The story of a proof: From paper to kernel.

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Proposition 3.2. Consider the composite system $T = C \times_{\mathcal{I}} S$ working under the assumption that choice inputs arrive only at odd cycles. Then, the system correctly implements the starvation freedom constraint of the 1 Diner problem which states that the philosopher doesn't remain hungry forever. It defers to the philosopher's own choice (stay at the same state or switch to the next) when the philosopher is not hungry.

Proof. The result follows from the following propositions, which are simple consequences of the polled dynamics:

1. if $a = \mathsf{h}$, then $a' = \mathsf{e}$.

2. if $a \neq \mathsf{h}$, then $a' = f_P(a, b)$.

$\square$

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Lemma system38_starvation_free:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SSSN: ValidTrace system38 ss ts (S(S(S n))))
    (BOTTOM_EVEN:
        forall (i: nat) (IEVEN: even i = true),
        snd (fst (ts i)) = nothing choice)
    (NOT_BOTTOM_ODD:
        forall (i: nat) (IODD: odd i = true),
        snd(fst (ts i)) <> nothing choice)
    (HUNGRY: fst (ss n) = h),
    exists (m: nat),  m > n /\  fst (ss m) = e.
Proof.
      (* 30 lines of proof,
         200 lines of supporting lemmas ommitted *)
Qed.


Lemma system_38_phil_not_hungry_then_next_philo_choice:
  forall (n: nat) (ss: nat -> the * cmd) (c: choice)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SSSN: ValidTrace system38 ss ts (S(S(S n))))
    (NOTHUNGRY: fst (ss (S n)) <> h)
    (CHOICE: snd (fst (ts (S n))) = just choice c)
    (NEVEN: even n = true)
    (BOTTOM_EVEN:
        forall (i: nat) (IEVEN: even i = true),
        snd (fst (ts i)) = nothing choice),
     fst (ss (S(S(S n)))) = trans32fn (fst (ss (S n))) c.
Proof.
      (* 20 lines of proof,
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Qed.
```

Statistics

- 667 lines of coq code.

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- All tables upto section 4 verified by computation.
- All theorems upto section 4 formally verified.

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The definitions: Systems

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Recall: $X_0 \subseteq X \iff \texttt{member}(X_0) : X \rightarrow \texttt{Bool};\ \texttt{member}(X_0)(x) \equiv x \underset{?}{\in} X_0$

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(* 2.1: system specifcation *)
Record system (X: Set) (U: Set) :=
    mksystem { isx0: X -> Prop;
               trans: X -> U -> X -> Prop }.
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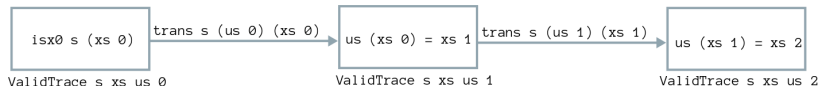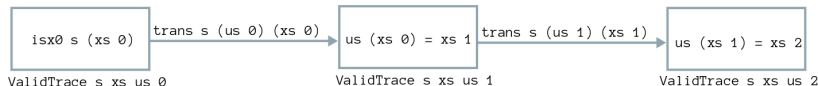# Running a System: Valid Traces

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```
(* ValidTrace s xs us n: trace suggested by xs, us is valid for n steps *)
Inductive ValidTrace {X U: Set} (s: system X U) (xs: nat -> X) (us: nat -> U): nat -> Prop :=
| Start: forall  (VALID: (isx0 X U s) (xs 0)) , ValidTrace s xs us 0
| Cons: forall (n: nat) (TILLN: ValidTrace s xs us n) (ATN: trans X U s (xs n) (us n) (xs (S n))),
ValidTrace s xs us (S n).
```

Composing Systems: Tabuada Connection

The sets:

$$S \equiv (X : \mathbf{Set}, U_X : \mathbf{Set}, X_0 \subseteq X, \underset{X}{\to} \subseteq X \times U_X \times X).$$

$$T \equiv (Y : \mathbf{Set}, U_Y : \mathbf{Set}, Y_0 \subseteq Y, \underset{Y}{\to} \subseteq Y \times U_Y \times Y).$$

