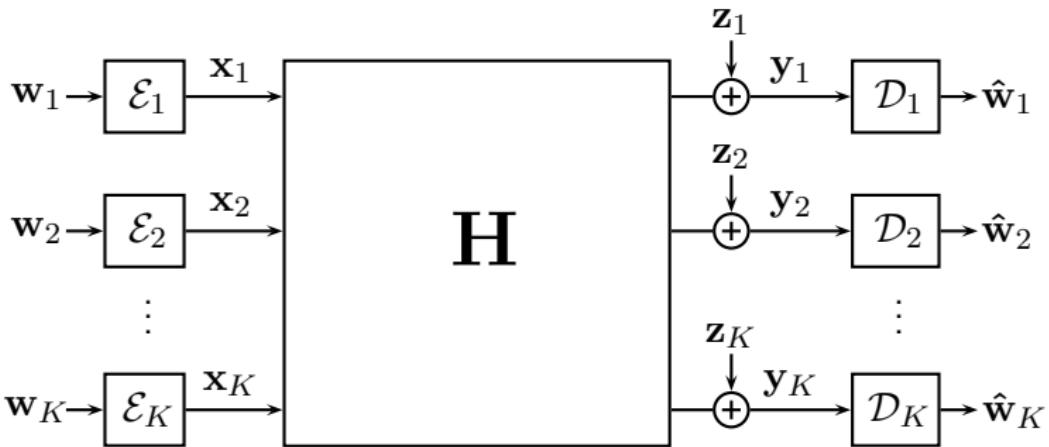
- Proposed a new framework, **integer-forcing interference alignment**, that can simultaneously exploit **signal space** and **signal scale** alignment.
- Aimed at scenarios with **finite channel diversity** (e.g., a few independent fading realizations) and **finite SNR**.
- Yields an achievable rate region for any scenario which employs “stream-by-stream” alignment.

Integer-Forcing Interference Alignment

Ntranos-Cadambe-Nazer-Caire '13:

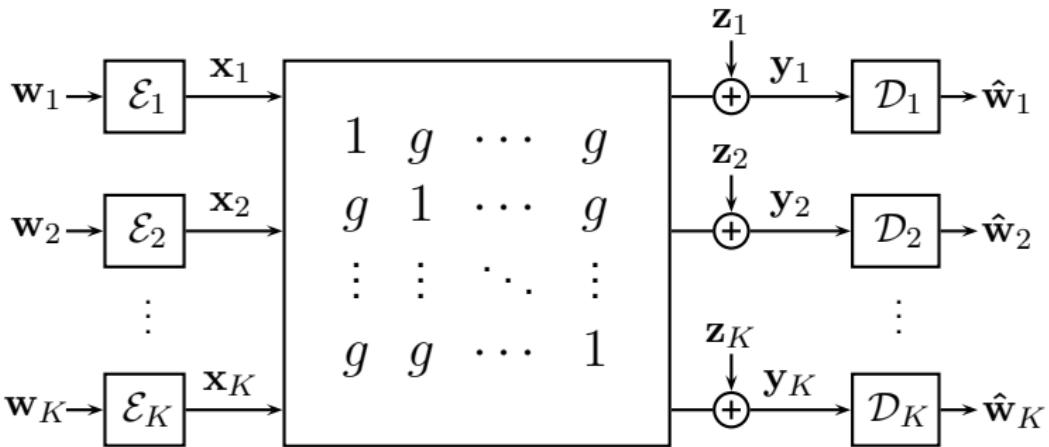
- Proposed a new framework, **integer-forcing interference alignment**, that can simultaneously exploit **signal space** and **signal scale** alignment.
- Aimed at scenarios with **finite channel diversity** (e.g., a few independent fading realizations) and **finite SNR**.
- Yields an achievable rate region for any scenario which employs “stream-by-stream” alignment.
- First, we need to develop a finer understanding of **signal scale** alignment which so far is only well-understood in the **high SNR regime**.

Symmetric K -User Gaussian Interference Channel



- Signal space alignment (e.g., beamforming) is infeasible.
- Signal scale alignment attains $K/2$ degrees-of-freedom for almost all channel gains, **Motahari et al. '09, Wu-Shamai-Verdu '11**.
- At finite SNR, the approximate capacity known in some special cases: two-user **Etkin-Tse-Wang '08**, many-to-one and one-to-many **Bresler-Parekh-Tse '10**, cyclic **Zhou-Yu '10**.

Symmetric K -User Gaussian Interference Channel



- Signal space alignment (e.g., beamforming) is infeasible.
- Signal scale alignment attains $K/2$ degrees-of-freedom for almost all channel gains, **Motahari et al. '09, Wu-Shamai-Verdu '11**.
- At finite SNR, the approximate capacity known in some special cases: two-user **Etkin-Tse-Wang '08**, many-to-one and one-to-many **Bresler-Parekh-Tse '10**, cyclic **Zhou-Yu '10**.
- We will approximate the sum capacity for the symmetric case.

Effective Multiple-Access Channel

- Lattice codes can enable signal scale alignment.
- Each receiver sees an effective two-user multiple-access channel,

$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{\ell \neq k} \mathbf{x}_\ell + \mathbf{z}_k .$$

Effective Multiple-Access Channel

- Lattice codes can enable signal scale alignment.
- Each receiver sees an effective two-user multiple-access channel,

$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{\ell \neq k} \mathbf{x}_\ell + \mathbf{z}_k .$$

- **Successive cancellation:** Decode and subtract interference $\sum_{\ell \neq k} \mathbf{x}_\ell$ before going after desired message.
- Only optimal when the interference is very strong, Sridharan et al. '08.

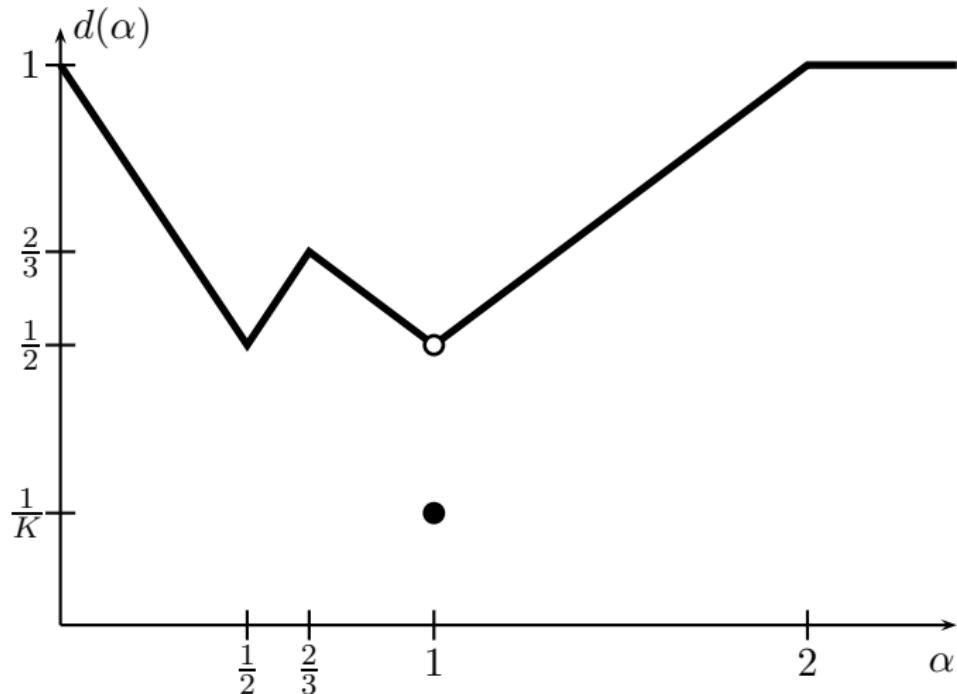
Effective Multiple-Access Channel

- Lattice codes can enable signal scale alignment.
- Each receiver sees an effective two-user multiple-access channel,

$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{\ell \neq k} \mathbf{x}_\ell + \mathbf{z}_k .$$

- **Successive cancellation:** Decode and subtract interference $\sum_{\ell \neq k} \mathbf{x}_\ell$ before going after desired message.
- Only optimal when the interference is very strong, Sridharan et al. '08.
- Unfortunately, direct analysis of joint decoding is hindered by dependencies between codeword pairs. Existing work only applies at very high SNR, Ordentlich-Erez '13.

