

# 1 Devices Profile for Web Services

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41 **Abstract**

42 This profile defines a minimal set of implementation constraints to enable secure  
43 Web service messaging, discovery, description, and eventing on resource-  
44 constrained endpoints.

45 **Status**

46 This is a public consultation draft release of this specification for community  
47 evaluation and review. We welcome feedback on this specification through the WS-\*  
48 Workshop process.

49 **Table of Contents**

50 **1. Introduction**

51     1.1 Requirements

52 **2. Terminology and Notation**

53     2.1 Terminology

54     2.2 XML Namespaces

55     2.3 Notational Conventions

56     2.4 Compliance

57 **3. Messaging**

58     3.1 URI

59     3.2 UDP

60     3.3 HTTP

61     3.4 SOAP Envelope

62     3.5 WS-Addressing

63     3.6 Attachments

64 **4. Discovery**

65 **5. Description**

66     5.1 Characteristics

67     5.2 Hosting

68     5.3 WSDL

69     5.4 WS-Policy

70 **6. Eventing**

71     6.1 Subscription

72     6.2 Subscription Duration and Renewal

73 **7. Security**

74     7.1 Secure Communication

75     7.2 Security Policy Assertions

76   **8. Acknowledgements**  
77   **9. References**  
78   **10. Informative References**  
79   **Appendix I – Constants**  
80   **Appendix II – XML Schema**  
81

82   **1. Introduction**

83   The Web services architecture includes a suite of specifications that define rich  
84   functions and that may be composed to meet varied service requirements. To  
85   promote both interoperability between resource-constrained Web service  
86   implementations and interoperability with more flexible client implementations, this  
87   profile identifies a core set of Web service specifications in the following areas:

- 88   • Sending secure messages to and from a Web service  
89   • Dynamically discovering a Web service  
90   • Describing a Web service  
91   • Subscribing to, and receiving events from, a Web service

92   In each of these areas of scope, this profile defines minimal implementation  
93   requirements for compliant Web service implementations.

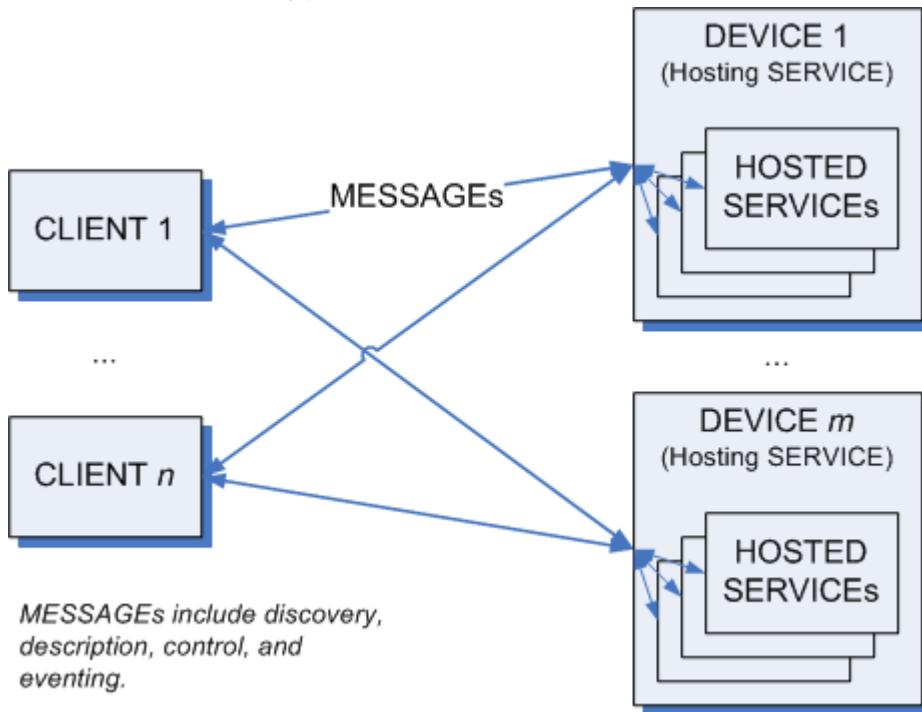
94   **1.1 Requirements**

95   This profile intends to meet the following requirements:

- 96   • Identify a minimal set of Web service specifications needed to enable secure  
97    messaging, dynamic discovery, description, and eventing.  
98   • Constrain Web services protocols and formats so Web services can be  
99    implemented on peripheral-class and consumer electronics-class hardware.  
100   • Define minimum requirements for compliance without constraining richer  
101    implementations.

102 **2. Terminology and Notation**

103 **2.1 Terminology**



105 **MESSAGE**

106 Protocol elements that are exchanged, usually over a network, to affect a Web  
107 service. Always includes a SOAP ENVELOPE. Typically also includes transport  
108 framing information such as HTTP headers, TCP headers, and IP headers.

109 **SOAP ENVELOPE**

110 An XML Infoset that consists of a document information item [[XML Infoset](#)] with  
111 exactly one member in its [**children**] property, which MUST be the SOAP  
112 Envelope [[SOAP 1.2](#)] element information item.

113 **MIME SOAP ENVELOPE**

114 A SOAP ENVELOPE serialized using MIME Multipart Serialization [[MTOM](#)].

115 **TEXT SOAP ENVELOPE**

116 A SOAP ENVELOPE serialized as application/soap+xml.

117 **CLIENT**

118 A network endpoint that sends MESSAGES to and/or receives MESSAGES from a  
119 SERVICE.

120 **SERVICE**

121 A network endpoint that receives and/or sends MESSAGES to provide a service.

122 **DEVICE**

123 A distinguished type of SERVICE that hosts other SERVICES and sends and/or  
124 receives one or more specific types of MESSAGES.

125 **HOSTED SERVICE**

126 A distinguished type of SERVICE that is hosted by another SERVICE. The lifetime  
127 of the HOSTED SERVICE is a subset of the lifetime of its host. The HOSTED

128       SERVICE is visible (not encapsulated) and is addressed separately from its host.  
129       Each HOSTED SERVICE has exactly one host. (The relationship is not transitive.)  
130      SENDER  
131       A CLIENT or SERVICE that sends a MESSAGE.  
132      RECEIVER  
133       A CLIENT or SERVICE that receives a MESSAGE.

## 2.2 XML Namespaces

The XML namespace URI that MUST be used by implementations of this specification is:

<http://schemas.xmlsoap.org/ws/2006/02/devprof>

Table 1 lists XML namespaces that are used in this specification. The choice of any namespace prefix is arbitrary and not semantically significant.

**Table 1: Prefixes and XML namespaces used in this specification.**

Prefix	XML Namespace	Specification(s)
soap	<a href="http://www.w3.org/2003/05/soap-envelope">http://www.w3.org/2003/05/soap-envelope</a>	[SOAP 1.2]
wsa	<a href="http://schemas.xmlsoap.org/ws/2004/08/addressing">http://schemas.xmlsoap.org/ws/2004/08/addressing</a>	[WS-Addressing]
wsd	<a href="http://schemas.xmlsoap.org/ws/2005/04/discovery">http://schemas.xmlsoap.org/ws/2005/04/discovery</a>	[WS-Discovery]
wsdp	<a href="http://schemas.xmlsoap.org/ws/2006/02/devprof">http://schemas.xmlsoap.org/ws/2006/02/devprof</a>	This profile
wsdl	<a href="http://schemas.xmlsoap.org/wsdl/">http://schemas.xmlsoap.org/wsdl/</a>	[WSDL 1.1]
wse	<a href="http://schemas.xmlsoap.org/ws/2004/08/eventing">http://schemas.xmlsoap.org/ws/2004/08/eventing</a>	[WS-Eventing]
wsoap	<a href="http://schemas.xmlsoap.org/wsdl/soap12/">http://schemas.xmlsoap.org/wsdl/soap12/</a>	[WSDL Binding for SOAP 1.2]
wsp	<a href="http://schemas.xmlsoap.org/ws/2004/09/policy">http://schemas.xmlsoap.org/ws/2004/09/policy</a>	[WS-Policy, WS-PolicyAttachment]
wsu	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd</a>	[WS-Security 2004]
wsx	<a href="http://schemas.xmlsoap.org/ws/2004/09/mex">http://schemas.xmlsoap.org/ws/2004/09/mex</a>	[WS-MetadataExchange]
xs	<a href="http://www.w3.org/2001/XMLSchema">http://www.w3.org/2001/XMLSchema</a>	[XML Schema Part 1, Part 2]

## 2.3 Notational Conventions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [[RFC 2119](#)].

This specification uses the following syntax to define normative outlines for messages:

- The syntax appears as an XML instance, but values in italics indicate data types instead of literal values.
- Characters are appended to elements and attributes to indicate cardinality:

- 150       • "?" (0 or 1)  
151       • "\*" (0 or more)  
152       • "+" (1 or more)  
153     • The character "|" is used to indicate a choice between alternatives.  
154     • The characters "(" and ")" are used to indicate that contained items are to be  
155       treated as a group with respect to cardinality or choice.  
156     • The characters "[" and "]" are used to call out references and property names.  
157     • Ellipses (i.e., "...") indicate points of extensibility. Additional children and/or  
158       attributes MAY be added at the indicated extension points but MUST NOT  
159       contradict the semantics of the parent and/or owner, respectively. By default, if a  
160       receiver does not recognize an extension, the receiver SHOULD ignore the  
161       extension; exceptions to this processing rule, if any, are clearly indicated below.  
162     • XML namespace prefixes (see Table 1) are used to indicate the namespace of the  
163       element being defined.

164 This specification uses the **[action]** and Fault properties [[WS-Addressing](#)] to define  
165 faults.

166 Normative statements in this profile are called out explicitly as follows:

167 *Rnnn: Normative statement text goes here.*

168 where "nnnn" is replaced by the statement number. Each statement contains exactly  
169 one requirement level keyword (e.g., "MUST") and one conformance target keyword  
170 (e.g., "MESSAGE").

## 171 **2.4 Compliance**

172 An endpoint MAY implement more than one of the roles defined herein. An endpoint  
173 is not compliant with this specification if it fails to satisfy one or more of the MUST or  
174 REQUIRED level requirements defined herein for the roles it implements.

175 Normative text within this specification takes precedence over normative outlines,  
176 which in turn take precedence over the XML Schema [[XML Schema Part 1](#), [Part 2](#)]  
177 descriptions, which in turn take precedence over examples.

## 178 **3. Messaging**

179 The scope of this section is the following set of Web services specifications. All of the  
180 requirements in these specifications are included by reference except where  
181 superseded by normative statements herein:

- 182     • [[SOAP 1.2, Part 1](#)]
- 183     • [[SOAP 1.2 Part 2, Section 7](#)]
- 184     • [[SOAP-over-UDP](#)]
- 185     • [[HTTP/1.1](#)]
- 186     • [[WS-Addressing](#)]
- 187     • [[RFC 4122](#)]
- 188     • [[MTOM](#)]

189 It is assumed that a DEVICE has obtained valid IPv4 and/or IPv6 addresses that do  
190 not conflict with other addresses on the network. Mechanisms for obtaining IP

191     addresses are out of the scope of this profile. For more information, see [[DHCP](#)] and  
192     [[IPv6 Autoconfig](#)].

### 193     **3.1 URI**

194     *R0025: A SERVICE MAY fail to process any URI with more than MAX\_URI\_SIZE  
195       octets.*

196     *R0027: A SERVICE SHOULD NOT generate a URI with more than MAX\_URI\_SIZE  
197       octets.*

198     The constant MAX\_URI\_SIZE is defined in Appendix I – Constants.

### 199     **3.2 UDP**

200     *R0029: A SERVICE SHOULD NOT send a SOAP ENVELOPE that has more octets than  
201       the MTU over UDP.*

202     To improve reliability, a SERVICE should minimize the size of SOAP ENVELOPES sent  
203     over UDP. However, some SOAP ENVELOPES may be larger than an MTU; for  
204     example, a signed Hello SOAP ENVELOPE. If a SOAP ENVELOPE is larger than an  
205     MTU, the underlying IP network stacks may fragment and reassemble the UDP  
206     packet.

