

COMPUTER NETWORKS

UNIT – 5

SYLLABUS: The Transport Layer: Transport layer protocols: Introduction-services- port number-User data gram protocol-User datagram-UDP services-UDP applications-Transmission control protocol: TCP services- TCP features- Segment- A TCP connection- windows in TCP- flow control-Error control, Congestion control in TCP.

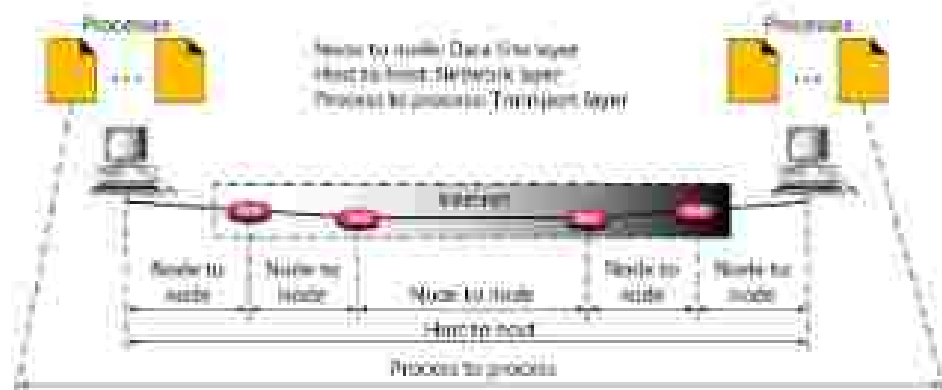
Application Layer - World Wide Web: HTTP, Electronic mail-Architecture- web based mail- email security- TELNET-local versus remote Logging-Domain Name System: Name Space, DNS in Internet - Resolution-Caching- Resource Records- DNS messages- Registrars-security of DNS Name Servers, SNMP.

5.1 Transport layer services:

5.1.1 PROCESS-TO-PROCESS DELIVERY:

The transport layer is responsible for process-to-process delivery-the delivery of a packet, part of a message, from one process to another. Two processes communicate in a client-server relationship.

The data link layer is responsible for delivery of frames between two neighboring nodes over a link. This is called *node-to-node delivery*. The network layer is responsible for delivery of datagrams between two hosts. This is called *host-to-host delivery*. Communication on the Internet is not defined as the exchange of data between two nodes or between two hosts. Real communication takes place between two processes (application programs). We need process-to-process delivery. However, at any moment, several processes may be running on the source host and several on the destination host. To complete the delivery, we need a mechanism to deliver data from one of these processes running on the source host to the corresponding process running on the destination host.



5.1.2 PORT NUMBERS:

At the network layer, we need an IP address to choose one host among millions. A datagram in the network layer needs a destination IP address for delivery and a source IP address for the destination's reply.

At the transport layer, we need a transport layer address, called a port number, to choose among multiple processes running on the destination host. The destination port number is needed for delivery, the source port number is needed for the reply.

In the Internet model, the port numbers are 16-bit integers between 0 and 65,535. The client program defines itself with a port number, chosen randomly by the transport layer software running on the client host. This is the ephemeral port number.



Socket Addresses

Process-to-process delivery needs two identifiers, IP address and the port number, at each end to make a connection. The combination of an IP address and a port number is called a socket address. The client socket address defines the client process uniquely just as the server socket address defines the server process uniquely.

A transport layer protocol needs a pair of socket addresses: the client socket address and the server socket address. These four pieces of information are part of the IP header and the transport layer protocol header. The IP header contains the IP addresses; the UDP or TCP header contains the port numbers.



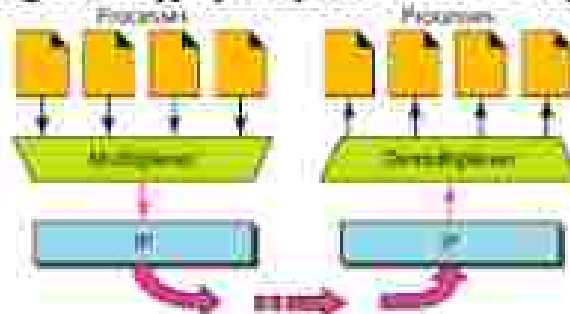
5.1.3 MULTIPLEXING AND DEMULTIPLEXING

Multiplexing

At the sender site, there may be several processes that need to send packets. However, there is only one transport layer protocol at any time. This is a many-to-one relationship and requires multiplexing. The protocol accepts messages from different processes, differentiated by their assigned port numbers. After adding the header, the transport layer passes the packet to the network layer.

Demultiplexing

At the receiver site, the relationship is one-to-many and requires demultiplexing. The transport layer receives datagrams from the network layer. After error checking and dropping of the header, the transport layer delivers each message to the appropriate process based on the port number.



5.1.4 CONNECTIONLESS VERSUS CONNECTION-ORIENTED SERVICE

A transport layer protocol can either be connectionless or connection-oriented.

Connectionless Service

In a connectionless service, the packets are sent from one party to another with no need for connection establishment or connection release. The packets are not numbered; they may be delayed or lost or may arrive out of sequence. There is no acknowledgment either. We will see shortly that one of the transport layer protocols in the Internet model, UDP, is connectionless.

Connection Oriented Service

In a connection-oriented service, a connection is first established between the sender and the receiver. Data are transferred. At the end, the connection is released. We will see shortly that TCP and SCTP are connection-oriented protocols.

5.1.5 RELIABLE VERSUS UNRELIABLE

The transport layer service can be reliable or unreliable. If the application layer program needs reliability, we use a reliable transport layer protocol by implementing flow and error control at the transport layer. This means a slower and more complex service. On the other hand, if the application program does not need reliability because it uses its own flow and error control mechanism or it needs fast service or the nature of the service does not demand flow and error control (real-time applications), then an unreliable protocol can be used.

In the Internet, there are three common different transport layer protocols, as we have already mentioned. UDP is connectionless and unreliable; TCP and SCTP are connection oriented and reliable. These three can respond to the demands of the application layer programs.

5.2 USER DATAGRAM PROTOCOL (UDP)

The User Datagram Protocol (UDP) is called a connectionless, unreliable transport protocol. It does not add anything to the services of IP except to provide process-to-process communication instead of host-to-host communication. Also, it performs very limited error checking.

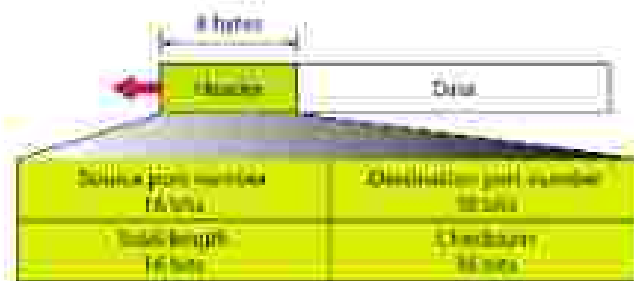
5.2.1 Well-Known Ports for UDP

Below table shows some well-known port numbers used by UDP. Some port numbers can be used by both UDP and TCP.

Port	Protocol	Description
7	Echo	Reflects a received datagram back to the sender
9	Discard	Discards any datagram that is received
11	Datagram	Another echo
13	Daytime	Returns the date and the time
15	Quote	Returns a quote of the day
19	Chargen	Returns a string of characters
43	Nameserver	Default Name Service
87	BOOTP	Supports booting, including information
88	TFTP	Trivial file transfer protocol
89	TFTP	Trivial file transfer protocol
113	RPC	Remote Procedure Call
123	NTP	Network Time Protocol
161	SNMP	Simple Network Management Protocol
162	SNMP	Simple Network Management Protocol traps

5.2.2 User Datagram

UDP packets, called user datagrams, have a fixed-size header of 8 bytes. Below Figure shows the format of a user datagram.



The fields are as follows:

- **Source port number.** This is the port number used by the process running on the source host. It is 16 bits long, which means that the port number can range from 0 to 65,535. If the source host is the client (a client sending a request), the port number, in most cases, is an ephemeral port number requested by the process and chosen by the UDP software running on the source host. If the source host is the server (a server sending a response), the port number, in most cases, is a well-known port number.
- **Destination port number.** This is the port number used by the process running on the destination host. It is also 16 bits long. If the destination host is the server (a client sending a request), the port number, in most cases, is a well-known port number. If the destination host is the client (a server sending a response), the port number, in most cases, is an ephemeral port number. In this case, the server copies the ephemeral port number it has received in the request packet.
- **Length.** This is a 16-bit field that defines the total length of the user datagram, header plus data. The 16 bits can define a total length of 0 to 65,535 bytes. However, the total length needs to be much less because a UDP user datagram is stored in an IP datagram with a total length of 65,535 bytes.
- **Checksum.** This field is used to detect errors over the entire user datagram (header plus data). The checksum is discussed next.

5.2.3 UDP Operation (Services)

UDP uses concepts common to the transport layer. These concepts will be discussed here briefly, and then expanded in the next section on the TCP protocol.

1. Connectionless Services

As mentioned previously, UDP provides a connectionless service. This means that each user datagram sent by UDP is an independent datagram. There is no relationship between the different user datagrams even if they are coming from the same source process and going to the same destination program. The user datagrams are not numbered. Also, there is no connection establishment and no connection termination, as is the case for TCP. This means that each user datagram can travel on a different path.

2. Flow and Error Control

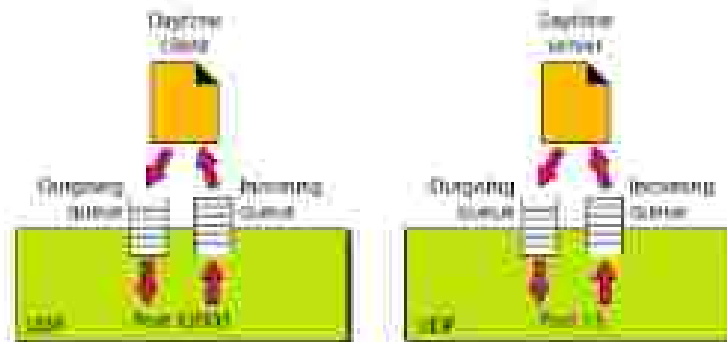
UDP is a very simple, unreliable transport protocol. There is no flow control and hence no window mechanism. The receiver may overflow with incoming messages. There is no error control mechanism in UDP except for the checksum. This means that the sender does not know if a message has been lost or duplicated. When the receiver detects an error through the checksum, the user datagram is silently discarded. The lack of flow control and error control means that the process using UDP should provide these mechanisms.

3. Encapsulation and Decapsulation

To send a message from one process to another, the UDP protocol encapsulates and decapsulates messages in an IP datagram.

4. Queuing

We have talked about ports without discussing the actual implementation of them. In UDP, queues are associated with ports.



At the client site, when a process starts, it requests a port number from the operating system. Some implementations create both an incoming and an outgoing queue associated with each process. Other implementations create only an incoming queue associated with each process.

Note that even if a process wants to communicate with multiple processes, it obtains only one port number and eventually one outgoing and one incoming queue. The queues opened by the client are, in most cases, identified by ephemeral port numbers. The queues function as long as the process is running. When the process terminates, the queues are destroyed.

The client process can send messages to the outgoing queue by using the source port number specified in the request. UDP removes the messages one by one and, after adding the UDP header, delivers them to IP. An outgoing queue can overflow. If this happens, the operating system can ask the client process to wait before sending any more messages.

5.2.4 UDP applications

The following lists some uses of the UDP protocol:

- UDP is suitable for a process that requires simple request-response communication with little concern for flow and error control. It is not usually used for a process such as FTP that needs to send bulk data.
- UDP is suitable for a process with internal flow and error control mechanisms. For example, the Trivial File Transfer Protocol (TFTP) process includes flow and error control. It can easily use UDP.
- UDP is a suitable transport protocol for multicasting. Multicasting capability is embedded in the UDP software but not in the TCP software.
- UDP is used for management processes such as SNMP.
- UDP is used for some route updating protocols such as Routing Information Protocol (RIP).

5.3 Transmission Control Protocol (TCP)

TCP is called a *connection-oriented reliable* transport protocol. It adds connection-oriented and reliability features to the services of IP.

5.3.1 TCP Services

Before we discuss TCP in detail, let us explain the services offered by TCP to the processes at the application layer.

1. Process-to-Process Communication

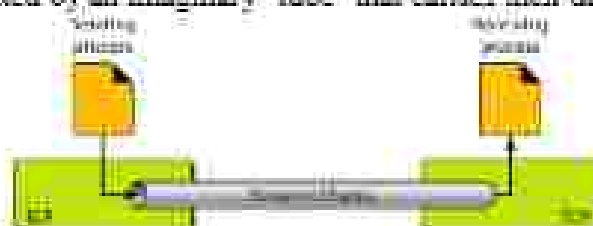
Like UDP, TCP provides process-to-process communication using port numbers. Below Table lists some well-known port numbers used by TCP.

