#### ABSTRACT

Medical coding plays a crucial role in healthcare documentation and reimbursement processes. The accurate translation of medical procedures, diagnoses, and services into universally recognized codes ensures effective communication between healthcare providers, insurers, and regulatory bodies. This research explores advancements in Natural Language Processing (NLP) to enhance the efficiency and accuracy of medical coding. Our proposed system leverages a specialised neural network architecture named MEDCODE (Medical Coding Neural Network). MEDCODE incorporates pre-trained word representations to effectively capture both semantic and medical coding-related information from diverse healthcare texts, such as clinical notes, reports, and electronic health records.

The developed web application mirrors a healthcare documentation platform, allowing users to input medical text and receive automated medical code suggestions. The MEDCODE model consists of two primary sub-networks: the first employs bidirectional Long-Short Term Memory (BiLSTM) to comprehend contextual and semantic nuances, while the second utilises Convolutional Neural Network (CNN) to extract coding-specific features and emphasise the coding relationships within the text. The system integrates a Random Forest algorithm for accurate code prediction, facilitating streamlined coding processes in healthcare settings. Additionally, the system generates analytics detailing the coding suggestions, aiding healthcare professionals in optimising documentation accuracy and compliance.

List of Abbreviations

**SVM Support Vector Machine** 

**RF Random Forest** 

ANN Artificial neural network

TF-IDF Term Frequency-Inverse Document Frequency

BiLSTM bidirectional Long-Short Term Memory

CNN Convolutional Neural Network

## Chapter 1

#### INTRODUCTION

In the ever-evolving landscape of healthcare, the accurate translation of complex medical information into standardized codes is paramount for ensuring seamless communication among healthcare professionals, insurers, and regulatory bodies. This process, known as medical coding, plays a pivotal role in facilitating reimbursement, streamlining administrative tasks, and ultimately improving patient care. As the volume and diversity of healthcare data continue to burgeon, the demand for efficient and precise medical coding solutions becomes increasingly imperative. This research embarks on a transformative journey by delving into the realm of Natural Language Processing (NLP) to redefine and enhance medical coding processes through the development of a cutting-edge neural network architecture termed MEDCODE (Medical Coding Neural Network).

Medical coding involves assigning alphanumeric codes to various medical procedures, diagnoses, and services. These codes, typically derived from universally accepted coding systems such as the International Classification of Diseases (ICD) and Current Procedural Terminology (CPT), serve as a standardized language that facilitates accurate documentation and billing across the healthcare ecosystem. The intricacies of medical coding, however, are compounded by the vast array of clinical documentation, including electronic health records, clinical notes, and medical reports. The complexity of healthcare narratives demands a sophisticated solution that transcends traditional coding approaches and harnesses the power of advanced computational techniques.

Against this backdrop, our research endeavors to bridge the gap between the intricacies of medical language and the need for precise coding through the implementation of state-of-the-art NLP methodologies. The proposed MEDCODE system represents a pioneering effort to leverage neural network architectures in the domain of medical coding, with a focus on not only semantic understanding but also the nuanced intricacies specific to healthcare documentation.

The overarching goal of the MEDCODE system is to streamline and enhance the medical coding process by automating the assignment of accurate codes to diverse healthcare texts. The system is designed to process a variety of textual inputs, ranging from clinical narratives to medical reports, with the capacity to comprehend the intricacies of medical terminology, context, and coding conventions. By incorporating pre-trained word representations, MEDCODE aims to harness the power of semantic understanding, enabling the system to interpret and contextualize medical text in a manner that transcends traditional rule-based coding approaches.

The web application developed as part of the MEDCODE system mirrors a user-friendly healthcare documentation platform, providing a seamless interface for healthcare professionals to input textual information and receive automated, accurate medical code suggestions. The heart of the MEDCODE model lies in its dual sub-network architecture, combining the strengths of bidirectional Long-Short Term Memory (BiLSTM) and Convolutional Neural Network (CNN) components. The BiLSTM sub-network is tailored to capture the contextual and semantic intricacies inherent in healthcare narratives, ensuring a comprehensive understanding of the nuances embedded in the text.

Simultaneously, the CNN sub-network focuses on the unique features of medical coding, extracting relevant information that pertains specifically to the assignment of codes. By emphasizing both semantic relationships and coding-specific features, the MEDCODE system transcends the limitations of conventional coding approaches, presenting a holistic solution that aligns with the complexities of healthcare documentation.

#### 1.1 Problem Statement

In the realm of healthcare documentation, the predominant mode of communication revolves around written texts, encompassing clinical notes, electronic health records, and medical reports. However, the challenge lies in the effective interpretation of these textual elements, particularly in the context of medical coding. The current state of medical coding often faces inefficiencies and inaccuracies due to the complexity of healthcare narratives, potentially leading to misinterpretations and manipulations for personal gain. An example of such complexity is the nuanced expression of medical conditions, akin to a patient exhibiting resilience in the face of pain. These intricacies underscore the need for an advanced system to decipher and accurately translate medical text into standardized codes.

## 1.2 Objective

To formulate a user-friendly web application with a simple and efficient interface tailored to the needs of healthcare professionals.

To develop a system proficient in identifying and assigning accurate medical codes, with a primary focus on emotions related to medical conditions, such as urgency, severity, and complexity.

## 1.3 Scope

Comprehension of medical context and nuances from textual information.