Generalized Degrees-of-Freedom



- Capacity understood in the high SNR regime. **Jafar-Vishwanath '10.**

$$\alpha = \frac{\log g^2 \text{SNR}}{\log \text{SNR}}$$

$$d(\alpha) = \lim_{\text{SNR} \rightarrow \infty} \frac{R(\text{SNR})}{\frac{1}{2} \log \text{SNR}}$$

Alignment via Two Equations

- Each receiver sees an effective two-user multiple-access channel,

$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{\ell \neq k} \mathbf{x}_{\ell} + \mathbf{z}_k .$$

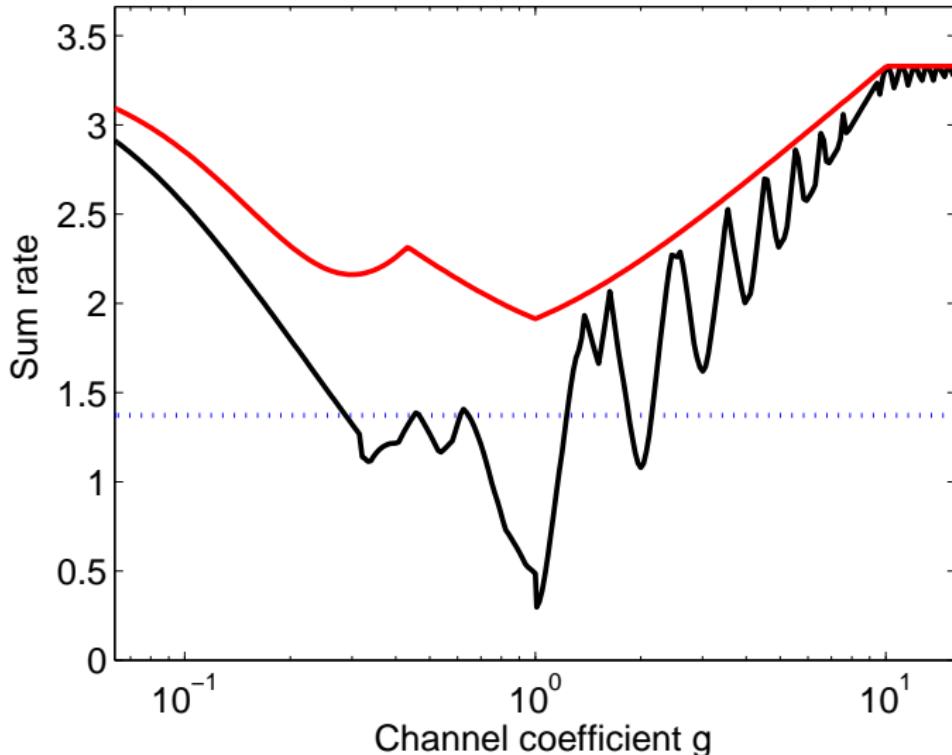
- **Orlitzky-Erez-Nazer '12:** Decode two linear combinations:

$$a_1 \mathbf{x}_k + a_2 \sum_{\ell \neq k} \mathbf{x}_{\ell} \quad b_1 \mathbf{x}_k + b_2 \sum_{\ell \neq k} \mathbf{x}_{\ell} .$$

- Using the fact that the sum of the computation rates is nearly equal to the multiple-access sum capacity, we can **approximate the sum capacity** of the symmetric K -user Gaussian interference channel in all regimes.

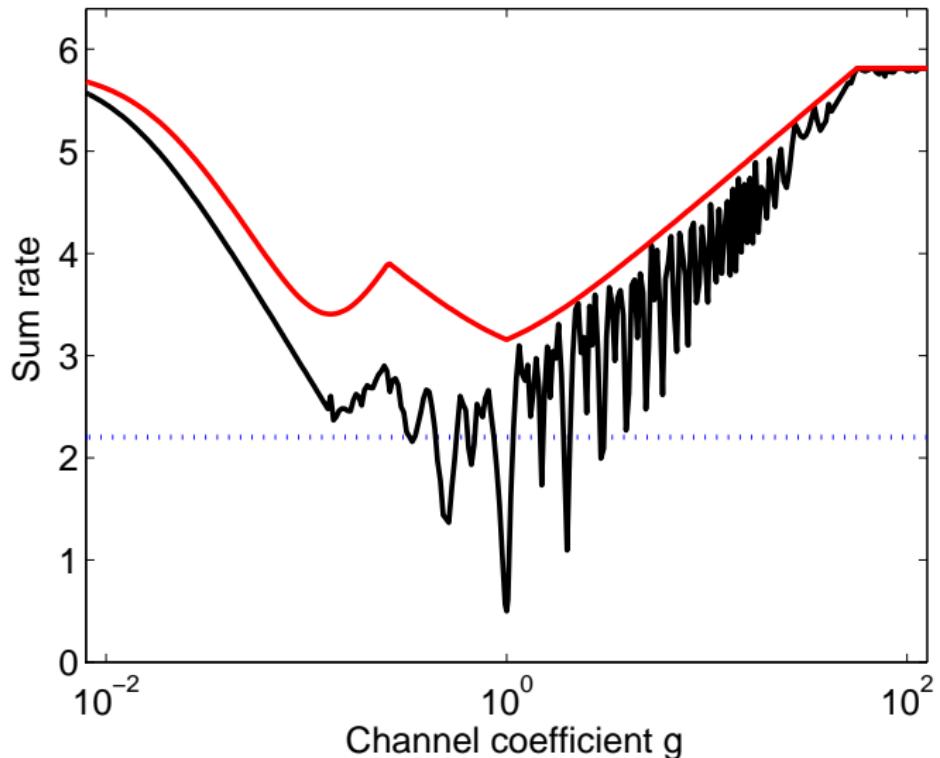
Symmetric K -User Gaussian Interference Channel