Port	Process	Description
7	Echo	Returns a received datagram back to the sender
9	Discard	Datagrams are discarded but a count is kept
11	Daytime	Return time
13	Discard	Discards the data and the size
17	Query	Returns a query of the file
19	Charge	Returns a query of the charges
20	TFTP Data	TFTP Transfer Protocol (Data transfer only)
25	SMTP	Simple Mail Transfer Protocol
23	TELNET	Terminal Network
25	SMTP	Simple Mail Transfer Protocol
53	DNS	Domain Name Service
80	HTTP	Hypertext Transfer Protocol
70	FTP	File Transfer Protocol
80	HTTP	Hypertext Transfer Protocol
111	RPC	Remote Procedure Call

2. Stream Delivery Service

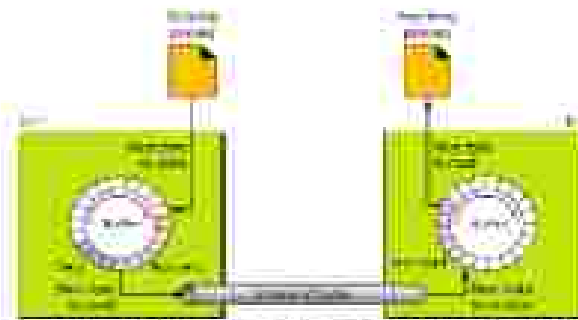
TCP, unlike UDP, is a stream-oriented protocol. In UDP, a process (an application program) sends messages, with predefined boundaries, to UDP for delivery. UDP adds its own header to each of these messages and delivers them to IP for transmission. Each message from the process is called a user datagram and becomes, eventually, one IP datagram. Neither IP nor UDP recognizes any relationship between the datagrams.

TCP, on the other hand, allows the sending process to deliver data as a stream of bytes and allows the receiving process to obtain data as a stream of bytes. TCP creates an environment in which the two processes seem to be connected by an imaginary "tube" that carries their data across the Internet.



3. Sending and Receiving Buffers

The sending and the receiving processes may not write or read data at the same speed, TCP needs buffers for storage. There are two buffers, the sending buffer and the receiving buffer, one for each direction. (We will see later that these buffers are also necessary for flow and error control mechanisms used by TCP.) One way to implement a buffer is to use a circular array of 1-byte locations as shown in below Figure. For simplicity, we have shown two buffers of 20 bytes each; normally the buffers are hundreds or thousands of bytes, depending on the implementation. We also show the buffers as the same size, which is not always the case.



Above Figure shows the movement of the data in one direction. At the sending site, the buffer has three types of chambers. The white section contains empty chambers that can be filled by the sending process (producer). The gray area holds bytes that have been sent but not yet acknowledged. TCP keeps these bytes in the buffer until it receives an acknowledgment. The colored area contains bytes to be sent by the sending TCP. However, as we will see later in this chapter, TCP may be able to send only part of this colored section. This could be due to the slowness of the receiving process or perhaps to congestion in the network. Also note that after the bytes in the gray chambers are acknowledged, the chambers are recycled and available for use by the sending process. This is why we show a circular buffer.

4. Full-Duplex Communication

TCP offers full-duplex service, in which data can flow in both directions at the same time. Each TCP then has a sending and receiving buffer, and segments move in both directions.

5. Connection-Oriented Service

TCP, unlike UDP, is a connection-oriented protocol. When a process at site A wants to send and receive data from another process at site B, the following occurs:

- The two TCPs establish a connection between them.
- Data are exchanged in both directions.
- The connection is terminated.

6. Reliable Service

TCP is a reliable transport protocol. It uses an acknowledgment mechanism to check the safe and sound arrival of data. We will discuss this feature further in the section on error control.

5.3.2 TCP Features

1. Numbering System

Although the TCP software keeps track of the segments being transmitted or received, there is no field for a segment number value in the segment header. Instead, there are two fields called the sequence number and the acknowledgment number. These two fields refer to the byte number and not the segment number.

Byte Number TCP numbers all data bytes that are transmitted in a connection. Numbering is independent in each direction. When TCP receives bytes of data from a process, it stores them in the sending buffer and numbers them. The numbering does not necessarily start from 0. Instead, TCP generates a random number between 0 and $2^{32} - 1$ for the number of the first byte.

For example, if the random number happens to be 1057 and the total data to be sent are 6000 bytes, the bytes are numbered from 1057 to 7056. We will see that byte numbering is used for flow and error control.

2. Flow Control

TCP, unlike UDP, provides *flow control*. The receiver of the data controls the amount of data that are to be sent by the sender. This is done to prevent the receiver from being overwhelmed with data. The numbering system allows TCP to use a byte-oriented flow control.

3. Error Control

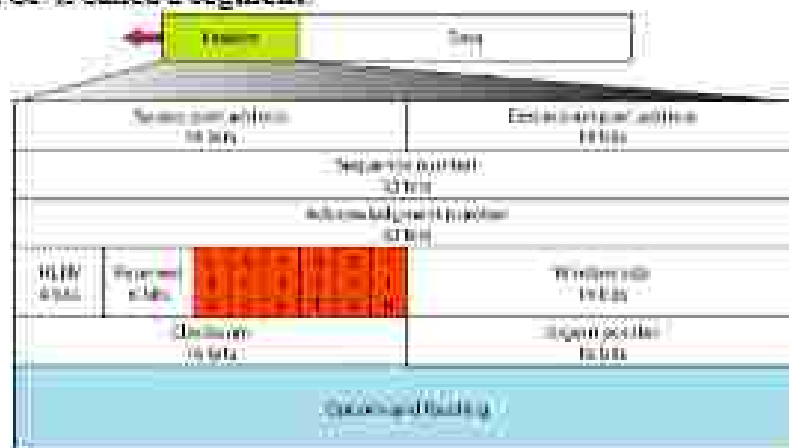
To provide reliable service, TCP implements an error control mechanism. Although error control considers a segment as the unit of data for error detection (loss or corrupted segments), error control is byte-oriented, as we will see later.

4. Congestion Control

TCP, unlike UDP, takes into account congestion in the network. The amount of data sent by a sender is not only controlled by the receiver (flow control), but is also determined by the level of congestion in the network.

5.3.3 Segment

A packet in TCP is called a **segment**.



The segment consists of a 20- to 60-byte header, followed by data from the application program. The header is 20 bytes if there are no options and up to 60 bytes if it contains options. We will discuss some of the header fields in this section.

- **Source port address.** This is a 16-bit field that defines the port number of the application program in the host that is sending the segment. This serves the same purpose as the source port address in the UDP header.
- **Destination port address.** This is a 16-bit field that defines the port number of the application program in the host that is receiving the segment. This serves the same purpose as the destination port address in the UDP header.
- **Sequence number.** This 32-bit field defines the number assigned to the first byte of data contained in this segment. As we said before, TCP is a stream transport protocol. To ensure connectivity, each byte to be transmitted is numbered. The sequence number tells the destination which byte in this sequence comprises the first byte in the segment. During connection establishment, each party uses a random number generator to create an initial sequence number (ISN), which is usually different in each direction.
- **Acknowledgment number.** This 32-bit field defines the byte number that the receiver of the segment is expecting to receive from the other party. If the receiver of the segment has successfully received byte number x from the other party, it defines $x + 1$ as the acknowledgment number. Acknowledgment and data can be piggybacked together.
- **Header length.** This 4-bit field indicates the number of 4-byte words in the TCP header. The length of the header can be between 20 and 60 bytes. Therefore, the value of this field can be between 5 ($5 \times 4 = 20$) and 15 ($15 \times 4 = 60$).
- **Reserved.** This is a 6-bit field reserved for future use.
- **Control.** This field defines 6 different control bits or flags as shown in below figure. One or more of these bits can be set at a time.

URG Urgent pointer field
ACK Acknowledgment field
FIN Request for push
RST Reset the connection
SYN Synchronize sequence numbers
EOL Terminate the connection



These bits enable flow control, connection establishment and termination, connection abortion, and the mode of data transfer in TCP. A brief description of each bit is shown in below table.

Flag	Description
URG	The value of the urgent pointer field is valid.
ACK	The value of the acknowledgment field is valid.
PSH	Push the data.
RST	Reset the connection.
SYN	Asynchronously request connection during connection.
FIN	Terminate the connection.

- **Window size.** This field defines the size of the window, in bytes, that the other party must maintain. Note that the length of this field is 16 bits, which means that the maximum size of the window is 65,535 bytes. This value is normally referred to as the receiving window (*rwnd*) and is determined by the receiver. The sender must obey the dictation of the receiver in this case.
- **Checksum.** This 16-bit field contains the checksum. The calculation of the checksum for TCP follows the same procedure as the one described for UDP. However, the inclusion of the checksum in the UDP datagram is optional, whereas the inclusion of the checksum for TCP is mandatory. The same pseudoheader, serving the same purpose, is added to the segment. For the TCP pseudoheader, the value for the protocol field is 6.
- **Urgent pointer.** This 16-bit field, which is valid only if the urgent flag is set, is used when the segment contains urgent data. It defines the number that must be added to the sequence number to obtain the number of the last urgent byte in the data section of the segment.
- **Options.** There can be up to 40 bytes of optional information in the TCP header.

5.3.4 A TCP Connection

TCP is connection-oriented. A connection-oriented transport protocol establishes a virtual path between the source and destination. All the segments belonging to a message are then sent over this virtual path. Using a single virtual pathway for the entire message facilitates the acknowledgment process as well as retransmission of damaged or lost frames.

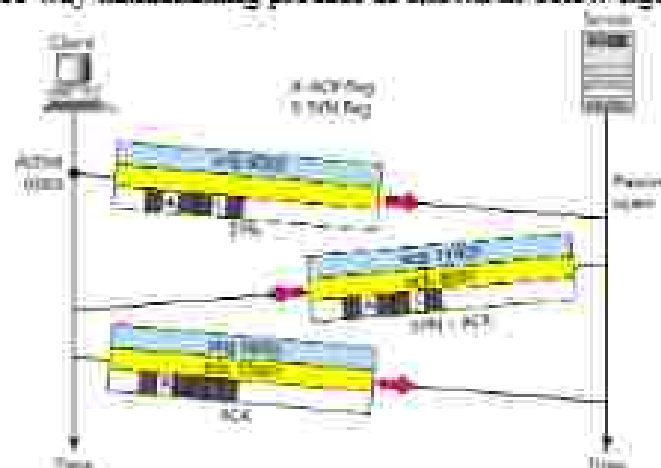
In TCP, connection-oriented transmission requires three phases: **connection establishment, data transfer, and connection termination.**

1. Connection Establishment

TCP transmits data in full-duplex mode. When two TCPs in two machines are connected, they are able to send segments to each other simultaneously. This implies that each party must initialize communication and get approval from the other party before any data are transferred.

Three-Way Handshaking The connection establishment in TCP is called three-way handshaking.

In our example, an application program, called the client, wants to make a connection with another application program, called the server, using TCP as the transport layer protocol. The process starts with the server. The server program tells its TCP that it is ready to accept a connection. This is called a request for a *passive open*. The client program issues a request for an *active open*. A client that wishes to connect to an open server tells its TCP that it needs to be connected to that particular server. TCP can now start the three-way handshaking process as shown in below figure.



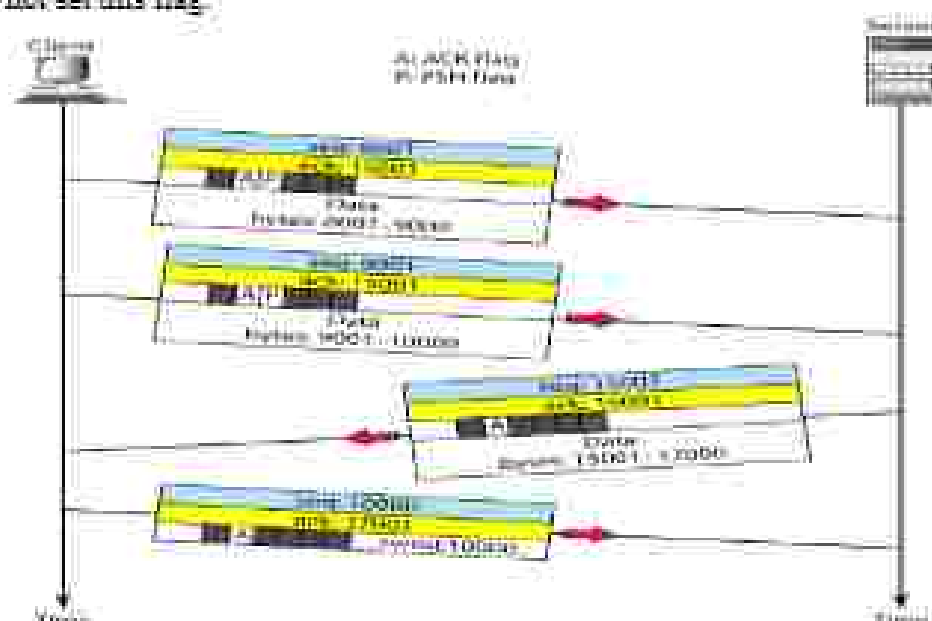
1. The client sends the first segment, a SYN segment, in which only the SYN flag is set. This segment is for synchronization of sequence numbers. It consumes one sequence number. When the data transfer starts, the sequence number is incremented by 1. We can say that the SYN segment carries no real data, but we can think of it as containing 1 imaginary byte.
2. The server sends the second segment, a SYN+ACK segment, with 2 flag bits set: SYN and ACK. This segment has a dual purpose. It is a SYN segment for communication in the other direction and serves as the acknowledgment for the SYN segment. It consumes one sequence number.
3. The client sends the third segment. This is just an ACK segment. It acknowledges the receipt of the second segment with the ACK flag and acknowledgment number field. Note that the sequence number in this segment is the same as the one in the SYN segment; the ACK segment does not consume any sequence numbers.