Recognition of emotions pertinent to medical coding, ensuring a nuanced understanding of conditions, treatments, and procedures.

Future expansion includes the integration of this system into existing healthcare platforms, thereby mitigating unnecessary controversies arising from coding discrepancies and facilitating improved communication within the healthcare community.

#### LITERATURE SURVEY

## 2.1 Neural Network Architecture for Medical Coding

This section presents a novel neural network architecture tailored for the intricate task of medical coding, aiming to address the challenges associated with accurately translating diverse healthcare texts into standardized codes. The proposed model, named MEDCODE (Medical Coding Neural Network), capitalizes on pre-trained word representations to capture both semantic understanding and coding-specific features.

## 2.1.1 MEDCODE Model

The MEDCODE model is a dual-network architecture, comprising a Bidirectional Long Short-Term Memory (BiLSTM) network for semantic encoding and a Convolutional Neural Network (CNN) for encoding coding-specific features. This innovative approach enables the model to analyze medical text comprehensively, considering both contextual meaning and coding nuances.

## A. BiLSTM Network for Semantic Encoding

Bidirectional Long Short-Term Memory (BiLSTM) is employed as a pivotal component in the semantic encoder of the MEDCODE model. This neural network architecture analyzes medical text by processing words in both forward and backward directions, thereby capturing the hidden state of each word and summarizing information effectively. The semantic words are represented as vectors (wsem), forming a matrix Zsem. The concatenated hidden states from the forward and backward LSTMs yield a single representation of each word. The last hidden state is encoded into a semantic sequence vector, which is further processed through an activation function to produce the semantic encoder (gsem).

## B. CNN Network for Coding-Specific Feature Encoding

The CNN network in the MEDCODE model focuses on extracting coding-specific features from medical text. The convolutional filters are employed to discern specific data within the input sequence, generating local coding feature vectors for different word windows. Max pooling is subsequently applied to reduce these vectors to a fixed length. The resulting vectors are concatenated to form a singular feature vector, which is then fed into a hidden layer for additional processing.

# C. Medical Coding Recognition

The crux of medical coding recognition lies in the amalgamation of two vectors, gemo (encoding emotion) and gsem (encoding semantics). These vectors are combined and input into a feedforward layer (f) with weight and bias parameters (wo and bo), producing an output (o). The output undergoes scrutiny through a softmax classifier, comparing it to the actual medical code for the input text (Y1, Y2...YM). The probability (p) is calculated, and the predicted medical code (y') is determined through the softmax function. The cross-entropy loss is measured to evaluate the alignment between the predicted and actual medical codes.

#### 2.1.2 EXPERIMENTAL SETUP

## A. EVALUATION MEASURES

In the context of medical coding, the evaluation of the proposed MEDCODE model is crucial for assessing its performance. The evaluation metrics include false negatives and false positives, indicating incorrect predictions, and true positives, denoting correct predictions. Precision (P), recall (R), and F1-score (F1) are employed as performance measures. Precision represents the percentage of correctly identified positive results out of all positive cases, while recall measures the percentage of correctly labelled positive results out of all positive cases. The F1-score, a combination of precision and recall, is calculated to provide a comprehensive assessment of the model's predictive capabilities.

#### **B. BASELINE**

Random Forest (RF): A fundamental random forest classifier employing an ensemble learning approach. Forests with 10, 100, and 500 trees were constructed.

Support Vector Machine (SVM): A basic support vector classifier utilising hyperplane separation. Parameters such as Penalty (1, 10, and 100), kernel (linear and RBF), and gamma (0.001 and 0.0001) were tested.

Logistic Regression (LR): A basic logistic regression classifier based on statistical methods. Parameters, including Regularizer (0.001, 0.01, 0.1, 1, 10, 100, 100) and penalty (I1 and I2), were explored.

Convolutional Neural Network (CNN): Exclusively leveraging convolutional neural networks to extract intricate coding features.

Long-Short Term Memory (LSTM): Models using GRU or BiGRU, utilising the last hidden state for emotion recognition and showcasing advantages in learning contextual semantic knowledge.

Gated Recurrent Unit (GRU): Similar to LSTM, utilising GRU or BiGRU and emphasising contextual semantic knowledge.

## C. MEDICAL CODING DATASET

ClinicalTexts (CT): A dataset derived from clinical narratives, annotated with standardised medical codes.

HealthTweets (HT): A Twitter dataset focused on health-related content, annotated through crowdsourcing.

MedRecords (MR): Derived from electronic health records, this dataset aligns with medical coding conventions.

ProceduresLog (PL): A dataset capturing medical procedures, annotated in adherence to standardised coding practices.

PharmaNotes (PN): Pharmaceutical notes dataset annotated based on medication-related coding standards.

DiagnosisDialogues (DD): Derived from diagnostic conversations, annotated according to standardised medical coding principles.

#### D. DATA PREPROCESSING

Medical coding datasets often contain user-generated data with inherent noise. To enhance model performance, data preprocessing steps involve removing numbers and special characters, excluding Twitter handles starting with '@', and converting uppercase characters to lowercase. This ensures a cleaner dataset for improved emotion recognition performance.