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The composition:

$$S \times_{\mathcal{I}} T \equiv (Z \equiv X \times Y, U_Z \equiv U_X \times U_Y, X_0 \times Y_0, \underset{Z,\mathcal{I}}{\rightarrow} \subseteq Z \times U_Z \times Z).$$

$$(x, y) \xrightarrow[Z,\mathcal{I}]{u_x, u_y} (x', y') \iff x \xrightarrow{u_x} x' \wedge y \xrightarrow{u_y} y' \wedge (x, y, u_x, u_y) \in \mathcal{I}.$$

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```
(* 2.2: system composition *)
(* tabuada connection new system *)
Definition tabuada {X Y UX UY: Set}
    (sx: system X UX) (sy: system Y UY) (connect: X*Y->UX*UY->Prop): system (X*Y) (UX*UY) :=
  mksystem (X*Y) (UX*UY) (tabuada_start (isx0 X UX sx) (isx0 Y UY sy))
          (tabuada_trans connect (trans X UX sx) (trans Y UY sy)).

(* initial state for tabuada composition *)
Definition tabuada_start {X Y: Type} (isx0: X -> Prop) (isy0: Y -> Prop) (x: X * Y): Prop :=
  isx0 (fst  x) /\ isy0 (snd x).

(* transition fn for tabuada composition *)
Definition tabuada_trans {X Y: Type} {UX UY: Type}
    (connect: X*Y*UX*UY->Prop) (transx: X -> UX -> X -> Prop) (transy: Y -> UY -> Y -> Prop)
        (s: X*Y) (u: UX*UY) (s': X*Y): Prop :=
  transx (fst s) (fst u) (fst s') /\
  transy (snd s) (snd u) (snd s') /\
  (connect s u).
```
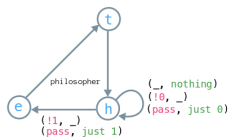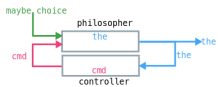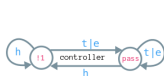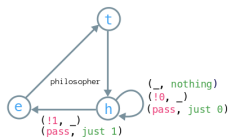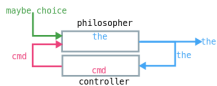
One diner system

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```coq
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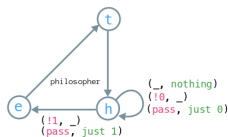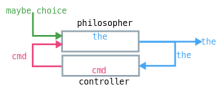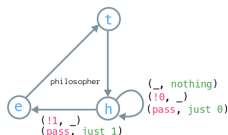# One diner system


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(* Philosopher spec *)
Definition isthinking (s: the): Prop := s = t.
Inductive cmd := cmd_pass | cmd_bang0 | cmd_bang1.
Definition trans37fnDEPR (s: the) (u: cmd * maybe choice): the :=
  match u with
  | (_, nothing _) => s (* this looks fishy! This is order-sensitive *)
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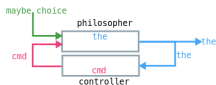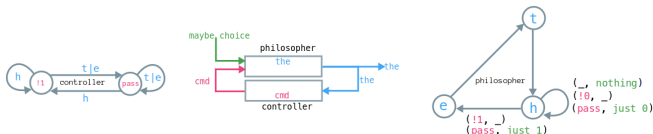
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(* Controller spec *)
Definition ispass (c: cmd):Prop := c = cmd_pass.
Definition trans34fn (s: the) (u: the): cmd :=
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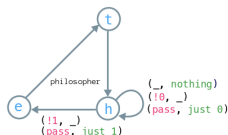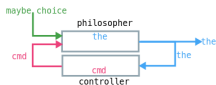
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  match u with | h => cmd_bang1 | e => cmd_pass | t => cmd_pass end.

(* Connection Spec *)
Definition connect38 (xy: the * cmd)(ux_uy: cmd * (maybe choice) * the): Prop :=
  (fst xy) = (snd ux_uy) /\ (snd xy = fst (fst ux_uy)).
```
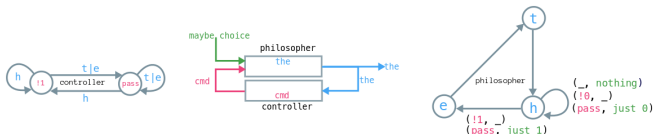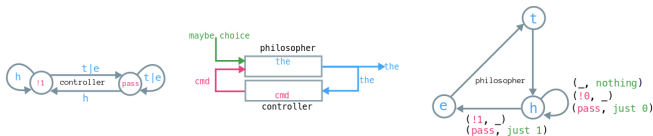
The theorem statement

