

Statement of Contributions: This report was written solely by me with minor editorial suggestions from my advisor. The vast majority of the research was solely conducted by myself. My advisor and a senior graduate student served as mentors offering suggestions and advice.

Status: The reader's patience is appreciated in light of the limited exposition and rough type-setting, especially toward the end of the paper. This is partially a consequence of the fact that I still have work to do on this result. Specifically, I do not cover models of our logic at all, nor do I mention any formalization work I've done in Iris. We have tried to make **FPL** support a broader class of models by avoiding adding certain logic features like an Iris style later modality or update modality. However, recent work on the logic translation suggests we may need to add such features to **FPL**.

Fresh Parametricity

ERIC BOND, University of Michigan, USA

MAX NEW, University of Michigan, USA

Prior works combining parametric polymorphism with language features relying on intensional type analysis fail to provide satisfying arguments demonstrating that data abstraction and information hiding properties are preserved. These arguments typically conflate the preservation of parametricity with the preservation of contextual equivalence, and lack a formal account of what parametric reasoning amounts to. In contrast, we emphasize that parametricity is first and foremost a logical principle, and claims about its preservation can be stated logically. To this end, establish a parametricity preserving translation into a language with intensional type analysis by defining two logics for proving parametricity theorems and demonstrating that the translation preserves logical equivalence.

CCS Concepts: • **Do Not Use This Code** → **Generate the Correct Terms for Your Paper**; *Generate the Correct Terms for Your Paper*; Generate the Correct Terms for Your Paper; Generate the Correct Terms for Your Paper.

Additional Key Words and Phrases: Do, Not, Us, This, Code, Put, the, Correct, Terms, for, Your, Paper

ACM Reference Format:

Eric Bond and Max New. 2018. Fresh Parametricity. In *Proceedings of Make sure to enter the correct conference title from your rights confirmation email (Conference acronym 'XX)*. ACM, New York, NY, USA, 20 pages. <https://doi.org/XXXXXXX.XXXXXXX>

1 INTRODUCTION

Languages with parametric polymorphism ensure that functions and data structures operate uniformly across all types. By abstracting over type variables, such languages ensure that program behavior does not depend on specific type instantiations, thereby supporting modularity and informal reasoning about correctness[18]. This uniformity is captured formally by the principle of parametricity[16][7] which enforces strong forms of *information hiding* and *data abstraction*[9]. As an example, in a pure polymorphic language without effects, the existential type $\exists X.(X \times (X \rightarrow X) \times (X \rightarrow \mathbb{B}))$ can be seen as an interface for a data structure which has an initial state, a transition function, and a boolean readout. Via parametricity, we can reason that implementations $\text{pack}(\mathbb{B}, (\text{false}, \text{not}, \text{id}))$ and $\text{pack}(\mathbb{N}, (0, +1, \text{even}))$ can be swapped out without effecting the functional correctness of any program using this interface. Similarly, we can reason that any function of term $t : \forall X.X \rightarrow \mathbb{B}$ must be a constant function as parametricity guarantees that t cannot inspect values of type X .

Authors' Contact Information: Eric Bond, bonderic@umich.edu, University of Michigan, Ann Arbor, USA; Max New, University of Michigan, Ann Arbor, USA.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

Conference acronym 'XX, June 03–05, 2018, Woodstock, NY

© 2018 Copyright held by the owner/author(s). Publication rights licensed to ACM.

ACM ISBN 978-1-4503-XXXX-X/2018/06

<https://doi.org/XXXXXXX.XXXXXXX>

1.1 Parametricity vs Intensional Type Analysis

While parametricity is a desirable property, it is in conflict with certain language features that use *intensional type analysis* [6]. By Intensional type analysis, we mean the ability to inspect the run time representation of a type and dispatch based on the result. For example, gradually typed languages implement this capability via runtime casting[14].