SYN Flooding Attack The connection establishment procedure in TCP is susceptible to a serious security problem called the SYN flooding attack. This happens when a malicious attacker sends a large number of SYN segments to a server, pretending that each of them is coming from a different client by faking the source IP addresses in the datagrams. The server, assuming that the clients are issuing an active open, allocates the necessary resources, such as creating communication tables and setting timers. The TCP server then sends the SYN+ACK segments to the fake clients, which are lost. During this time, however, a lot of resources are occupied without being used. If, during this short time, the number of SYN segments is large, the server eventually runs out of resources and may crash. This SYN flooding attack belongs to a type of security attack known as a denial-of-service attack, in which an attacker monopolizes a system with so many service requests that the system collapses and denies service to every request.

2. Data Transfer

After connection is established, bidirectional data transfer can take place. The client and server can both send data and acknowledgments; for the moment, it is enough to know that data traveling in the same direction as an acknowledgment are carried on the same segment. The acknowledgment is piggybacked with the data.

Below figure shows an example. In this example, after connection is established (not shown in the figure), the client sends 2000 bytes of data in two segments. The server then sends 2000 bytes in one segment. The client sends one more segment. The first three segments carry both data and acknowledgment, but the last segment carries only an acknowledgment because there are no more data to be sent. Note the values of the sequence and acknowledgment numbers. The data segments sent by the client have the PSH (push) flag set so that the server TCP knows to deliver data to the server process as soon as they are received. We discuss the use of this flag in greater detail later. The segment from the server, on the other hand, does not set the push flag. Most TCP implementations have the option to set or not set this flag.

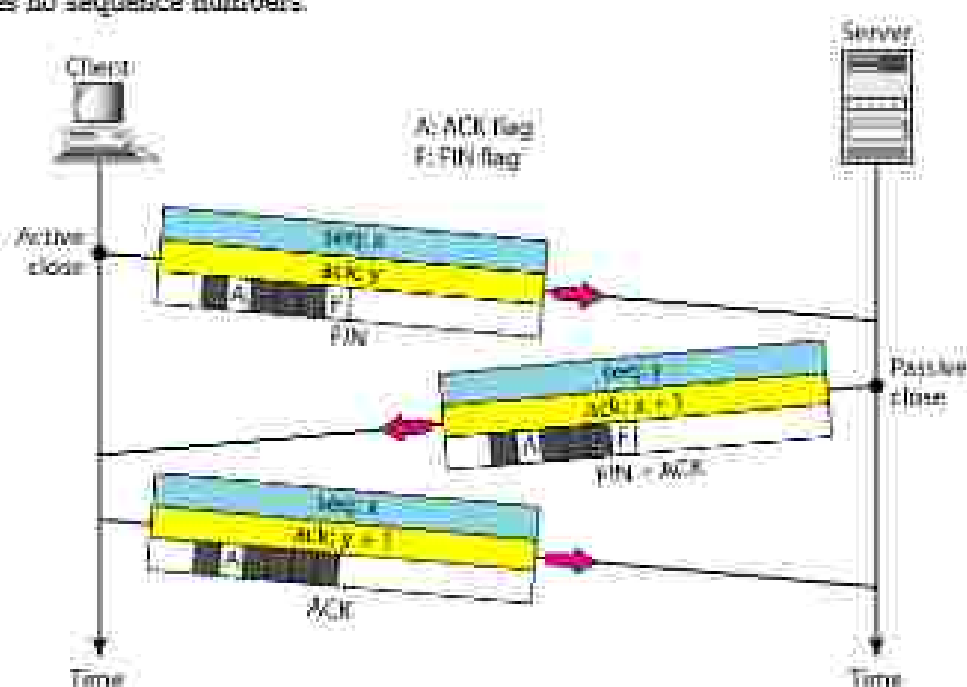


3. Connection Termination

Any of the two parties involved in exchanging data (client or server) can close the connection, although it is usually initiated by the client. Most implementations today allow two options for connection termination: **three-way handshaking** and **four-way handshaking with a half-close option**.

Three-Way Handshaking for connection termination as shown in below figure.

1. In a normal situation, the client TCP, after receiving a close command from the client process, sends the first segment, a FIN segment in which the FIN flag is set. Note that a FIN segment can include the last chunk of data sent by the client, or it can be just a control segment as shown in below Figure. If it is only a control segment, it consumes only one sequence number.
2. The server TCP, after receiving the FIN segment, informs its process of the situation and sends the second segment, a FIN + ACK segment, to confirm the receipt of the FIN segment from the client and at the same time to announce the closing of the connection in the other direction. This segment can also contain the last chunk of data from the server. If it does not carry data, it consumes only one sequence number.
3. The client TCP sends the last segment, an ACK segment, to confirm the receipt of the FIN segment from the TCP server. This segment contains the acknowledgment number, which is 1 plus the sequence number received in the FIN segment from the server. This segment cannot carry data and consumes no sequence numbers.



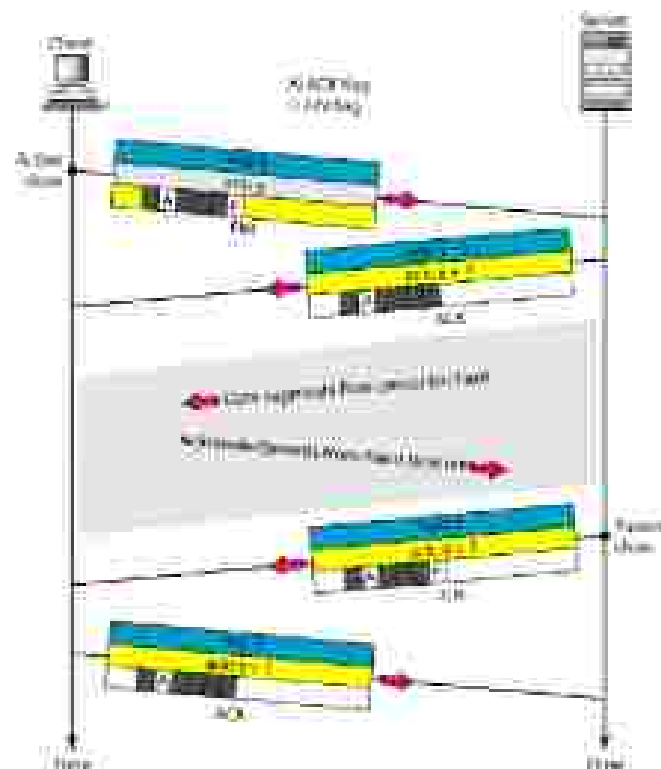
Half-Close in TCP. one end can stop sending data while still receiving data. This is called a half-close. Although either end can issue a half-close, it is normally initiated by the client. It can occur when the server needs all the data before processing can begin. Below figure shows an example of a half-close.

The client half-closes the connection by sending a FIN segment. The server accepts the half-close by sending the ACK segment. The data transfer from the client to the server stops.

The server, however, can still send data. When the server has sent all the processed data, it sends a FIN segment, which is acknowledged by an ACK from the client.

After half-closing of the connection, data can travel from the server to the client and acknowledgments can travel from the client to the server.

The client cannot send any more data to the server. Note the sequence numbers we have used. The second segment (ACK) consumes no sequence number. Although the client has received sequence number $y - 1$ and is expecting y , the server sequence number is still $y - 1$. When the connection finally closes, the sequence number of the last ACK segment is still x , because no sequence numbers are consumed during data transfer in that direction.



5.3.5 Flow Control

TCP uses a sliding window, to handle flow control. The sliding window protocol used by TCP, however, is something between the Go-Back-N and Selective Repeat sliding window. The sliding window protocol in TCP looks like the Go-Back-N protocol because it does not use NAKs; it looks like Selective Repeat because the receiver holds the out-of-order segments until the missing ones arrive.

There are two big differences between this sliding window and the one we used at the data link layer. First, the sliding window of TCP is byte-oriented; the one we discussed in the data link layer is frame-oriented. Second, the TCP's sliding window is of variable size; the one we discussed in the data link layer was of fixed size.

Below figure shows the sliding window in TCP. The window spans a portion of the buffer containing bytes received from the process. The bytes inside the window are the bytes that can be in transit; they can be sent without worrying about acknowledgment. The imaginary window has two walls: one left and one right.

The window is *opened*, *closed*, or *shrunk*. These three activities, as we will see, are in the control of the receiver (and depend on congestion in the network), not the sender. The sender must obey the commands of the receiver in this matter.

Opening a window means moving the right wall to the right. This allows more new bytes in the buffer that are eligible for sending. Closing the window means moving the left wall to the right. This means that some bytes have been acknowledged and the sender need not worry about them anymore. Shrinking the window means moving the right wall to the left. This is strongly discouraged and not allowed in some implementations because it means revoking the eligibility of some bytes for sending.



Some points about TCP sliding windows:

- The size of the window is the lesser of *rwnd* and *cwnd*.
- The source does not have to send a full window's worth of data.
- The window can be opened or closed by the receiver, but should not be shrunk.
- The destination can send an acknowledgment at any time as long as it does not result in a shrinking window.
- The receiver can temporarily shut down the window; the sender, however, can always send a segment of 1 byte after the window is shut down.

5.3.6 Error Control

TCP provides reliability using error control. Error control includes mechanisms for detecting corrupted segments, lost segments, out-of-order segments, and duplicated segments. Error control also includes a mechanism for correcting errors after they are detected. Error detection and correction in TCP is achieved through the use of three simple tools: **checksum**, **acknowledgment**, and **time-out**.

1. Checksum

Each segment includes a checksum field which is used to check for a corrupted segment. If the segment is corrupted, it is discarded by the destination TCP and is considered as lost. TCP uses a 16-bit checksum that is mandatory in every segment.

2. Acknowledgment

TCP uses acknowledgments to confirm the receipt of data segments. Control segments that carry no data but consume a sequence number are also acknowledged. ACK segments are never acknowledged.

3. Retransmission

The heart of the error control mechanism is the retransmission of segments. When a segment is corrupted, lost, or delayed, it is retransmitted. In modern implementations, a segment is retransmitted on two occasions: when a retransmission timer expires or when the sender receives three duplicate ACKs.

5.3.7 Congestion Control in TCP

The size of the sender window is determined by the following two factors-

1. Receiver window size
1. Congestion window size

1. Receiver Window Size

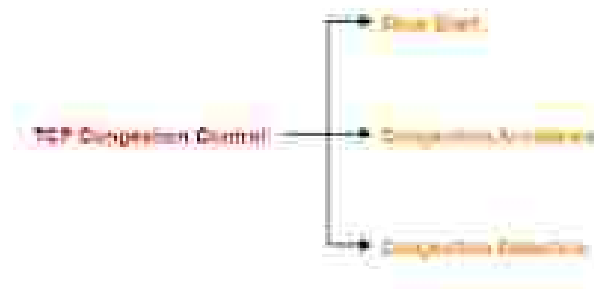
- Sender should not send data greater than receiver window size.
- Otherwise, it leads to dropping the TCP segments which causes **TCP Retransmission**.
- So, sender should always send data less than or equal to receiver window size.
- Receiver dictates its window size to the sender through **TCP Header**.

2. Congestion Window

- Sender should not send data greater than congestion window size.
- Otherwise, it leads to dropping the TCP segments which causes TCP Retransmission.
- So, sender should always send data less than or equal to congestion window size.
- Different variants of TCP use different approaches to calculate the size of congestion window.
- Congestion window is known only to the sender and is not sent over the link.

TCP Congestion Policy

TCP's general policy for handling congestion consists of following three phases-



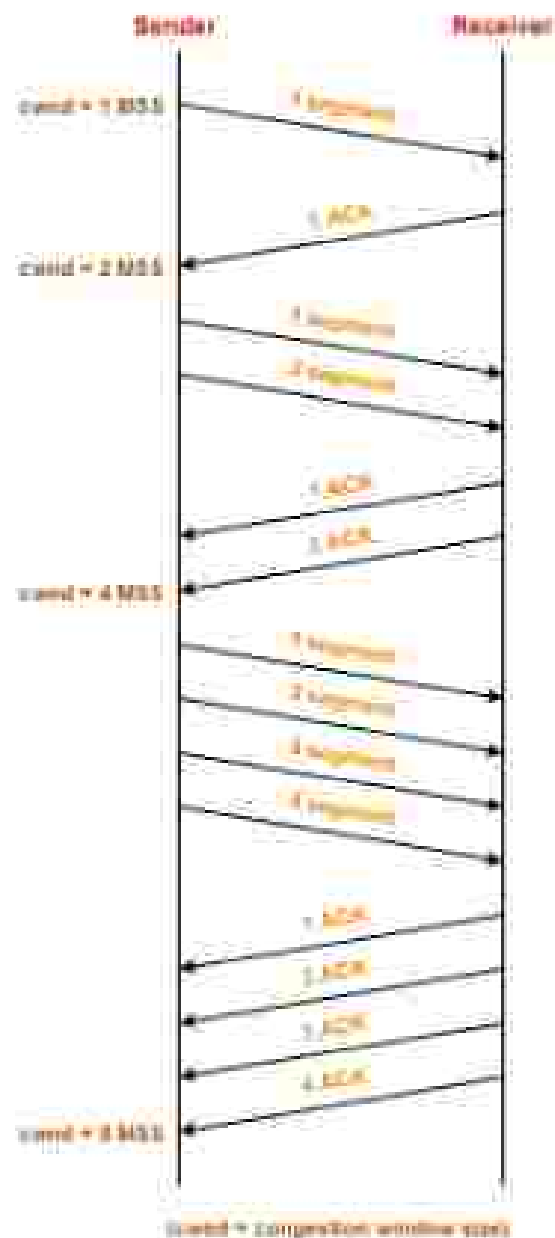
1. Slow Start Phase:-

- Initially, sender sets congestion window size = Maximum Segment Size (1 MSS).
- After receiving each acknowledgment, sender increases the congestion window size by 1 MSS.
- In this phase, the size of congestion window increases exponentially.

