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### Chapter 4

### A data assimilating state-space model for algal growth under controlled conditions within a photo-bioreactor

#### 4.1 Introduction

Microalgae has long been viewed as a potential platform for bioengineering. During the 1970's and 2000's much of the research focussed on biofuel production, while recently the potential for pharmaceuticals and other high-value chemicals has been explored. Whether the desired product is a primary metabolite (eg lipids in biofuel production) or secondary metabolite (eg astaxanthin as high-value dye) it is important to be able to measure processes such as photosynthesis and respiration that place in the cells.

- More understanding of processes in high temporal resolution, non-destructive.
- 'Fuse' high resolution measurements with more direct measure
- Incorporate prior information and constraints

#### 4.1.1 Overview

At the broadest scale / biggest picture the growth of microalgae can be expressed as the following chemical reaction in which C is taken from the atmosphere as CO<sub>2</sub>, along with aqueous nutrients N and P, to produce biomass

$$106 \, \mathrm{CO_2(g)} + 122 \, \mathrm{H_2O} + 16 \, \mathrm{NO_3}^{-}(\mathrm{aq}) + \mathrm{PO_4}^{3-}(\mathrm{aq}) + 19 \, \mathrm{H}^{+}$$

$$\underbrace{[10^3 - 10^4] \, \gamma}_{\mathrm{C_{106} \, H_{263} \, O_{110} \, N_{16} \, P_1} + 138 \, \mathrm{O_2\,(g)}$$

$$(4.1)$$

This equation captures a number of features of interest. The total biomass is determined by the concentrations of N and P. A typical growth media for microalgae is Guillard's Marine Enriched Seawater (F/2), which has

species	$\operatorname{\mathbf{conc}}/\operatorname{\mu M}$
N	880
P	36
TA	2300

- Biomass: Taking the limiting nutrient to be Nitrogen, the maximum biomass of algae is  $200mgL^{-1}$ , of which about  $70mgL^{-1}$  is Carbon. This is equivalent 0.12L of pure  $CO_2$  At Standard Temperature and Pressure, which around to 325L of air for each litre of media.
- Change in alkalinity: Alkalinity is central concept in seawater chemistry that allows one to calculate how much Carbon can be dissolved in solution, and what form it takes (Dissolved Inorganic Carbon exists as CO<sub>2</sub>, HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup>). Alkalinity measures the charge imbalance between strongly and weakly dissociating ions in solution. As the charged nutrients are removed from the solution during growth the alkalinity increases and more Carbon is able to be dissolved in the media. For PBR F/2 media the initial TA is 2300

#### 4.1.2 Growing microalgae in a PBR

microalgae grown in open system constanct exh In laboratory photbioreactor small production system ponds.

Look at the fluxes into and out the system, like respiromtery.

#### Carbon in seawater

Of

Carbon and light availability are two of the most common limiting factors of biomass productivity [?].

#### 4.2 Methods

### 4.2.1 Data Model: Photo-bioreactor setup, experimental design and data collection methods

All data collection methods for this chapter were carried out by Peter Wood as part of a collection of PhD experiments (Peter Wood 2019 UTS PhD).

Microalgal culture Nannochloropsis oceanica (Droop) Green (strain CS-179) obtained from the Australian National Algae Culture Collection was cultured in 200 mL conical flasks; maintained in an incubator (Labec Pty Ltd) at 20°C, under an irradiance of  $50 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$  of cool-white fluorescent light at a 12 hour light/12 hour dark cycle. Stock cultures were grown in f/2 saltwater medium [6] and diluted 5 days prior to the start of experiments to ensure that N. oceanica was in the exponential growth phase and not nutrient deprived. f/2 was sparged prior to stock culture dilutions to maximise carbon and oxygen content.

 $N.\ oceanica$  was cultured in four,  $500\,\mathrm{mL}$  environmental photo-bioreactors (eP-BRs, Phenometrics Inc) with a  $10\%\ \mathrm{v/v}$  inoculation of stock culture. Top-side illumination over a path length of  $25\,\mathrm{cm}$  was provided by a cool-white light LED,

whilst temperature was maintained at 27°C using a Peltier heater-cooler connected to a water jacket. In-built thermocouples, calibrated against external temperature sensors attached to the Firesting module (TeX4; PyroScience GmbH), measured every 5 minutes were used to control the Peltier heater-cooler jacket through a feedback loop to an accuracy of  $\pm$  0.2°C. pH was also measured in 5 min intervals by in-built pH electrodes (Van London Inc); controlled by periodic CO2 (5%) injections using valves in the ePBRs. pH was 3-point calibrated using pH buffer solutions at pH 4.00  $\pm$  0.02, pH 7.00  $\pm$  0.02 and pH 10.00  $\pm$  0.02. PBR mixing was controlled by magnetic stirring bars at 110 rpm. All four ePBRs were aerated with filtered/humidified air through a 1.2 mm needle valve (Terumo Co).

A period of 2 days was allowed for N. occulata to acclimate to the ePBRs at an irradiance of  $500 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$  and a temperature of 27°C. Following this acclimation period, the PBR was set to the experimental condition of 2,000  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> for another 2 days and a 12 hour light/12 hour dark cycle with a temperature of 27°C. ePBRs were maintained at an optical density (OD) of 0.4 using manual dilutions, creating a semi-batch culturing system. Dilutions occurred once per day (one hour before the light cycle), using aerated f/2 media. The experiment was conducted over a period of 4 days, samples were extracted post and prior dilution, as well as half way through the light cycle. 50 mL was extracted to examine total alkalinity and dissolved inorganic carbon. Dissolved oxygen (DO) was measured using a 3 mm robust optical probe (OXROB10-OI; PyroScience GmbH) attached to a FireStingO2 logger (PyroScience GmbH). DO measurements were taken every 60 seconds and temperature-corrected using a temperature extension module (TeX4; PyroScience GmbH). DO was two-point calibrated using air-saturated seawater (100% saturation) and sodium sulfate-saturated water (0% saturation). At 2 hour intervals, a solenoid valve (SMC Pneumatics Pty. Ltd.) was used to stop aeration for 10 minutes to allow for observations of net photosynthesis.

6

Alkalinity and DIC was measured twice a day closely following the Standard Operating Procedures (SOP) outline in [3]. Approximately 30 mL of *N. oceanica* media was titrated agains 0.1 M hydrochloric acid on an auto-titrator (800 Dosino;

[Chris: DIC measurement collection description] The Total Alkalinity and DIC were calculated from the output of the auto-titrator (volume of HCl delivered, pH) by calculating the the pH as a function of the volume of the acid delivered (see SOP3a Annexe 1). Bayesian inference on TA, DIC, voffset, fK1, fK2, pHoff, pHslope.

table here for priors

link to code.

[BM: confirm that reference with PW]

Metrohm AG) [SOP3b Open-cell tritration].

### 4.2.2 Data model: Data treatment, distributions and measurement error

Valve, temperature, light (normalised to 0/1) and dilution rates were used to force the model. Dissolved oxygen, pH, dissolved inorganic carbon and total alkalinity observations for 4 days post acclimation were assimilated. While pH observations were calibrated and corrected, it was visible that  $O_2$  observations were not completely calibrated and experienced some sensor drift during the experiment.

The data model assigned log normally distributed observation errors for each instrument;  $O_{2_{obs}} \sim \text{Log} \mathcal{N}(\log(O_2), \sigma_{O_2}), pH_{obs} \sim \text{Log} \mathcal{N}(\log(pH), \sigma_{pH}), DIC_{obs}$   $\sim \text{Log} \mathcal{N}(\log(DIC), \sigma_{DIC}), TA_{obs} \sim \text{Log} \mathcal{N}(\log(TA), \sigma_{DIC}),$  where the standard deviations  $(\sigma_{O_2}, \sigma_{pH}, \sigma_{DIC})$  were unknown parameters to be estimated as part of the assimilating model. Dissolved inorganic carbon and total alkalinity measurements were obtained from the same instrument thus the error is shared between these states. Initial observation error priors started at  $\sigma_{O_2} \sim \text{Log} \mathcal{N}(\log(0.1), 0.5)$  and

then were adjusted during the PMMH tuning phase.

[Chris/SW: should I talk about the thinning out of  $O_2$  and pH obs?] [Chris, if you can be bothered Yes, you might even expand this a bit by showing the a couple of runs with differing density. This issue of how to combine fuse different measurements with huge differences in sampling density is an interesting one)

#### 4.2.3 Process model: Carbon chemistry

[ Chris: Carbon in seawater summary] main equations and rate constants role of TA This consists of 4(?) equations with (4?) uknowns

When carbon dioxide dissolves in water it undergoes a series of reactions which results in three species that exist in equilibrium, CO<sub>2</sub>, HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>-2</sup>, accompanied the release of hydrogen ions. This chemical system as been the focus of extensive research as it central role in understanding the effect of anthropogenic carbon emissions and is generally referred to as "Ocean Acidification". The dependence of these equilibrium constants on temperature and salinity has been experimentally determined and functional forms have been fitted. This has been integrated into CO2SYS, a program developed for CO<sub>2</sub> system calculations that calculates and returns a detailed state of the carbonate system of oceanographic water samples in seawater and freshwater [7].research. A thorough explanation of CO2SYS, and seawater carbon chemistry in general, can be found in Zeebe and Wolf-gladrow [12]. A concise summary of the equations can be found in SOP 3a, Annexe 1 of [3].

It is recognised as the defacto standard for carbon system calculations and is widely used in oceanographic and marine biology. Given two of the four measurable carbonate system parameters (total alkalinity, total inorganic CO<sub>2</sub>, pH, and either fugacity fCO<sub>2</sub> or partial pressure of CO<sub>2</sub>) the other two parameters can be calculated for a set of input conditions (temperature and pressure). In the model the state variables TA and DIC are given and the pH and pCO<sub>2</sub> are calculated.

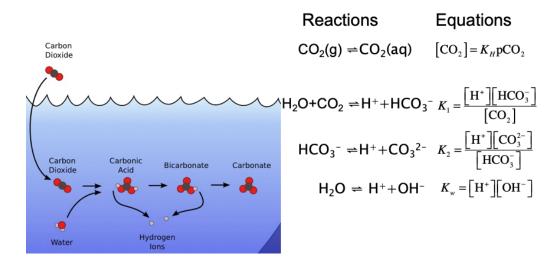


Figure 4.1 : CO2sys

Ideally CO2SYS [7] would have been used to calculate the carbon chemistry of the photo-bioreactor. However, it is not possible to directly implement CO2sys in LibBi due the constraints imposed by the GP-GPU computing model. CO2SYS iteratively solves the set of chemical equilibrium equations which requires a for / while loop and if constructs which are not available in LibBI. A number of approaches were explored to circumvent this restriction:

- 1. Creating functional approximation of the solutions from CO2sys
- 2. Recasting the steady state equilibrium as a set of coupled ODE's
- 3. Manually 'unrolling' the while loop as fixed number of iterations.

In addition to being tedious, it was not possible to achieve the accuracy needed via functional approximation. The set of ODE's the describe the chemical reactions are notoriously stiff [11] which resulted in a prohibitively small step size. Coding a fixed number of iterations also presented issues as if the number of iterations is too low the solution is inaccurate while too many iterations is inefficient and may be limited by memory available to the process on the GPU.