### 207     **3.3 HTTP**

208     *R0001: A SERVICE MUST support transfer-coding = "chunked".*

209     *R0012: A SERVICE MUST at least support the SOAP HTTP Binding.*

210     *R0013: A SERVICE MUST at least implement the Responding SOAP Node of the SOAP  
211       Request-Response Message Exchange Pattern  
212       (<http://www.w3.org/2003/05/soap/mep/request-response/>).*

213     *R0014: A SERVICE MAY choose not to implement the Responding SOAP Node of the  
214       SOAP Response Message Exchange Pattern  
215       (<http://www.w3.org/2003/05/soap/mep/soap-response/>).*

216     *R0015: A SERVICE MAY choose not to support the SOAP Web Method Feature.*

217     R0014 and R0015 relax requirements in [[SOAP 1.2, Part 2, Section 7](#)].

218     *R0030: A SERVICE MUST at least implement the Responding SOAP Node of an HTTP  
219       one-way Message Exchange Pattern where the SOAP ENVELOPE is carried in  
220       the HTTP Request and the HTTP Response has a Status Code of 202 Accepted  
221       and an empty Entity Body (no SOAP ENVELOPE).*

222     *R0017: A SERVICE MUST at least support Request Message SOAP ENVELOPES and  
223       one-way SOAP ENVELOPES that are delivered using HTTP POST.*

### 224     **3.4 SOAP Envelope**

225     *R0034: A SERVICE MUST at least receive and send SOAP 1.2 [[SOAP 1.2](#)] SOAP  
226       ENVELOPES.*

227     *R0003: A SERVICE MAY reject a TEXT SOAP ENVELOPE with more than  
228       MAX\_ENVELOPE\_SIZE octets.*

229     *R0026: A SERVICE SHOULD NOT send a TEXT SOAP ENVELOPE with more than  
230       MAX\_ENVELOPE\_SIZE octets.*

231 Large SOAP ENVELOPEs are expected to be serialized using attachments.

## 232 3.5 WS-Addressing

233 *R0004: A DEVICE SHOULD use a urn:uuid scheme URI as the [address] property of*  
234 *its Endpoint Reference.*

235 *R0005: A DEVICE MUST use a stable, globally unique identifier that is constant*  
236 *across network interfaces and IPv4/v6 addresses as the [address] property*  
237 *of its Endpoint Reference.*

238 *R0006: A DEVICE MUST persist the [address] property of its Endpoint Reference*  
239 *across re-initialization and changes in the metadata of the DEVICE and any*  
240 *SERVICEs it hosts.*

241 Because the **[address]** property of an Endpoint Reference [[WS-Addressing](#)] is a  
242 SOAP-layer address, there is no requirement to use anything other than a UUID for  
243 the **[address]** property.

244 *R0007: A DEVICE SHOULD NOT include any [reference property] properties in its*  
245 *Endpoint Reference.*

246 The combination of the **[address]** and **[reference property]** properties defines the  
247 identity of an Endpoint Reference. If the **[address]** property provides sufficient  
248 identity information, there is no requirement to use **[reference property]**  
249 properties to provide additional identity.

250 *R0042: A HOSTED SERVICE SHOULD use an HTTP transport address as the*  
251 *[address] property of its Endpoint Reference.*

252 Use of other possible values of **[address]** by a HOSTED SERVICE is out of scope of  
253 this profile.

254 *R0031: A SERVICE MUST generate a wsa:InvalidMessageInformationHeader SOAP*  
255 *Fault if the [address] of the [reply endpoint] of an HTTP Request Message*  
256 *SOAP ENVELOPE is not*  
257 *"http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous".*

258 *R0041: If an HTTP Request Message SOAP ENVELOPE generates a SOAP Fault, a*  
259 *SERVICE MAY discard the SOAP Fault if the [address] of the [fault*  
260 *endpoint] of the HTTP Request Message is not*  
261 *"http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous".*

262 The SOAP HTTP Binding requires the Response Message SOAP ENVELOPE to be  
263 transmitted as the HTTP Response of the corresponding Request Message SOAP  
264 ENVELOPE.

265 *R0019: A SERVICE MUST include a Message Information Header representing a*  
266 *[relationship] property of type wsa:Reply in each Response Message SOAP*  
267 *ENVELOPE the service generates.*

268 Per WS-Addressing [[WS-Addressing](#)], a response SOAP ENVELOPE must include a  
269 wsa:RelatesTo SOAP ENVELOPE header block. Since wsa:Reply is the default value  
270 for the **[relationship]** property, the RelationshipType attribute should be omitted  
271 from the wsa:RelatesTo SOAP ENVELOPE header block.

272     *R0040: A SERVICE MUST include a Message Information Header representing a*  
273     *[relationship] property of type wsa:Reply in each SOAP Fault SOAP*  
274     *ENVELOPE the service generates.*

## 275     **3.6 Attachments**

276     *R0022: If a SERVICE supports attachments, the SERVICE MUST support the HTTP*  
277     *Transmission Optimization Feature.*

278     The HTTP Transmission Optimization Feature implies support for the Optimized MIME  
279     Multipart Serialization and Abstract Transmission Optimization features.

280     *R0036: A SERVICE MAY reject a MIME SOAP ENVELOPE if the Content-Transfer-*  
281     *Encoding header field mechanism of any MIME part is not "binary".*

282     *R0037: A SERVICE MUST NOT send a MIME SOAP ENVELOPE unless the Content-*  
283     *Transfer-Encoding header field mechanism of every MIME part is "binary".*

284     Even for the SOAP Envelope, the "binary" Content-Transfer-Encoding mechanism is  
285     more appropriate than the "8bit" mechanism which is suitable only for data that may  
286     be represented as relatively short lines of at most 998 octets [[MIME](#)].

287     *R0038: A SERVICE MAY reject a MIME SOAP ENVELOPE if the root part is not the first*  
288     *body part in the Multipart/Related entity.*

289     *R0039: A SERVICE MUST NOT send a MIME SOAP ENVELOPE unless root part is the*  
290     *first body part in the Multipart/Related entity.*

291     Per MTOM, the root part of the MIME SOAP ENVELOPE contains an XML  
292     representation of the modified SOAP Envelope, with additional parts that contain  
293     binary representations of each attachment. This root part must be the first part so a  
294     RECEIVER does not have to buffer attachments.

## 295     **4. Discovery**

296     The scope of this section is the following set of Web services specifications. All of the  
297     requirements in these specifications are included by reference except where  
298     superseded by normative statements herein:

- 299     • [[WS-Discovery](#)]

300     If a CLIENT and a SERVICE are not on the same subnet, the CLIENT may not be able  
301     to discover the SERVICE. However, if a CLIENT has an Endpoint Reference and  
302     transport address for a SERVICE through some other means, the CLIENT and  
303     SERVICE should be able to communicate within the scope of this profile.

304     *R1013: A DEVICE MUST be a compliant Target Service.*

305     *R1001: A HOSTED SERVICE SHOULD NOT be a Target Service.*

306     If each SERVICE were to participate in WS-Discovery, the network traffic generated  
307     by a relatively small number of DEVICES hosting a relatively small number of  
308     HOSTED SERVICES could overwhelm a bandwidth-limited network. Therefore, only  
309     DEVICES act as Target Services.

310     *R1019: A DEVICE MUST at least support the*  
311     *"http://schemas.xmlsoap.org/ws/2005/04/discovery/rfc2396" and*  
312     *"http://schemas.xmlsoap.org/ws/2005/04/discovery/strcmp0" Scope*  
313     *matching rules.*

314 315	<p><i>R1020: If a DEVICE includes Types in a Hello, Probe Match, or Resolve Match SOAP ENVELOPE, it MUST include the wsdp:Device Type.</i></p>
316 317 318	<p>Including the wsdp:Device Type indicates a DEVICE supports the Devices Profile, including allowing the retrieving metadata about the DEVICE and any HOSTED SERVICES using Get [<a href="#">WS-Transfer</a>].</p>
319 320	<p><i>R1009: A DEVICE MUST at least support receiving Probe and Resolve SOAP ENVELOPES and sending Hello and Bye SOAP ENVELOPES over multicast UDP.</i></p>
321 322	<p><i>R1016: A DEVICE MUST at least support sending Probe Match and Resolve Match SOAP ENVELOPES over unicast UDP.</i></p>
323 324 325	<p><i>R1018: A DEVICE MAY ignore a multicast UDP Probe or Resolve SOAP ENVELOPE if the [address] of the [reply endpoint] is not "http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous".</i></p>
326 327 328 329	<p>WS-Discovery acknowledges that a CLIENT may include reply information in UDP Probe and Resolve SOAP ENVELOPES to specify a transport other than SOAP over UDP. However, to establish a baseline for interoperability, DEVICEs are required only to support UDP responses.</p>
330 331	<p><i>R1015: A DEVICE MUST support receiving a Probe SOAP ENVELOPE as an HTTP Request.</i></p>
332 333	<p><i>R1021: If a DEVICE matches a Probe SOAP ENVELOPE received as an HTTP Request, it MUST send a Probe Match SOAP ENVELOPE in the HTTP Response.</i></p>
334 335 336	<p><i>R1022: If a DEVICE does not match a Probe SOAP ENVELOPE received as an HTTP Request, it MUST send an HTTP Response with a Status Code of 202 Accepted and an empty Entity Body (no SOAP ENVELOPE).</i></p>
337 338 339 340	<p>To support the scenario where a DEVICE has a known HTTP address, a CLIENT may send a Probe over HTTP to that address and expect to receive either a Probe Match (if the Probe matches the DEVICE listening on that address) or an empty HTTP Response (otherwise).</p>
341	<h2>5. Description</h2>
342 343 344	<p>The scope of this section is the following set of Web services specifications. All of the requirements in these specifications are included by reference except where superseded by normative statements herein:</p>
345 346 347 348 349 350 351 352	<ul style="list-style-type: none"> <li>• [<a href="#">XML Schema Part 1</a>, <a href="#">Part 2</a>]</li> <li>• [<a href="#">WSDL 1.1</a>]</li> <li>• [<a href="#">BP 1.1, Section 4</a>]</li> <li>• [<a href="#">WSDL Binding for SOAP 1.2</a>]</li> <li>• [<a href="#">WS-MetadataExchange</a>]</li> <li>• [<a href="#">WS-Policy</a>]</li> <li>• [<a href="#">WS-PolicyAttachment</a>]</li> <li>• [<a href="#">WS-Transfer</a>]</li> </ul>
353 354 355 356	<p>In highly-constrained circumstances, a CLIENT will know all it needs to know about a DEVICE and its HOSTED SERVICES to correctly send and receive application-specific MESSAGES. However, in development scenarios, or when a CLIENT wishes to inspect a DEVICE and take advantage of extended or nonstandard capabilities, a CLIENT will</p>

357 need to retrieve the description (a.k.a. metadata) for a DEVICE and/or its HOSTED  
358 SERVICES.  
359 The description for a DEVICE is retrieved by sending a WS-Transfer Get SOAP  
360 ENVELOPE to the DEVICE. The description conveys generic DEVICE characteristics  
361 and may be extended to convey domain-specific SERVICE characteristics. Description  
362 also indicates which HOSTED SERVICES are hosted by a DEVICE; in many  
363 circumstances, a CLIENT will need to retrieve the description for one or more  
364 HOSTED SERVICES as well as for the DEVICE.  
365 Through WSDL, description also conveys the MESSAGES a HOSTED SERVICE is  
366 capable of receiving and sending. Through WS-Policy, description conveys the  
367 capabilities and requirements of a HOSTED SERVICE, particularly the transports over  
368 which it may be reached and its security capabilities.