The followed formula is:-

$$\text{Congestion window size} = \text{Congestion window size} + \text{Maximum segment size}$$

This is shown below:-



- After 1 round trip time, congestion window size = $(2)^1 = 2 \text{ MSS}$
- After 2 round trip time, congestion window size = $(2)^2 = 4 \text{ MSS}$
- After 3 round trip time, congestion window size = $(2)^3 = 8 \text{ MSS}$ and so on.

This phase continues until the congestion window size reaches the slow start threshold.

Threshold

= Maximum number of TCP segments that receiver window can accommodate / 2

= (Receiver window size / Maximum Segment Size) / 2

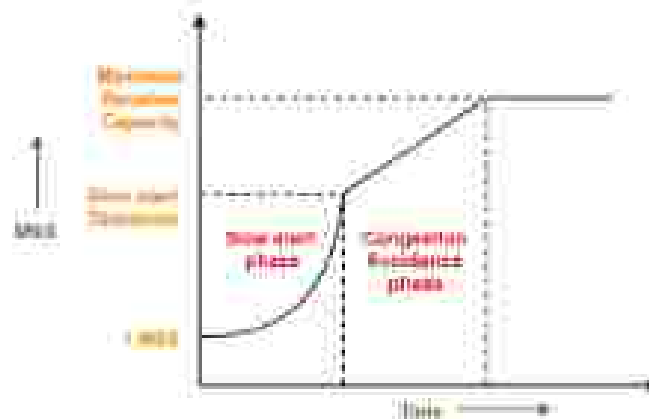
2. Congestion Avoidance Phase-

After reaching the threshold,

- Sender increases the congestion window size linearly to avoid the congestion.
 - On receiving each acknowledgement, sender increments the congestion window size by 1.
- The followed formula is-

$$\text{Congestion window size} = \text{Congestion window size} + 1$$

This phase continues until the congestion window size becomes equal to the receiver window size.



3. Congestion Detection Phase-

When sender detects the loss of segments, it reacts in different ways depending on how the loss is detected-

Case-01: Detection On Time Out-

- Time Out Timer expires before receiving the acknowledgement for a segment.
- This case suggests the stronger possibility of congestion in the network.
- There are chances that a segment has been dropped in the network.

Reaction-

In this case, sender reacts by-

- Setting the slow start threshold to half of the current congestion window size.
- Decreasing the congestion window size to 1 MSS.
- Resuming the slow start phase.

Case-02: Detection On Receiving 3 Duplicate Acknowledgements-

- Sender receives 3 duplicate acknowledgements for a segment.
- This case suggests the weaker possibility of congestion in the network.
- There are chances that a segment has been dropped but few segments sent later may have reached.

Reaction-

In this case, sender reacts by-

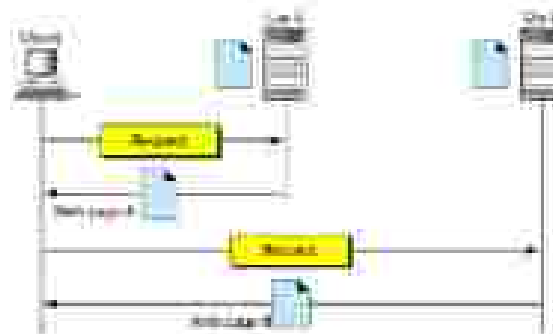
- Setting the slow start threshold to half of the current congestion window size.
- Decreasing the congestion window size to slow start threshold.
- Resuming the congestion avoidance phase.

5.4 World Wide Web (WWW)

The World Wide Web (WWW) is a repository of information linked together from points all over the world. The WWW has a unique combination of flexibility, portability, and user-friendly features that distinguish it from other services provided by the Internet. The WWW project was initiated by CERN (European Laboratory for Particle Physics) to create a system to handle distributed resources necessary for scientific research.

5.4.1 ARCHITECTURE

The WWW today is a distributed client/server service, in which a client using a browser can access a service using a server. However, the service provided is distributed over many locations called sites, as shown in Figure.

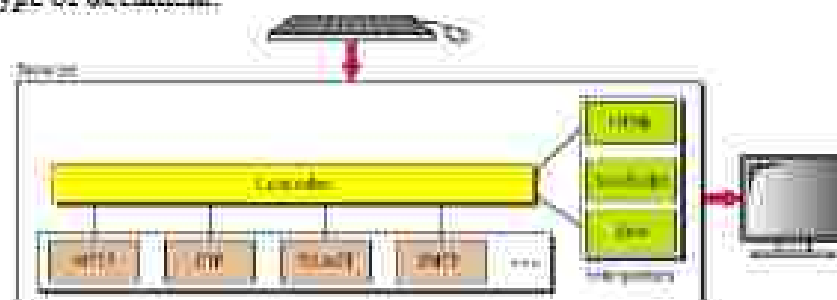


Each site holds one or more documents, referred to as *Web pages*. Each Web page can contain a link to other pages in the same site or at other sites. The pages can be retrieved and viewed by using browsers.

The client needs to see some information that it knows belongs to site A. It sends a request through its browser, a program that is designed to fetch Web documents. The request, among other information, includes the address of the site and the Web page, called the URL. The server at site A finds the document and sends it to the client. When the user views the document, she finds some references to other documents, including a Web page at site B. The reference has the URL for the new site. The user is also interested in seeing this document. The client sends another request to the new site, and the new page is retrieved.

5.4.2 Client (Browser)

A variety of vendors offer commercial browsers that interpret and display a Web document, and all use nearly the same architecture. Each browser usually consists of three parts: a controller, client protocol, and interpreters. The controller receives input from the keyboard or the mouse and uses the client programs to access the document. After the document has been accessed, the controller uses one of the interpreters to display the document on the screen. The client protocol can be one of the protocols described previously such as FTP or HTTP. The interpreter can be HTML, Java, or JavaScript, depending on the type of document.



5.4.3 Server

The Web page is stored at the server. Each time a client request arrives, the corresponding document is sent to the client. To improve efficiency, servers normally store requested files in a cache in memory, memory is faster to access than disk.

5.4.4 Uniform Resource Locator

A client that wants to access a Web page needs the address. To facilitate the access of documents distributed throughout the world, HTTP uses locators. The uniform resource locator (URL) is a standard for specifying any kind of information on the Internet. The URL defines four things: protocol, host computer, port, and path.



The *protocol* is the client server program used to retrieve the document. Many different protocols can retrieve a document, among them are FTP or HTTP. The most common today is HTTP.

The *host* is the computer on which the information is located, although the name of the computer can be an alias. Web pages are usually stored in computers, and computers are given alias names that usually begin with the characters "www". This is not mandatory, however, as the host can be any name given to the computer that hosts the Web page.

The URL can optionally contain the port number of the server. If the *port* is included, it is inserted between the host and the path, and it is separated from the host by a colon.

Path is the pathname of the file where the information is located. Note that the path can itself contain slashes that, in the UNIX operating system, separate the directories from the sub-directories and files.

5.5 HYPERTEXT TRANSFER PROTOCOL (HTTP)

The Hypertext Transfer Protocol (HTTP) is a protocol used mainly to access data on the World Wide Web. HTTP functions as a combination of FTP and SMTP. HTTP uses the services of TCP on well-known port 80.

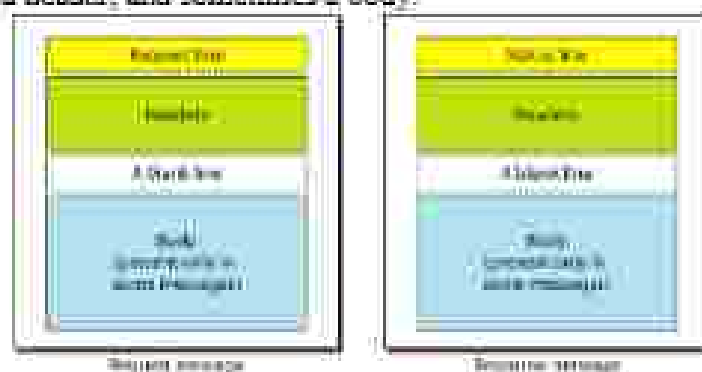
5.5.1 HTTP Transaction

Below figure illustrates the HTTP transaction between the client and server. Although HTTP uses the services of TCP, HTTP itself is a stateless protocol. The client initializes the transaction by sending a request message. The server replies by sending a response.

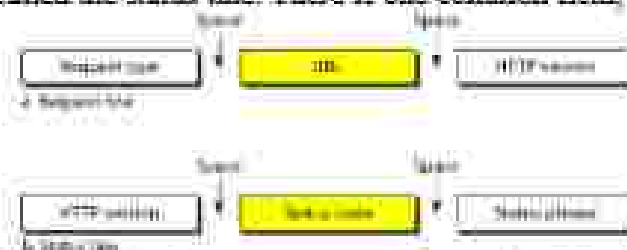


5.5.2 HTTP Messages

The formats of the request and response messages are similar, both are shown in below figure. A request message consists of a request line, a header, and sometimes a body. A response message consists of a status line, a header, and sometimes a body.



Request and Status Lines. The first line in a request message is called a request line; the first line in the response message is called the status line. There is one common field, as shown in below figure



Request type. This field is used in the request message. In version 1.1 of HTTP, several request types are defined. The request type is categorized into *method* as defined in below table.

Method	Description
GET	Requests a document from the server
HEAD	Requests information about a document without the document itself
POST	Sends server information about the object to the server
PUT	Sends a document to the server or the client
TRACE	Returns the incoming request
CONNECT	Reserved
OPTIONS	Requests about available options

URL. The uniform resource locator (URL) is a standard for specifying any kind of information on the Internet.

Version. The most current version of HTTP is 1.1.

Status code. This field is used in the response message. The status code field is similar to those in the FTP and the SMTP protocols. It consists of three digits. Whereas the codes in the 100 range are only informational, the codes in the 200 range indicate a successful request.

Status phrase. This field is used in the response message. It explains the status code in text form.

Code	Phrase	Description
Informational		
100	Continue	The initial part of the request has been received, and the client should continue with its request
101	Switching	The server is complying with a request passed to switch protocols defined in the request header
Success		
200	OK	The request is successful
201	Created	A new URL is created
202	Accepted	The request is accepted, but it is not immediately acted upon
204	No content	There is no content in the body

Header The header exchanges additional information between the client and the server. For example, the client can request that the document be sent in a special format, or the server can send extra information about the document. The header can consist of one or more header lines. Each header line has a header name, a colon, a space, and a header value.



A header line belongs to one of four categories: **general header**, **request header**, **response header**, and **entity header**.

General header The general header gives general information about the message and can be present in both a request and a response. Below table lists some general headers with their descriptions.

Header	Description
Cache-control	Specifies information about caching
Connection	Shows whether the connection should be closed or not
Date	Shows the current date
MIME-version	Shows the MIME version used
Upgrade	Specifies the preferred communication protocol

Request header The request header can be present only in a request message. It specifies the client's configuration and the client's preferred document format. See below Table for a list of some request headers and their descriptions.

Header	Description
Accept	Shows the medium format the client can accept
Accept-charset	Shows the character set the client can handle
Accept-encoding	Shows the encoding scheme the client can handle
Accept-language	Shows the language the client can accept
Authorization	Shows what permissions the client has
From	Shows the e-mail address of the user
Host	Shows the host and port number of the server
If-accepted-range	Shows the document if range has specified date
If-match	Shows the document only if it matches given tag
If-modified-since	Shows the document only if it does not match given tag
Range	Shows what the portions of the document that is wanted
If-unmodified-since	Shows the document if not modified since specified date
Referer	Specifies the URL of the linked document
User-agent	Identifies the client program

Response header The response header can be present only in a response message. It specifies the server's configuration and special information about the request. See below Table for a list of some response headers with their descriptions.

Header	Description
Accept-range	Shows if server accepts the range requested by client
Age	Shows the age of the document
Cache-control	Shows the suggested time of validity
Content-type	Specifies the type of the document
Server	Shows the server name and version number

Entity header The entity header gives information about the body of the document. Although it is mostly present in response messages, some request messages, such as POST or PUT methods, that contain a body also use this type of header. See below Table for a list of some entity headers and their descriptions.