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Pain point: Non determinism

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(* Works; computational *)
Lemma x1_to_y1_fn: x2y_fn X1 = Y1. Proof. reflexivity. Qed.
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Definition x2y_rel (x: X) (y: Y): Prop :=
  (x = X1 /\ y = Y1) \/ (x = X2 /\ y = Y2) \/  (x = X3 /\ y = Y3).
Lemma x1_to_y2_rel: x2y_rel X1 Y1.
Proof.
  try reflexivity. (* Does not work! Not computational *)
  unfold x2y_rel. left. auto.
Qed.
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`ValidTrace` $\mapsto$ `system38` $\mapsto$ `tabuada` $\mapsto$ `phil37` $\mapsto$ `trans37` $\mapsto$ `trans32`

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$$\text{ValidTrace} \mapsto \text{system38} \mapsto \text{tabuada} \mapsto \text{phil37} \mapsto \text{trans37} \mapsto \text{trans32}$$

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1    Lemma system38_s_the_to_t_the:
2      forall (n: nat) (ss: nat -> the * cmd) (ts: nat -> cmd * maybe choice * the)
3             (TRACE: ValidTrace system38 ss ts (S n)), snd (ts n) = fst (ss n).
4    Proof.
5      intros.
6      inversion TRACE as [TRACE1 | npred TRACE1 AT1]. subst. (* 1 *)
7      inversion AT1 as [AT11 [AT12 AT13]]. (* 2 *)
8      set (s1 := ss 1) in *.
9      destruct s1 as [s1_the s1_cmd].
10     set (t1 := ts 1) in *.
11     destruct t1 as [[t1_cmd t1_mchoice] t1_the]. simpl in *.
12     inversion AT13; simpl in *. (* 3;  useful info *)
13     auto.
14   Qed.
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Pain point: Modularity

ValidTrace ↦ system38 ↦ tabuada ↦ phil37 ↦ trans37 ↦ trans32

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```

```
n : nat
ss : nat -> the * cmd
ts : nat -> cmd * maybe choice * the
TRACE : ValidTrace system38 ss ts (S n)
TRACE1 : ValidTrace system38 ss ts n
AT1 : tabuada_trans connect38
      (fun (s : the) (u : cmd * maybe choice) (s' : the) => trans37fn s u = s')
      (fun (s : cmd) (u : the) (s' : cmd) => trans34fn s u = s') (ss n)
      (ts n) (ss (S n))
AT11 : trans37fn (fst (ss n)) (fst (ts n)) = fst (ss (S n))
AT12 : trans34fn (snd (ss n)) (snd (ts n)) = snd (ss (S n))
AT13 : connect38 (ss n) (ts n)
s1_the : the
s1_cmd, t1_cmd : cmd
t1_mchoice : maybe choice
t1_the : the
H : fst (ss n) = snd (ts n)
H0 : snd (ss n) = fst (ts n))
===========================
snd (ts n) = fst (ss n)
```

What about tactics?!

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Tactics work for LHS $=$ RHS:

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Tactics work for LHS = RHS:

t	$b_\perp$	desired	a	c
0	$\perp$	t	t	pass
1	1	t	t	pass
2	$\perp$	h	h	pass
3	0	h	h	!1
4	$\perp$	e	e	!1
5	0	e	e	pass
6	$\perp$	e	e	pass
7	0	e	e	pass
8	$\perp$	e	e	pass
9	1	e	e	pass
10	$\perp$	t	t	pass

```
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  match n with
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  | 5 => (e, cmd_pass)
  | 6 => (e, cmd_pass)
  | 7 => (e, cmd_pass)
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(* Verify table 3 *)
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  | 3 => (cmd_bang1, just _ choice_0, h)
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```
Example valid_trace_table3_step10: ValidTrace system38 states_table_3 trans_table_3 10.
Proof. repeat (try constructor; simpl; try apply valid_trace_system35_step1; try apply tabuada_start). Qed.
```

What about tactics?!