1.1.1 Gradual Typing. Gradual typing allows programmers to gradually migrate from dynamically typed code to statically typed code by including a type $?$ representing a dynamically checked term. The interface between dynamically typed code and statically typed code is handled by casting. A value of any type A can be up-cast $\uparrow_A^?$ to the dynamic type. Downcasting $\downarrow_A^?$ from the dynamic type can introduce errors if the value stored in $?$ is not of the requested type. Naively adding $?$, up casting, and down casting to a parametrically polymorphic language breaks parametricity. Consider a polymorphic gradually typed language which can error and diverge.

$$t : \forall X. X \rightarrow \mathbb{B} := (\lambda X. \lambda(x : X). \downarrow_{\mathbb{B}}^? \uparrow_X^? (x))$$

If we assume that t behaved uniformly for all possible type instantiations, then t should either error, diverge, or return a boolean that is not dependent on the argument of type X . But this is not the case when instantiated at type \mathbb{B} , t will return the given boolean value $t[\mathbb{B}](b : \mathbb{B}) \rightsquigarrow^* b$ for any other type A it will error $t[A](x : A) \rightsquigarrow^* \perp$. Therefore t does not behave uniformly for all types. The issue here is that casting inspects the type tag used in the values stored in $?$, a case of intensional type analysis.

1.2 Preserving Parametricity

The difficulties of combining parametric polymorphism and language features relying on type analysis are well known[13][14][17][1]. Solutions that preserve parametricity usually involve a form of *dynamic sealing* or generation of *fresh type tags*. Reason being, the ability to inspect a type and dispatch based on the result is in direct conflict with the information hiding principle provided in a language with parametric polymorphism. By hiding information about the runtime representation of a type, we can restore the property that polymorphic types behave uniformly across all type instantiations.

While such techniques have informally demonstrated they can preserve the expected information hiding properties, they do so using a non-standard logical relation. Specifically, the approach used by Neis[13], Ahmed et al[1], and New[14] invoke a *Type-World* logical relation where the freshly allocated type variables/tags, α , are associated with concrete types, (A, A') , and a relation, $R : Rel[A, A']$, on those types. This mapping, $\alpha \mapsto (A, A', R)$, of dynamically allocated type variable to concrete types and a relation are threaded through the logical relation via a Kripke world. A question remains, what is the relative strength of this non-standard formulation compared to traditional definitions? In particular, does the *type-world* formulation allow us to prove the *free-theorems* and *data-abstraction* properties we should expect?

1.3 Failure of Full Abstraction

This was partially answered in the affirmative by Nies et al[13]. The argument proceeds by introducing two languages: An effectful variant of CBV System F, and System G, an extension of the former language with type casts and fresh type name allocation backed by the non-standard, type world, logical relation. To compare the languages, they provide a type preserving embedding, $\llbracket _ \rrbracket$, from System F to System G.

$$\vdash_F V : A \implies \vdash_G \llbracket V \rrbracket : \llbracket A \rrbracket$$

The embedding, $\llbracket \vdash_F V : A \rrbracket = \mathcal{W}_A \circ \llbracket \vdash_F V : A \rrbracket^L$, is decomposed into a lifting, $\llbracket _ \rrbracket^L$, and a type directed wrapping function \mathcal{W}_A . The lifting preserves syntactic equality of terms and types, so $V \equiv \llbracket V \rrbracket^L$ and $A \equiv \llbracket A \rrbracket^L$. The wrapping function is a deep η expansion which strategically inserts fresh type allocation in the elimination forms of the universal and existential types. The purpose of the wrapping is to ensure that lifted polymorphic terms of System F are simultaneously safe from non-parametric uses and forced to behave parametrically in the presence of System G's type cast operation. More details can be found in Section 3.3.