20dB



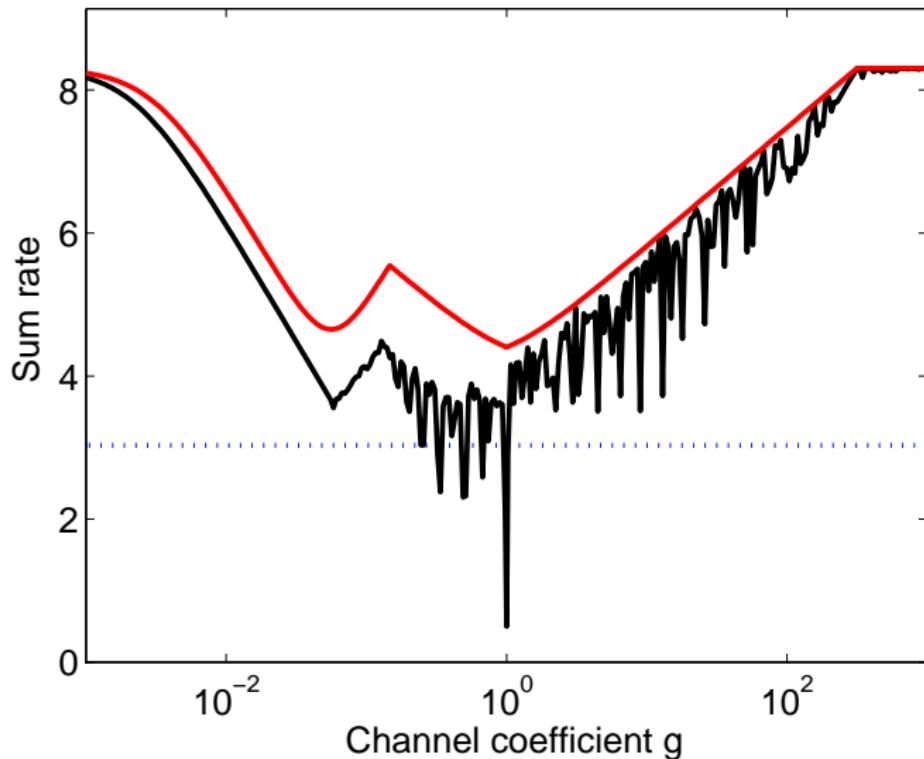
Symmetric K -User Gaussian Interference Channel

35dB



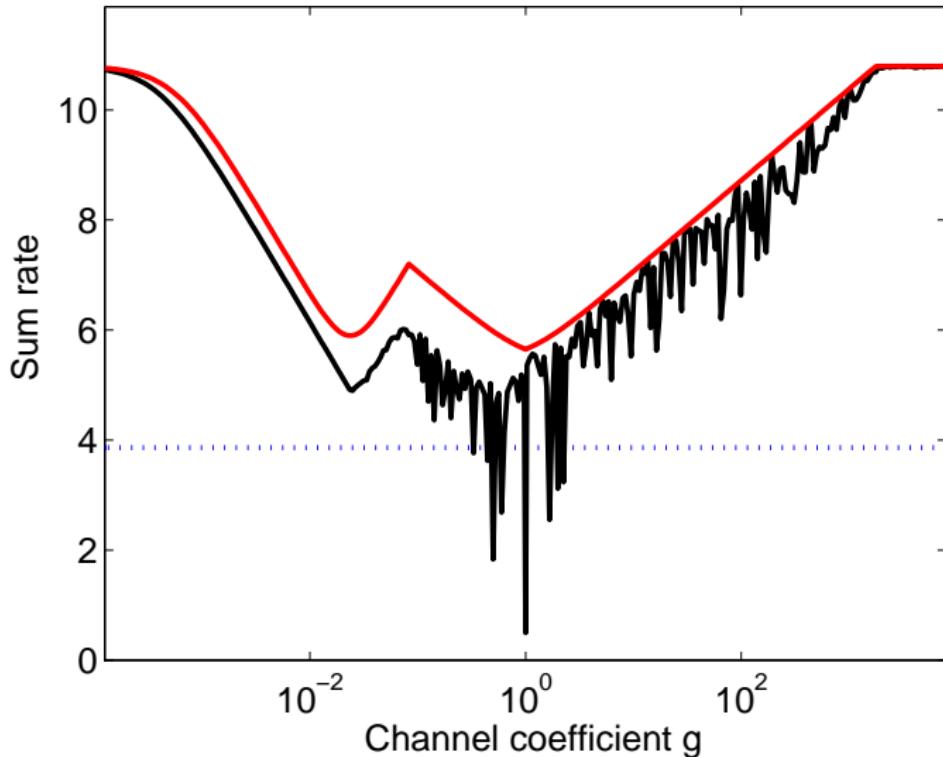
Symmetric K -User Gaussian Interference Channel

50dB

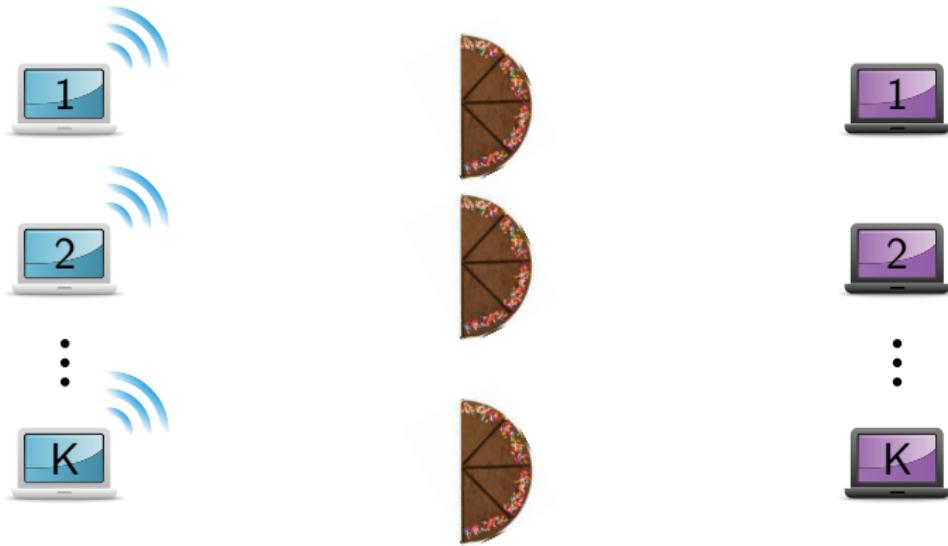


Symmetric K -User Gaussian Interference Channel

65dB



Beyond Symmetric Channels



- Would like to combine **signal scale alignment** (e.g., lattice codes) with **signal space alignment** (e.g., beamforming vectors).
- **Ntranos-Cadambe-Nazer-Caire '13:** New framework, **integer-forcing interference alignment**, that can be applied to any scenario with “stream-by-stream” alignment.

Stream-by-Stream Alignment

Problem Setting:

- Multiple data streams (i.e., codewords) $\mathbf{s}^{[\ell]} \in \mathbb{C}^T$, each assigned to its own beamforming vector $\mathbf{v}^{[\ell]} \in \mathbb{C}^M$.

