# Algorithm HW#0.5

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### 1 Problem 1.

We claim that the approximation ratio R = 3. Let  $S = \sum g_i$ , We prove this by examine two cases:

Case 1:  $g_i \leq S/2, \forall g_i$ .

Let  $\Delta_i$  be the difference of the sum of the values between child A and child B after the *i*-th gift is assigned. We claim that  $|\Delta_i| \leq S/2$ ,  $\forall i$ . Let k be the one which let  $|\Delta_k|$  obtain the maximum. Then after the k-th gift is assigned, the one who receive the gift, say child A, has the greater total value (or else  $|\Delta_{k-1}|$  is bigger). And right before the gift is assigned, child A has less total value (so he received the gift). So  $\Delta_k, \Delta_{k-1}$  have different sign (or possibly 0), hence  $|\Delta_k| = g_k - |\Delta_{k-1}| \leq g_k$ . Thus  $|\Delta_k| \leq S/2$ .

#### 2 Problem 2.

 $n^n>n!>2^{3n}>2^n>(\log_2(n))!>3n^3+1>\sqrt{n}+3=2^{\log_4(n)}>n^{0.01}>\log_2n=\ln n$  Here f(n)>g(n) means that  $g(n)\in o(f(n))$  and f(n)=g(n) means that  $f(n)=\Theta(g(n))$ . Notice that in both case  $f(n)=\Omega(g(n))$ .

#### 3 Problem 3.

1. We shall assume that  $\inf_{1 \le n \le 3} T(n) > 0$ , or else there exists a function T(n) such that  $T(n) \notin \Theta(f(n)), \forall f$ .

We shall prove that  $T(n) \in \Theta\left(n(\log n)^2\right)$  by induction.

$$c_1 = \min\left(\frac{1}{9(\log 9)^2} \inf_{3 \le n \le 9} T(n), \, \frac{1}{2\log 3}\right), \quad c_2 = \max\left(\frac{1}{3(\log 3)^2} \sup_{3 \le n \le 9} T(n), \, \frac{1}{\log 3}\right).$$

Then  $c_1>0$ , since  $T(n)=3T(n/3)+n\log n>T(n/3)$ , so  $\inf_{3\leq n\leq 9}T(n)>\inf_{1\leq n\leq 3}T(n)>0. \text{ Now for } 3\leq n\leq 9$ 

$$\begin{split} T(n) &\geq \frac{n(\log n)^2}{9(\log 9)^2} T(n) \geq n(\log n)^2 \frac{1}{9(\log 9)^2} \inf_{3 \leq n \leq 9} T(n) \geq c_1 n(\log n)^2 \\ T(n) &\leq \frac{n(\log n)^2}{3(\log 3)^2} T(n) \leq n(\log n)^2 \left(\frac{1}{3(\log 3)^2} \sup_{3 \leq x \leq 9} T(n)\right) \leq c_2 n(\log n)^2 \end{split}$$

So  $c_1 n (\log n)^2 \le T(n) \le c_2 n (\log n)^2$  for  $3 \le n \le 9$ .

Assume now n > 9 and for all  $3 \le k < n$ ,  $T(k) \le ck$ . Then since  $n/3 \ge 3$ , we have

$$\begin{split} T(n) &= 3T(n/3) + n\log n \geq c_1 n (\log n/3)^2 + n\log n \\ &= c_1 n (\log n - \log 3)^2 + n\log n \\ &= c_1 n (\log n)^2 + \left(c_1 n (\log 3)^2 + n\log n - 2c_1 n (\log n) (\log 3)\right) \\ &\geq c_1 n (\log n)^2 + \left(n\log n - 2c_1 n (\log n) (\log 3)\right) \\ &\geq c_1 n (\log n)^2 + \left(n\log n - \frac{2\log 3}{2\log 3} n (\log n)\right) \\ &= c_1 n (\log n)^2 \end{split}$$

