# 2020 FALL MAS583 HW1

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# 1 Problem 1

## 1.1 Question

Prove that if there is a real p,  $0 \le p \le 1$  such that

$$\binom{n}{k} p^{\binom{k}{2}} + \binom{n}{t} (1-p)^{\binom{t}{2}} < 1,$$

then the Ramsey number R(k,t) satisfies R(k,t) > n. Using this, show that

$$R(4,t) \ge \Omega(t^{3/2}/(\ln t)^{3/2}).$$

#### 1.2 Answer

With the assumption, consider  $K_n$  and color each edge independently either red (with probability p) or blue (with probability 1-p).

Arbitrarily choose  $K \subset V(K_n)$  with |K| = k, let  $A_K$  denote the event that the k vertices in K form a monochromatic red  $K_k$ , clearly,  $\Pr[A_K] = p^{\binom{k}{2}}$ . Thus

$$\Pr[\bigvee_{K} A_{K}] \le \sum_{K} \Pr[A_{K}] = \binom{n}{k} p^{\binom{k}{2}}.$$

Similarly, if we arbitrarily choose  $T \subset V(K_n)$  with |T| = t, let  $B_T$  denote the event that the t vertices in T form a monochromatic blue  $K_t$ , we have

$$\Pr[\bigvee_{T} B_{T}] \le \sum_{T} \Pr[B_{T}] = \binom{n}{t} (1-p)^{\binom{t}{2}}.$$

Then the probability of event that at least one monochromatic red  $K_k$  or one monochromatic blue  $K_t$  exists is

$$\Pr[\bigvee_{K} A_K \vee \bigvee_{T} B_T] \le \Pr[\bigvee_{K} A_K] + \Pr[\bigvee_{T} B_T] \le \binom{n}{k} p^{\binom{k}{2}} + \binom{n}{t} (1-p)^{\binom{t}{2}} < 1,$$

that means with positive probability, there is a 2-coloring of  $K_n$  without any monochromatic red  $K_k$  or monochromatic blue  $K_t$ , hence R(k,t) > n.

Let k=4,  $n=c(\frac{t}{\ln t})^{3/2}$ ,  $p=n^{-2/3}$ , where c is a small constant number, say,  $c\leq 3^{-3/2}$ . Then with t big enough, say,  $t\geq 10^6$ , we have

$$\binom{n}{4} p^{6} + \binom{n}{t} (1-p)^{\binom{t}{2}} \leq \frac{n^{4} p^{6}}{24} + (\frac{en}{t})^{t} e^{-pt(t-1)/2}$$

$$\leq \frac{1}{24} + (\frac{cet^{1/2}}{\ln t^{3/2}})^{t} t^{-\frac{1}{2}(t-1)c^{-2/3}}$$

$$\leq \frac{1}{24} + (\frac{cet^{1/2}}{\ln t^{3/2}})^{t} t^{-\frac{3}{2}(t-1)}$$

$$\leq \frac{1}{24} + (\frac{cet^{1/2}}{\ln t^{3/2}})^{t} (100cet)^{-t}$$

$$\leq \frac{1}{24} + (\frac{1}{100(\ln t)^{3/2} t^{1/2}})^{t}$$

$$< 1$$

Thus,  $R(4,t) \ge \Omega(t^{3/2}/(\ln t)^{3/2})$ .

# 2 Problem 2

### 2.1 Question

Suppose  $n \ge 4$  and let H be an n-uniform hypergraph with at most  $4^{n-1}/3^n$  edges<sup>1</sup>. Prove that there is a coloring of the vertices of H by four colors so that in every edge all four colors are represented.

#### 2.2 Answer

Arbitrarily choose an edge v in H, for the n vertices in it, we color each of them by four colors independently, with equal probability of each color at random. Let  $A_v$  denote the event that not all four colors are represented in v, clearly,  $\Pr[A_v] = 3^n/4^{n-1}$ . Thus

$$\Pr[\bigvee_{v} A_v] \le \sum_{v} \Pr[A_v] < \frac{4^{n-1}}{3^n} \cdot \frac{3^n}{4^{n-1}} = 1,$$

that means with positive probability, there is a coloring of the vertices of H by four colors so that in every edge all four colors are represented.