Stream-by-Stream Alignment

Problem Setting:

- Multiple data streams (i.e., codewords) $\mathbf{s}^{[\ell]} \in \mathbb{C}^T$, each assigned to its own beamforming vector $\mathbf{v}^{[\ell]} \in \mathbb{C}^M$.
- Each receiver sees a noisy linear combination of its desired and interfering streams:

$$\mathbf{Y} = \sum_{\ell=1}^L \mathbf{H}_D^{[\ell]} \mathbf{v}_D^{[\ell]} (\mathbf{s}_D^{[\ell]})^\top + \sum_{j=1}^J \sum_{\ell=1}^L \mathbf{H}_I^{[j,\ell]} \mathbf{v}_I^{[j,\ell]} (\mathbf{s}_I^{[j,\ell]})^\top + \mathbf{Z} .$$

Stream-by-Stream Alignment

Problem Setting:

- Multiple data streams (i.e., codewords) $\mathbf{s}^{[\ell]} \in \mathbb{C}^T$, each assigned to its own beamforming vector $\mathbf{v}^{[\ell]} \in \mathbb{C}^M$.
- Each receiver sees a noisy linear combination of its desired and interfering streams:

$$\mathbf{Y} = \sum_{\ell=1}^L \mathbf{H}_D^{[\ell]} \mathbf{v}_D^{[\ell]} (\mathbf{s}_D^{[\ell]})^\top + \sum_{j=1}^J \sum_{\ell=1}^L \mathbf{H}_I^{[j,\ell]} \mathbf{v}_I^{[j,\ell]} (\mathbf{s}_I^{[j,\ell]})^\top + \mathbf{Z} .$$

- **Signal space** alignment occurs if, within each group j , all interferers have the same effective channel:

$$\mathbf{H}_I^{[j,\ell]} \mathbf{v}_I^{[j,\ell]} = \mathbf{H}_I^{[j,\tilde{\ell}]} \mathbf{v}_I^{[j,\tilde{\ell}]} \quad \forall \tilde{\ell} \neq \ell .$$

Stream-by-Stream Alignment

Problem Setting:

- Multiple data streams (i.e., codewords) $\mathbf{s}^{[\ell]} \in \mathbb{C}^T$, each assigned to its own beamforming vector $\mathbf{v}^{[\ell]} \in \mathbb{C}^M$.
- Each receiver sees a noisy linear combination of its desired and interfering streams:

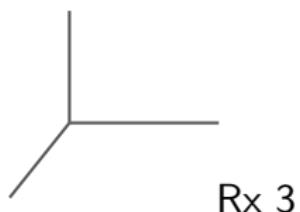
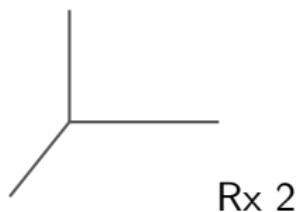
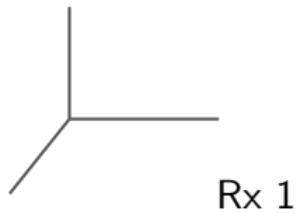
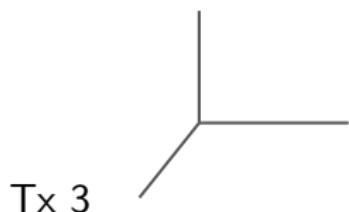
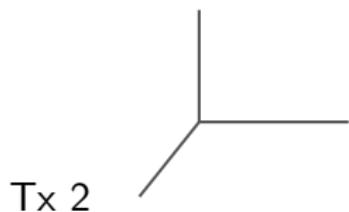
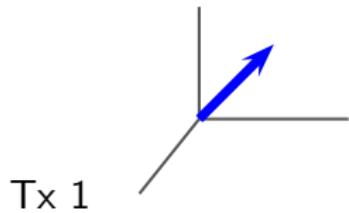
$$\mathbf{Y} = \sum_{\ell=1}^L \mathbf{H}_D^{[\ell]} \mathbf{v}_D^{[\ell]} (\mathbf{s}_D^{[\ell]})^\top + \sum_{j=1}^J \sum_{\ell=1}^L \mathbf{H}_I^{[j,\ell]} \mathbf{v}_I^{[j,\ell]} (\mathbf{s}_I^{[j,\ell]})^\top + \mathbf{Z} .$$

- **Signal space** alignment occurs if, within each group j , all interferers have the same effective channel:

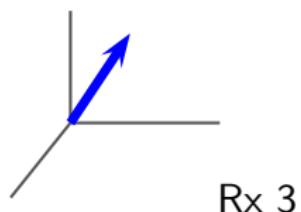
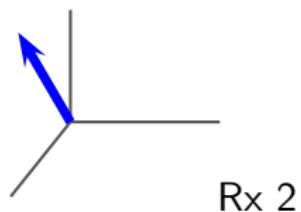
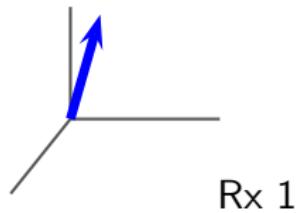
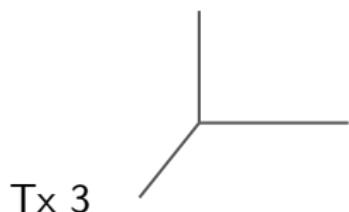
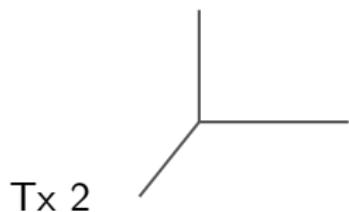
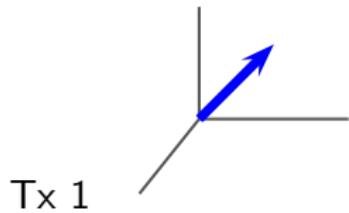
$$\mathbf{H}_I^{[j,\ell]} \mathbf{v}_I^{[j,\ell]} = \mathbf{H}_I^{[j,\tilde{\ell}]} \mathbf{v}_I^{[j,\tilde{\ell}]} \quad \forall \tilde{\ell} \neq \ell .$$

- Different **powers** and **rates** allowed across data streams.

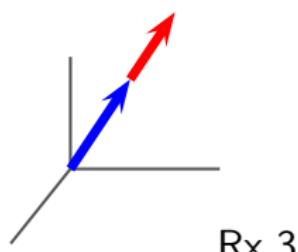
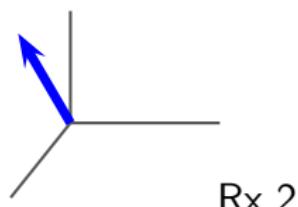
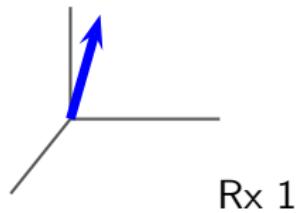
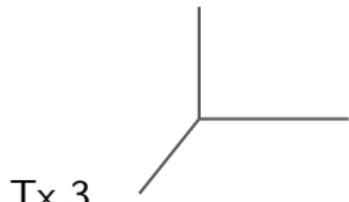
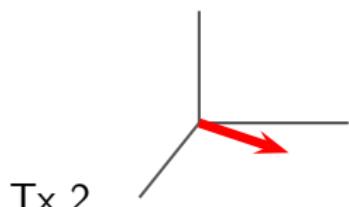
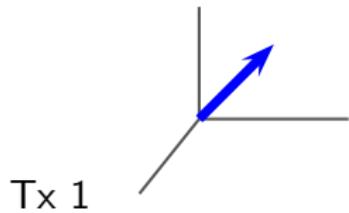
Example: Cadambe-Jafar '08 over 3 Channel Realizations