CONJECTURE. **False:** *Full Abstraction of $\llbracket _ \rrbracket$*

$$\forall V_1, V_2. V_1 \cong_F V_2 \iff \llbracket V_1 \rrbracket \cong_G \llbracket V_2 \rrbracket$$

To demonstrate the relative strengths of the different notions of parametricity, they conjecture that the embeddings is *fully-abstract*, preserving and reflecting all contextual equivalences. However, this conjecture was later disproven [3] [4]. In particular, it was shown that contextual equivalence is not preserved by the translation. Specifically, the type $Univ := \exists Y. \forall X. (X \rightarrow Y) \times (Y \rightarrow X)$ must be *degenerate* in effectful System F, but there are non-degenerate inhabitants of this type in System G.

1.4 Our Contributions

While the negative result of Devriese et al. [4] might suggest that prior approaches to combining parametricity with type-analysis features are inadequate, we argue that this is the *wrong conclusion to draw*. A counterexample to the preservation of contextual equivalence does not imply failure of data abstraction or information hiding in general. Moreover, it is important not to conflate the preservation of parametricity with the preservation of contextual equivalence.

We are also critical of prior works that attempt to preserve parametricity, due to their lack of rigor. These approaches typically construct a particular logical relation, demonstrate soundness with respect to contextual equivalence, and then derive specific free theorems. In contrast, we propose a more principled methodology that establishes parametricity preservation within a well-defined logical framework. The goal of this effort is to support the claims of made by prior works on combining parametricity and type analysis in a more formal setting.

We demonstrate that, while the transformation does not preserve contextual equivalence, it preserves a weaker notion we call *Logical Equivalence*. By Logical Equivalence, we mean to say that any results proven in a *Parametricity Logic* [15][2][11] for effectful System F can be transferred to a parametricity logic backed by the type-world logical relation. By limiting our reasoning principles to a well-defined parametricity logic, we rule out metatheoretical reasoning which was used in prior work [4] to demonstrate that the type $Univ$ is degenerate. We demonstrate that the lack of metatheoretical reasoning principles does not preclude our logic from proving useful properties about data abstraction and representation independence.

THEOREM. *Preservation of Logical Equivalence (simplified):*

$$\Gamma \vdash_{PL} M = N \implies \llbracket \Gamma \rrbracket \vdash_{FPL} \llbracket M \rrbracket = \llbracket N \rrbracket$$

1.5 Overview

To demonstrate our preservation of logical equivalence:

- We first define $F_{\mu, \bar{U}}^{\forall \rightarrow}$, an effectful CBV variant of System F in Section 2.1 for which we want our theorem to apply.
- We then embed (Section 3.1) $F_{\mu, \bar{U}}^{\forall \rightarrow}$ into two different CBPV languages (Section 2.2), one with fresh type tag generation and one without.

- The CBPV languages are used as the term languages for our parametricity logics **Parametricity Logic(PL)** and **Fresh Parametricity Logic(FPL)** which we define in Section 4
- We define a proof preserving translation (Section 3.2, Section 5.2) between **PL** and **FPL**, demonstrating in Section 5 that the translation preserves our axiomatic definition of parametricity and logical equivalence.

2 LANGUAGES

To study parametric reasoning in the presence of type analysis, we consider three languages with distinct roles. The source language, $F_{\mu, \bar{U}}^{\forall \rightarrow}$, is a polymorphic call-by-value calculus for which we will establish parametricity theorems. We introduce two call-by-push-value term languages, which serve as the term languages for the parametricity logics **PL** and **FPL**. The key distinction is that **FPL** extends **PL** with fresh case generation, a feature central to our investigation.

2.1 Source Language

Our source language $F_{\mu, \bar{U}}^{\forall \rightarrow}$ is mostly a standard polymorphic, CBV language with general recursion and the ability to raise errors. One major difference being that we replace the universal quantification and function type with a polymorphic, multi-argument function type, similar to that of λ^K [12]. Notably, this type does not support partial application; a trade-off we accept for finer control over equational reasoning, as discussed in Section 3.1.