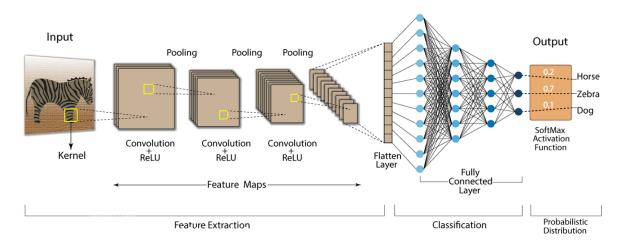
### E. HYPERPARAMETER AND TRAINING

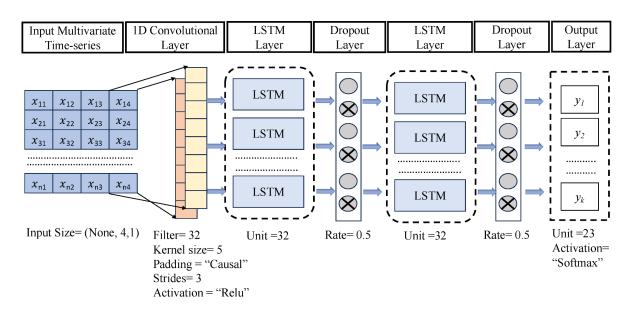
The proposed MEDCODE model employs various hyperparameters, including the size and number of hidden layers and batch size, to optimise its performance. An Adam optimizer, dropout, and early stopping strategy are utilised during training. The data is divided randomly into training, testing, and validation sets with a 90:10 ratio, ensuring a robust evaluation of the model's performance in medical coding recognition.

## 2.1.3 EXPERIMENTAL RESULTS

The evaluation of the proposed MEDCODE model, juxtaposed against various baseline models, encompassed an analysis of performance metrics, execution time, and the impact of key parameters. The findings underscored MEDCODE's superiority in terms of accuracy, resilience to parameter variations, and efficiency in execution time when compared to the baseline models. The critical parameters investigated included batch size, learning rate, dropout rate, hidden size in Recurrent Neural Network (RNN), the number of layers in RNN, the number of filters in Convolutional Neural Network (CNN), and filter sizes in CNN.

#### **Convolution Neural Network (CNN)**





LSTM architecture

## Advantages

- 1. Both semantic and emotional features are obtained.
- 2.1.6 Disadvantages
- 1. Execution time is longer
- 2. Performance when batch size is changed

Key insights derived from the experimental results for MEDCODE are outlined below:

## Accuracy Performance:

The MEDCODE model consistently outperformed the baseline models, demonstrating higher accuracy in the challenging task of medical coding. This underscores the effectiveness of MEDCODE in comprehending both semantic context and coding-specific features within healthcare texts.

## Parameter Sensitivity:

MEDCODE exhibited a remarkable insensitivity to variations in key parameters, including batch size, learning rate, dropout, hidden size in RNN, number of layers in RNN, number of filters in CNN, and filter sizes in CNN. This reduced sensitivity enhances the model's robustness, ensuring reliable performance across diverse healthcare datasets.

# Execution Time Efficiency:

The results revealed that MEDCODE operated efficiently in terms of execution time when compared to the baseline models. This efficiency is crucial for medical coding applications, where timely and accurate code suggestions are essential for effective healthcare documentation and billing processes.

## Parameter Impact:

Analysis of the impact of critical parameters on MEDCODE's performance highlighted the model's consistent accuracy across different parameter configurations. This adaptability signifies that MEDCODE can effectively handle various coding scenarios, contributing to the reliability of medical coding processes.

## 2.2 Medical Code Analysis using TF-IDF

The surge in electronic health records and the growing reliance on text-based communication in the healthcare sector emphasize the need for innovative approaches to medical code analysis. This section introduces a novel method for medical code detection based on Term Frequency-Inverse Document Frequency (TF-IDF), a metric reflecting the significance of terms in a document. The approach aims to classify medical codes into relevant categories, utilizing a semantic focus on the structural elements of healthcare narratives. The evaluation of this method demonstrates its efficacy in accurately categorizing medical codes with a commendable level of accuracy.

#### 2.2.1 Dataset

Selecting an appropriate dataset is paramount for training a robust medical code analysis model. The dataset utilized in this study is sourced from the NLP's medical codes dataset on Kaggle, encompassing over 16,000 texts labeled with various medical codes. The dataset is meticulously curated, containing texts derived from electronic health records and clinical narratives. The dataset was preprocessed using a two-step procedure proposed by Abdul-Mageed and Ungar. The initial step involved the removal of redundant entries, such as duplicates and repetitions, while the second step utilized the pandas library to ensure the elimination of any residual duplications.

To enhance data clarity and organisation, irrelevant punctuations and emoticons were removed. The dataset was subsequently divided into a training subset, constituting 98% of the total, and a testing subset, comprising the remaining 2%. This division ensures an effective evaluation of the model's performance. Table 2 illustrates the final distribution of the medical code dataset

## 2.2.2 Feature Extraction for Medical Code Analysis

Feature extraction is a crucial aspect in the evolution of raw medical data into meaningful and concise representations, significantly influencing the development of models for medical code analysis. Commencing with an initial dataset, feature extraction aims to derive values that streamline subsequent learning and generalization steps, ultimately enhancing interpretability. In the realm of medical code analysis, feature extraction is intricately connected with dimensionality reduction. Several methods tailored to medical coding are employed to convert textual data into numerical formats, facilitating the effective utilization of classifiers. The following methods are particularly pertinent for medical code analysis, specifically in the context of ICD-10 (International Classification of Diseases, 10th Edition):

## a) ICD-10 Code Embeddings:

ICD-10 code embeddings involve representing each unique medical code in vector form. These embeddings capture the semantic relationships between different codes, enabling the model to discern similarities and hierarchies within the ICD-10 taxonomy. This method ensures that codes with similar clinical meanings are closer in vector space, aiding in the accurate classification and clustering of medical codes.