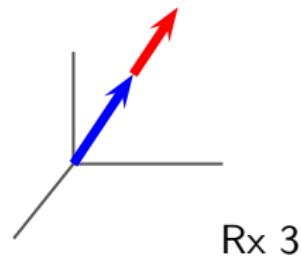
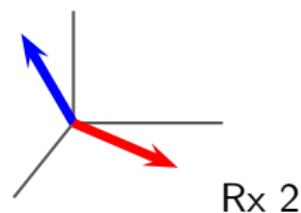
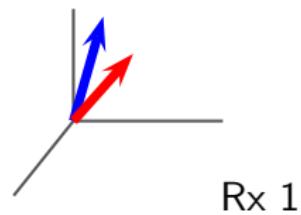
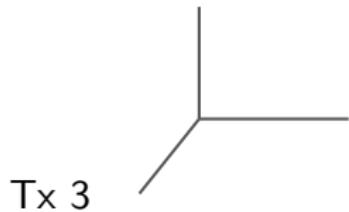
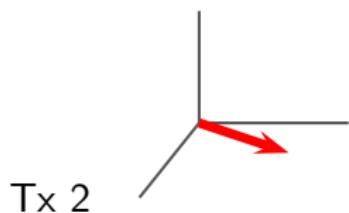
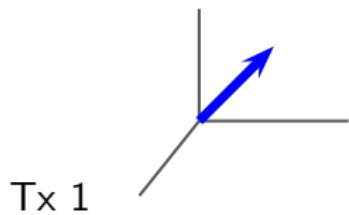
Example: Cadambe-Jafar '08 over 3 Channel Realizations



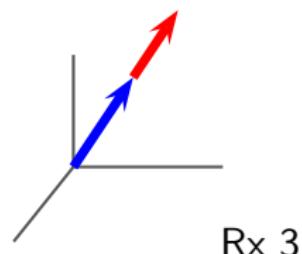
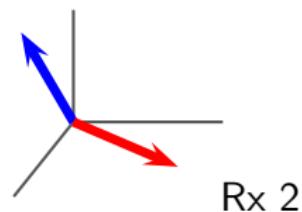
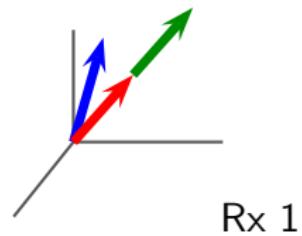
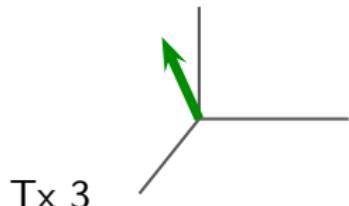
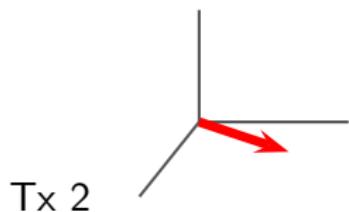
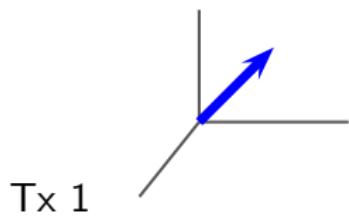
Example: Cadambe-Jafar '08 over 3 Channel Realizations



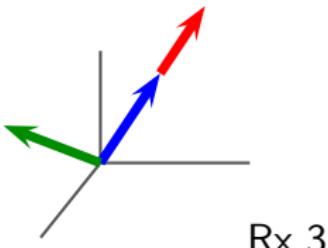
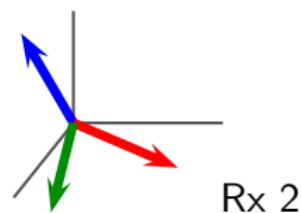
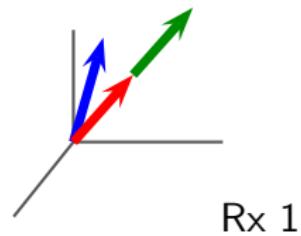
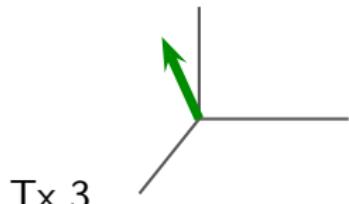
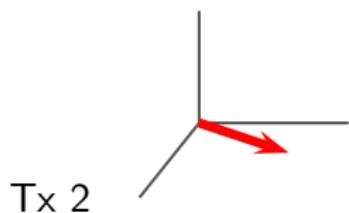
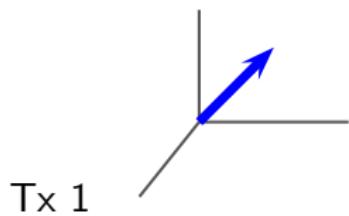
Example: Cadambe-Jafar '08 over 3 Channel Realizations



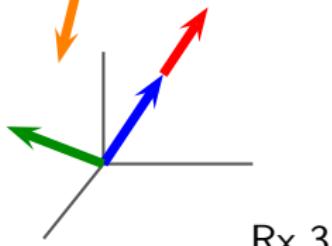
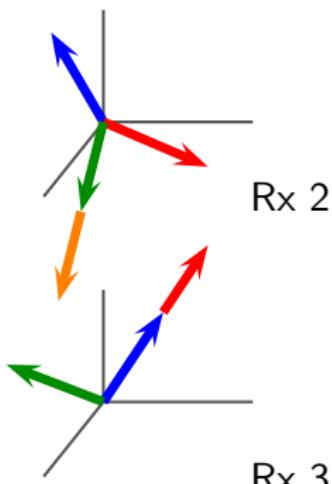
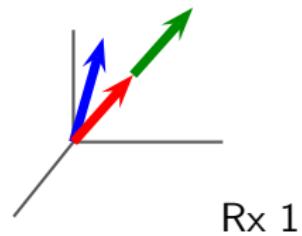
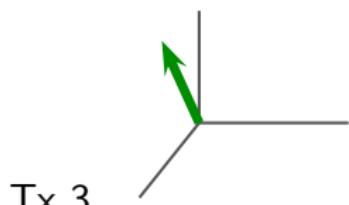
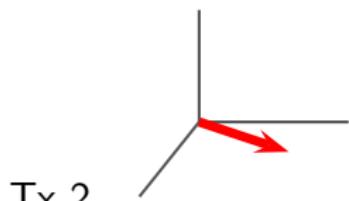
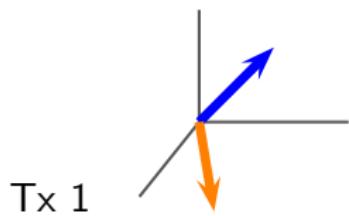
Example: Cadambe-Jafar '08 over 3 Channel Realizations