# 3 Problem 3

## 3.1 Question

(\*) Prove that for every two independent, identically distributed real random variables X and Y,

$$\Pr[|X - Y| \le 2] \le 3\Pr[|X - Y| \le 1].$$

 $<sup>1</sup> ext{As } 4^{n-1}/3^n$  is not an integer when  $n \ge 4$ , we can safely say that  $|V(H)| < 4^{n-1}/3^n$ .

#### 3.2 Answer

The proof basically follows the paper *The 123 Theorem and Its Extensions* by Noga Alon and Raphael Yuster.

Let  $T = (x_1, x_2, ..., x_m)$  be a sequence of not necessarily distinct reals. For any positive b, define

$$T_b = \{(x_i, x_j) : 1 \le i, j \le m, |x_i - x_j| \le b\}.$$

**Lemma 3.1** For any sequence T as above and for every integer r > 1.

$$|T_r| < (2r - 1)|T_1|$$
.

**Proof.** We apply induction on |T| = m. The result is trivial for m = 1. Assuming it holds for m - 1, we prove it for m > 1. Given a sequence  $T = (x_1, x_2, ..., x_m)$ , let t + 1 be the maximum number of points of T in a closed interval of length 2 centered at a member of T. Let  $x_i$  be any rightmost<sup>2</sup> point of T so that there are t + 1 members of T in the interval  $[x_i - 1, x_i + 1]$  and define  $T' = T \setminus \{x_i\}$ . The number of members of T' in the interval  $[x_i - 1, x_i + 1]$  is clearly t and, hence  $x_i$  appears in precisely 2t + 1 ordered pairs of  $T_1$   $((x_i, x_i), (x_i, x'))$  and  $(x', x_i)$  where x' can be chosen from the t members of T' in the interval  $[x_i - 1, x_i + 1]$ . Thus

$$|T_1| = 2t + 1 + |T_1'|.$$

The interval  $[x_i - r, x_i + r]$  is the union of the 2r - 1 smaller intervals

$$[x_i - r, x_i - r + 1), ..., [x_i - 2, x_i - 1), [x_i - 1, x_i + 1],$$
  
 $(x_i + 1, x_i + 2], ..., (x_i + r - 1, x_i + r].$ 

By the choice of  $x_i$ , each of these smaller intervals (the first r ones) can contain at most t+1 members of T, and each of the last r-1 ones, which lie to the right of  $x_i$ , can contain at most t members of T. Altogether there are thus at most (r-1)(t+1) + rt members of T' in  $[x_i - r, x_i + r]$  and, hence,

$$|T_r| \le 2(r-1)(t+1) + 2rt + 1 + |T_r'| = (2r-1)(2t+1) + |T_r'|.$$

By the induction hypothesis  $|T_r'| < (2r-1)|T_1'|$  and hence

$$|T_r| \le (2r-1)(2t+1) + |T_r'| < (2r-1)(2t+1) + (2r-1)|T_1'| = (2r-1)|T_1|,$$

completing the proof.

Corollary 3.2 Let X and Y be two i.i.d. real random variables. For a positive b, define  $p_b = \Pr[|X - Y| \le b]$ . Then for every integer r,  $p_r \le (2r - 1)p_1$ .

**Proof.** Fix an integer m, and let  $S = (x_1, ..., x_m)$  be a random sequence of m elements, where each  $x_i$  is chosen, randomly and independently, according to the distribution of X. By Lemma 3.1

$$|S_r| < (2r-1)|S_1|$$
.

Therefore, the expectation of  $|S_r|$  is smaller than that of  $(2r-1)|S_1|$ . However, by the linearity of expectation it follows that the expectation of  $|S_b|$  is precisely  $m + m(m-1)p_b$  for

<sup>&</sup>lt;sup>2</sup>Here, "rightmost" means  $\forall x \in T$ , if  $x > x_i$ , then the interval [x - 1, x + 1] contains at most t members in T.

every positive b (there are m tuples where both elements are identical, which are trivially in  $S_b$ , and the other m(m-1) tuples have a probability of  $p_b$  to be in  $S_b$ ). Therefore,

$$m + m(m-1)p_r < (2r-1)(m + m(m-1)p_1),$$

implying that for every integer m,  $p_r < (2r-1)p_1 + \frac{2r-2}{m-1}$ . The desired result  $p_r \le (2r-1)p_1$  follows, by letting m tend to infinity.