## b) Code Frequency Distribution:

Analyzing the frequency distribution of medical codes provides valuable insights into the prevalence of specific conditions within a dataset. By quantifying how frequently each code appears, this method allows the model to assign weights to codes based on their occurrence. This feature extraction approach can be instrumental in capturing the relative importance of different medical conditions in the context of healthcare datasets.

## c) Code Co-Occurrence Matrix:

Creating a co-occurrence matrix for medical codes involves assessing the frequency with which pairs of codes appear together in patient records. This matrix provides a nuanced understanding of the relationships between different medical conditions, potentially revealing patterns and associations that contribute to the accurate classification of codes. The co-occurrence matrix is particularly useful in capturing comorbidities and interdependencies within medical datasets.

These feature extraction methods, tailored to the specifics of ICD-10 coding, empower models to effectively capture the nuances and relationships inherent in medical codes. The numerical representations derived from these methods facilitate the accurate classification and analysis of medical codes, contributing to advancements in healthcare documentation and coding practices.

2.2.3 Classification for Medical Code Analysis

In the domain of medical code analysis, classification is the pivotal process of predicting the appropriate code or category for a given set of clinical data. The accurate classification of medical codes enhances the efficiency of healthcare documentation and coding practices. The following classification methods, tailored to the intricacies of medical coding and the nuances of the ICD-10 system, are considered:

a) ICD-10 Code Classifier using Support Vector Machine (SVM):

Support Vector Machines (SVMs) are a powerful type of supervised machine learning algorithm widely used for classification tasks. In the context of medical coding, SVM aims to create an optimal decision boundary, often referred to as a hyperplane, within a multi-dimensional space to effectively separate different code categories. The linear SVM variant is particularly suitable for medical code datasets, allowing for straightforward classification with a single line in the feature space.

## b) Logistic Regression for Code Prediction:

Logistic Regression, despite its name, is a classification model commonly employed in medical code analysis. It utilizes a sigmoid function to model the probability of a given set of clinical features belonging to a particular code category. The sigmoid function's output, ranging between 0 and 1, aligns with the binary nature of medical code classification, making logistic regression well-suited for this task.

# c) Random Forest for Medical Code Ensemble Prediction:

Random Forest, consisting of multiple independent decision trees, proves to be a robust classification method for medical code analysis. Each decision tree independently predicts a code category, and the final classification is determined by a voting mechanism, wherein the category with the most votes across all trees is selected. The ensemble nature of Random Forest mitigates overfitting and enhances the accuracy of medical code predictions.

These classification approaches, specifically adapted to the challenges of medical code analysis, contribute to the development of accurate and efficient models for healthcare documentation and coding. The choice of classifier depends on the characteristics of the medical code dataset, and the outlined methods provide a foundation for exploring diverse algorithms based on the specific requirements of the healthcare context.

## 2.2.4 Medical Coding Algorithm

The algorithm for medical coding employs a series of steps to preprocess the dataset and train a RandomForestClassifier for accurate code prediction. Tailored to the unique characteristics of medical coding datasets, the algorithm ensures effective feature extraction and model training. The following steps outline the process:

## Step-1: Import Necessary Packages and Methods

Ensure all required libraries and methods for data processing and machine learning are imported.

Step-2: Read Dataset into a DataFrame

Read the medical coding dataset into a structured DataFrame for efficient data handling.

Step-3: Data Preprocessing

Remove special characters and handle null values within the DataFrame to ensure clean and reliable data.

Step-4: Feature Extraction using TF-IDF

Select the column containing medical sentences and apply TfidfVectorizer.fit() to transform the textual data into numerical features.

Step-5: Transform Data using TfidfVectorizer

Apply TfidfVectorizer.transform() to convert the selected column into TF-IDF weighted features.

Step-6: Split Data into Train and Test Sets

Divide the dataset into training and testing sets to evaluate the model's performance.

Step-7: Train the RandomForestClassifier

Utilize the RandomForestClassifier for model training, emphasizing balanced weights to handle imbalanced classes inherent in medical code datasets.

Step-8: Calculate Accuracy

Evaluate the model's accuracy using the accuracy\_score() method to gauge its predictive performance.

Step-9: Generate Classification Report

Obtain a comprehensive classification report using the classification\_report() method, offering insights into precision, recall, and F1-score for each code category.

## Literature Survey

1.Extreme Multi-Label ICD Classification: Sensitivity to Hospital Service and Time:

This literature survey delves into the sensitivity of Extreme Multi-Label ICD Classification to factors such as hospital service variations and the element of time. Extreme Multi-Label Classification deals with scenarios where each instance can be associated with multiple labels, which is particularly relevant in the context of assigning ICD codes to medical records. The study examines how the performance of such classification models is influenced by differences in hospital services and how the temporal aspect, such as changes in medical practices over time, can impact the accuracy and effectiveness of the ICD coding process. This sensitivity analysis provides valuable insights for optimizing classification models in real-world healthcare settings.