CO2SYS was successfully incorporated into LiBbi by a combination of the first and third approaches. The functional approximation from step 1 was used to precondition the solution and 3 iterations were explicitly coded. (Eq. 4.5 - 4.13) of the Newton-Raphson method for finding approximations to roots of real valued functions. The Newton-Raphson method is an iterative process  $x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$  considering a function  $f(x_n)$ , its derivative  $f'(x_n)$  and an initial starting value  $x_0$ . The approximate root  $x_{n+1}$  converges to the exact solution very quickly if a close initial starting value is picked. To ensure the quick convergence of the Newton-Raphson method, an approximating equation for  $pH_0$  (Eq. 4.4) was obtained by fitting a stepwise regression with interactions to a range of simulated CO2SYS input parameters (temperature: 20-30, salinity: 30-40, DIC: 200-2500, and alkalinity: 1500-3000). A range of initial conditions and parameter values were tested, and each converged with RMSE < 0.01 across pH,  $HCO_3^-$ ,  $CO_2$ , and  $CO_3$ , DIC,  $O_2$ , TA by the 3rd iteration (Figure 4.2 and Table 4.1).

### CO2SYS constants and iterative solution for pH, $HCO_3^-$ , $CO_2$ , and $CO_3$

Total Sulfur:

$$TS = \frac{0.14}{96.062} * \frac{S}{1.8065}$$

$$IS = 19.924 \frac{S}{(1000 - 1.005S)}$$

$$KS_{int} = -\frac{4276.1}{T_K} + 141.328 - 23.093log(T_K) + (-\frac{13856.0}{T_K} + 324.57$$

$$-47.986log(T_K))\sqrt{IS} + (\frac{35474}{T_K} - 771.54 + 114.723log(T_K))IS$$

$$-\frac{2698}{T_K}IS^{1.5} + \frac{1776}{T_K}IS^2$$

$$KS = (1 - 0.001005S)e^{(KS_{int})}$$

Fluorine:

$$TF = \frac{\frac{0.000067S}{18.9984}}{1.80655}$$

$$KF = e^{\left(-\left(-\frac{874.0}{T_K} - 0.111\sqrt{S} + 9.68\right)\right)}$$

$$SWS_{2_T} = \frac{\left(1 + \frac{TS}{KS}\right)}{\left(1 + \frac{TS}{KS} + \frac{TF}{KF}\right)}$$

$$Free_{2_T} = 1 + \frac{TS}{KS}$$

H2O dissoc:

$$KW = e^{(148.9802 - \frac{13847.26}{T_K} - 23.6521log(T_K) + (\frac{118.67}{T_K} - 5.977 + 1.0495log(T_K))\sqrt{S} - 0.01615S)}$$

Boron:

$$KB = exp(\frac{(-8966.90 - 2890.53\sqrt{S} - 77.942S + 1.728S\sqrt{S} - 0.0996S^{2})}{T_{K}} + 148.0248 + 137.1942\sqrt{S} + 1.62142S$$
$$- (24.4344 + 25.085\sqrt{S} + 0.2474S)log(T_{K}) + 0.053105T_{K}\sqrt{S})$$
$$TB = 0.0004326\frac{S}{35}$$

Choice of carbonate dissociation constants  $K_1$  and  $K_2$  were Mehrbach [8] (refit by Dickson and Millero [2]) with  $1.23K_1$  and  $0.53K_2$  measured experiment specific adjustments:

$$K_1 = 10^{\left(-\left(\frac{3633.86}{T_K} - 61.2172 + 9.6777log(T_K) - 0.011555S + 0.0001152S^2\right)\right)} * 1.23$$
 (4.2)

$$K_2 = 10^{\left(-\left(\frac{471.8}{T_K} + 25.9290 - 3.16967log(T_K) - 0.01781S + 0.0001122S^2\right)\right)} * 0.53$$
(4.3)

Approximating equation for the starting value of pH:

$$pH_0 = 12.26 - 0.0030605DIC - 0.043752T - 0.013625S + 0.00011315TA$$
$$+ 1.3463e - 5DIC * T + 5.2215e - 7DIC * TA$$
(4.4)

Newton-Raphson iterations:

$$h_n = 10^{-pH_n} (4.5)$$

$$h_{n_{free}} = \frac{h_n}{Free_{2_T}} \tag{4.6}$$

$$f_n = (DIC * 1e - 6 * \frac{K_1 h_n + 2K_1 K_2}{h_n^2 + K_1 h_n + K_1 K_2} - h_{n_{free}} + \frac{KW}{h_n} - TA * 1e - 6 + \frac{TB}{1 + \frac{h_n}{KB}}) * 1e6$$

$$(4.7)$$

$$df_n = (DIC * 1e - 6 * \frac{K_1 + 2K_1K_2}{h_n^2 + K_1h_n + K_1K_2}$$
$$- DIC * 1e - 6 * \frac{(K_1h_n + 2K_1K_2)}{(h_n^2 + K_1h_n + K_1K_2)^2} (2h_n + K_1)$$

$$-TB \frac{1}{(1 + \frac{h_n}{KB})^2} / KB$$

$$-\frac{KW}{h_n^2} - \frac{1}{Free_{2T}}) * 1e6 * (-log(10) * 10^{-pH})$$
(4.8)

$$pH_{n+1} = pH_n - \frac{f_n}{df_n} \tag{4.9}$$

$$H_{n+1} = 10^{-pH_{n+1}} (4.10)$$

$$CO_{2n+1} = \frac{H_{n+1}^2 DIC}{H_{n+1}^2 + K_1 H_{n+1} + K_1 K_2}$$
(4.11)

$$HCO_{3_{n+1}} = \frac{H_{n+1}K_1DIC}{H_{n+1}^2 + K_1H_{n+1} + K_1K_2}$$
(4.12)

$$CO_{3_{n+1}} = \frac{K_1 K_2 DIC}{H_{n+1}^2 + K_1 H_{n+1} + K_1 K_2}$$
(4.13)

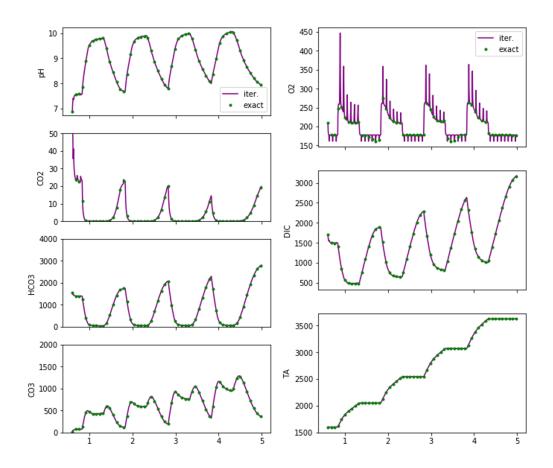


Figure 4.2 : Iterative (3rd iteration) vs exact solution for carbon chemistry  $CO_2$ ,  $HCO_3$ ,  $CO_3$ , pH and state variables  $O_2$ , DIC, and TA.

Variable	Iter. 1	Iter. 2	Iter. 3	Iter. 4	Iter. 5
pH	0.036092734	0.002355758	1.41E-05	6.93E-06	6.93E-06
$CO_2$	2.109401968	0.145719349	0.001222812	0.000866728	0.000866727
$HCO_3$	19.81869214	1.21021115	0.008016765	0.001025002	0.001025139
$CO_3$	20.89660704	1.307061652	0.00867642	0.001102278	0.001102434
DIC	16.78775711	0.958511825	0.005229318	0.002305054	0.002333411
$O_2$	0.308389964	0.016044284	4.18E-05	6.89E-05	7.59E-05
TA	2.607767674	0.160897272	0.000688102	0.001257725	0.001218981

Table 4.1: RMSE for 5 iterations of the Newton-raphson carbon chemistry iterative solution.

## 4.2.4 Process model: Gas transfer equilibrium concentrations for $O_2$ and $CO_2$

The equilibrium concentration for  $CO_2$  solubility in water  $CO_{2H}$  ( $\mu$ mol/L) is calculated using Henry's law,

$$CO_{2H} = K0_{CO2} * fCO2 * 1.0220 * 1e6$$
 (4.14)

where fCO2 (atm) is the fugacity or approximately the partial pressure of CO<sub>2</sub>, 1.0220 is the density of seawater (kg/L) at salinity 34 ppt and temperature 27°C [9] [4].  $KO_{CO2}$  (mol/kg<sub>soln</sub>/atm) is the solubility of gas in seawater [BM: ask Chris: solubility of gas? is this right] and is calculated from the fitted van't Hoff equation and the logarithmic Setchenow salinity dependence [10],

$$K0_{CO2} = e^{\left(-60.2409 + 93.4517 \frac{100}{T_K} + 23.3585 * log\left(\frac{T_K}{100}\right) + S\left(0.023517 - 0.023656 \frac{T_K}{100} + 0.0047036\left(\frac{T_K}{100}\right)^2\right)\right)}$$

$$(4.15)$$

where  $T_K$  is the temperature (K) and S is salinity (ppt). Similarly the equilibrium concentration for  $O_2$  solubility in water  $O_{2H}$  is calculated using Henry's law,

$$O_{2H} = K0_{O2} * fO2 * 1.0220 * 1e - 6 (4.16)$$

where fO2 (atm) is the fugacity or approximately the partial pressure of  $O_2$ , 1.0220 is the density of seawater (kg/L) at salinity 34 ppt and temperature 27°C [9] [4], and  $KO_{O2}$  (mol/kg<sub>soln</sub>/atm) is the solubility of oxygen in seawater with an adjusted salinity dependence [1],

$$K0_{O2} = \frac{e^{(-1282.8704 + \frac{36619.96}{T_K} + 223.1396log(T_K) - 0.354707T_K + S(5.957e - 3 - \frac{3.7353}{T_K}) + 3.68e - 6S^2)}{0.2094e - 6}$$

$$(4.17)$$

where  $T_K$  is the temperature (K) and S is salinity (ppt). The equilibrium concentrations for  $O_2$  and  $CO_2$  are modelled together with the gas turning on and off during the experiment, as

$$Q^{air}kLa_{O_2}^{air}(O_{2H} - O_2) (4.18)$$

$$Q^{air}kLa^{air}_{CO_2}(CO_{2H} - CO_2) (4.19)$$

where  $Q^{air}$  is the gas state (1= on, 0= off),  $kLa_{O_2}^{air}$  and  $kLa_{CO_2}^{air}$  are the mass transfer coefficients for air (d<sup>-1</sup>), and 0.893 is the ratio between measured  $O_2$  and  $CO_2$  mass transfer constants [5].

#### 4.2.5 Process model: Photosynthesis and respiration

Net photosynthesis

$$\frac{\partial DIC}{dt} = -(P_1 I \frac{HCO_3^-}{K_m + HCO_3^-} - R_1) \tag{4.20}$$

$$\frac{\partial O_2}{\partial t} = \frac{1}{(RQ_d I + RQ_n(1-I))} (P_1 I \frac{HCO_3^-}{K_m + HCO_3^-} - R_1)$$
 (4.21)

$$\frac{\partial TA}{\partial t} = R_R(P_1 I \frac{HCO_3^-}{K_m + HCO_3^-}) \tag{4.22}$$

$$\frac{\partial C_p}{\partial t} = \qquad (P_1 I \frac{HCO_3^-}{K_m + HCO_3^-} - R_1) \tag{4.23}$$

 $RQ_d$  and  $RQ_n$  are the day and night respiratory quotients, the ratio of  $CO_2$  produced and  $O_2$  consumed by a cell.

The michaelis menton term  $\frac{HCO_3^-}{K_m + HCO_3^-}$  represents the photosynthetically active carbon that the microalgae use for photosynthesis.