$$\begin{array}{c}
\frac{}{\Gamma, X \vdash X \text{ Type}} \quad \frac{}{\Gamma \vdash \mathbb{B} \text{ Type}} \quad \frac{}{\Gamma \vdash \mathbb{N} \text{ Type}} \quad \frac{\Gamma \vdash A \text{ Type} \quad \Gamma \vdash A' \text{ Type}}{\Gamma \vdash A \times A' \text{ Type}} \\
\\
\frac{\Gamma, \vec{X}_n \vdash A_i \text{ Type } \forall i \in \{m\} \quad \Gamma, \vec{X}_n \vdash A \text{ Type}}{\Gamma \vdash \forall[\vec{X}_n].(\vec{A}_m) \rightarrow A \text{ Type}}
\end{array}$$

Fig. 1. Type Formers: $F_{\mu, \bar{U}}^{\forall \rightarrow}$

$$\begin{array}{c}
\frac{}{\Gamma, x : A \vdash x : A} \quad \frac{}{\Gamma \vdash \text{true} : \mathbb{B}} \quad \frac{}{\Gamma \vdash \text{false} : \mathbb{B}} \quad \frac{\Gamma \vdash b : \mathbb{B} \quad \Gamma \vdash M : A \quad \Gamma \vdash N : A}{\Gamma \vdash \text{rec}_{\mathbb{B}} b \{M \mid N\} : A} \quad \frac{}{\Gamma \vdash z : \mathbb{N}} \\
\\
\frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash s \ n : \mathbb{N}} \quad \frac{\Gamma \vdash n : \mathbb{N} \quad \Gamma \vdash M : A \quad \Gamma, x : \mathbb{N} \vdash N : A}{\Gamma \vdash \text{rec}_{\mathbb{N}} n \{M \mid x. N\} : A} \\
\\
\frac{\Gamma, \vec{X}_n \vdash A_i \text{ Type } \forall i \in \{m\} \quad \Gamma, \vec{X}_n \vdash A' \text{ Type} \quad \Gamma, \vec{X}_n, x_1 : A_1, \dots, x_m : A_m \vdash M : A'}{\Gamma \vdash \Lambda[\vec{X}_n](x_1 : A_1, \dots, x_m : A_m).M : \forall[\vec{X}_n].(\vec{A}_m) \rightarrow A'} \\
\\
\frac{\Gamma \vdash A_i \text{ Type } \forall i \in \{n\} \quad \Gamma \vdash M : \forall[\vec{X}_n].(\vec{A}_m) \rightarrow A' \quad \Gamma \vdash N_i : B_i[\vec{A}/\vec{X}] \forall i \in \{m\}}{\Gamma \vdash M[\vec{A}_n](N_1 : B_1, \dots, N_m : B_m) : A'} \\
\\
\frac{\Gamma \vdash M : A \quad \Gamma \vdash N : A}{\Gamma \vdash (M, N) : A \times A} \quad \frac{\Gamma \vdash M : A \times A' \quad \Gamma, x : A, y : A' \vdash N : A}{\Gamma \vdash \text{rec}_{\times} M \{x, y. N\} : A''} \quad \frac{}{\Gamma \vdash \bar{U}_A : A} \quad \frac{\Gamma, x : A \vdash V : A'}{\Gamma \vdash \mu x. V : A'}
\end{array}$$

Fig. 2. Typing Rules: $F_{\mu, \bar{U}}^{\forall \rightarrow}$

2.2 PL & FPL Term Languages

Here we introduce the term languages for **PL** and **FPL**. These are based on a polymorphic CBPV calculus with an error effect and general recursion. We include an observation type, *Obs*, with no elimination form. Two notable difference are the inclusion of an extensible sum type *OSum* and absence of the computation type *FA*.

