



## Analysis of transfer learning to transfer process knowledge when processing different materials

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Supervisor: Yannik Lockner, M. Sc.

13.10.2020

Analysis of transfer learning to transfer process knowledge  
when processing different materials

# Outline



- Motivation of applying transfer learning
- Dataset description and feature ranking
- Construction of DNN-ETL model
- Transfer performance analysis
- Application scenario of DNN-ETL
- Conclusion and outlook

[URL00]



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# Motivation of applying transfer learning in injection molding

## Conventional deep learning approach

### Objective

To analyse the possibility to transfer knowledge between processes where different materials are being utilized.



# Motivation of applying transfer learning in injection molding

## Conventional deep learning approach

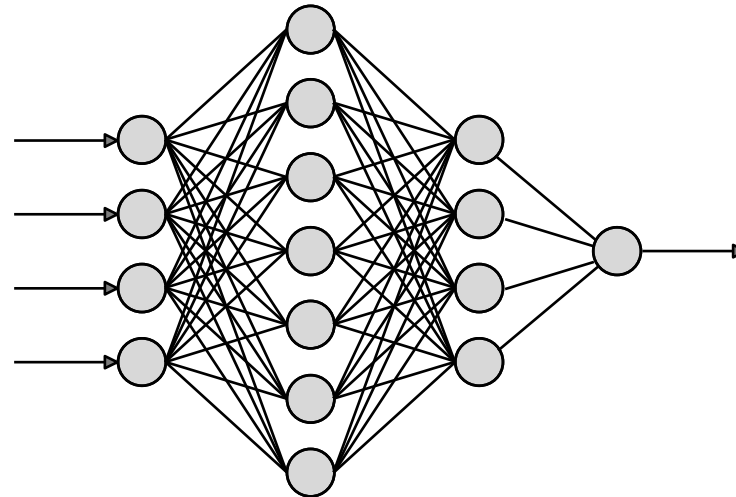
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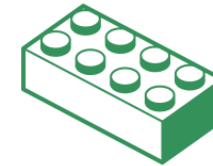
**Machine settings**



**Material properties**



**Deep Neural Network(DNN)**



**Product quality**



# Motivation of applying transfer learning in injection molding

## Conventional deep learning approach

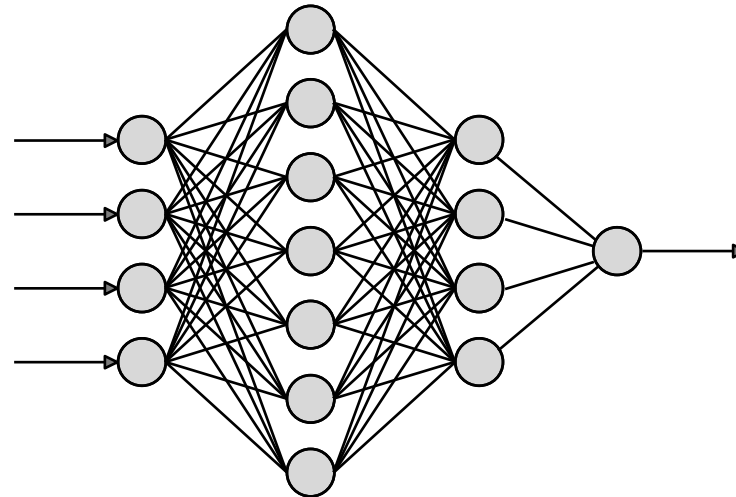
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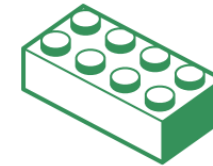
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### Challenges

- Require large amount of process data
- High training effort of the fitting models in the changes of production process

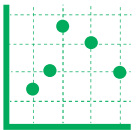


# Conducting experiments with a new material



Source dataset

Large process databank of multiple materials



Target dataset

Several data points of a new material





# Conducting experiments with a new material

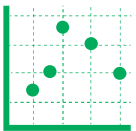


Source dataset

Large process databank of multiple materials



- Related but different
- Same feature space
- Different distribution



Target dataset

Several data points of a new material

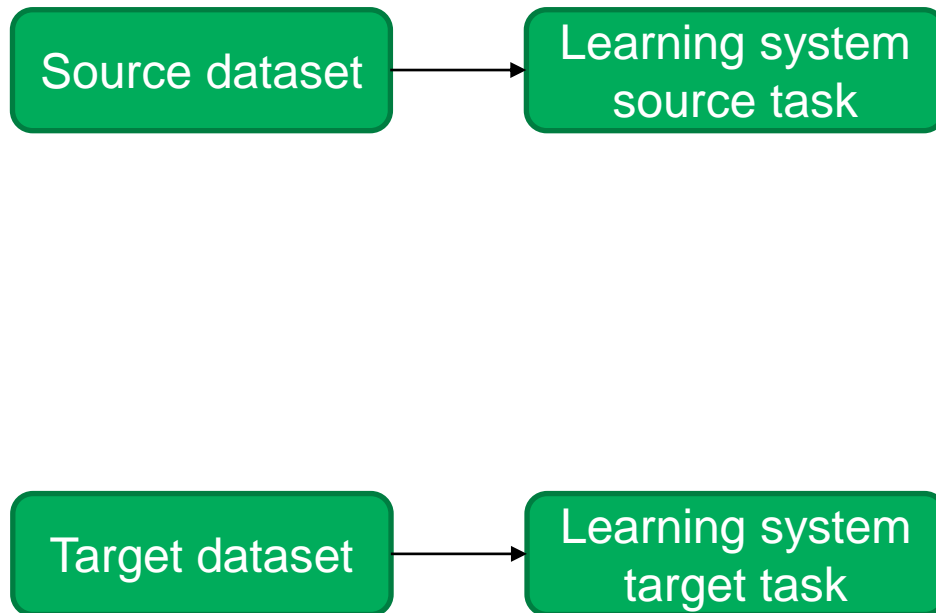




# Comparison of conventional DNN and DNN with transfer learning

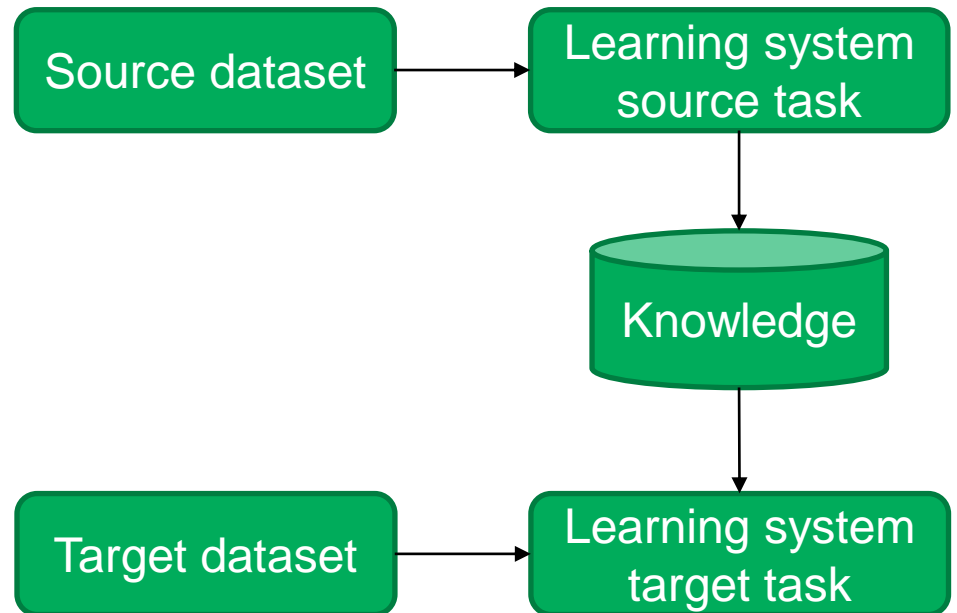
## Conventional DNN

- Training is isolated
- Knowledge is not retained or accumulated



## DNN-TL

- Training of a new task relies on the previous learned task
- Faster training, accurate, less training data



[OBL14]



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# Material series, polymer classes, manufacturers and amount

01

## APEC

Polycarbonate  
Company: Covestro  
Amount: 13

02

## PLEXIGLAS

Polymethylmethacrylat  
Company: Evonik  
Amount: 10



03

## ULTRAMID

Polyamid  
Company: BASF  
Amount: 9

04

## VALOX

Polybutylenterephthalat  
Company: Sabic  
Amount: 4



05

## ULTEM

Polyetherimid  
Company: Sabic  
Amount: 6

06

## SABIC PP

Polypropylene  
Company: Sabic  
Amount: 17



[URL01]

# Input and output variables of DNN model based on injection moulding simulation



**CADMOULD®**  
3D-F SIMULATION

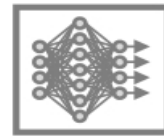
60 materials, 77 experiments for each one

## 6 machine settings:

- Holding pressure. [bar]
- Holding pressure time [s]
- Melt temperature [°C]
- Cavity wall temperature [°C]
- Cooling time [s]
- Injection flow rate [cm<sup>3</sup>/s]

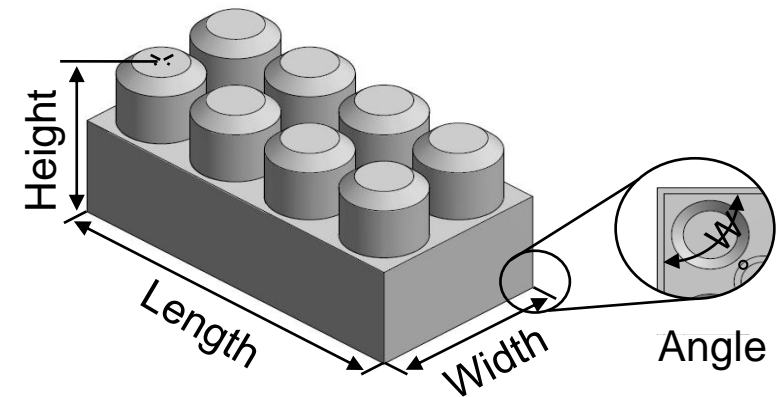
## 220 material properties:

- Solid density [kg/m<sup>3</sup>]
- Melt density [kg/m<sup>3</sup>]
- Thermal conductivity [W/m · °C]
- .....



## 5 quality values:

- Part weight [g]
- Length [mm]
- Height [mm]
- Width [mm]
- Angle [°]



Toy building block with 4×2 studs

[Hei17]

# Input and output variables of DNN model based on injection moulding simulation



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3D-F SIMULATION

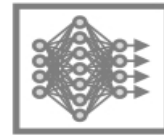
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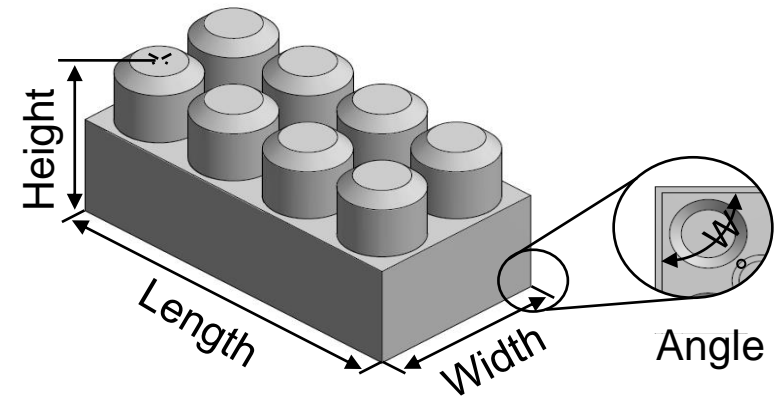
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Toy building block with 4×2 studs

- Some features are not related

[Hei17]

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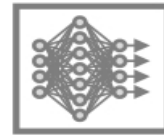
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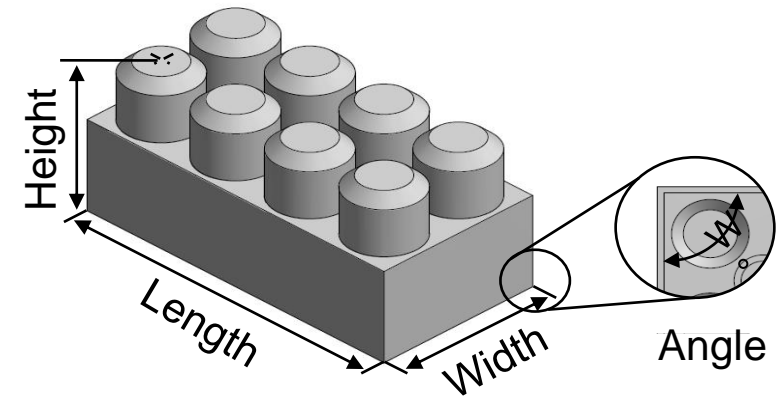
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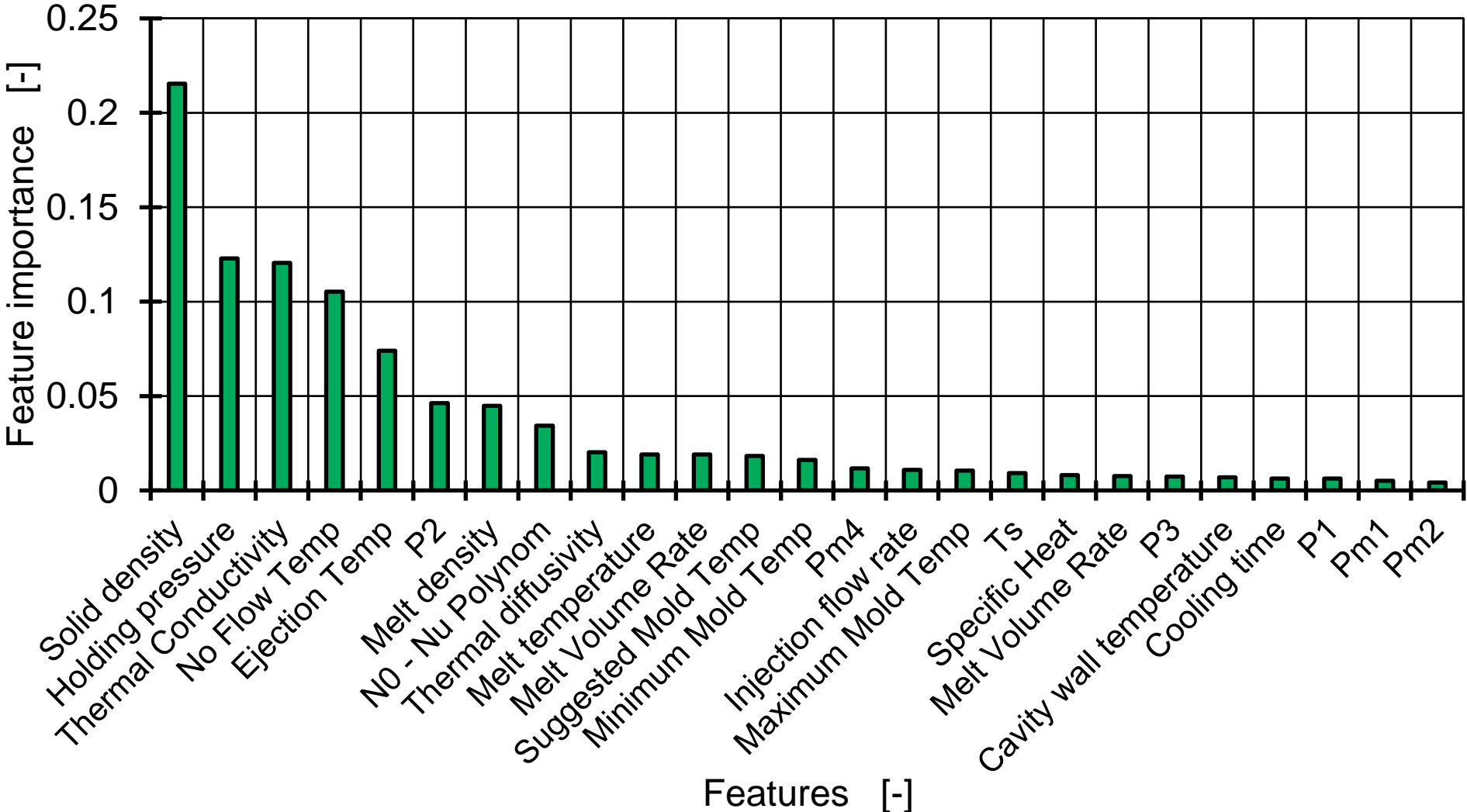
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- Apply feature ranking method

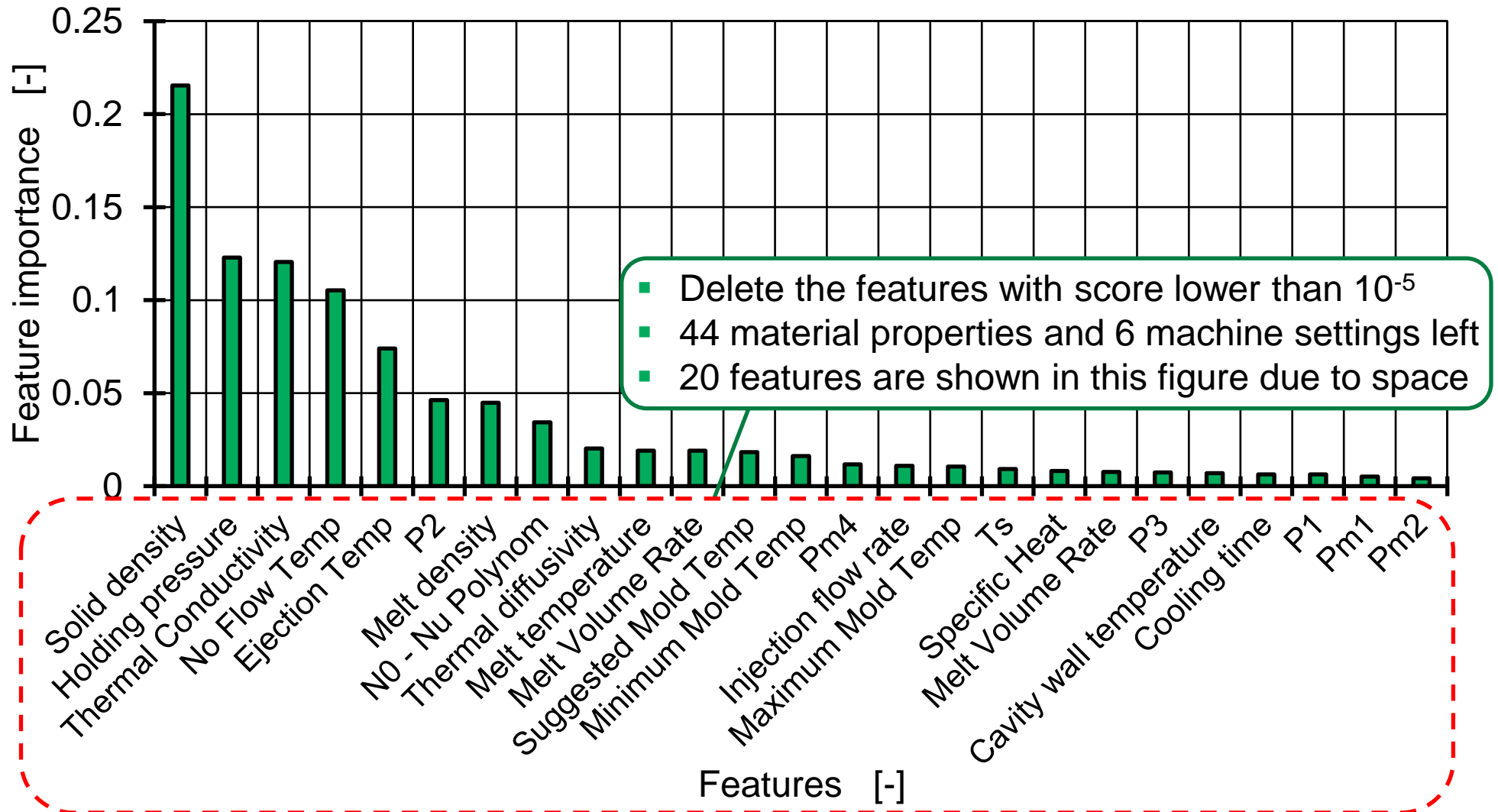
[Hei17]

## Feature importance score ranking for prediction of part weight

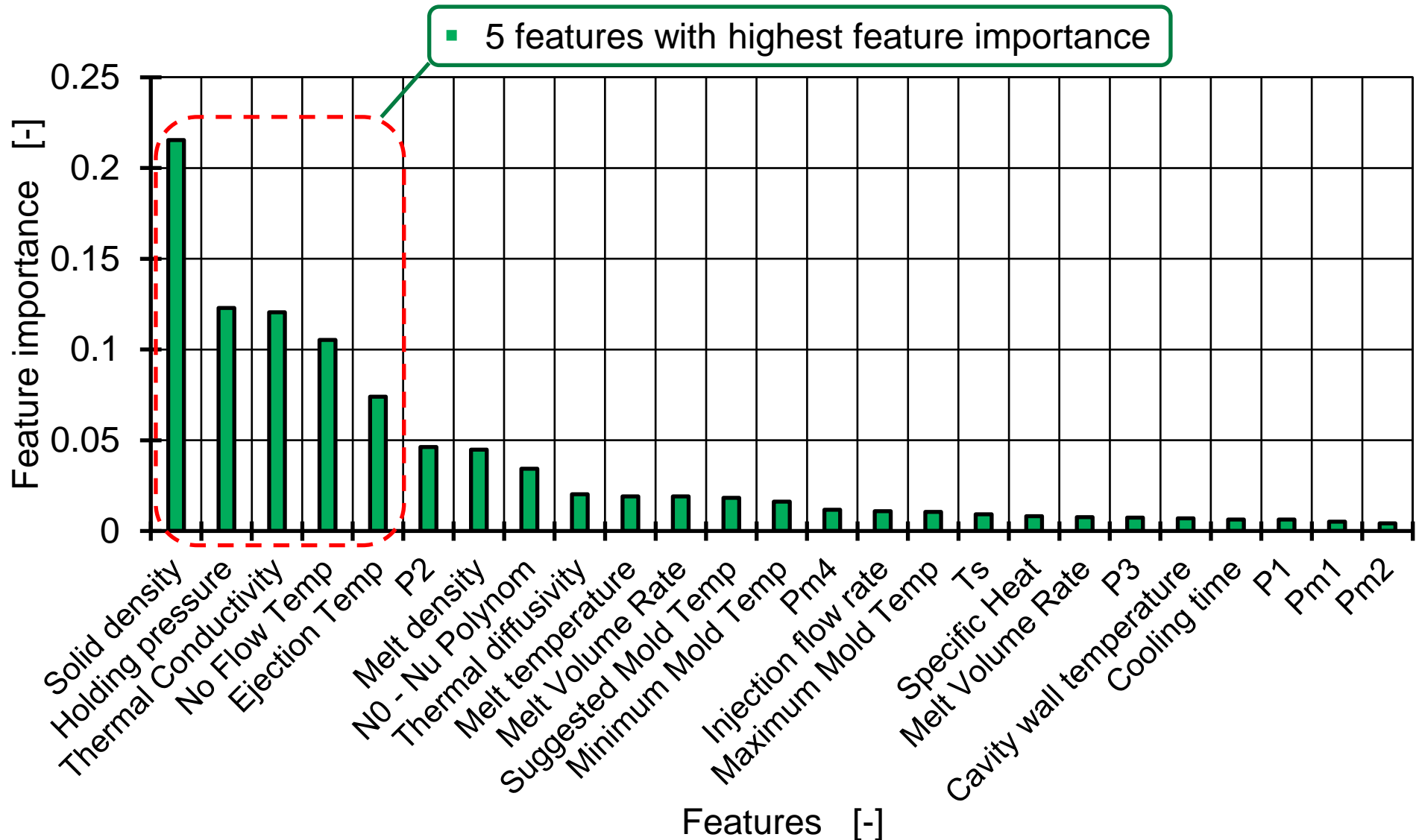




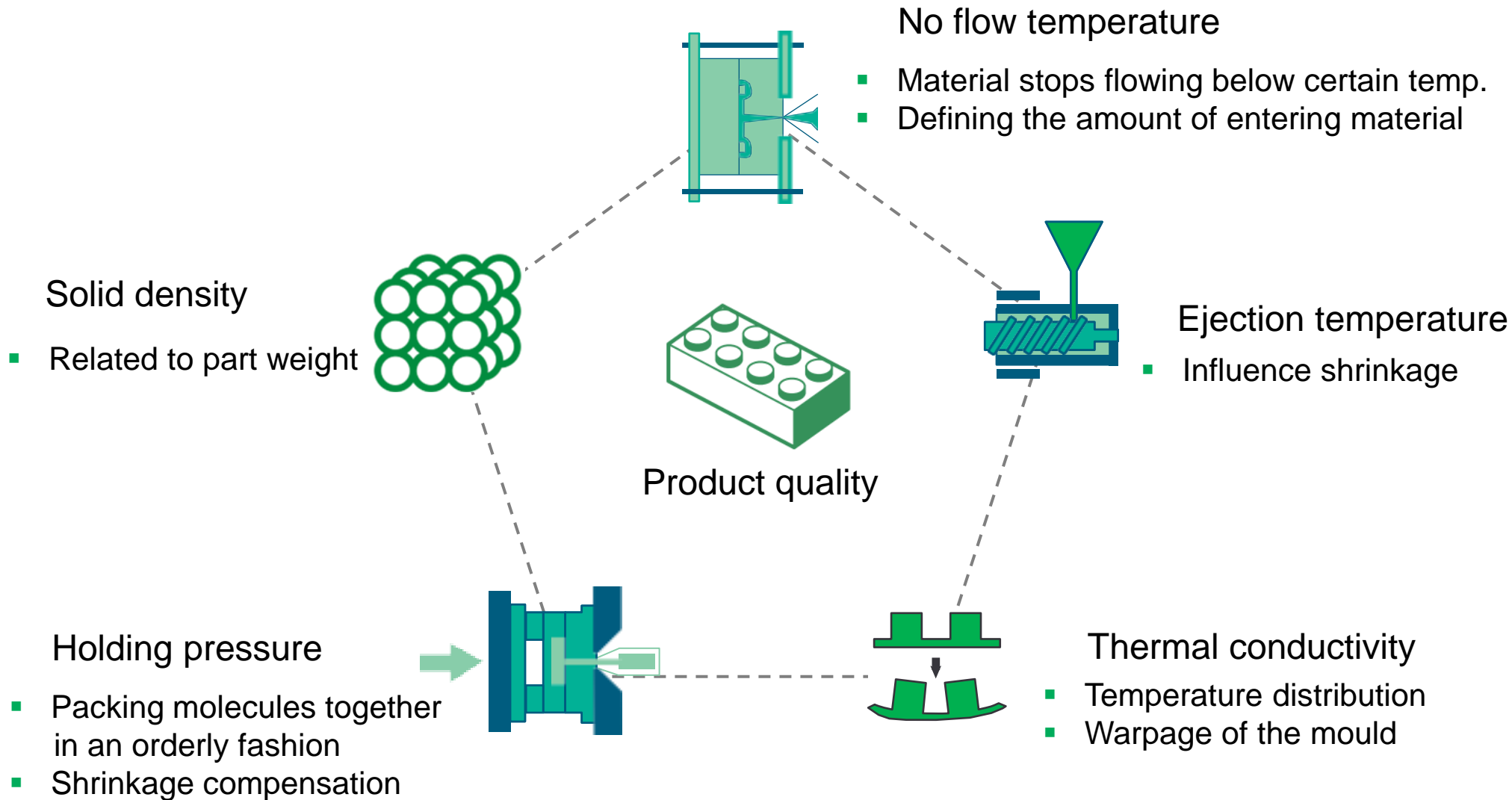
# Feature importance score ranking for prediction of part weight



# Feature importance score ranking for prediction of part weight



# Analysis of 5 important influence factors

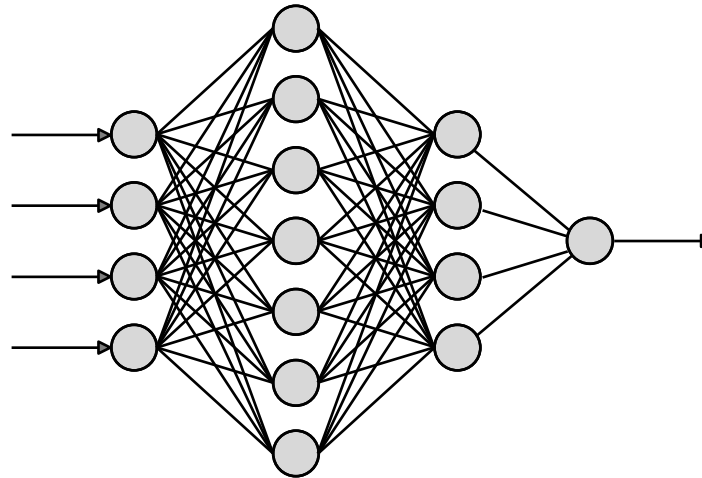


# Summary of the dataset

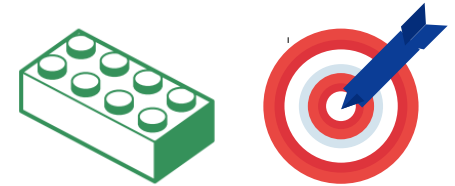
**6 machine settings**



**44 material properties**



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[URL00]



# Structure of deep neural network (DNN)

DNN after hyperparameter tuning  
Pre-train on source task

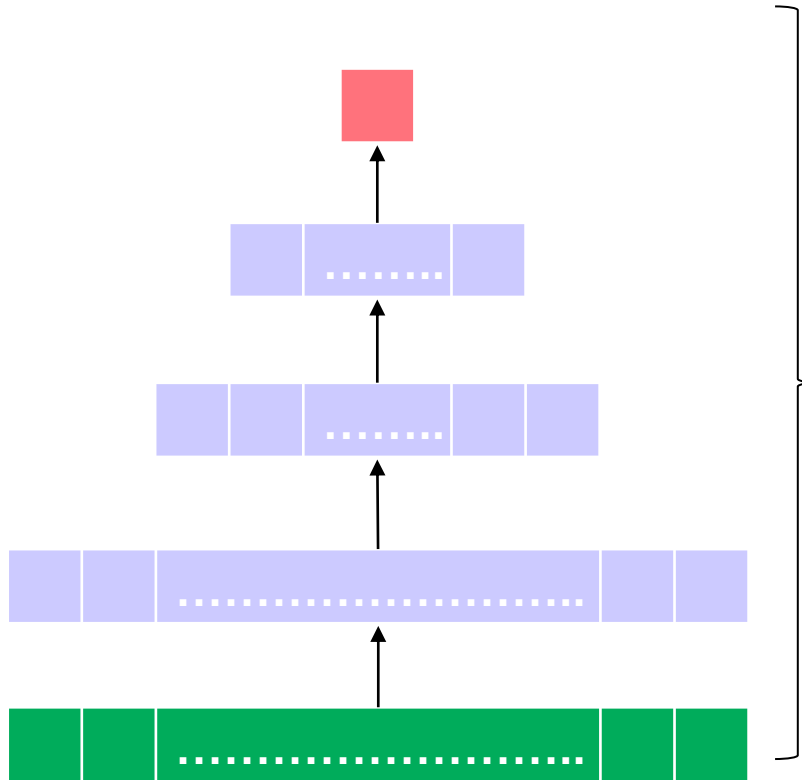
Output layer  
(5 node)

Hidden layer  
(10 node)

Hidden layer  
(25 node)

Hidden layer  
(50 node)

Input layer  
(50 node)

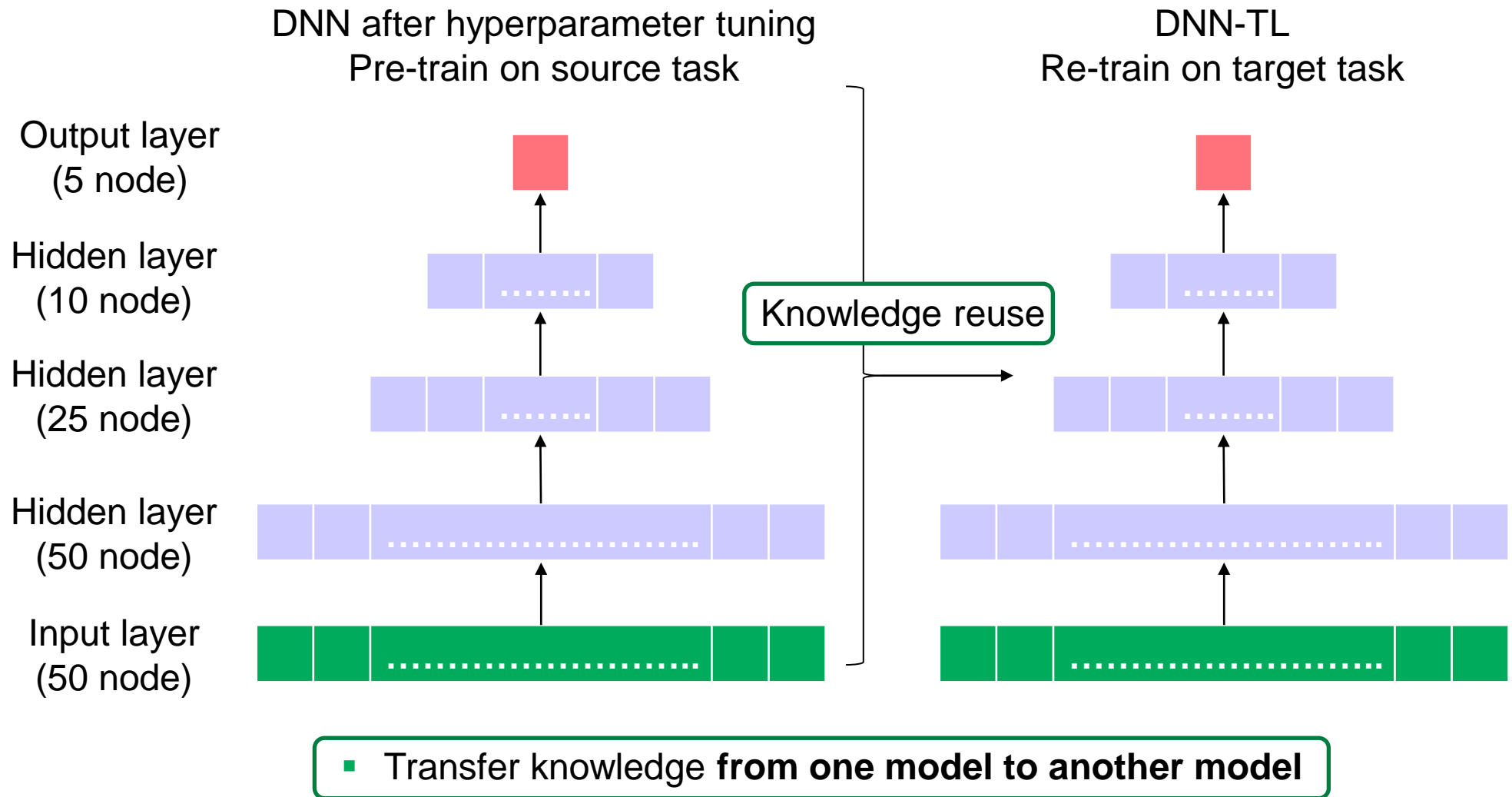


**Knowledge:**

- DNN structure
- Weights
- Hyperparameters
- ...