#### 4.2.6 Process model: Dilution

$$\frac{Q^M}{V}(DIC^M - DIC)$$

$$\frac{Q^M}{V}(O_2^M - O_2)$$

$$\frac{Q^M}{V}(TA^M - TA)$$

$$\frac{Q^M}{V}($$
  $C_p)$ 

#### 4.2.7 Process model: Ordinary differential equations

A summary of the ode's that make up the process model:

Rate flux into cells gas transfer dilution
$$\frac{\partial DIC}{\partial t} = -(P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1}) + \hat{Q}^{air}kLa_{CO_{2}}^{air}(CO_{2}^{air} - CO_{2}) + \frac{Q^{M}}{V}(DIC^{M} - DIC)$$

$$\frac{\partial O_{2}}{\partial t} = \frac{(P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1})}{(RQ_{d}I + RQ_{n}(1 - I))} + \hat{Q}^{air}kLa_{O_{2}}^{air}(O_{2}^{air} - O_{2}) + \frac{Q^{M}}{V}(O_{2}^{M} - O_{2})$$

$$\frac{\partial TA}{\partial t} = R_{R}(P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}}) + \frac{Q^{M}}{V}(TA^{M} - TA)$$

$$\frac{\partial C_{p}}{\partial t} = (P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1})$$

$$\frac{\partial C_{p}}{\partial t} = (P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1})$$

$$\frac{\partial C_{p}}{\partial t} = (P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1})$$

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$$\frac{\partial C_{p}}{\partial t} = (P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1})$$

$$\frac{\partial C_{p}}{\partial t} = (P_{1}I \frac{HCO_{3}^{-}}{K_{m} + HCO_{3}^{-}} - R_{1})$$

	Symbol	Description	Prior / Value	Unit
ions	$DIC^0$	Dissolved inorganic carbon	$Log \mathcal{N}(log(1300), 0.2)$	$ m \mu M~L^{-1}$
ndit	$O_2^0$	Oxygen	$\text{Log}\mathcal{N}(\log(225), 0.2)$	$\mu { m M~L^{-1}}$
Initial conditions	$\mathrm{TA}^0$	Total alkalinity	$\log \mathcal{N}(\log(1750), 0.1)$	$\mu { m M~L^{-1}}$
Init	$C_p^0$		$\log \mathcal{N}(\log(300), 0.2)$	$\mu { m M~L^{-1}}$
	$pH^0$	_	$\log \mathcal{N}(\log(8.5), 0.2)$	$\log_{10}(-\text{mol/L H+})$
	$CO_2{}^0$	Carbon dioxide	$Log \mathcal{N}(log(5), 0.4)$	$\mu M L^{-1}$
	$HCO_3^{-0}$	Bicarbonate	$\log \mathcal{N}(\log(1500), 0.3)$	$\mu { m M~L^{-1}}$
	$CO_3^{2-0}$	Carbonate	$\log \mathcal{N}(\log(100), 0.4)$	$\mu { m M~L^{-1}}$
lls	$P_1$	Maximum photosynthesis rate	*	$\mu \mathrm{M~L^{-1}~hour^{-1}}$
to ce	$R_1$	Respiration rate	*	$\mu$ M L <sup>-1</sup> hour <sup>-1</sup>
Flux into cells	$K_m$	Carbon restriction	*	$\mu \mathrm{M} \ \mathrm{L}^{-1}$
FI	$RQ_d$	Daytime respiratory quotient	*	-
	$RQ_n$	Night respiratory quotient	*	-
	$R_R$	Redfield ratio	*	_
	I	Light indicator	forcing $(0/1)$	_

erms	$\hat{Q}^{air}$	Indicator for flow in air line	forcing $(0/1)$	-
Gas transfer terms	$x_{CO_2}^{air}$	Mole fraction of CO <sub>2</sub> atmosphere	400	ppm
trans	$CO_{2H}$	Equilibrium CO <sub>2</sub> concentration	Eq. 4.14	$\mu  m M~L^{-1}$
Gas 1	$CO_2^{air}$	Sat CO <sub>2</sub> conc with atmosphere	$x_{CO_2}^{air}CO_{2H}$	
	$kLa_{CO_2}^{air}$	Mass transfer coefficient for $\mathrm{CO}_2$	$0.893kLa_{O_{2}}^{air}$	$day^{-1}$
	$x_{O_2}^{air}$	Mole fraction of O <sub>2</sub> atmosphere	0.2094	atm
	$O_{2H}$	Equilibrium $O_2$ concentration	Eq. 4.16	$\mu  m M~L^{-1}$
	$O_2^{air}$	Sat O <sub>2</sub> conc with atmosphere	$x_{O_2}^{air}O_{2H}$	
	au	half-life of $kLa^{air}_{O_2}$	range(2-20)	$\min^{-1}$
	$kLa^{air}_{O_2}$	Mass transfer coefficient for $O_2$	$\ln(2)*24*60/\tau$	$day^{-1}$
ns	$Q^M$	Dilution rate	forcing	$\rm ml~day^{-1}$
ı terr	V	Volume of the reactor	500	ml
Dilution terms	$DIC^{M}$	Media dissolved inorganic carbon	1724.20	$\mu \mathrm{M} \ \mathrm{L}^{-1}$
Dil	$O_2^M$	Media oxygen concentration	226.65	$\mu { m M~L^{-1}}$
	$TA^{M}$	Media total alkalinity	1797.90	$\mu M L^{-1}$

Table 4.2: State variable, parameter and forcing definitions with units, and their assignments: either fixed values, priors on initial condition or priors on parameters (\*) defined later in Section 4.2.9.

## 4.2.8 Design and setup of data assimilation model with both simulated and experimental data

A simulated dataset was created by running the process model described in Section 4.2.7 with a fixed set of parameters ( $P_1 = 200$ ,  $R_1 = 30$ ,  $kLA_{O_2}^{air} = 200$ ,  $K_m = 150$ ,  $RQ_d = 0.85$ ,  $RQ_n = 0.95$ ,  $R_R = 0.075$ ) and initial conditions ( $O_2^0 = 225$ ,  $DIC^0 = 1250$ ,  $TA^0 = 1750$ ).

The first set of results assimilated the simulated observations and attempted to

recover the true value parameters.

[Chris: noise on simulated dataset? sigmas?] Normally distributed errors with std devs of

$$\begin{vmatrix}
\sigma_{DA}^{2} & 50. \\
\sigma_{O_{2}}^{2} & 2. \\
\sigma_{pH}^{2} & 0.01
\end{vmatrix}$$

#### 4.2.9 Parameter Model: Priors

[BM: I've put these in at the beginning of each results section for now and described how each run is different in the **In this run:** parts, so that they're clear when we're discussing the results. Will move it here after our meeting.]

#### 4.3 Results and Discussion

# 4.3.1 Posteriors with simulated data (photosynthesis and respiration as parameters)

State posteriors are visualised by plotting the median and shading 95% credible intervals, while parameter priors and posteriors are displayed by histograms.

#### In this run:

 $P_1$ ,  $R_1$ ,  $kLA_{O_2}$ ,  $K_m$ ,  $R_R$ ,  $RQ_d$ , and  $RQ_n$  and all treated as parameters constant through time but unknown. The data model assigned log normally distributed observation errors for each instrument;

$$O_{2_{obs}} \sim \text{Log} \mathcal{N}(\log(O_2), \sigma_{O_2})$$
  
 $pH_{obs} \sim \text{Log} \mathcal{N}(\log(pH), \sigma_{pH})$   
 $DIC_{obs} \sim \text{Log} \mathcal{N}(\log(DIC), \sigma_{DIC})$   
 $TA_{obs} \sim \text{Log} \mathcal{N}(\log(TA), \sigma_{DIC})$ 

Parameter	Prior	Proposal
$P_1$	$\log \mathcal{N}(\log(250.0), 0.8)$	$\text{Log}\mathcal{N}(\text{log}(P_1), 0.08)$
$R_1$	$\log \mathcal{N}(\log(20.0), 0.8)$	$\text{Log}\mathcal{N}(\log(R_1), 0.08)$
$kLA_{O2}$	$\log \mathcal{N}(\log(200.0), 0.3)$	$\text{Log}\mathcal{N}(\log(kLA_{O2}), 0.03)$
$K_m$	$\log \mathcal{N}(\log(200.0), 0.6)$	$\text{Log}\mathcal{N}(\log(K_m), 0.06)$
$R_R$	Uniform $(0, 0.2)$	$\operatorname{Trun}\mathcal{N}(R_R, 0.01, \text{lower} = 0, \text{upper} = 0.2)$
$RQ_d$	Uniform $(0.6, 1)$	Trun $\mathcal{N}(RQ_d, 0.005, \text{lower} = 0.6, \text{upper} = 1.0)$
$RQ_n$	Uniform $(0.6, 1)$	Trun $\mathcal{N}(RQ_n, 0.005, \text{lower} = 0.6, \text{upper} = 1.0)$
$\sigma_{O_2}$	0.3	*
$\sigma_{pH}$	0.3	*
$\sigma_{DIC}$	0.3	*

Table 4.3: Table of Parameters, their priors and proposal distributions. \* indicates the parameter was held fixed.

Parameter	Quantiles (25%, 75%)	Quantiles (5%, 95%)	True value
$P_1$	(237.2167, 302.0565)	(200.8971, 365.1751)	200
$R_1$	(17.5370, 32.3501)	(10.6537, 45.3096)	30
$kLA_{O_2}^{air}$	(177.1383, 222.9641)	(148.2838, 267.5934)	200
$K_m$	(182.6643, 362.6714)	(118.4125, 643.8518)	150
$R_R$	(0.0504,  0.1041)	(0.0196, 0.1458)	0.075
$RQ_d$	(0.7529, 0.8718)	(0.6894, 0.9666)	0.85
$RQ_n$	(0.7469, 0.9338)	(0.6400, 0.9920)	0.95

Table 4.4: True values for parameters used to create the simulated observations, and posterior (25%, 75%), (5%, 95%) quantiles for parameters after assimilating observations.

### Results:

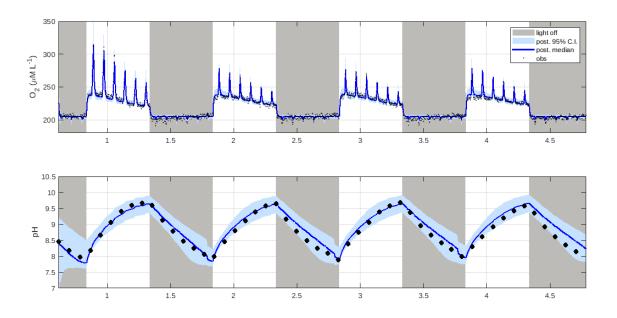


Figure 4.3 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for  $O_2$  and pH across 4 days.

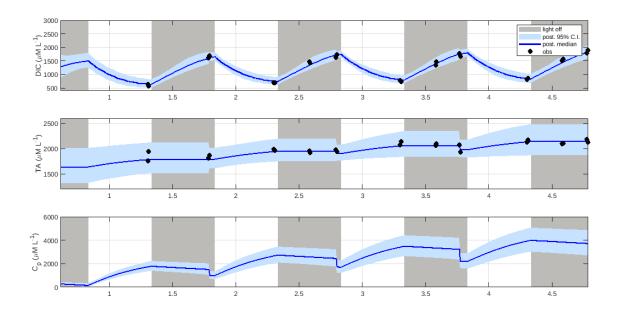


Figure 4.4: Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for DIC, TA and  $C_p$  across 4 days.

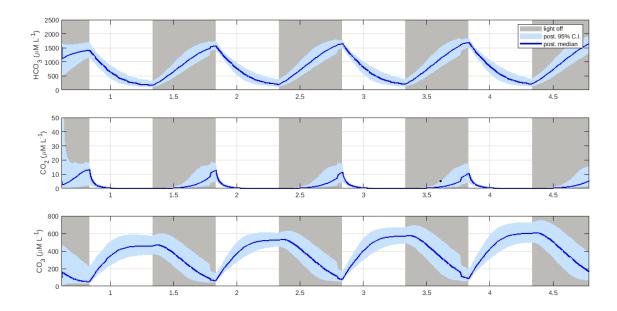


Figure 4.5 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $HCO_3$ ,  $CO_2$  and  $CO_3$  across 4 days.