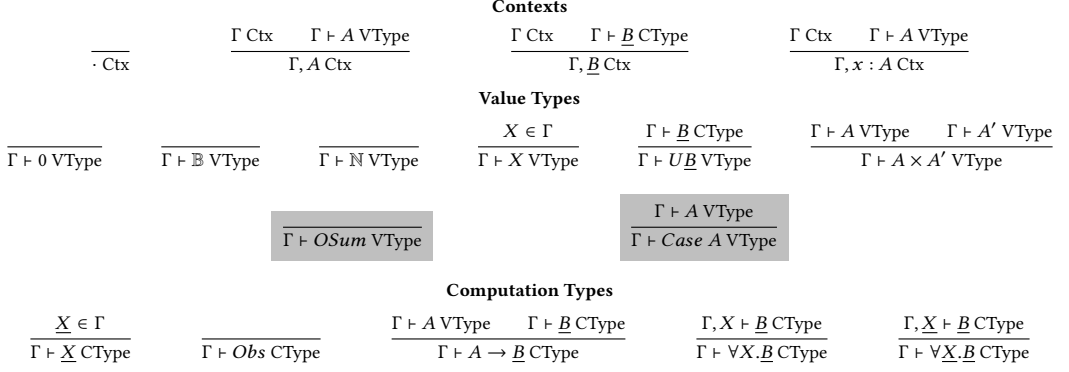


Fig. 3. Contexts, Value Types, and Computation Types: **PL** & **FPL**

2.2.1 Extensible Sum Type. In order to study parametricity in the presence of type analysis, we include an extensible sum type, *OSum*, along with the type *Case A* as a proxy for the dynamic type and first class representaiton of type tags. Thus, instead of including a type case operation ala System G[13], we adopt a form of type analysis used in gradual typing where type tags of the dynamic type can be inspected. Following prior work on combining gradual typing and parametricity [14], we reinterpret the universal type $\forall X. \underline{B}$ as the *fresh universal type* $\forall X. \text{Case } X \rightarrow \underline{B}$ in our type translation (Fig. 10) between **PL** and **FPL**.

$$\sigma \leftarrow \text{new}_{\mathbb{B}}; \text{ret } \text{inj}_{\sigma}(\text{true}) : F(\text{OSum})$$

In order to inject a value $V : A$ into *OSum*, we must first have a case symbol $\sigma : \text{Case } A$ for type *A*, which can be dynamically allocated using new_A . To eliminate $V : \text{OSum}$, we compare a given $\sigma : \text{Case } A$ to the case symbol which was used to construct the value *V*. When the cases match, we have a continuation, $x. M$, which receives the value which was stored in the sum. Otherwise, we proceed to a default computation *N*.

2.2.2 Church Encoded F. Following prior work on parametricity logics with effects[11], our calculi do not contain a first class computation type *FA*. Instead, *FA* is church encoded so that its relational interpretation is inherited from its component types. Unlike typical church encoded data, *FA* uses universal quantification over computation types rather than value types. Relational interpretation of types can be found in Fig. 17.

$$\begin{aligned} FA &:= \forall \underline{X}. U(A \rightarrow \underline{X}) \rightarrow \underline{X} \\ \text{ret } V : FA &:= \Lambda \underline{X}. \lambda k : U(A \rightarrow \underline{X}). (\text{force } k) V \\ (x \leftarrow M; N) : \underline{B} &:= M[\underline{B}] (\text{thunk}(\lambda x : A. N)) \end{aligned}$$