369 *R2044: In a Get Response SOAP ENVELOPE, A DEVICE MUST include only a*  
370 *wsx:Metadata element in the SOAP ENVELOPE Body.*

371 All metadata from the device should be contained in the wsx:Metadata element in  
372 the Get Response.

373 *R2045: A DEVICE MAY generate a wsa:ActionNotSupported SOAP Fault in response*  
374 *to a Put, Delete, or Create SOAP ENVELOPE.*

375 A DEVICE is not required to support all of the operations defined in [[WS-Transfer](#)].

## 376 **5.1 Characteristics**

377 To express DEVICE characteristics that are typically fixed across all DEVICES of the  
378 same model by their manufacturer, this profile defines extensible ThisModel  
379 metadata as follows:

```
380 <wsdp:ThisModel ...>
381   <wsdp:Manufacturer xml:lang="..."?>xs:string</wsdp:Manufacturer>+
382   <wsdp:ManufacturerUrl>xs:anyURI</wsdp:ManufacturerUrl>?
383   <wsdp:ModelName xml:lang="..."?>xs:string</wsdp:ModelName>+
384   <wsdp:ModelNumber>xs:string</wsdp:ModelNumber>?
385   <wsdp:ModelUrl>xs:anyURI</wsdp:ModelUrl>?
386   <wsdp:PresentationUrl>xs:anyURI</wsdp:PresentationUrl>?
387   ...
388 </wsdp:ThisModel>
```

389 The following describes additional, normative constraints on the outline above:

390 wsdp:ThisModel/ wsdp:Manufacturer

391 Name of the manufacturer of the DEVICE. It MUST have fewer than  
392 MAX\_FIELD\_SIZE Unicode characters, SHOULD be localized, and SHOULD be  
393 repeated for each supported locale.

394 wsdp:ThisModel/ wsdp:ManufacturerUrl

395 URL to a Web site for the manufacturer of the DEVICE. It MUST have fewer than  
396 MAX\_URI\_SIZE octets.

397 wsdp:ThisModel/ wsdp:ModelName

398 User-friendly name for this model of device chosen by the manufacturer. It MUST  
399 have fewer than MAX\_FIELD\_SIZE Unicode characters, SHOULD be localized, and  
400 SHOULD be repeated for each supported locale.

401 wsdp:ThisModel/ wsdp:ModelNumber

402 Model number for this model of DEVICE. It MUST have fewer than  
403 MAX\_FIELD\_SIZE Unicode characters.  
404 wsdp:ThisModel/ wsdp:ModelUrl  
405 URL to a Web site for this model of DEVICE. It MUST have fewer than  
406 MAX\_URI\_SIZE octets.  
407 wsdp:ThisModel/ wsdp:PresentationUrl  
408 URL to an HTML page for this DEVICE. It MAY be relative to a base URL and MUST  
409 have fewer than MAX\_URI\_SIZE octets.

410 CORRECT:

411 <wsdp:ThisModel  
412     xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof" >  
413     <wsdp:Manufacturer>ACME Manufacturing</wsdp:Manufacturer>  
414     <wsdp:ModelName xml:lang="en-GB" >ColourBeam 9</wsdp:ModelName>  
415     <wsdp:ModelName xml:lang="en-US" >ColorBeam 9</wsdp:ModelName>  
416 </wsdp:ThisModel>  
417

418 A Dialect [[WS-MetadataExchange](#)] equal to  
419 "http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisModel" indicates an instance  
420 of the ThisModel metadata format.  
421 No Identifier [[WS-MetadataExchange](#)] is defined for instances of the ThisModel  
422 metadata format.

423 *R2038: A DEVICE MUST have one Metadata Section with Dialect equal to  
424        "http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisModel" for its  
425        ThisModel metadata.*

426 *R2012: In any Get Response SOAP ENVELOPE, a DEVICE MUST include the Metadata  
427        Section with Dialect equal to  
428        "http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisModel".*

429 Get [[WS-Transfer](#)] is the interoperable means for a CLIENT to retrieve the resource  
430 representation data for a DEVICE – which includes the ThisModel metadata for a  
431 DEVICE. A DEVICE may also provide other means for a CLIENT to retrieve its  
432 ThisModel metadata.

433 *R2001: If a DEVICE changes any of its ThisModel metadata, it MUST increment the  
434        Metadata Version exposed in Hello, Probe Match, and Resolve Match SOAP  
435        ENVELOPES as wsdp:MetadataVersion.*

436 Caching for the ThisModel metadata is controlled by the wsdp:MetadataVersion  
437 construct [[WS-Discovery](#)].

438 To express DEVICE characteristics that typically vary from one DEVICE to another of  
439 the same kind, this profile defines extensible ThisDevice metadata as follows:

440 <wsdp:ThisDevice ...>  
441     <wsdp:FriendlyName xml:lang="..."? >xs:string</wsdp:FriendlyName>+  
442     <wsdp:FirmwareVersion>xs:string</wsdp:FirmwareVersion>?  
443     <wsdp:SerialNumber>xs:string</wsdp:SerialNumber>?  
444     ...  
445 </wsdp:ThisDevice>

446 The following describes additional, normative constraints on the outline above:  
447 wsdp:ThisDevice/ wsdp:FriendlyName

448 User-friendly name for this DEVICE. It MUST have fewer than MAX\_FIELD\_SIZE  
449 Unicode characters, SHOULD be localized, and SHOULD be repeated for each  
450 supported locale.

451 wsdp:ThisDevice/ wsdp:FirmwareVersion  
452 Firmware version for this DEVICE. It MUST have fewer than MAX\_FIELD\_SIZE  
453 Unicode characters.

454 wsdp:ThisDevice/ wsdp:SerialNumber  
455 Manufacturer-assigned serial number for this DEVICE. It MUST have fewer than  
456 MAX\_FIELD\_SIZE Unicode characters.

457 CORRECT:

```
458 <wsdp:ThisDevice  
459   xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof" >  
460   <wsdp:FriendlyName xml:lang="en-GB" >  
461     ACME ColourBeam Printer  
462   </wsdp:FriendlyName>  
463   <wsdp:FriendlyName xml:lang="en-US" >  
464     ACME ColorBeam Printer  
465   </wsdp:FriendlyName>  
466 </wsdp:ThisDevice>  
467
```

468 A Dialect [[WS-MetadataExchange](#)] equal to  
469 "http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisDevice" indicates an instance  
470 of the ThisDevice metadata format.

471 No Identifier [[WS-MetadataExchange](#)] is defined for instances of the ThisDevice  
472 metadata format.

473 *R2039: A DEVICE MUST have a Metadata Section with Dialect equal to  
474 "http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisDevice" for its  
475 ThisDevice metadata.*

476 *R2014: In any Get Response SOAP ENVELOPE, a DEVICE MUST include the Metadata  
477 Section with Dialect equal to  
478 "http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisDevice".*

479 CORRECT:

```
480 <soap:Envelope  
481   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"  
482   xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof"  
483   xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"  
484   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing" >  
485   <soap:Header>  
486     <wsa:Action>  
487       http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse  
488     </wsa:Action>  
489     <wsa:RelatesTo>  
490       urn:uuid:82204a83-52f6-475c-9708-174fa27659ec  
491     </wsa:RelatesTo>  
492     <wsa:To>  
493       http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous  
494     </wsa:To>  
495   </soap:Header>  
496   <soap:Body>  
497     <wsx:Metadata>
```

```

498
499     <wsx:MetadataSection
500     Dialect="http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisModel"
501     >
502         <wsdp:ThisModel>
503             <wsdp:Manufacturer>ACME Manufacturing</wsdp:Manufacturer>
504             <wsdp:ModelName xml:lang="en-GB" >
505                 ColourBeam 9
506             </wsdp:ModelName>
507             <wsdp:ModelName xml:lang="en-US" >
508                 ColorBeam 9
509             </wsdp:ModelName>
510         </wsdp:ThisModel>
511     </wsx:MetadataSection>
512
513     <wsx:MetadataSection
514     Dialect="http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisDevice"
515     >
516         <wsdp:ThisDevice>
517             <wsdp:FriendlyName xml:lang="en-GB" >
518                 ACME ColourBeam Printer
519             </wsdp:FriendlyName>
520             <wsdp:FriendlyName xml:lang="en-US" >
521                 ACME ColorBeam Printer
522             </wsdp:FriendlyName>
523         </wsdp:ThisDevice>
524     </wsx:MetadataSection>
525
526     <!-- Other Metadata Sections omitted for brevity. -->
527
528     </wsx:Metadata>
529 </soap:Body>
530 </soap:Envelope>
531

```

532 Get [[WS-Transfer](#)] is the interoperable means for a CLIENT to retrieve the resource  
 533 representation data for a DEVICE – which includes the ThisDevice metadata for a  
 534 DEVICE. A DEVICE may also provide other means for a CLIENT to retrieve its  
 535 ThisDevice metadata.

536 *R2002: If a DEVICE changes any of its ThisDevice metadata, it MUST increment the  
 537 Metadata Version exposed in Hello, Probe Match, and Resolve Match SOAP  
 538 ENVELOPEs as wsdp:MetadataVersion.*

539 Caching for the ThisDevice metadata is controlled by the wsdp:MetadataVersion  
 540 construct [[WS-Discovery](#)].

## 5.2 Hosting

542 To express the relationship between a HOSTED SERVICE and its host, this profile  
 543 defines relationship metadata as follows:

```

544 <wsdp:Relationship Type="xs:anyURI" ... >
545     (<wsdp:Host>
546         <wsa:EndpointReference>endpoint-reference</wsa:EndpointReference>+
547         <wsdp:Types>list of xs:QName</wsdp:Types>?
548         <wsdp:ServiceId>xs:anyURI</wsdp:ServiceId>
549         ...

```

```
550    </wsdp:Host> )?
551    (<wsdp:Hosted>
552        <wsa:EndpointReference>endpoint-reference</wsa:EndpointReference>+
553        <wsdp:Types>list of xs:QName</wsdp:Types>?
554        <wsdp:ServiceId>xs:anyURI</wsdp:ServiceId>
555        ...
556    </wsdp:Hosted> )*
557    ...
558 </wsdp:Relationship>
```

559 The following describes additional, normative constraints on the outline above:

560 wsdp:Relationship

561 This is a general mechanism for defining a relationship between two or more  
562 SERVICES.

563 wsdp:Relationship/@Type

564 The type of the relationship. The nature of the relationship and the content of the  
565 wsdp: Relationship element are determined by this value. This value should be  
566 compared directly, as a case-sensitive string, with no attempt to make a relative  
567 URI into an absolute URI, to unescape, or to otherwise canonicalize it.

568 wsdp:Relationship/@Type =

569 "http://schemas.xmlsoap.org/ws/2006/02/devprof/host"

570 This is a specific, hosting relationship type to indicate the relationship between a  
571 HOSTED SERVICE and its host. This relationship type defines the following  
572 additional content:

573 wsdp:Relationship/wsdp:Host

574 Endpoint References for the host. If omitted, implied value is the Endpoint  
575 Reference of the SERVICE that returned this metadata in a Get Response SOAP  
576 ENVELOPE. At least one of ./wsdp:Host or ./wsdp:Hosted MUST be included.

577 wsdp:Relationship/wsdp:Host/wsdp:Types

578 Unordered set of Types implemented by the host. (See [[WS-Discovery](#)].) If  
579 omitted, no implied value.

580 The Types element is explicitly copied from the WS-Discovery XML namespace  
581 into this one to make the XML Schema deterministic. Reusing the wsdp:Types  
582 element from WS-Discovery would introduce non-determinism because there  
583 would be an optional element from another XML namespace (wsdp:Types),  
584 followed by an optional element (wsdp:ServiceId) and an optional wildcard for  
585 elements from other XML namespaces.