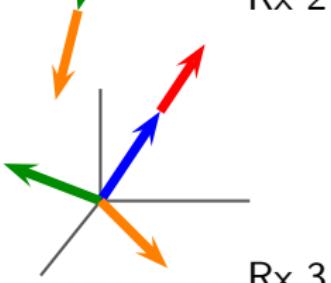
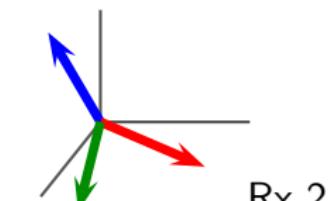
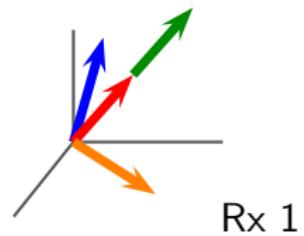
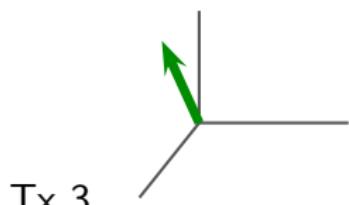
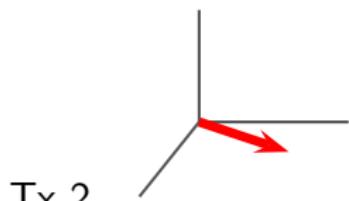
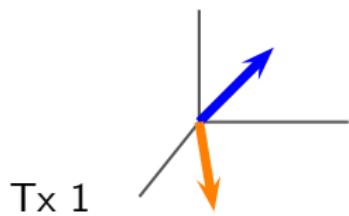
Example: Cadambe-Jafar '08 over 3 Channel Realizations



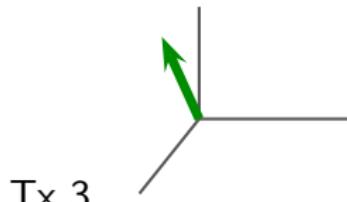
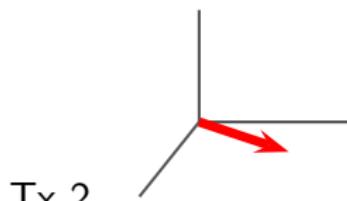
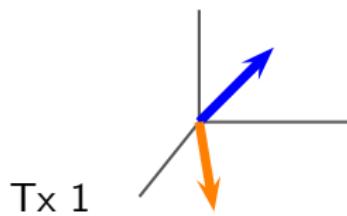
Example: Cadambe-Jafar '08 over 3 Channel Realizations



Example: Cadambe-Jafar '08 over 3 Channel Realizations

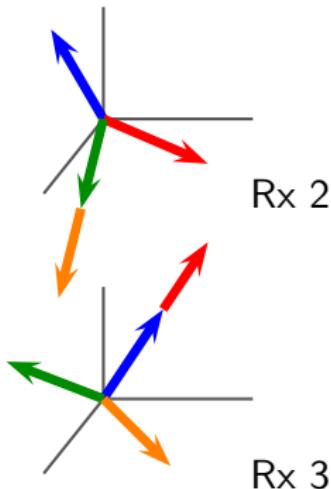
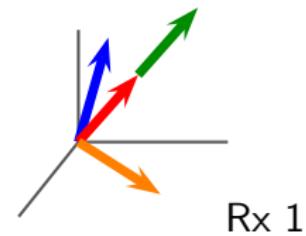


Example: Cadambe-Jafar '08 over 3 Channel Realizations



Total Degrees of Freedom

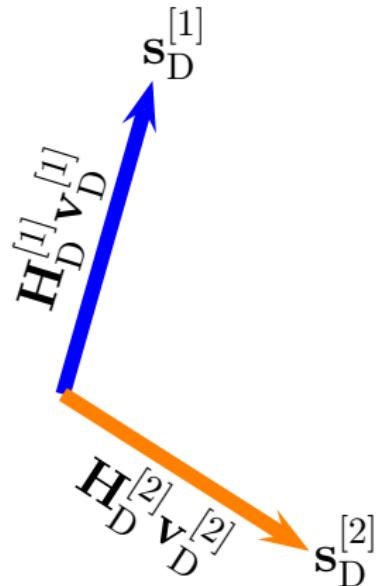
$$\begin{aligned} \text{DoF} &= \frac{4 \text{ vectors}}{3 \text{ channel uses}} \\ &= \frac{4}{3} \end{aligned}$$