and

$$\begin{split} T(n) &= 3T(n/3) + n\log n \leq c_2 n (\log n/3)^2 + n\log n \\ &= c_2 n (\log n - \log 3)^2 + n\log n \\ &= c_2 n (\log n)^2 - (2c_2 n (\log n) (\log 3) - c_2 n (\log 3)^2 - n\log n) \\ &= c_2 n (\log n)^2 - \left(c_2 n (\log n) (\log 3) - c_2 n (\log 3)^2\right) - (c_2 (\log 3) n (\log n) - n\log n) \\ &\leq c_2 n (\log n)^2 \end{split}$$

because  $\log n \ge \log 3$  and  $c_2 \ge 1/\log 3 \implies c_2 \log 3 \ge 1$ .

So  $c_1 n(\log n)^2 \le T(n) \le c_2 n(\log n)^2$ . Hence by induction, we prove that  $T(n) \in \Theta(n(\log n)^2)$ .

2. We shall prove that  $T(n) \in \Theta(n^3)$  by induction.

Assume for all  $1 \le k < n$ ,  $T(k) \le ck^3$ . Then

$$T(n) = 4T(n/2) + n^{3}$$

$$\leq 4c(n/2)^{3} + n^{3}$$

$$= cn^{3}/2 + n^{3}$$

$$= n^{3} + n^{3}$$

$$= 2n^{3} = cn^{3}$$

Hence by induction, we prove that  $T(n) \in O(n^3)$ .

Notice also that  $T(n) = 4T(n/2) + n^3 \ge n^3$ , so  $T(n) \in \Omega(n^3)$ , hence  $T(n) \in \Theta(n^3)$ .

## 4 Problem 4

- 1. True. For all c, let  $c' = \frac{1}{2c}$ . Since  $f(n) \in o(n^2)$ , exists N such that  $n > N \implies f(n) \le c'n^2$ . Then  $n^2 cf(n) \ge n^2 n^2/2 = n^2/2$ . So for  $c_0 = 1/2$  and  $N_0 = N$ ,  $n \ge N_0 \implies c_0 n^2 \le n^2 cf(n)$ , hence  $n^2 cf(n) \in o(n^2)$ .
- 2. False. Let  $f(n) = n^2/2$  and c = 2, then
  - $2^{f(n)} \in o(2^{n^2})$ , since for any c' > 0, if  $c' \ge 1$  then  $2^{f(n)} \le 2^{n^2}$  for all  $n \ge 0$ , so assume that 0 < c' < 1, then choose  $N = \sqrt{2\log_2(1/c)}$ , for  $n \ge N$ ,

$$2^{n^2/2} \ge 2^{\log_2(1/c)} \ge \frac{1}{c} \implies 2^{f(n)} = 2^{n^2/2} \le c2^{n^2}$$

hence  $2^{f(n)} \in o(2^{n^2})$ .

• If c=2, then  $n^2-cf(n)=n^2-2n^2/2=0$ , and obviously  $0\notin\Omega(n^2)$ .

Hence we disprove the statement by giving a counter example.

#### 5 Problem 5

1. Let  $f(n) = n^{2n}$ , Then

$$T(n) = 2^n n^n T(n/2) \implies T(n) = \frac{n^{2n}}{(n/2)^n} T(n/2) \implies \frac{T(n)}{f(n)} = \frac{T(n/2)}{f(n/2)} = c$$

where c is a constant. Let c' be such constant that  $c'=c\frac{T(1)}{f(1)}$ , so  $T(n)=c\frac{T(1)}{f(1)}f(n)=c'f(n)$ , hence  $T(n)\in\Theta(f(n))=\Theta(n^{2n})$ .