With Corollary 3.2, we let r = 2 and complete the proof.

# 4 Problem 4

### 4.1 Question

(\*) Let G = (V, E) be a graph with n vertices and minimum degree  $\delta > 10$ . Prove that there is a partition of V into two disjoint subsets A and B so that  $|A| \leq O(n \ln \delta/\delta)$ , and each vertex of B has at least one neighbor in A and at least one neighbor in B.

#### 4.2 Answer

Form a random vertex subset S in such a graph by including each vertex independently with probability  $p = \frac{\ln \delta}{\delta}$ . Given S, let T be the set of vertices outside S having no neighbors in S, and let U be the set of vertices outside  $S \cup T$  whose neighbors are all in  $S \cup T$ . Adding T and U to S yields a desired set A. We seek the expected number of  $|S \cup T \cup U|$ .

Since each vertex appears in S with probability p, linearity yields  $\mathbb{E}[S] = np$ . The random variable |T| is the sum of n indicator random variables for whether individual vertices belong to T, likewise the random variable |U| is the sum of n indicator random variables for whether individual vertices belong to U. We have  $v \in T$  if and only if v and its neighbors all fail to be in S. This has probability at most  $(1-p)^{\delta+1}$ . We have  $v \in U$  if and only if (1) v fails to be in  $S \cup T$ ; (2) all the neighbors of v are in  $S \cup T$ , where  $\Pr[v \notin (S \cup T)] \leq \Pr[v \notin S] \leq 1-p$ , and for each neighbor v' of v,  $\Pr[v' \in (S \cup T)] \leq \Pr[v' \in S] + \Pr[v' \in T] \leq p + (1-p)^{\delta+1}$ , thus  $\Pr[v \in U] \leq (1-p)(p+(1-p)^{\delta+1})^{\delta}$ . (? It looks like that the two subevents are not independent so maybe this cannot hold.) Now we have

$$\mathbb{E}[|S| + |T| + |U|] \le n(p + (1-p)^{\delta+1} + (1-p)(p + (1-p)^{\delta+1})^{\delta})$$

$$\le n \ln \delta/\delta + n(1-p)((1-p)^{\delta} + (p + (1-p)^{\delta+1})^{\delta})$$

$$\le n \ln \delta/\delta + n((1-p)^{\delta} + (p + (1-p)^{\delta+1})^{\delta})$$

$$\le n \ln \delta/\delta + ne^{-p\delta} + n(p + (1-p)^{\delta+1})^{\delta}$$

$$\le n \ln \delta/\delta + n/\delta + n(p + (1-p)^{\delta+1})$$

$$\le 2n \ln \delta/\delta + n/\delta + n(1-p)^{\delta}$$

$$\le 2n \ln \delta/\delta + 2n/\delta$$

$$\le O(n \ln \delta/\delta),$$

which completes the proof.

# 4.3 A Failed Attempt

Form a random vertex subset S in such a graph by including each vertex independently with probability  $p = \frac{\ln \delta}{\delta}$ . Given S, let T be the set of vertices outside S having no neighbors in S

or having no neighbors in  $(V \setminus S)$ . Adding T to S yields a desired set A. (WRONG!!! Some vertices in  $(V \setminus (S \cup T))$  may only have neighbors in T!!!) We seek the expected number of  $|S \cup T|$ .

Since each vertex appears in S with probability p, linearity yields  $\mathbb{E}[S] = np$ . The random variable |T| is the sum of n indicator random variables for whether individual vertices belong to T. We have  $v \in T$  if and only if (1)  $v \notin S$ , and (2) all the neighbors of v are in S or all the neighbors of v are in  $(V \setminus S)$ . This has probability at most  $(1-p)[(1-p)^{\delta}+p^{\delta}]$ , thus we have

$$\mathbb{E}[|S| + |T|] \le np + n(1-p)(e^{-p\delta} + p^{\delta})$$

$$\le n \ln \delta/\delta + n[1/\delta + (\ln \delta/\delta)^{10}]$$

$$< O(n \ln \delta/\delta),$$

which completes the proof.

# 5 Problem 5

### 5.1 Question

(\*) Let G = (V, E) be a graph on  $n \ge 10$  vertices and suppose that if we add to G any edge not in G then the number of copies of a complete graph on 10 vertices in it increases. Show that the number of edges in G is at least 8n - 36.