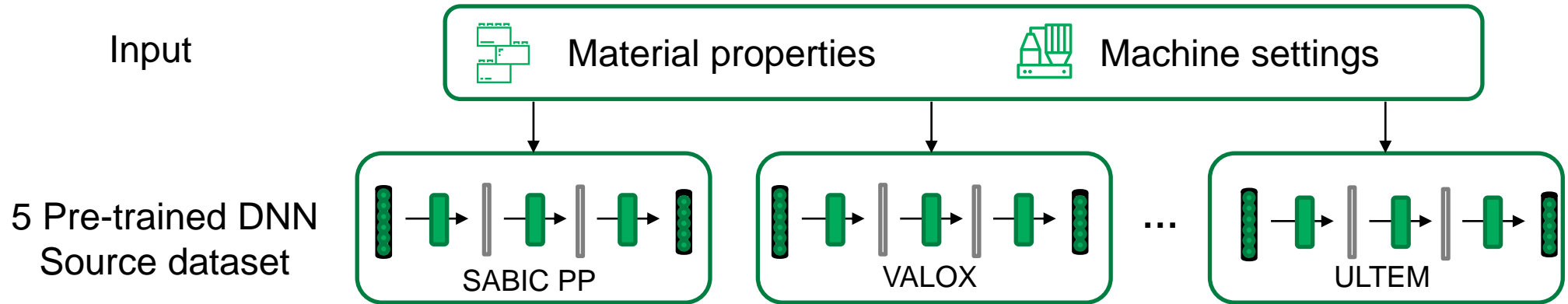


# Structure of DNN with transfer learning (DNN-TL)

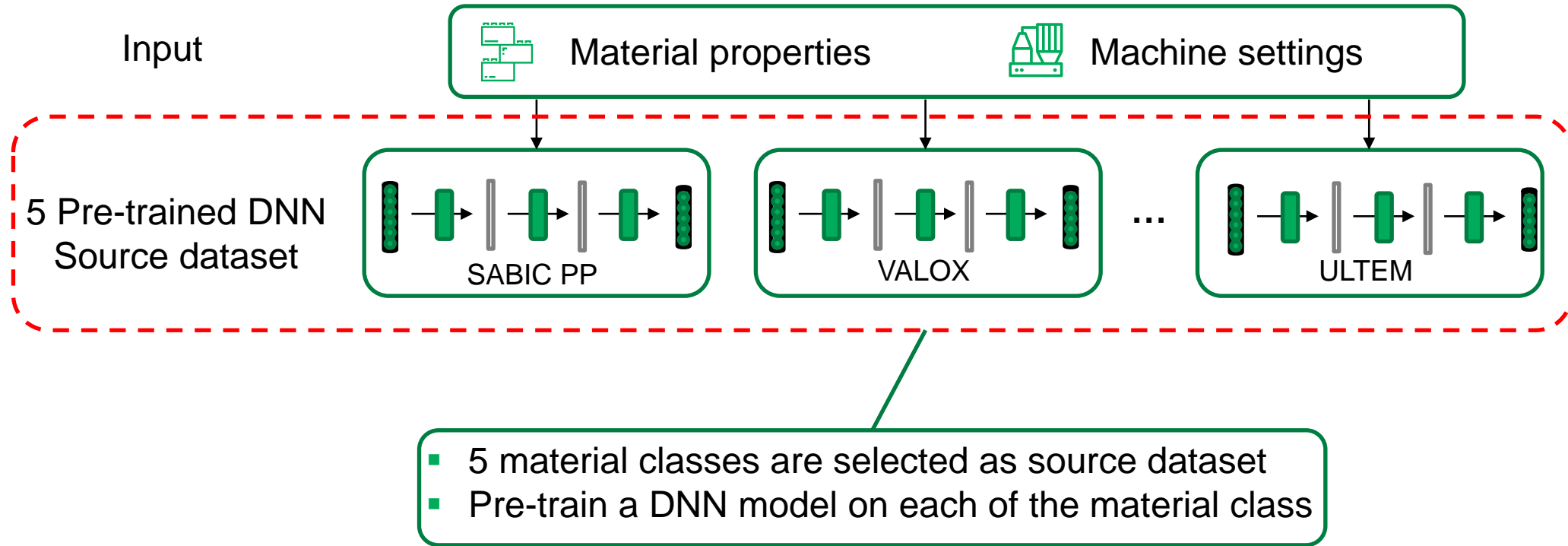




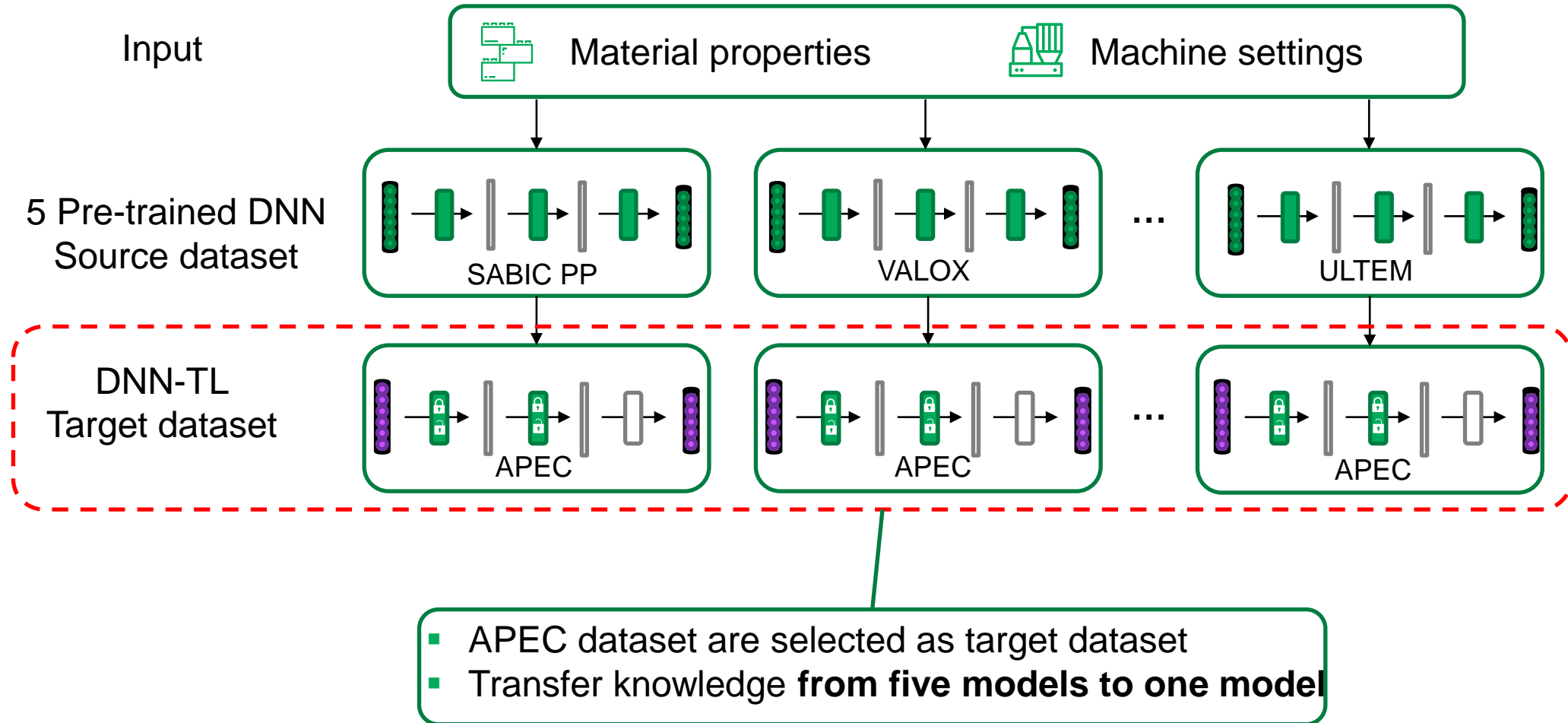
# Structure of DNN with transfer and ensemble learning (DNN-ETL)



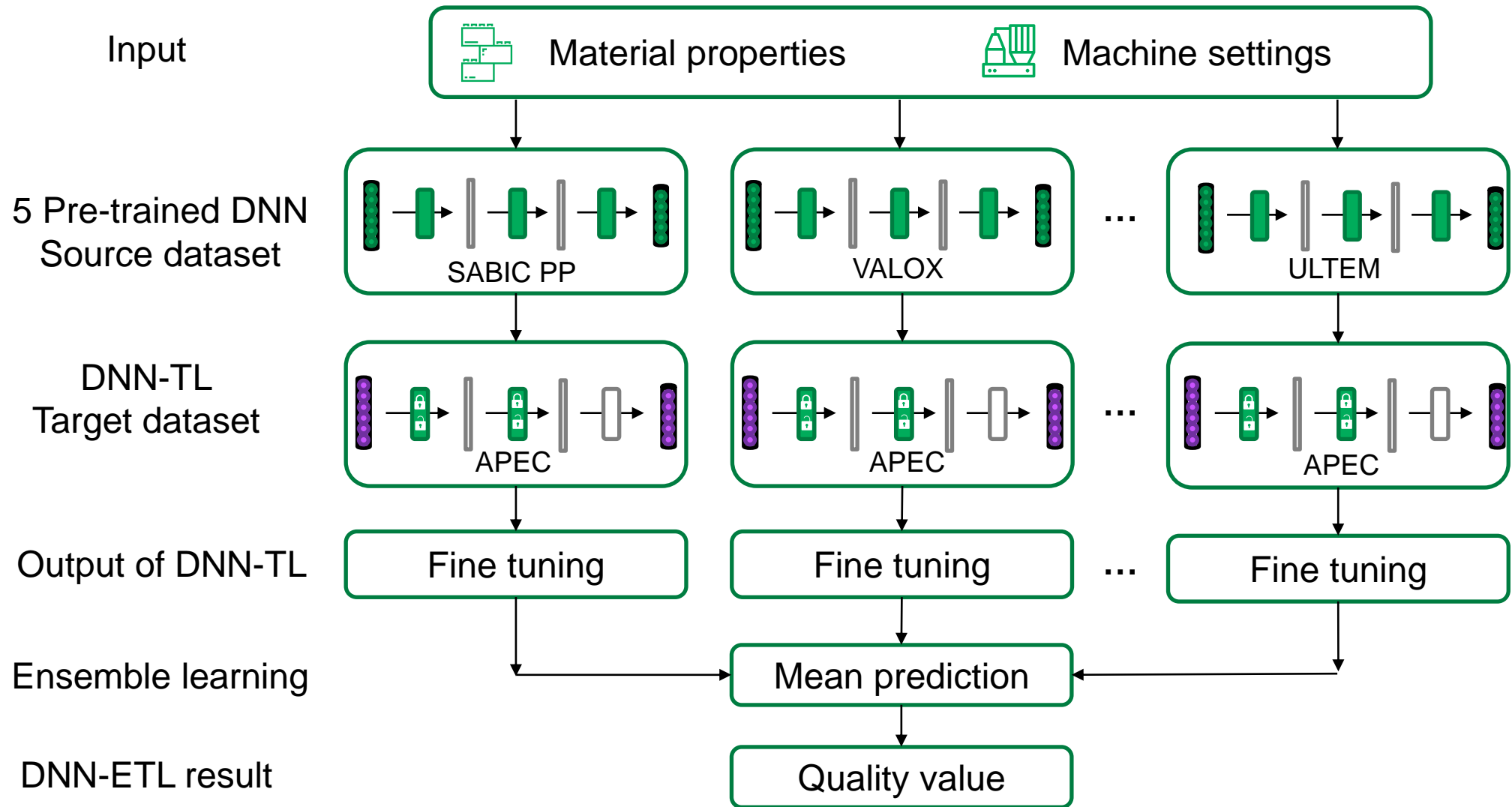
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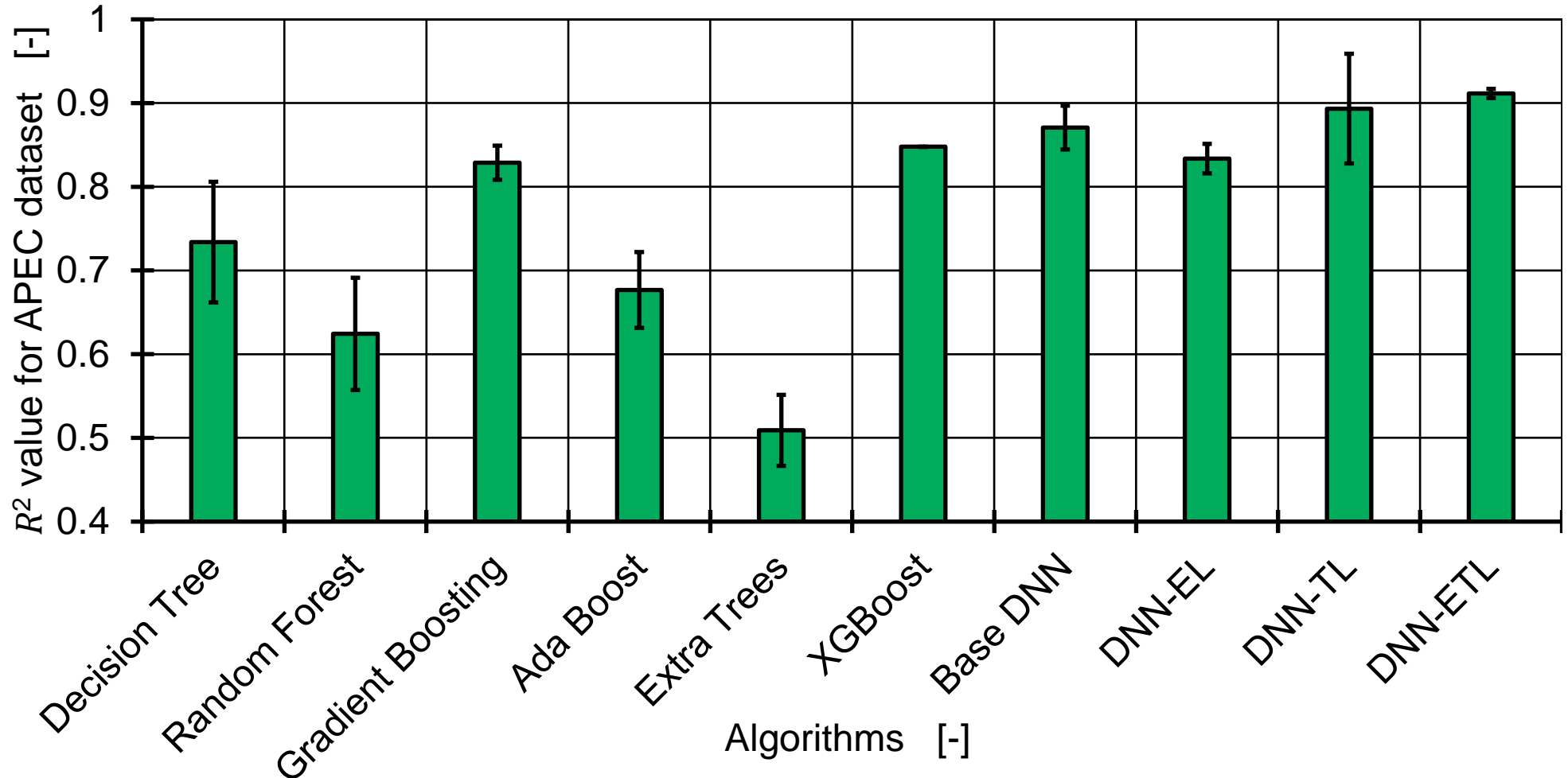


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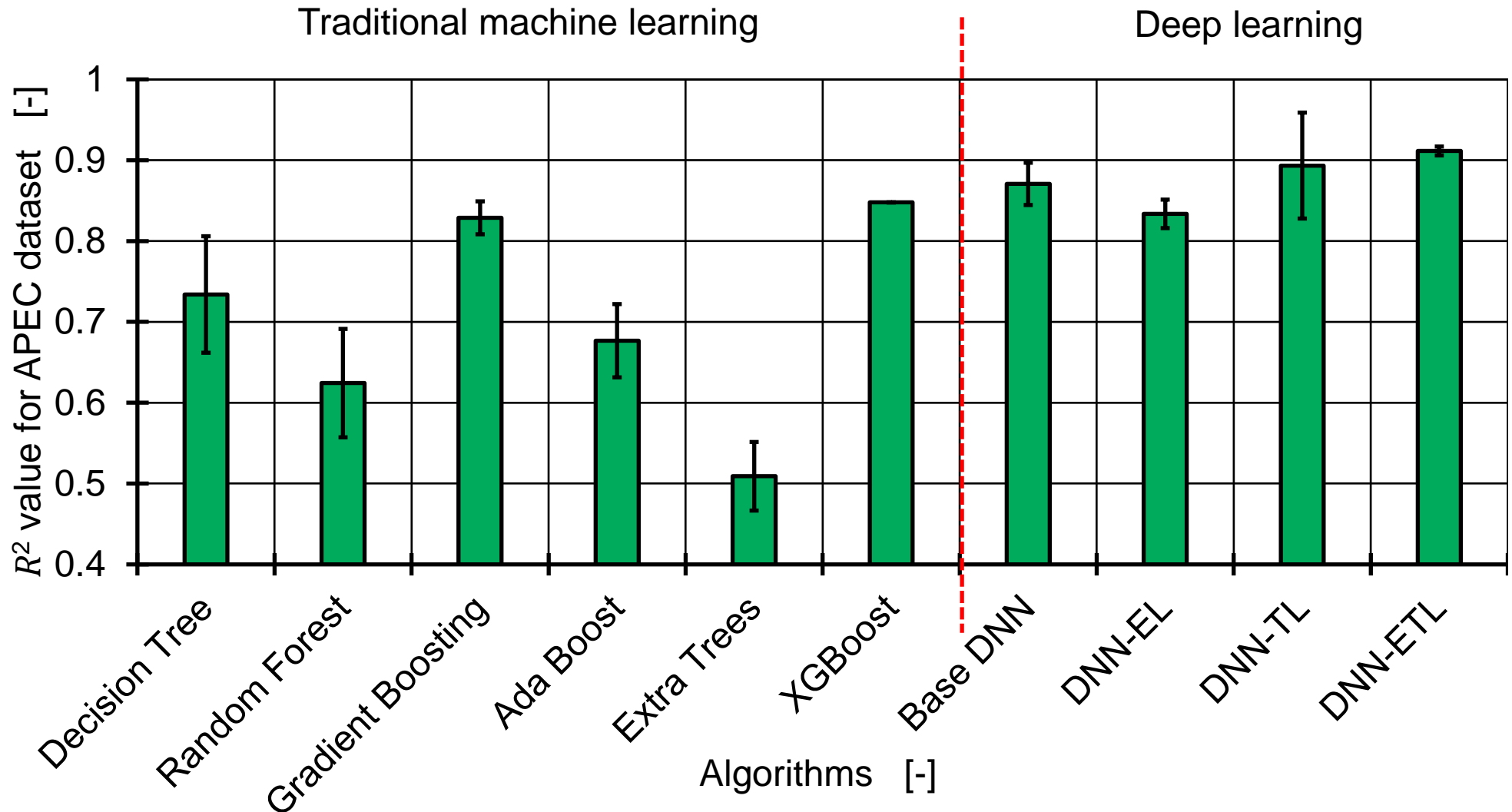


## Model comparison of $R^2$ value

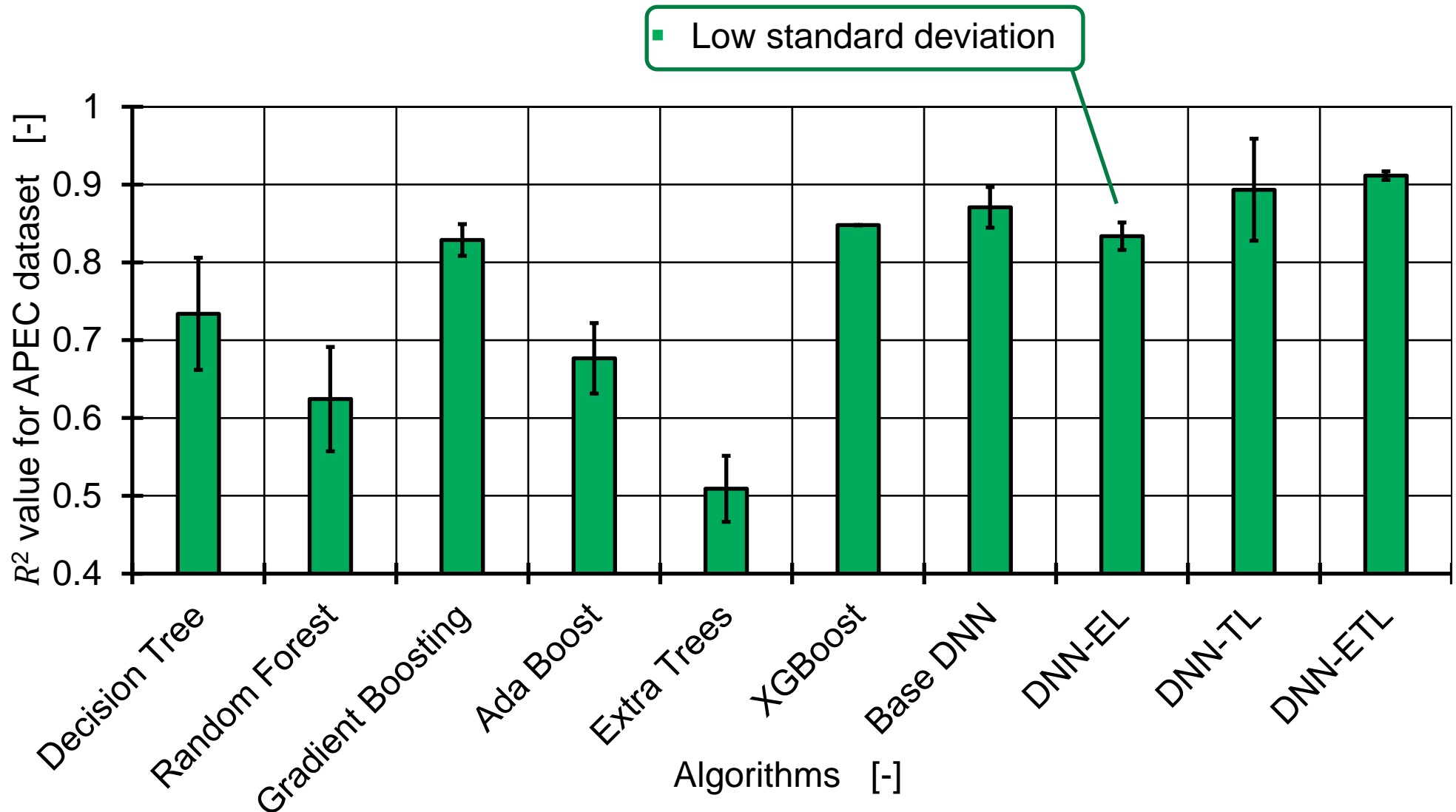
- Base DNN: without transfer and ensemble
- DNN-EL: with ensemble learning
- DNN-TL: with transfer learning
- DNN-ETL: with both transfer and ensemble



# Model comparison of $R^2$ value

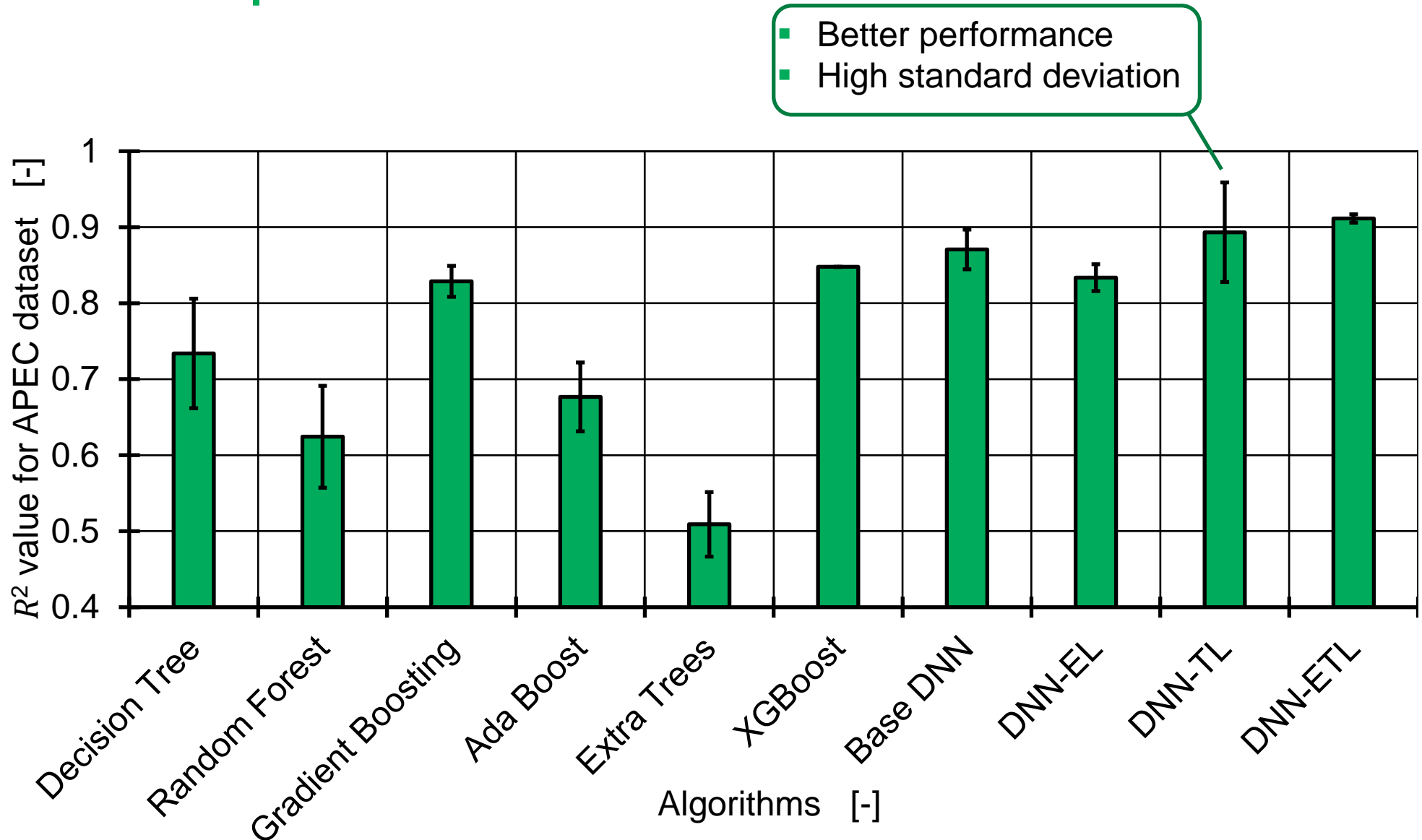


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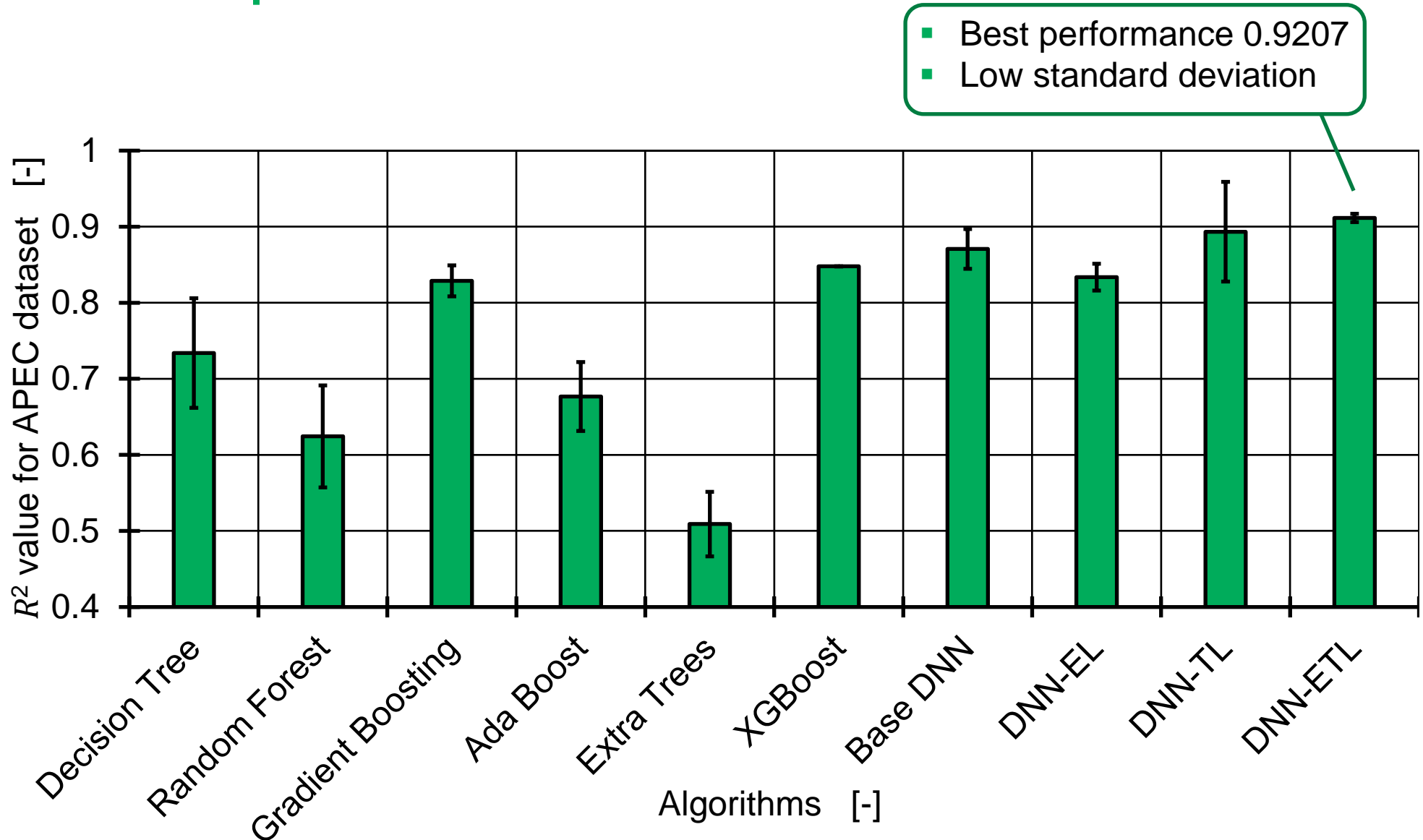




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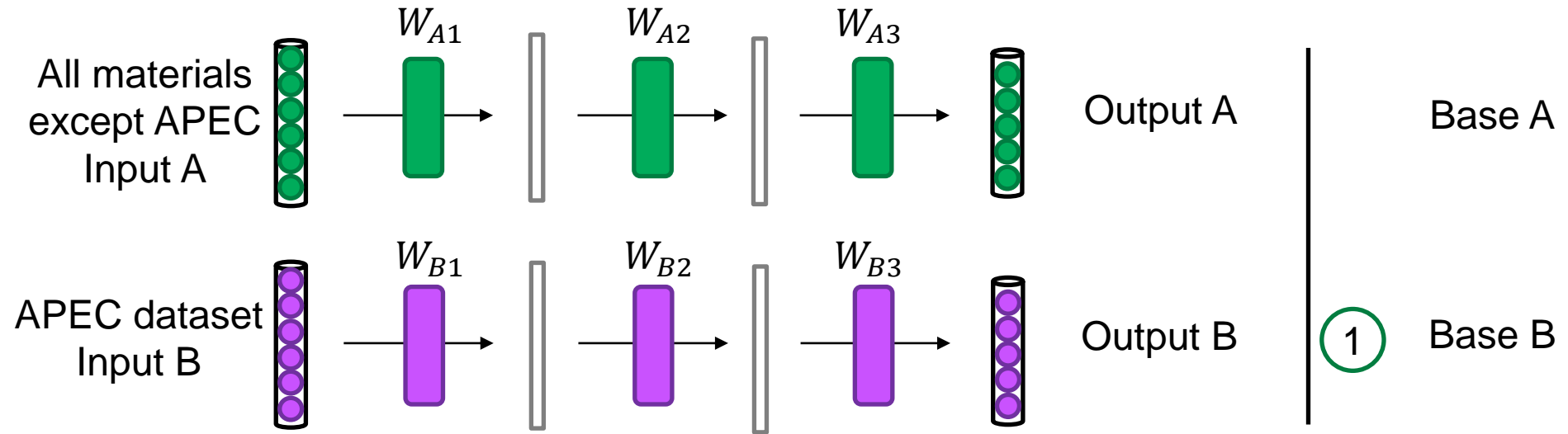


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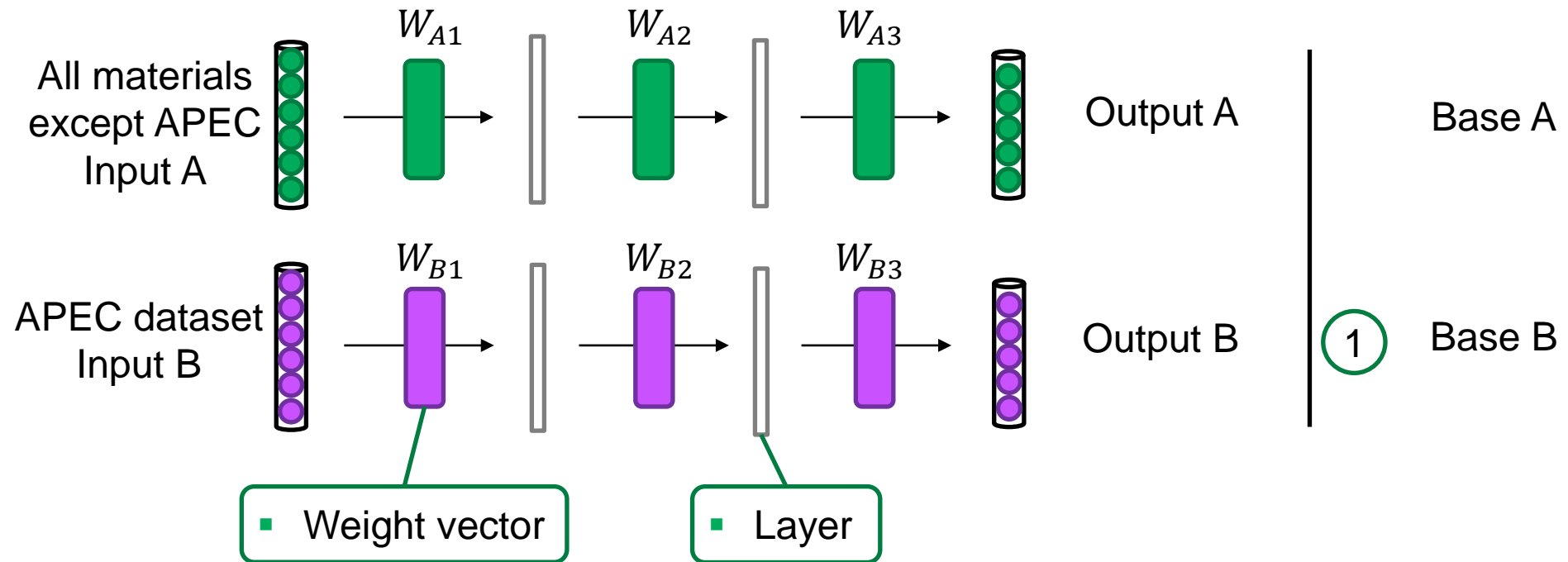


# Experiment setup: scenario 1



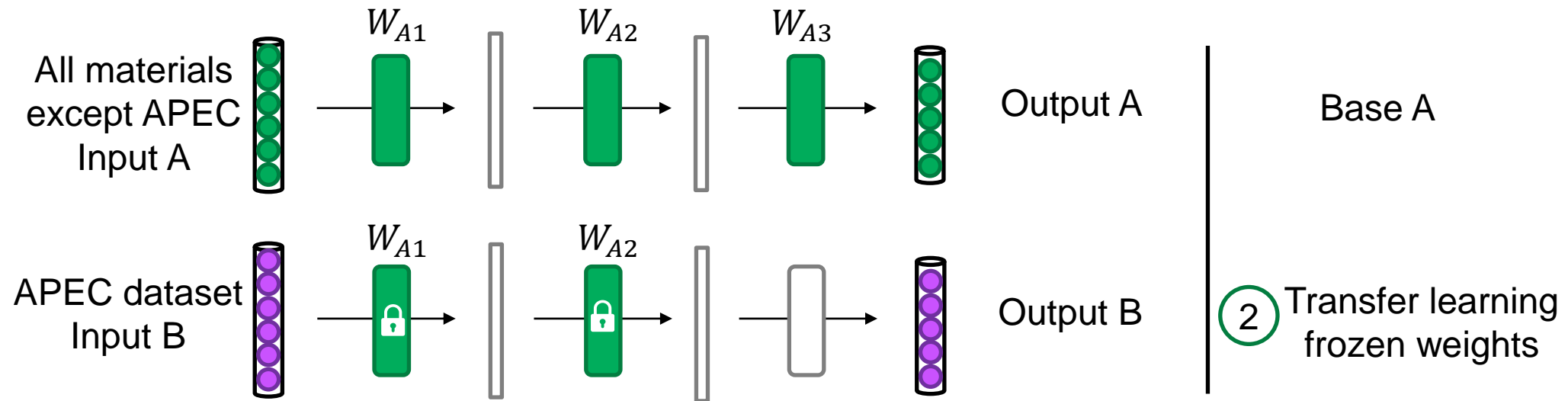
[YCB+14]

# Experiment setup: scenario 1



[YCB+14]

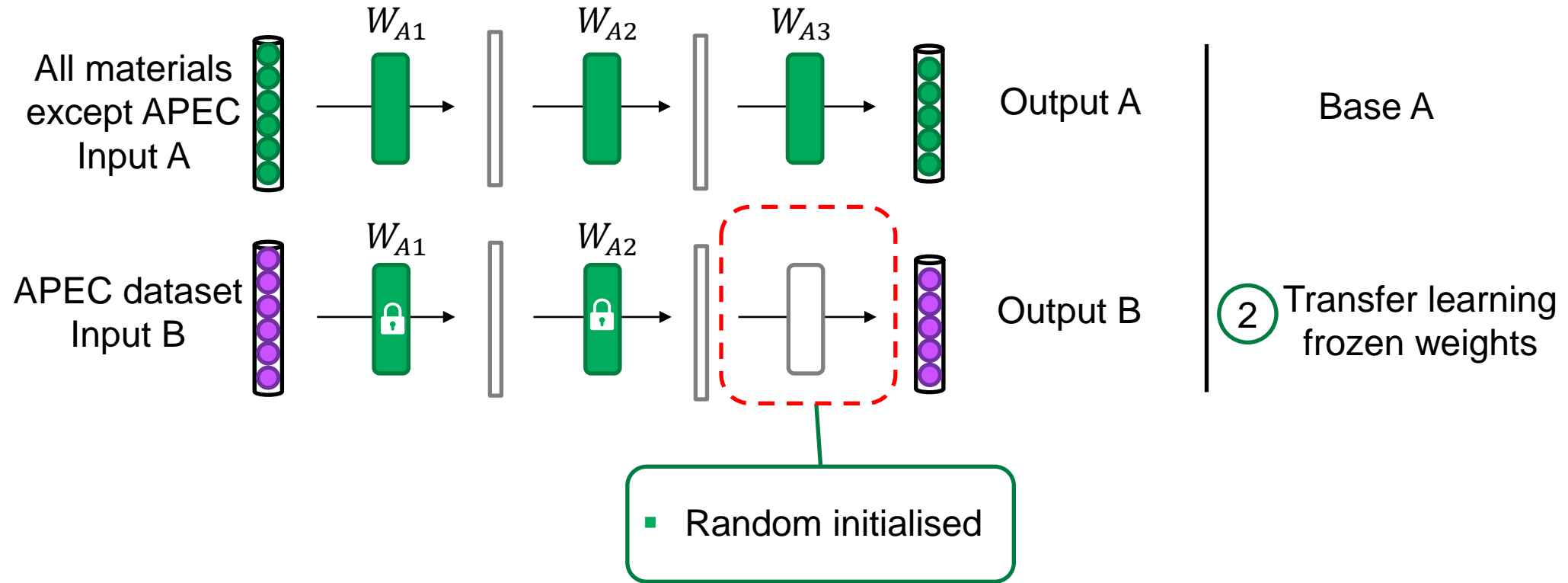
## Experiment setup: scenario 2



- To simplify the model, we don't consider ensemble learning but mainly focus on transfer learning, namely DNN-TL model

[YCB+14]