2. The original recursive formula is equivalent to

$$T(n) = \frac{n^2}{(n/2)^2} T(n/2) + \frac{n^2}{2\log_2 n} \implies \frac{T(n)}{n^2} = \frac{T(n/2)}{(n/2)^2} + \frac{1}{2\log_2 n}$$

Let  $k = \log_2 n$ , so

$$\frac{T(2^k)}{4^k} = \frac{T(2^{k-1})}{4^{k-1}} + \frac{1}{2k}$$

If we let  $f(k)=T(2^k)/4^k$ , then f(k)=f(k-1)+1/2k, with initial condition f(0)=T(1)=1. (or  $C_1\leq f(k)\leq C_2$  if  $1\leq k<2$ .) Hence

$$f(k) = C\sum_{m=1}^{k} \frac{1}{2m} = 2C\sum_{m=1}^{k} \frac{1}{m}$$

and notice that since 1/x monotonic decrease.

$$\log(k+1) - \log 2 \leq \int_2^{k+1} \frac{1}{x} \, \mathrm{d}x \leq \sum_{m=2}^k \frac{1}{m} \leq \int_1^k \frac{1}{x} \, \mathrm{d}x = \log(k)$$

and by the fact that  $\log(k+1) \leq \log(2k) = \log(k) + \log 2$ , so  $\sum_{m=1}^{k} 1/m \in \Theta(\log m)$ .

Hence  $f(k) \in \Theta(\log k)$  and  $T(2^k) = 4^k f(k) \in \Theta(4^k \log k)$ . Thus

$$T(n) = 4^{\log_2 n} \log(\log_2 n) = n^2 \log\log_2 n \in \Theta(n^2 \log\log n).$$

## 6 Problem 5

1. True,  $T(n) = \Theta(f(n)) \iff T(n) = \Theta(n^2)$  since  $f(n) = \Theta(n^2)$ .

Again since  $f(n) = \Theta(n^2)$ , then exists  $N_1, c_1, N_2, c_2, n \ge N_1 \implies f(n) \ge c_1 n^2$  and  $n \ge N_2 \implies f(n) \le c_2 n^2$ , let  $N = \max(N_1, N_2)$ , and let

$$d_1 = \min\left(\frac{1}{(2N)^2} \inf_{N \leq n < 2N} f(n), \ 2c_1\right), \quad d_2 = \max\left(\frac{1}{N^2} \sup_{N \leq n < 2N} f(n), \ 2c_2\right)$$

Then for  $N \le n < 2N, d_1 n^2 \le f(n) \le d_2 n^2$ .

Now we shall prove that the inequality also holds for any  $n \ge 2N$ . If for k = n/2,  $d_1k^2 \le f(k) \le d_2k^2$  holds. Then

$$f(n) \ge 2d_1k^2 + c_1n^2 \ge \frac{1}{2}d_1n^2 + \frac{1}{2}d_1n^2 = d_1n^2$$

and

$$f(n) \leq 2d_2k^2 + c_2n^2 \geq \frac{1}{2}d_2n^2 + \frac{1}{2}d_2n^2 = d_2n^2$$

So  $d_1n^2 \leq f(n) \leq d_2n^2$ . Hence by induction,  $f(n) \in \Theta(n^2)$  and the proof is complete.

2. False, Let f(n) be the function such that  $f(2^t) = 4^{k^2}$  if  $(k-1)^2 < t \le k^2$  for all  $k \in \mathbb{N}$ . Then  $f(n) \in \Omega(n^2)$ , since  $f(2^t) = 4^{k^2} > 4^t > (2^t)^2$  and hence  $f(n) > n^2$ . Then for any  $k \in \mathbb{N}$ , we have

$$\begin{split} T\left(2^{k^2}\right) &= 2T\left(2^{k^2}/2\right) + f\left(2^{k^2}\right) = 2T\left(2^{k^2-1}\right) + f\left(2^{k^2}\right) \\ &= 4T\left(2^{k^2-2}\right) + 2f\left(2^{k^2-1}\right) + f\left(2^{k^2}\right) \\ &\vdots \\ k \end{split}$$

$$= 2^{k}T\left(2^{k^{2}-k}\right) + \sum_{t=0}^{k} 2^{t}f\left(2^{k^{2}-t}\right)$$