#### 5.2 Answer

The condition means for any two vertices, say  $v_1$  and  $v_2$ , who are not connected with each other, there exist 8 other vertices, say  $v_3, v_4, ..., v_{10}$ , such that the vertices in the set  $\{v_i\}_{i=1}^{10}$  are pairwise connected, except for  $v_1$  and  $v_2$ , as we specified. We want to prove the number of such  $(v_1, v_2)$  tuples are at most  $\binom{n}{2} - (8n - 36) = (n^2 - 17n + 72)/2 = \binom{n-8}{2}$ . To prove this, we'll use Theorem 1.3.3 on the textbook, which goes as follows.

Let  $\mathcal{F} = \{(A_i, B_i)\}_{i=1}^h$  be a family of pairs of subsets of the set of an arbitrary set. If  $|A_i| = k$  and  $|B_i| = l$  for all  $1 \le i \le h$ ,  $A_i \cap B_i = \emptyset$  and  $A_i \cap B_j \ne \emptyset$  for all  $i \ne j$ ,  $1 \le i, j \le h$ , then  $h \le \binom{k+l}{k}$ .

For our case, we need such  $\mathcal{F}$  with k=2 and l=n-10, which can be constructed as follows. Let  $\mathcal{A}$  be the set  $\{S:S\subset V,|S|=2,$  the two vertices in S are not connected $\}$ , for each  $A_i\in\mathcal{A}$ , let  $C_i$  be such 8 vertices which form a  $K_8$  in G and all connect with both vertices in  $A_i$ , then these 8 vertices together with the two vertices in  $A_i$  can form a  $K_{10}$  by just connecting the two vertices in  $A_i$ , the condition guarantees that such vertices exist. If more than one group of such vertices exist, we randomly choose one group. Then we let  $B_i$  be  $(V\setminus (A_i\cup C_i))$ , and we have a  $\mathcal{F}$  whose size is  $|\mathcal{A}|$ , for all  $i, |A_i|=2, |B_i|=n-10$ , clearly,  $A_i\cap B_i=\emptyset$ . Now we only need to check the last property, which is also obvious as if  $A_i\cap B_j=\emptyset$  for some  $i\neq j$ , it means both vertices in  $A_i$  are in  $A_j\cup C_j$ , however, as we constructed, among the 10 vertices in  $A_j\cup C_j$ , only the two vertices in  $A_j$  are disconnected, besides,  $A_i$  and  $A_j$  cannot be same as they are two elements in a set. Therefore,  $A_i\cap B_j\neq\emptyset$  holds for all  $i\neq j$ , then we are able to apply the theorem and complete the proof.

## 5.3 A Failed Attempt

The condition means any 10 vertices in G with the corresponding edges among them should form a  $K_{10}$  or only need one more additional edge to form a  $K_{10}$ . (WRONG!!!) For n = 10, the number of edges  $|E| \geq \binom{10}{2} - 1 = 44 = 8n - 36$ . Besides, clearly, if G satisfies such condition, then after removing any vertex from G (as long as the number of remaining vertices  $\geq 10$ ), the remaining graph should also satisfy the condition, which implies that the number of edges among any 10 vertices in G should be either 44 or 45. Assume the proposition holds for all  $n \leq k$ , then for n = k + 1, if we can prove that at least 8 new edges are needed, the prove will be completed by using induction. Actually, if we view the situation when n = k + 1 as adding a new vertex into a graph with k vertices satisfying the condition, then we arbitrarily choose 9 vertices from the original graph together with the new vertex to form a 10-vertex set, as we mentioned, at least 44 edges are needed for this 10-vertex set, while the number of edges among the 9 vertices from the original graph  $\leq 36$ , which is the number of edges in a  $K_9$ , thus, at least 8 new edges are needed to satisfy the condition, which completes the proof.

## 5.4 Another Failed Attempt

It's easy to find a construction with 8n-36 edges which satisfies the condition. First we have a  $K_8$ , then for the remaining n-8 vertices, we let each the them only have 8 neighbors, which are the 8 vertices in the  $K_8$ , then the total edge number is  $\binom{8}{2} + 8(n-8) = 8n-36$ . Intuitively, if for some vertex v in the remaining n-8 vertices, we want to delete some connection between it and the  $K_8$ , say, delete m edges, then we'll need m other vertices in the remaining ones to connect with v, then the total edge number cannot decrease. However, I can hardly compose a clear mathematical proof for this idea.