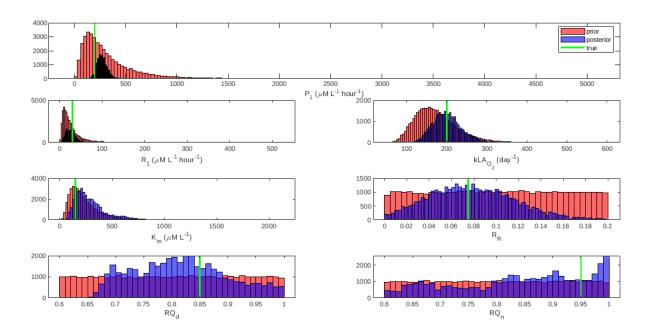


Figure 4.6: Priors (pink), posteriors (purple) and true values (green) for model parameters.

After 50,000 samples the true values of parameters  $R_1$ ,  $kLA_{O_2}^{air}$ ,  $R_R$ , and  $RQ_d$  were recovered to the 25th and 75th percentile (Table 4.4). While the true values of  $K_m$  and  $RQ_n$  did not lie within the 25th and 75th quantile, they were captured by the 5th and 95th percentiles (Table 4.4).  $P_1$  was the only parameter whose true value lied on the cusp of the 5th percentile. Parameters  $P_1$ ,  $R_1$ ,  $kLA_{O_2}^{air}$ , and  $R_R$  mixed well, while parameters  $K_m$ ,  $RQ_d$ , and  $RQ_n$  did not mix as well, some autocorrelation between samples was present (Figure A.3).

Log-likelihood stopped rising (Figure A.2). Acceptance rate was XX.

Observed state variables  $O_2$ , DIC, TA, and observed pH posteriors were in excellent agreement with observations, with all observations fitting within the 95% credible interval posteriors (Figure 4.3, Figure 4.4).

Unobserved state variable  $C_p$  .. (Figure 4.4).

Carbon chemistry variables  $HCO_3$ ,  $CO_2$ , and  $CO_3$  ... (Figure 4.5).

## 4.3.2 Posteriors with simulated data (photosynthesis and respiration modelled as random walks)

State posteriors are visualised by plotting the median and shading 95% credible intervals, while parameter priors and posteriors are displayed by histograms.

#### In this run:

 $kLA_{O_2}$ ,  $K_m$ ,  $R_R$ ,  $RQ_d$ , and  $RQ_n$  and all treated as parameters constant through time but unknown. Photosynthesis  $(P_1)$  and respiration  $(R_1)$  are both modelled as random walks, by taking P and R, previously constant parameters, and replacing them by  $P_1(t)$  and  $R_1(t)$ . Here, we take  $P_1(t)$  and  $R_1(t)$  to be such that

$$P_1(t + \Delta t) = P(t) + r_P$$

$$R_1(t + \Delta t) = R(t) + r_R$$

where  $r_P \sim N(0, \sigma_{r_P})$ ,  $r_R \sim N(0, \sigma_{r_R})$ , and  $\Delta t$  is the length of discrete time-step. For the purpose of the Bayesian analysis here,  $\sigma_{r_P}$  and  $\sigma_{r_R}$  are treated as parameters to be inferred.

The data model assigned log normally distributed observation errors for each instrument;

$$O_{2_{obs}} \sim \text{Log} \mathcal{N}(\log(O_2), \, \sigma_{O_2})$$
  
 $pH_{obs} \sim \text{Log} \mathcal{N}(\log(pH), \, \sigma_{pH})$   
 $DIC_{obs} \sim \text{Log} \mathcal{N}(\log(DIC), \, \sigma_{DIC})$   
 $TA_{obs} \sim \text{Log} \mathcal{N}(\log(TA), \, \sigma_{DIC})$ 

Parameter	Prior	Proposal
$kLA_{O2}$	$\log \mathcal{N}(\log(200.0), 0.3)$	$\text{Log}\mathcal{N}(\log(kLA_{O2}), 0.03)$
$K_m$	$\log \mathcal{N}(\log(200.0), 0.6)$	$\text{Log}\mathcal{N}(\log(K_m), 0.06)$
$R_R$	Uniform $(0, 0.2)$	$\operatorname{Trun}\mathcal{N}(R_R, 0.01, \text{lower} = 0, \text{upper} = 0.2)$
$RQ_d$	Uniform $(0.6, 1)$	Trun $\mathcal{N}(RQ_d, 0.005, \text{lower} = 0.6, \text{upper} = 1.0)$
$RQ_n$	Uniform $(0.6, 1)$	Trun $\mathcal{N}(RQ_n, 0.005, \text{lower} = 0.6, \text{upper} = 1.0)$
$\sigma_{r_P}$	$\mathcal{N}(0.01,  0.001)$	$\mathcal{N}(\sigma_{r_P}, 0.0001)$
$\sigma_{r_R}$	$\mathcal{N}(0.01,  0.001)$	$\mathcal{N}(\sigma_{r_R},  0.0001)$
$\sigma_{O_2}$	0.3	*
$\sigma_{pH}$	0.3	*
$\sigma_{DIC}$	0.3	*

Table 4.5 : Table of Parameters, their priors and proposal distributions. \* indicates the parameter was held fixed.

Parameter	Quantiles (25%, 75%)	Quantiles (5%, 95%)	True value
$kLA_{O_2}^{air}$	(170.9854, 216.0578)	(145.6899, 253.4652)	200
$K_m$	(187.3586, 386.4103)	(93.9670, 641.1432)	150
$R_R$	(0.0521, 0.1075)	(0.0192, 0.1544)	0.075
$RQ_d$	(0.7906, 0.8965)	(0.6833, 0.9711)	0.85

$RQ_n$	(0.6654, 0.8334)	(0.6164, 0.9739)	0.95
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Table 4.6: True values for parameters used to create the simulated observations, and posterior (25%, 75%), (5%, 95%) quantiles for parameters after assimilating observations.

#### Results:

After 50,000 samples the true values of parameters  $kLA_{O_2}^{air}$ ,  $R_R$ , and  $RQ_d$  were recovered to the 25th and 75th percentile (Table 4.6). While the true values of  $K_m$  and  $RQ_n$  did not lie within the 25th and 75th quantile, they were captured by the 5th and 95th percentiles (Table 4.6). Parameters  $\sigma_{rP}$ ,  $\sigma_{rR}$ ,  $kLA_{O_2}^{air}$ , and  $R_R$  mixed well, while parameters  $K_m$ ,  $RQ_d$ , and  $RQ_n$  did not mix as well, some autocorrelation between samples was present (Figure 4.12). The true values of  $P_1$  (200) and  $P_2$  (30) lie within the posterior 95% credible intervals (Figure 4.11).

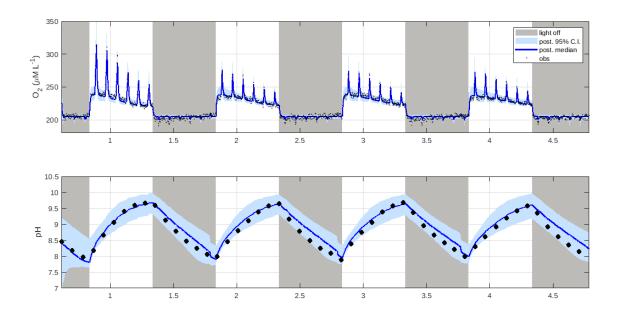


Figure 4.7 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for  $O_2$  and pH across 4 days.

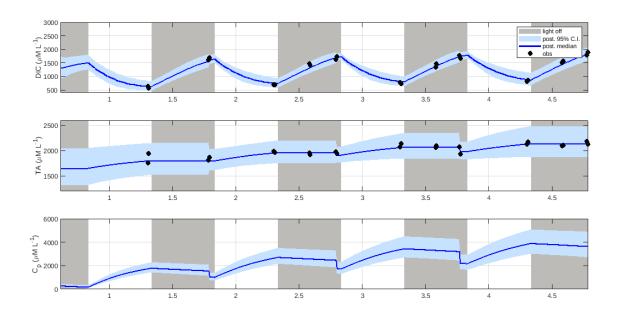


Figure 4.8: Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for DIC, TA and  $C_p$  across 4 days.

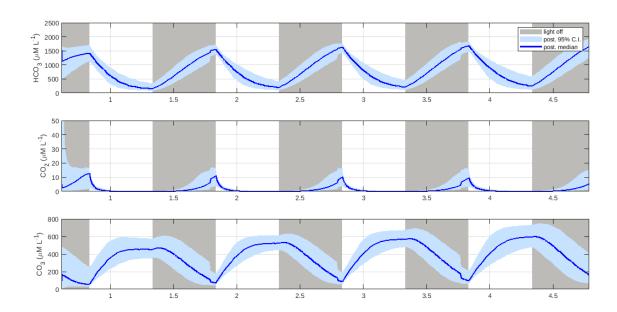


Figure 4.9 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $HCO_3$ ,  $CO_2$  and  $CO_3$  across 4 days.

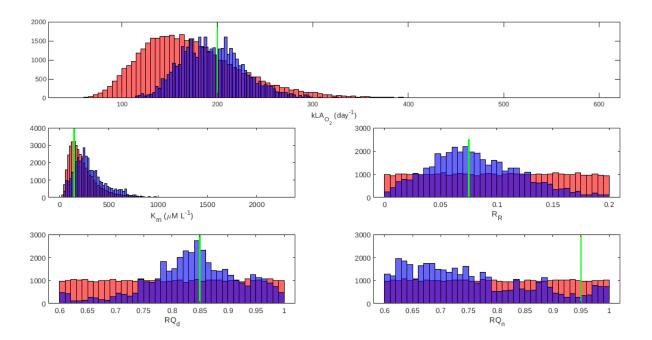


Figure 4.10: Priors (pink), posteriors (purple) and true values (green) for model parameters.

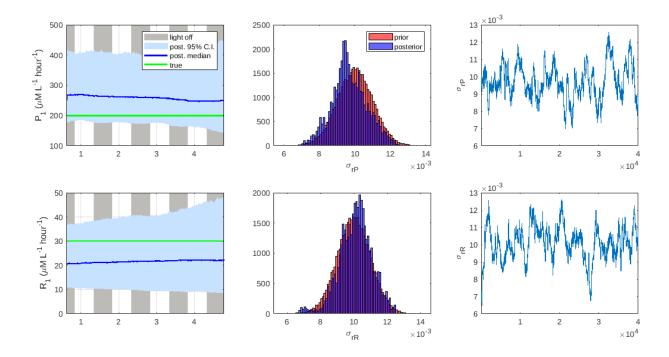


Figure 4.11: Random walk posteriors  $P_1$  and  $R_1$  medians (solid blue), 95% credible intervals (shaded blue), and true values (green).  $\sigma_{rP}$  and  $\sigma_{rR}$  priors (pink), posteriors (purple), true values (green) and traces.

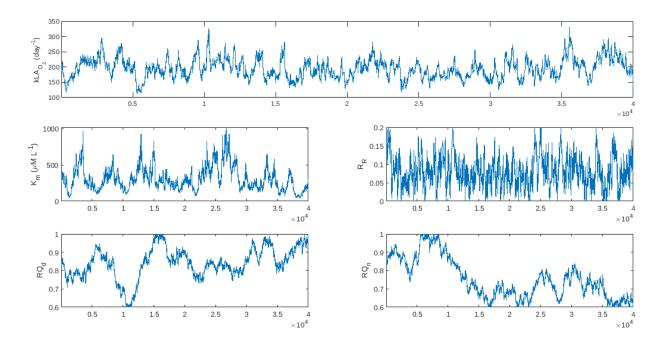


Figure 4.12: Traces for model parameters.