586 wsdp:Relationship/wsdp:Host/wsdp:ServiceId

587 Identifier for the host which MUST be persisted across re-initialization (see also  
588 [R0005](#) and [R0006](#)) and MUST NOT be shared across multiple Host elements. This  
589 value should be compared directly, as a case-sensitive string, with no attempt to  
590 make a relative URI into an absolute URI, to unescape, or to otherwise  
591 canonicalize it.

592 wsdp:Relationship/wsdp:Hosted

593 Endpoint References for a HOSTED SERVICE. If omitted, implied value is the  
594 Endpoint Reference of the SERVICE that returned this metadata in a Get  
595 Response SOAP ENVELOPE. At least one of ./wsdp:Host or ./wsdp:Hosted MUST  
596 be included.

597 For the hosting relationship type, if a host has more than one HOSTED SERVICE,  
598 including one relationship that lists all HOSTED SERVICES is equivalent to  
599 including multiple relationships that each list some subset of the HOSTED  
600 SERVICES.

601 wsdp:Relationship/wsdp:Hosted/wsdp:Types  
602 Unordered set of Types implemented by a HOSTED SERVICE. (See [[WS-  
603 Discovery](#)].) If omitted, no implied value.

604 wsdp:Relationship/wsdp:Hosted/wsdp:ServiceId  
605 Identifier for a HOSTED SERVICE which MUST be persisted across re-initialization  
606 and MUST NOT be shared across multiple Hosted elements. ServiceId MUST be  
607 unique within a DEVICE. This value should be compared directly, as a case-  
608 sensitive string, with no attempt to make a relative URI into an absolute URI, to  
609 unescape, or to otherwise canonicalize it.

610 CORRECT:

```
611 <wsdp:Relationship  
612   Type="http://schemas.xmlsoap.org/ws/2006/02/devprof/host"  
613   xmlns:img="http://printer.example.org/imaging"  
614   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing"  
615   xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof" >  
616   <wsdp:Hosted>  
617     <wsa:EndpointReference>  
618       <wsa:Address>http://172.30.184.244/print</wsa:Address>  
619     </wsa:EndpointReference>  
620     <wsdp:Types>  
621       img:PrintBasicPortType img:PrintAdvancedPortType  
622     </wsdp:Types>  
623     <wsdp:ServiceId>  
624       http://printer.example.org/imaging/PrintService  
625     </wsdp:ServiceId>  
626   </wsdp:Hosted>  
627 </wsdp:Relationship>
```

629 A Dialect [[WS-MetadataExchange](#)] equal to  
630 "http://schemas.xmlsoap.org/ws/2006/02/devprof/Relationship" indicates an  
631 instance of the Relationship metadata format.

632 No Identifier [[WS-MetadataExchange](#)] is defined for instances of the Relationship  
633 metadata format.

634 *R2040: If a SERVICE has any HOSTED SERVICES, it MUST have at least one  
635 Metadata Section with Dialect equal to  
636 "http://schemas.xmlsoap.org/ws/2006/02/devprof/Relationship" for its  
637 Relationship metadata.*

638 *R2029: In any Get Response SOAP ENVELOPE, a SERVICE MUST include any  
639 Metadata Section(s) with Dialect equal to  
640 "http://schemas.xmlsoap.org/ws/2006/02/devprof/Relationship".*

641 Get [[WS-Transfer](#)] is the interoperable means for a CLIENT to retrieve the resource  
642 representation data for a SERVICE – which includes the relationship metadata for a  
643 SERVICE. A SERVICE may provide other means for a CLIENT to retrieve its  
644 relationship metadata.

645 CORRECT:

```

646 <soap:Envelope
647   xmlns:gen="http://example.org/general"
648   xmlns:img="http://printer.example.org/imaging"
649   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
650   xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof"
651   xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"
652   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing" >
653 <soap:Header>
654   <wsa:Action>
655     http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse
656   </wsa:Action>
657   <wsa:RelatesTo>
658     urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
659   </wsa:RelatesTo>
660   <wsa:To>
661     http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous
662   </wsa:To>
663 </soap:Header>
664 <soap:Body>
665   <wsx:Metadata>
666     <wsx:MetadataSection
667       Dialect
668       ="http://schemas.xmlsoap.org/ws/2006/02/devprof/Relationship"
669     >
670       <wsdp:Relationship
671         Type="http://schemas.xmlsoap.org/ws/2006/02/devprof/host" >
672         <wsdp:Hosted>
673           <wsa:EndpointReference>
674             <wsa:Address>http://172.30.184.244/print</wsa:Address>
675           </wsa:EndpointReference>
676           <wsa:EndpointReference>
677             <wsa:Address>http://[fdaa:23]/print1</wsa:Address>
678           </wsa:EndpointReference>
679           <wsdp:Types>
680             img:PrintBasicPortType img:PrintAdvancedPortType
681           </wsdp:Types>
682           <wsdp:ServiceId>
683             http://printer.example.org/imaging/PrintService
684           </wsdp:ServiceId>
685         </wsdp:Hosted>
686
687         <wsdp:Hosted>
688           <wsa:EndpointReference>
689             <wsa:Address>http://172.30.184.244/scan</wsa:Address>
690           </wsa:EndpointReference>
691           <wsa:EndpointReference>
692             <wsa:Address>http://[fdaa:24]/scan</wsa:Address>
693           </wsa:EndpointReference>
694           <wsdp:Types>img:ScanBasicPortType</wsdp:Types>
695           <wsdp:ServiceId>
696             http://printer.example.org/imaging/ScanService
697           </wsdp:ServiceId>
698         </wsdp:Hosted>
699       </wsdp:Relationship>
700     </wsx:MetadataSection>
701
702   <!-- Other Metadata Sections omitted for brevity. -->

```

703  
704       </wsx:Metadata>  
705        </soap:Body>  
706      </soap:Envelope>  
707

708     *R2030: If a DEVICE changes any of its relationship metadata, it MUST increment the*  
709     *Metadata Version exposed in Hello, Probe Match, and Resolve Match SOAP*  
710     *ENVELOPEs as wsd:MetadataVersion.*

711     Caching for relationship metadata is controlled by the wsd:MetadataVersion  
712     construct [[WS-Discovery](#)].

713     *R2042: A DEVICE MUST NOT change its relationship metadata based on temporary*  
714     *changes in the network availability of the SERVICES described by the*  
715     *metadata.*

716     Relationship metadata is intended to model fairly static relationships and should not  
717     change if a SERVICE becomes temporarily unavailable. As in the general case, any  
718     CLIENT attempting to contact such a SERVICE will need to deal with an Endpoint  
719     Unavailable Fault [[WS-Addressing](#)], connection refusal, or other network indication  
720     that the SERVICE is unavailable.

## 721     **5.3 WSDL**

722     *R2004: If a HOSTED SERVICE exposes Notifications, its portType MUST include*  
723     *Notification and/or Solicit-Response Operations describing those Notifications.*

724     R2004 relaxes R2303 in [[BP 1.1, Section 4](#)].

725     *R2019: A HOSTED SERVICE MUST at least include a document-literal Binding for*  
726     *each portType in its WSDL.*

727     Because the document-literal SOAP Binding is more general than an rpc-literal SOAP  
728     Binding, there is no requirement to use anything other than the document-literal  
729     Binding.

730     *R2020: A HOSTED SERVICE MUST at least include a WSDL Binding for SOAP 1.2 for*  
731     *each portType in its WSDL.*

732     *R2028: A HOSTED SERVICE is not required to include any WSDL bindings for SOAP*  
733     *1.1 in its WSDL.*

734     Since this profile brings SOAP 1.2 into scope, it is sufficient to bind to that version of  
735     SOAP. There is no requirement to bind to other SOAP versions and thus R2028  
736     updates R2401 in [[BP 1.1, Section 4](#)] to SOAP 1.2.

737     *R2043: A HOSTED SERVICE is not required to include any WSDL Services in its*  
738     *WSDL.*

739     Since addressing information for a HOSTED SERVICE is included in relationship  
740     metadata, there is no requirement to re-express this information in WSDL Service(s)  
741     or Port(s).

742     *R2023: If a HOSTED SERVICE receives a MESSAGE that is inconsistent with its WSDL*  
743     *description, the HOSTED SERVICE SHOULD generate a SOAP Fault with a*  
744     *Code Value of "Sender", unless a "MustUnderstand" or "VersionMismatch"*  
745     *Fault is generated.*

746     *R2024: If a HOSTED SERVICE receives a MESSAGE that is inconsistent with its WSDL*  
747        *description, the HOSTED SERVICE MUST check for "VersionMismatch",*  
748        *"MustUnderstand", and "Sender" fault conditions in that order.*

749     Statements R2023 and R2024 update R2724 and R2725 [[BP 1.1, Section 4](#)] to SOAP  
750     1.2.

751     *R2031: A HOSTED SERVICE MUST have at least one Metadata Section with*  
752        *Dialect="http://schemas.xmlsoap.org/wsdl/".*

753     For clarity, separation of levels of abstraction, and/or reuse of standardized  
754        components, WSDL may be authored in a style that separates different elements of a  
755        Service Definition into separate documents which may be imported or included as  
756        needed. Each separate document may be available at the URL in the  
757        xs:include/@schemaLocation, xs:import/@schemaLocation, or wsdl:import/@location  
758        or may be included in a separate XML Schema or WSDL Metadata Section.

759     *R2016: In any Get Response SOAP ENVELOPE, a HOSTED SERVICE MUST include the*  
760        *Metadata Section(s) with Dialect equal to*  
761        *"http://schemas.xmlsoap.org/wsdl/".*

762     Get [[WS-Transfer](#)] is the interoperable means for a CLIENT to retrieve resource  
763        representation data for a HOSTED SERVICE – which includes the WSDL for a  
764        HOSTED SERVICE. A HOSTED SERVICE may provide other means for a CLIENT to  
765        retrieve its WSDL.

766     There is no requirement for a HOSTED SERVICE to store its WSDL and include it in-  
767        line in a Get Response SOAP ENVELOPE. The WSDL may be stored at a different  
768        location, and the HOSTED SERVICE may include a reference to it in a Get Response  
769        SOAP ENVELOPE.

770     CORRECT:

```
771 <soap:Envelope
772   xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
773   xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"
774   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing" >
775   <soap:Header>
776     <wsa:Action>
777       http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse
778     </wsa:Action>
779     <wsa:RelatesTo>
780       urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
781     </wsa:RelatesTo>
782     <wsa:To>
783       http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous
784     </wsa:To>
785   </soap:Header>
786   <soap:Body>
787     <wsx:Metadata>
788
789       <wsx:MetadataSection
790         Dialect="http://schemas.xmlsoap.org/wsdl" >
791         <wsx:MetadataReference>
792           <wsa:Address>http://172.30.184.244/print</wsa:Address>
793           <wsa:ReferenceParameters>
794             <x:Acme xmlns:x="urn:acme.com:webservices">
795               WSDL

```

```

796         </x:Acme>
797     </wsa:ReferenceParameters>
798     </wsx:MetadataReference>
799     </wsx:MetadataSection>
800
801     <!-- Other Metadata Sections omitted for brevity. -->
802
803     </wsx:Metadata>
804   </soap:Body>
805 </soap:Envelope>
806

```

## 807 5.4 WS-Policy

808 To indicate that a DEVICE is compliant with this profile, this profile defines the  
 809 following WS-Policy [[WS-Policy](#)] assertion:

```
<wsdp:Profile wsp:Optional="true"? ... />
```

811 The following describes additional, normative constraints on the outline above:

812 wsdp:Profile

813 Assertion indicating compliance with this profile is required. This assertion has  
 814 Endpoint Policy Subject [[WS-PolicyAttachment](#)]: a policy expression containing  
 815 this assertion MAY be attached to a wsdl:port, SHOULD be attached to a  
 816 wsdl:binding, but MUST NOT be attached to a wsdl:portType; the latter is  
 817 prohibited because the assertion specifies a concrete behavior whereas the  
 818 wsdl:portType is an abstract construct.