## Experiment setup: scenario 2

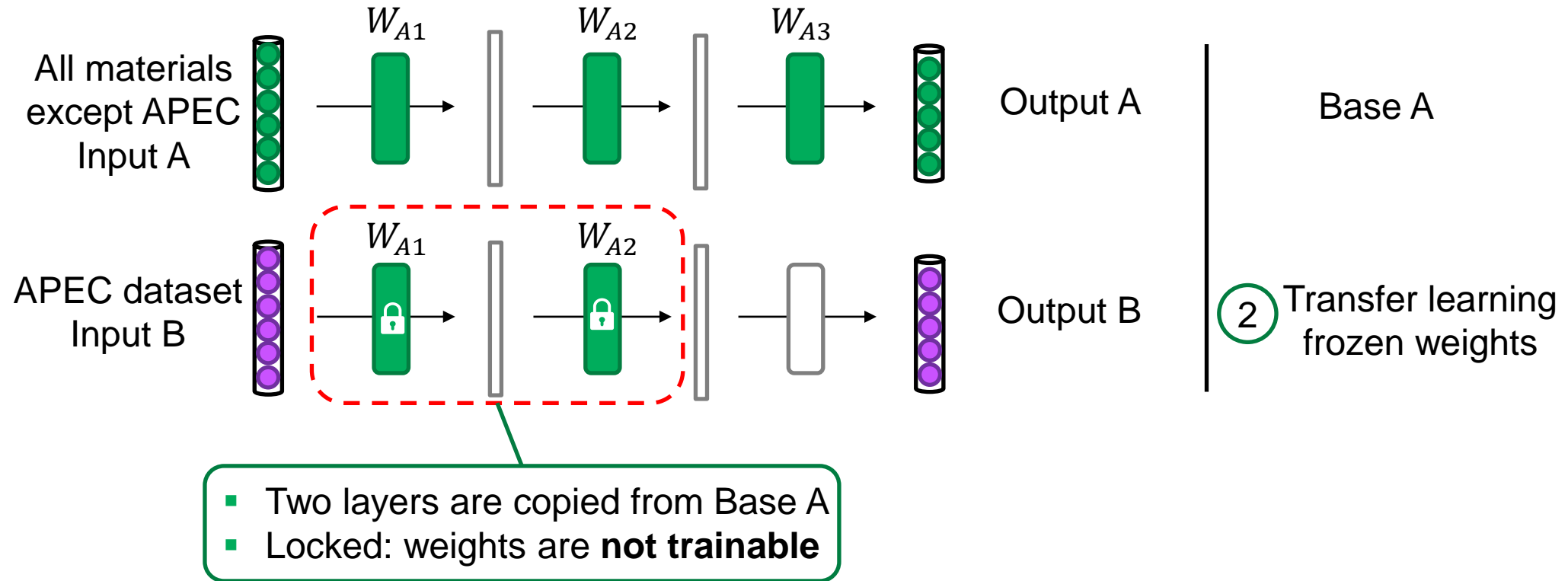


[YCB+14]



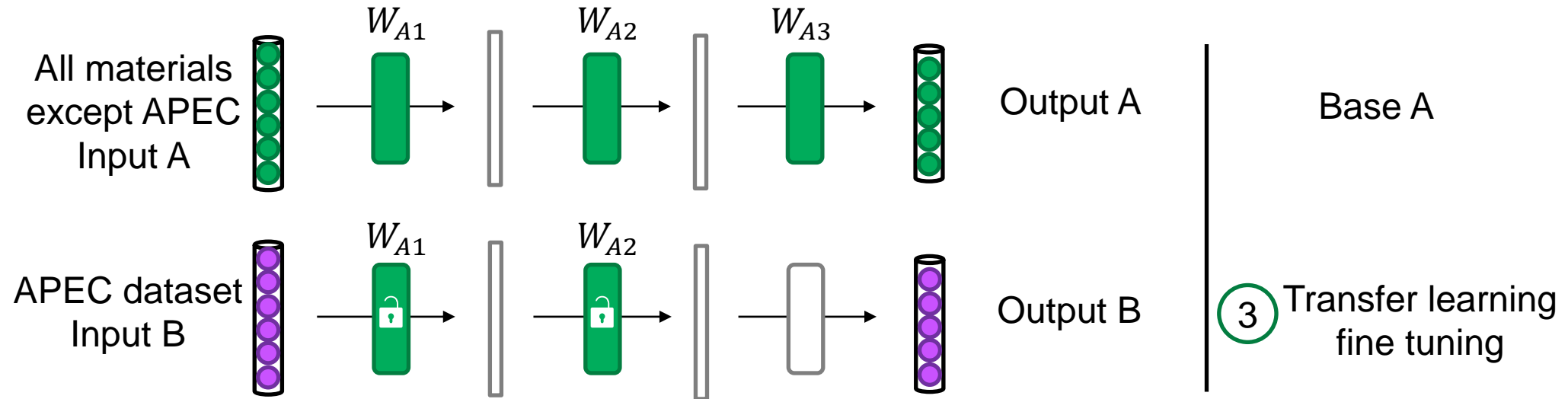


## Experiment setup: scenario 2



[YCB+14]

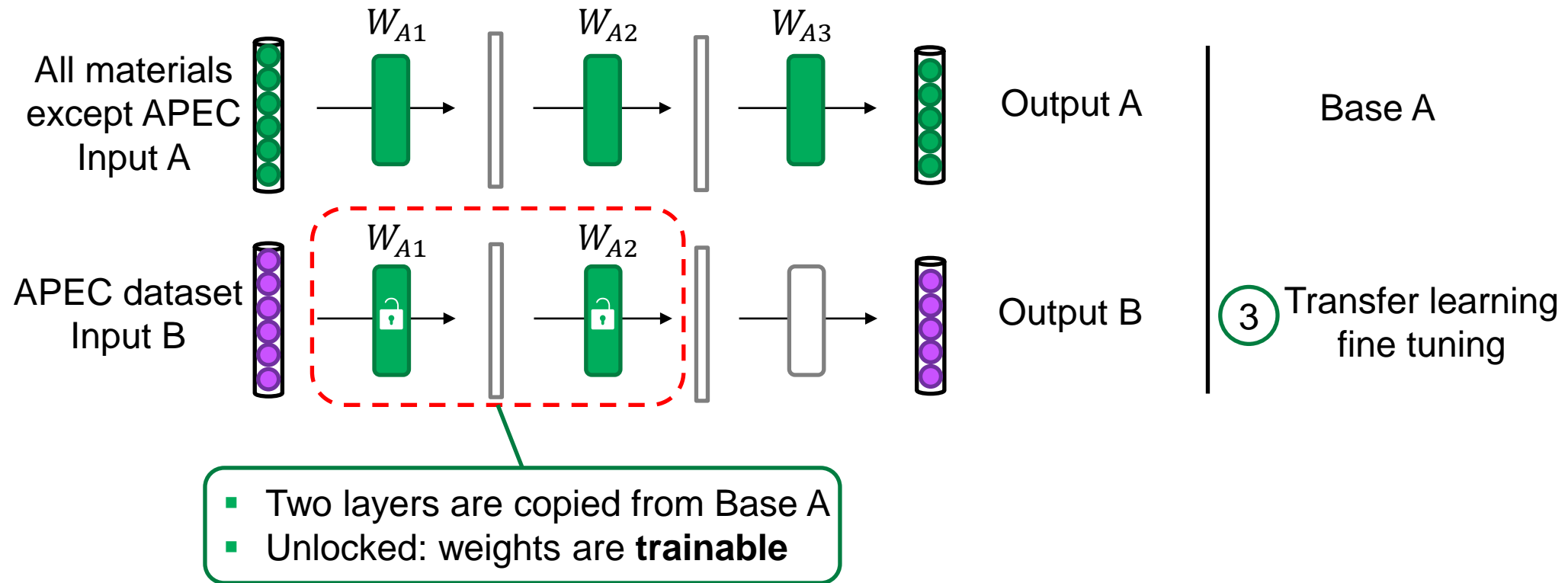
## Experiment setup: scenario 3



[YCB+14]



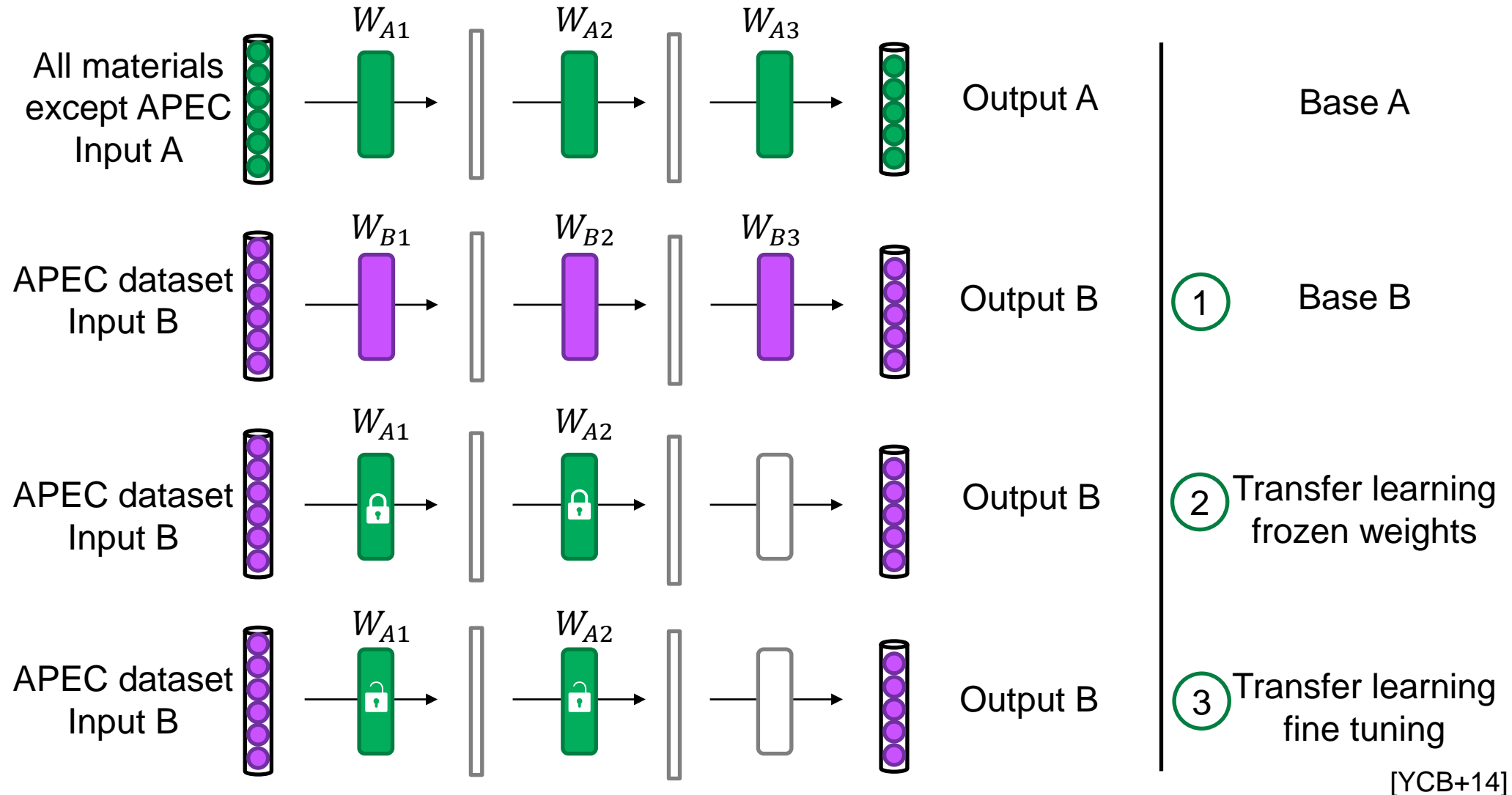
## Experiment setup: scenario 3



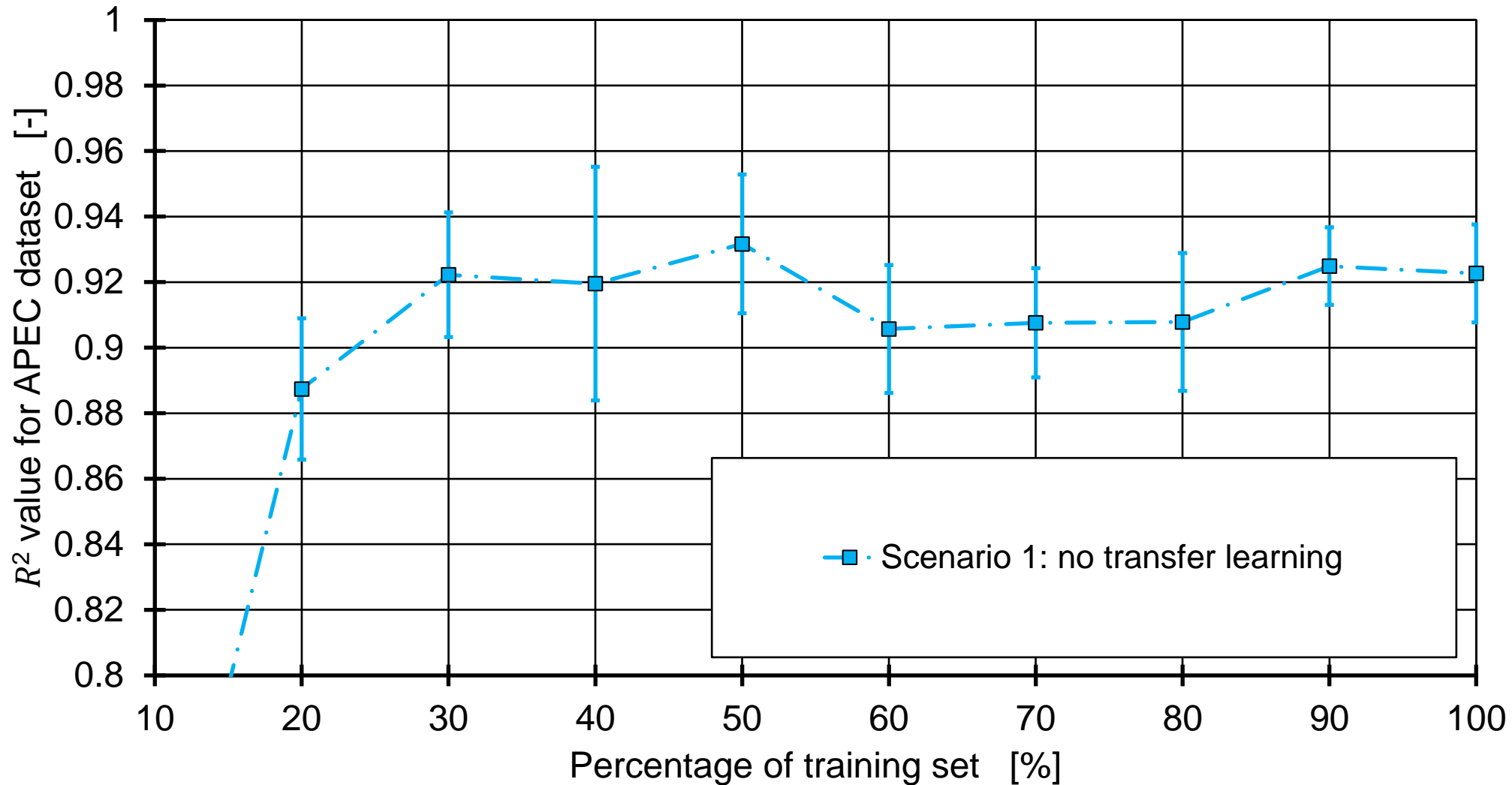
[YCB+14]



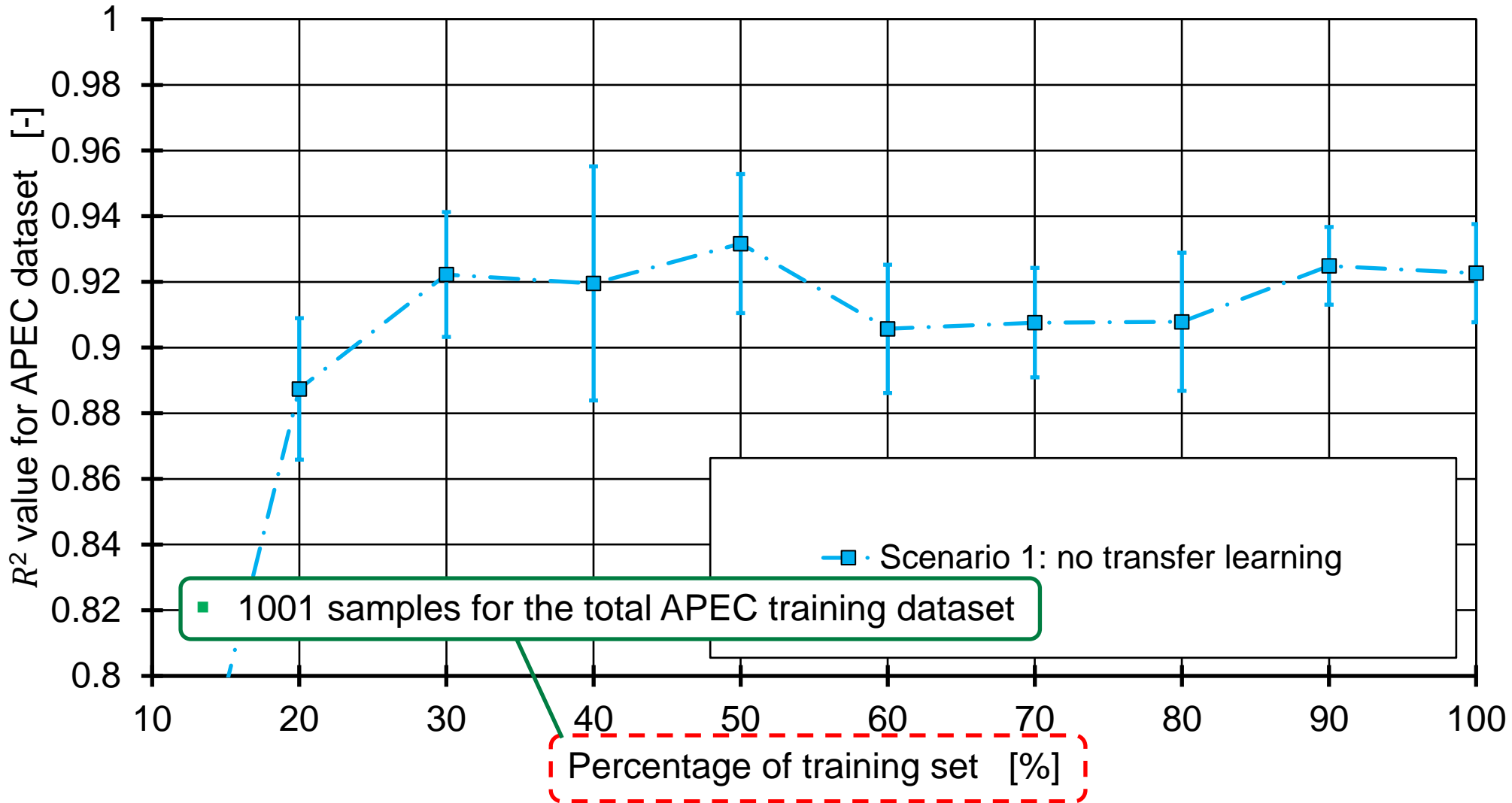
# Experiment setup overview



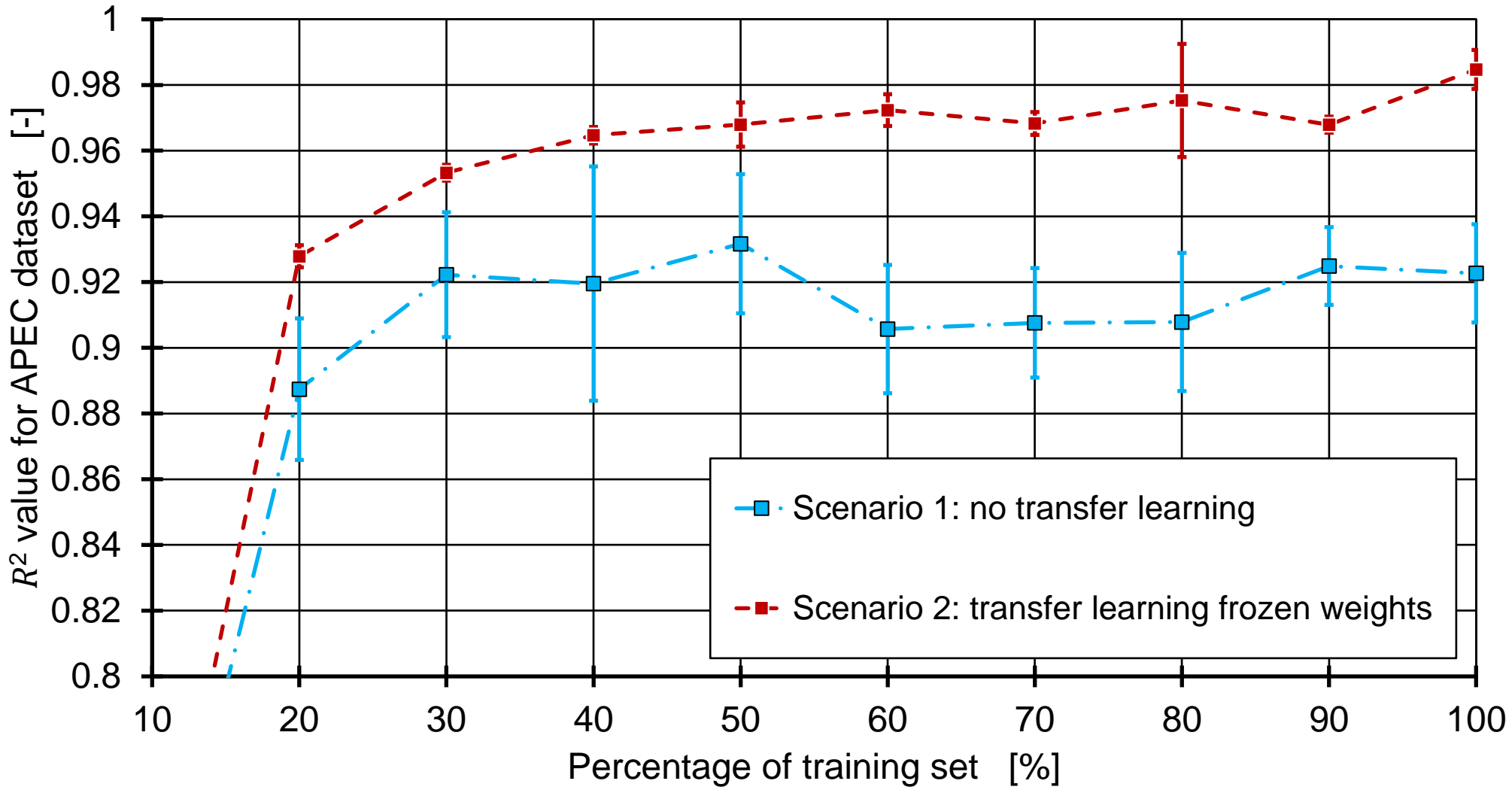
# Effect of the training dataset size on transfer learning



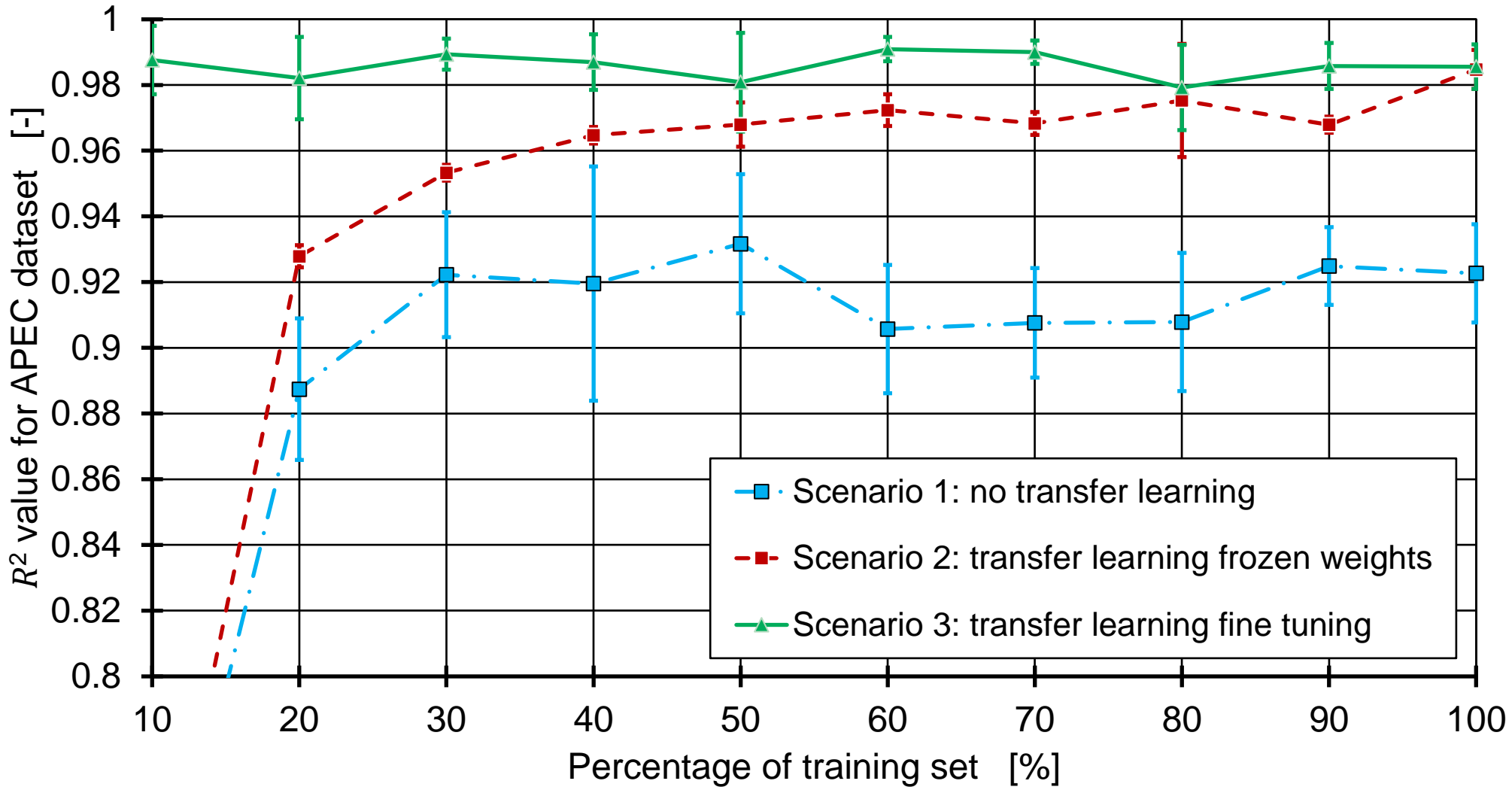
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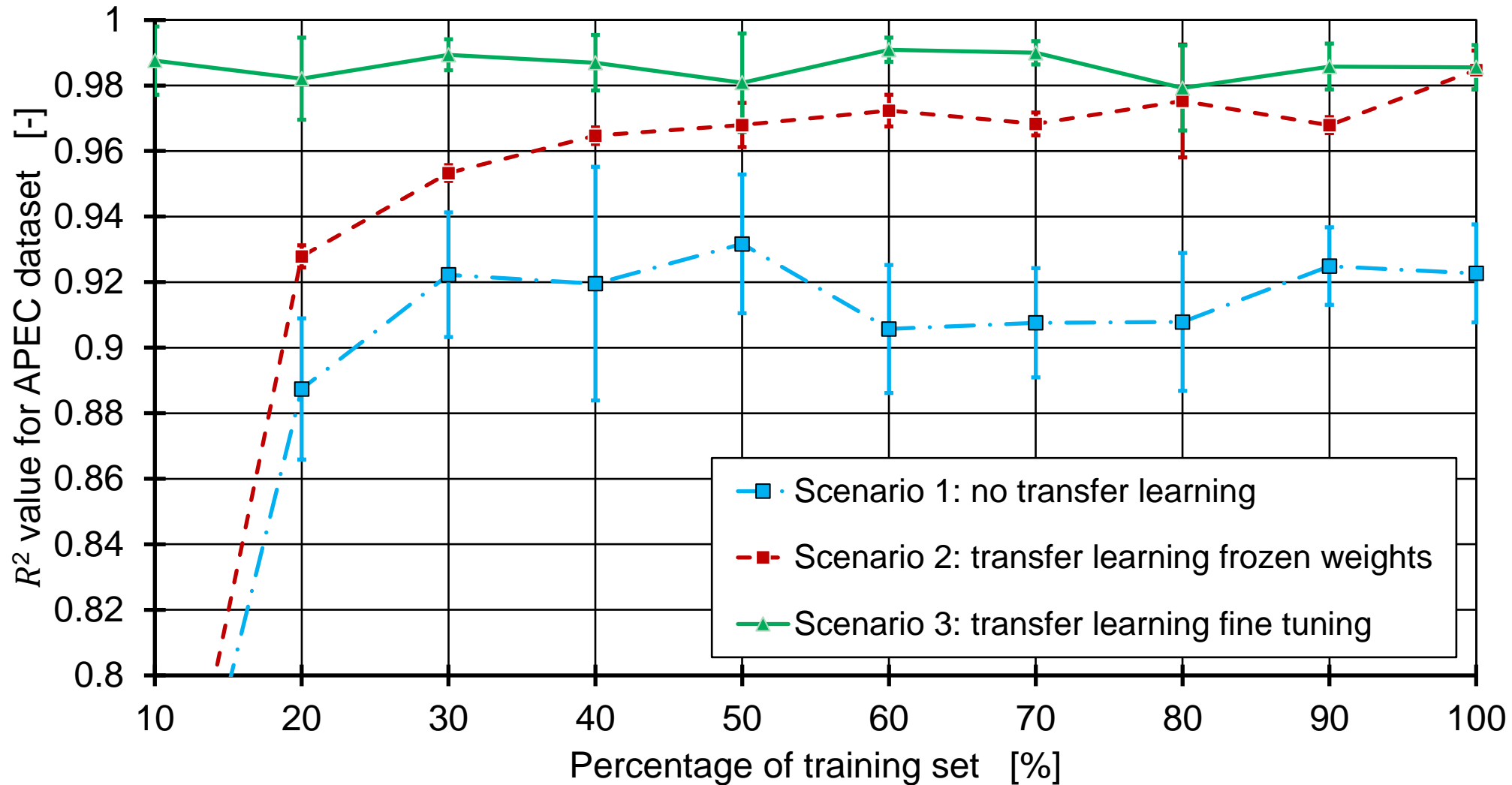


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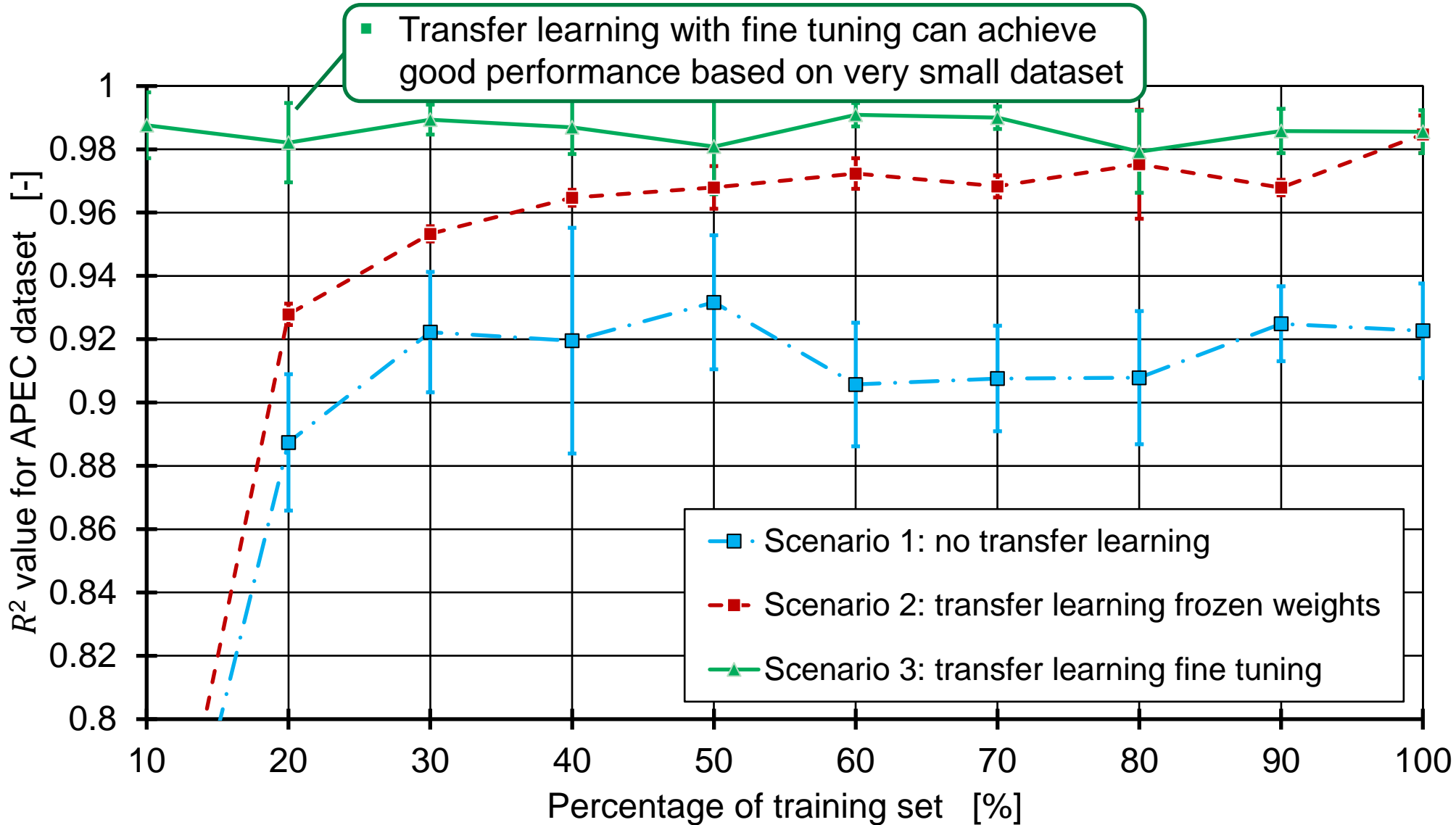