# 4.3.3 Posteriors with experimental data (photosynthesis, respiration and respiratory quotients are random walks)

State posteriors are visualised by plotting the median and shading 95% credible intervals, while parameter priors and posteriors are displayed by histograms.

#### In this run:

Photosynthesis  $(P_1)$  and respiration  $(R_1)$  are both modelled as random walks, by taking P and R, previously constant parameters, and replacing them by  $P_1(t)$  and  $R_1(t)$ . Here, we take  $P_1(t)$  and  $R_1(t)$  to be such that

$$P_1(t + \Delta t) = P(t) + r_P$$

$$R_1(t + \Delta t) = R(t) + r_R$$

where  $r_P \sim N(0, \sigma_{r_P})$ ,  $r_R \sim N(0, \sigma_{r_R})$ , and  $\Delta t$  is the length of discrete time-step. For the purpose of the Bayesian analysis here,  $\sigma_{r_P}$  and  $\sigma_{r_R}$  are treated as parameters to be inferred. The respiratory quotients  $RQ_d$  and  $RQ_n$  were also treated as random walks with rP and rR as wiener processes.  $kLA_{O_2}$ ,  $K_m$ ,  $R_R$ ,  $\sigma_{rP}$ ,  $\sigma_{rR}$  are all treated as parameters constant through time but unknown. The data model assigned log normally distributed observation errors for each instrument;

$$O_{2_{obs}} \sim \text{Log}\mathcal{N}(\log(O_2), \, \sigma_{O_2})$$

$$pH_{obs} \sim \text{Log}\mathcal{N}(\log(pH), \sigma_{pH})$$

$$DIC_{obs} \sim \text{Log}\mathcal{N}(\log(DIC), \sigma_{DIC})$$

$$TA_{obs} \sim \text{Log}\mathcal{N}(\log(TA), \sigma_{DIC})$$

Parameter	Prior	Proposal
$kLA_{O2}$	$\log \mathcal{N}(\log(200.0), 0.3)$	$\text{Log}\mathcal{N}(\log(kLA_{O2}), 0.03)$
$K_m$	$\log \mathcal{N}(\log(200.0), 0.6)$	$\text{Log}\mathcal{N}(\log(K_m), 0.06)$
$R_R$	Uniform $(0, 0.2)$	Trun $\mathcal{N}(R_R, 0.005, \text{lower} = 0, \text{upper} = 0.2)$
$\sigma_{r_P}$	$\mathcal{N}(0.02,  0.002)$	$\mathcal{N}(\sigma_{r_P}, 0.0002)$
$\sigma_{r_R}$	$\mathcal{N}(0.01,  0.001)$	$\mathcal{N}(\sigma_{r_R},  0.0001)$
$\sigma_{O_2}$	0.3	*
$\sigma_{pH}$	0.3	*
$\sigma_{DIC}$	0.5	*

Table 4.7: Table of Parameters, their priors and proposal distributions. \* indicates the parameter was held fixed.

Parameter	Quantiles (25%, 75%)	Quantiles (5%, 95%)
$kLA_{O_2}^{air}$	(139.5979, 170.8102)	(120.9171, 204.5474)
$K_m$	(168.1931, 378.8001)	(104.2598, 599.6881)
$R_R$	(0.0815, 0.1512)	(0.0284, 0.1844)
$\sigma_{r_P}$	(0.0178, 0.0202)	(0.0159, 0.0222)
$\sigma_{r_R}$	(0.0094, 0.0113)	(0.0081, 0.0121)

Table 4.8 : Posterior (25%, 75%), (5%, 95%) quantiles for parameters after assimilating observations.

#### Results:

40,000 samples run with 1024 particles (40,000 was all that could complete in the maximum time allocation on the hpc cluster). 10,000 discarded as burn-in, acceptance rate was XX.

Observed state variables DIC and TA posteriors perform well with all observations lying within the 95% credible intervals (Figure 4.14).  $O_2$  posteriors tracked the

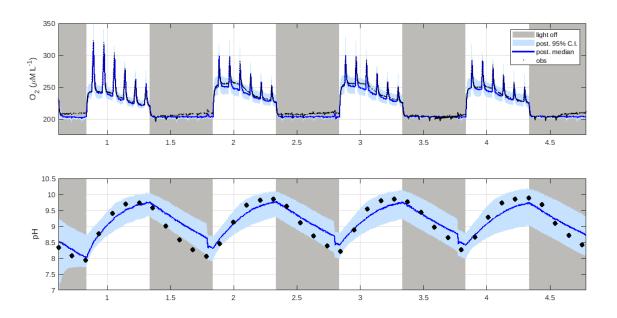


Figure 4.13 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for  $O_2$  and pH across 4 days.

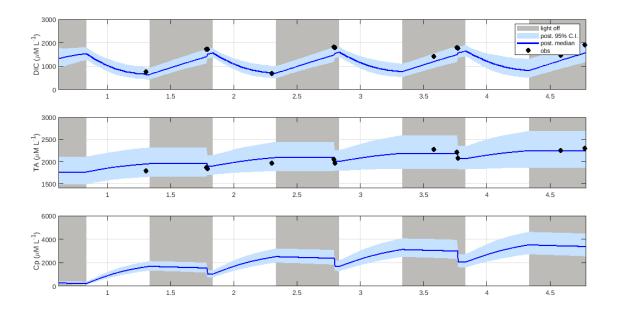


Figure 4.14: Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for DIC, TA and  $C_p$  across 4 days.

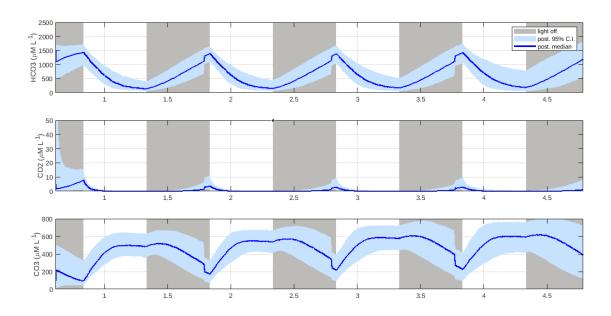


Figure 4.15 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $HCO_3$ ,  $CO_2$  and  $CO_3$  across 4 days.

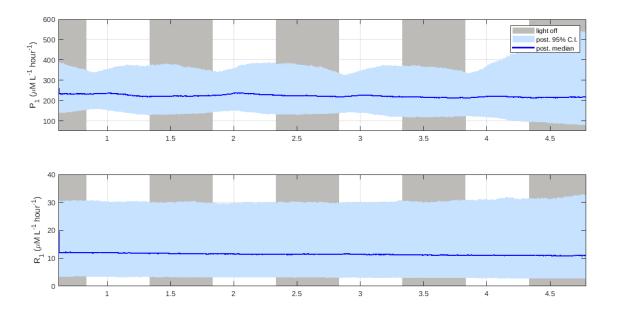


Figure 4.16: Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for photosynthesis  $P_1$  and respiration  $R_1$ .

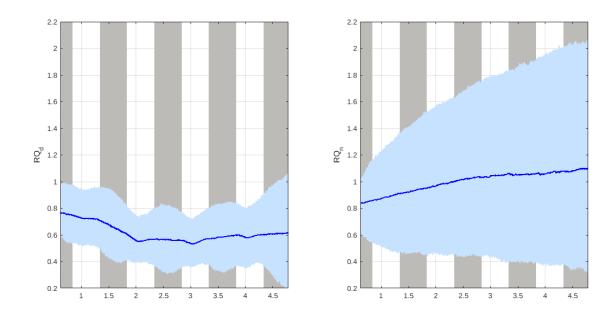


Figure 4.17 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $RQ_d$  and  $RQ_n$ .

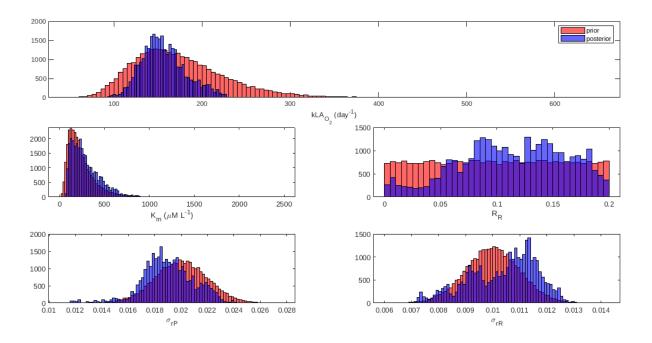


Figure 4.18: Priors (pink) and posteriors (purple) for model parameters.

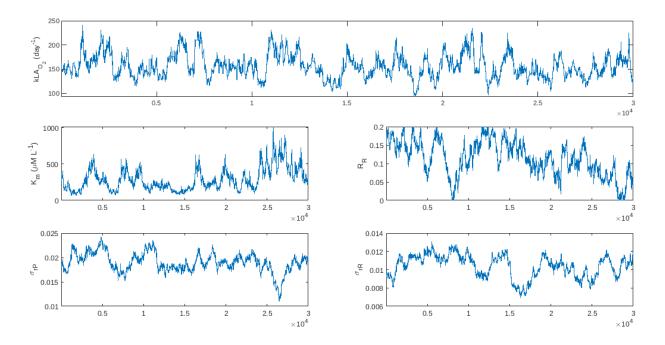


Figure 4.19: Traces for model parameters.

observations well while the light was on, with all observations falling inside tightly constrained 95% credible intervals. During times when there was no light, most days the posteriors would fit the observations well to start and then potentially there was a sensor drift causing increasing observations that the model was not accounting for (Figure 4.13). pH captured most observations within the 95% credible intervals except for 2 points on the 1st day while the light was off (Figure 4.13).

 $P_1 R_1$  (Figure 4.16).

The day-time respiratory quotient  $RQ_d$  centred around 0.75 during the first day, dropped to 0.6 during the 2nd, 3rd and 4th days. The night-time respiratory quotient  $RQ_n$  centred around 0.85 and slowly rose to 1.1 by the end of the experiment. [Values >1 are not really realistic but this can be a compensation for not having an offset on the  $O_2$  obs]

# 4.3.4 Posteriors with experimental data (photosynthesis and respiration are random walks and the respiratory quotients are noisy states)

State posteriors are visualised by plotting the median and shading 95% credible intervals, while parameter priors and posteriors are displayed by histograms.

#### In this run:

Photosynthesis  $(P_1)$  and respiration  $(R_1)$  are both modelled as random walks, by taking P and R, previously constant parameters, and replacing them by  $P_1(t)$  and  $R_1(t)$ . Here, we take  $P_1(t)$  and  $R_1(t)$  to be such that

$$P_1(t + \Delta t) = P(t) + r_P$$

$$R_1(t + \Delta t) = R(t) + r_R$$

where  $r_P \sim N(0, \sigma_{r_P})$ ,  $r_R \sim N(0, \sigma_{r_R})$ , and  $\Delta t$  is the length of discrete timestep. For the purpose of the Bayesian analysis here,  $\sigma_{r_P}$  and  $\sigma_{r_R}$  are treated as parameters to be inferred. The respiratory quotients  $(RQ_d \text{ and } RQ_n)$  are treated as normally distributed noisy states, truncated between 0.6 and 1, where the mean and standard deviation are unknown parameters to be estimated.

$$RQ_d \sim truncated\mathcal{N}(\mu_{RQ_d}, \sigma_{RQ_d}, lower = 0.6, upper = 1.0)$$

$$RQ_n \sim truncated \mathcal{N}(\mu_{RQ_n}, \sigma_{RQ_n}, lower = 0.6, upper = 1.0)$$

 $kLA_{O_2}$ ,  $K_m$ ,  $R_R$ ,  $\sigma_{rP}$ ,  $\sigma_{rR}$ ,  $\mu_{RQ_d}$ ,  $\sigma_{RQ_d}$ ,  $\mu_{RQ_n}$ ,  $\sigma_{RQ_n}$  are all treated as parameters constant through time but unknown.