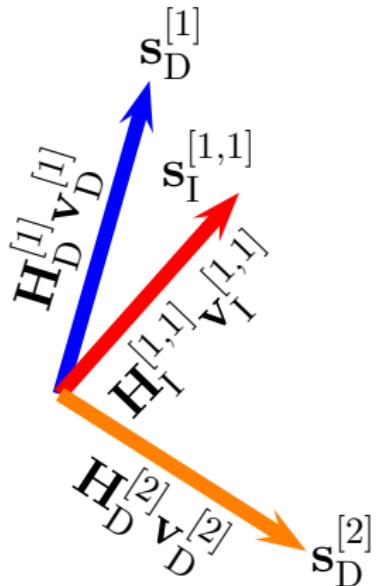
Received Signal

$$H_D^{[1]} v_D^{[1]} \xrightarrow{\hspace{1cm}} s_D^{[1]}$$

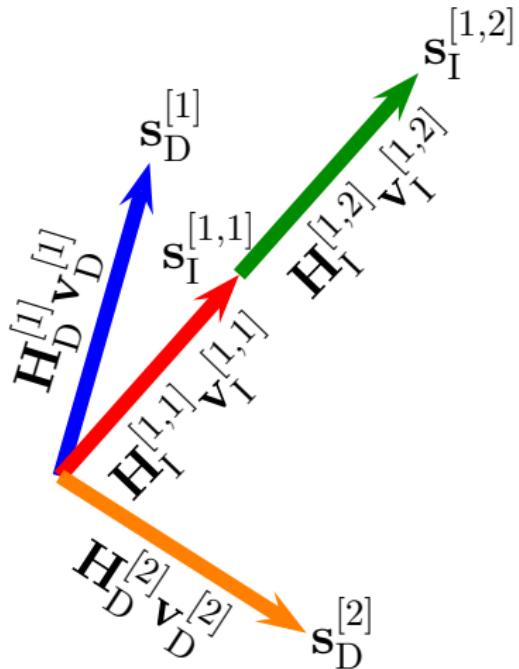

Received Signal



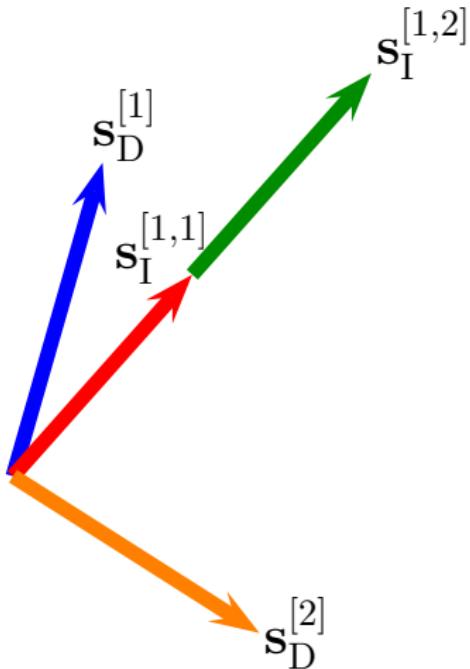
Received Signal



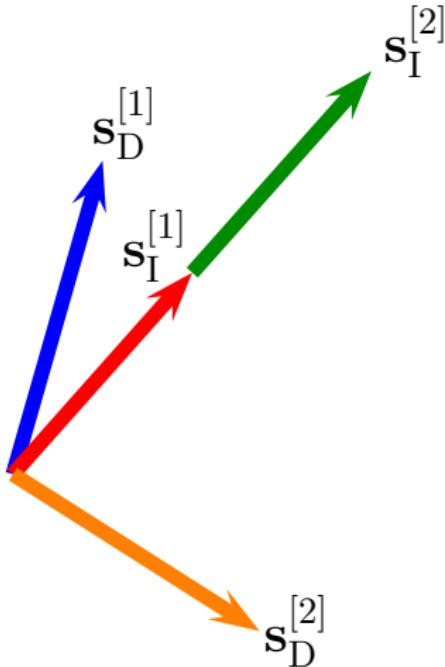
Received Signal



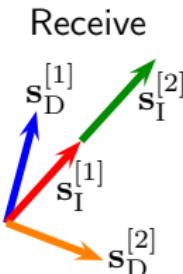
Received Signal



Received Signal



Zero-Forcing Decoding

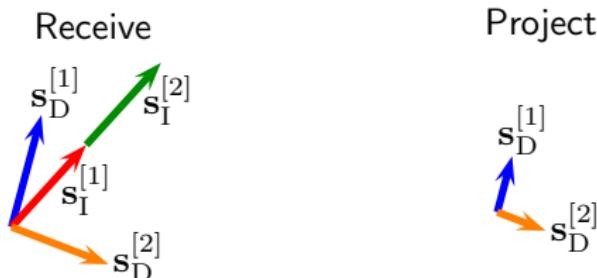


How should each receiver decoder its desired data streams?

Zero-Forcing Interference Alignment:

- Generate the data streams using i.i.d. random coding.

Zero-Forcing Decoding

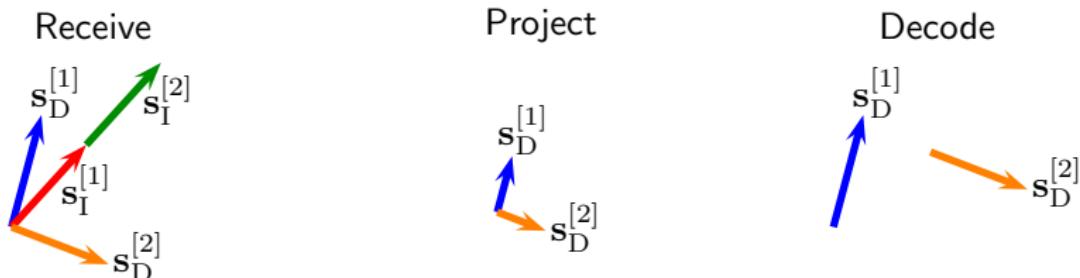


How should each receiver decoder its desired data streams?

Zero-Forcing Interference Alignment:

- Generate the data streams using i.i.d. random coding.
- First, project the received signal into the nullspace of the interference.

Zero-Forcing Decoding

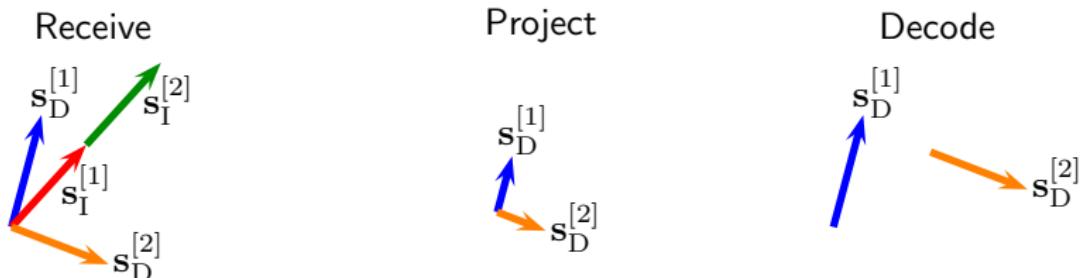


How should each receiver decoder its desired data streams?

Zero-Forcing Interference Alignment:

- Generate the data streams using i.i.d. random coding.
- First, project the received signal into the nullspace of the interference.
- Then, jointly decode desired data streams.

Zero-Forcing Decoding

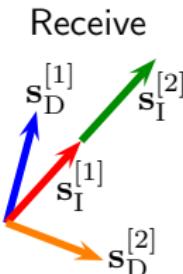


How should each receiver decoder its desired data streams?

Zero-Forcing Interference Alignment:

- Generate the data streams using i.i.d. random coding.
- First, project the received signal into the nullspace of the interference.
- Then, jointly decode desired data streams.
- Suffices from a degrees-of-freedom perspective.

Joint Decoding (with i.i.d Random Codes)

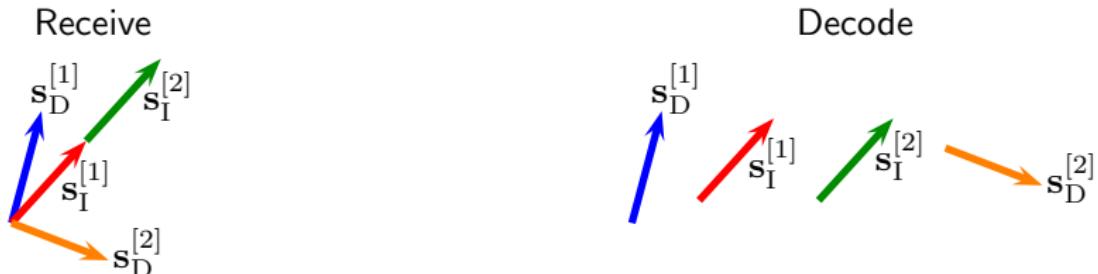


How should each receiver decoder its desired data streams?

Joint Typicality Decoding:

- Generate the data streams using i.i.d. random coding.

Joint Decoding (with i.i.d Random Codes)

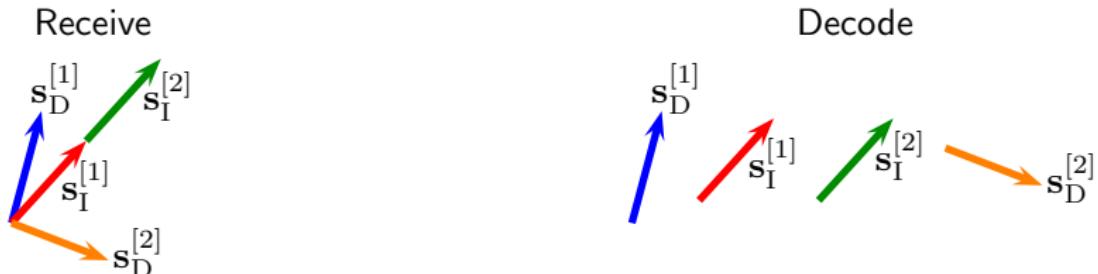


How should each receiver decoder its desired data streams?

Joint Typicality Decoding:

- Generate the data streams using **i.i.d. random coding**.
- If we attempt to decode the **aligned interference**, we will end up decoding each interferer separately.
- This significantly reduces the achievable rate per data stream.

Joint Decoding (with i.i.d Random Codes)

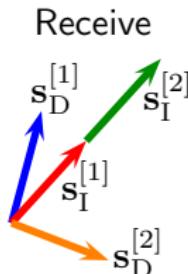


How should each receiver decoder its desired data streams?

Joint Typicality Decoding:

- Generate the data streams using **i.i.d. random coding**.
- If we attempt to decode the **aligned interference**, we will end up decoding each interferer separately.
- This significantly reduces the achievable rate per data stream.
- Analyzing **lattice-coded** data streams is beyond the reach of current techniques owing to dependencies.