Header	Description
Allow	Lists valid methods that can be used with a URL
Content-encoding	Specifies the encoding scheme
Content-language	Specifies the language
Content-length	Shows the length of the document
Content-range	Specifies the range of the document
Content-type	Specifies the media type
ETag	Gives an entity tag
Expires	Gives the date and time when contents may change
Last-modified	Gives the date and time of the last change
Location	Specifies the location of the current or moved document

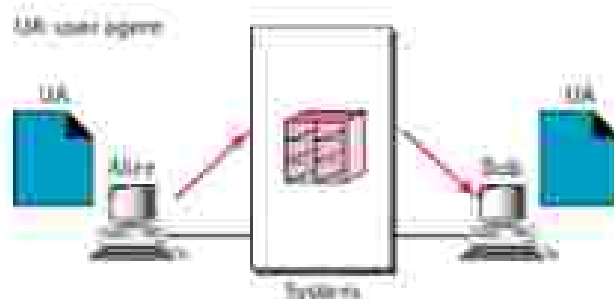
5.6 ELECTRONIC MAIL

One of the most popular Internet services is electronic mail (e-mail). At the beginning of the Internet era, the messages sent by electronic mail were short and consisted of text only; they let people exchange quick memos. Today, electronic mail is much more complex. It allows a message to include text, audio, and video. It also allows one message to be sent to one or more recipients.

5.6.1 Architecture

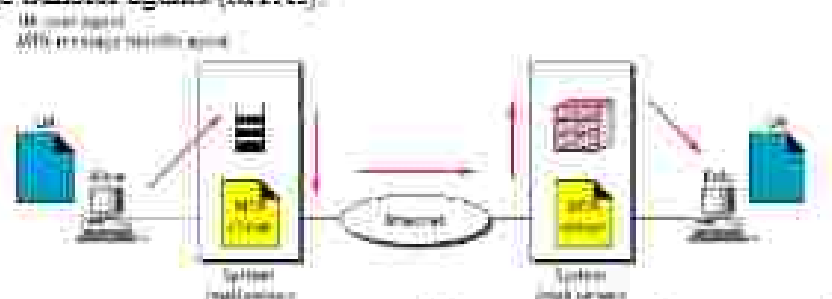
1. First Scenario

In the first scenario, the sender and the receiver of the e-mail are users (or application programs) on the same system; they are directly connected to a shared system. The administrator has created one mailbox for each user where the received messages are stored. A *mailbox* is part of a local hard drive, a special file with permission restrictions. Only the owner of the mailbox has access to it. When Alice, a user, needs to send a message to Bob, another user, Alice runs a *user agent (UA)* program to prepare the message and store it in Bob's mailbox. The message has the sender and recipient mailbox addresses (names of files). Bob can retrieve and read the contents of his mailbox at his convenience, using a *user agent*.



2. Second Scenario

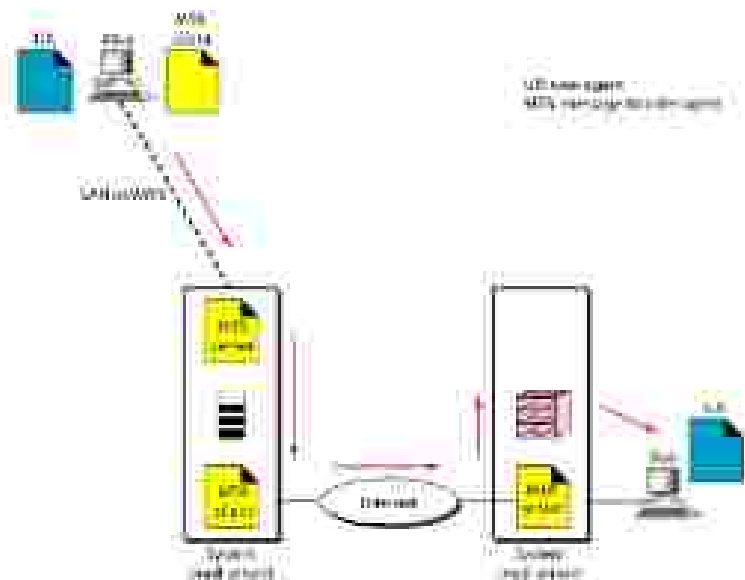
In the second scenario, the sender and the receiver of the e-mail are users (or application programs) on two different systems. The message needs to be sent over the Internet. Here we need *user agents (UAs)* and *message transfer agents (MTAs)*.



Alice needs to use a *user agent* program to send her message to the system at her own site. The system (sometimes called the *mail server*) at her site uses a queue to store messages waiting to be sent. Bob also needs a *user agent* program to retrieve messages stored in the mailbox of the system at his site. The message, however, needs to be sent through the Internet from Alice's site to Bob's site. Here two *message transfer agents* are needed: one client and one server. Like most client server programs on the Internet, the server needs to run all the time because it does not know when a client will ask for a connection. The client, on the other hand, can be alerted by the system when there is a message in the queue to be sent.

3. Third Scenario

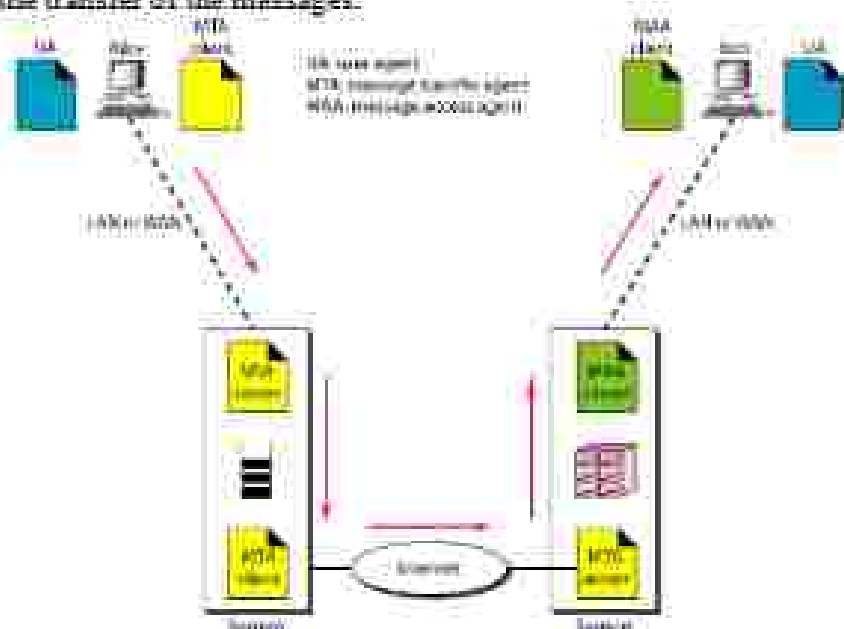
In the third scenario, Bob, as in the second scenario, is directly connected to his system. Alice, however, is separated from her system. Either Alice is connected to the system via a point-to-point WAN, such as a dial-up modem, a DSL, or a cable modem; or she is connected to a LAN in an organization that uses one mail server for handling e-mails-all users need to send their messages to this mail server.



Alice still needs a user agent to prepare her message. She then needs to send the message through the LAN or WAN. This can be done through a pair of message transfer agents (client and server). Whenever Alice has a message to send, she calls the user agent which, in turn, calls the MTA client. The MTA client establishes a connection with the MTA server on the system, which is running all the time. The system at Alice's site queues all messages received. It then uses an MTA client to send the messages to the system at Bob's site, the system receives the message and stores it in Bob's mailbox. At his convenience, Bob uses his user agent to retrieve the message and reads it. Note that we need two pairs of MTA client/server programs.

4. Fourth Scenario

In the fourth and most common scenario, Bob is also connected to his mail server by a WAN or a LAN. After the message has arrived at Bob's mail server, Bob needs to retrieve it. Here, we need another set of client/server agents, which we call message access agents (MAAs). Bob uses an MAA client to retrieve his messages. The client sends a request to the MAA server, which is running all the time, and requests the transfer of the messages.



There are two important points here. First, Bob cannot bypass the mail server and use the MTA server directly. To use MTA server directly, Bob would need to run the MTA server all the time because he does not know when a message will arrive. This implies that Bob must keep his computer on all the time if he is connected to his system through a LAN. If he is connected through a WAN, he must keep the connection up all the time. Neither of these situations is feasible today.

Second, note that Bob needs another pair of client/server programs: message access programs. This is so because an MTA client/server program is a *push* program: the client pushes the message to the server. Bob needs a *pull* program. The client needs to pull the message from the server.



5.6.2 User Agent

The first component of an electronic mail system is the user agent (UA). It provides service to the user to make the process of sending and receiving a message easier.

1. Services Provided by a User Agent

A user agent is a software package (program) that composes, reads, replies to, and forwards messages. It also handles mailboxes.



Composing Messages A user agent helps the user compose the e-mail message to be sent out. Most user agents provide a template on the screen to be filled in by the user. Some even have a built-in editor that can do spell checking, grammar checking, and other tasks expected from a sophisticated word processor. A user, of course, could alternatively use his or her favorite text editor or word processor to create the message and import it, or cut and paste it, into the user agent template.

Reading Messages The second duty of the user agent is to read the incoming messages. When a user invokes a user agent, it first checks the mail in the incoming mailbox. Most user agents show a one-line summary of each received mail. Each e-mail contains the following fields:

1. A number field.
2. A flag field that shows the status of the mail such as new, already read but not replied to, or read and replied to.
3. The size of the message.
4. The sender.
5. The optional subject field.

Replying to Messages After reading a message, a user can use the user agent to reply to a message. A user agent usually allows the user to reply to the original sender or to reply to all recipients of the message. The reply message may contain the original message (for quick reference) and the new message.

Forwarding Messages *Replying* is defined as sending a message to the sender or recipients of the copy. *Forwarding* is defined as sending the message to a third party. A user agent allows the receiver to forward the message, with or without extra comments, to a third party.

Handling Mailboxes A user agent normally creates two mailboxes: an inbox and an outbox. Each box is a file with a special format that can be handled by the user agent. The inbox keeps all the received e-mails until they are deleted by the user. The outbox keeps all the sent e-mails until the user deletes them. Most user agents today are capable of creating customized mailboxes.

2. User Agent Types

There are two types of user agents: command-driven and GUI-based.

Command-Driven user agents belong to the early days of electronic mail. They are still present as the underlying user agents in servers. A command-driven user agent normally accepts a one-character command from the keyboard to perform its task. For example, a user can type the character *r*, at the command prompt, to reply to the sender of the message, or type the character *R* to reply to the sender and all recipients.

GUI-Based Modern user agents are GUI-based. They contain graphical-user interface (GUI) components that allow the user to interact with the software by using both the keyboard and the mouse. They have graphical components such as icons, menu bars, and windows that make the services easy to access. Some examples of GUI-based user agents are Eudora, Microsoft's Outlook, and Netscape.

3. *Sending Mail*

To send mail, the user, through the UA, creates mail that looks very similar to postal mail. It has an envelope and a message.



Envelope

The envelope usually contains the sender and the receiver addresses. **Message** The message contains the header and the body. The header of the message defines the sender, the receiver, the subject of the message, and some other information (such as encoding type, as we see shortly). The body of the message contains the actual information to be read by the recipient.

Receiving Mail

The user agent is triggered by the user (or a timer). If a user has mail, the UA informs the user with a notice. If the user is ready to read the mail. A list is displayed in which each line contains a summary of the information about a particular message in the mailbox. The summary usually includes the sender mail address, the subject, and the time the mail was sent or received. The user can select any of the messages and display its contents on the screen.

Addresses

To deliver mail, a mail handling system must use an addressing system with unique addresses. In the Internet, the address consists of two parts: a local part and a domain name, separated by an @ sign.



Local Part The local part defines the name of a special file, called the user mailbox, where all the mail received for a user is stored for retrieval by the message access agent.

Domain Name The second part of the address is the domain name. An organization usually selects one or more hosts to receive and send e-mail; the hosts are sometimes called *mail servers* or *exchangers*. The domain name assigned to each mail exchanger either comes from the DNS database or is a logical name (for example, the name of the organization).

4. *Mailing List*

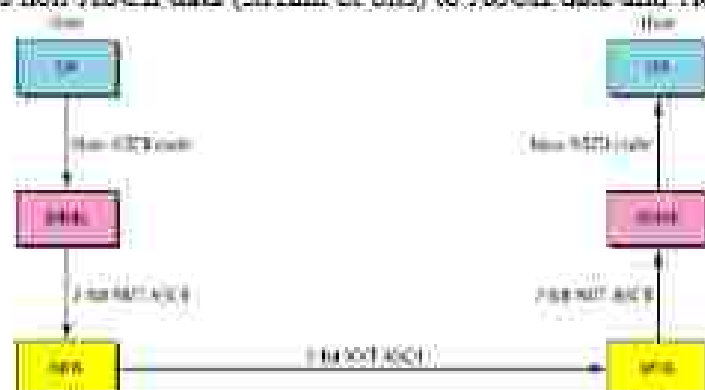
Electronic mail allows one name, an alias, to represent several different e-mail addresses; this is called a mailing list. Every time a message is to be sent, the system checks the recipient's name against the alias database; if there is a mailing list for the defined alias, separate messages, one for each entry

in the list, must be prepared and handed to the MTA. If there is no mailing list for the alias, the name itself is the receiving address and a single message is delivered to the mail transfer entity.