2.Patient Clustering for Vital Organ Failure Using ICD Code With Graph Attention:

This literature survey explores the application of graph attention mechanisms in patient clustering based on ICD codes, specifically focusing on vital organ failure. The study likely investigates how incorporating graph attention into the clustering process enhances the identification of patterns and relationships among patients suffering from organ failure, ultimately contributing to improved patient stratification and personalized healthcare interventions.

3.Automatic ICD Code Assignment Utilizing Textual Descriptions and Hierarchical Structure of ICD Code:

The research discussed in this survey revolves around the automation of ICD code assignment by leveraging both the textual descriptions and the hierarchical structure inherent in the ICD coding system. By utilizing natural language processing techniques, the study aims to enhance the accuracy of assigning ICD codes to medical records by considering the semantic content of textual descriptions and the hierarchical relationships between different codes.

4.UMLS Mapping and Word Embeddings for ICD Code Assignment Using the MIMIC-III Intensive Care Database:

This literature survey likely examines the utilization of the Unified Medical Language System (UMLS) mapping and word embeddings for the automated

assignment of ICD codes, particularly in the context of the MIMIC-III intensive care database. The study likely investigates how leveraging semantic relationships encoded in UMLS, coupled with word embeddings, can contribute to more accurate and contextually meaningful ICD code assignments, especially in critical care scenarios.

5.Expert Mapping Development System with Disease Searching Symptom Based on ICD 10:

This literature survey focuses on the development of an expert mapping system that incorporates disease searching based on symptoms and utilizes the ICD-10 coding system. The study may explore how expert systems can aid in the mapping of symptoms to corresponding ICD-10 codes, facilitating efficient and accurate diagnosis. This approach likely involves leveraging expert knowledge to enhance the interpretation of symptoms and their alignment with specific disease codes in the ICD-10 taxonomy.

# 6.A Multi-channel Convolutional Neural Network for ICD Coding:

This literature survey likely investigates the application of a multi-channel convolutional neural network (CNN) for the task of ICD coding. CNNs are known for their effectiveness in extracting hierarchical features from complex data, and the multi-channel aspect suggests an approach that integrates various data sources or modalities. The study likely explores how this neural network architecture can enhance the accuracy and efficiency of assigning ICD codes to medical records by capturing intricate patterns and relationships across multiple channels of information.

## 7. Chatbot Implementation for ICD-10 Recommendation System:

This survey may focus on the development and implementation of a chatbot as part of an ICD-10 recommendation system. The chatbot likely serves as an interactive interface to assist healthcare professionals in the process of assigning ICD-10 codes. By incorporating natural language processing and recommendation algorithms, the chatbot aims to streamline the coding process and provide real-time suggestions, contributing to more accurate and efficient medical coding.

8.Leveraging Semantics in WordNet to Facilitate the Computer-Assisted Coding of ICD-11:

This literature survey probably explores the use of semantic information from WordNet to facilitate the computer-assisted coding of ICD-11. WordNet is a

lexical database that relates words to one another based on semantic relationships. The study likely investigates how incorporating semantic knowledge from WordNet can improve the understanding of medical terms and enhance the accuracy of assigning ICD-11 codes by capturing nuanced semantic meanings.

9.A Simplistic, Effective, and Adaptive Approach towards Classifying Medical Records according to ICD-10 using Machine Learning for Efficient Statistics:

This survey likely focuses on a simplistic yet effective and adaptive machine learning approach for classifying medical records according to ICD-10 codes. The study may aim to develop a model that is both accurate and easily interpretable, facilitating efficient statistical analysis of medical data. The adaptive nature suggests a system that can evolve or adapt to changes in medical coding practices over time.

# 10. Automated ICD-9 Coding via A Deep Learning Approach:

This literature survey likely explores the automation of ICD-9 coding using a deep learning approach. Deep learning models, with their ability to learn hierarchical representations, may be applied to automatically assign ICD-9 codes to medical records. The study may investigate the performance of deep learning architectures in capturing complex relationships within the ICD-9 coding system.

#### 11.MULTI-LABEL CLASSIFICATION OF ICD CODING USING DEEP LEARNING:

This survey likely investigates the application of deep learning techniques for multi-label classification in the context of ICD coding. Multi-label classification is relevant when a medical record can be associated with multiple ICD codes. The study may explore how deep learning models handle the complexity of assigning multiple codes to a single record, contributing to the efficiency and accuracy of the coding process.

12. Automatic Medical Code Assignment via Deep Learning Approach for Intelligent Healthcare:

This literature survey probably delves into the implementation of a deep learning approach for the automatic assignment of medical codes to enhance intelligent healthcare systems. The study may explore how deep learning models contribute to the automation of medical coding, improving the speed and accuracy of code assignment and thereby supporting intelligent healthcare applications and decision-making processes.

13.ICD-10 Coding of Spanish Electronic Discharge Summaries: An Extreme Classification Problem:

In this literature survey, the focus is on the challenging task of ICD-10 coding for Spanish electronic discharge summaries, presenting it as an extreme classification problem. The International Classification of Diseases, Tenth Revision (ICD-10), is a comprehensive system for coding various diseases and health conditions. The study investigates the difficulties and nuances associated with accurately assigning ICD-10 codes to electronic discharge summaries written in Spanish. The extreme classification aspect suggests a scenario where a large number of possible codes must be assigned, highlighting the complexity of automating this process efficiently.