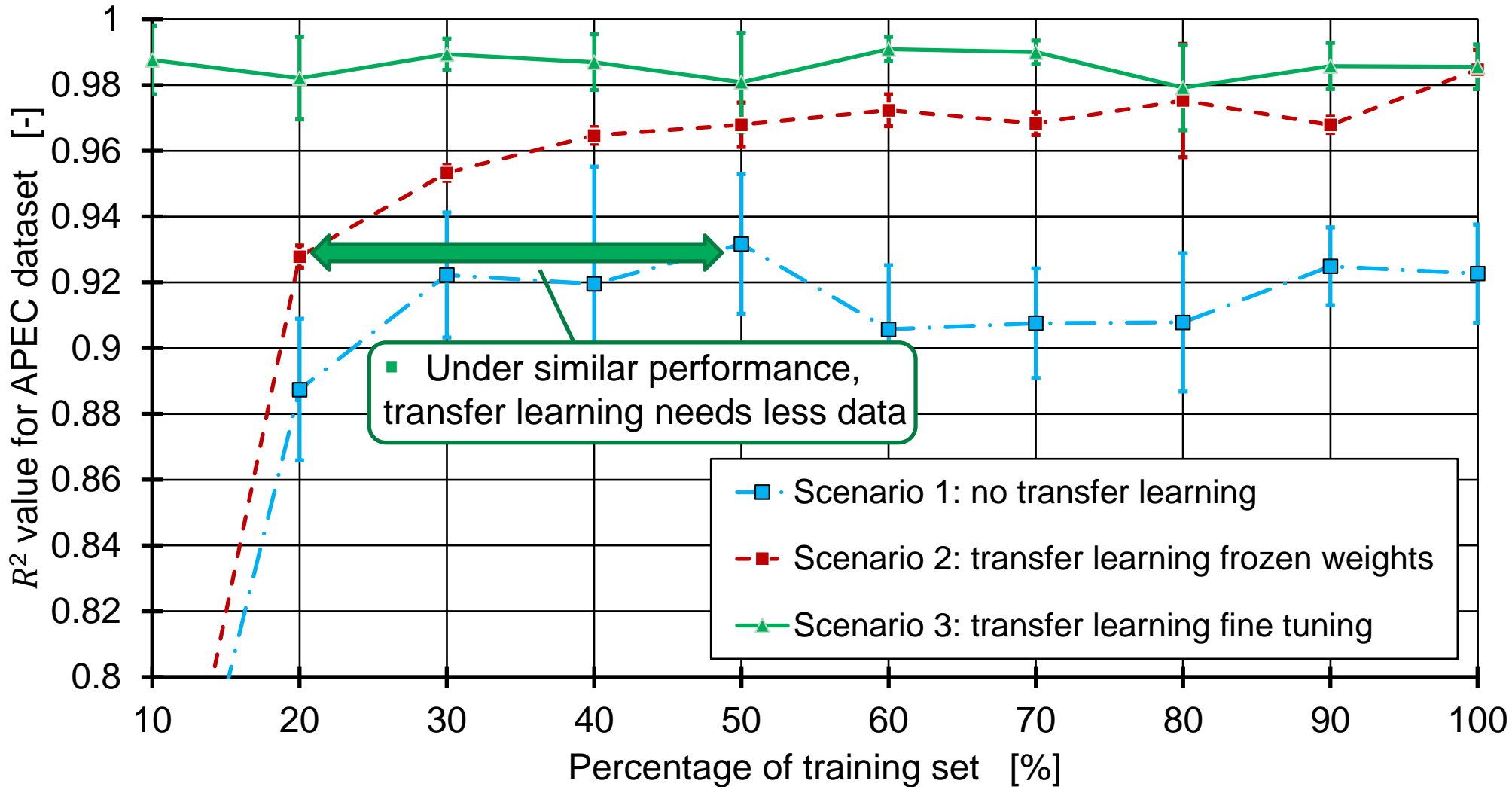
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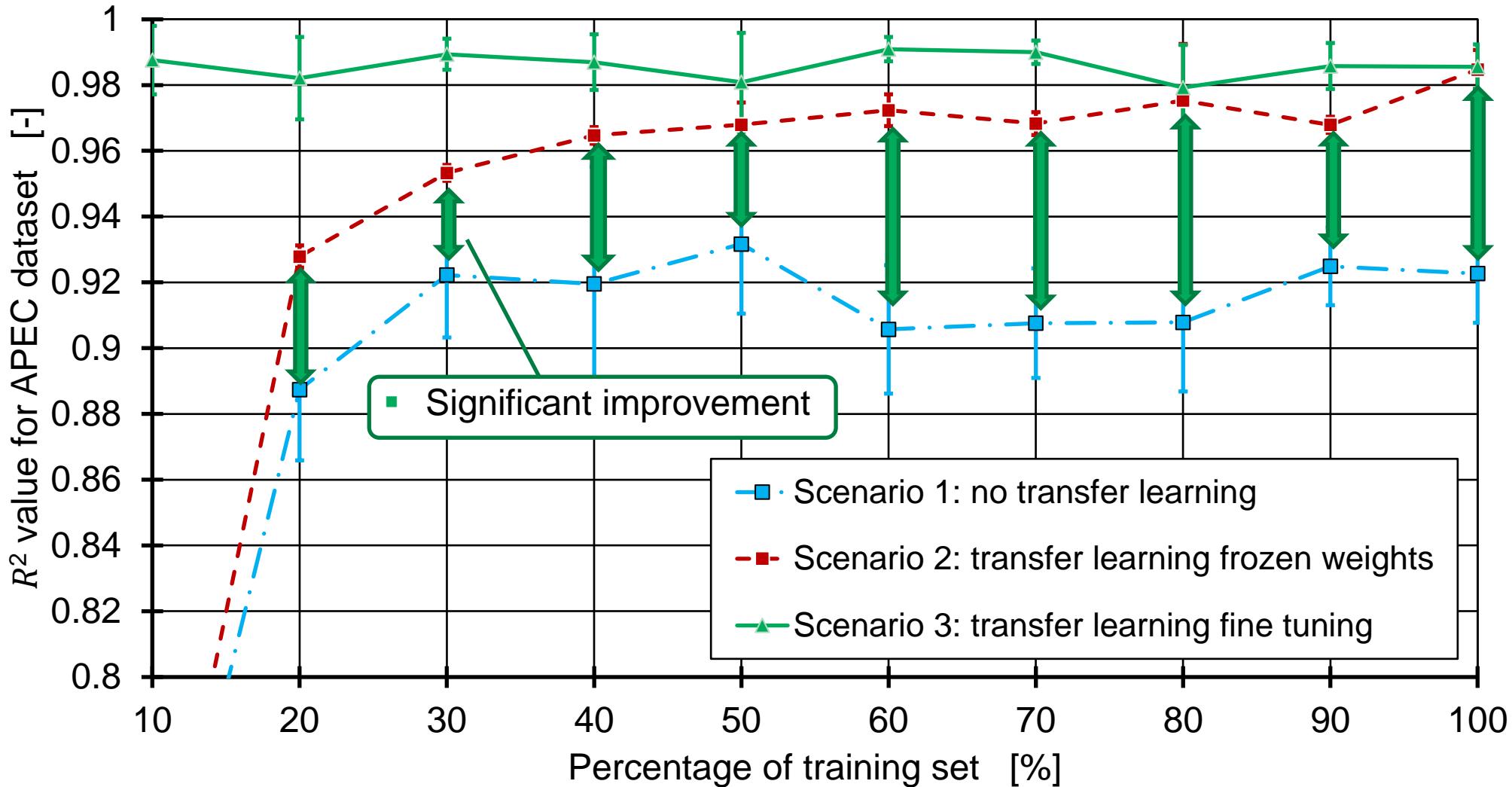
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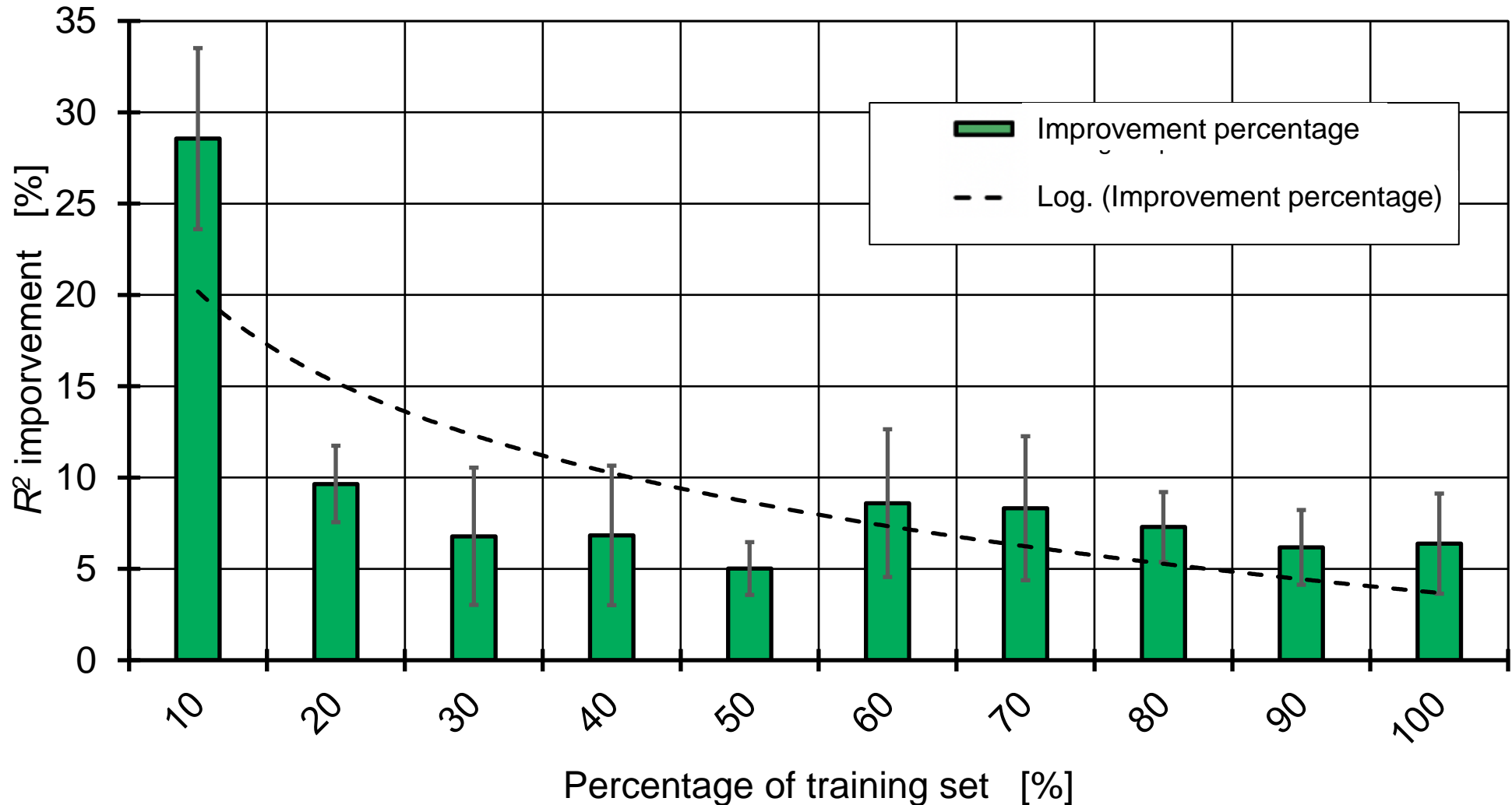
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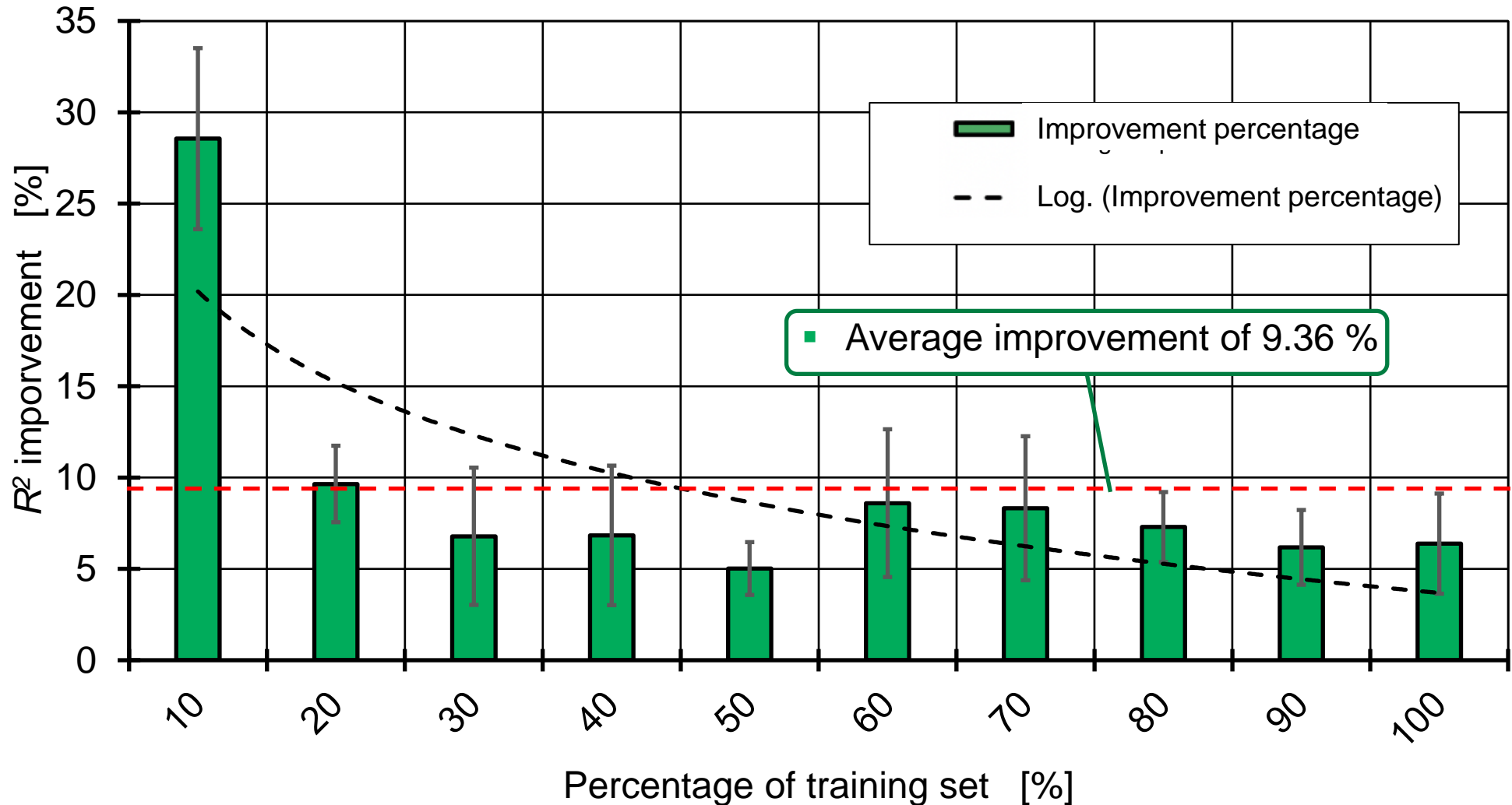
# Effect of the training dataset size on transfer learning



# Improvement percentage of $R^2$ value due to transfer learning



# Improvement percentage of $R^2$ value due to transfer learning



# Outline

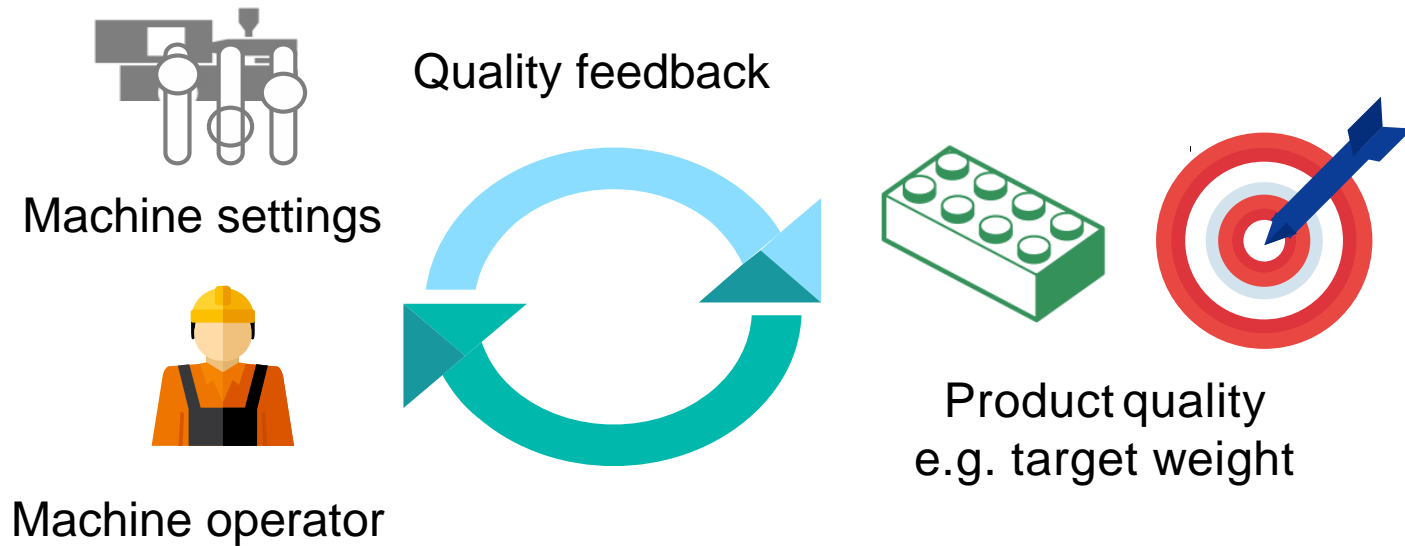


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# Application in finding best machine settings



## **Trial of machine settings based on:**

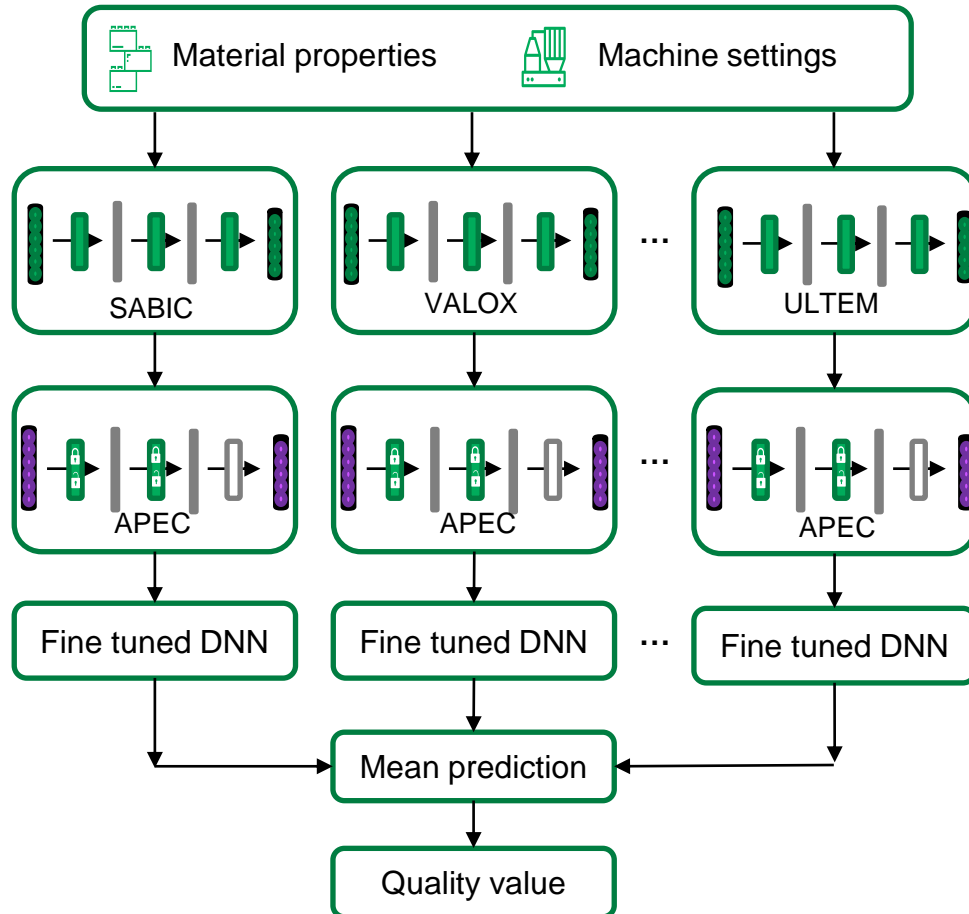
- Recommendations of material supplier
- Experience, intuition of the operator



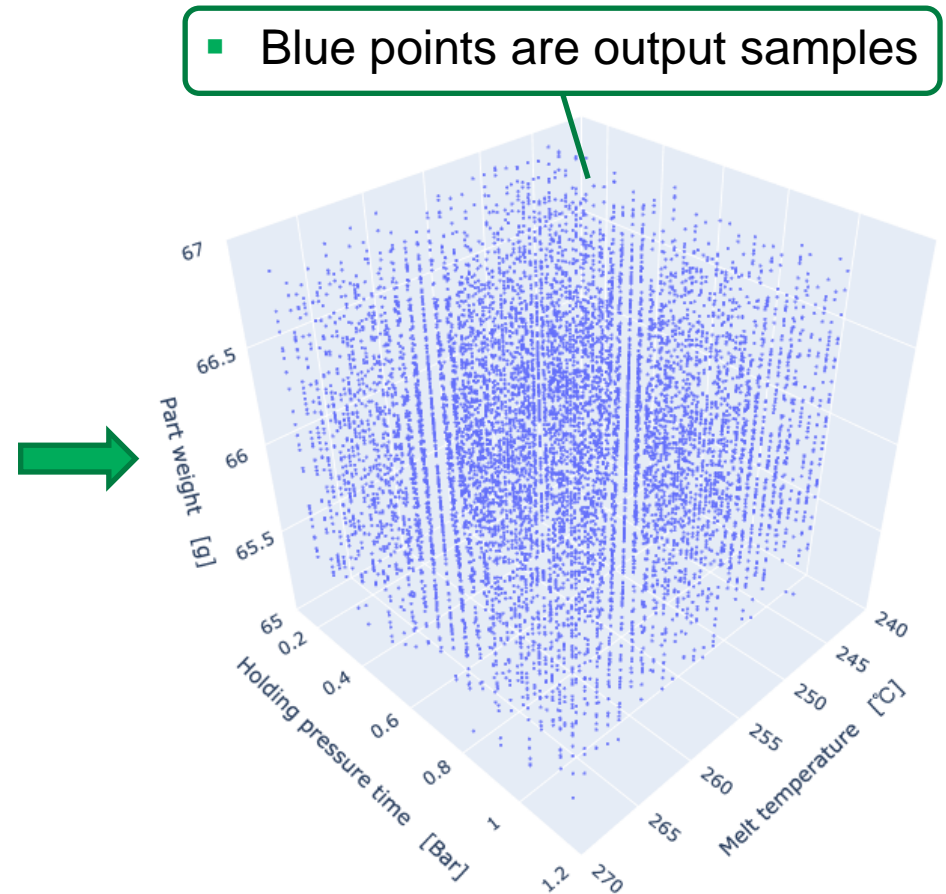
[Hei17]



# Part weight prediction of random machine settings combination



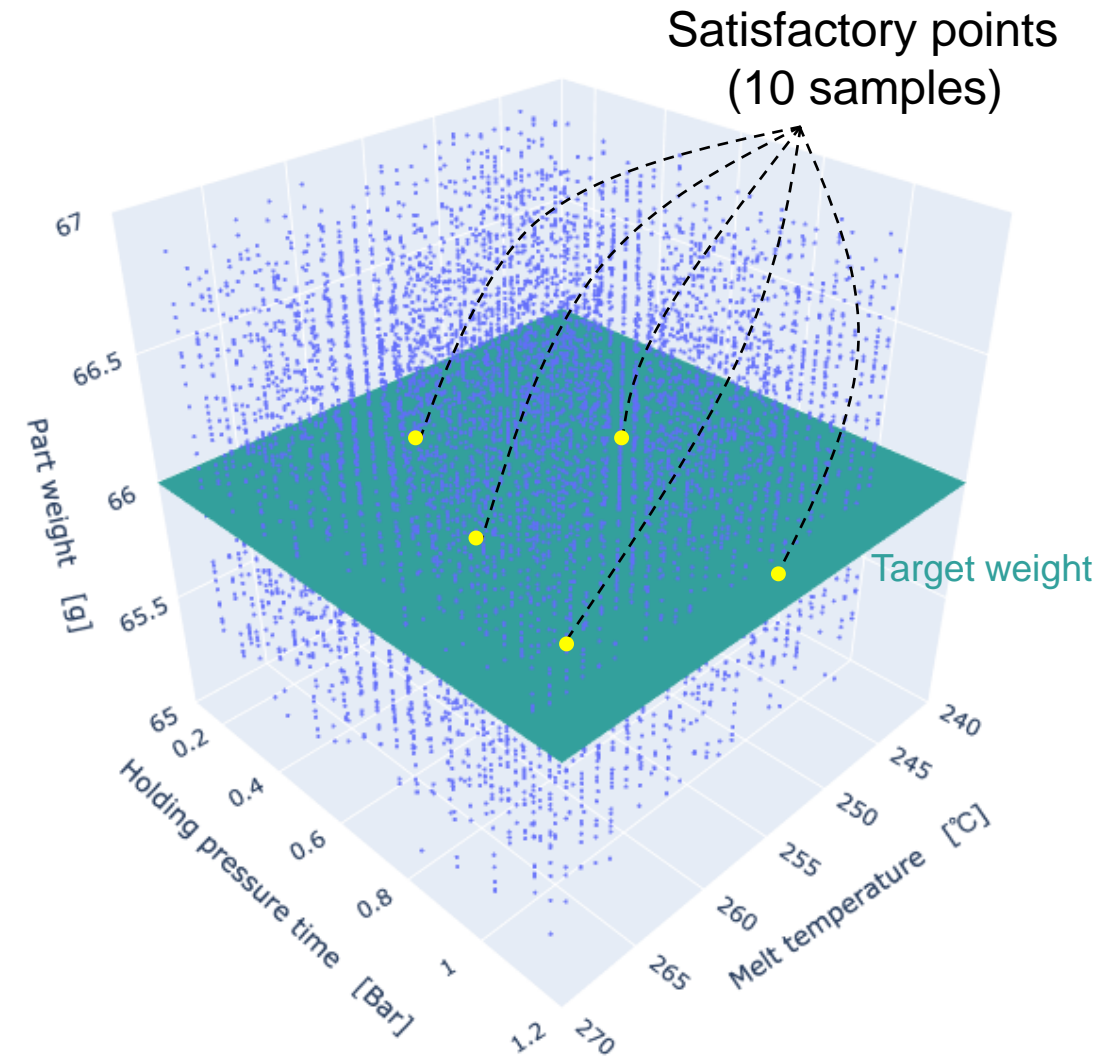
Proposed DNN-ETL model



Prediction result of 10000 samples



# Output of process condition recommender system

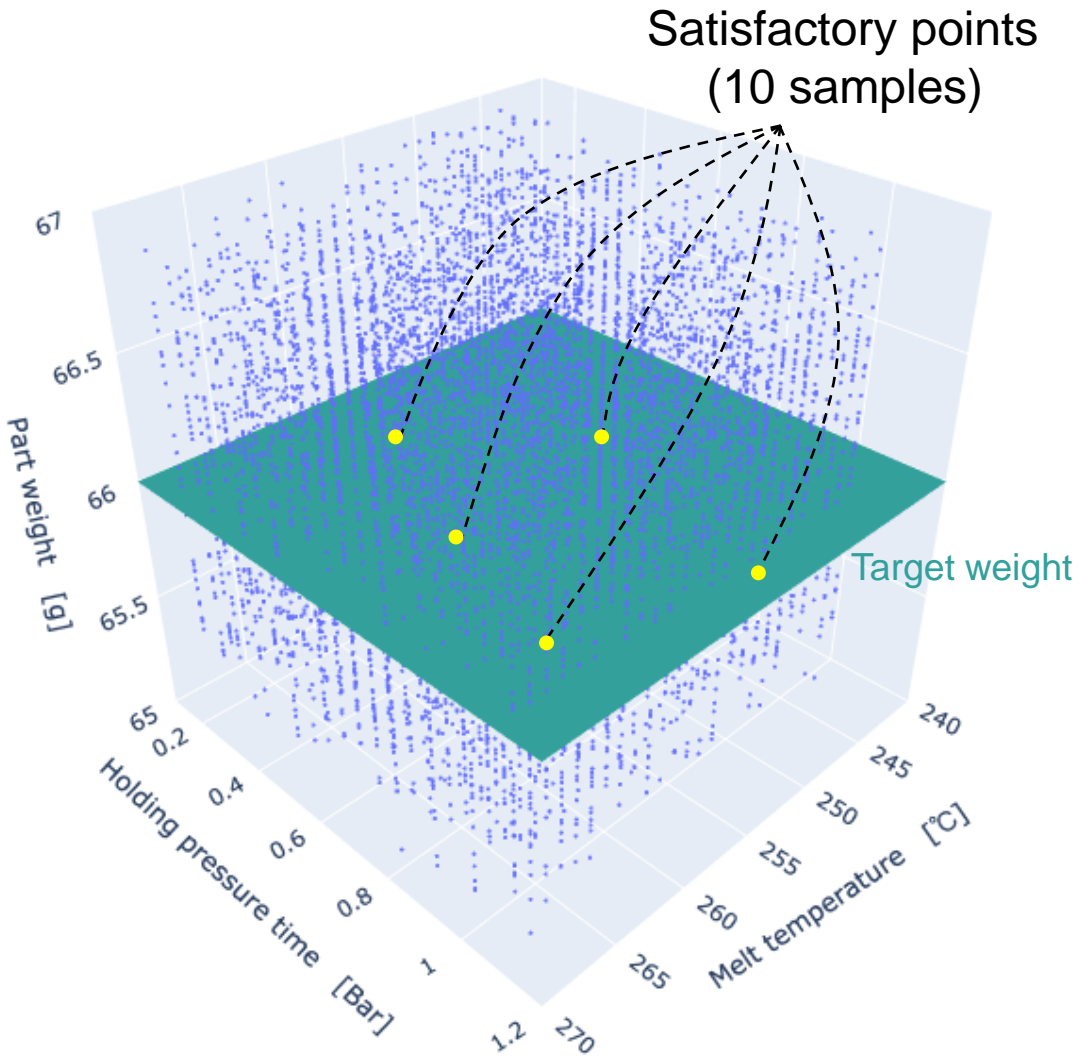


Example experiment

Current machine setting parameters

No.	Melt temp.	Cavity temp.	Press time	Cool time	Flow rate	Hold press
1	245	48	1	1.5	43	490

# Output of process condition recommender system



Example experiment

Current machine setting parameters

No.	Melt temp.	Cavity temp.	Press time	Cool time	Flow rate	Hold press
1	245	48	1	1.5	43	490



Calculate distance

Final recommend conditions

No.	Melt temp.	Cavity temp.	Press time	Cool time	Flow rate	Hold press
1	246	67	0.2	1.8	32	480
2	249	64	0.2	2	49	610
3	245	42	0.7	2.4	44	480
⋮	⋮	⋮	⋮	⋮	⋮	⋮
10	245	55	1.1	1.9	53	600

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# Conclusion and outlook



## Develop DNN-ETL model

$R^2$  test score is 0.9207 and it surpasses the state-of-the-art performance of 0.90 [TGH+18]

---



## Analyse the improvement due to transfer learning

An average improvement of 9.36 % of  $R^2$  value can be achieved compared to DNN without transfer learning.

---



## Apply feature ranking method

Five most important features: solid density, holding pressure, thermal conductivity, no flow temperature and ejection temperature



# Conclusion and outlook



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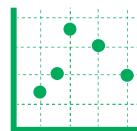
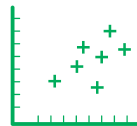
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## Apply feature ranking method

Five most important features: solid density, holding pressure, thermal conductivity, no flow temperature and ejection temperature

Two datasets with  
same feature space



“when to transfer”

“what to transfer”



# Conclusion and outlook



## Develop DNN-ETL model

$R^2$  test score is 0.9207 and it surpasses the state-of-the-art performance of 0.90 [TGH+18]



## Analyse the improvement due to transfer learning

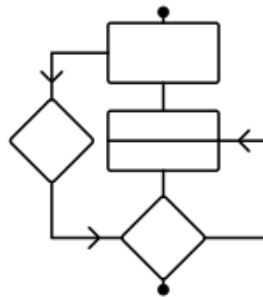
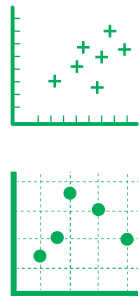
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## Apply feature ranking method

Five most important features: solid density, holding pressure, thermal conductivity, no flow temperature and ejection temperature

Two datasets with same feature space



Algorithms

- Test whether the marginal distributions of two domains are similar





# Das Institut für Kunststoffverarbeitung Nachwuchs. Netzwerk. Innovationen.

**Thank you for your attention.**

**I am happy to answer your questions.**

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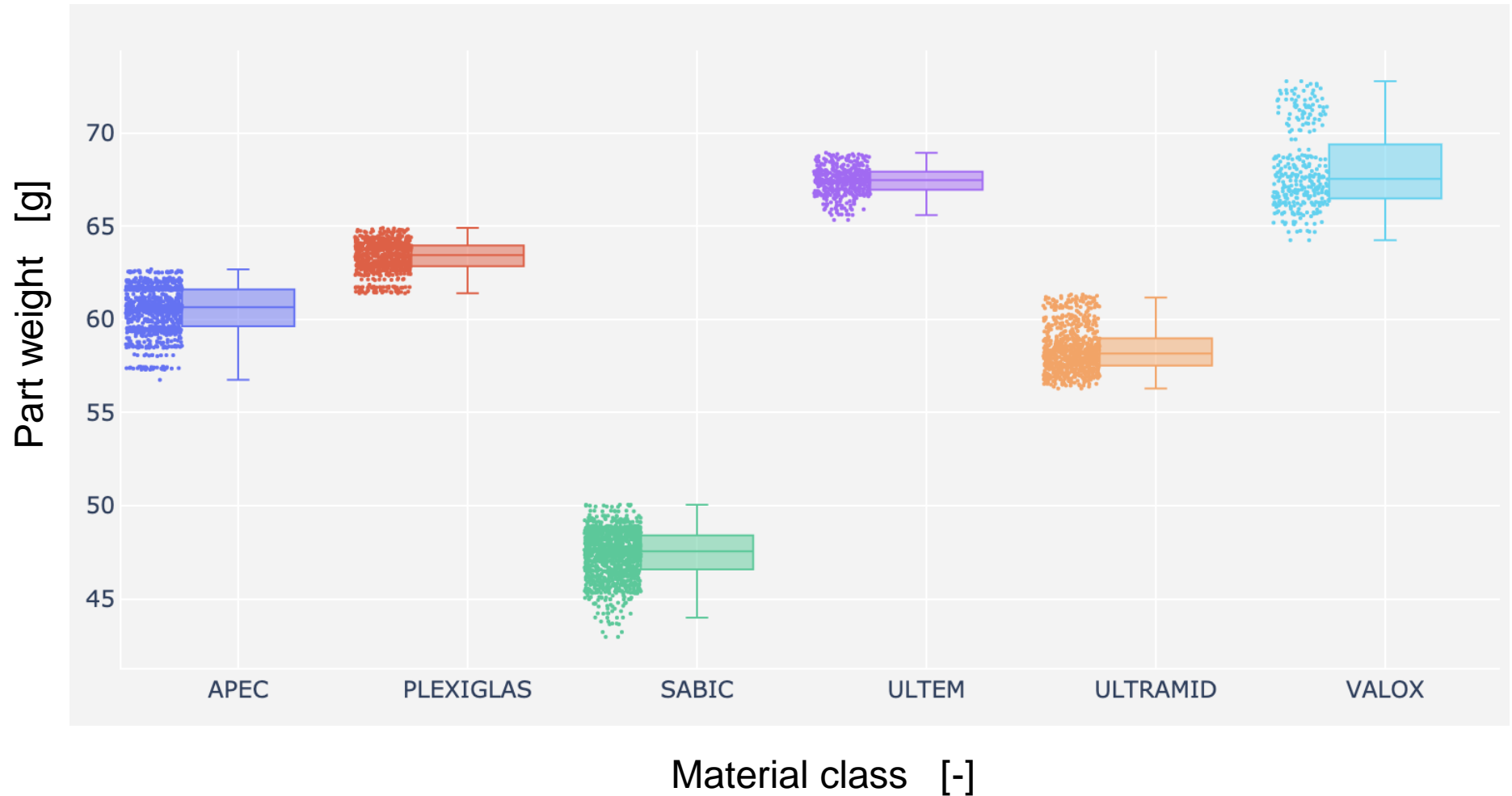


# References

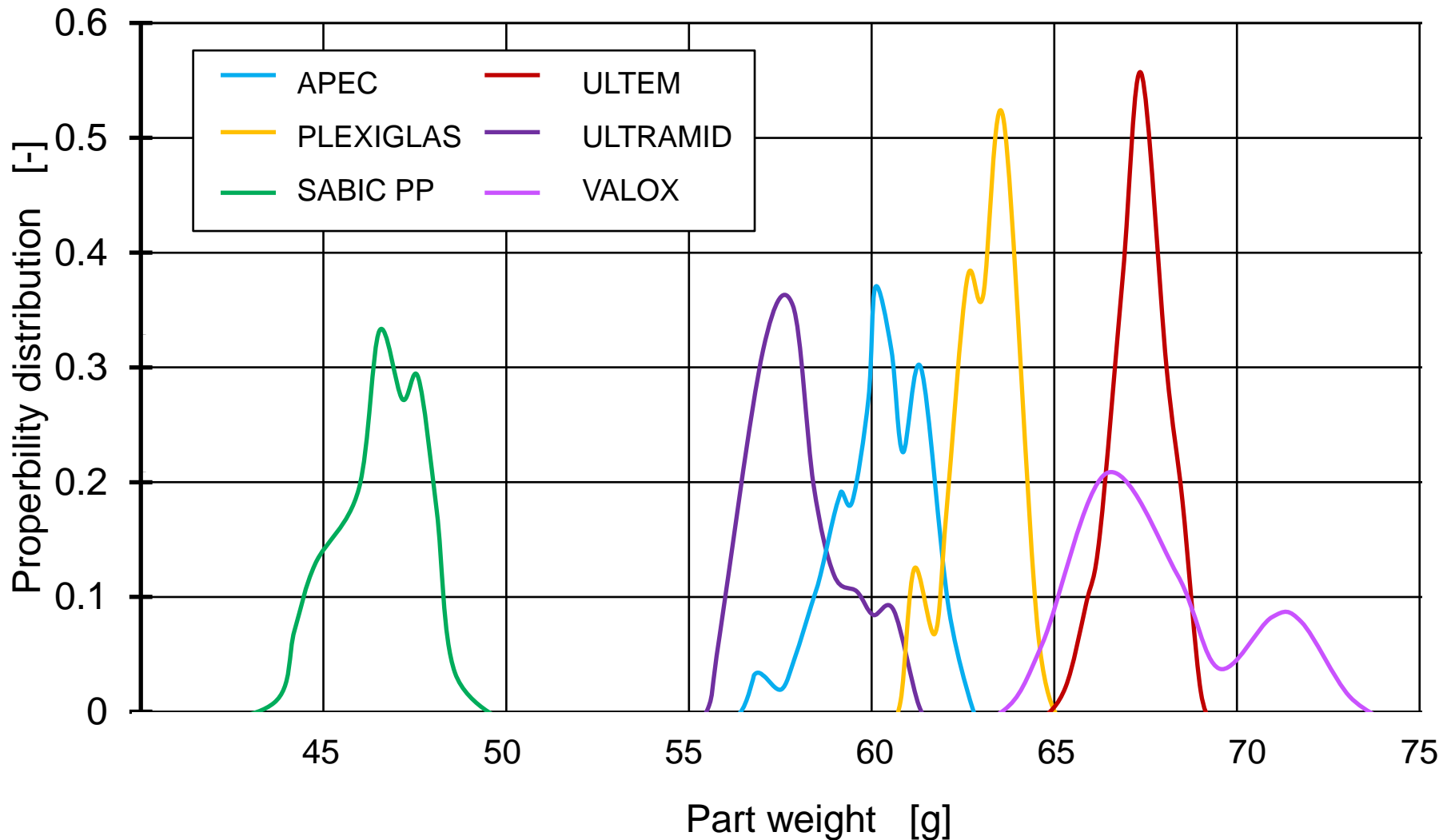
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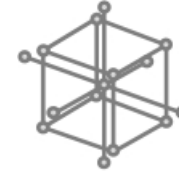
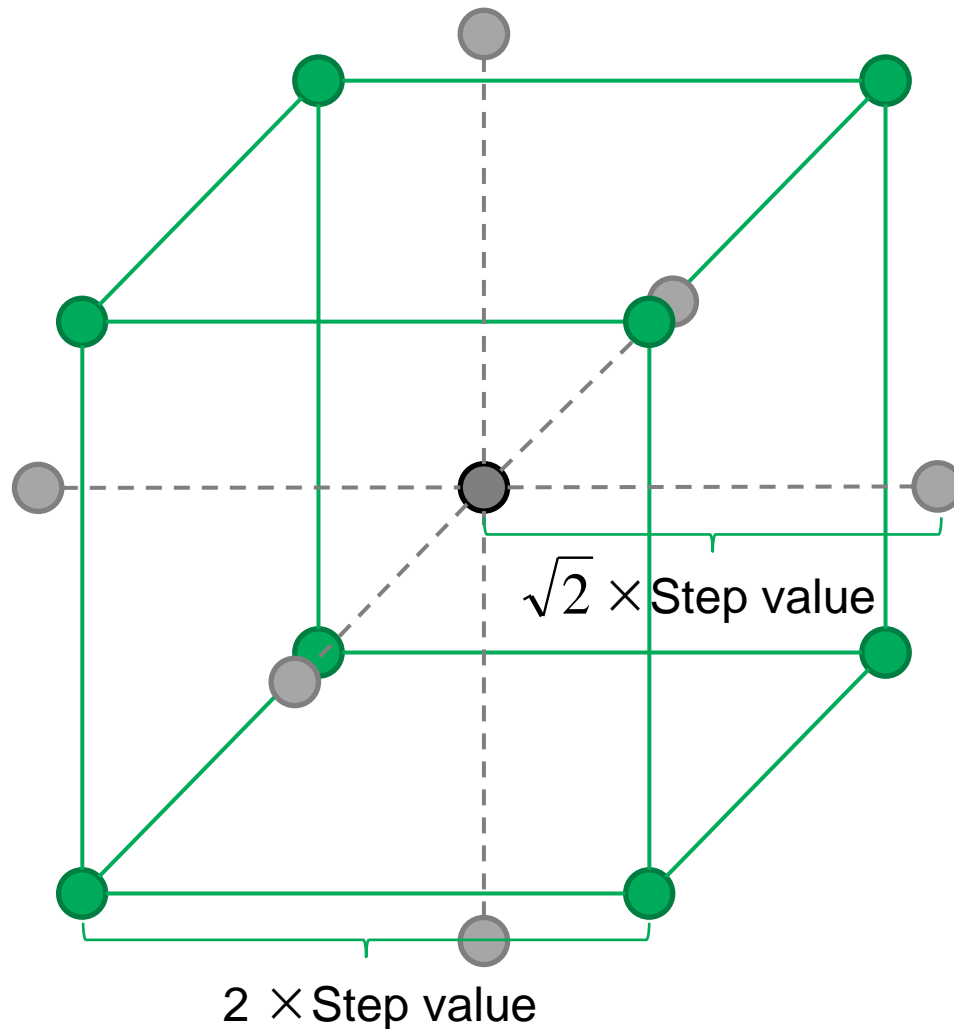
# Data visualization of the part weight of the six material classes