5.6.3 MIME

Electronic mail has a simple structure. Its simplicity, however, comes at a price. It can send messages only in NVT 7-bit ASCII format. In other words, it has some limitations. For example, it cannot be used for languages that are not supported by 7-bit ASCII characters (such as French, German, Hebrew, Russian, Chinese, and Japanese). Also, it cannot be used to send binary files or video or audio data.

Multipurpose Internet Mail Extensions (MIME) is a supplementary protocol that allows non-ASCII data to be sent through e-mail. MIME transforms non-ASCII data at the sender site to NVT ASCII data and delivers them to the client MTA to be sent through the Internet. The message at the receiving side is transformed back to the original data. We can think of MIME as a set of software functions that transforms non-ASCII data (stream of bits) to ASCII data and vice versa.



MIME defines five headers that can be added to the original e-mail header section to define the transformation parameters:

1. MIME-Version
2. Content-Type
3. Content-Transfer-Encoding
4. Content-Id
5. Content-Description



MIME-Version this header defines the version of MIME used. The current version is 1.1.

MIME-Version: 1.1

Content-Type This header defines the type of data used in the body of the message. The content type and the content subtype are separated by a slash. Depending on the subtype, the header may contain other parameters.

Content-Type: <type/ subtype, parameter>

MIME allows seven different types of data:

Type	Subtype	Description
Text	Plain	Unformatted
	HTML	HTML format (see Chapter 27)
Multipart	Mixed	Body consists of several parts of different data types
	Partial	Same as above, but in order
	Digest	Similar to mixed subtypes, but the default is message/rfc822
	Alternative	Parts are different versions of the same message
Message	RPCXXZ	Body is an encapsulated message
	Partial	Body is a fragment of a bigger message
	External-Body	Body is a reference to another message
Image	JPEG	Image is in JPEG format
	GIF	Image is in GIF format
Video	MPEG	Video is in MPEG format
Audio	MusC	Single channel encoding of voice in 8 KHz
Application	Postscript	Adobe Postscript
	Octet-stream	Generic binary data (8-bit bytes)

Content-Transfer-Encoding This header defines the method used to encode the messages into 0s and 1s for transport.

Content-Transfer-Encoding: <type>

Type	Description
7-bit	Non-ASCII characters and short lines
8-bit	Non-ASCII characters and short lines
Binary	Non-ASCII characters with unlimited length lines
Base-64	8-bit blocks of data encoded into 6-bit ASCII characters
Quoted-printable	Non-ASCII characters encoded as an equals sign followed by an ASCII code

Content-Id This header uniquely identifies the whole message in a multiple-message environment.

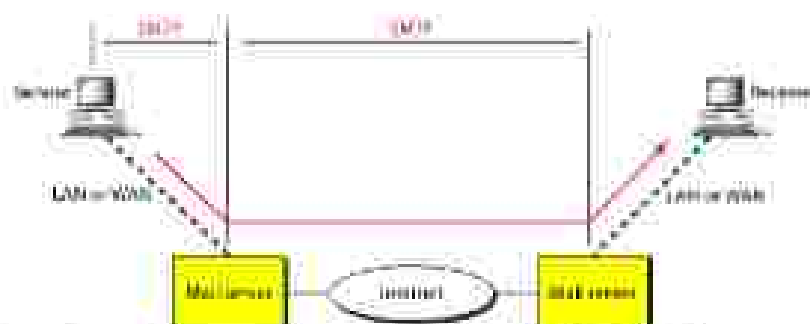
Content-Id: id =<content-id>

Content-Description This header defines whether the body is image, audio, or video.

Content-Description: <description>

5.6.4 Message Transfer Agent: SMTP

The actual mail transfer is done through message transfer agents. To send mail, a system must have the client MTA, and to receive mail, a system must have a server MTA. The formal protocol that defines the MTA client and server in the Internet is called the Simple Mail Transfer Protocol (SMTP). As we said before, two pairs of MTA client/server programs are used in the most common situation (fourth scenario).



SMTP is used two times, between the sender and the sender's mail server and between the two mail servers. As we will see shortly, another protocol is needed between the mail server and the receiver.

SMTP simply defines how commands and responses must be sent back and forth. Each network is free to choose a software package for implementation. We discuss the mechanism of mail transfer by SMTP in the remainder of the section.

Commands and Responses

SMTP uses commands and responses to transfer messages between an MTA client and an MTA server.



Commands are sent from the client to the server. It consists of a keyword followed by zero or more arguments. SMTP defines 14 commands. The first five are mandatory; every implementation must support these five commands. The next three are often used and highly recommended.

Keyword	Argument(s)
HELO	Sender's host name
MAIL FROM	Sender of the message
RCPT TO	Intended recipient of the message
DATA	Body of the mail
QUIT	
RSET	
VERB	Name of verb to be verified
NOOP	
TURN	
EXPN	Mailbox ID to be expanded
HELP	Command name
SEND FROM	Intended recipient of the message
EXCH FROM	Intended recipient of the message
MAIL FROM	Intended recipient of the message

Responses are sent from the server to the client. A response is a three digit code that may be followed by additional textual information.

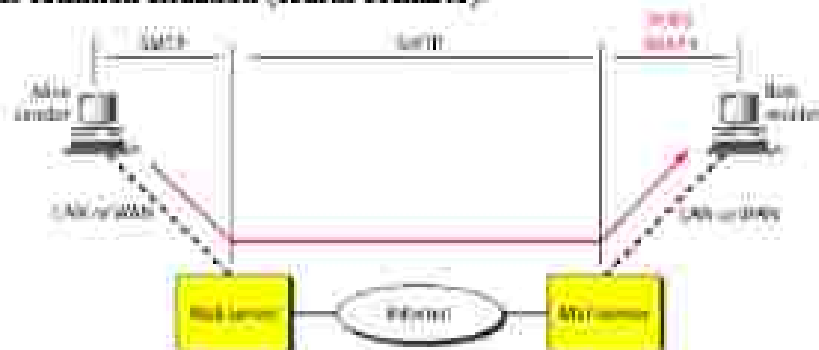
Code	Description
Positive Completion Reply	
211	System status (if help reply)
214	This message
220	Service ready
221	Service closing transmission channel
250	Request command completed
252	User not local, but message will be forwarded
Positive Information Reply	
354	Start mail input
Transient Negative Completion Reply	
421	Service not available
430	Mailbox not available
451	Command aborted: local error
452	Command aborted: insufficient storage

5.6.5 Message Access Agent: POP and IMAP

The first and the second stages of mail delivery use SMTP. However, SMTP is not involved in the third stage because SMTP is a *push* protocol; it pushes the message from the client to the server. In

other words, the direction of the bulk data (messages) is from the client to the server. On the other hand, the third stage needs a *pull* protocol; the client must pull messages from the server. The direction of the bulk data is from the server to the client. The third stage uses a message access agent.

Currently two message access protocols are available: Post Office Protocol, version 3 (POP3) and Internet Mail Access Protocol, version 4 (IMAP4). Below figure shows the position of these two protocols in the most common situation (fourth scenario).



POP3

Post Office Protocol, version 3 (POP3) is simple and limited in functionality. The client POP3 software is installed on the recipient computer; the server POP3 software is installed on the mail server.

Mail access starts with the client when the user needs to download e-mail from the mailbox on the mail server. The client opens a connection to the server on TCP port 110.

It then sends its user name and password to access the mailbox. The user can then list and retrieve the mail messages, one by one.

POP3 has two modes: the delete mode and the keep mode. In the delete mode, the mail is deleted from the mailbox after each retrieval. In the keep mode, the mail remains in the mailbox after retrieval. The delete mode is normally used when the user is working at her permanent computer and can save and organize the received mail after reading or replying. The keep mode is normally used when the user accesses her mail away from her primary computer (e.g., a laptop). The mail is read but kept in the system for later retrieval and organizing.

IMAP4

Another mail access protocol is **Internet Mail Access Protocol, version 4 (IMAP4)**. IMAP4 is similar to POP3, but it has more features; IMAP4 is more powerful and more complex.

POP3 is deficient in several ways. It does not allow the user to organize her mail on the server; the user cannot have different folders on the server. (Of course, the user can create folders on her own computer.) In addition, POP3 does not allow the user to partially check the contents of the mail before downloading.

IMAP4 provides the following extra functions:

- A user can check the e-mail header prior to downloading.
- A user can search the contents of the e-mail for a specific string of characters prior to downloading.
- A user can partially download e-mail. This is especially useful if bandwidth is limited and the e-mail contains multimedia with high bandwidth requirements.
- A user can create, delete, or rename mailboxes on the mail server.
- A user can create a hierarchy of mailboxes in a folder for e-mail storage.

5.6.6 Web-Based Mail

E-mail is such a common application that some websites today provide this service to anyone who accesses the site. Two common sites are Hotmail and Yahoo. The idea is very simple. Mail transfer from Alice's browser to her mail server is done through HTTP. The transfer of the message from the sending mail server to the receiving mail server is still through SMTP. Finally, the message from the receiving server (the Web server) to Bob's browser is done through HTTP.

The last phase is very interesting. Instead of POP3 or IMAP4, HTTP is normally used. When Bob needs to retrieve his e-mails, he sends a message to the website (Hotmail, for example). The website sends a form to be filled in by Bob, which includes the log-in name and the password. If the log-in

name and password match, the e-mail is transferred from the Web server to Bob's browser in HTML format.

5.7 TELNET

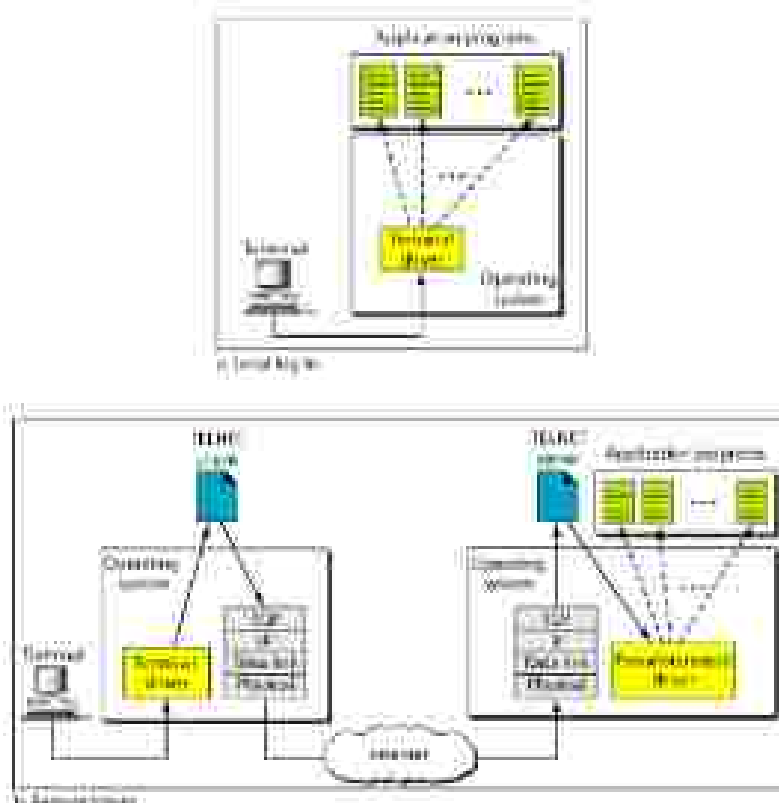
TELNET is an abbreviation for *TErminAL NETwork*. It is the standard TCP/IP protocol for virtual terminal service as proposed by the International Organization for Standards (ISO). TELNET enables the establishment of a connection to a remote system in such a way that the local terminal appears to be a terminal at the remote system.

Timesharing Environment

TELNET was designed at a time when most operating systems, such as UNIX, were operating in a timesharing environment. In such an environment, a large computer supports multiple users. The interaction between a user and the computer occurs through a terminal, which is usually a combination of keyboard, monitor, and mouse. Even a microcomputer can simulate a terminal with a terminal emulator.

Logging

In a timesharing environment, users are part of the system with some right to access resources. Each authorized user has an identification and probably, a password. The user identification defines the user as part of the system. To access the system, the user logs into the system with a user id or log-in name. The system also includes password checking to prevent an unauthorized user from accessing the resources.



When a user logs into a local timesharing system, it is called local log-in. As a user types at a terminal or at a workstation running a terminal emulator, the keystrokes are accepted by the terminal driver. The terminal driver passes the characters to the operating system. The operating system, in turn, interprets the combination of characters and invokes the desired application program or utility.

When a user wants to access an application program or utility located on a remote machine, she performs remote log-in. Here the TELNET client and server programs come into use. The user sends the keystrokes to the terminal driver, where the local operating system accepts the characters but does not interpret them. The characters are sent to the TELNET client, which transforms the characters to a universal character set called *network virtual terminal (NVT) characters* and delivers them to the local TCP/IP protocol stack.