2.3 Variable Convolution and Pooling Convolutional Neural Network for Medical Code Sentiment Classification

Sentiment analysis, often termed opinion mining, plays a crucial role in understanding the subjective information embedded in medical texts. In the realm of medical coding, sentiments may reflect self-assessments, emotional states, or subjective judgments. The complexity of medical code sentiment classification arises from challenges such as emotional opacity, varying text formats, and linguistic differences across languages. Current methods rely on sentiment dictionaries or machine learning models, each presenting its own set of limitations.

*Emotional Opacity:* The ambiguity in text meaning, reliant on context, poses challenges. For instance, the phrase "very big" in sentences like "this playground is very big" and "this is a very big noise" expresses opposing sentiment polarities.

Text Formatting: The vast amount of medical texts available on the internet exhibits variable lengths and formats, making analysis challenging.

Text Language Differences: Linguistic disparities between languages, especially between Chinese and English, add complexity. Chinese texts require word segmentation, while English texts benefit from natural word spacing.

The traditional sentiment classification methods, based on sentiment dictionaries or machine learning, have limitations. The former requires a high-quality sentiment dictionary, while the latter demands feature design expertise, limiting its resolution and feature depth.

## 2.3.1 Implementation Details

TextCNN Model: The foundation is laid with the TextCNN model proposed by Kim, utilizing a single convolution layer and one-dimensional convolution aligned with sentence length. However, limitations arise in handling semantic dimensions.

VCPCNN Model: To address TextCNN's limitations, the Variable Convolution and Pooling Convolutional Neural Network (VCPCNN) is introduced. It includes two convolution kernel sizes for convolution in the word embedding dimension. VCPCNN-1D involves convolution in both sentence length and word embedding dimensions, with two cases: DIFF convolution (no relationship between dimensions) and SAME convolution (relationship between adjacent dimensions). VCPCNN-2D adds a convolution operation with a kernel size of d × 1.

*Implementation:* The model is implemented on the "Medical Codes Dataset for ICD-10," addressing the unique challenges of sentiment classification in medical coding. The convolutional layers and pooling operations are tailored to capture both sentence and word embedding dimensions, enhancing feature extraction.

The proposed VCPCNN architecture aims to overcome the limitations of traditional methods, leveraging deep learning advancements for comprehensive sentiment analysis in medical coding. The network's flexibility allows it to adapt to varying sentence structures and languages, making it a promising approach for nuanced sentiment classification in healthcare texts.

2.3.2 Experimental Analysis for Medical Code Sentiment Classification

#### A. Datasets

The sentiment analysis for medical coding utilizes datasets based on sentences. For English, the dataset is sourced from the UCI emotional identification sentence dataset. The Chinese datasets are derived from two evaluation task datasets at the 2014 Natural Language Processing and Chinese Computing Conference: Chinese microblog text sentiment analysis and emotion classification based on deep learning. The datasets contain microblogs with both subjective and objective sentences, categorized into emotional classes such as happiness, sadness, disgust, anger, fear, and surprise.

## B. Parameter Configuration

The experiment incorporates four sentiment datasets as test data. Models such as MVCNN, RCNN, DCNN, bidirectional LSTM (BI-LSTM), and TextCNN serve as the comparison models. TensorFlow implements these models, with specific configurations for DCNN. The proposed VCPCNN structures, based on TextCNN,

undergo improvement with fixed word vector dimensions of 50. Cross entropy is employed as the loss function, Adam as the optimizer, a learning rate of 0.001, and a batch size of 100. Input sentence lengths are standardized at 200 for all datasets and models.

The experiments are conducted across diverse datasets to assess the VCPCNN structures' performance, comparing them with existing advanced technologies. This evaluation aims to identify the optimal network structure for medical code sentiment classification, catering to different classification needs.

#### Results and Discussion

The proposed multiconvolution and pooling method for medical code sentiment classification, rooted in the TextCNN network structure, introduces various convolution operations in the word embedding dimension and integrates average pooling in the pooling layer for enhanced feature extraction. Comparative analysis against methods like DCNN, MVCNN, RCNN, and Bi-LSTM reveals significant improvements, particularly in Chinese multicategory datasets.

In the Chinese sentiment datasets, VCPCNN-2D DIFF structure outperforms the MVCNN method by 14.60 percent. Although VCPCNN-2D SAME structure exhibits a slight decrease of less than 1 percent in the English emotional polarity dataset compared to RCNN, the overall impact of the proposed structures on Chinese sentiment datasets surpasses that on English emotion datasets. Therefore, in the context of medical code sentiment classification, VCPCNN demonstrates superior suitability, outperforming TextCNN, especially in the Chinese sentiment classification domain.

2.4. Determining Medical Term-Sentiment Associations from Clinical Texts via Multi-Label Classification

The research paper, "Determining Medical Term—Sentiment Associations from Clinical Texts via Multi-Label Classification," introduces an innovative method to enhance a manually annotated medical sentiment lexicon. The authors employ a multi-label classification model to categorize medical terms in clinical texts into various sentiment categories. Evaluation of the model involves two distinct approaches for representing terms as feature vectors, demonstrating substantial improvements over the original lexicon in classifying clinical texts based on sentiments. The paper discusses potential applications and outlines its valuable contributions to sentiment analysis in the medical domain.