# Different part weight distribution of six material classes



# Qualitative depiction of $2^n$ -Experiment ( $n=3$ ) plan including star and center point



- 77 times of Machine settings combination

## Central composite design (CCD) of experiments:

- Full-factorial experimental design (64-point cube)  $2^6$
- Star points outside the cube (12 points)
- Central point



# Feature ranking by ensemble learning method

Same dataset

Machine settings and material properties

Regression models/  
Feature ranking methods



Decision  
Tree



Random  
Forest



Gradient  
Boost



Ada  
boost

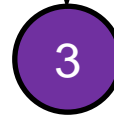


Extra  
Tree



XGBoost

Predictions/  
Feature importance score

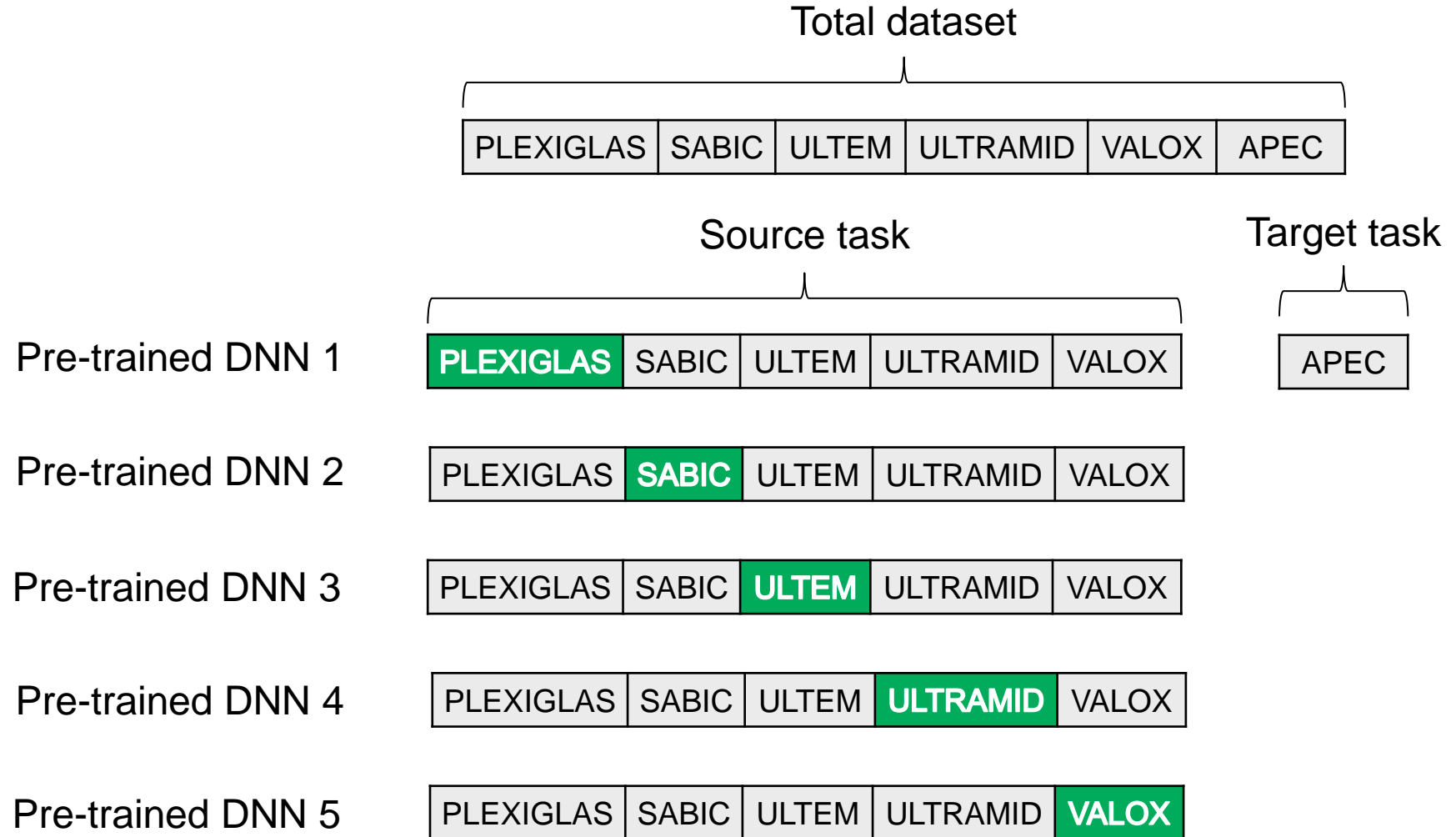


Ensemble's prediction

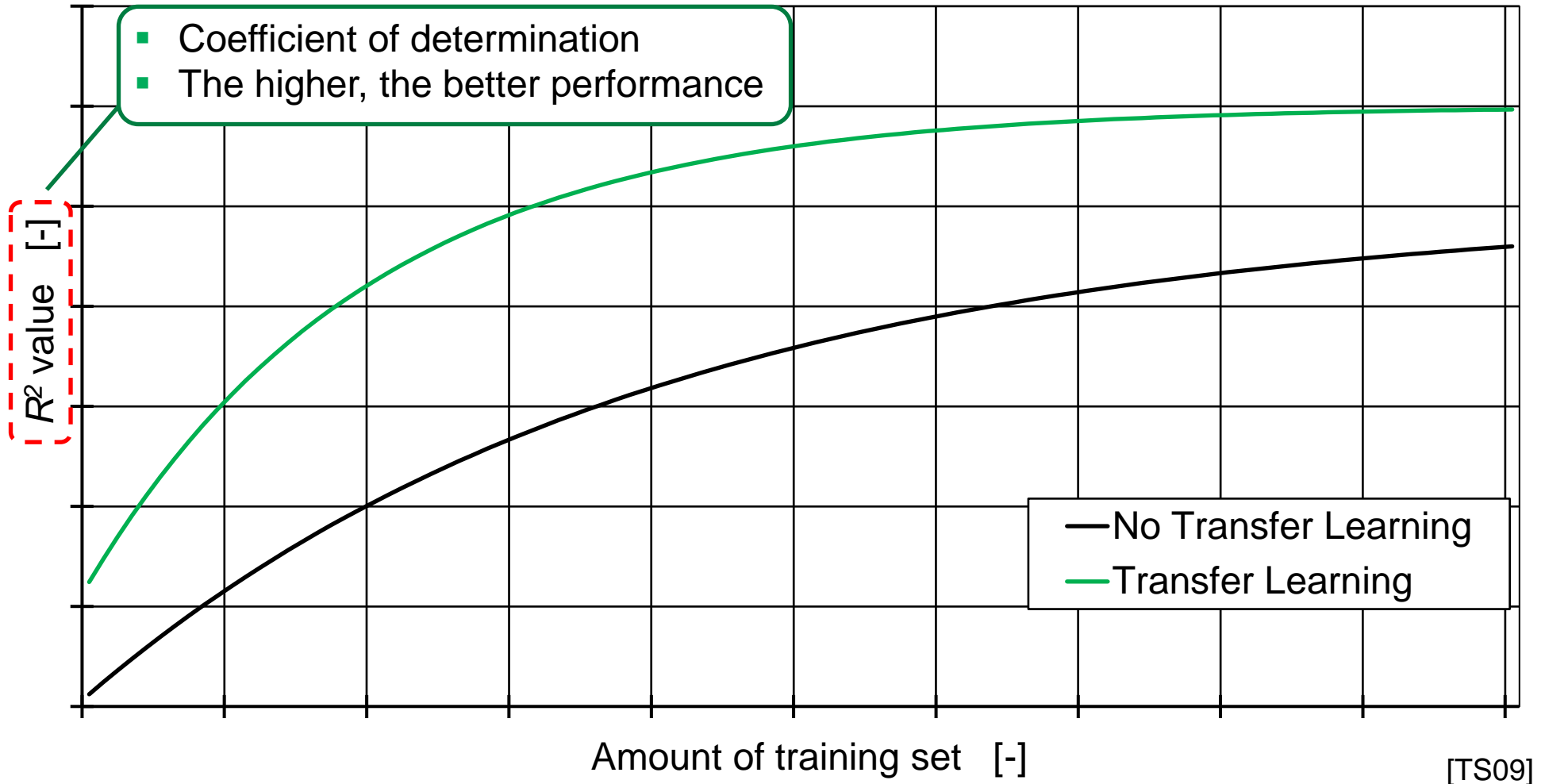
Mean value



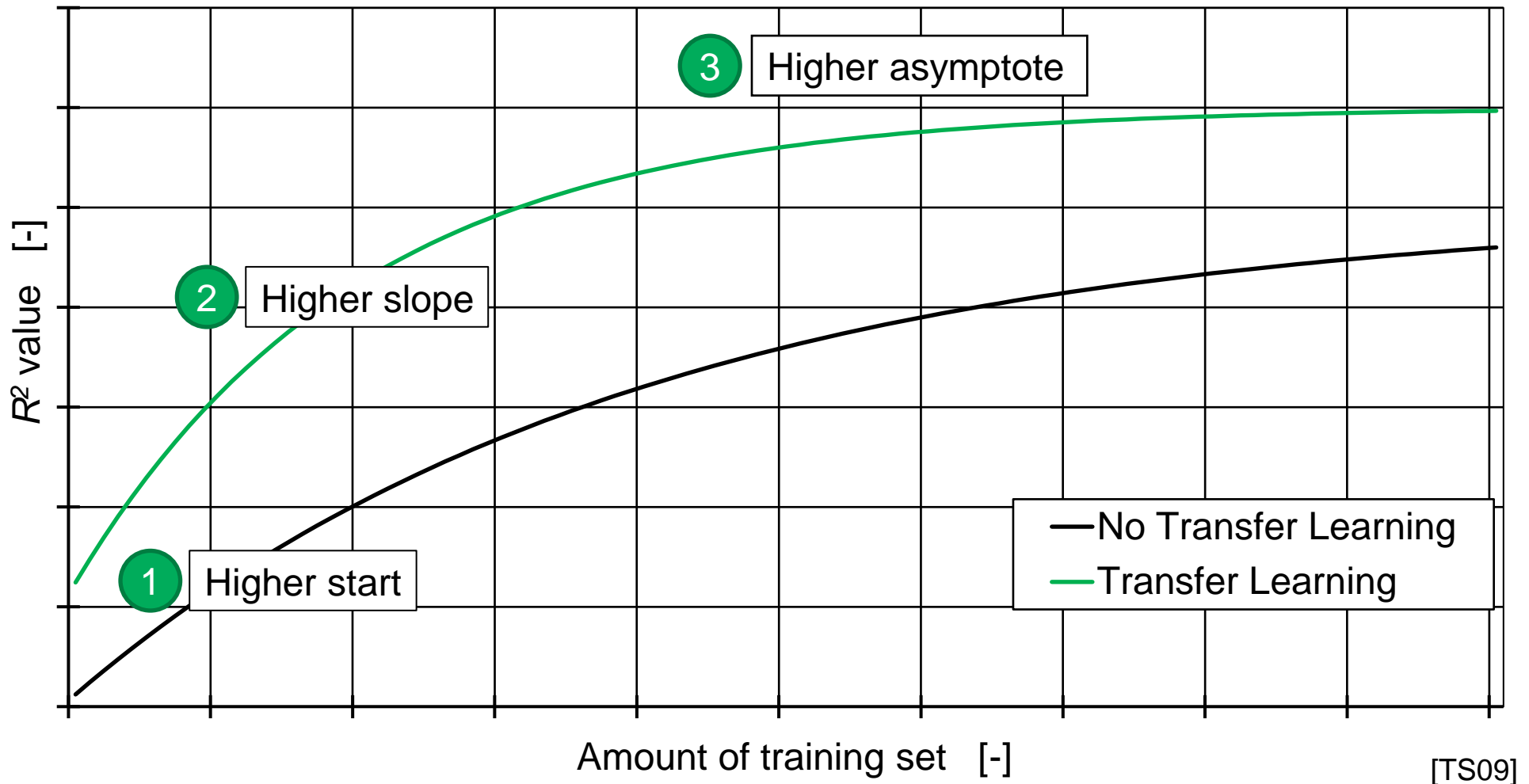
# Splitting source dataset into 5 folds according to material classes



# Possible advantages due to transfer learning

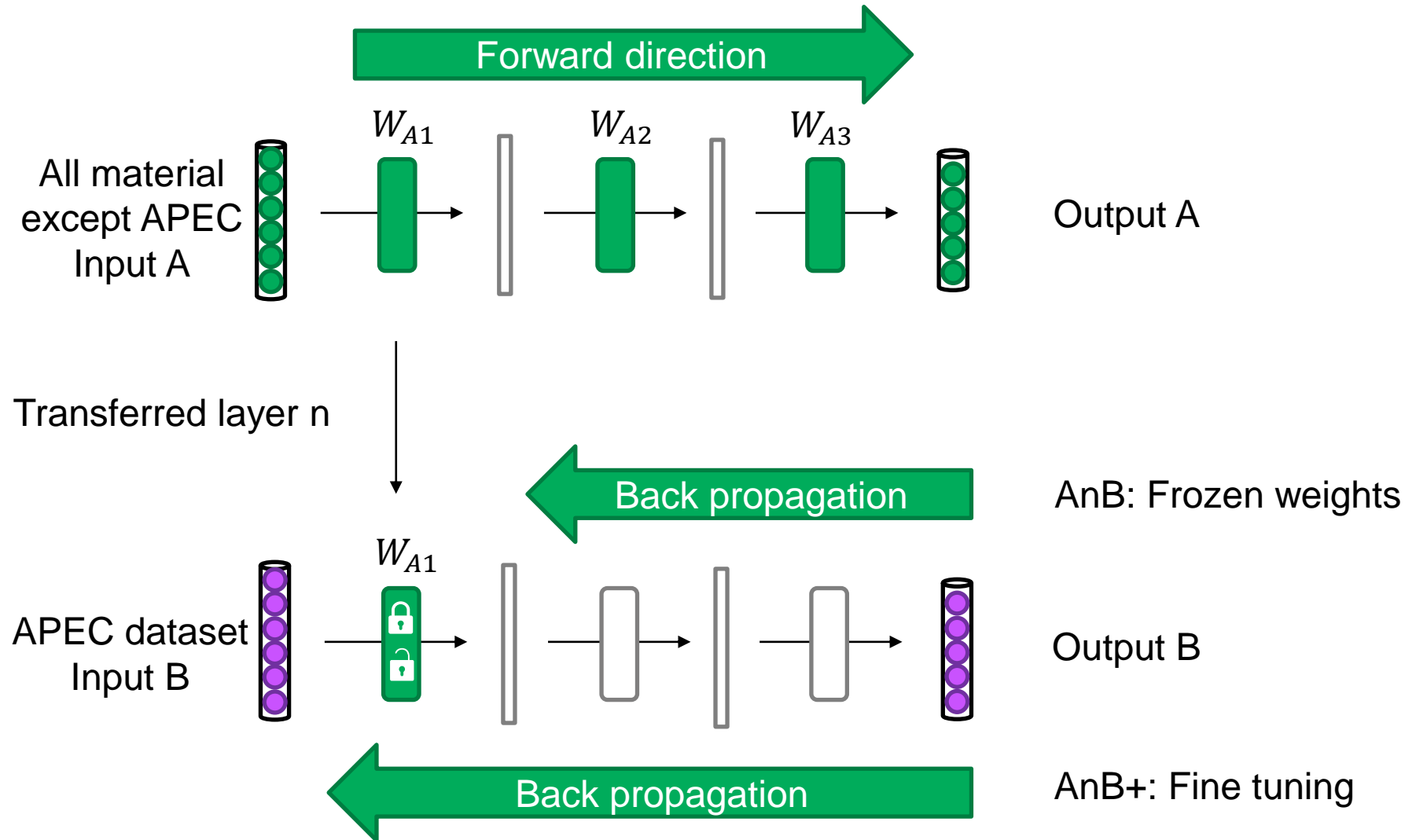


# Possible advantages due to transfer learning

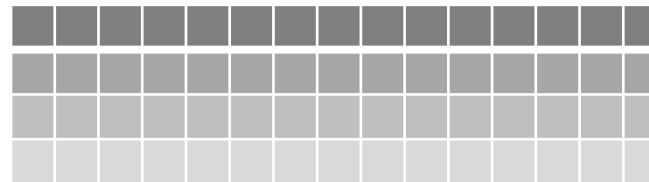
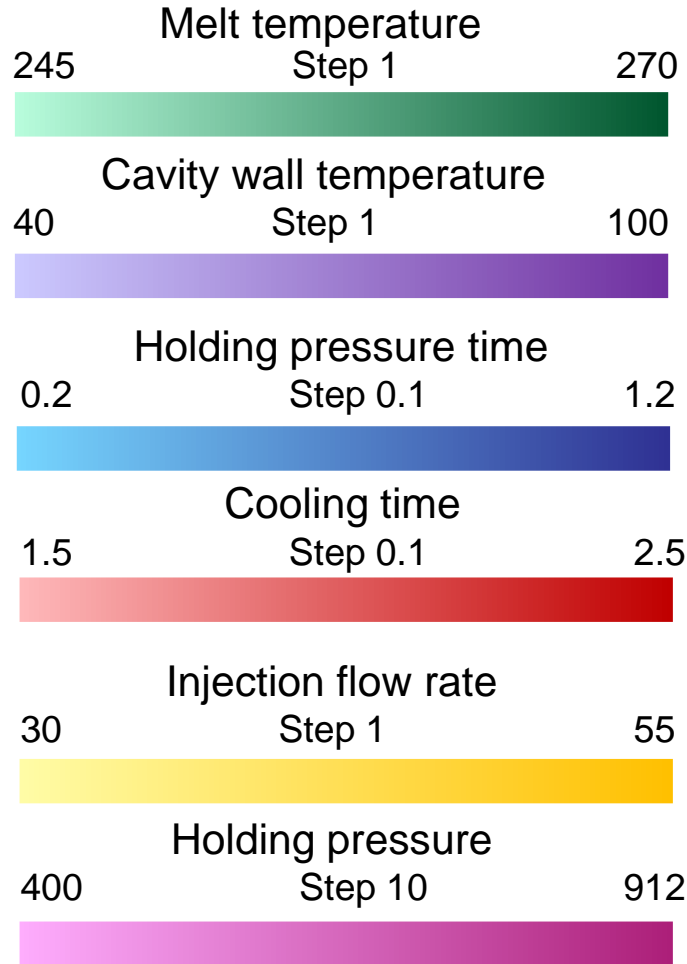




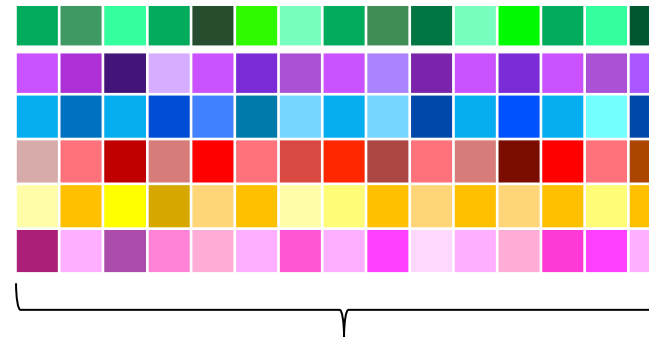
# Difference of frozen weights and fine tuning



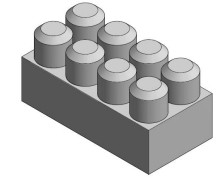
# Generation of input features



+



10000 samples with random machine settings



Material properties  
extracted from mould



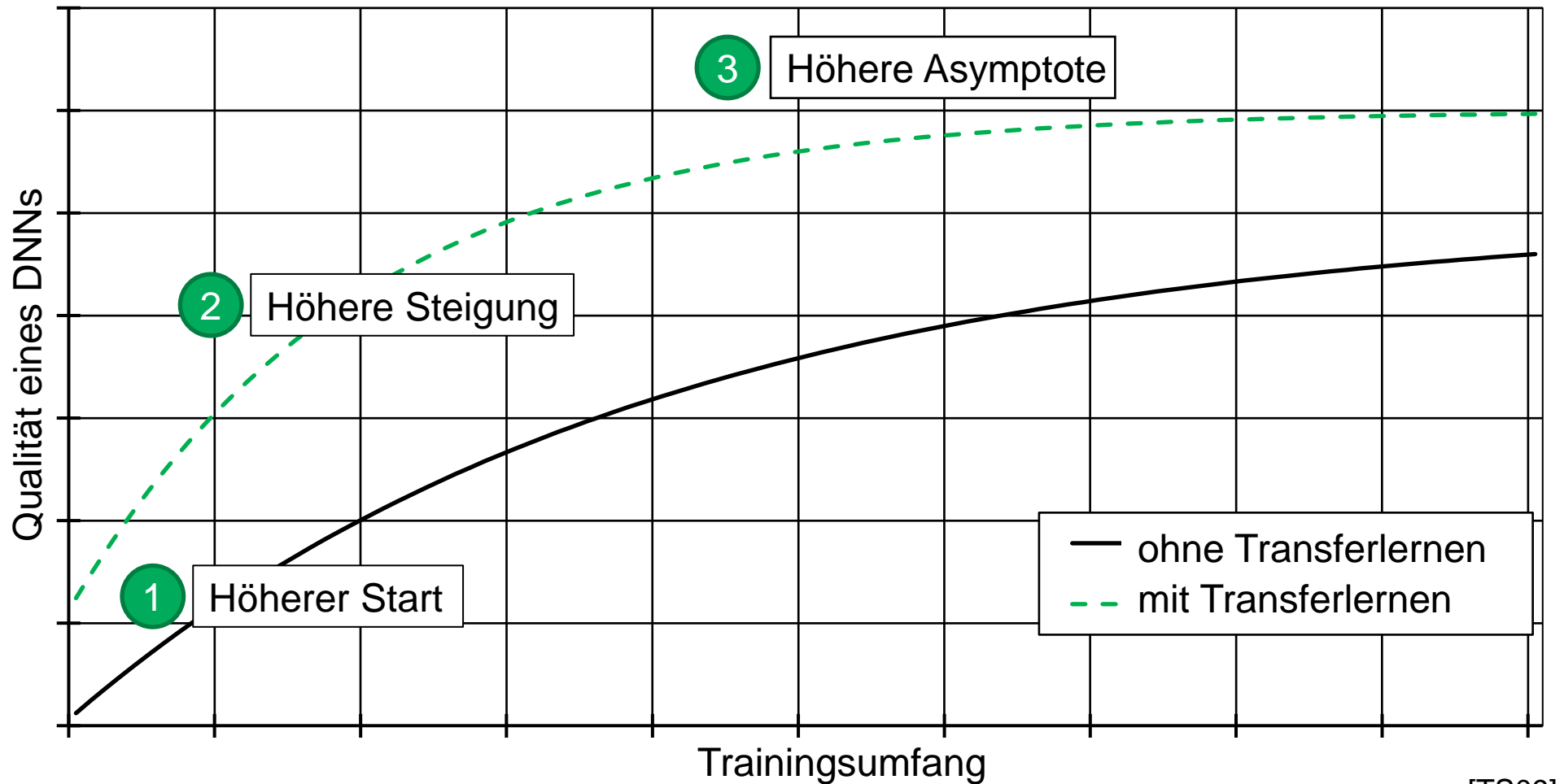
Machine settings

# Thesis figures

Analysis of transfer learning to transfer process knowledge  
when processing different materials



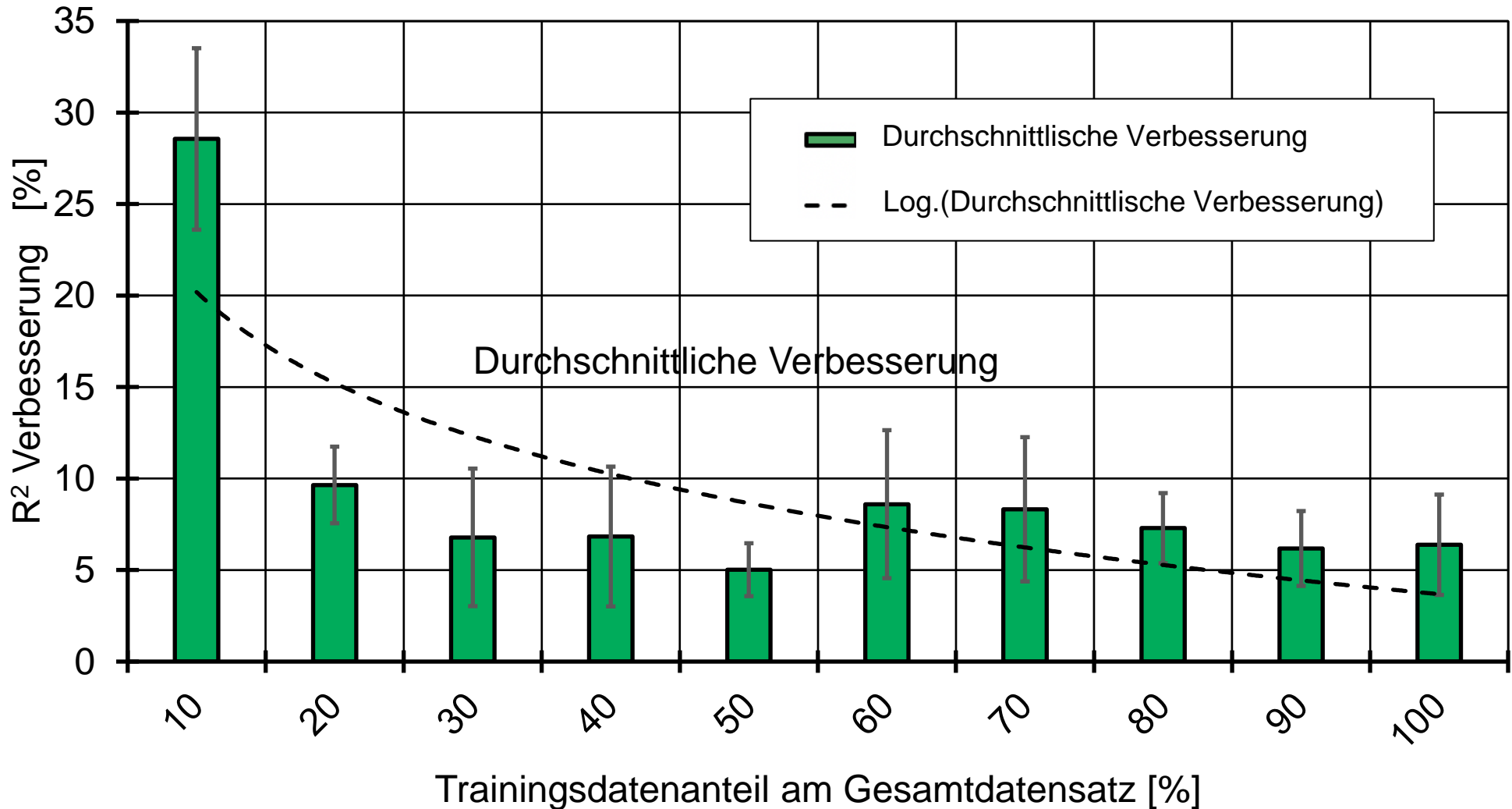
# Mögliche Vorteile durch Transferlernen



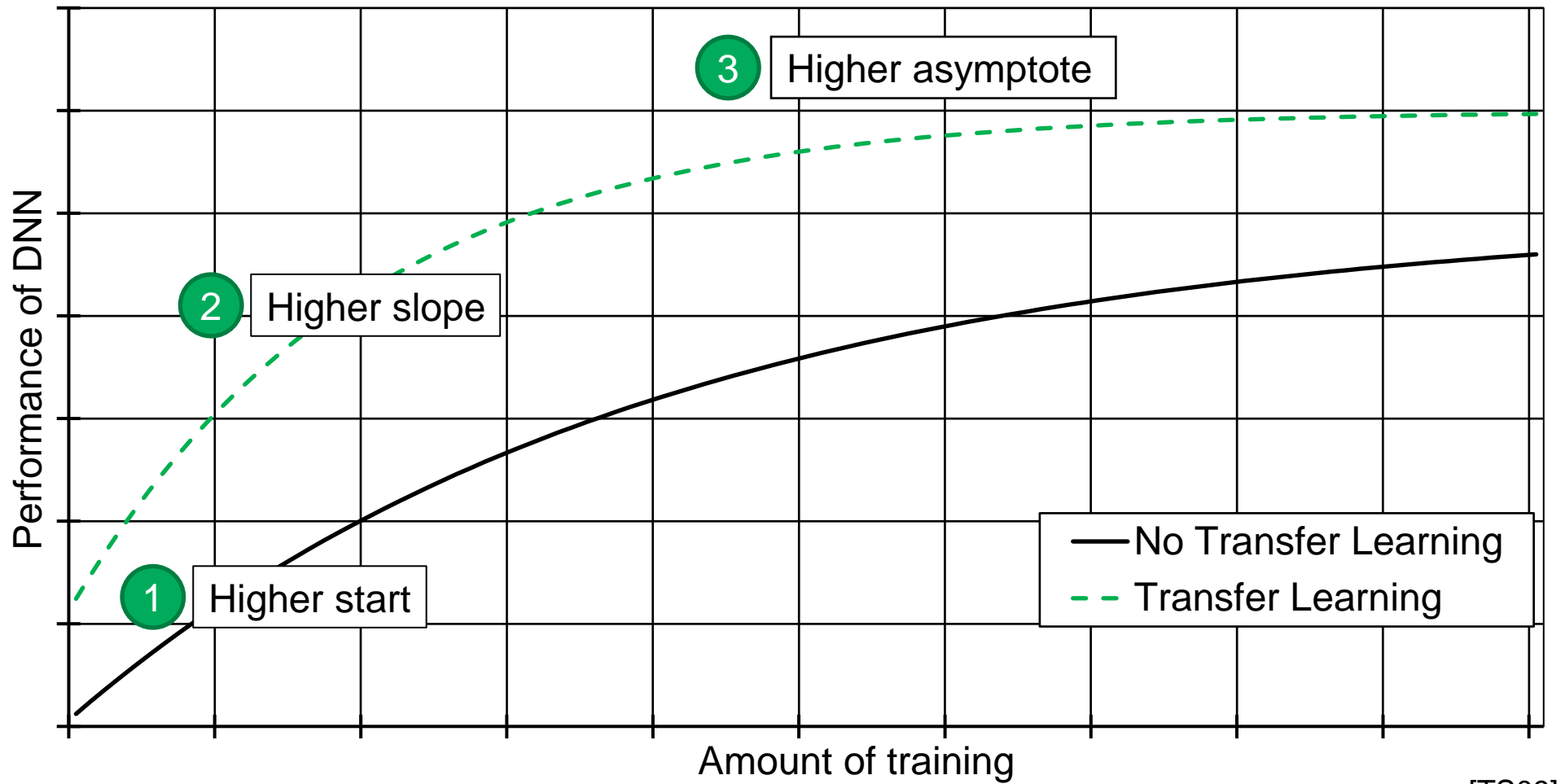
[TS09]



# Verbesserung des R<sup>2</sup>-Werts durch Transferlernen bei Vorhandensein weniger Daten der APEC-Materialdomäne



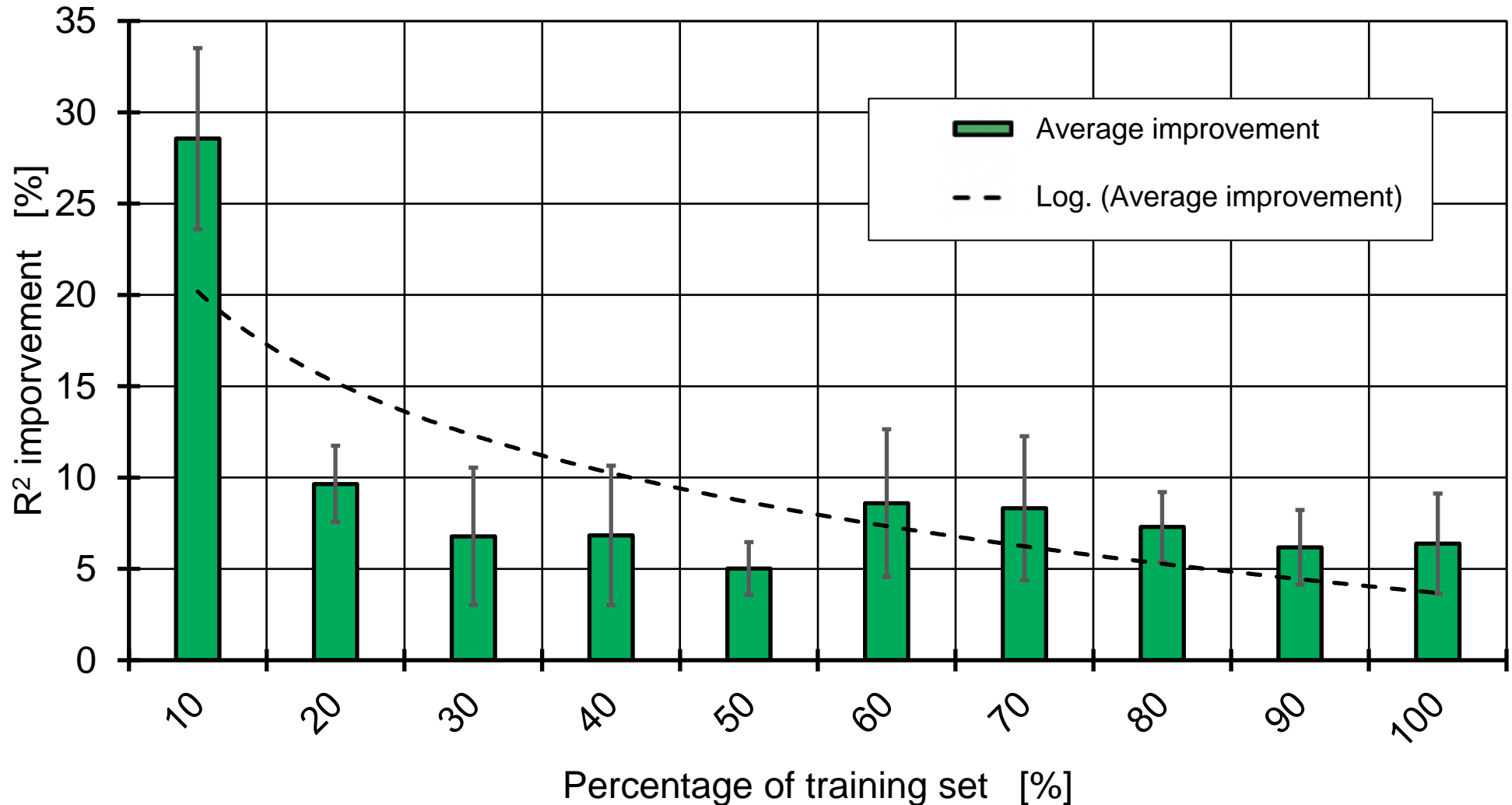
# Possible advantages due to transfer learning