Parameter	Prior	Proposal
$kLA_{O2}$	$\log \mathcal{N}(\log(200.0), 0.3)$	$\text{Log}\mathcal{N}(\log(kLA_{O2}), 0.03)$
$K_m$	$\log \mathcal{N}(\log(200.0), 0.6)$	$\text{Log}\mathcal{N}(\log(K_m), 0.06)$
$R_R$	Uniform $(0, 0.2)$	$\operatorname{Trun} \mathcal{N}(R_R, 0.01, \text{lower} = 0, \text{upper} = 0.2)$
$\mu_{RQ_d}$	Uniform $(0.6, 1)$	$\mathcal{N}(\mu_{RQ_d},  0.01)$
$\mu_{RQ_n}$	Uniform $(0.6, 1)$	$\mathcal{N}(\mu_{RQ_n},  0.01)$
$\sigma_{RQ_d}$	Uniform $(0, 0.5)$	$\mathcal{N}(\sigma_{RQ_d},  0.01)$
$\sigma_{RQ_n}$	Uniform $(0, 0.5)$	$\mathcal{N}(\sigma_{RQ_n},~0.01)$
$\sigma_{r_P}$	$\mathcal{N}(0.01,  0.001)$	$\mathcal{N}(\sigma_{r_P},  0.0001)$
$\sigma_{r_R}$	$\mathcal{N}(0.01,  0.001)$	$\mathcal{N}(\sigma_{r_R},~0.0001)$
$\sigma_{O_2}$	0.4	*
$\sigma_{pH}$	0.4	*
$\sigma_{DIC}$	0.4	*

Table 4.9 : Table of Parameters, their priors and proposal distributions. \* indicates the parameter was held fixed.

#### **Results:**

10,000 samples run (no burn-in was discarded) with 1024 particles.

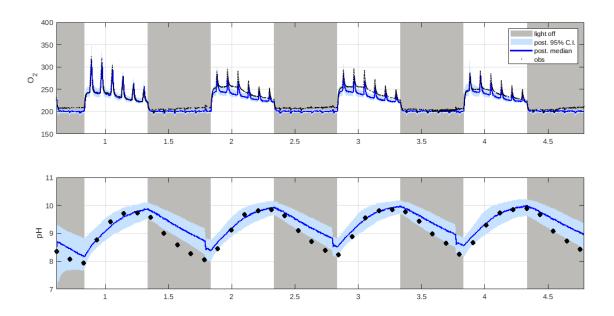


Figure 4.20 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for  $O_2$  and pH across 4 days.

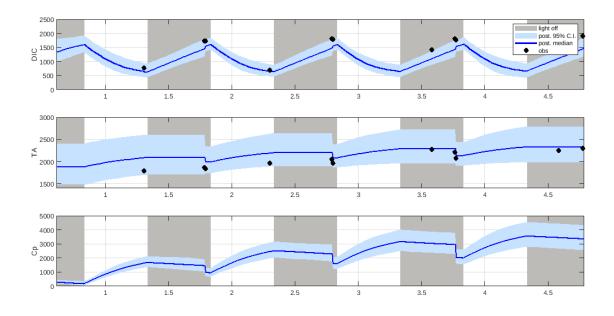


Figure 4.21 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for DIC, TA and  $C_p$  across 4 days.

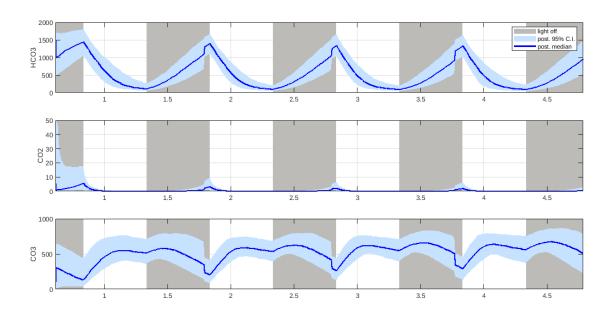


Figure 4.22 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $HCO_3$ ,  $CO_2$  and  $CO_3$  across 4 days.

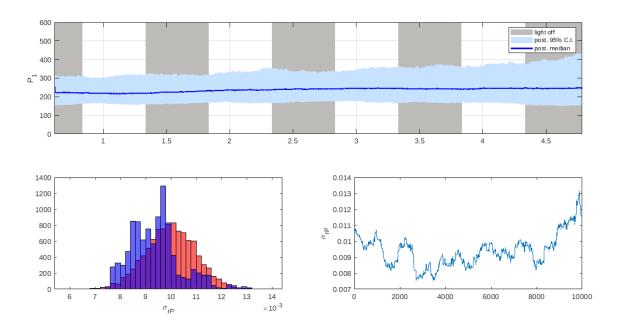


Figure 4.23 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for photosynthesis  $P_1$ .

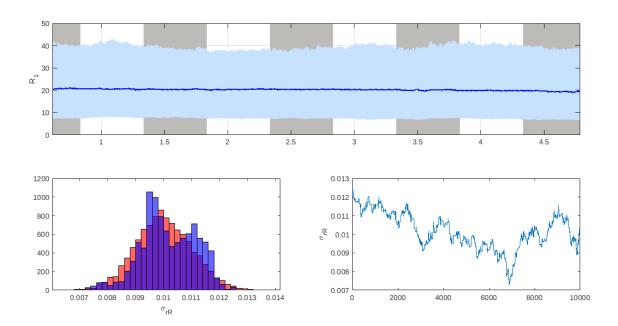


Figure 4.24: Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for respiration  $R_1$ .

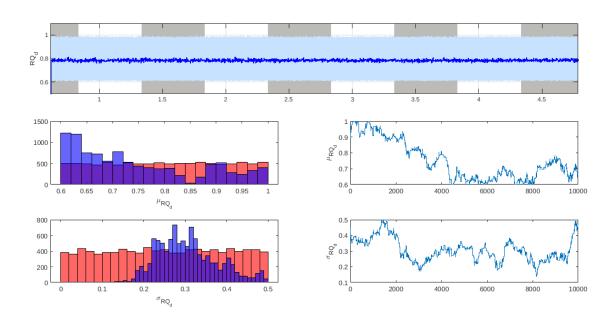


Figure 4.25 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $RQ_d$ .

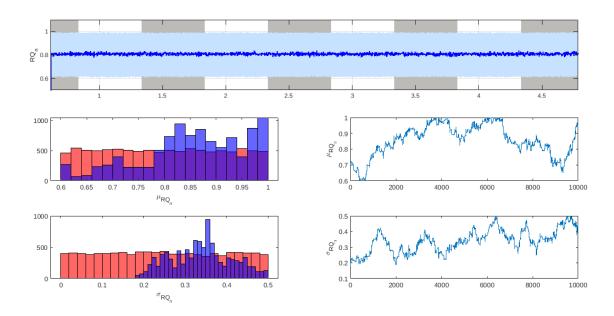


Figure 4.26 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $RQ_n$ .

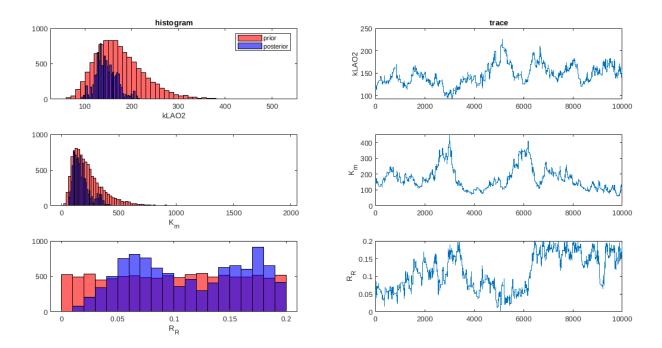


Figure 4.27: Priors (pink) and posteriors (purple) for model parameters.

### 4.3.5 Posteriors with experimental data (estimating obs error)

State posteriors are visualised by plotting the median and shading 95% credible intervals, while parameter priors and posteriors are displayed by histograms.

#### In this run:

 $P_1$  and  $R_1$  are random walks with rP and rR as wiener processes.  $kLA_{O_2}$ ,  $K_m$ ,  $R_R$ ,  $RQ_d$ ,  $RQ_n$ ,  $\sigma_{O_2}$ ,  $\sigma_{pH}$ , and  $\sigma_{DIC}$  are all treated as parameters constant through time but unknown. The data model in this run is:

 $O2_{obs} \sim normal(O_2, \sigma_{O_2})$ 

 $pH_{obs} \sim normal(pH, \sigma_{pH})$ 

 $DIC_{obs} \sim normal(DIC, \sigma_{DIC})$ 

 $TA_{obs} \sim normal(TA, \sigma_{DIC})$ 

Parameter	Prior	Proposal
$kLA_{O2}$	$\log \mathcal{N}(\log(200.0), 0.3)$	$\text{Log}\mathcal{N}(\log(kLA_{O2}), 0.03)$
$K_m$	$\log \mathcal{N}(\log(200.0), 0.6)$	$\operatorname{Log}\mathcal{N}(\log(K_m), 0.06)$
$R_R$	Uniform $(0, 0.2)$	$\operatorname{Trun} \mathcal{N}(R_R, 0.01, \text{lower} = 0, \text{upper} = 0.2)$
$RQ_d$	Uniform $(0.6, 1)$	Trun $\mathcal{N}(RQ_d, 0.01, \text{lower} = 0.6, \text{upper} = 1.0)$
$RQ_n$	Uniform $(0.6, 1)$	Trun $\mathcal{N}(RQ_n, 0.01, \text{lower} = 0.6, \text{upper} = 1.0)$
$\sigma_{O_2}$	Uniform $(0, 20)$	$\operatorname{Trun} \mathcal{N}(\sigma_{O_2}, 2, \text{lower} = 0, \text{upper} = 20)$
$\sigma_{pH}$	Uniform $(0, 1)$	$\operatorname{Trun} \mathcal{N}(\sigma_{pH}, 0.1, \text{lower} = 0, \text{upper} = 1)$
$\sigma_{DIC}$	Uniform $(0, 150)$	$\operatorname{Trun} \mathcal{N}(\sigma_{DIC}, 15, \text{lower} = 0, \text{upper} = 150)$

Table 4.10: Table of Parameters, their priors and proposal distributions. \* indicates the parameter was held fixed.

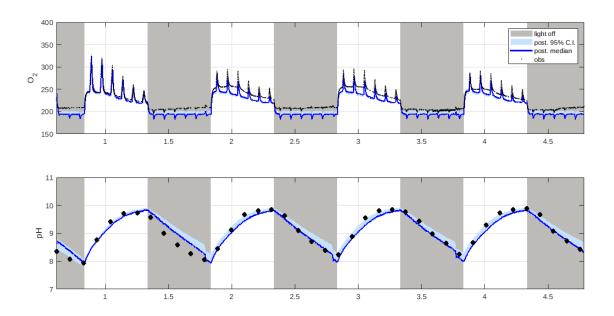


Figure 4.28 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for  $O_2$  and pH across 4 days.