819 wsdp:Profile/@wsp:Optional="true"

820 Per WS-Policy [[WS-Policy](#)], this is compact notation for two policy alternatives,  
 821 one with and one without the assertion. The intuition is that the behavior  
 822 indicated by the assertion is optional, or in this case, that the SERVICE supports  
 823 but does not require compliance with this profile.

824 CORRECT:

```
<wsp:Policy
  xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof"
  xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy" >
  <wsdp:Profile />
</wsp:Policy>
```

831 ***R2037: A SERVICE MUST include the wsdp:Profile assertion in its policy.***

832 This assertion has Endpoint Policy Subject: a policy expression containing this  
 833 assertion MAY be attached to a wsdl:port, SHOULD be attached to a wsdl:binding,  
 834 but MUST NOT be attached to a wsdl:portType; the latter is prohibited because this  
 835 assertion specifies concrete behavior whereas the wsdl:portType is an abstract  
 836 construct.

837 ***R2041: If a SERVICE uses wsp:PolicyReference/@URI to attach a policy identified by***  
***an absolute URI, the SERVICE MUST have a Metadata Section with Dialect***  
***equal to "http://schemas.xmlsoap.org/ws/2004/09/policy" and Identifier***  
***equal to that URI.***

841 ***R2025: If a SERVICE uses wsp:PolicyReference/@URI to attach a policy identified by***  
***an absolute URI, then in a Get Response SOAP ENVELOPE, the SERVICE MUST***  
***include the Metadata Section with Dialect equal to***

844	" <a href="http://schemas.xmlsoap.org/ws/2004/09/policy">http://schemas.xmlsoap.org/ws/2004/09/policy</a> " and Identifier equal to that URI.
845	
846	<i>R2035: If a SERVICE uses wsp:PolicyReference/@URI to attach a policy identified by a relative URI, the SERVICE MUST embed that policy as a child of wsdl:definitions, and the policy MUST have a @wsu:Id containing that URI.</i>
847	
848	
849	<i>R2036: A SERVICE MUST NOT use @wsp:PolicyURIs to attach policy.</i>
850	Because all components in WSDL are extensible via elements [ <a href="#">BP 1.1, Section 4</a> ], attachment using wsp:PolicyReference/@URI is sufficient.
851	
852	Get [ <a href="#">WS-Transfer</a> ] is the interoperable means for a CLIENT to retrieve attached policy.
853	
854	CORRECT:
855	<soap:Envelope
856	xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
857	xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
858	xmlns:wsdp="http://schemas.xmlsoap.org/ws/2006/02/devprof"
859	xmlns:wsoap="http://schemas.xmlsoap.org/wsdl/soap12/"
860	xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy"
861	xmlns:wsu
862	= "http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
863	
864	xmlns:wsx="http://schemas.xmlsoap.org/ws/2004/09/mex"
865	xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing" >
866	<soap:Header>
867	<wsa:Action>
868	http://schemas.xmlsoap.org/ws/2004/09/transfer/GetResponse
869	</wsa:Action>
870	<wsa:RelatesTo>
871	urn:uuid:82204a83-52f6-475c-9708-174fa27659ec
872	</wsa:RelatesTo>
873	<wsa:To>
874	http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous
875	</wsa:To>
876	</soap:Header>
877	<soap:Body>
878	<wsx:Metadata>
879	<wsx:MetadataSection
880	Dialect="http://schemas.xmlsoap.org/wsdl/" >
881	<wsdl:definitions
882	targetNamespace="http://acme.example.com/colorbeam"
883	xmlns:image="http://printer.example.org/imaging" >
884	<wsp:Policy wsu:Id="DpPolicy" >
885	<wsdp:Profile />
886	</wsp:Policy>
887	
888	<!-- Other WSDL components omitted for brevity. -->
889	
890	<wsdl:binding name="PrintBinding" type="image:PrintPortType" >
891	<wsp:PolicyReference URI="#DpPolicy"
892	wsdl:required="true" />
893	<!-- Other WSDL components omitted for brevity. -->
894	</wsdl:binding>
895	
896	</wsdl:definitions>

```

897     </wsx:MetadataSection>
898
899     <!-- Other Metadata Sections omitted for brevity. -->
900
901     </wsx:Metadata>
902     </soap:Body>
903   </soap:Envelope>
904

```

## 905 6. Eventing

906 The scope of this section is the following set of Web services specifications. All of the  
 907 requirements in these specifications are included by reference except where  
 908 superseded by normative statements herein:

- 909 • [WS-Eventing]

### 910 6.1 Subscription

<p>911 <i>R3009: A HOSTED SERVICE MUST at least support Push Delivery Mode indicated by</i>    912 <i>"http://schemas.xmlsoap.org/ws/2004/08/eventing/DeliveryModes/Push".</i></p>
--

<p>913 <i>R3010: A HOSTED SERVICE MUST NOT generate a</i>    914 <i>wse:DeliveryModeRequestedUnavailable SOAP Fault in response to a</i>    915 <i>Subscribe SOAP ENVELOPE with a Delivery Mode of</i>    916 <i>"http://schemas.xmlsoap.org/ws/2004/08/eventing/DeliveryModes/Push".</i></p>
---

<p>917 The Push Delivery Mode [WS-Eventing] is the default Delivery Mode and indicates    918 the Event Source (HOSTED SERVICE) will push Notifications to the Event Sink    919 (CLIENT).</p>
--

<p>920 <i>R3017: If a HOSTED SERVICE does not understand the [address] of the Notify To of</i>    921 <i>a Subscribe SOAP ENVELOPE, the HOSTED SERVICE MUST generate a</i>    922 <i>wsa:DestinationUnreachable SOAP Fault.</i></p>
---

<p>923 <i>R3018: If a HOSTED SERVICE does not understand the [address] of the End To of a</i>    924 <i>Subscribe SOAP ENVELOPE, the HOSTED SERVICE MUST generate a</i>    925 <i>wsa:DestinationUnreachable SOAP Fault.</i></p>
--

<p>926 <i>R3019: If a HOSTED SERVICE cannot deliver a Notification SOAP ENVELOPE to an</i>    927 <i>Event Sink, the HOSTED SERVICE MAY terminate the corresponding</i>    928 <i>Subscription and SHOULD send a Subscription End SOAP ENVELOPE with a</i>    929 <i>Status of</i>    930 <i>"http://schemas.xmlsoap.org/ws/2004/08/eventing/DeliveryFailure".</i></p>
--

#### 931 6.1.1 Filtering

932 To enable subscribing to one or more Notifications exposed by a HOSTED SERVICE,  
 933 this profile defines a Filter Dialect designated  
 934 "http://schemas.xmlsoap.org/ws/2006/02/devprof/Action".

- 935 • A Filter in this Dialect contains a white space-delimited list of URIs that indicate  
 936 the [action] property of desired Notifications.
- 937 • The content of a Filter in this Dialect is defined as  
 938 xs:list/@itemType="xs:anyURI" [XML Schema Part 2].
- 939 • A Filter in this Dialect evaluates to true for an Output Message of a Notification or  
 940 Solicit-Response operation if and only if a URI in the Filter matches the [action]

941       property of the Message using the  
942       "http://schemas.xmlsoap.org/ws/2005/04/discovery/rfc2396" matching rule  
943       [\[WS-Discovery\]](#).  
944       The Action Dialect uses the RFC 2396 prefix matching rule so CLIENTs can subscribe  
945       to a related set of Notifications by including the common prefix of the **[action]**  
946       property of those Notifications. Typically, the Notifications within a WSDL portType  
947       [\[WSDL 1.1\]](#) will share a common **[action]** property prefix, and specifying that prefix  
948       with the Action Dialect will be a convenient means to subscribe to all Notifications  
949       defined by a portType.

950       *R3008: A HOSTED SERVICE MUST at least support Filtering by the Dialect*  
951       *"http://schemas.xmlsoap.org/ws/2006/02/devprof/Action".*

952       CORRECT:

```
<soap:Envelope
  xmlns:soap="http://www.w3.org/2003/05/soap-envelope"
  xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing"
  xmlns:wse="http://schemas.xmlsoap.org/ws/2004/08/eventing" >
  <soap:Header>
    <wsa:Action>
      http://schemas.xmlsoap.org/ws/2004/08/eventing/Subscribe
    </wsa:Action>
    <wsa:MessageID>
      urn:uuid:314bea3b-03af-47a1-8284-f495497f1e33
    </wsa:MessageID>
    <wsa:ReplyTo>
      <wsa:Address>
        http://schemas.xmlsoap.org/ws/2004/08/addressing/role/anonymous
      </wsa:Address>
    </wsa:ReplyTo>
    <wsa:To>http://172.30.184.244/print</wsa:To>
  </soap:Header>
  <soap:Body>
    <wse:Subscribe>
      <wse:Delivery>
        <wse:NotifyTo>
          <wsa:Address>
            urn:uuid:3726983d-02de-4d41-8207-d028ae92ce3d
          </wsa:Address>
        </wse:NotifyTo>
      </wse:Delivery>
      <wse:Expires>PT10M</wse:Expires>
      <wse:Filter
        Dialect="http://schemas.xmlsoap.org/ws/2006/02/devprof/Action"
      >
        http://printer.example.org/imaging/PrintBasicPortType/JobEndState
        http://printer.example.org/imaging/PrintBasicPortType/PrinterState
        <wse:Filter>
        <wse:Subscribe>
        </soap:Body>
    </soap:Envelope>
```

991       *R3011: A HOSTED SERVICE MUST NOT generate a wse:FilteringNotSupported SOAP*  
992       *Fault in response to a Subscribe SOAP ENVELOPE.*

993 A HOSTED SERVICE must support filtering, at least by **[action]**, so the Filtering Not  
994 Supported SOAP Fault is not appropriate.

995 *R3012: A HOSTED SERVICE MUST NOT generate a*  
996 *wse:FilteringRequestedUnavailable SOAP Fault in response to a Subscribe*  
997 *SOAP ENVELOPE with a Filter Dialect of*  
998 *"http://schemas.xmlsoap.org/ws/2006/02/devprof/Action".*

999 To indicate that a HOSTED SERVICE does not expose any Notifications that would  
1000 match the contents of a Filter with the Action Dialect, this profile defines the  
1001 following SOAP Fault:

<b>[action]</b>	http://schemas.xmlsoap.org/ws/2006/02/devprof/Fault
<b>[Code]</b>	soap: Sender
<b>[Subcode]</b>	wsdp:FilterActionNotSupported
<b>[Reason]</b>	E.g., "no notifications match the supplied filter"
<b>[Detail]</b>	(None defined.)