Fig. 4. Church Encoding of *F*

$$\begin{array}{c}
\overline{\Gamma, x : A \vdash x : A} \quad \overline{\Gamma \mid x : \underline{B} \vdash x : \underline{B}} \quad \overline{\Gamma \vdash \text{absurd} : 0 \rightarrow \underline{B}} \quad \overline{\Gamma \vdash \text{true} : \mathbb{B}} \quad \overline{\Gamma \vdash \text{false} : \mathbb{B}} \\
\\
\frac{\Gamma \vdash b : \mathbb{B} \quad \Gamma \mid \cdot \vdash M : \underline{B} \quad \Gamma \mid \cdot \vdash N : \underline{B}}{\Gamma \mid \cdot \vdash \text{rec}_{\mathbb{B}} b\{M|N\} : \underline{B}} \quad \overline{\Gamma \vdash z : \mathbb{N}} \quad \overline{\Gamma \vdash s\ n : \mathbb{N}} \\
\\
\frac{\Gamma \vdash n : \mathbb{N} \quad \Gamma \mid \cdot \vdash M : \underline{B} \quad \Gamma, N : \underline{UB} \mid \cdot \vdash N' : \underline{B}}{\Gamma \mid \cdot \vdash \text{rec}_{\mathbb{N}} n\{M|N'\} : \underline{B}} \quad \frac{\Gamma \mid \cdot \vdash M : \underline{B}}{\Gamma \vdash \text{thunk } M : \underline{UB}} \quad \frac{\Gamma \vdash V : \underline{UB}}{\Gamma \mid \cdot \vdash \text{force } V : \underline{B}} \\
\\
\frac{\Gamma \vdash V : A \quad \Gamma \vdash W : A'}{\Gamma \vdash (V, W) : A \times A'} \quad \frac{\Gamma \vdash V : A \times A' \quad \Gamma, x : A, y : A' \mid \cdot \vdash M : \underline{B}}{\Gamma \mid \cdot \vdash \text{rec}_{\times} V\{x, y.M\} : \underline{B}} \quad \boxed{\Gamma \mid \cdot \vdash \text{new}_A : F(\text{Case } A)} \\
\\
\boxed{\frac{\Gamma \vdash \sigma : \text{Case } A \quad \Gamma \vdash V : A}{\Gamma \vdash \text{inj}_{\sigma} V : \text{OSum}}} \quad \boxed{\frac{\Gamma \vdash \sigma : \text{Case } A \quad \Gamma \vdash V : \text{OSum} \quad \Gamma, x : A \mid \cdot \vdash M : \underline{B} \quad \Gamma \mid \cdot \vdash N : \underline{B}}{\Gamma \mid \cdot \vdash \text{rec}_{\text{OSum}} \sigma, V\{x.M|N\} : \underline{B}}} \\
\\
\frac{\Gamma \vdash b : \mathbb{B}}{\Gamma \mid \cdot \vdash \text{hault } b : \text{Obs}} \quad \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \mid \cdot \vdash \text{hault } n : \text{Obs}} \quad \overline{\Gamma \mid \cdot \vdash \bar{0} : F0} \quad \overline{\Gamma \mid \cdot \vdash \text{fix} : \forall \underline{B}. U(\underline{UB} \rightarrow \underline{B}) \rightarrow \underline{B}} \\
\\
\frac{\Gamma, x : A \mid \cdot \vdash M : \underline{B}}{\Gamma \mid \cdot \vdash \lambda(x : A).M : A \rightarrow \underline{B}} \quad \frac{\Gamma \mid \Delta \vdash M : A \rightarrow \underline{B} \quad \Gamma \vdash V : A}{\Gamma \mid \Delta \vdash MV : \underline{B}} \quad \frac{\Gamma, X \mid \cdot \vdash M : \underline{B}}{\Gamma \mid \cdot \vdash \Lambda X.M : \forall X. \underline{B}} \quad \frac{\Gamma \mid \Delta \vdash M : \forall X. \underline{B}}{\Gamma \mid \Delta \vdash M[A] : \underline{B}[A/X]} \\
\\
\frac{\Gamma, \underline{X} \mid \cdot \vdash M : \underline{B}}{\Gamma \mid \cdot \vdash \Lambda \underline{X}.M : \forall \underline{X}. \underline{B}} \quad \frac{\Gamma \mid \Delta \vdash M : \forall \underline{X}. \underline{B}}{\Gamma \mid \Delta \vdash M[\underline{B}'] : \underline{B}[\underline{B}'/\underline{X}]}
\end{array}$$

Fig. 5. Typing Rules Fragment: PL & **FPL** Term Languages

2.2.3 Equational Theory. By embedding $F_{\mu, U}^{\forall \rightarrow}$ into a CBPV term language, we gain access to a rich equational theory (Fig. 6) which can be used by our parametricity logics. The reader may notice that, while we do not include F , ret and bind as primitives in the term language, we do list their equational rules. These rules are derivable *within the logic*, as demonstrated in prior work [10], using parametricity. An equation of critical importance to our result is *Drop*, stating: $M = \sigma \leftarrow \text{new}_X; M$. As we will see in later sections, preservation of parametricity in our setting requires inserting case allocations at each type application in our term language. The translation of proofs from PL to FPL relies heavily on removing unused allocations symbols. Additionally, PL and FPL support *complex values* which are used to simplify the wrapping (Section 3.3) transformation in the translation from PL to FPL.