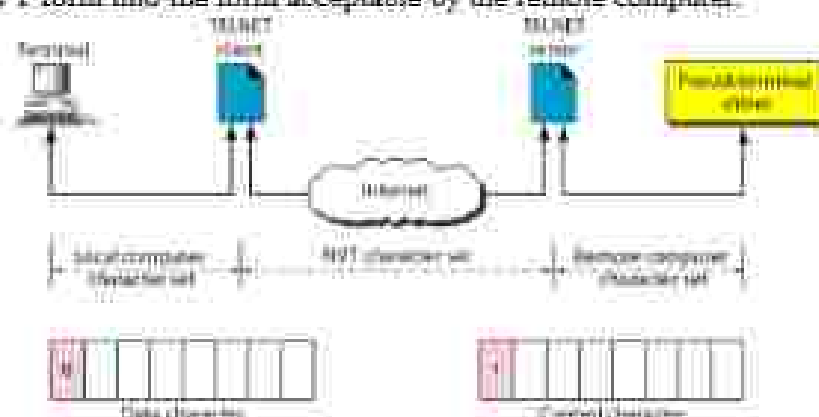
The commands or text, in NVT form, travel through the Internet and arrive at the TCP/IP stack at the remote machine. Here the characters are delivered to the operating system and passed to the TELNET server, which changes the characters to the corresponding characters understandable by the

remote computer. However, the characters cannot be passed directly to the operating system because the remote operating system is not designed to receive characters from a TELNET server. It is designed to receive characters from a terminal driver.

Network Virtual Terminal

The mechanism to access a remote computer is complex. This is so because every computer and its operating system accept a special combination of characters as tokens. For example, the end-of-file token in a computer running the DOS operating system is Ctrl-z, while the UNIX operating system recognizes Ctrl+d.

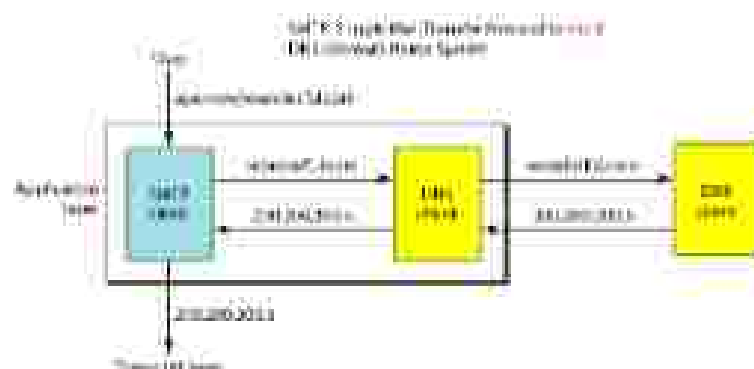
We are dealing with heterogeneous systems. If we want to access any remote computer in the world, we must first know what type of computer we will be connected to, and we must also install the specific terminal emulator used by that computer. TELNET solves this problem by defining a universal interface called the network virtual terminal (NVT) character set. Via this interface, the client TELNET translates characters (data or commands) that come from the local terminal into NVT form and delivers them to the network. The server TELNET, on the other hand, translates data and commands from NVT form into the form acceptable by the remote computer.



5.2 Domain Name System

DNS stands for Domain Name System. DNS is a directory service that provides a mapping between the name of a host on the network and its numerical address.

Below figure shows an example of how a DNS client/server program can support an e-mail program to find the IP address of an e-mail recipient. A user of an e-mail program may know the e-mail address of the recipient; however, the IP protocol needs the IP address. The DNS client program sends a request to a DNS server to map the e-mail address to the corresponding IP address.



5.2.1 NAME SPACE

A name space that maps each address to a unique name can be organized in two ways: flat or hierarchical.

1. Flat Name Space

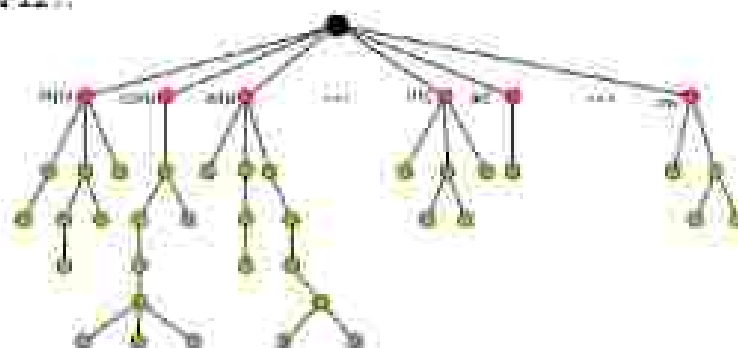
In a flat name space, a name is assigned to an address. A name in this space is a sequence of characters without structure. The names may or may not have a common section; if they do, it has no meaning. The main disadvantage of a flat name space is that it cannot be used in a large system such as the Internet because it must be centrally controlled to avoid ambiguity and duplication.

2. Hierarchical Name Space

In a hierarchical name space, each name is made of several parts. The first part can define the nature of the organization, the second part can define the name of an organization, and the third part can define departments in the organization, and so on. In this case, the authority to assign and control the name spaces can be decentralized. A central authority can assign the part of the name that defines the nature of the organization and the name of the organization. The responsibility of the rest of the name can be given to the organization itself. The organization can add suffixes (or prefixes) to the name to define its host or resources.

5.8.2 DOMAIN NAME SPACE

To have a hierarchical name space, a domain name space was designed. In this design the names are defined in an inverted-tree structure with the root at the top. The tree can have only 128 levels: level 0 (root) to level 127.

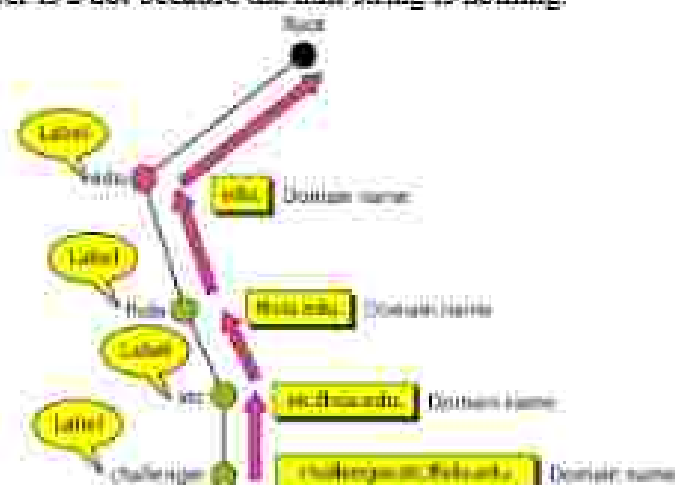


Label

Each node in the tree has a label, which is a string with a maximum of 63 characters. The root label is a null string (empty string). DNS requires that children of a node (nodes that branch from the same node) have different labels, which guarantees the uniqueness of the domain names.

Domain Name

Each node in the tree has a domain name. A full domain name is a sequence of labels separated by dots (.). The domain names are always read from the node up to the root. The last label is the label of the root (null). This means that a full domain name always ends in a null label, which means the last character is a dot because the null string is nothing.



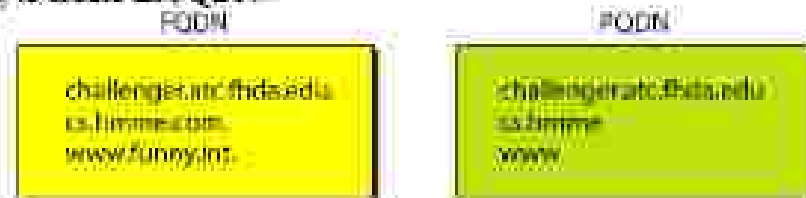
Fully Qualified Domain Name

If a label is terminated by a null string, it is called a fully qualified domain name (FQDN). An FQDN is a domain name that contains the full name of a host. It contains all labels, from the most specific to the most general, that uniquely define the name of the host.

Partially Qualified Domain Name

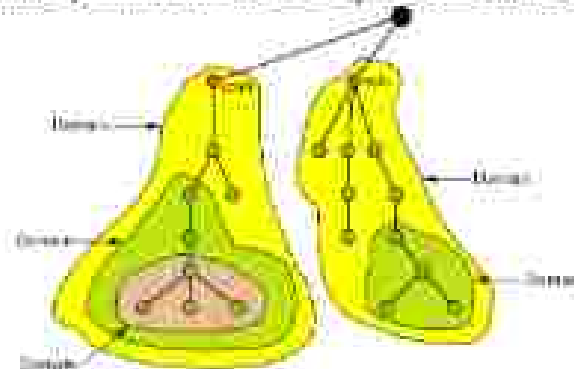
If a label is not terminated by a null string, it is called a partially qualified domain name (PQDN). A PQDN starts from a node, but it does not reach the root. It is used when the name to

be resolved belongs to the same site as the client. Here the resolver can supply the missing part, called the suffix, to create an FQDN.



Domain

A **domain** is a subtree of the domain name space. The name of the domain is the domain name of the node at the top of the subtree. Figure 25.5 shows some domains. Note that a domain may itself be divided into domains (or subdomains as they are sometimes called).

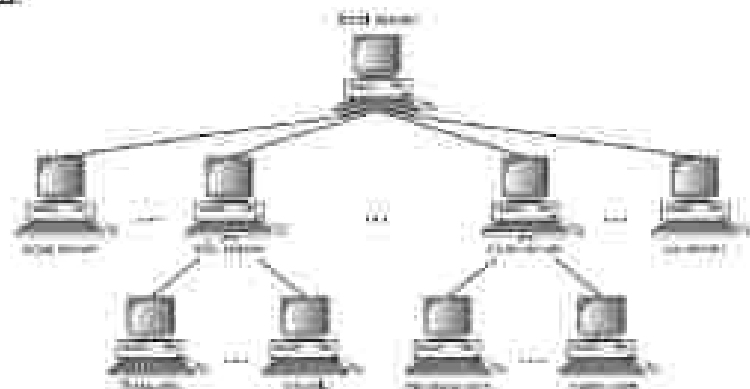


5.5.3 DISTRIBUTION OF NAME SPACE

The information contained in the domain name space must be stored. However, it is very inefficient and also unreliable to have just one computer store such a huge amount of information. It is inefficient because responding to requests from all over the world places a heavy load on the system. It is not reliable because any failure makes the data inaccessible.

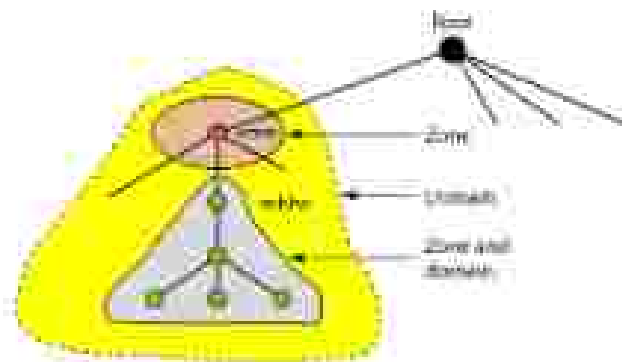
Hierarchy of Name Servers

The solution to these problems is to distribute the information among many computers called DNS servers. One way to do this is to divide the whole space into many domains based on the first level. In other words, we let the root stand alone and create as many domains (subtrees) as there are first-level nodes. Because a domain created in this way could be very large, DNS allows domains to be divided further into smaller domains (subdomains). Each server can be responsible (authoritative) for either a large or a small domain.



Zone

Since the complete domain name hierarchy cannot be stored on a single server, it is divided among many servers. What a server is responsible for or has authority over is called a **zone**. We can define a zone as a contiguous part of the entire tree. If a server accepts responsibility for a domain and does not divide the domain into smaller domains, the *domain* and the *zone* refer to the same thing. The server makes a database called a *zone file* and keeps all the information for every node under that domain.



Root Server

A root server is a server whose zone consists of the whole tree. A root server usually does not store any information about domains but delegates its authority to other servers, keeping references to those servers.

Primary and Secondary Servers

DNS defines two types of servers: primary and secondary.

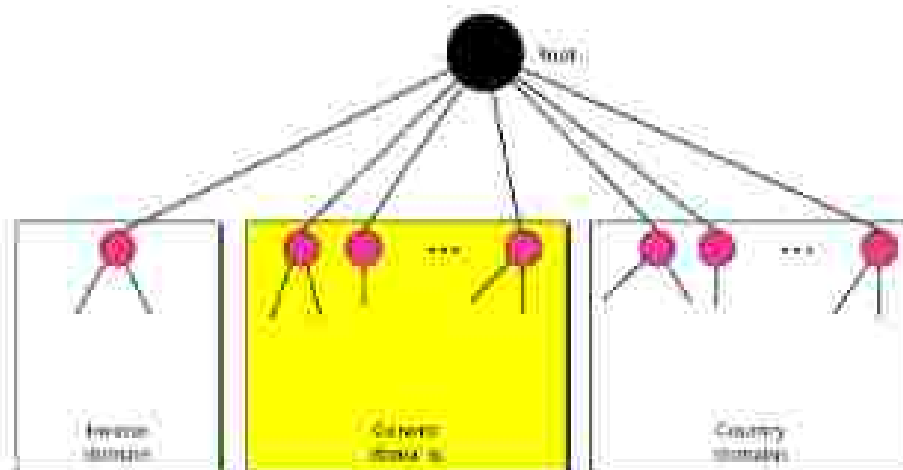
A primary server is a server that stores a file about the zone for which it is an authority. It is responsible for creating, maintaining, and updating the zone file. It stores the zone file on a local disk.