1002 *R3020: If none of the Notifications exposed by a HOSTED SERVICE match the*  
1003 *[action] values in a Subscribe SOAP ENVELOPE Filter whose Dialect is*  
1004 *"http://schemas.xmlsoap.org/ws/2006/02/devprof/Action", the HOSTED*  
1005 *SERVICE MUST generate a wsdp:FilterActionNotSupported SOAP Fault.*

## 1006 6.2 Subscription Duration and Renewal

1007 *R3005: If a Subscribe SOAP ENVELOPE contains a requested Expiration of type*  
1008 *xs:dateTime, the HOSTED SERVICE MAY include an Expiration of type*  
1009 *xs:duration in the Subscribe Response SOAP ENVELOPE.*

1010 *R3006: If a Renew SOAP ENVELOPE contains a requested Expiration of type*  
1011 *xs:dateTime, the HOSTED SERVICE MAY include an Expiration of type*  
1012 *xs:duration in the Renew Response SOAP ENVELOPE.*

1013 *R3016: A HOSTED SERVICE MUST NOT generate a wse:UnsupportedExpirationType*  
1014 *SOAP Fault in response to a Subscribe or Renew SOAP ENVELOPE with an*  
1015 *Expiration type of xs:duration.*

1016 *R3013: A HOSTED SERVICE MAY generate a wse:UnsupportedExpirationType SOAP*  
1017 *Fault in response to a Subscribe or Renew SOAP ENVELOPE with an Expiration*  
1018 *of type xs:dateTime.*

1019 Event Sources are required to have an internal clock, but there is no requirement  
1020 that the clock be synchronized with other HOSTED SERVICES. Therefore, Event  
1021 Sources are required to express Subscription Expiration as a duration but are not  
1022 required to express Subscription Expiration as an absolute time.

1023 *R3015: A HOSTED SERVICE MAY generate a wsa:ActionNotSupported SOAP Fault in*  
1024 *response to a Get Status SOAP ENVELOPE.*

1025 Event Sources are not required to support retrieving subscription status.

## 1026 7. Security

1027 This section defines a RECOMMENDED baseline for interoperable security between a  
1028 DEVICE and a CLIENT. A DEVICE (or CLIENT) is free to support other security

1029 mechanisms in addition to, or in place of, this mechanism as specified by WSDL  
1030 [[WSDL 1.1](#)], policies [[WS-Policy](#)], or other mechanisms. In the absence of an explicit  
1031 indication stating that a different security mechanism is to be used, the default  
1032 security mechanism defined here is assumed to apply.

1033 This section defines the protocols and message formats required to authenticate a  
1034 DEVICE and securely communicate with a DEVICE. It references well-known  
1035 algorithms and protocols for authentication, establishment of a session key, and  
1036 encryption.

1037 This scope of this section is the following set of Web services specifications. All of the  
1038 requirements in these specifications are included by reference except where  
1039 superseded by normative statements herein:

- 1040 • [[AES/TLS](#)]
- 1041 • [[HTTP Authentication](#)]
- 1042 • [[SHA1](#)]
- 1043 • [[TLS](#)]
- 1044 • [[RFC 4122](#)]
- 1045 • [[X.509.v3](#)]

## 1046 **7.1 Secure Communication**

### 1047 **7.1.1 Integrity**

1048 Integrity is the process that protects MESSAGES against tampering while in transit.  
1049 Integrity is an optional component of DEVICE security. However, if provided,  
1050 integrity MUST adhere to the following requirements:

1051 *R4000: A SERVICE MUST not send a SOAP ENVELOPE without protecting the*  
1052 *integrity of any Message Information Header blocks matching the following*  
1053 *XPath expressions: (a) /soap:Envelope/soap:Header/wsa:Action, (b)*  
1054 */soap:Envelope/soap:Header/wsa:MessageID, (c)*  
1055 */soap:Envelope/soap:Header/wsa:To, (d)*  
1056 */soap:Envelope/soap:Header/wsa:ReplyTo, (e)*  
1057 */soap:Envelope/soap:Header/wsa:RelatesTo.*

1058 *R4063: A SERVICE MAY reject a SOAP ENVELOPE that has unprotected Message*  
1059 *Information Header blocks.*

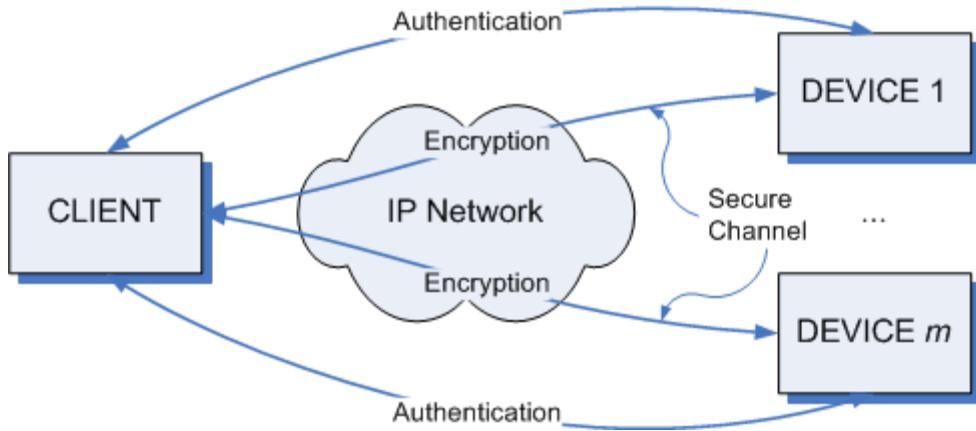
1060 *R4001: A SERVICE MUST not send a SOAP ENVELOPE without protecting the*  
1061 *integrity of the SOAP ENVELOPE Body in conjunction with any Message*  
1062 *Information Block(s) from R4000.*

1063 *R4064: A SERVICE MAY reject a SOAP ENVELOPE that does not protect the integrity*  
1064 *of the SOAP ENVELOPE Body.*

1065 In this profile, the integrity of discovery SOAP ENVELOPEs is protected using  
1066 message-level signatures, while the integrity of other MESSAGES is protected using a  
1067 Secure Channel. Other profiles may use alternate mechanisms to protect the  
1068 integrity of MESSAGES.

1069	<b>7.1.2 Confidentiality</b>
1070	Confidentiality is the process by which sensitive information is protected against unauthorized disclosure. Confidentiality is an optional component of DEVICE security; however, if provided, confidentiality MUST adhere to the following requirements:
1073	<i>R4002: A SERVICE MUST NOT send a SOAP ENVELOPE without encrypting the SOAP ENVELOPE Body.</i>
1074	
1075	<i>R4067: A SERVICE MAY reject a SOAP ENVELOPE that does not encrypt the SOAP ENVELOPE Body.</i>
1076	
1077	<i>R4003: A SENDER MUST provide key transfer information to authorized RECEIVERS.</i>
1078	In this profile, discovery MESSAGES are not encrypted, while other MESSAGES are encrypted using a Secure Channel. Other profiles may use alternate mechanisms to encrypt MESSAGES.
1081	<b>7.1.3 Authentication</b>
1082	Authentication is the process by which the identity of the sender is determined by the recipient. Authentication is an optional component of DEVICE security; however, if provided, authentication MUST adhere to the following requirements:
1085	<i>R4004: A SENDER MUST authenticate itself to a RECEIVER using credentials acceptable to the RECEIVER.</i>
1086	
1087	In this profile, authentication is done using certificates, either through a shared trust root or through a PIN / Password exchanged out of band. Other profiles may use alternate authentication mechanisms.
1090	If multicast messages are secured, the following additional requirements apply:
1091	<i>R4005: On multicast MESSAGES, a CLIENT MUST use an authentication credential that is suitable for all DEVICES that could legitimately process the multicast MESSAGE.</i>
1092	
1093	
1094	<b>7.1.4 Trust</b>
1095	There are different trust models associated with DEVICE security. The following requirements profile the kinds of trust that may be used with DEVICE security in this profile.
1098	<i>R4007: CLIENTs and DEVICEs MUST have the necessary credentials to perform authentication.</i>
1099	
1100	The distribution of the credentials needed for establishing the trust relationship is out of the scope of this profile. The level of security as well as the supported protocols for a given CLIENT - DEVICE relationship are advertised in the policy assertions of the discovery MESSAGES defined herein.
1104	<i>R4008: A SERVICE MAY use additional mechanisms to verify the authenticity of the SENDER of any received MESSAGE by analyzing information provided by the lower networking layers.</i>
1105	
1106	

1107 **7.1.5 Network Model**



1108

1109 Following authentication, a DEVICE and a CLIENT communicate over a Secure (i.e.,  
1110 encrypted) Channel. The network is an IP-based network that can span one or more  
1111 administrative domains (such as a workgroup subnet), a domain comprised of  
1112 multiple subnets, or comprised of multiple administrative domains (such as the  
1113 global Internet). The level of security is determined by the security policies of the  
1114 administrative domain, which may vary between different environments.

1115 **R4009: Security MUST be applied for all MESSAGEs received from, sent to, or**  
1116 **traversed through other administrative domains.**

1117 It is assumed that MESSAGEs received from/via other administrative domains cannot  
1118 be trusted.

1119 **R4010: Except for MESSAGEs exchanged during discovery, security SHALL be applied**  
1120 **at the Transport level. Discovery relies on MESSAGE security.**

1121 **7.1.6 Security Association**

1122 DEVICE association encompasses mutual authentication of DEVICE and CLIENT as  
1123 well as the establishment of a Secure Transport Channel over which the subsequent  
1124 communication between the CLIENT and the DEVICE takes place. The CLIENT  
1125 security requirements are advertised by the CLIENT during discovery as part of the  
1126 policy assertions carried in the respective Probe and Resolve SOAP ENVELOPEs.  
1127 Security requirements can range from no security required to authentication and  
1128 communication over a Secure (i.e., encrypted) Channel.

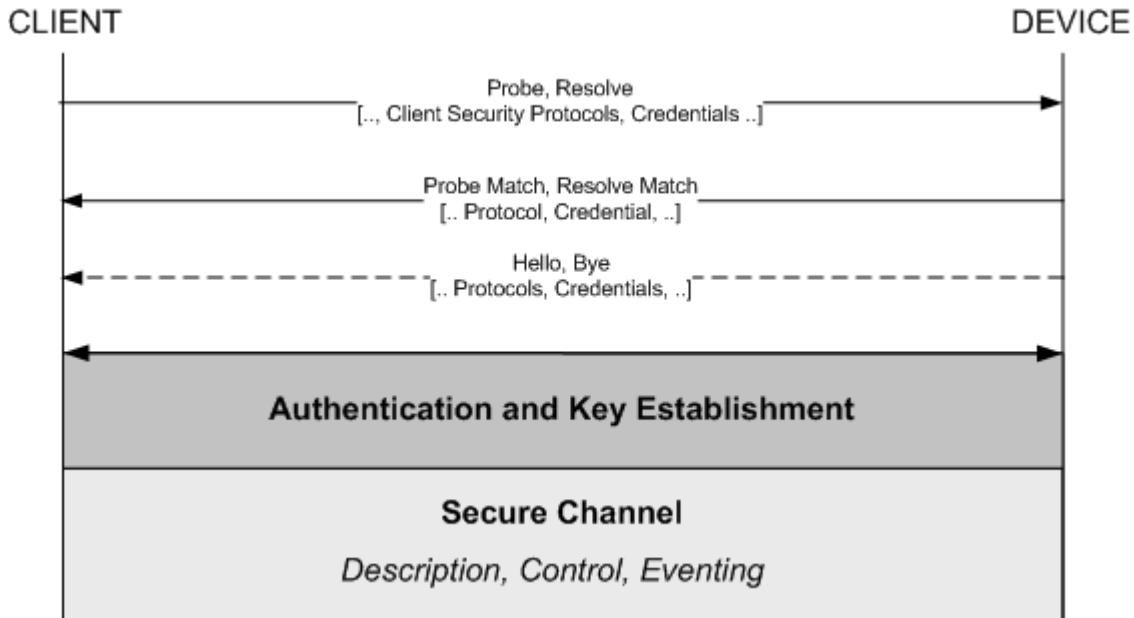
1129 The supported protocols for authentication and key establishment are advertised and  
1130 negotiated during discovery.