3 TRANSLATIONS

In this section we cover the translations between the languages introduced in the prior section. We also introduce the concept of *wrapping* a term to ensure it behaves parametrically.

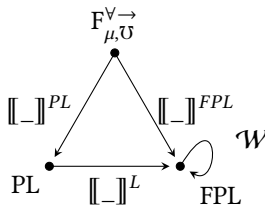


Fig. 7. Translations

β Laws	η Laws
$force(thunk\ M) = M$	$thunk(force\ V) = V$ where $V : UB$
$x \leftarrow ret\ V; M = M[V/x]$	$x \leftarrow M; ret\ x = M$ where $M : FA$
$rec_{\mathbb{B}}\ true\ \{M \mid N\} = M$	$rec_{\mathbb{B}}\ V\ \{M[true/x] \mid M[false/x]\} = M[V/x]$
$rec_{\mathbb{B}}\ false\ \{M \mid N\} = N$	$rec_{\times V}\ \{x, y. M[(x, y)/z]\} = M[V/z]$
$rec_{OSum}\ \sigma, (inj_{\sigma'}\ V)\ \{x.M \mid N\} = M[V/x]$ where $\sigma = \sigma'$	$\lambda(x : A).Mx = M$ where $M : A \rightarrow \underline{B}, x \notin fv(M)$
$rec_{OSum}\ \sigma, (inj_{\sigma'}\ V)\ \{x.M \mid N\} = N$ where $\sigma \neq \sigma'$	$\Lambda X.M[X] = M$ where $M : \forall X.\underline{B}, X \notin ftv(M)$
$rec_{\times}(V, W)\ \{x, y. M\} = M[V/x, W/y]$	$\Lambda \underline{X}.M[\underline{X}] = M$ where $M : \forall \underline{X}.\underline{B}, \underline{X} \notin ftv(M)$
$rec_{\mathbb{N}}\ z\ \{M \mid x.N\} = M$	
$rec_{\mathbb{N}}\ (s\ n)\ \{M \mid x.N\} = N[thunk(rec_{\mathbb{N}}\ n\ \{M \mid x.N\})/x]$	
$fix[\underline{B}](thunk(\lambda x : UB.M)) = M[thunk(fix[\underline{B}](thunk(\lambda x.M)))/x]$	
$(\lambda x.M)V = M[V/x]$	
$(\Lambda X.M)[A] = M[A/X]$	
$(\Lambda \underline{X}.M)[\underline{B}] = M[\underline{B}/\underline{X}]$	
	Sequencing Laws
	$y \leftarrow (x \leftarrow M; N); P = x \leftarrow M; y \leftarrow N; Px \notin fv(P)$
	$x \leftarrow M; (\lambda y.N) = \lambda y.(x \leftarrow M; N) y \notin fv(M)$
	Effect Laws
	$M = \sigma \leftarrow new_A; M$ $\sigma \notin fv(M)$

Fig. 6. Equational Theory Fragment: PL & FPL

3.1 Embedding $F_{\mu, \underline{U}}^{\forall \rightarrow}$ in PL & FPL

We embed $F_{\mu, \underline{U}}^{\forall \rightarrow}$ in PL using the standard CBV-to-CBPV translation[8] as a guide. One notable difference is the handling of our polymorphic, multi-argument function type. Rather than introducing intermediate thunks at every type and term abstraction, we only introduce one top level thunk. We take the translation $\llbracket _ \rrbracket^{FPL} := \llbracket _ \rrbracket^L \circ \llbracket _ \rrbracket^{PL}$ to be the composite of our embedding and the logic to logic translation.