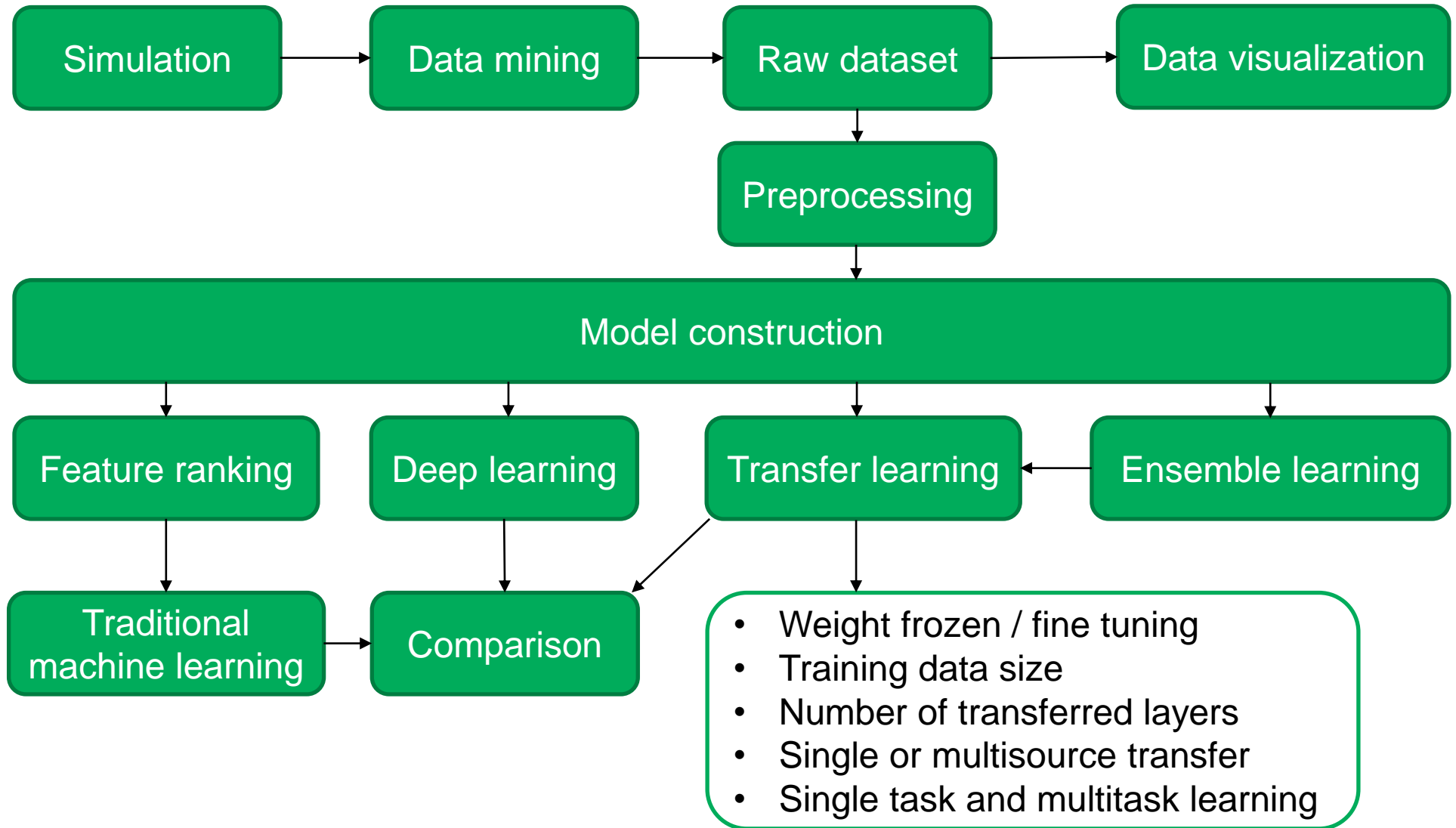
[TS09]



# Improvement of the $R^2$ value by transfer learning in presence of few data from the APEC material domain

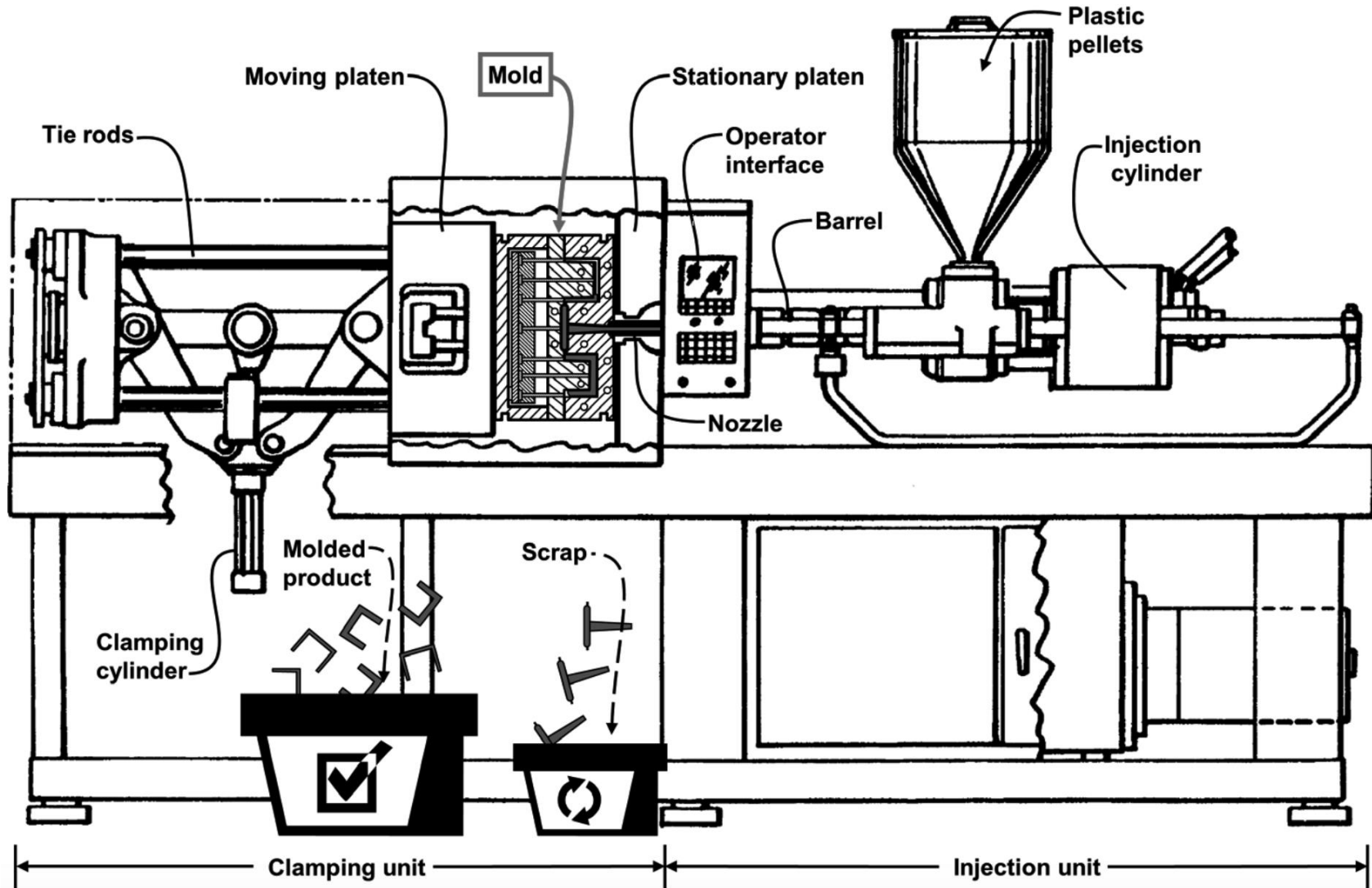


# Research methodology approach



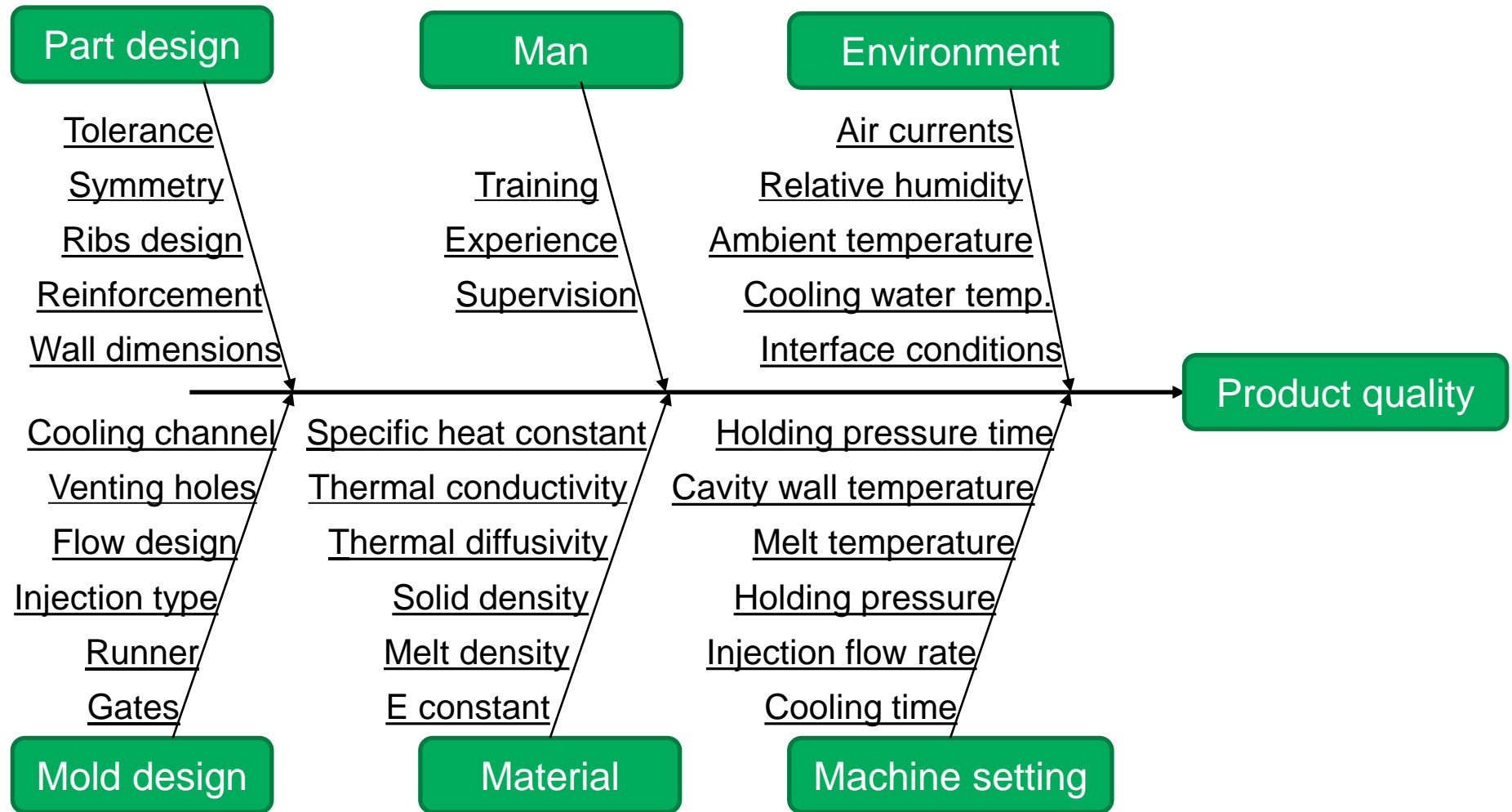


# Depiction of an injection moulding machine



[Kra05]

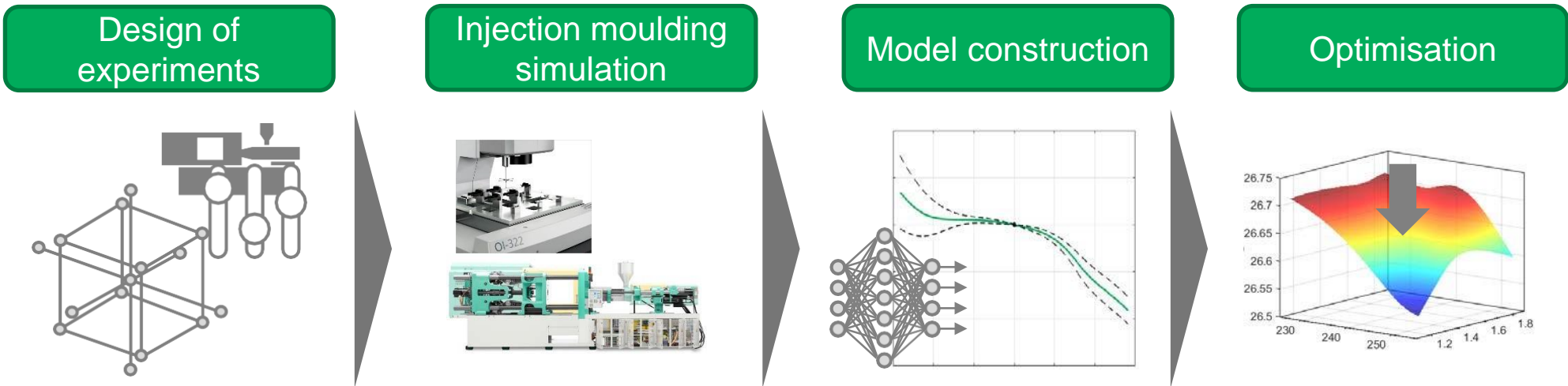
# Influence factors of product quality in injection moulding



[STT+08]



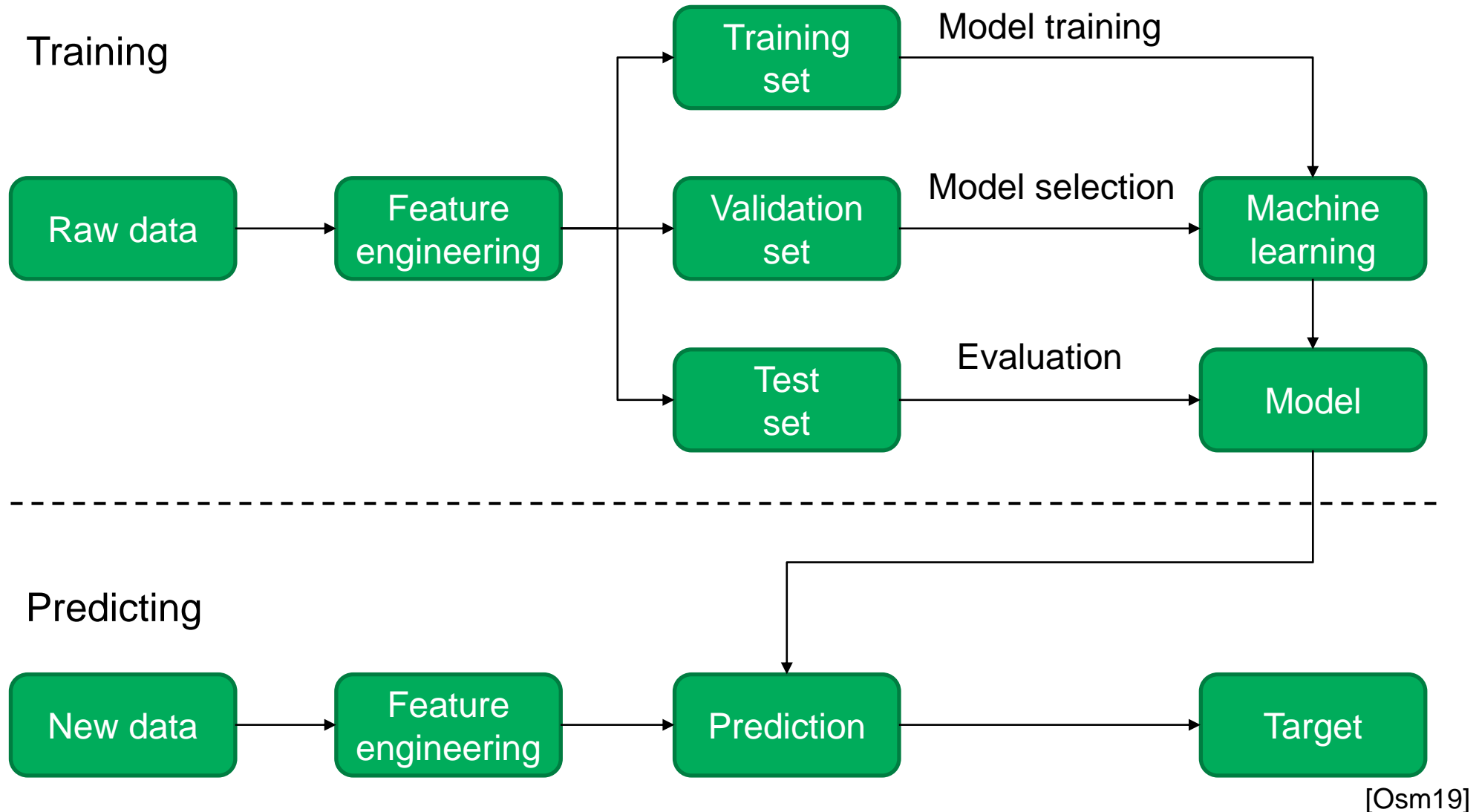
# Process setup by means of machine learning



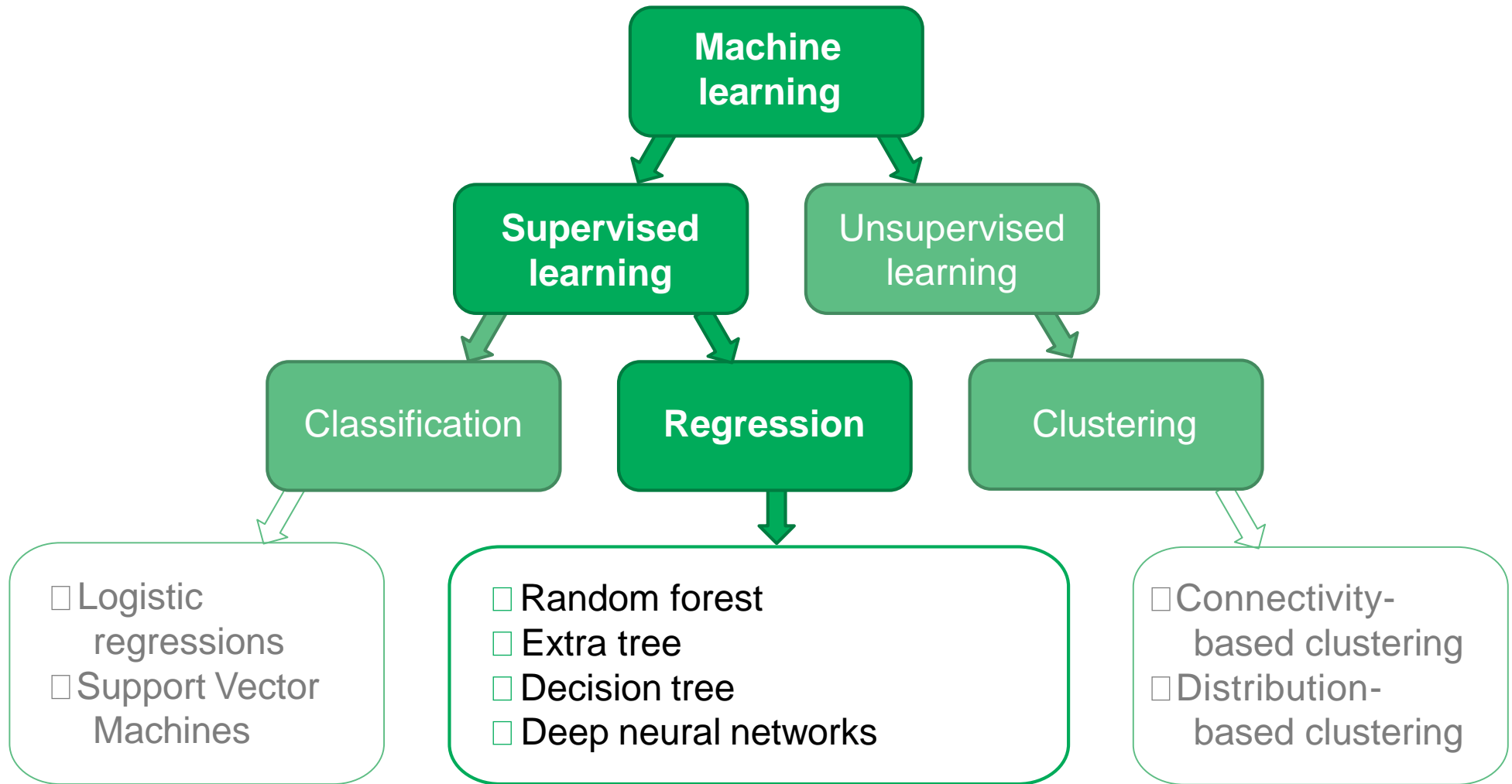
Analysis of transfer learning to transfer process knowledge when processing different materials

Figure 4.3

# A practical view of a machine learning system



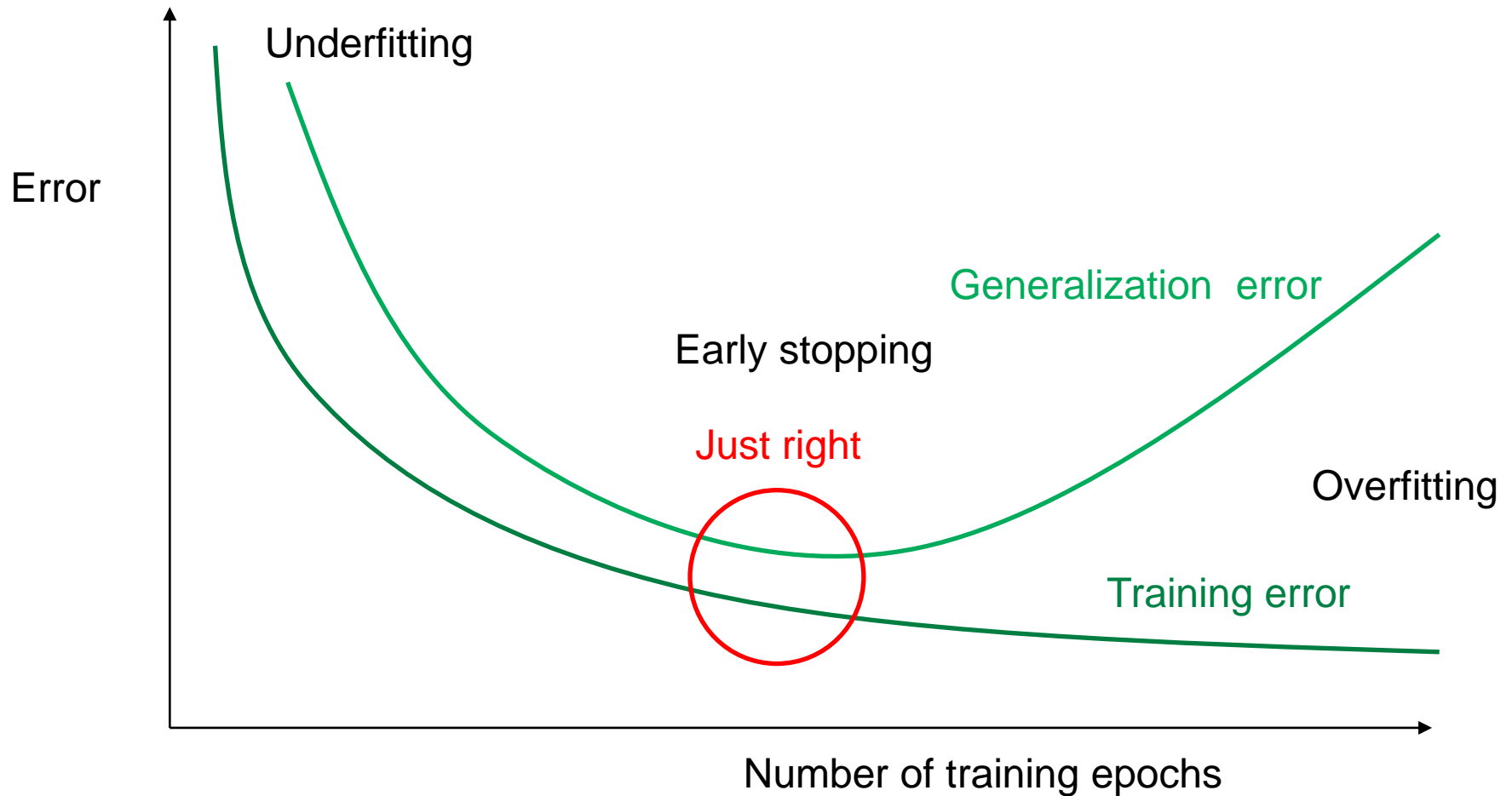
# Methods of machine learning



[Mit97]

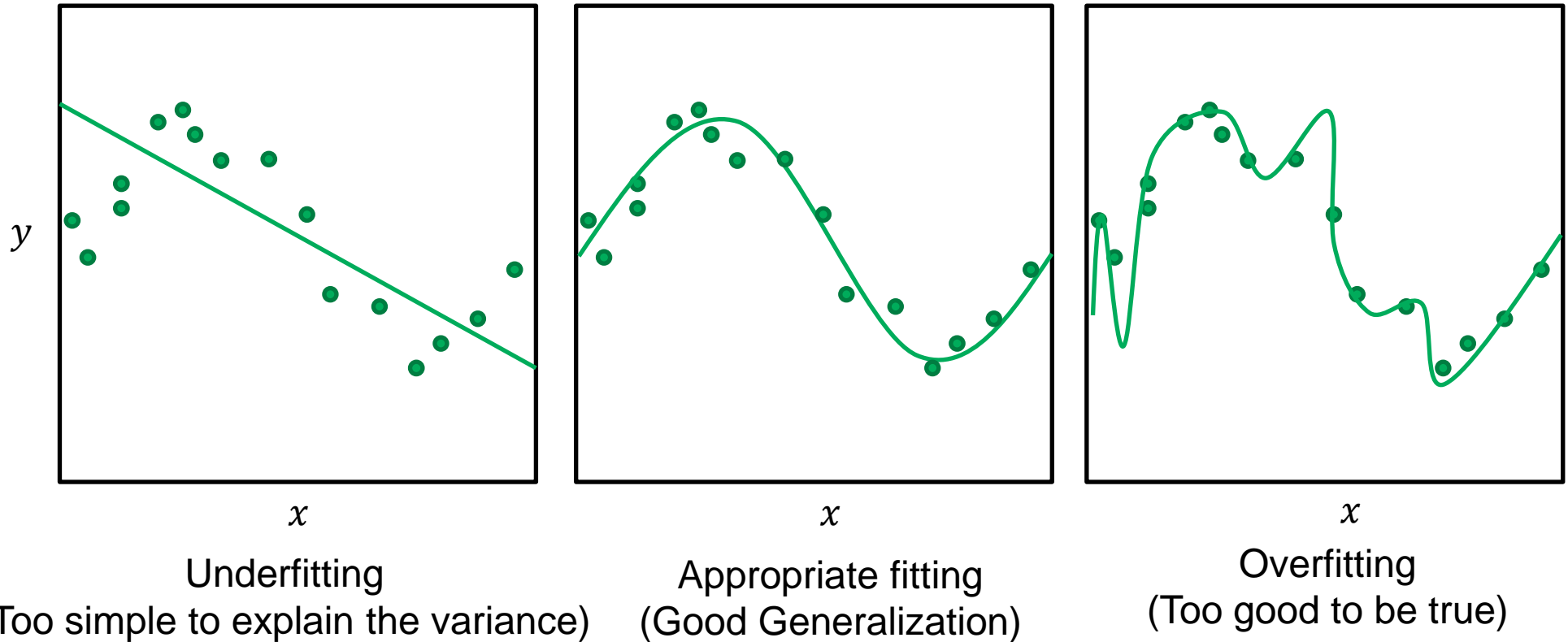


# Model performance influenced by number of training epochs



[GBC16]

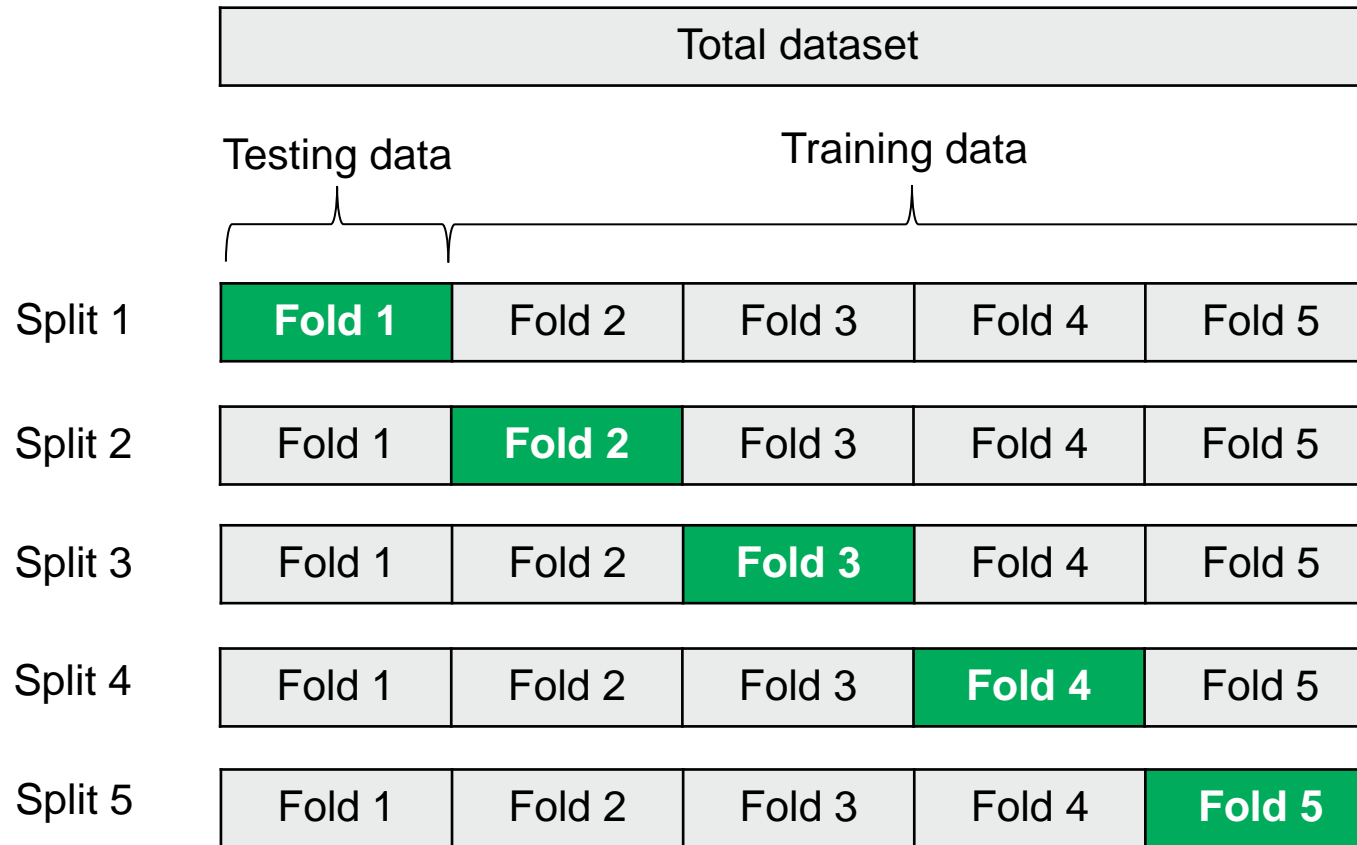
# Comparison of underfitting, appropriate fitting and overfitting



[FW95]



# Cross validation

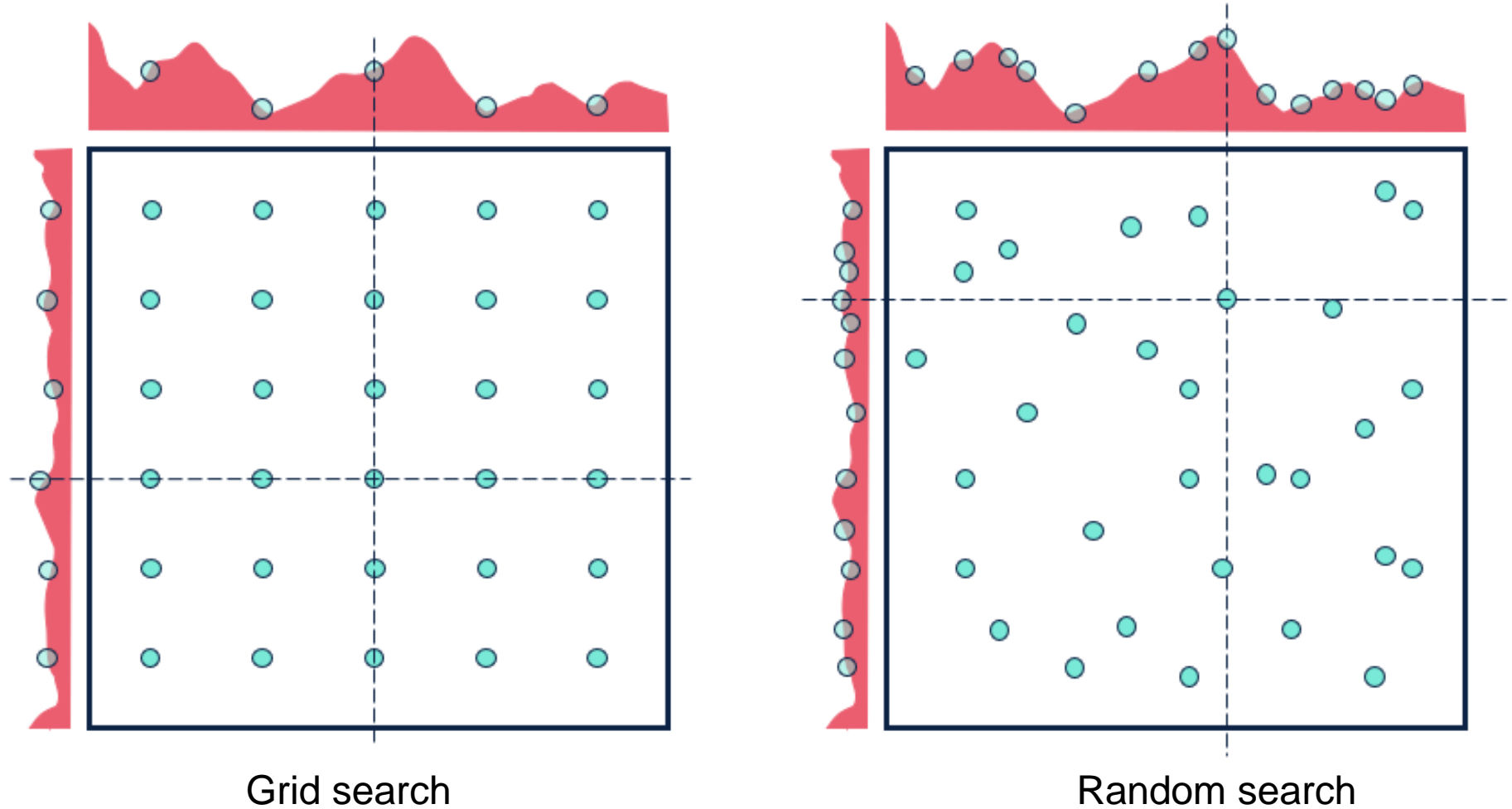


[JH15]





# Hyperparameter tuning

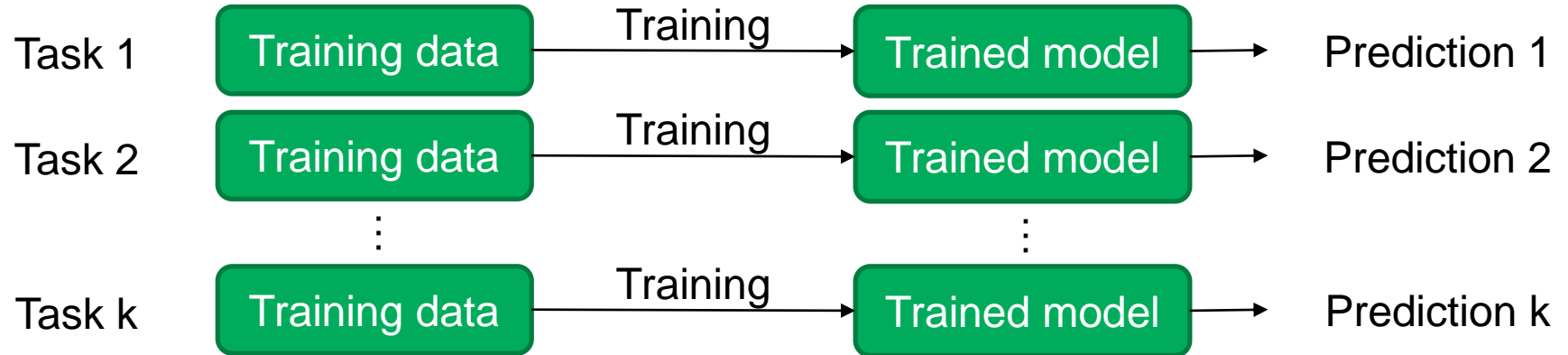


[BB12]