1131 **R4068: The CLIENT MAY include policy assertions in the Probe and Resolve SOAP**  
1132 **ENVELOPEs containing the protocols it supports. If the CLIENT includes**  
1133 **multiple protocols, the protocols MUST be ordered with decreasing**  
1134 **preference, i.e., the first protocol listed is the preferred protocol the client**  
1135 **wishes to use.**

1136 **R4012: The DEVICE MUST select the protocol from the list of received protocols it**  
1137 **wishes to use for authentication and key establishment, and the DEVICE**  
1138 **MUST include the selected protocol in the policy assertion of the respective**  
1139 **Probe Match or Resolve Match SOAP ENVELOPE.**

1140           *R4013: Following discovery, the CLIENT MUST invoke the association process by*  
 1141            *authenticating the DEVICE using a protocol for security and parameters*  
 1142            *supported by both CLIENT and DEVICE as negotiated via Policy for the EPR.*

1143       The sequence for authentication and establishment of a Secure Channel is illustrated  
 1144       below. It is assumed that credentials (certificates, shared secrets) are established by  
 1145       an out-of-band mechanism prior or during the association phase. The out-of-band  
 1146       mechanism is out of the scope of this profile. If the authentication is successful, a  
 1147       Secure Channel is established. Subsequent operations like description, control, and  
 1148       eventing use the Secure Channel.



1149       Once the DEVICE leaves the network, i.e., the DEVICE sends a Bye SOAP ENVELOPE,  
 1150       the Secure Channel is removed, and the authentication information as well as  
 1151       session keys become invalid.

### 1153   **7.1.7 DEVICE Behavior**

1154           *R4014: A DEVICE MAY require authentication of a CLIENT.*

1155           *R4015: To verify the authenticity of multicast messages sent by the DEVICE during*  
 1156           *discovery, i.e., Hello and Bye SOAP ENVELOPEs, multicast MESSAGES*  
 1157           *SHOULD be signed.*

1158           *R4016: Unicast MESSAGEs sent by a DEVICE in response to multicast MESSAGEs,*  
 1159           *i.e., Probe Match and Resolve Match SOAP ENVELOPEs, SHOULD be signed.*

1160           *R4017: A CLIENT MAY ignore MESSAGEs received during discovery that have no*  
 1161           *signature or a nonverifiable signature.*

1162           *R4018: A DEVICE SHOULD cache authentication information for a CLIENT as valid as*  
 1163           *long as the DEVICE is connected to the CLIENT.*

### 1164   **7.1.8 Security Protocols and Credentials**

1165           *R4025: A CLIENT MUST indicate the Security protocols and Credentials for*  
 1166           *authentication and key establishment it supports in /soap:Envelope/*

1167	<i>soap:Header/ wsa:ReplyTo/ wsx:Metadata of a Probe and/or Resolve SOAP ENVELOPE.</i>
1169	<i>R4026: A DEVICE SHALL select from the list of Security Protocols and Credentials indicated by the CLIENT which Security Protocol the DEVICE wishes to use and return that selection in /soap:Envelope/ soap:Body/ */wsa:EndpointReference/ wsx:Metadata of the corresponding Probe Match (or Resolve Match) SOAP ENVELOPE.</i>
1174	Embedding a Metadata element [ <a href="#">WS-MetadataExchange</a> ] within the extension point of an Endpoint Reference [ <a href="#">WS-Addressing</a> ] is a means to provide metadata about the endpoint. This use of the Metadata element generalizes the existing <b>[policy]</b> property [ <a href="#">WS-Addressing</a> ] and is the expected means to express WS-Policy in future versions of WS-Addressing.
1179	<i>R4027: A CLIENT MUST use the Security Protocol and Credential indicated by the DEVICE in the Probe Match (or Resolve Match) SOAP ENVELOPE for authentication and key establishment.</i>
1182	<i>R4028: CLIENTs and DEVICEs SHOULD support the following Security Protocols and Credentials for authentication and key establishment: TLS with client certificates and server certificates, respectively.</i>
1185	<i>R4069: CLIENTs and DEVICEs MUST support HTTP Basic Authentication.</i>
1186	<h3>7.1.9 Security for Discovery</h3> <p>In the discovery phase, the client learns of the existence of the device on the network. Subsequently, the identity of the device is verified, and the device is connected to the client. The policy assertions carried in the messages exchanged during Discovery contain the CLIENT Security Requirements as well as the Security Protocols supported by CLIENT and DEVICE for authentication and establishment of a Secure Channel.</p>
1193	<i>R4029: If a DEVICE cannot meet the CLIENT Security Requirements or if a CLIENT and a DEVICE do not support intersecting Security Protocols and Credentials, no association SHALL take place.</i>
1196	Probe
1197	A CLIENT initiates the discovery process by probing the network for a DEVICE it is interested in.
1199	<i>R4030: A Probe SOAP ENVELOPE SHOULD contain the Security Protocols and Credentials in /soap:Envelope/ soap:Header/ wsa:ReplyTo/ wsp:Policy.</i>
1201	<i>R4031: In the absence of any policy assertion for security, no security SHALL be required.</i>
1203	<i>R4032: A Device MUST NOT send a Probe Match SOAP ENVELOPE if any of the following are true: (a) the DEVICE is outside the local subnet of the CLIENT, and the Probe SOAP ENVELOPE was sent as multicast, or (b) the DEVICE does not support the indicated CLIENT Security Protocols and Credentials.</i>
1207	<i>R4065: A CLIENT MUST discard a Probe Match SOAP ENVELOPE if it is received MATCH_TIMEOUT seconds or more later than the last corresponding Probe SOAP ENVELOPE was sent.</i>
1210	Hello

1211	<b>R4034: A DEVICE SHOULD sign a Hello SOAP ENVELOPE.</b>
1212	One or more CLIENTs may respond to the Hello SOAP ENVELOPE and associate with the DEVICE.
1214	<b>R4035: If a DEVICE has multiple credentials, it SHOULD send separate Hello SOAP ENVELOPES using different credentials to sign each.</b>
1215	
1216	Resolve
1217	<b>R4036: A Device MUST NOT send a Resolve Match SOAP ENVELOPE if any of the following are true: (a) the DEVICE is outside the local subnet of the CLIENT, and the Probe SOAP ENVELOPE was sent as multicast, or (b) the DEVICE does not support the indicated CLIENT Security Protocols and Credentials.</b>
1218	
1219	
1220	
1221	<b>R4066: A CLIENT MUST discard a Resolve Match SOAP ENVELOPE if it is received MATCH_TIMEOUT seconds or more later than the last corresponding Resolve SOAP ENVELOPE was sent.</b>
1222	
1223	
1224	Bye
1225	<b>R4037: A DEVICE SHOULD sign a Bye SOAP ENVELOPE.</b>
1226	<b>R4038: If a DEVICE has different credentials applicable to multiple CLIENTs, it SHOULD send separate Bye SOAP ENVELOPES with the credentials for each of the previously associated CLIENTs.</b>
1227	
1228	
1229	<b>7.1.10 Authentication</b>
1230	The authentication step that follows discovery verifies the credentials of the DEVICE and CLIENT in a secure manner. In addition to verifying the credentials, a session key is established in the authentication handshake. Credentials may be cached on the DEVICE and/or CLIENT to simplify subsequent authentications. The CLIENT invokes the authentication process using the protocols and credentials indicated in the DEVICE policy assertions conveyed during the discovery phase.
1231	
1232	
1233	
1234	
1235	
1236	Transport Layer Security (TLS)
1237	TLS provides mutual authentication of CLIENT and DEVICE as well as the establishment of a Secure Channel over which MESSAGES are exchanged in a secure manner.
1238	
1239	
1240	DEVICE Authentication with TLS
1241	<b>R4039: If TLS is negotiated as the Security Protocol, the CLIENT MUST initiate authentication with the DEVICE by setting up a TLS session.</b>
1242	
1243	<b>R4070: A DEVICE MUST indicate the use of TLS for a MESSAGE exchange using the "https" scheme URI contained in the DEVICE description and WSDL.</b>
1244	
1245	<b>R4042: Following the establishment of a Secure Channel using TLS, subsequent MESSAGE exchanges over HTTP SHOULD use an existing TLS session.</b>
1246	
1247	Certificates
1248	<b>R4043: Each DEVICE SHOULD have its own, unique Certificate.</b>
1249	The Certificate contains information pertinent to the specific device including its public key. Typically, certificates are issued by a trusted authority or a delegate (2nd tier) or a delegate of the delegate.
1250	
1251	
1252	<b>R4045: The format of the certificate MUST follow the common standard X.509v3.</b>

1253 An example of a self-signed X.509 certificate is shown below.

Type	Element	Usage	Example
Type	Element	Usage	Example
Basic Elements	Version	TLS	3
	Certificate Serial Number		1234567
	Signature Algorithm Identifier		RSA
	Issuer		a7731471-4b54-4a64-942c-7d481dcb9614
	Validity Period		11/09/2001 - 01/07/2015
	Subject	UUID	a7731471-4b54-4a64-942c-7d481dcb9614
	Subject Public Key Information		rsaEncryption 1024 10888232e76740bd873462ea2c64ca1d a6f9112656a34b949d32ced0e476547 84ba0f7e62e143429d3217ee45ce5304 308e65a6eee6474cb4d9a3c0295c8267 761661ccba7546a09d5f03a8ea3b1160 dac9fb6e6ba94e54b6c8ee892e492f4c e3a96bbd9d7b4c4bb98b7c052ff361ba cee01718122c4f0d826efc123bb1b03d
Extensions	Extended Key Usage	Server Authentication	1.3.6.1.5.5.7.3.1
		Client Authentication	1.3.6.1.5.5.7.3.2
Signature	Certification Authority's Digital Signature		5938f9908916cca32321916a184a6e75 2becb14fb99c4f33a03b03c3c752117c 91b8fb163d3541fca78bca235908ba69 1f7e36004a2d499a8e23951bd8af961d 36be05307ec34467a7c66fbb7fb5e49c 25e8dbdae4084ca9ba244b5bc1a377e5 262b9ef543ce47ad8a6b1d28c9138d0a dc8f5e3b469e42a5842221f9cf0a50d1

1254 The Subject field (listed above) contains the UUID in string representation format.

1255 Certificate management is out of the scope of this profile.

1256 TLS Authentication with Client Certificate

1257	<i>R4071: If the CLIENT and the DEVICE exchanged certificates during the TLS handshake, and the DEVICE as well as the CLIENT were able to verify the certificates, the CLIENT and DEVICE are mutually authenticated, and no further steps SHALL be required.</i>
1261	<i>R4046: A DEVICE MAY require an additional authentication step after the TLS handshake, if the DEVICE was not able to verify the certificate, or if the CLIENT did not provide a certificate during the TLS handshake.</i>
1264	<i>R4047: A DEVICE MAY require HTTP Authentication.</i>
1265	<i>R4048: If the HTTP authentication is successful, and the CLIENT presents a certificate to the DEVICE, the DEVICE SHOULD cache the certificate in its local certificate store of trusted certificates for future authentication of the CLIENT.</i>
1268	This avoids the need for HTTP authentication for subsequent associations.
1269	HTTP Authentication
1270	<i>R4049: The CLIENT MAY be required to authenticate itself to the DEVICE during the association phase.</i>
1272	HTTP authentication requires credentials in the form of username and password. It is assumed that how the CLIENT and DEVICE share knowledge of the username and password is out-of-band and beyond the scope of this profile.
1275	Because the authentication is performed over the Secure Channel established during TLS handshake, HTTP Basic authentication may be used safely.
1277	<i>R4050: If a DEVICE requires HTTP authentication, the DEVICE SHALL challenge the CLIENT using the HTTP 401 response code.</i>
1279	<i>R4051: A CLIENT MUST authenticate using one of the options listed in the HTTP-Authenticate header.</i>
1281	<i>R4052: HTTP Authentication MUST use the following parameters for username and password of the HTTP Request: UserName, PIN / Password.</i>
1283	The UserName is supplied to the DEVICE during HTTP authentication and MAY be used for establishing multiple access control classes, such as administrators, users, and guests. The naming and use of UserName is implementation-dependent and out of the scope of this profile.
1287	<i>R4053: If no UserName is provided, "admin" SHALL be used as the default UserName.</i>
1289	The purpose of the PIN / Password is to authenticate the CLIENT to the DEVICE during the HTTP authentication. In addition, the PIN / Password verifies the certificate that the DEVICE supplied during the TLS handshake.
1292	<i>R4054: The RECOMMENDED size of a PIN / Password is at least 8 characters using at least a 32 character alphabet.</i>
1294	<i>R4055: The PIN / Password that is unique to the DEVICE SHALL be conveyed to the CLIENT out-of-band. The methods of conveying the PIN out-of-band are out of the scope of this profile.</i>
1297	<i>R4056: To reduce the attack surface, the DEVICE and CLIENT MAY limit the number of failed authentication attempts as well as the time interval successive attempts are made for one TLS session.</i>