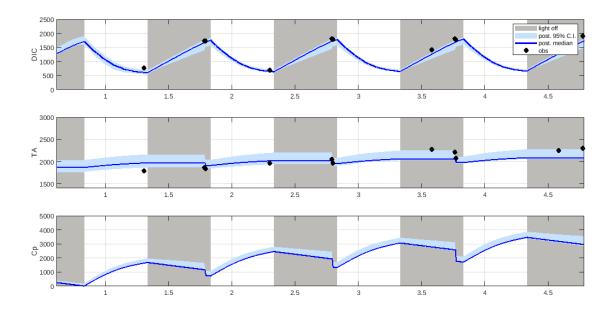


Figure 4.29 : Posterior medians (solid blue line), 95% credible intervals (shaded blue), and simulated observations (black) for DIC, TA and  $C_p$  across 4 days.

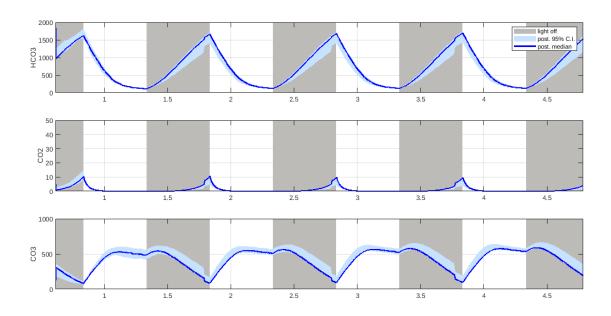


Figure 4.30 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for  $HCO_3$ ,  $CO_2$  and  $CO_3$  across 4 days.

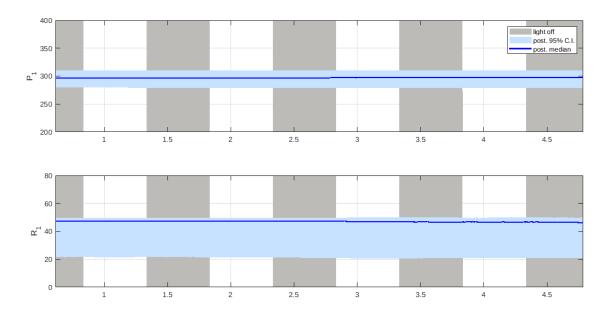


Figure 4.31 : Posterior medians (solid blue line) and 95% credible intervals (shaded blue) for photosynthesis  $P_1$ .

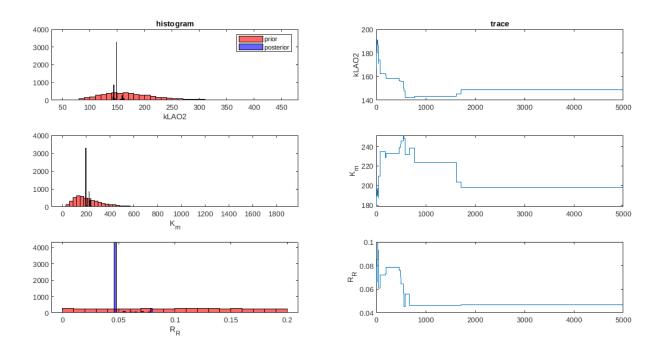


Figure 4.32: Priors (pink) and posteriors (purple) for model parameters.

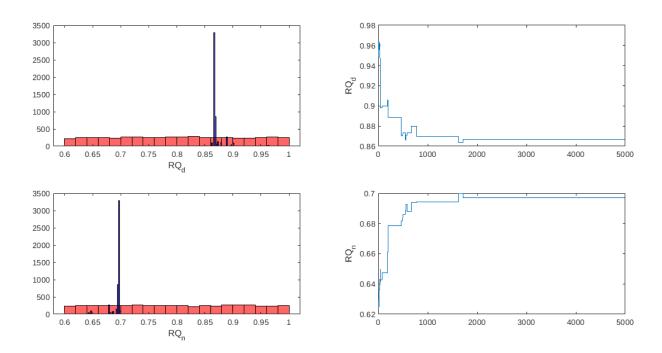


Figure 4.33: Priors (pink) and posteriors (purple) for model parameters.

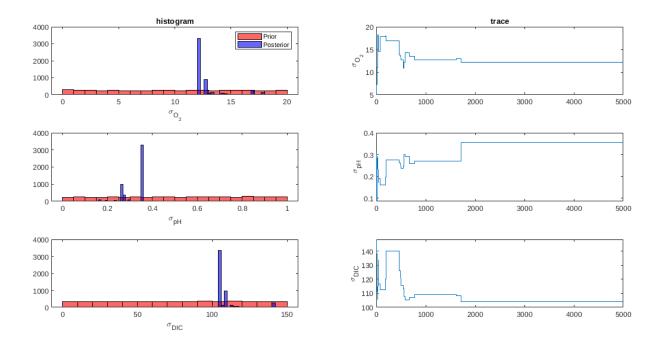


Figure 4.34: Priors (pink) and posteriors (purple) for model parameters.