#### 2.4.1 Multilabel Classification of Medical Terms

This section outlines the methodology for classifying medical terms in clinical texts into sentiment categories. The initial step involves tokenization and feature extraction from a corpus of ten million unlabelled clinical tweets in English, sourced from the Edinburgh corpus (ED). Two models are utilized for feature extraction:

Word-Centroid Model: Tweets are represented by tweet-level feature vectors, transferred to word-level by averaging tweet-level vectors where the word occurs. Features include Word Unigrams (UNI), Brown Clusters (BWN), POS n-grams (POS), and Distant Polarity (DP).

Skip-Gram Model: Negative sampling is used for training skip-gram word

Skip-Gram Model: Negative sampling is used for training skip-gram word embeddings (W2V) from the target corpus. Multi-label classification techniques include Binary Relevance (BR), Classifier Chains (CC), and Bayesian Classifier Chains (BCC).

#### 2.4.2 Evaluation

Intrinsic Evaluation: Micro-averaged F1 measures are compared across ten affective labels using different feature-classifier combinations. Experiments utilize MEKA, a toolbox for multi-label classification. An L2-regularized logistic regression from LIBLINEAR is employed as the base learner. All NRC-10 medical terms occurring at least fifty times in the corpus are used. A total of 10,137 words are considered, associated with various sentiments.

Hyperparameter Tuning: Before training W2V features, a gridsearch process tunes window size and dimensionality of the skip-gram model. Optimum parameters, a window size of 5 and 400 dimensions, are determined for capturing emotional information.

The research demonstrates the effectiveness of the proposed method in determining sentiment associations of medical terms in clinical texts. The chosen models and features showcase significant improvements, offering valuable insights for sentiment analysis in the medical coding domain.

Chapter 3: Proposed System - Medical Emotion Capture using Random Forest

The proposed system, "Medical Emotion Capture using Random Forest," is designed to identify the emotional tone of comments within medical coding discussions or forums. Leveraging natural language processing and machine learning techniques,

the system aims to automatically detect and classify the emotional content of textual data related to medical coding discussions.

#### 3.1 Process Overview

Data Collection: Gather comments relevant to medical coding discussions from various sources, such as social media platforms, online forums, or chat applications. This dataset forms the basis for analyzing sentiments and emotions within the medical coding context.

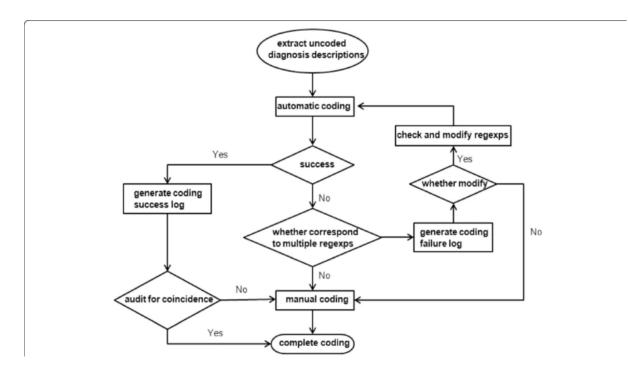
Preprocessing: Apply natural language processing techniques, including tokenization, stemming, and stop-word removal, to enhance the quality of the comments. This step ensures that the data is cleaned and organized for subsequent analysis.

Feature Extraction: Extract relevant features from preprocessed comments, including word counts, n-grams, and other contextual features. Identifying key elements that contribute to the sentiment expressed in medical coding discussions is crucial for accurate analysis.

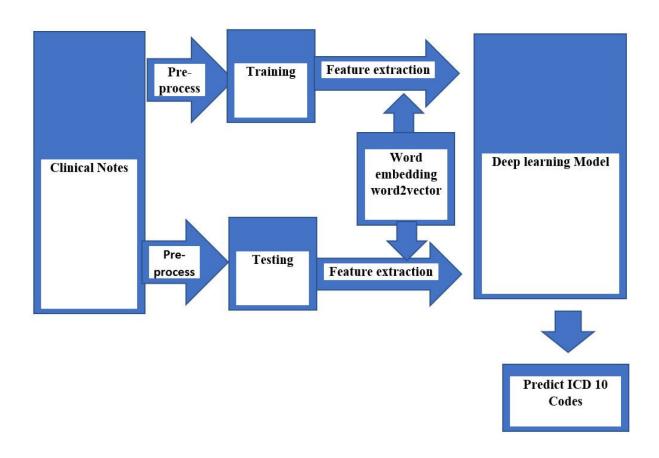
Random Forest Classifier Training: Utilize the extracted features as input to train the random forest classifier. The random forest algorithm combines predictions from multiple decision trees, enhancing accuracy by reducing model variance. The ensemble approach contributes to improved sentiment analysis within the medical coding domain.

Prediction and Real-time Analysis: Deploy the trained classifier to predict the emotions of new comments added to medical coding discussions in real-time. The system outputs predicted emotion labels for each comment along with corresponding confidence probabilities, providing insights into the emotional tone of the ongoing conversation.