# 6 Problem 6

# 6.1 Question

(\*) Theorem 1.2.1 asserts that for every integer k > 0 there is a tournament  $T_k = (V, E)$  with |V| > k such that for every set U of at most k vertices of  $T_k$  there is a vertex v so that all directed arcs  $\{(v, u) : u \in U\}$  are in E. Show that each such tournament contains at least  $\Omega(k2^k)$  vertices.

#### 6.2 Answer

The proof basically follows the paper On A Problem of Schütte and Erdös by E. Szekeres and G. Szekeres.

Consider a tournament T on the set  $V = \{1, ..., n\}$ , let  $A = \{v_1, v_2, ..., v_{k-1}\}$  be any subset of V with size k-1, and let G(A) denote the set  $\{v \in V : (v, v_i) \in T, \forall v_i \in A\}$  (the set of the players beat all players in A). For a single vertex v, let G(v) denote  $G(\{v\})$ . We claim that if T has property  $S_k$ , then  $|G(A)| \ge |A| + 2 = k + 1$ . Otherwise, suppose that  $|G(A)| \le k$ , as T has property  $S_k$ ,  $\exists v' \in V$  such that  $v' \in G(G(A))$ , i.e.  $(v', v) \in T, \forall v \in G(A)$ . Meanwhile,  $\exists v'' \in V$  such that  $v'' \in G(A \cup \{v'\})$  which implies both  $v'' \in G(A)$  and  $v'' \in G(v')$ . However, we also have  $v' \in G(G(A))$ , thus we have v' and v'' beat each other, which is impossible. We define another property  $R_{k,m}$  for  $m > 0, k \ge 0$ . We say a tournament T on the set

 $V = \{1, ..., n\}$  has property  $R_{k,m}$  if for every subset  $A \subset V$  with |A| = k,  $|G(A)| \ge m$ . Some special cases are described as follows: when k = 0, T has property  $R_{0,m}$  if and only if  $|V| = n \ge m$ ; when m = 1,  $R_{k,1}$  is identical with  $S_k$ . With this new definition, what our previous claim says is each tournament with property  $S_k$  also has property  $R_{k-1,k+1}$ .

**Lemma 6.1** Consider a tournament T on the set  $V = \{1, ..., n\}$ . If T has property  $R_{k,m}$ , (k > 0, m > 0), then the subtournament<sup>3</sup> on G(v) for each  $v \in V$  has property  $R_{k-1,m}$ . If T has property  $S_k$  and  $R_{k-1,m}$ , then the subtournament on G(v) for each  $v \in V$  has property  $S_{k-1}$  and  $S_{k-1}$ .

**Proof.** Suppose T has property  $R_{k,m}$ , let  $v_0$  be any vertex in V, and let  $\{v_1, v_2, ..., v_{k-1}\}$  be any set of k-1 vertices of  $G(v_0)$  (as we are talking about the property  $R_{k,m}$  of the subtournament on  $G(v_0)$ , we naturally presume that  $|G(v_0)| \geq k$ , so such subset exists. However, I want to ask what if  $|G(v_0)| < k-1$ ? Or it's okay as we are going to use it only for cases where  $m \geq k > 0$ ?). Then by  $R_{k,m}$ ,  $|G(\{v_0, v_1, ..., v_{k-1}\})| \geq m$ , while  $G(\{v_0, v_1, ..., v_{k-1}\}) \subset G(v_0)$  and for each  $v' \in G(\{v_0, v_1, ..., v_{k-1}\})$ ,  $v' \in G(v_i)$ ,  $\forall 1 \leq i \leq k-1$ , which implies  $|G(\{v_1, v_2, ..., v_{k-1}\})| \geq m$ , completing the proof of the first proposition. Suppose T has property  $S_k$  and  $R_{k-1,m}$ , m > k > 1, let  $v_0$  be any vertex in V. By the previous proof, the subtournament on  $G(v_0)$  has property  $R_{k-2,m}$ , so we only need to show that it also has property  $S_{k-1}$  (here, with m > k > 1,  $|G(v_0)| \geq m > k-1$  is guaranteed). Again, let  $\{v_1, v_2, ..., v_{k-1}\}$  be any set of k-1 vertices of  $G(v_0)$ . By  $S_k$ ,  $\exists v' \in V$  such that  $v' \in G(\{v_0, v_1, ..., v_{k-1}\})$ , which implies  $v' \in G(v_0)$  and  $v' \in G(\{v_1, v_2, ..., v_{k-1}\})$ , completing the proof.  $\blacksquare$ 