# Appendix A

## LiBbi model code

#### LiBbi model file: micro\_iterative.bi

```
1 model micro_iterative {
3 const FO2
                 = 0.2094
4 const FCO2
                 = 397e-6
5 const S
                 = 34.0
6 const V
                 = 500.0
                                          // volume of the
     reactor
                 = 1724.20
7 const DIC_M
                                          // calculated with
     CO2SYS[DIC_M = 1724.20, Alk = 1797.90, T = 27, S = 34]
                = 226.65
8 const O_2_M
                 = 1797.90
9 const alk_M
10 const tau
                 = 6.0
11 const kLA02_m = log(2.0)*24.0*60.0/tau
12
13 param kLAO2
14 param Km
 param RR
 param RQ_d
17 param RQ_n
 param sigma_0_2
19 param sigma_pH
20 param sigma_DIC
```

```
_{21} param offset_0_2
22
23 input I
                           // light intensity
                           // temperature (C)
24 input T
  input gas
                           // gas on/off
                           // dilution rate
26 input dil
27
28 state DIC // state variables
29 state 0_2
30 state pH
31 state Cp
32 state mich_ment
33 state O2H_pr
34 state CO2H_pr
35 state R
36 state R1
37 state P
38 state P1
39 state alk
40 state CO2
41 state HCO3
42 state CO3
43 state O_2H
44 state CO2H
45 state h_3
46 state h_free_3
47
48 noise r_R
49 noise r_P
```

```
50
  /* random walk parameter */
51
  param sigma_r_R
  param sigma_r_P
54
  obs 02_obs
55
  obs pH_obs
  obs DIC_obs
  obs alk_obs
59
  sub parameter {/* prior distribution over parameters */
           ~ log_normal(log(100.0), 0.5)
  Km
61
  kLA02
          ~ log_normal(log(kLAO2_m), 0.3)
           ~ uniform(0.0001, 0.2)
  RR
  RQ_d
          " uniform(0.66, 1.0)
           ~ uniform(0.66, 1.0)
  RQ_n
65
66
   sigma_0_2 ~ log_normal(log(0.03), 0.5)
   sigma_pH ~ log_normal(log(0.03), 0.5)
68
   sigma_DIC \sim log_normal(log(0.03), 0.5)
69
70
   offset_0_2 ~ normal(0, 2.0)
71
72
                   ~ normal(0.01, 0.001)
  sigma_r_R
73
                   ~ normal(0.05, 0.01)
  sigma_r_P
75
76
  const prop_std = 0.1;
78 sub proposal_parameter {
```

```
~ log_normal(log(Km), 0.5*prop_std)
79 Km
           ~ log_normal(log(kLAO2), 0.3*prop_std)
  kLAO2
80
           ~ truncated_normal(RR, 0.2*prop_std, lower = 0.0001,
81 RR
      upper = 0.2)
         ~ truncated_normal(RQ_d, 0.2*prop_std, lower = 0.66,
      upper = 1.0)
83 RQ_n ~ truncated_normal(RQ_n, 0.2*prop_std, lower = 0.66,
      upper = 1.0)
84
85
   sigma_0_2
                    ~ log_normal(log(sigma_0_2), 0.5*prop_std)
86
                    ~ log_normal(log(sigma_pH), 0.5*prop_std)
   sigma_pH
87
   sigma_DIC
                    ~ log_normal(log(sigma_DIC), 0.5*prop_std)
89
   offset_0_2
                    ~ normal(offset_0_2, 2.0*prop_std)
90
91
                    ~ normal(sigma_r_R, 0.001*prop_std)
   sigma_r_R
92
                    ~ normal(sigma_r_P, 0.01*prop_std)
   sigma_r_P
  }
94
95
   sub initial {/* prior distribution over initial conditions,
      given parameters */
   // specify the initial condition model
           ~ normal(log(20.0), 0.4)
98
           ~ log_normal(log(20.0), 0.4)
  R1
99
           ~ normal(log(200.0), 0.4)
100
           ~ log_normal(log(200.0), 0.4)
  Ρ1
101
102
           ~ log_normal(log(300.0), 0.2)
103 Cp
```

```
~ log_normal(log(1750.0), 0.1)
  alk
104
            ~ log_normal(log(1300.0), 0.2)
105 DIC
            ~ log_normal(log(225.0), 0.2)
106 0_2
            ~ log_normal(log(8.5), 0.2)
107
  рΗ
            ~ log_normal(log(3.0), 0.4)
108
   CO2
            ~ log_normal(log(1000.0), 0.3)
109
   HCO3
110 CO3
            ~ log_normal(log(300.0), 0.4)
            ~ log_normal(log(200.0), 0.2)
111 O_2H
            ~ log_normal(log(10.0), 0.2)
  CO2H
113
114
115
116 //sub transition(delta = 0.0023) { // obs are in days ie
      delta=1.0 for daily solving. delta=0.00069 for solving
      every minute, 0.0014 for every 2 mins, 0.0021 for 3 mins,
      0.0028 for 4mins, delta=0.000011574 for solving every
      second
   sub transition(delta = 0.0021) {
118
   /* processes */
119
120
121 inline TK
                 = T + 273.15
                                             // temp in kelvin
  inline KO_CO2 = exp(-60.2409 + 93.4517*(100.0/TK) + 23.3585*
      log(TK/100.0) + S*(0.023517 - 0.023656*(TK/100) +
      0.0047036*(TK/100.0)*(TK/100.0)))
   CO2H
                  <- K0_C02*FC02*1.0220*1e6
123
124
  inline KO_02 = (exp(-1282.8704 + 36619.96/TK + 223.1396*log)
125
      (TK) -0.354707*TK + S*(5.957e-3 -3.7353/TK) + 3.68e-6*S*S)
```

```
)/(0.2094e-06)
126 O_2H
               <- K0_02*F02*1.0220*1e-6
127
128 inline PAC = HCO3
                                   //PAC=photosynthetically
      active carbon. if the phyto are just using CO2 to
      photosynthesise then PAC=CO2
  inline mm = PAC/(Km + PAC)
129
130
131 // CO2SYS iterative solution
  // set up all the constants
133
134 inline logTK = log(TK)
135 inline S2 = S*S
  inline sqrtS = sqrt(S)
137
138 // total sulphur
139
inline TS = (0.14/96.062)*(S/1.80655)
             = 19.924*S/(1000.0 - 1.005*S)
141 inline IS
142
inline KS_{int} = -4276.1/TK + 141.328 - 23.093*logTK +
      (-13856.0/TK + 324.57 - 47.986*logTK)*sqrt(IS) + (
      35474.0/TK - 771.54 + 114.723*logTK)*IS - 2698.0/TK*IS
      **1.5 + 1776.0/TK*IS**2
  inline KS = \exp(KS_{int})*(1 - 0.001005*S)
144
145
146 // Fluorine
147
148 inline TF
                  = 0.000067*S/18.9984/1.80655
```

```
= \exp(-(-874.0) \text{TK} - 0.111 * \text{sqrtS} + 9.68))
149 inline KF
  inline SWS_2_T = (1.0 + TS/KS)/(1.0 + TS/KS + TF/KF)
  inline Free_2_T = 1.0 + TS/KS
151
152
153 // H2O dissoc
154
   inline KW = \exp(148.9802 - 13847.26/TK - 23.6521*logTK +
      (118.67/TK - 5.977 + 1.0495*logTK)*sqrtS - 0.01615*S)
156
  // Boron
158
inline KB = exp((-8966.90 - 2890.53*sqrtS - 77.942*S + 1.728*)
      S*sqrtS - 0.0996*S2)/TK + 148.0248 + 137.1942*sqrtS +
      1.62142*S - (24.4344 + 25.085*sqrtS + 0.2474*S)*logTK +
      0.053105*sqrtS*TK)
  inline TB = 0.0004326*S/35.0
161
162 // Carbon eq constants
163
  inline K1 = 10**(-(3633.86/TK - 61.2172 + 9.6777 *logTK -
164
      0.011555*S + 0.0001152*S**2))*1.23 //1.23 experiment
      specific and measured
inline K2 = 10**(-(471.8/TK + 25.9290 - 3.16967*logTK -
      0.01781*S + 0.0001122*S**2))*0.53
                                         //0.53 experiment
      specific and measured
166
   // end all the constants
167
168
169 // intial guess at the pH (use the approximating equation)
```

```
170
inline pH_init = 12.26 -0.0030605*DIC -0.043752*T -0.013625*S
      + 0.00011315*alk + 1.3463e-05*DIC*T + 5.2215e-07*DIC*alk
172
173 // iteration 1
174
inline h_1 = 10.0**(-pH_init)
inline h_free_1 = h_1/Free_2_T
inline f0_1 = (DIC*1e-6*(K1*h_1 + 2.0*K1*K2)/(h_1*h_1 +
      K1*h_1 + K1*K2) - h_free_1 + KW/h_1 - alk*1e-6 + TB/(1.0 +
      h_1/KB) *1e6
inline df0_1 = (DIC*1e-6*(K1 + 2.0*K1*K2))/(h_1**2.0 + K1*)
      h_1 + K1*K2) - DIC*1e-6*(K1*h_1 + 2.0*K1*K2)/(h_1**2.0 + 6.0*K1*K2)
      K1*h_1 + K1*K2)**2.0*(2.0*h_1 + K1) - TB*1.0/(1.0 + h_1/KB)
      )**2.0/KB - KW/h_1**2.0 - 1.0/Free_2_T)*1e6*(-log(10.0)
      *10.0**(-pH_init))
inline pH_1 = pH_init - f0_1/df0_1
180
181 // iteration 2
182
inline h_2 = 10.0**(-pH_1)
inline h_free_2 = h_2/Free_2_T
185 inline f0_2
                = (DIC*1e-6*(K1*h_2 + 2.0*K1*K2)/(h_2*h_2 +
      K1*h_2 + K1*K2) - h_free_2 + KW/h_2 - alk*1e-6 + TB/(1.0 + B)
       h_2/KB))*1e6
inline df0_2 = (DIC*1e-6*(K1 + 2.0*K1*K2)/(h_2**2.0 + K1*)
      h_2 + K1*K2) - DIC*1e-6*(K1*h_2 + 2.0*K1*K2)/(h_2**2.0 +
      K1*h_2 + K1*K2)**2.0*(2.0*h_2 + K1) - TB*1.0/(1.0 + h_2/KB)
      )**2.0/KB - KW/h_2**2.0 - 1.0/Free_2_T)*1e6*(-log(10.0)
```

```
*10.0**(-pH_1))
inline pH_2 = pH_1 - f0_2/df0_2
188
189 // iteration 3
190
191 h_3
                   <-10.0**(-pH_2)
192 h_free_3
                  <- h_3/Free_2_T
inline f0_3 = (DIC*1e-6*(K1*h_3 + 2.0*K1*K2)/(h_3*h_3 +
      K1*h_3 + K1*K2) - h_free_3 + KW/h_3 - alk*1e-6 + TB/(1.0 + B)
      h_3/KB))*1e6
inline df0_3 = (DIC*1e-6*(K1 + 2.0*K1*K2))/(h_3**2.0 + K1*)
      h_3 + K1*K2) - DIC*1e-6*(K1*h_3 + 2.0*K1*K2)/(h_3**2.0 + 2.0*K1*K2)
      K1*h_3 + K1*K2)**2.0*(2.0*h_3 + K1) - TB*1.0/(1.0 + h_3/KB)
      )**2.0/KB - KW/h_3**2.0 - 1.0/Free_2_T)*1e6*(-log(10.0)
      *10.0**(-pH_2))
                  \leftarrow pH_2 - f0_3/df0_3
195 pH
196
197 // iteration 4
198
199 //
          inline h_4 = 10.0**(-pH_3)
          inline h_free_4 = h_4/Free_2_T
200 //
201 //
           inline f0_4 = (DIC*1e-6*(K1*h_4 + 2.0*K1*K2)/(h_4)
      *h_4 + K1*h_4 + K1*K2) - h_free_4 + KW/h_4 - alk*1e-6 + TB
      /(1.0 + h_4/KB))*1e6
202 //
          inline df0_4 = (DIC*1e-6*(K1 + 2.0*K1*K2)/(h_4)
      **2.0 + K1*h_4 + K1*K2) - DIC*1e-6*(K1*h_4 + 2.0*K1*K2)/(
      h_4**2.0 + K1*h_4 + K1*K2)**2.0*(2.0*h_4 + K1) - TB
      *1.0/(1.0 + h_4/KB)**2.0/KB - KW/h_4**2.0 - 1.0/Free_2_T)
      *1e6*(-log(10.0)*10.0**(-pH_3))
```

```
203 //
         inline pH_4 = pH_3 - f0_4/df0_4
204
205 //
          рΗ
                            <- pH_4
206
  // calculate the final concentrations
208
209 inline H
                   = 10.0**(-pH)
210 inline H2
                   = H * H
211 inline denom
                   = (H2 + K1*H + K1*K2)
                   <- DIC*H2/denom
212 CO2
213 HCO3
                   <- DIC*H*K1/denom
214 CO3
                   <- DIC*K1*K2/denom
215
_{216} // end CO2SYS iterative solution
217
218
219 /* R and P as random walks */
220
221 r_R
          ~ normal(0.0, sigma_r_R)
222 R
           <-R+r_R
223 R1
          <- exp(R)
224
r_P \sim normal(0.0, sigma_r_P)
          <- P + r_P
226 P
           <- exp(P)
227 P1
228
229 ode(h = 0.1, atoler = 1.0e-6, rtoler = 1.0e-6, alg = 'RK4(3)
      '){
```

```
230 dDIC/dt = -P1*24.0*I*mm + R1*24.0
             + gas*0.893*kLA02*(CO2H - CO2) + dil/V*(
      DIC_M - DIC)
d0_2/dt = (P1*24.0*I*mm - R1*24.0)/(RQ_d*I + RQ_n*(1.0-I))
         + gas*kLAO2*(0_2H - 0_2)
                                                 + dil/V*(0_2_M
      - 0_2) + offset_0_2
232 dalk/dt = RR*P1*24.0*I*mm
                                                      + dil/V*(
      alk_M - alk)
233 dCp/dt = (P1*24.0*I*mm - R1*24.0)
                                                      + dil/V*(
      Cp)
234
235 }
236
237 mich_ment <- mm
238 O2H_pr <- O_2H
239 CO2H_pr <- CO2H
240
241 }
242
243
244 sub observation {
245
246 02_{obs} ~ log_normal(log(0_2), sigma_0_2)
247 pH_obs ~ log_normal(log(pH), sigma_pH)
248 DIC_obs ~ log_normal(log(DIC), sigma_DIC)
249 alk_obs ~ log_normal(log(alk), sigma_DIC)
250 }
```

```
251 }
```

### LiBbi prior sampling file: prior.conf

```
1 --target prior
2 --model-file micro_iterative.bi
3 --nsamples 500
4 --start-time 0.61304
5 --end-time 4.7866
6 --noutputs 6049
7 --input-file data/input_all_2018_normalised.nc
8 --output-file results/prior_micro_iterative.nc
```

#### LiBbi posterior sampling file: posterior.conf

```
--target posterior

--model-file micro_iterative.bi

--input-file data/input_all_2018_normalised.nc

--obs-file data/obs_all_2018.nc

--nsamples 500

--nparticles 1024

--start-time 0.61304

--end-time 4.7866

--noutputs 6049

--output-file results/posterior_micro_iterative.nc

--with-transform-initial-to-param
```

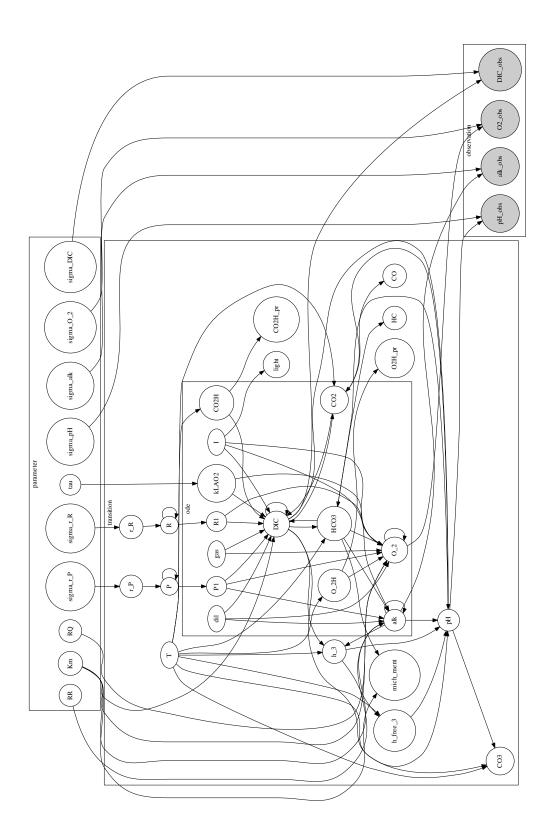


Figure A.1 : Directed Acyclic Graph of the LiBbi model file micro\_iterative.bi

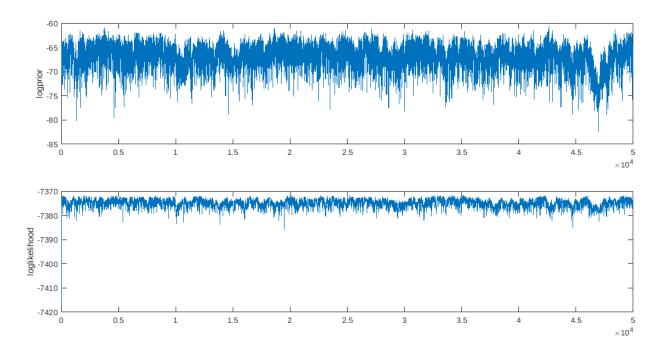


Figure A.2 : Log-prior and log-likelihood for the simulated data experiment.

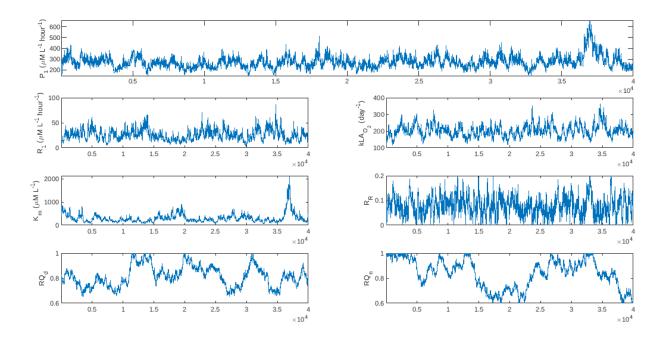


Figure A.3: Parameter posterior traces for the simulated data experiment.

# **Bibliography**

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