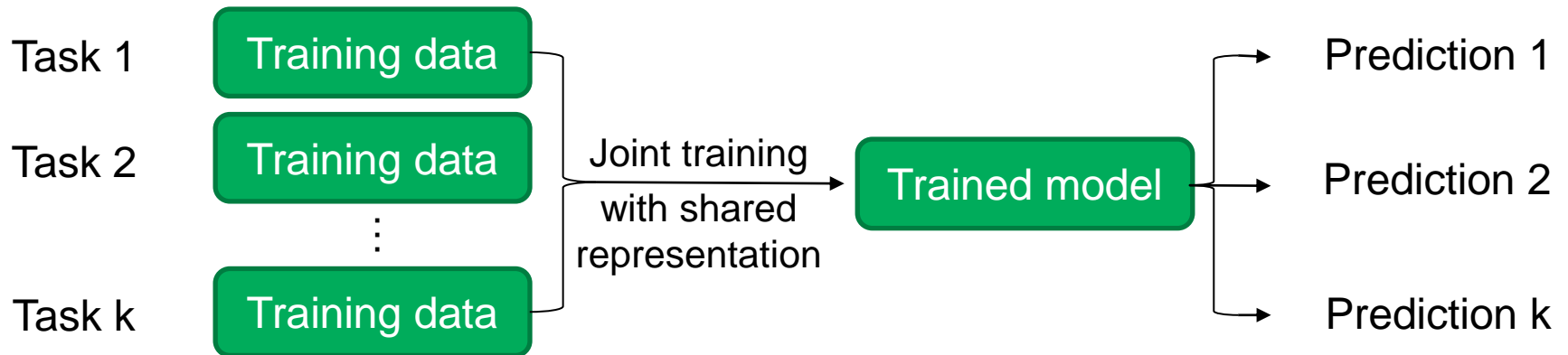


# Different concepts of single task learning and multitask learning

## Single task learning



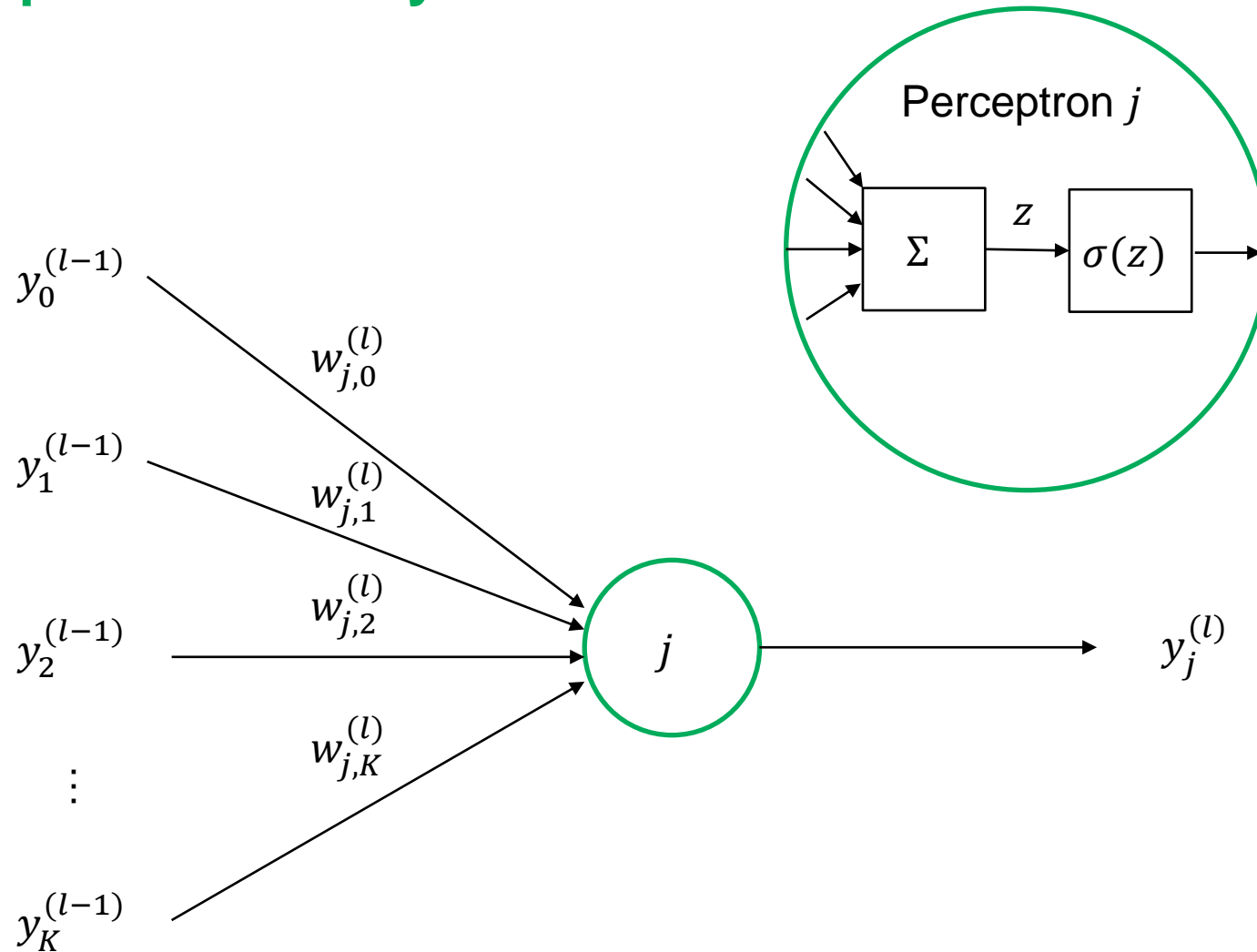
## Multitask learning



[Car93]

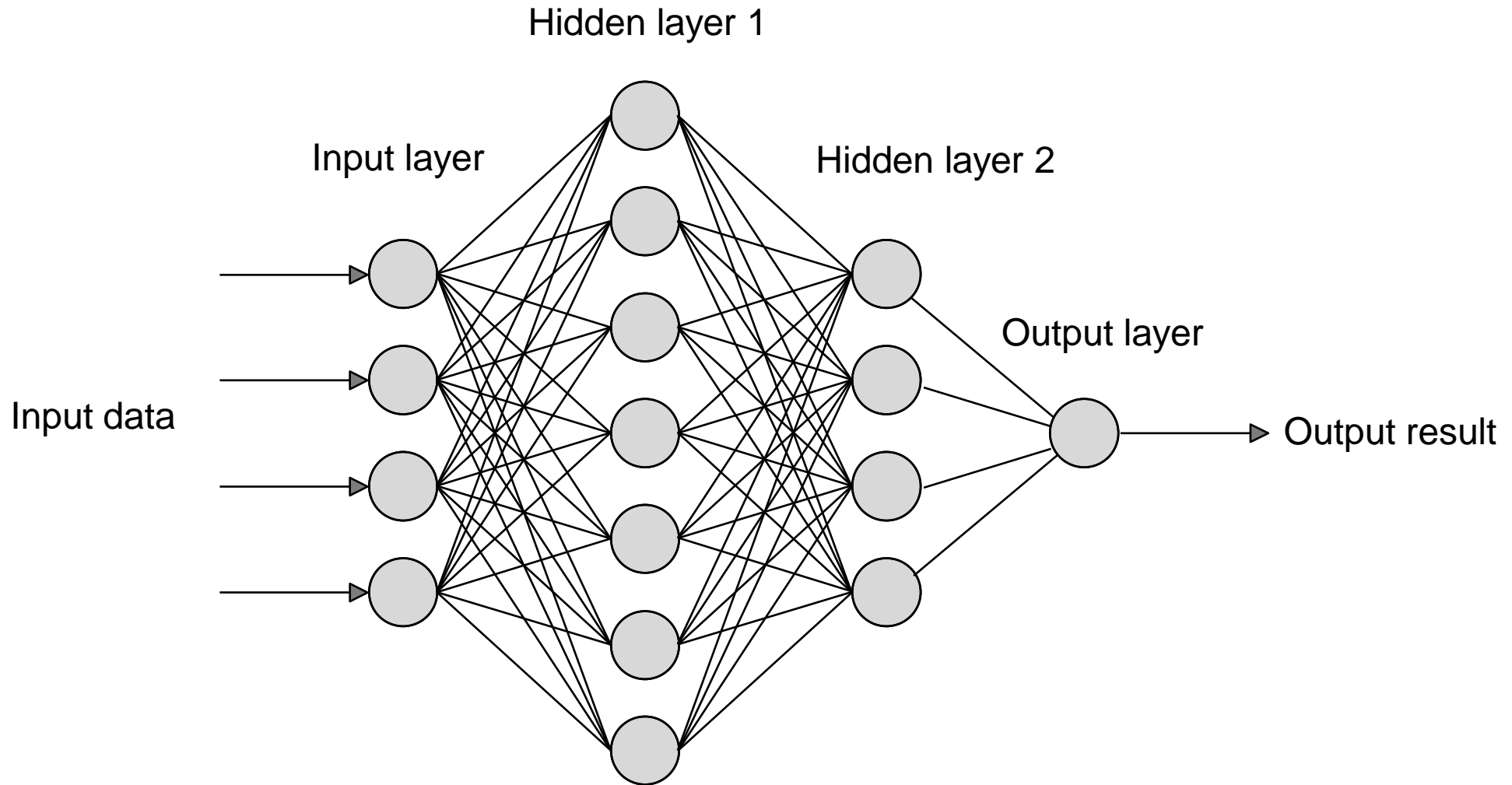


# One perceptron of the layer $l$



[JZL18]

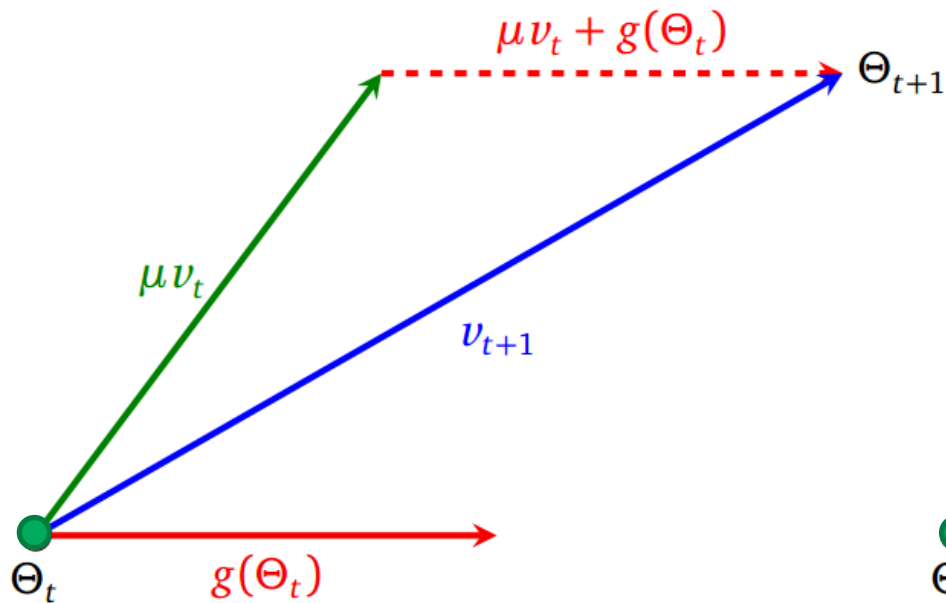
# Structure of deep neural network (DNN)



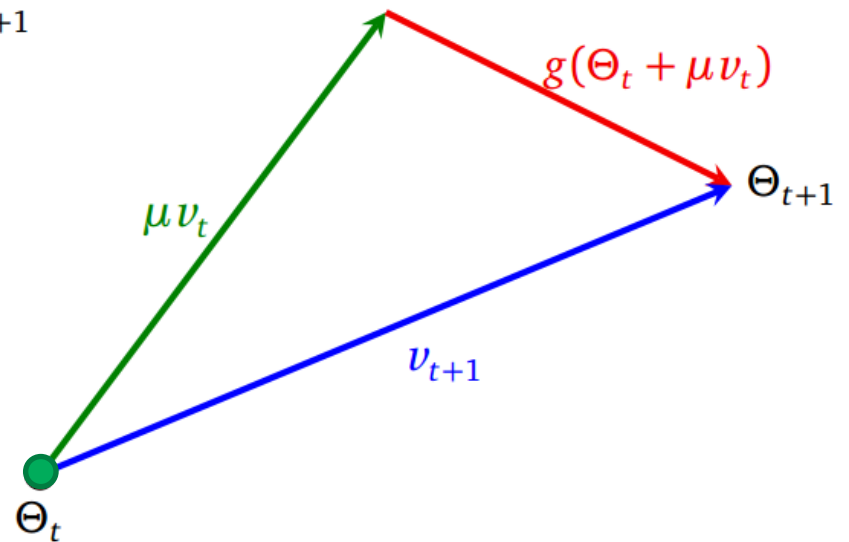
[Saz06]



# Difference between momentum update and Nesterov momentum update



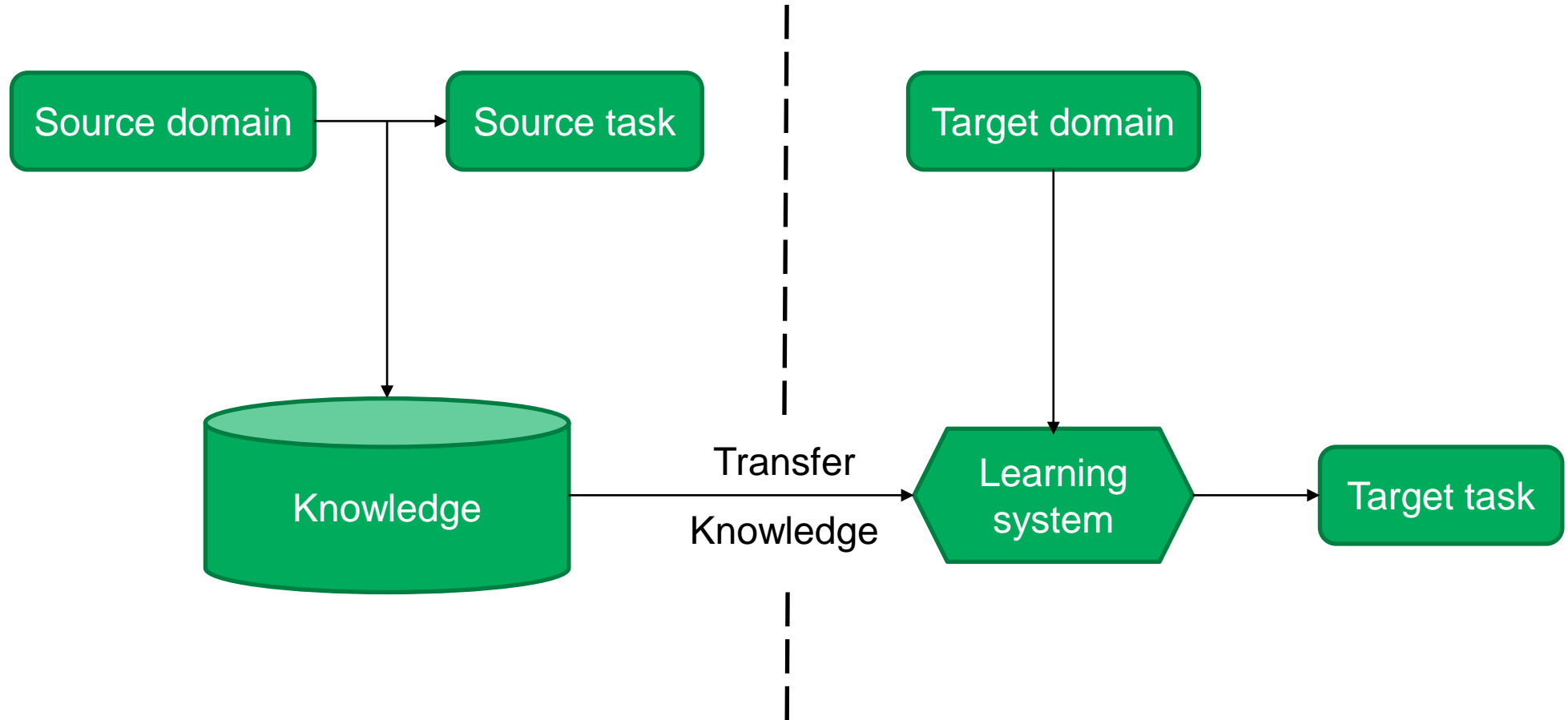
Momentum update



Nesterov momentum update

[SMD13]

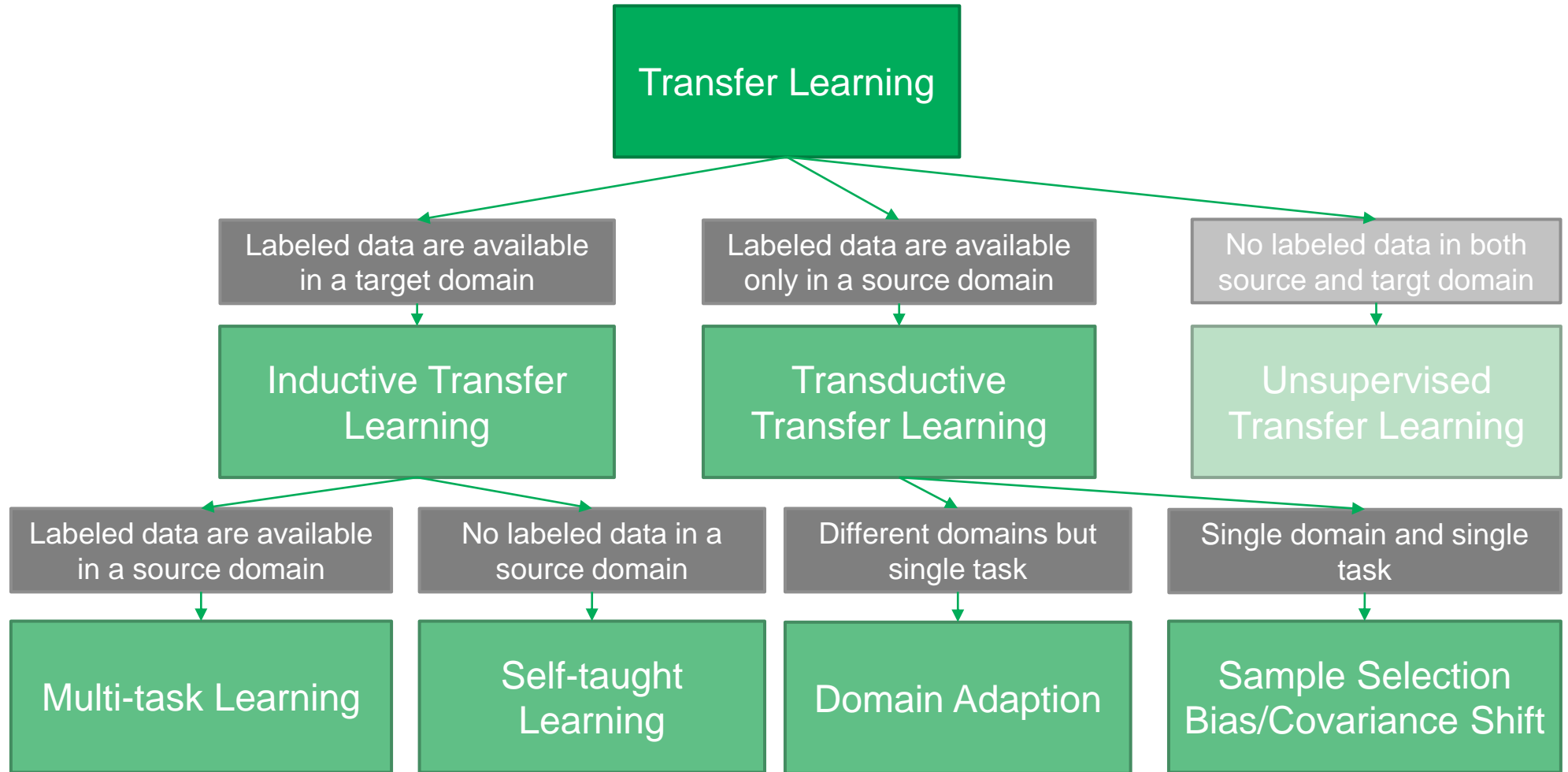
# Fundamental approach of transfer learning



[OBL14]



# Categorization of transfer learning approaches

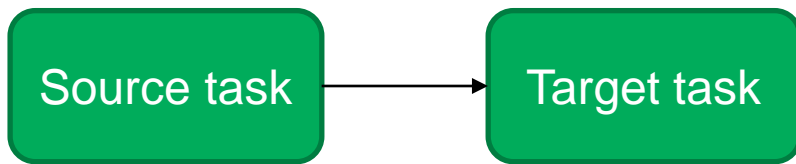


[ZLO17]

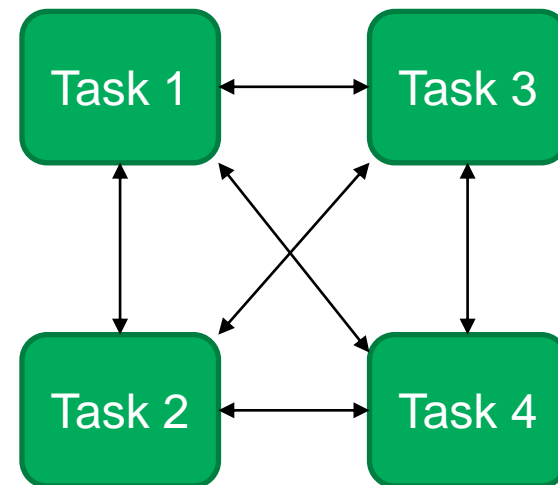


# Distinction between transfer learning and multi-task learning

Transfer learning



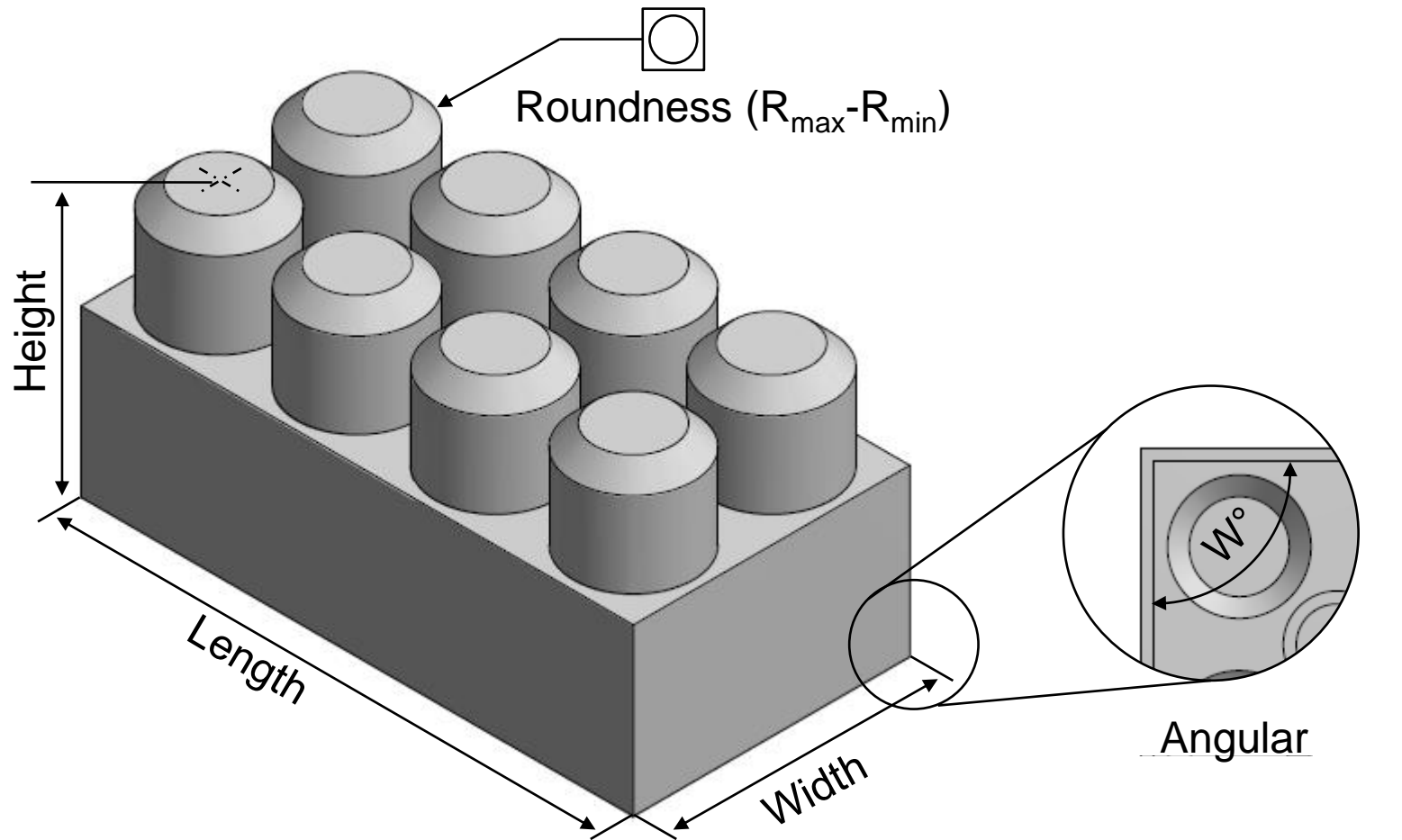
Multitask learning



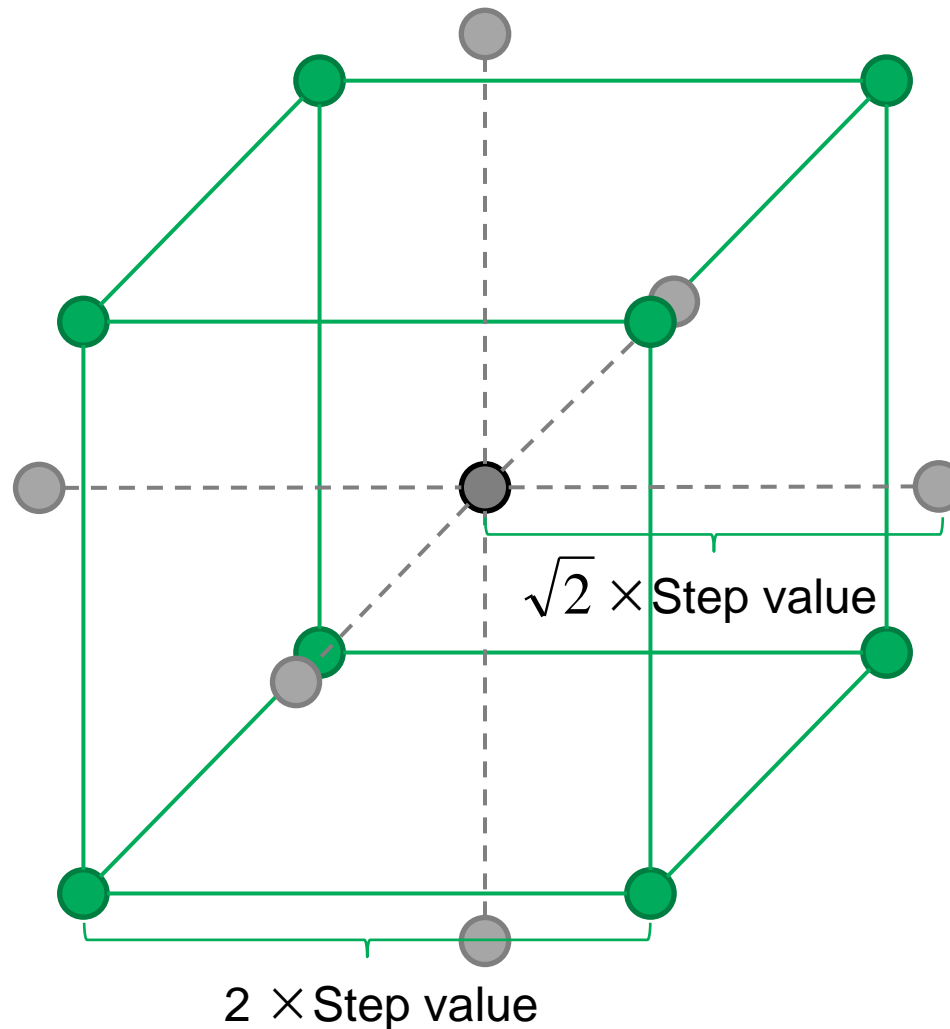
[TS09]



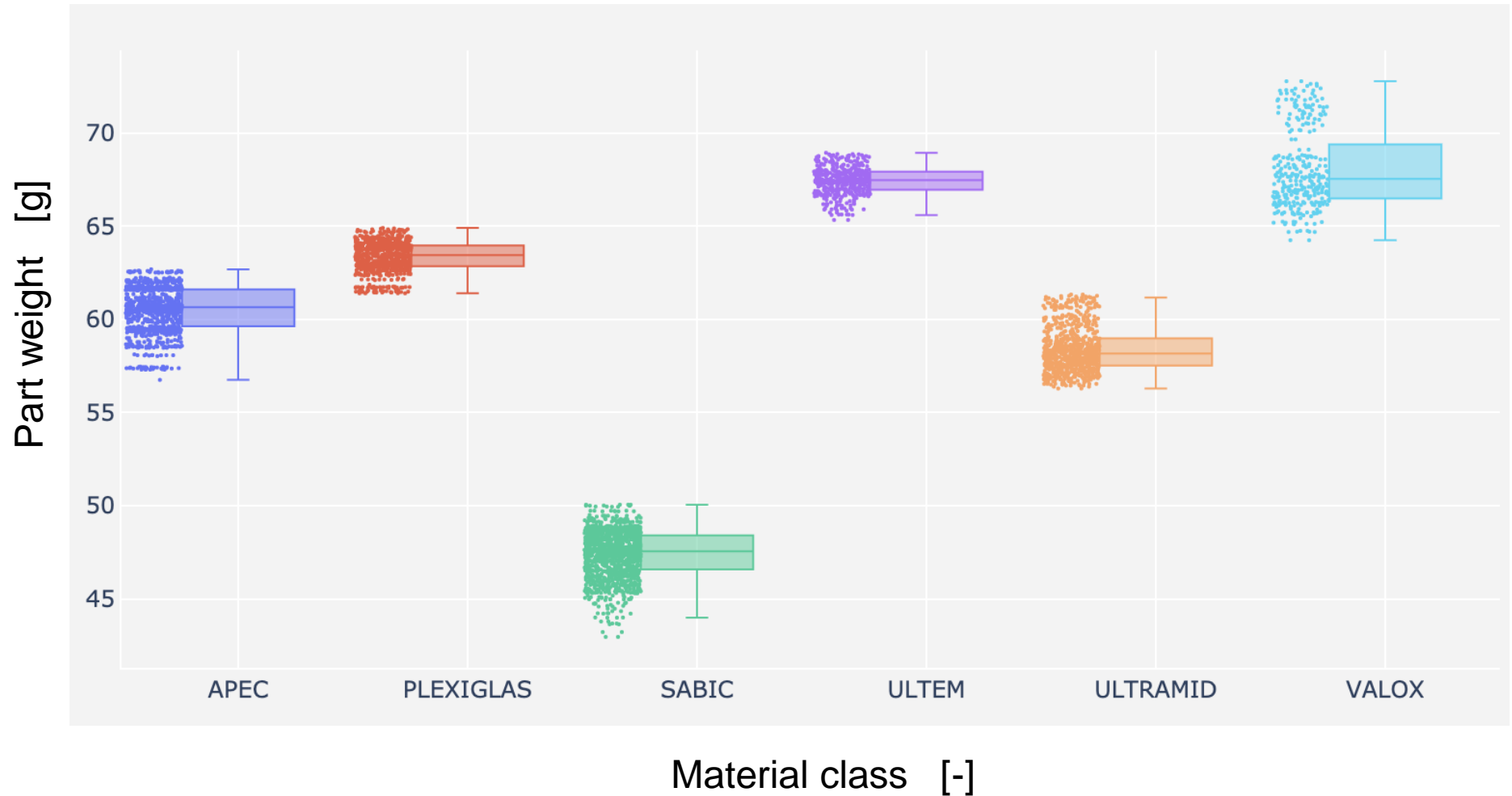
# Component dimensions of the 4×2 toy building block



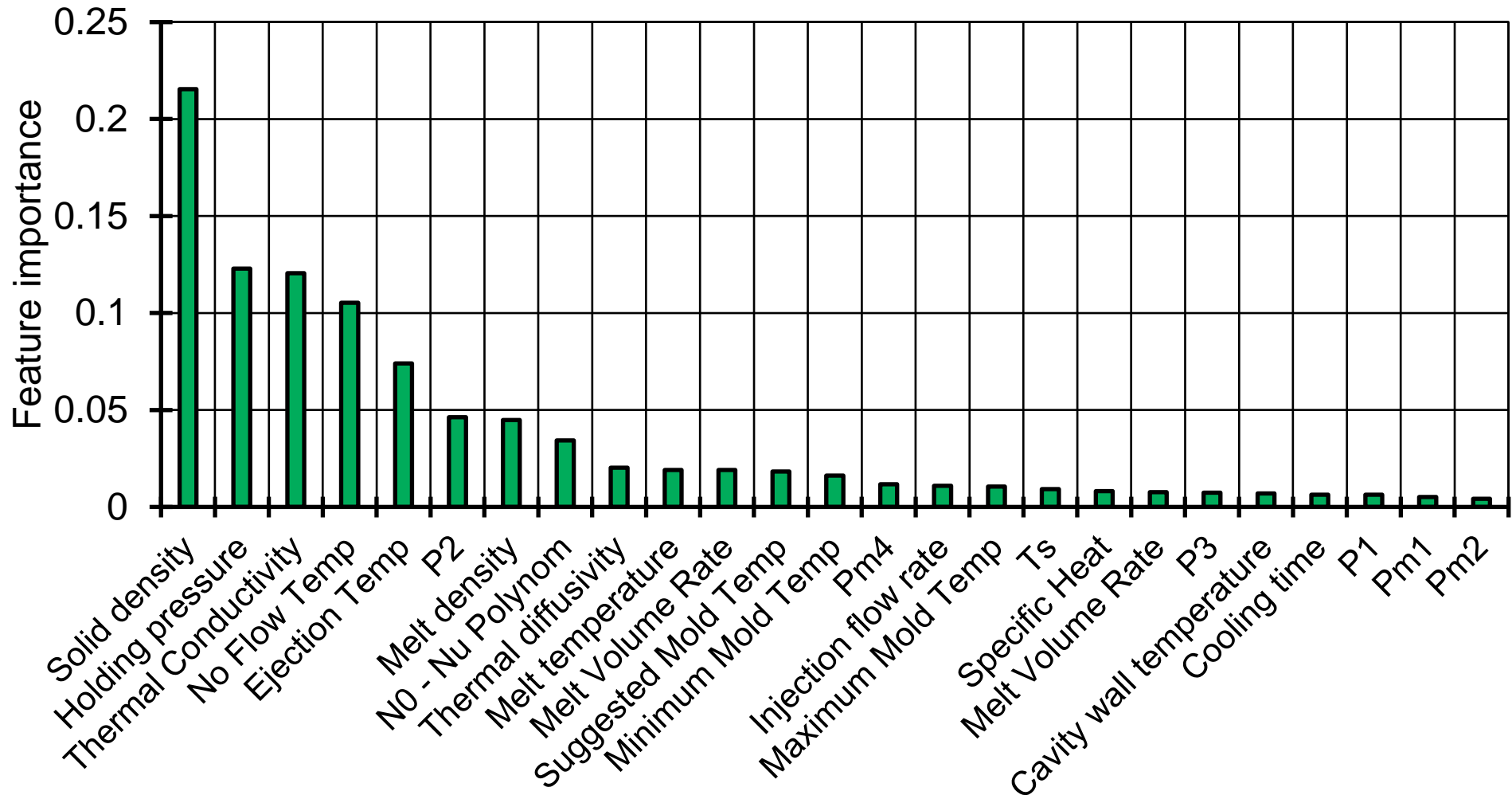
# Qualitative depiction of $2^n$ -Experiment ( $n=3$ ) plan including star and center point



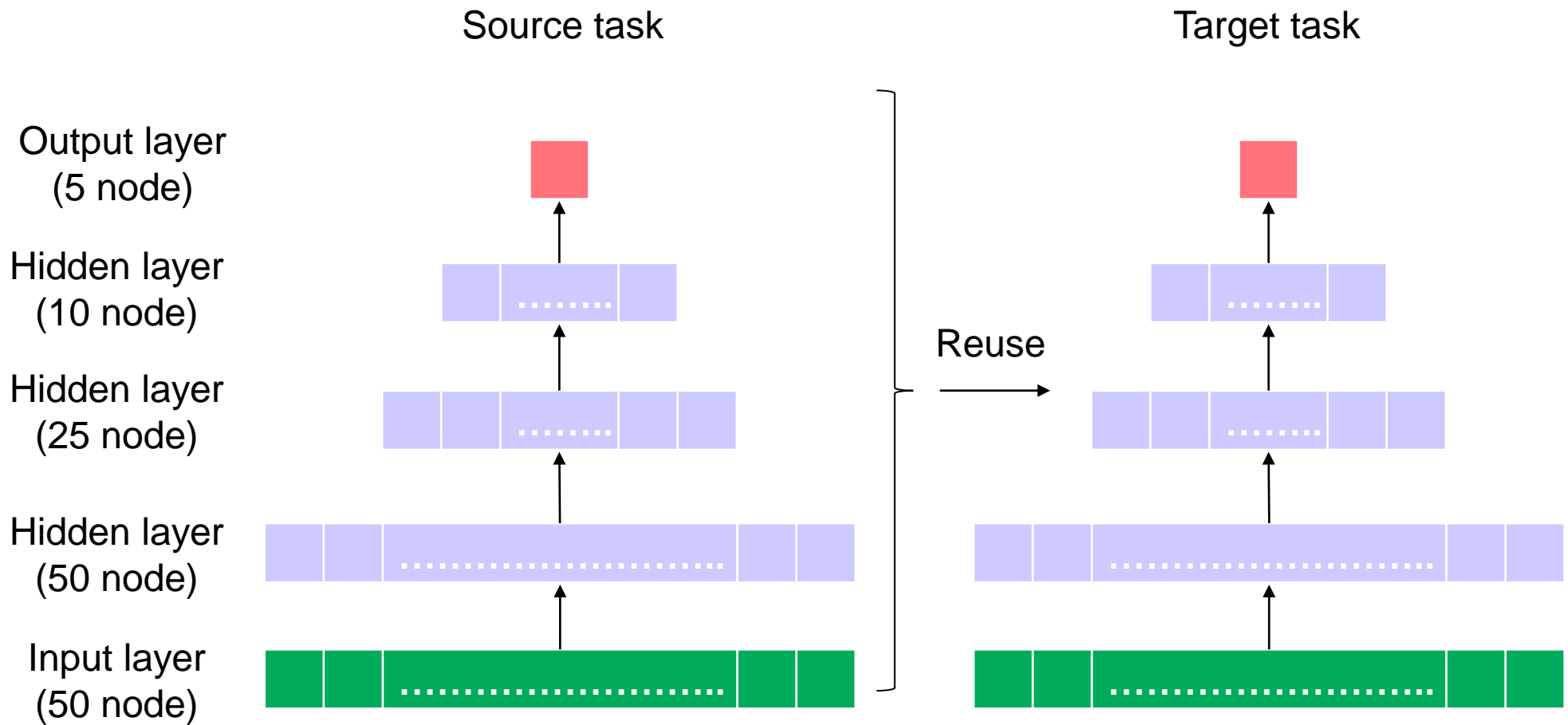
# Data visualization of the part weight of the six material classes