Integer-Forcing Interference Alignment

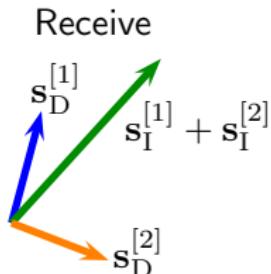


How should each receiver decoder its desired data streams?

Integer-Forcing Interference Alignment:

- Beamforming directions chosen to induce **signal space** alignment.

Integer-Forcing Interference Alignment

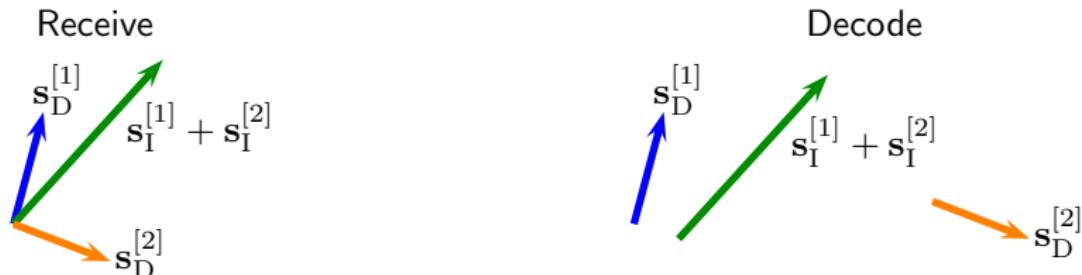


How should each receiver decoder its desired data streams?

Integer-Forcing Interference Alignment:

- Beamforming directions chosen to induce **signal space** alignment.
- Data streams are encoded using **nested lattice codes** according to some power allocation. This induces **signal scale** alignment.

Integer-Forcing Interference Alignment

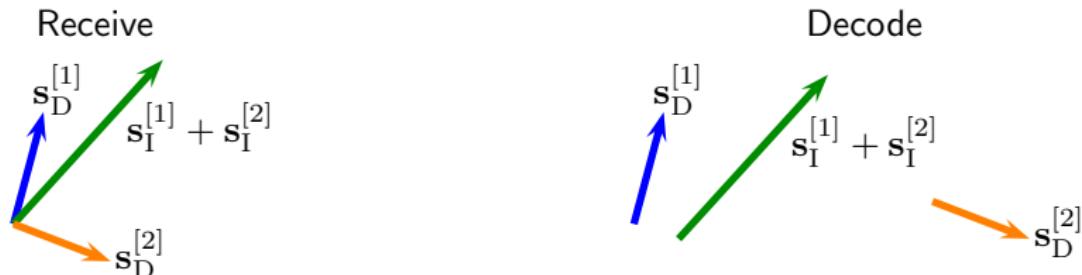


How should each receiver decoder its desired data streams?

Integer-Forcing Interference Alignment:

- Beamforming directions chosen to induce **signal space** alignment.
- Data streams are encoded using **nested lattice codes** according to some power allocation. This induces **signal scale** alignment.
- Receiver decodes linear combinations and solves for its desired data streams.

Integer-Forcing Interference Alignment



How should each receiver decoder its desired data streams?

Integer-Forcing Interference Alignment:

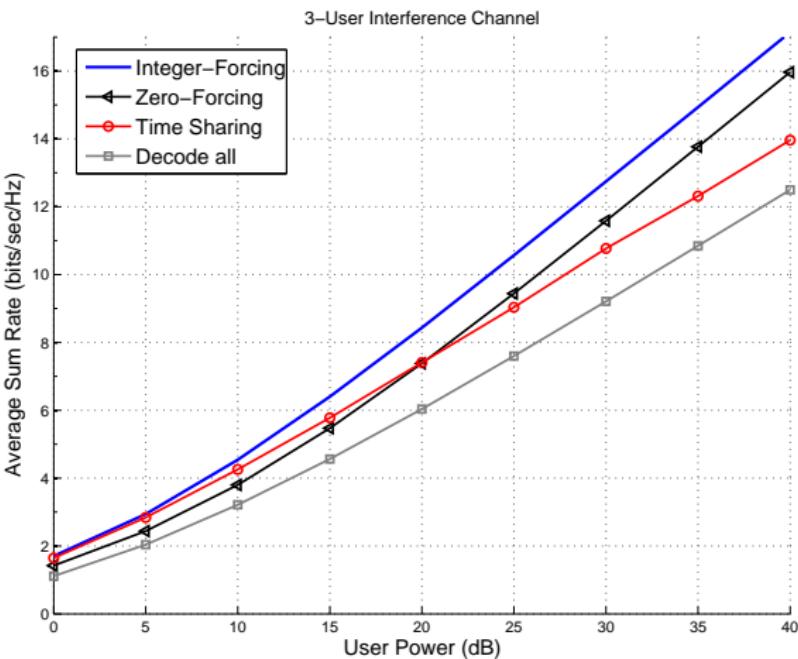
- Beamforming directions chosen to induce **signal space** alignment.
- Data streams are encoded using **nested lattice codes** according to some power allocation. This induces **signal scale** alignment.
- Receiver decodes linear combinations and solves for its desired data streams.
- Requires extension of compute-and-forward to **asymmetric powers**.

Performance Comparison

- 3-user Gaussian interference channel.
- Can code over 3 independent fading realizations from an i.i.d. Rayleigh distribution.

Strategies:

- CJ '08 Beamforming + Zero-Forcing Decoding.
- CJ '08 Beamforming + Integer-Forcing Decoding.



Recent coding perspectives on [compute-and-forward](#):

- **Feng-Silva-Kschischang '13:** General algebraic framework in terms of lattice partitions and R-modules.
- **Hern-Narayanan '11:** Multilevel binary codes.
- **Ordentlich-Erez '10, Yang et al. '12:** Binary convolutional codes.
- **Hong and Caire '11, Ordentlich et al. '11:** Binary and p -ary LDPC codes.
- **Feng-Silva-Kschischang '11, Belfiore-Ling '12:** Code design criteria.

Algebraic Structure in Network Information Theory

Many other scenarios where **lattice** codes can help:

- Two-Way Relaying: **Wilson-Narayanan-Pfister-Sprintson '10, Nam-Chung-Lee '10**
- Distributed Source Coding: **Krithivasan-Pradhan '08,'09, Wagner '11, Tse-Maddah-Ali '10**
- Decentralized Processing: **Sanderovich-Peleg-Shamai '11, Nazer-Sanderovich-Gastpar-Shamai '09, Hong-Caire '12**
- Distributed Dirty-Paper Coding: **Philosof-Zamir '09, Philosof-Zamir-Erez-Khisti '11, Wang '12**
- Joint Source-Channel Coding: **Kochman-Zamir '09, Nazer-Gastpar '07, '08, Soundararajan-Viswanath '09**
- Physical-Layer Secrecy: **He-Yener '09, Agrawal-Vishwanath '09**
- MIMO Broadcast/Downlink: **Hong-Caire '12**

Concluding Remarks

- Even if you **only want to recover messages**, it can help to first decode linear combinations.
- Compute-and-forward creates a direct link between **Gaussian** interference networks and **finite field** ones.
- Enables more efficient encoding/decoding for networks where the capacity is already known.
- Yields new achievable rates for interference channels.
- Broader story: **Algebraic Structure in Network Information Theory**.
ISIT '11 Tutorial. Survey on physical-layer network coding in
Proceedings of the IEEE, March 2011.