**Lemma 6.2** If a tournament T on  $V = \{1, ..., n\}$  has property  $R_{k,m}$ , then

$$|V| = n \ge F(k, m) = 2^k(m+1) - 1.$$

**Proof.** It's trivial when k=0 regardless of the value of m. Suppose that t>0 and the proposition is true for k=t-1 for all  $m \geq k$  (I modified the proof with  $m \geq k$  to avoid my above problem). Suppose T has property  $R_{k,m}$ , let  $v_0$  be any vertex in V, by Lemma 6.1 and the induction hypothesis  $|G(v_0)| \geq F(k-1,m)$ , thus the total number of edges in T is at least nF(k-1,m). Hence

$$n(2^{k-1}(m+1)-1) \le \binom{n}{2},$$

which means

$$n \ge 2^k(m+1) - 1,$$

completing the proof.

Now, by our first claim, each tournament T on the set  $V = \{1, ..., n\}$  with property  $S_k$  also has property  $R_{k-1,k+1}$ , then by Lemma 6.2, we have  $n \ge F(k-1,k+1) = 2^{k-1}(k+2) - 1 \ge \Omega(k2^k)$ , completing the proof.

<sup>&</sup>lt;sup>3</sup>By "subtournament on G(v)", we mean the subgraph of T only keeping the node set G(V) and the directed edges among them, which is naturally also a tournament.

### 7 Problem 7

### 7.1 Question

Let  $\{(A_i, B_i), 1 \leq i \leq h\}$  be a family of pairs of subsets of the set of integers such that  $|A_i| = k$  for all i and  $|B_i| = l$  for all i,  $A_i \cap B_i = \emptyset$  and  $(A_i \cap B_j) \cup (A_j \cap B_i) \neq \emptyset$  for all  $i \neq j$ . Prove that  $h < (k+l)^{k+l}/(k^k l^l)$ .

#### 7.2 Answer

Put  $X = \bigcup_{i=1}^h (A_i \cup B_i)$  and consider a 2-coloring for numbers in X where each number is colored red with probability of  $\frac{k}{k+l}$ , colored blue with probability of  $\frac{l}{k+l}$ , randomly and independently. For each  $i, 1 \leq i \leq h$ , let  $X_i$  be the event that all the numbers of  $A_i$  are red and all the numbers of  $B_i$  are blue. Clearly  $\Pr[X_i] = k^k l^l / (k+l)^{k+l}$ . Besides, we can check that the events  $X_i$  are pairwise disjoint, otherwise, say, both  $X_i$  and  $X_j$  hold, where  $i \neq j$ , then the given condition  $(A_i \cap B_j) \cup (A_j \cap B_i) \neq \emptyset$  cannot hold as the intersection of sets with different colors is definitely  $\emptyset$ . Then we have

$$1 \ge \Pr[\bigvee_{i=1}^{h} X_i] = \sum_{i=1}^{h} \Pr[X_i] = hk^k l^l / (k+l)^{k+l},$$

which completes the proof.

# 8 Problem 8

# 8.1 Question

(Prefix-free codes; Kraft Inequality). Let F be a finite collection of binary strings of finite lengths and assume no member of F is a prefix of another one. Let  $N_i$  denote the number of strings of length i in F. Prove that

$$\sum_{i} \frac{N_i}{2^i} \le 1.$$

#### 8.2 Answer

Let's think about the construction of the strings in F in the increasing order of length. When it comes to the strings of length j, each string should not contain any existing strings of length < j as its prefix, i.e., among all the  $2^j$  trivial choices, each previous string of length k would forbid  $2^{j-k}$  choices, besides, as we assume no member of F is a prefix of another one, these forbidden sets are disjoint. Thus, for strings of length j, the size of feasible set is

$$2^{j} - \sum_{i=1}^{j-1} N_i 2^{j-i},$$

which should satisfy

$$2^{j} - \sum_{i=1}^{j-1} N_i 2^{j-i} \ge N_j.$$

We can rewrite it as

$$1 \ge \sum_{i=1}^{j} \frac{N_i}{2^i},$$

which completes the proof when j is the max length of strings in F.