Tactics work for LHS = RHS:

t	$b_\perp$	desired	a	c
0	$\perp$	t	t	pass
1	1	t	t	pass
2	$\perp$	h	h	pass
3	0	h	h	!1
4	$\perp$	e	e	!1
5	0	e	e	pass
6	$\perp$	e	e	pass
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... Not to **derive a proof**:

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5	0	e	e	pass
6	$\perp$	e	e	pass
7	0	e	e	pass
8	$\perp$	e	e	pass
9	1	e	e	pass
10	$\perp$	t	t	pass

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```

Example valid_trace_table3_step10: ValidTrace system38 states_table_3 trans_table_3 10.
Proof. repeat (try constructor; simpl; try apply valid_trace_system35_step1; try apply tabuada_start). Qed.

... Not to **derive a proof**:

```
Lemma system38_s_the_to_t_the_tactics:
  forall (n: nat)
         (ss: nat -> the * cmd)
         (ts: nat -> cmd * maybe choice * the)
         (TRACE: ValidTrace system38 ss ts (S n)),
  snd (ts n) = fst (ss n).
Proof.
  intros.
  repeat (try constructor;
    simpl;
    try apply valid_trace_system35_step1;
    try apply tabuada_start).
Abort.
```

```
Lemma system38_s_the_to_t_the:
  forall (n: nat)
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         (TRACE: ValidTrace system38 ss ts (S n)),
  snd (ts n) = fst (ss n).
Proof.
  intros.
  inversion TRACE as [TRACE1 | npred TRACE1 AT1]. subst. (* 1 *)
  inversion AT1 as [AT11 [AT12 AT13]]. (* 2 *)
  set (s1 := ss 1) in *.
  destruct s1 as [s1_the s1_cmd].
  set (t1 := ts 1) in *.
  destruct t1 as [[t1_cmd t1_mchoice] t1_the]. simpl in *.
  inversion AT13; simpl in *. (* 3; useful info *)
  auto.
Qed.
```

Hand-write Lemmas to drive reasoning

```
(* Helper: reason about even/odd *)
Lemma even_n_odd_Sn: forall (n: nat),
  (even n = true) <-> (odd (S n) = true).
(* Helper: reason about even/odd *)
Lemma odd_n_even_Sn: forall (n: nat),
  (odd n = true) <-> (even (S n) = true).
(* Rewrite ts in terms of ss *)
Lemma s_cmd_to_t_cmd:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE: ValidTrace system38 ss ts (S n)),
    fst (fst (ts n)) = snd(ss n).
(* Rewrite ss in terms of ts *)
Lemma s_the_to_t_the:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE: ValidTrace system38 ss ts (S n)),
    snd (ts n) = fst (ss n).
(* 'h' leads to '!1' command next. *)
Lemma phil_hungry_then_next_controller_bang1:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SN: ValidTrace system38 ss ts (S n))
    (HUNGRY: fst (ss n) = h),
    snd (ss (S n)) = cmd_bang1.
```

```
(* not 'h' in leads to pass next *)
Lemma phil_not_hungry_then_next_controller_pass:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SN: ValidTrace system38 ss ts (S n))
    (NOTHUNGRY: fst (ss n) <> h),
    snd (ss (S n)) = cmd_pass.
(* Phil. state in the next-odd-state = cur-state *)
Lemma phil_even_state_next_state:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SN: ValidTrace system38 ss ts ((S n)))
    (BOTTOM_EVEN: ...)
    (NEVEN: even n = true),
    fst (ss (S n)) = fst (ss n).
(* Phil. state in the cur-odd-state = prev-state *)
Lemma phil_odd_state_prev_state:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SN: ValidTrace system38 ss ts ((S n)))
    (BOTTOM_EVEN: ...)
    (SNODD: odd (S n) = true),
    fst (ss (S n)) = fst (ss n).
(* state after odd cycle has taken transition *)
Lemma odd_state_next_phil_state:
  forall (n: nat) (ss: nat -> the * cmd)
    (ts: nat -> cmd * maybe choice * the)
    (TRACE_SN: ValidTrace system38 ss ts ((S n)))
    (BOTTOM_EVEN: ...)
    (SNODD: odd n = true),
    fst (ss (S n)) = trans37fn (fst (ss n)) (fst (ts n)).
```

Takeaways

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- Coq is no silver bullet.
- Non-determinism $+$ Modularity $\implies$ Coq's metatheory is useless.
- N-diner will be *extremely* painful, is my estimate.