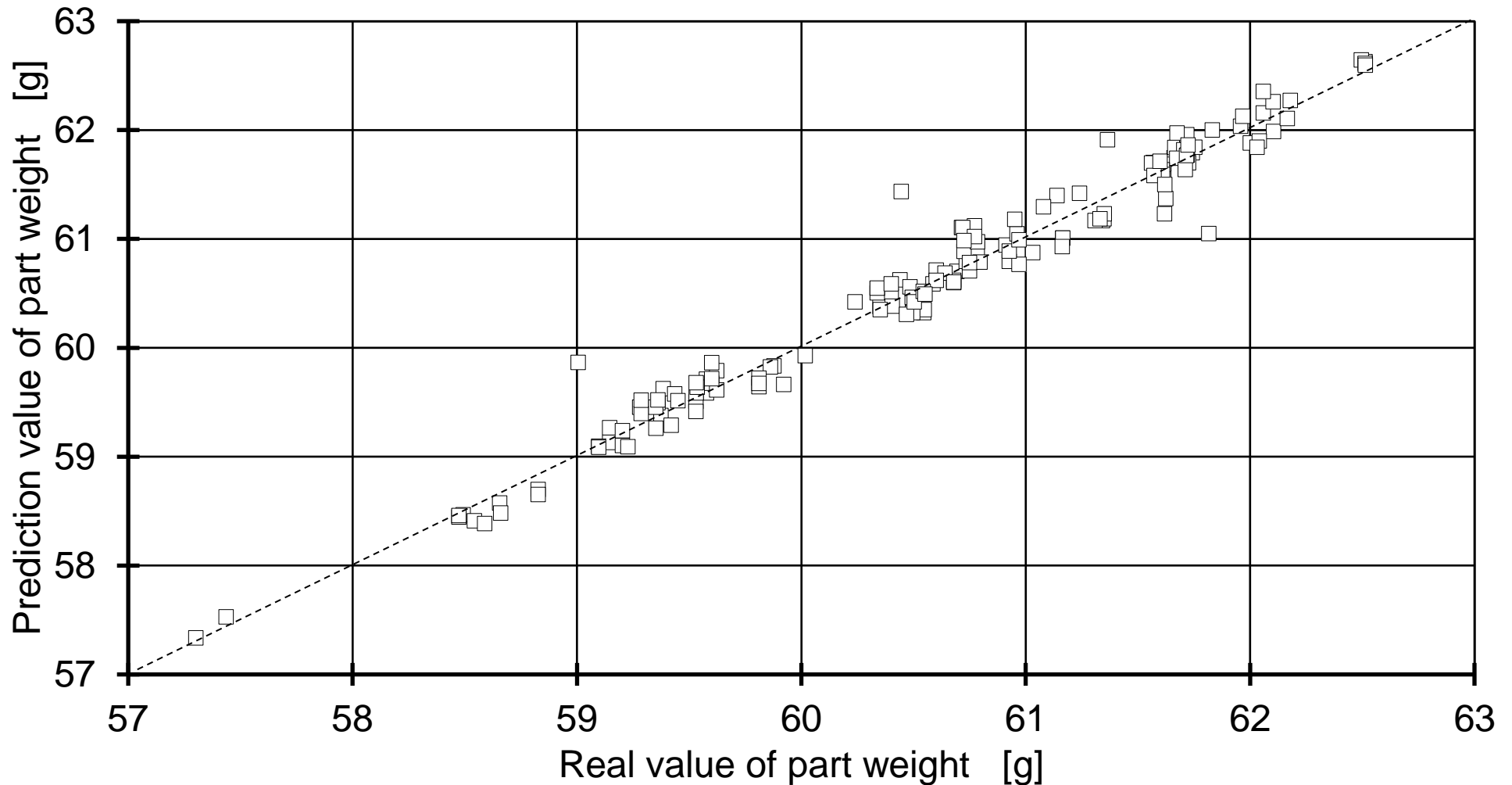
# Feature importance score ranking for prediction of part weight



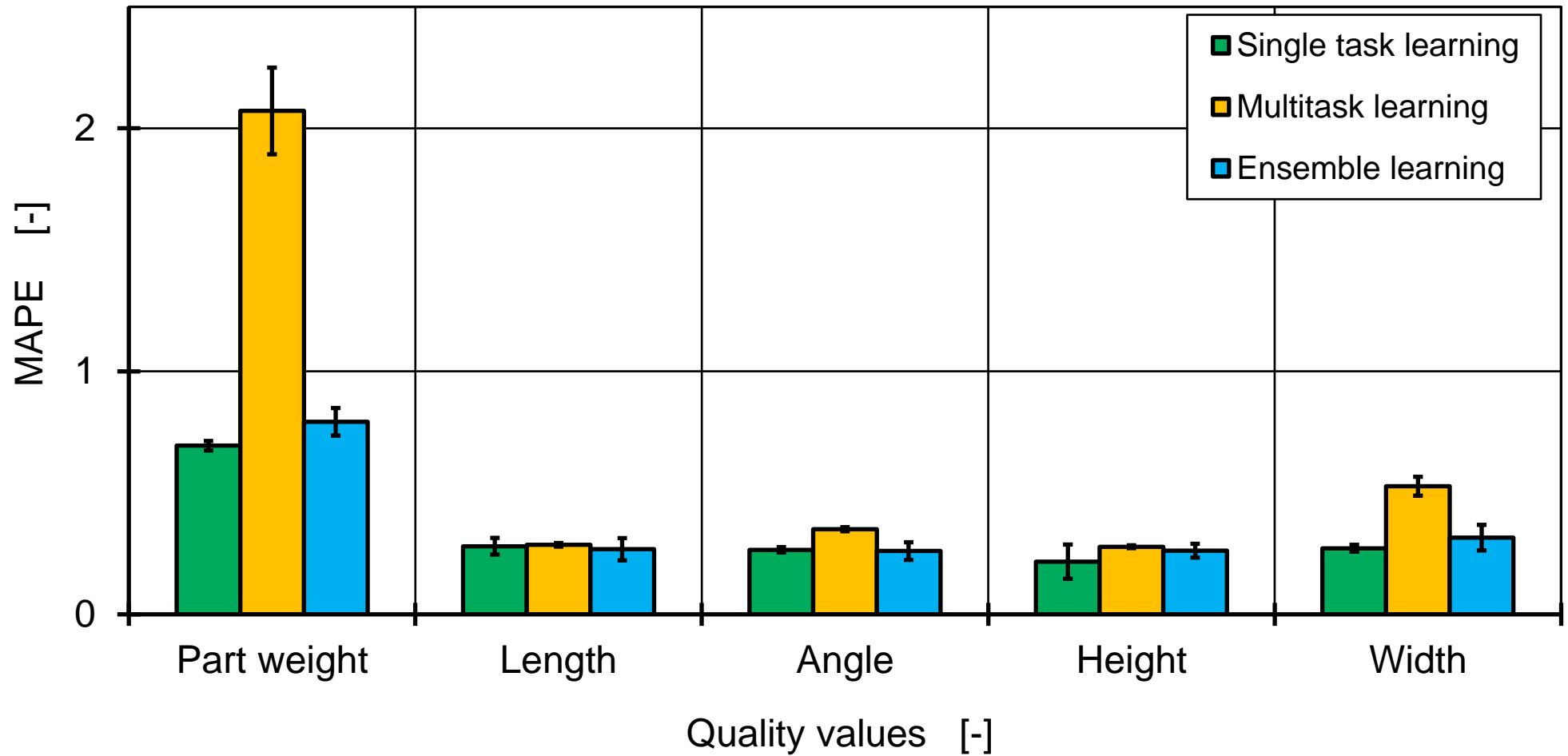
# Structure of the deep neural network with transfer learning



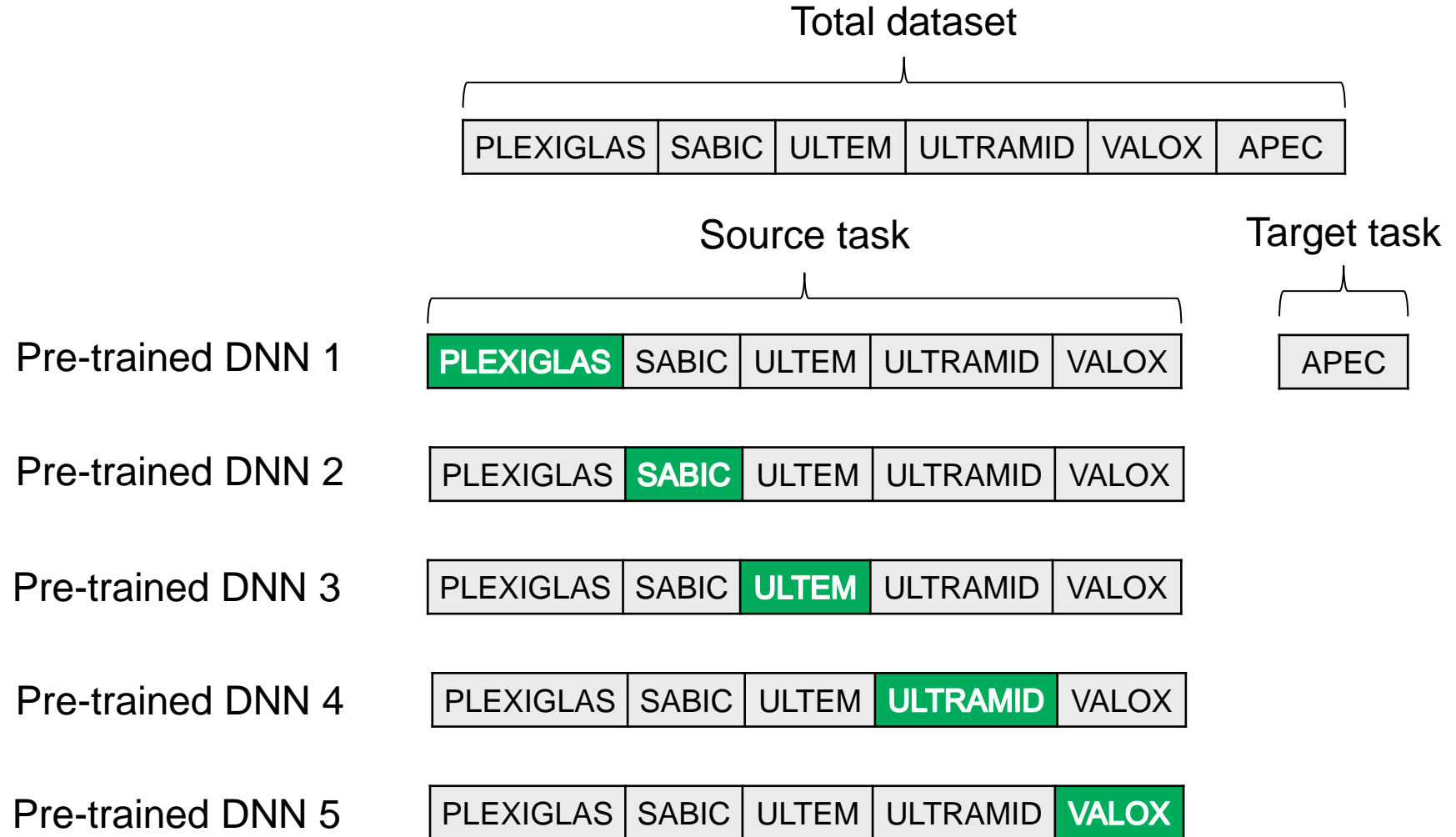
# Prediction result of the base model



# Comparison of single task, multitask and ensemble learning

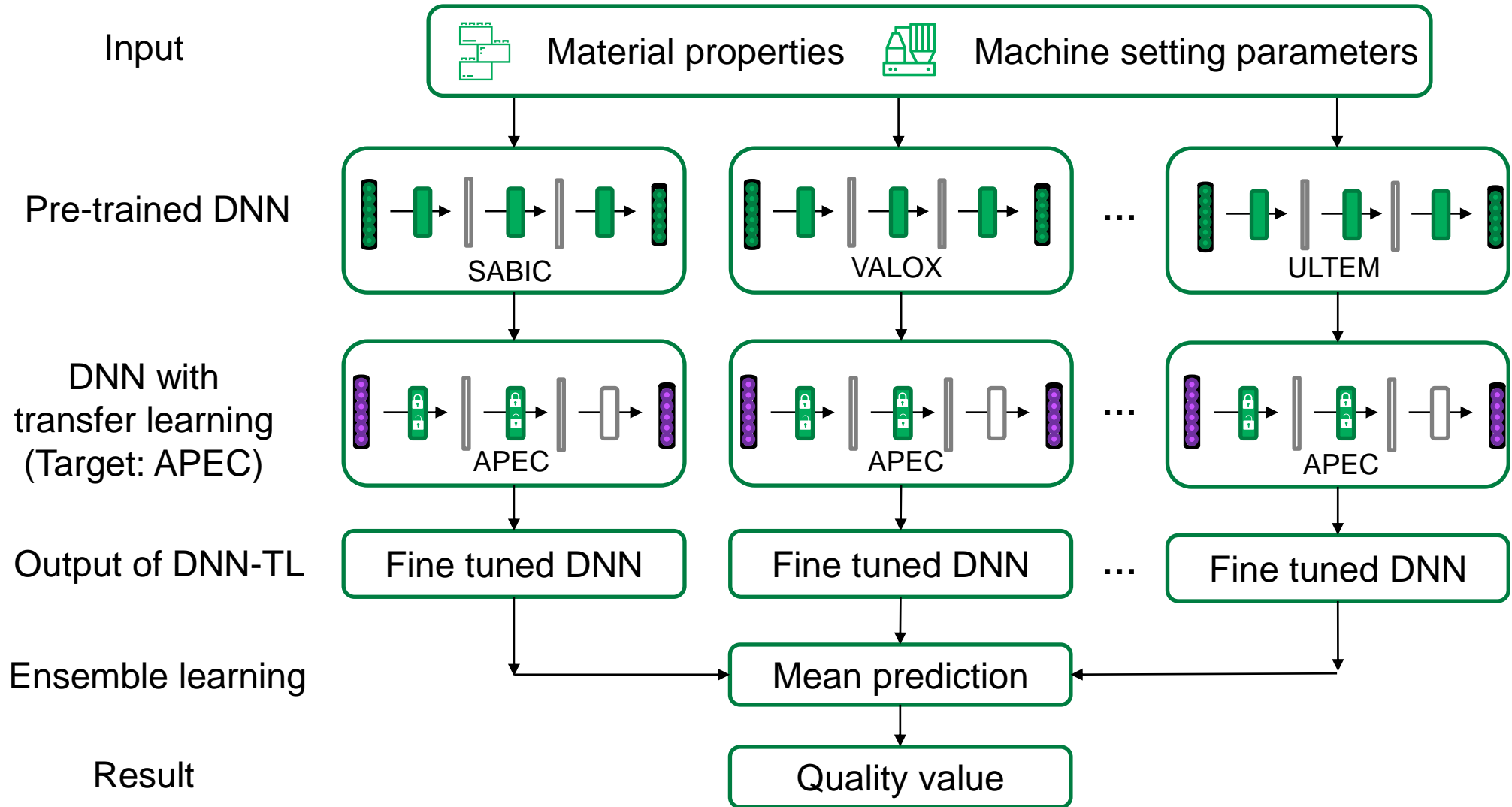


# Splitting source task into 5 folds for pre-training DNN models

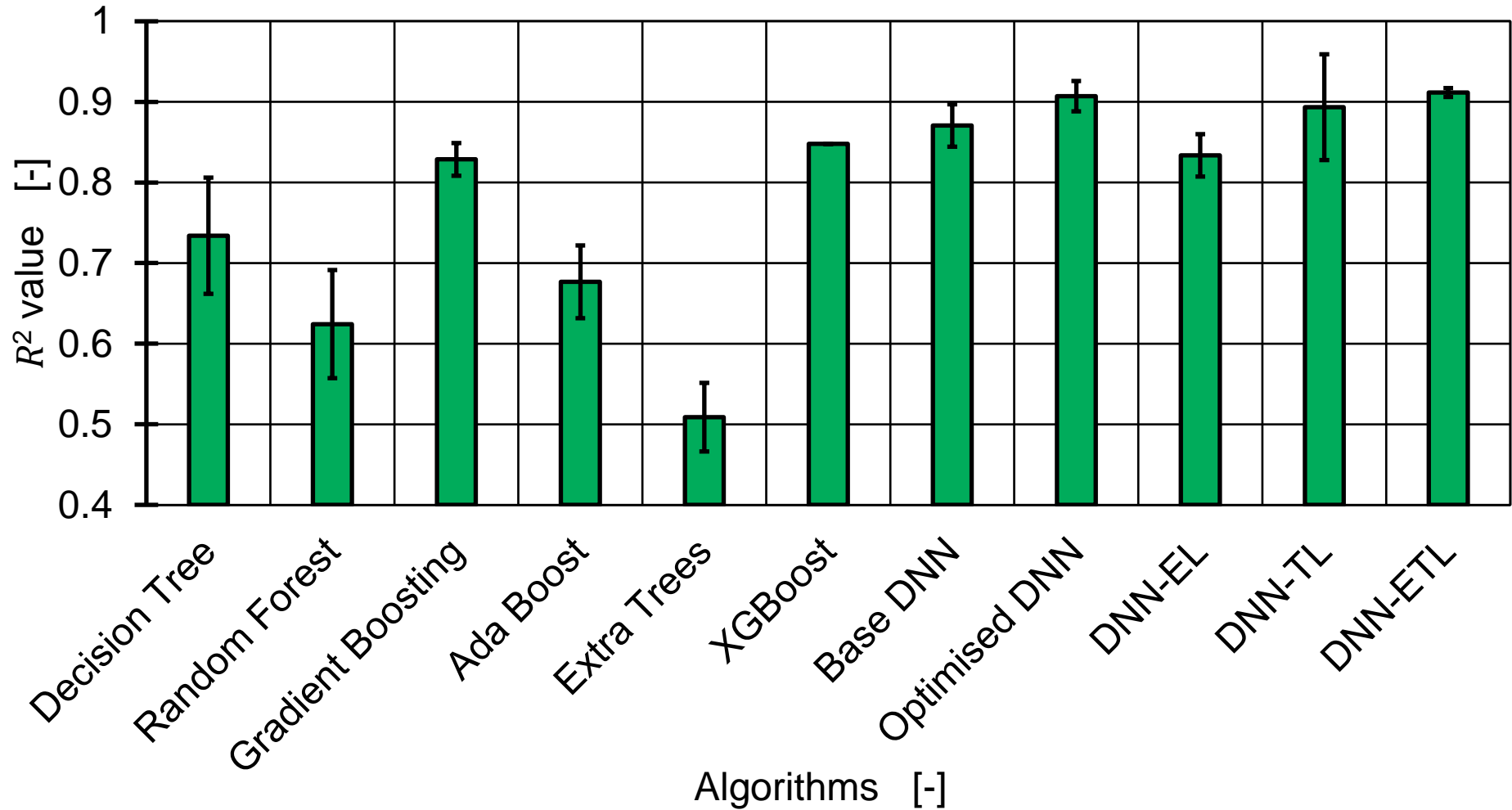




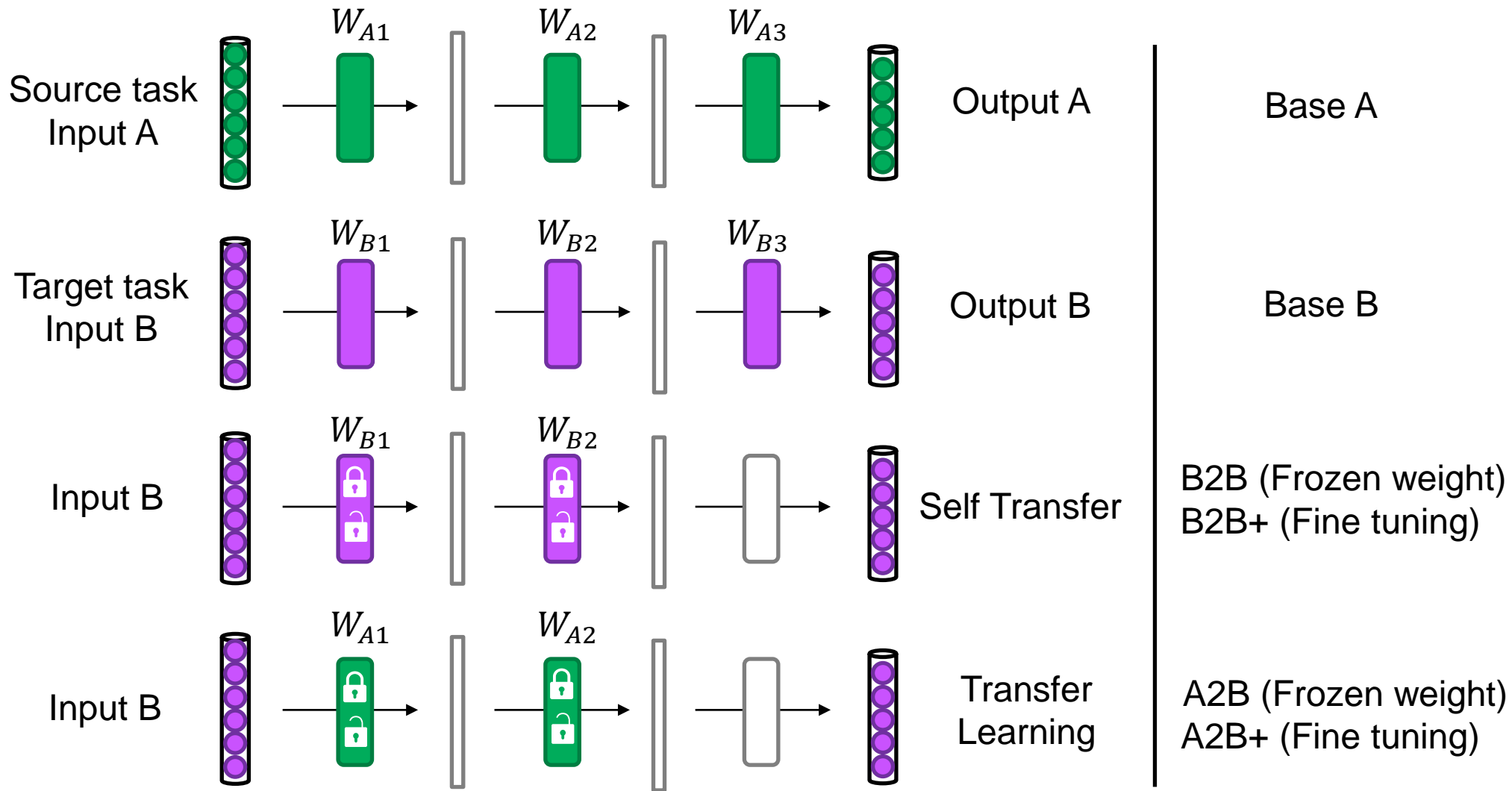
# Model structure of transfer learning with ensemble learning



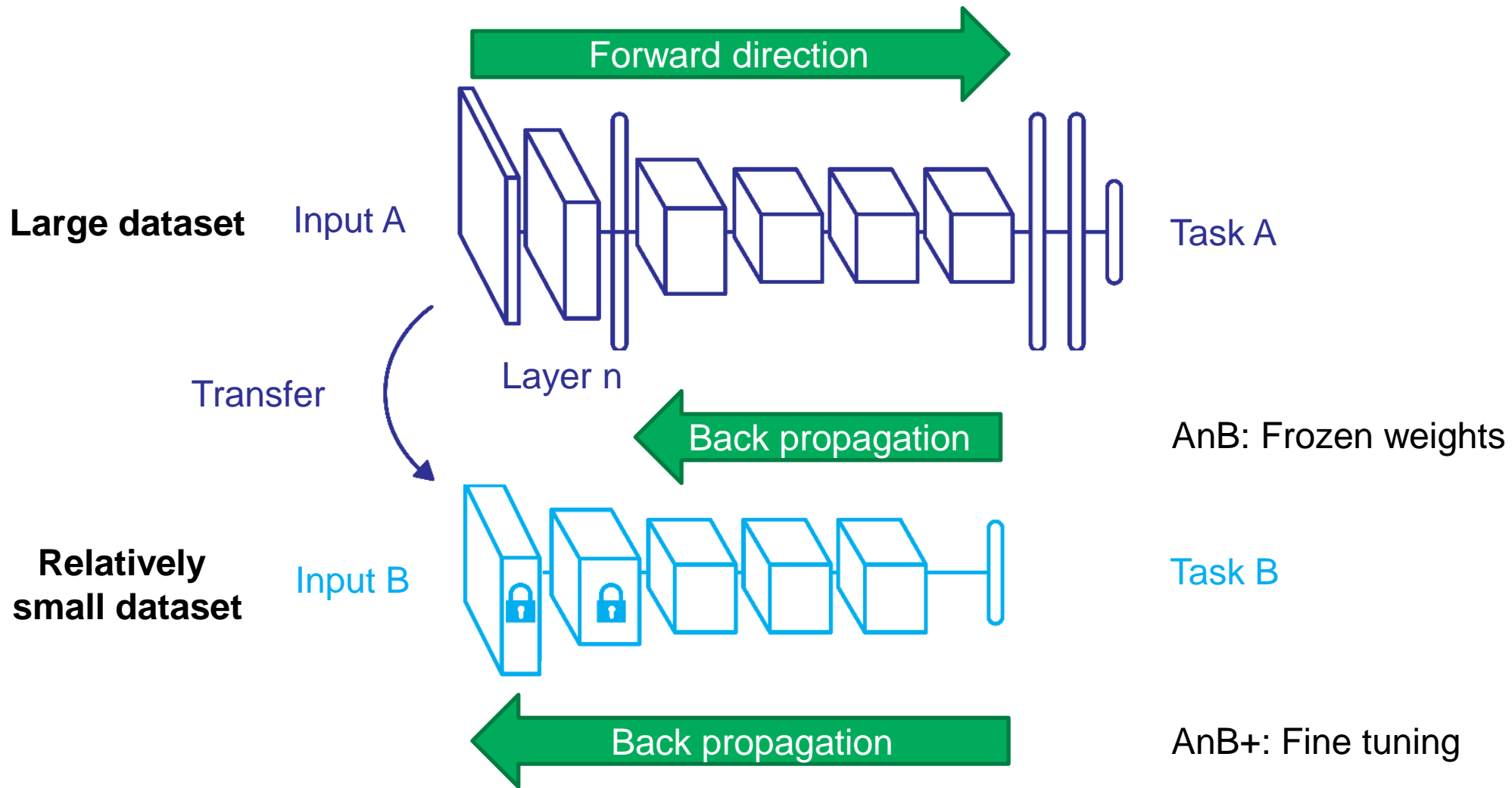
# Model comparison of $R^2$ value



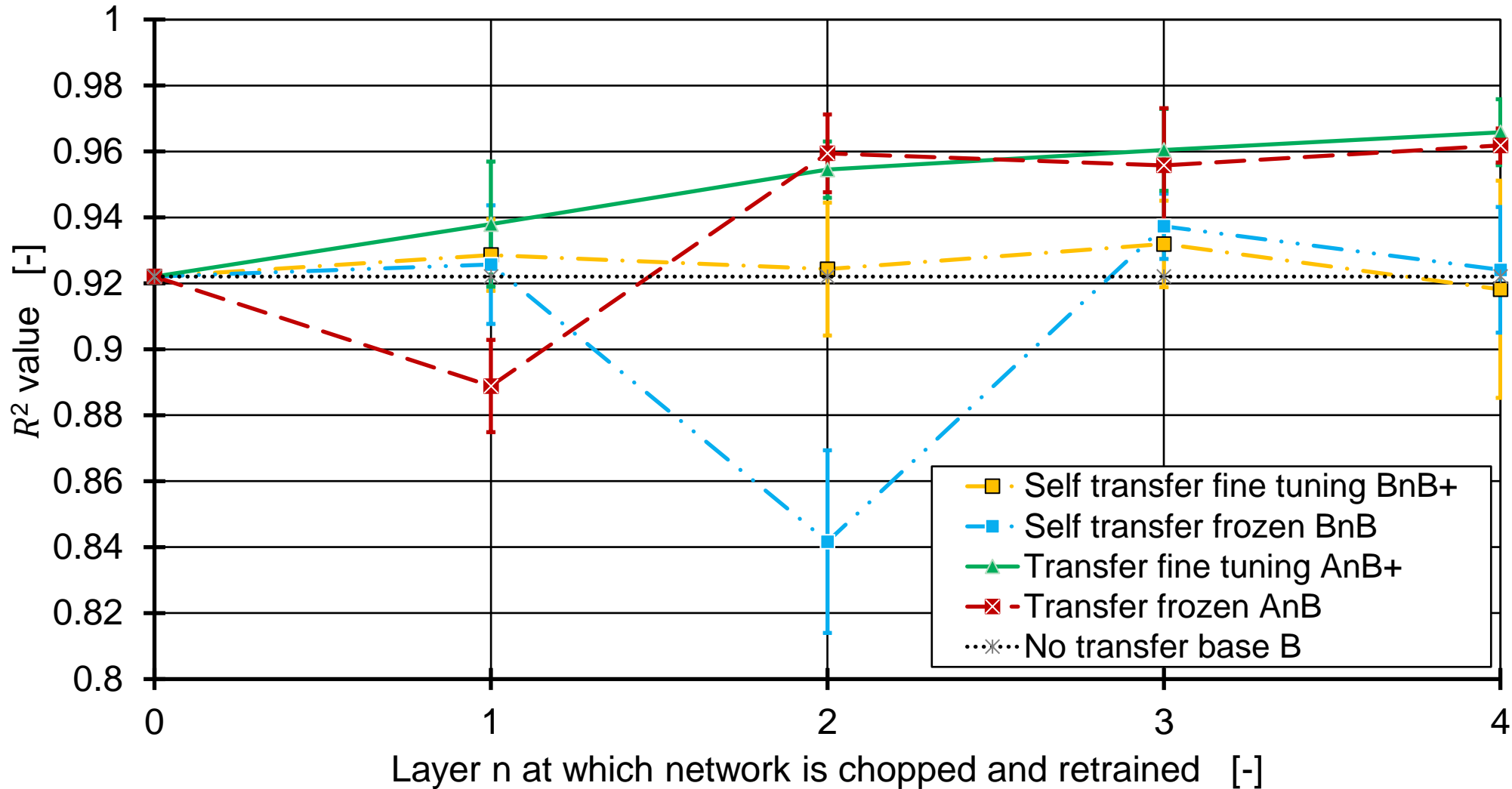
# Overview of the experimental treatments and controls



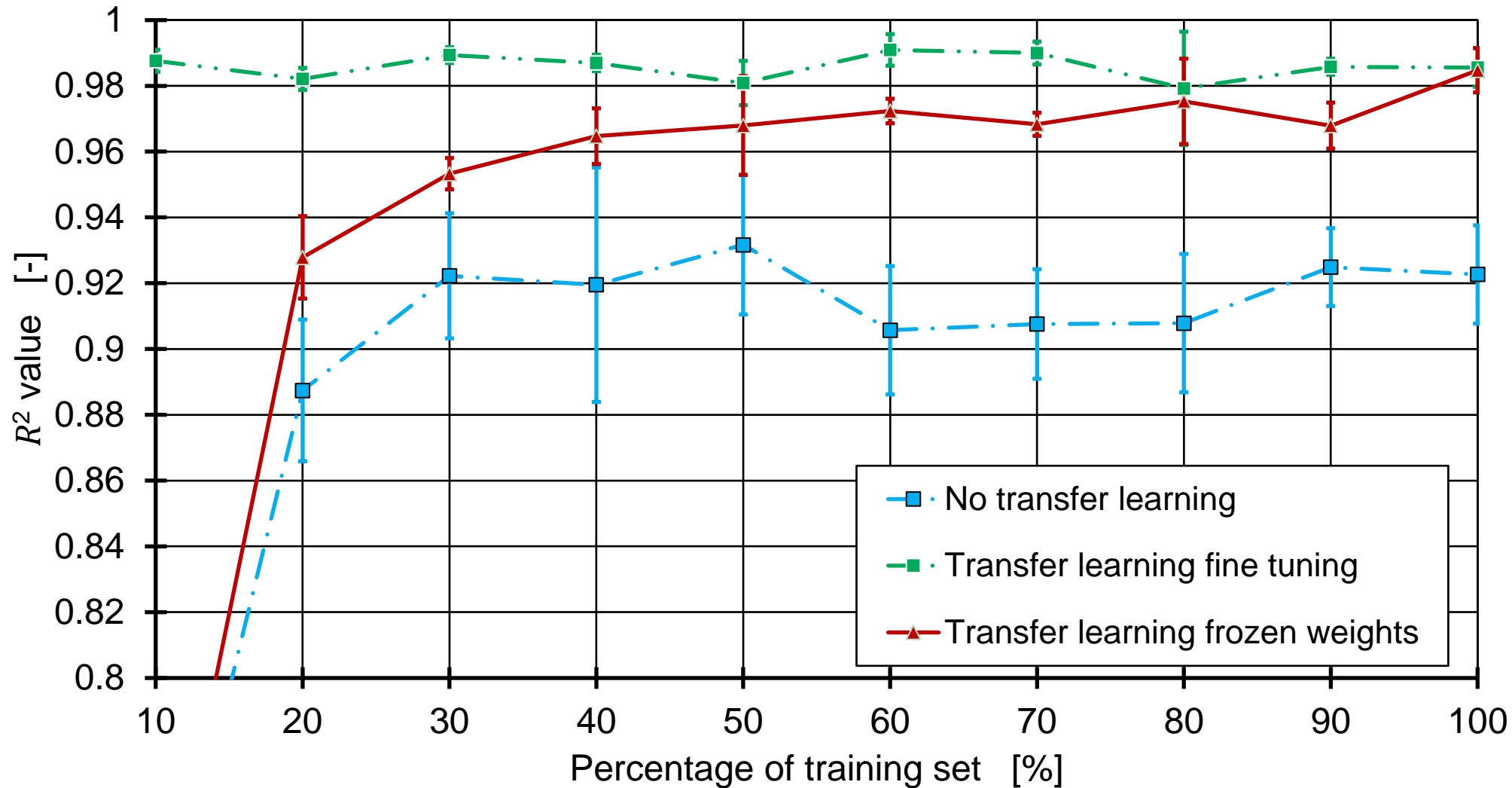
# Difference of AnB and AnB+ transfer learning model



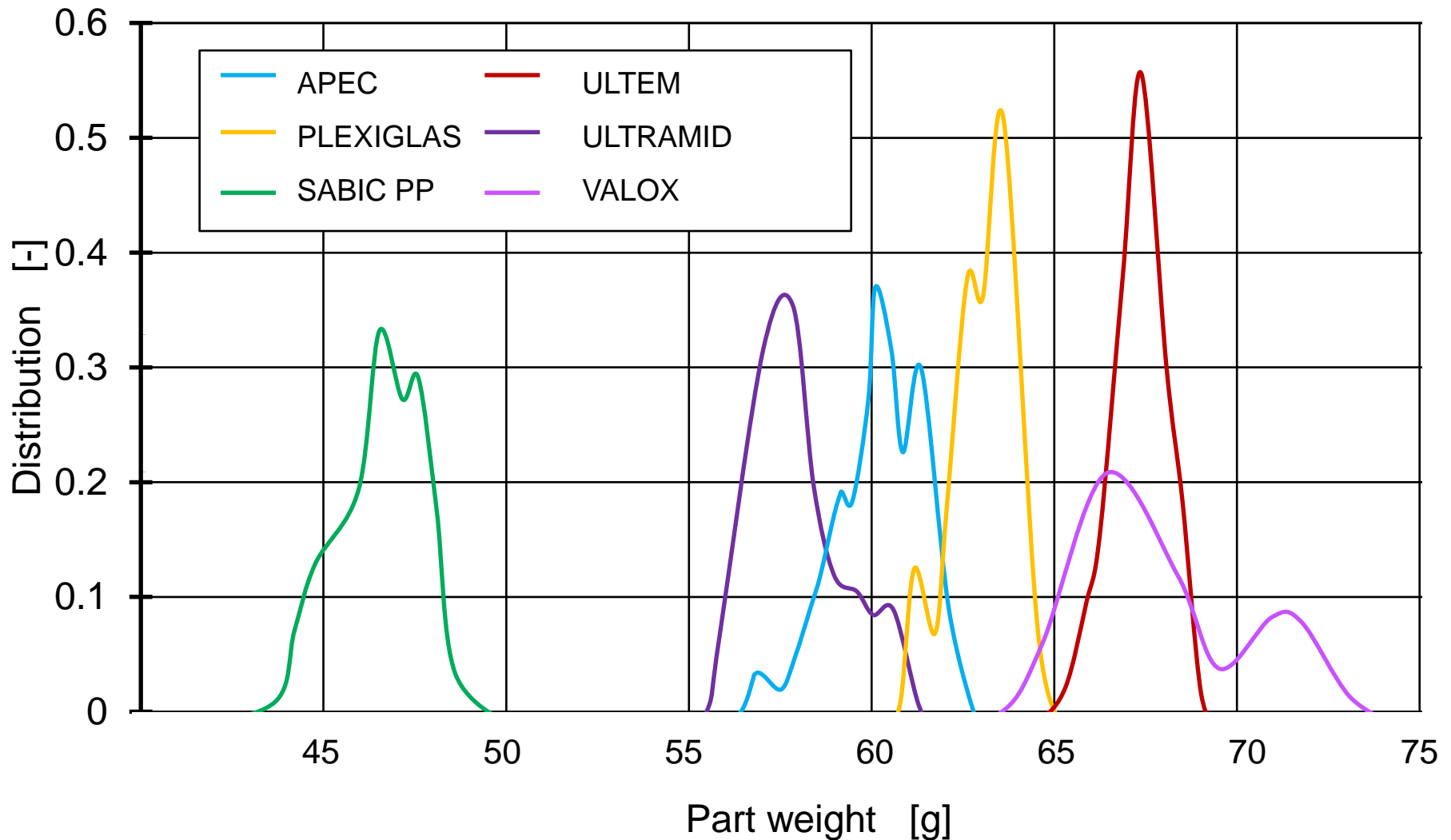
# Effect of the number of transferred layers on transfer learning



# Effect of the training dataset size on transfer learning



# Different part weight distribution of six material classes



# Effect of the number of source datasets on transfer learning