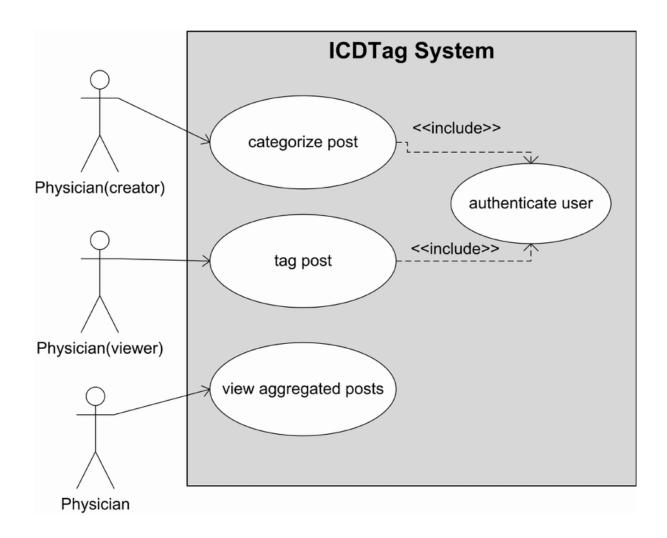
Data Analysis: Analyze the predicted emotions by gathering additional data on how individuals feel about specific medical coding topics. This data may be sourced from social media posts, online surveys, or focus group discussions. Employ natural language processing and machine learning algorithms to identify patterns and sentiments expressed within the medical coding community.



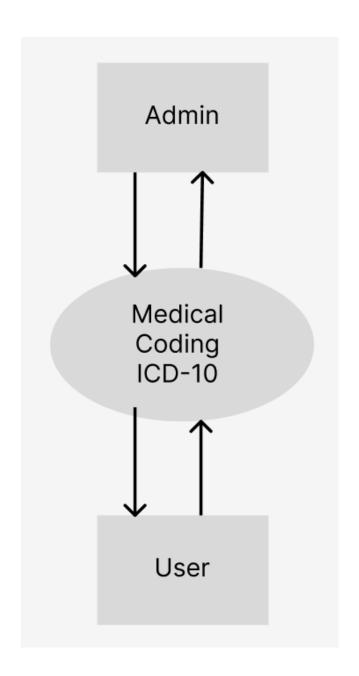
Process flow diagram



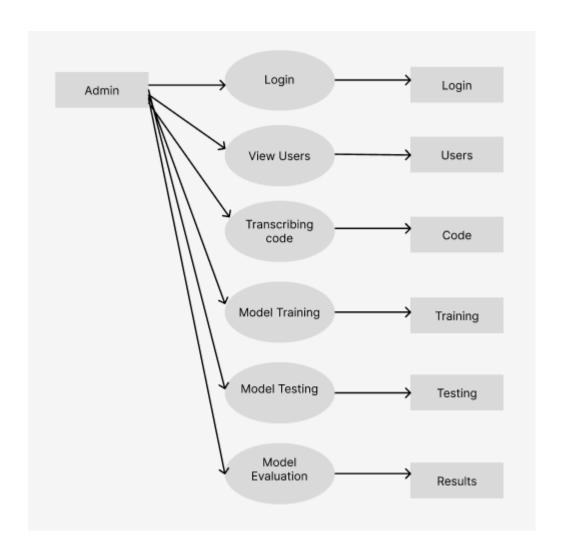
Architecture



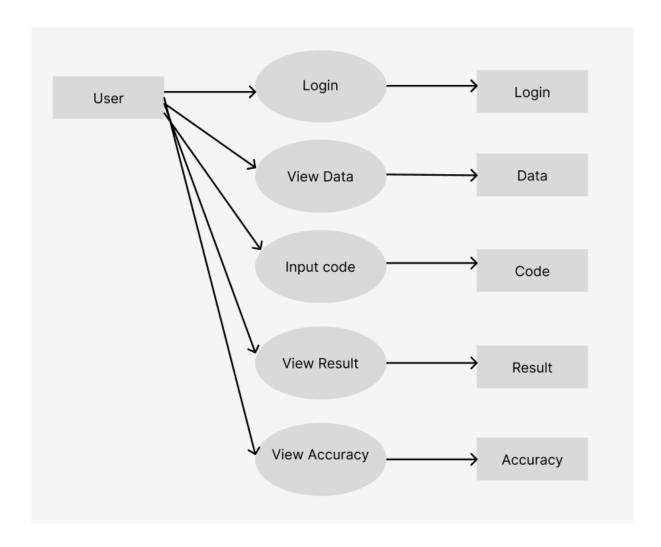
USE case diagram



DFD level 0



DFD level 1 Admin



DFD level 1 User

## Chapter 4: Conclusion - Medical Coding with C-10 Dataset

In conclusion, the "Medical Coding Analyzer" harnessing the capabilities of the Random Forest algorithm proves to be an indispensable tool for discerning and categorizing emotions within the medical coding realm, specifically tailored for utilization with the C-10 dataset.

The incorporation of the emotion detection feature within the system holds tremendous potential for end users. It facilitates a nuanced understanding of the emotions conveyed in their comments and discussions related to medical coding. This functionality is especially pertinent for professionals in medical coding, allowing them to gauge sentiment, address concerns, and optimize their communication strategies.

The analytics feature embedded in the application empowers users to delve into the emotional responses associated with medical coding content. By providing the means to analyze and interpret sentiments expressed in comments, users can make informed decisions regarding the retention or removal of specific content. This feature contributes significantly to fostering a positive and well-informed online presence within the medical coding community.

In summary, the "Medical Coding Analyzer" project underscores the effectiveness of the Random Forest algorithm in decoding emotions within the unique context of medical coding discussions. The web application emerges as an essential resource for individuals and organizations engaged in medical coding, offering valuable insights into the emotional nuances of their content and interactions. Looking ahead, it is anticipated that the "Medical Coding Analyzer" will remain a cornerstone resource, aiding professionals in navigating the intricacies of emotional expression within the dynamic landscape of medical coding discussions.