1300	Upon successful authentication, the DEVICE is associated with the CLIENT.
1301	<b>7.1.11 Secure Channel</b>
1302	Following Authentication, a Secure (i.e., encrypted) Channel at the transport level is
1303	established between CLIENT and DEVICE.
1304	<i>R4057: All secure communication for Description, Control, and Eventing between the CLIENT and DEVICE MUST use the Secure Channel. The protocols for encryption as well as the keys used for encryption are negotiated during the authentication phase.</i>
1308	<i>R4072: A DEVICE MUST support receiving and responding to a Probe SOAP ENVELOPE over HTTP using the Secure Channel.</i>
1310	<i>R4073: A DEVICE MAY ignore a Probe SOAP ENVELOPE sent over HTTP that does not use the Secure Channel.</i>
1312	As prescribed by R1015, a CLIENT may send a Probe over HTTP; this Probe (and
1313	Probe Match, if any) are sent using the Secure Channel.
1314	<b>7.1.12 Ciphersuites</b>
1315	<i>R4059: It is the responsibility of the sender to convert the embedded URL to use HTTPS as different transport security mechanisms can be negotiated.</i>
1317	<i>R4060: A DEVICE MUST support the following TLS Ciphersuite:</i>
1318	<i>TLS_RSA_WITH_RC4_128_SHA.</i>
1319	<i>R4061: It is recommended that a DEVICE also support the following TLS Ciphersuite:</i>
1320	<i>TLS_RSA_WITH_AES_128_CBC_SHA.</i>
1321	<i>R4062: Additional Ciphersuites MAY be supported. They are negotiated during the TLS handshake.</i>
1323	<h2>8. Acknowledgements</h2>
1324	This profile has been developed as a result of joint work with many individuals and
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1329	Rhodus (Lexmark), Adam Sapek (Microsoft), Stacy Simpson (Lexmark), Lifen Tian
1330	(Ricoh), David Turner (Microsoft), Mike Vernal (Microsoft), Yaotian Wang (Ricoh),
1331	Kenny Wolf (Microsoft).
1332	<h2>9. References</h2>
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1353 )
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- 1415 The following documents are referenced for informational purposes only. They are  
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1429 **Appendix I – Constants**

1430 The following constants are used throughout this profile. The values listed below  
1431 supersede other values defined in other specifications listed below.

Constant	Value	Specification
APP_MAX_DELAY	5,000 milliseconds	[WS-Discovery]
DISCOVERY_PORT	3702	[WS-Discovery]
MATCH_TIMEOUT	10 seconds	[WS-Discovery]
MAX_ENVELOPE_SIZE	32,767 octets	This profile
MAX_FIELD_SIZE	256 Unicode characters	This profile
MAX_URI_SIZE	2,048 octets	This profile
MULTICAST_UDP_REPEAT	2	[SOAP-over-UDP]
UDP_MAX_DELAY	250 milliseconds	[SOAP-over-UDP]
UDP_MIN_DELAY	50 milliseconds	[SOAP-over-UDP]
UDP_UPPER_DELAY	450 milliseconds	[SOAP-over-UDP]
UNICAST_UDP_REPEAT	2	[SOAP-over-UDP]

1432 **Appendix II – XML Schema**

1433 A normative copy of the XML Schema [XML Schema Part 1, Part 2] description for  
1434 this specification can be retrieved from the following address:

1435 <http://schemas.xmlsoap.org/ws/2006/02/devprof/devicesprofile.xsd>

1436 A non-normative copy of the XML Schema description is listed below for convenience.

```
1437 <xsschema
1438   targetNamespace="http://schemas.xmlsoap.org/ws/2006/02/devprof"
1439   xmlns:tns="http://schemas.xmlsoap.org/ws/2006/02/devprof"
1440   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing"
1441   xmlns:xs="http://www.w3.org/2001/XMLSchema"
1442   elementFormDefault="qualified"
1443   blockDefault="#all" >
1444
1445   <xssimport
1446     namespace="http://schemas.xmlsoap.org/ws/2004/08/addressing"
1447     schemaLocation
1448     ="http://schemas.xmlsoap.org/ws/2004/08/addressing/addressing.xsd"
1449     />
1450
1451   <xsselement name="ThisModel" type="tns:ThisModelType" />
1452   <xsscomplexType name="ThisModelType" >
1453     <xsssequence>
1454       <xsselement name="Manufacturer" type="tns:LocalizedStringType"
1455         maxOccurs="unbounded" />
1456       <xsselement name="ManufacturerUrl" type="xs:anyURI"
1457         minOccurs="0" />
1458       <xsselement name="modelName" type="tns:LocalizedStringType"
1459         maxOccurs="unbounded" />
1460       <xsselement name="ModelNumber" type="xs:string" minOccurs="0" />
```

```

1461     <xs:element name="ModelUrl" type="xs:anyURI" minOccurs="0" />
1462     <xs:element name="PresentationUrl" type="xs:anyURI"
1463         minOccurs="0" />
1464     <xs:any namespace="##other" processContents="lax"
1465         minOccurs="0" maxOccurs="unbounded" />
1466   </xs:sequence>
1467   <xs:anyAttribute namespace="##other" processContents="lax" />
1468 </xs:complexType>
1469
1470 <xs:element name="ThisDevice" type="tns:ThisDeviceType" />
1471 <xs:complexType name="ThisDeviceType" >
1472   <xs:sequence>
1473     <xs:element name="FriendlyName" type="tns:LocalizedStringType"
1474         maxOccurs="unbounded" />
1475     <xs:element name="FirmwareVersion" type="xs:string"
1476         minOccurs="0" />
1477     <xs:element name="SerialNumber" type="xs:string" minOccurs="0" />
1478     <xs:any namespace="##other" processContents="lax"
1479         minOccurs="0" maxOccurs="unbounded" />
1480   </xs:sequence>
1481   <xs:anyAttribute namespace="##other" processContents="lax" />
1482 </xs:complexType>
1483
1484 <xs:complexType name="LocalizedStringType" >
1485   <xs:simpleContent>
1486     <xs:extension base="xs:string" >
1487       <xs:anyAttribute namespace="##other" processContents="lax" />
1488     </xs:extension>
1489   </xs:simpleContent>
1490 </xs:complexType>
1491
1492 <xs:element name="Relationship" >
1493   <xs:complexType>
1494     <xs:sequence>
1495       <xs:any namespace="##any" processContents="lax"
1496           minOccurs="0" maxOccurs="unbounded" />
1497     </xs:sequence>
1498     <xs:attribute name="Type" type="tns:DeviceRelationshipTypes"
1499 use="required" />
1500       <xs:anyAttribute namespace="##other" processContents="lax" />
1501     </xs:complexType>
1502 </xs:element>
1503
1504 <xs:simpleType name="DeviceRelationshipTypes" >
1505   <xs:union memberTypes="tns:DeviceRelationshipTypeURIs xs:anyURI" />
1506 </xs:simpleType>
1507
1508 <xs:simpleType name="DeviceRelationshipTypeURIs" >
1509   <xs:restriction base="xs:anyURI" >
1510     <xs:enumeration
1511 value="http://schemas.xmlsoap.org/ws/2006/02/devprof/host" />
1512     </xs:restriction>
1513 </xs:simpleType>
1514
1515 <xs:simpleType name="DeviceMetadataDialectURIs" >
1516   <xs:restriction base="xs:anyURI" >

```

```

1517         <xs:enumeration
1518     value="http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisModel" />
1519         <xs:enumeration
1520     value="http://schemas.xmlsoap.org/ws/2006/02/devprof/ThisDevice" />
1521         <xs:enumeration
1522     value="http://schemas.xmlsoap.org/ws/2006/02/devprof/Relationship" />
1523         </xs:restriction>
1524     </xs:simpleType>
1525
1526     <xs:simpleType name="DeviceEventingFilterDialects" >
1527         <xs:union memberTypes="tns:DeviceEventingFilterDialectURIs
1528 xs:anyURI" />
1529     </xs:simpleType>
1530
1531     <xs:simpleType name="DeviceEventingFilterDialectURIs" >
1532         <xs:restriction base="xs:anyURI" >
1533             <xs:enumeration
1534     value="http://schemas.xmlsoap.org/ws/2006/02/devprof/Action" />
1535         </xs:restriction>
1536     </xs:simpleType>
1537
1538     <xs:simpleType name="DeviceActionURIs" >
1539         <xs:restriction base="xs:anyURI" >
1540             <xs:enumeration
1541     value="http://schemas.xmlsoap.org/ws/2006/02/devprof/Fault" />
1542         </xs:restriction>
1543     </xs:simpleType>
1544
1545     <xs:simpleType name="DeviceSoapFaultSubcodes" >
1546         <xs:union memberTypes="tns:DeviceSoapFaultSubcodeQNames
1547 wsa:FaultSubcodeValues xs:QName" />
1548     </xs:simpleType>
1549
1550     <xs:simpleType name="DeviceSoapFaultSubcodeQNames" >
1551         <xs:restriction base="xs:QName" >
1552             <xs:enumeration value="tns:FilterActionNotSupported" />
1553         </xs:restriction>
1554     </xs:simpleType>
1555
1556     <xs:element name="Host" type="tns:HostServiceType" />
1557     <xs:element name="Hosted" type="tns:HostServiceType" />
1558     <xs:complexType name="HostServiceType" >
1559         <xs:sequence>
1560             <xs:element ref="wsa:EndpointReference"
1561                 maxOccurs="unbounded" />
1562             <xs:element ref="tns:Types" minOccurs="0" />
1563             <xs:element ref="tns:ServiceId" />
1564             <xs:any namespace="##other" processContents="lax"
1565                 minOccurs="0" maxOccurs="unbounded" />
1566         </xs:sequence>
1567         <xs:anyAttribute namespace="##other" processContents="lax" />
1568     </xs:complexType>
1569
1570     <xs:element name="ServiceId" type="xs:anyURI" />
1571     <xs:element name="Types" type="tns:QNameListType" />
1572     <xs:simpleType name="QNameListType" >
1573         <xs:list itemType="xs:QName" />

```

```
1574 </xs:simpleType>
1575
1576 <xs:simpleType name="DiscoveryTypeValues" >
1577   <xs:restriction base="xs:QName" >
1578     <xs:enumeration value="tns:Device" />
1579   </xs:restriction>
1580 </xs:simpleType>
1581
1582 <xs:element name="Profile" type="tns:AssertionType" />
1583
1584 <xs:complexType name="AssertionType" >
1585   <xs:complexContent>
1586     <xs:restriction base="xs:anyType">
1587       <xs:anyAttribute namespace="##other" processContents="lax" />
1588     </xs:restriction>
1589   </xs:complexContent>
1590 </xs:complexType>
1591
1592 </xs:schema>
1593
1594
```