A secondary server is a server that transfers the complete information about a zone from another server (primary or secondary) and stores the file on its local disk. The secondary server neither creates nor updates the zone files. If updating is required, it must be done by the primary server, which sends the updated version to the secondary.

The primary and secondary servers are both authoritative for the zones they serve. The idea is not to put the secondary server at a lower level of authority but to create redundancy for the data so that if one server fails, the other can continue serving clients.

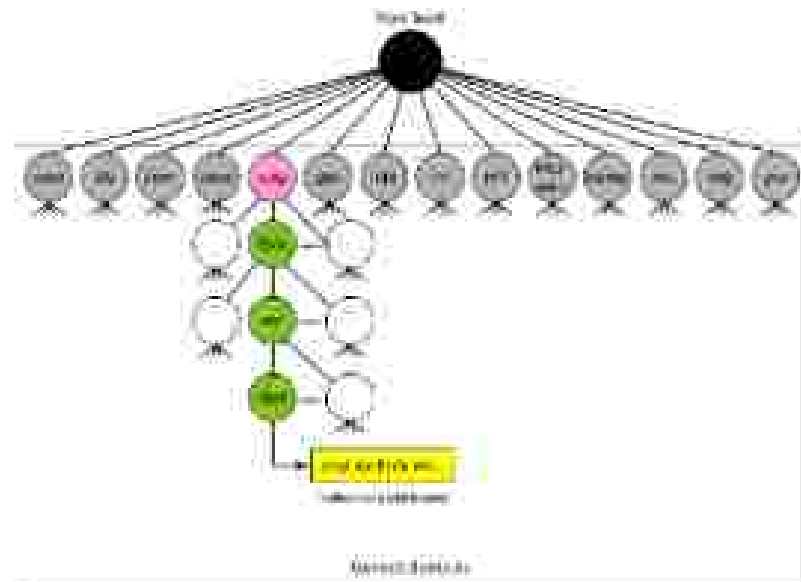
5.8.4 DNS IN THE INTERNET

DNS is a protocol that can be used in different platforms. In the Internet, the domain name space (tree) is divided into three different sections: generic domains, country domains, and the inverse domain.



Generic Domains

The **generic domains** define registered hosts according to their generic behavior. Each node in the tree defines a domain, which is an index to the domain name space database.



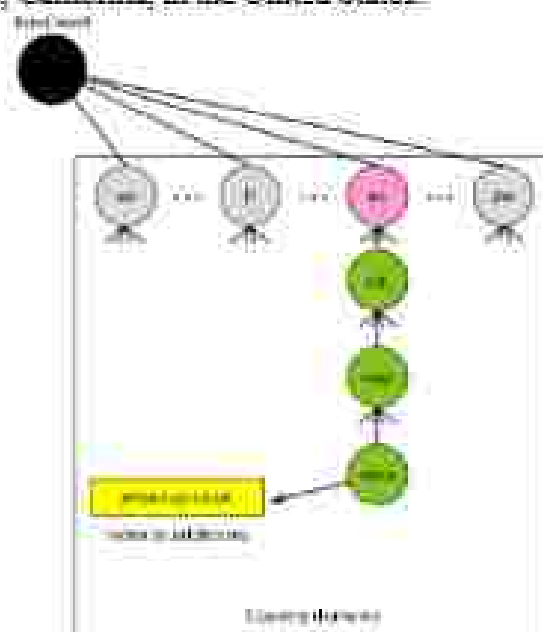
Looking at the tree, we see that the first level in the generic domains section allows 14 possible labels. These labels describe the organization types as listed in Table

Label	Description
ac	Academic and associated companies
edu	Educational institutions (e.g., schools)
com	Commercial organizations
coop	Cooperative business organizations
edu	Educational institutions
gov	Government institutions
info	Information services (e.g., libraries)
int	International organizations
mil	Military groups
museum	Museum and other nonprofit organizations
name	Personal names (e.g., first/last)
net	Network support centers
org	Nonprofit organizations
pro	Professional individual organizations

Country Domains

The country domain section uses two-character country abbreviations (e.g., us for United States). Second labels can be organizational, or they can be more specific, national designations. The United States, for example, uses state abbreviations as a subdivision of us (e.g., ca.us).

Below Figure shows the country domains section. The address *dmz.edu.ca.us* can be translated to De Anza College in Cupertino, California, in the United States.

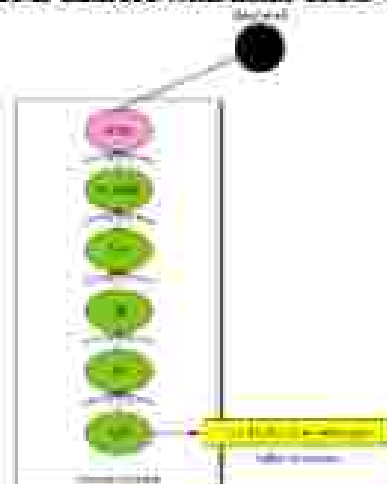


Inverse Domain

The inverse domain is used to map an address to a name. The server asks its resolver to send a query to the DNS server to map an address to a name to determine if the client is on the authorized list.

This type of query is called an *inverse* or *pointer (PTR)* query. To handle a pointer query, the inverse domain is added to the domain name space with the first-level node called *arpa* (for historical reasons). The second level is also one single node named *in-addr* (for inverse address). The rest of the domain defines IP addresses.

The servers that handle the inverse domain are also hierarchical. This means the netid part of the address should be at a higher level than the subnetid part, and the subnetid part higher than the hostid part. In this way, a server serving the whole site is at a higher level than the servers serving each subnet. This configuration makes the domain look inverted when compared to a generic or country domain. To follow the convention of reading the domain labels from the bottom to the top, an IP address such as 132.34.45.121 (a class B address with netid 132.34) is read as 121.45.34.132 in addr.



5.8.5 RESOLUTION

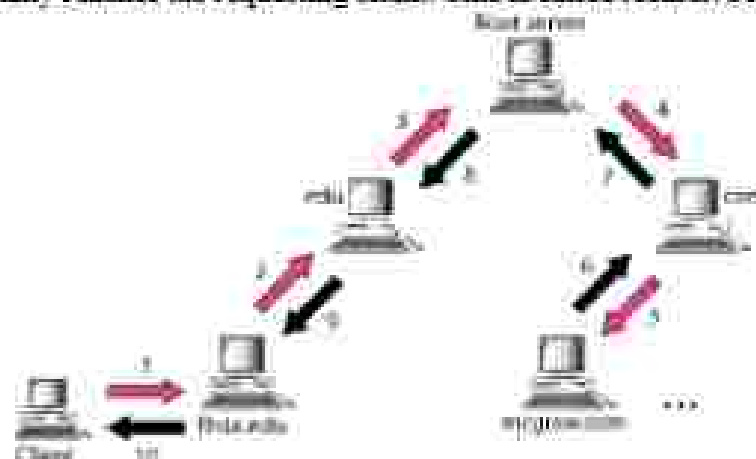
Mapping a name to an address or an address to a name is called *name-address resolution*.

Resolver

DNS is designed as a client server application. A host that needs to map an address to a name or a name to an address calls a DNS client called a resolver. The resolver accesses the closest DNS server with a mapping request. If the server has the information, it satisfies the resolver, otherwise, it either refers the resolver to other servers or asks other servers to provide the information.

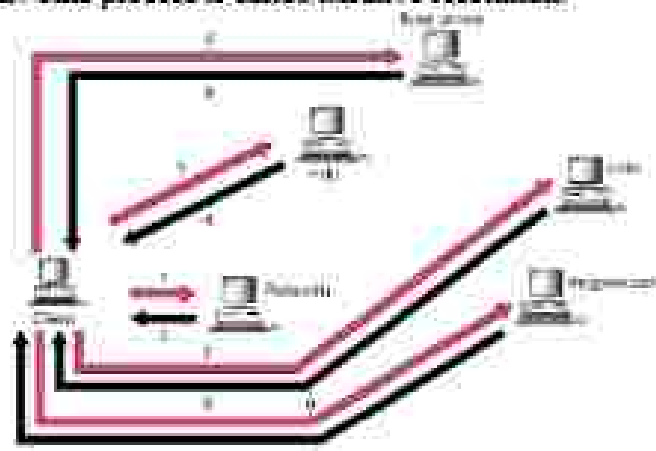
Recursive Resolution

The client (resolver) can ask for a recursive answer from a name server. This means that the resolver expects the server to supply the final answer. If the server is the authority for the domain name, it checks its database and responds. If the server is not the authority, it sends the request to another server (the parent usually) and waits for the response. If the parent is the authority, it responds; otherwise, it sends the query to yet another server. When the query is finally resolved, the response travels back until it finally reaches the requesting client. This is called recursive resolution.



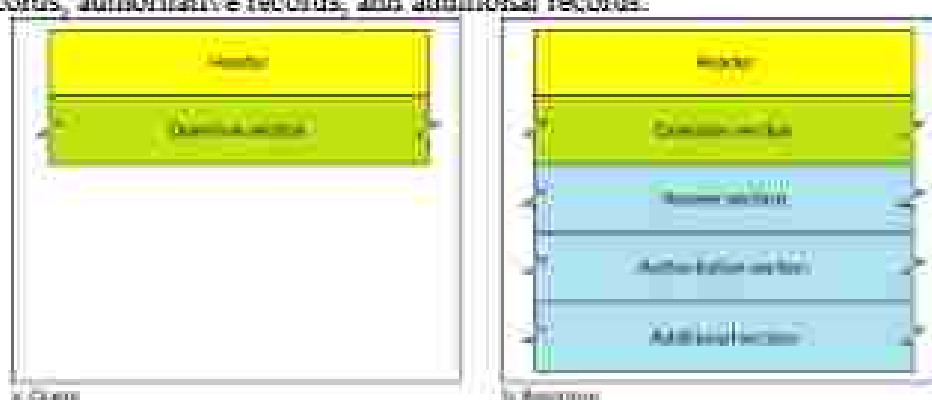
Iterative Resolution

If the client does not ask for a recursive answer, the mapping can be done iteratively. If the server is an authority for the name, it sends the answer. If it is not, it returns (to the client) the IP address of the server that it thinks can resolve the query. The client is responsible for repeating the query to this second server. If the newly addressed server can resolve the problem, it answers the query with the IP address; otherwise, it returns the IP address of a new server to the client. Now the client must repeat the query to the third server. This process is called iterative resolution.



5.8.6 DNS MESSAGES

DNS has two types of messages: query and response. Both types have the same format. The query message consists of a header and question records; the response message consists of a header, question records, answer records, authoritative records, and additional records.



Header

Both query and response messages have the same header format with some fields set to zero for the query messages. The header is 12 bytes, and its format is shown in below Figure.

Identification	Flags
Number of question records (set to 0 in query message)	Number of answer records (set to 0 in query message)
Number of authoritative records (set to 0 in query message)	Number of additional records (set to 0 in query message)

The *identification* subfield is used by the client to match the response with the query. The client uses a different identification number each time it sends a query. The server duplicates this number in the corresponding response. The *flags* subfield is a collection of subfields that define the type of the message, the type of answer requested, the type of desired resolution (recursive or iterative), and so on. The *number of question records* subfield contains the number of queries in the question section of the message. The *number of answer records* subfield contains the number of answer records in the answer section of the response message. Its value is zero in the query message. The *number of authoritative records* subfield contains the number of authoritative records in the authoritative section of a response message. Its value is zero in the query message. Finally, the *number of additional records* subfield contains the number additional records in the additional section of a response message. Its value is zero in the query message.

Question Section

This is a section consisting of one or more question records. It is present on both query and response messages. We will discuss the question records in a following section.

Answer Section

This is a section consisting of one or more resource records. It is present only on response messages. This section includes the answer from the server to the client (resolver).

Authoritative Section

This is a section consisting of one or more resource records. It is present only on response messages. This section gives information (domain name) about one or more authoritative servers for the query.

Additional Information Section

This is a section consisting of one or more resource records. It is present only on response messages. This section provides additional information that may help the resolver.

5.8.7 TYPES OF RECORDS

Two types of records are used in DNS. The question records are used in the question section of the query and response messages. The resource records are used in the answer, authoritative, and additional information sections of the response message.

Question Record

A question record is used by the client to get information from a server. This contains the domain name.

Resource Record

Each domain name (each node on the tree) is associated with a record called the resource record. The server database consists of resource records. Resource records are also what is returned by the server to the client.

5.8.8 REGISTRARS

How are new domains added to DNS? This is done through a registrar, a commercial entity accredited by ICANN. A registrar first verifies that the requested domain name is unique and then enters it into the DNS database. A fee is charged. Today, there are many registrars; their names and addresses can be found at

<http://www.internic.net>

To register, the organization needs to give the name of its server and the IP address of the server. For example, a new commercial organization named *wonderful* with a server named *wt* and IP address 200.200.200.5 needs to give the following information to one of the registrars:

Domain name: *WS.wonderful.com*

IP address: 200.200.200.5