### 9 Problem 9

### 9.1 Question

(\*) (Uniquely decipherable codes; Kraft-McMillan Inequality). Let F be a finite collection of binary strings of finite lengths and assume that no two distinct concatenations of two finite sequences of codewords result in the same binary sequence. Let  $N_i$  denote the number of strings of length i in F. Prove that

$$\sum_{i} \frac{N_i}{2^i} \le 1.$$

#### 9.2 Answer

The proof basically follows a common proof of Kraft-McMillan Inequality. Rewrite  $\sum_{i} \frac{N_i}{2^i}$  as  $\sum_{f \in F} 2^{-L(f)}$ , where L(f) denote the length of string f. For any positive integer k, we have

$$(\sum_{f \in F} 2^{-L(f)})^k = (\sum_{f_1 \in F} 2^{-L(f_1)}) (\sum_{f_2 \in F} 2^{-L(f_2)}) ... (\sum_{f_k \in F} 2^{-L(f_k)})$$

$$= \sum_{f_1} \sum_{f_2} ... \sum_{f_k} \prod_{i=1}^k 2^{-L(f_i)}$$

$$= \sum_{f_1, ..., f_k} 2^{-\sum_{i=1}^k L(f_i)}$$

$$= \sum_{f_1, ..., f_k} 2^{-L(f_1 f_2 ... f_k)}$$

Here,  $f_1f_2...f_k$  means the concatenation of strings  $f_1$  to  $f_k$ . From the given assumption, we know  $(f_1, f_2, ..., f_k)$  are the unique tuple of strings in F whose concatenation is  $f_1f_2...f_k$ , which allows us to claim

$$\left(\sum_{f \in F} 2^{-L(f)}\right)^k = \sum_{i=1}^{kL^*} \frac{\tilde{N}_i}{2^i} \le \sum_{i=1}^{kL^*} \frac{2^i}{2^i} = kL^*,$$

where  $L^*$  is the maximum length of the strings in F, and  $\tilde{N}_i$  is the number of *i*-length k-concatenation (the concatenation of k strings in F whose total length is k) of strings in F, which is naturally  $\leq 2^i$ . Thus,

$$\sum_{f \in F} 2^{-L(f)} \le (kL^*)^{\frac{1}{k}},$$

holds for any positive k, which means

$$\sum_{f \in F} 2^{-L(f)} \le 1,$$

by letting k tend to infinity, completing the proof.

# 10 Problem 10

#### 10.1 Question

Prove that there is an absolute constant c > 0 with the following property. Let A be an n by n matrix with pairwise distinct entries. Then there is a permutation of the rows of A so that no column in the permuted matrix contains an increasing subsequence of length at least  $c\sqrt{n}$ .

#### 10.2 Answer

Let  $l = c\sqrt{n}$ , where c is a constant big enough, say  $c \ge 1000$ . The proposition is trivial when  $c\sqrt{n} > n$ , i.e., when  $n < c^2$ , so we only need to care about  $n \ge c^2 \ge 10^6$ . Let  $\pi$  be a random permutation of rows of A, and  $X_i$  denote the event that the ith column contains an increasing subsequence of length at least l after permutation, then

$$\Pr\left[\bigvee_{i} X_{i}\right] \leq \sum_{i} \Pr\left[X_{i}\right]$$

$$\leq n \binom{n}{l} \frac{1}{l!}$$

$$\leq n \left(\frac{ne}{l}\right)^{l} \frac{1}{\sqrt{2\pi l} \left(\frac{l}{e}\right)^{l}}$$

$$\leq n \left(\frac{e}{c}\right)^{2l} / \sqrt{2\pi l}$$

$$\leq n \left(\frac{e}{c}\right)^{2l} / 10^{3}$$

$$\leq 10^{-3} n / 300^{2000\sqrt{n}}$$

$$\leq 1.$$

which implies that with positive probability, such permutation exists when no column in the permuted matrix contains an increasing subsequence of length at least  $l = c\sqrt{n}$ .