Thank you

All code available at:

https://github.com/bollu/IIIT-H-code/tree/master/softwarefoundations/project

Proof structure: By-hand

t	$b_\perp$	desired	a	c
0	$\perp$	t	t	pass
1	1	t	t	pass
2	$\perp$	h	h	pass
3	0	h	h	!1
4	$\perp$	e	e	!1
5	0	e	e	pass
6	$\perp$	e	e	pass
7	0	e	e	pass
8	$\perp$	e	e	pass
9	1	e	e	pass
10	$\perp$	t	t	pass

```
PAST EVEN: n    (s| phil: h);
 |   [phil sends pass]
CURRENT ODD: n + 1  (s| phil: h, cont: !1); [cont receives h]
 | [cont sends !1]
NEXT EVEN: n + 2 (s| phil: e)
 |   [phil sends pass]
NEXT NEXT ODD: n + 3 (s| phil: e)
```

Proof structure: Coq

```
1    Lemma system38_polled_if_hungry_odd_state_then_eat:
2      forall (n: nat)
3              (NODD: odd (S n) = true)
4              (ss: nat -> the * cmd)
5              (ts: nat -> cmd * maybe choice * the)
6              (TRACE_SSSN: ValidTrace system38 ss ts (S (S (S n))))
7              (BOTTOM_EVEN: forall (i: nat) (IEVEN: even i = true), snd (fst (ts i)) = nothing choice)
8              (NOT_BOTTOM_ODD: forall (i: nat) (IODD: odd i = true), snd(fst (ts i)) <> nothing choice)
9              (HUNGRY: fst (ss (S n)) = h),
10        fst (ss (S (S (S n)))) = e.
11    Proof.
12      intros.
13      assert (PREV_STATE_H: fst (ss n) = h). ... (* prev state same as cur state *)
14      assert (CUR_CMD_BANG1: snd (ss (S n)) = cmd_bang1).
15      eapply system38_phil_hungry_then_next_controller_bang1; ...
16
17      assert (NEXT_STATE: fst (ss (S (S n))) = trans37fn  (fst (ss (S n))) (fst (ts (S n)))).
18      eapply system38_odd_state_next_phil_state; ...
19
20      assert (NEXT_NEXT_STATE_EQ_NEXT_STATE: fst (ss (S (S (S n)))) = fst (ss (S (S n))) ).
21      eapply system38_phil_even_state_next_state; ...
22
23      assert (CHOICE_NEXT: snd (fst (ts (S n))) <> nothing choice). ...
24
25      rewrite NEXT_NEXT_STATE_EQ_NEXT_STATE.
26      rewrite NEXT_STATE.
27      rewrite CUR_CMD_BANG1.
28      rewrite HUNGRY.
29      (* prove what the value of the transition will be using case analysis. *)
30      destruct (snd (fst (ts (S n)))); auto; try contradiction.
31      inversion TRACE_SSSN; auto.
32    Qed.
```

Phrasing of the Tabuada connection

Phrased as:

$$\mathcal{I} \subseteq S_X \times S_Y \times U_X \times U_Y$$

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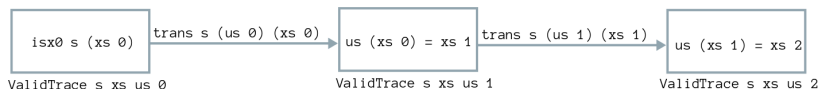$$\mathcal{I} \subseteq S_X \times S_Y \times U_X \times U_Y$$

This definition has no sense of the *causality* inherent to the notion of `ValidTrace`.
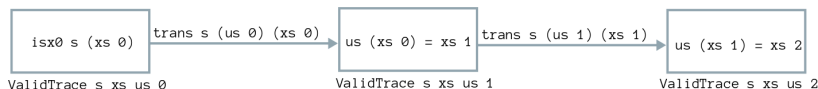
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Better definition:

$$\mathcal{I} : S_X \times S_Y \to 2^{U_X \times U_Y}$$