Notice that  $(k-1)^2 \le (k-1)k = k^2 - k \le k^2$  so  $f\left(2^{k^2-t}\right) = 4^{k^2}$  when t = k. Thus

$$T(2^{k^2}) = 2^k T(2^{k^2-k}) + \sum_{t=0}^k 2^t f(2^{k^2-t}) \ge 2^k 4^{k^2}$$

So  $T(n) = 2^{\sqrt{\log n}} n^2$  for all  $n = 2^{k^2}$ . Obviously  $2^{\sqrt{\log n}} n^2 \notin O(n^2)$  since  $2^{\sqrt{\log n}} \to \infty$  as  $n \to \infty$ . Hence  $T(n) \notin O(n^2)$ , and we disprove the statement by giving a counter example.

## 7 Problem 7

1. We shall proof that  $T(n) \in \Theta(n \log n)$  by induction. Choose  $c_1', c_2'$  so that  $c_1' n \log n \le T(n) \le c_2' n \log n$  for  $n \le 4$ , and let

$$c_1 = \min\left(c_1', \frac{2}{\log 4}\right), \quad c_2 = \max\left(c_2', \frac{2}{\log 4/3}\right)$$

So  $c_1 n \log n \le T(n) \le c_2 n \log n$  for all  $n \le 4$ .

Now if n > 4, Assume that for k = n/2,  $c_1 k \log k \le T(k) \le c_2 k \log k$ . Then

$$T(n) = T(a) + T(b) + 2n$$

$$\geq c_1 a \log a + c_1 b \log b + 2n = c_1 a \log a + c_1 b \log b + 2(a+b)$$

$$= c_1 a \left( \log(a/n) + \log n + \frac{2}{c_1} \right) + c_1 b \left( \log(b/n) + \log n + \frac{2}{c_2} \right)$$

$$= c_1 (a+b) \log n + \left( \frac{2}{c_1} - \log(n/a) \right) + \left( \frac{2}{c_1} - \log(n/b) \right)$$

$$\geq c_1 n \log n$$

since  $\log(n/a)$ ,  $\log(n/b) \le \log 4 \le 2/c_2$ .

Similarly,

$$\begin{split} T(n) &= T(a) + T(b) + 2n \\ &\leq c_2 a \log a + c_2 b \log b + 2n \\ &= c_2 (a+b) \log n - \left(\log(n/a) - \frac{2}{c_2}\right) - \left(\log(n/b) - \frac{2}{c_2}\right) \\ &\leq c_2 n \log n \end{split}$$

since  $\log(n/a), \log(n/b) \leq \log(4/3) \leq 2/c_2$ . Hence by induction,  $c_1 n \log n \leq T(n) \leq c_2 n \log n$  and thus  $T(n) \in \Theta(n \log n)$ .

2. We shall proof that  $T(n) \in \Theta(n^2)$ .

First we show that  $a^2 + b^2 \le 8n^2/9$ . WLOG, assume  $a \ge b$ . If  $a \le 2n/3$ , then  $a^2 + b^2 \le 2a^2 \le 8n^2/9$ . If a > 2n/3, then b < n/3, hence  $a^2 + b^2 \le (3n/4)^2 + (1/3)^2 = 97n/144 \le 8n^2/9$ .

Now Choose c' so that  $T(n) \le c' n^2$  for  $n \le 4$ , and let  $c = \max(c', 9)$ 

If n > 4, Assume that for k = n/2,  $T(k) \le ck^2$ . Then

$$T(n) = T(a) + T(b) + n^{2}$$

$$\leq ca^{2} + cb^{2} + n^{2} = c(a^{2} + b^{2}) + n^{2}$$

$$\leq c (8n^{2}/9) + n^{2}$$

$$= cn^{2} \left(\frac{8 + 9/c}{9}\right)$$

$$\leq cn^{2}$$

since  $c \geq 9$ , so  $T(n) \in O(n^2)$ . Notice that  $T(n) = T(a) + T(b) + n^2 \geq n^2$ , so  $T(n) \in \Omega(n^2)$  hence  $T(n) \in \Theta(n^2)$ .