$$\begin{aligned}
& \llbracket \Gamma \vdash X \rrbracket^{PL} = X \\
& \llbracket \Gamma \vdash \mathbb{B} \rrbracket^{PL} = \mathbb{B} \\
& \llbracket \Gamma \vdash \mathbb{N} \rrbracket^{PL} = \mathbb{N} \\
& \llbracket \Gamma \vdash A \times A' \rrbracket^{PL} = \llbracket \Gamma \vdash A \rrbracket^{PL} \times \llbracket \Gamma \vdash A' \rrbracket^{PL} \\
& \llbracket \Gamma \vdash \forall (\vec{X}_n).(\vec{A}_m) \rightarrow A' \rrbracket^{PL} = U \left(\forall X_1 \cdots X_n. (\llbracket \Gamma, \vec{X} \vdash A_1 \rrbracket^{PL}, \dots, \llbracket \Gamma, \vec{X} \vdash A_m \rrbracket^{PL}) \rightarrow F[\llbracket \Gamma, \vec{X} \vdash A' \rrbracket^{PL}] \right)
\end{aligned}$$

Fig. 8. Type Translation: $F_{\mu, \underline{U}}^{\forall \rightarrow}$ to PL

3.2 Term Language Translation: PL to FPL

The backbone of our proof translation is the term language translation (Fig. 10) between PL and FPL. At the core of this translation, as alluded to in Section 2.2.1, is interpretation of $\forall X.\underline{B}$ as *fresh universal quantification* $\forall X.Case\ X \rightarrow \underline{B}$. By freshness, we mean to enforce an invariant that all case symbols passed into a universal type are freshly allocated. To demonstrate how this invariant enforces parametric behavior in the presence of *OSum* and *Case*, consider the following:

$$\begin{aligned}
& eq_{\sigma_{\mathbb{B}}} : \forall X.Case\ X \rightarrow F\mathbb{B} \\
& \sigma_{\mathbb{B}} : Case\ \mathbb{B} \vdash eq_{\sigma_{\mathbb{B}}} := \Lambda X.\lambda \sigma_X : Case\ X.rec_{OSum}\ (inj_{\sigma_{\mathbb{B}}}\ true), \sigma_X\ \{x. ret\ true \mid ret\ false\}
\end{aligned}$$

The term $eq_{\sigma_{\mathbb{B}}}$ is a case equality test comparing any given $\sigma_X : Case\ X$ to a fixed $\sigma_{\mathbb{B}} : Case\ \mathbb{B}$. As any case symbol is only associated with one type, it is clear to see that $eq_{\sigma_{\mathbb{B}}}$ does not behave

$$\begin{aligned}
& \llbracket \Gamma \vdash x \rrbracket_c^{PL} = \text{ret } x \\
& \llbracket \Gamma \vdash \text{true} \rrbracket_c^{PL} = \text{ret true} \\
& \llbracket \Gamma \vdash \text{false} \rrbracket_c^{PL} = \text{ret false} \\
& \llbracket \Gamma \vdash \text{rec}_{\mathbb{B}} M \{N, N'\} \rrbracket_c^{PL} = b \leftarrow \llbracket \Gamma \vdash M \rrbracket_c^{PL}; \text{rec}_{\mathbb{B}} b \{ \llbracket \Gamma \vdash N \rrbracket_c^{PL} \mid \llbracket \Gamma \vdash N' \rrbracket_c^{PL} \} \\
& \llbracket \Gamma \vdash z \rrbracket_c^{PL} = \text{ret } z \\
& \llbracket \Gamma \vdash s M \rrbracket_c^{PL} = x \leftarrow \llbracket \Gamma \vdash M \rrbracket_c^{PL}; \text{ret}(s x) \\
& \llbracket \Gamma \vdash \text{rec}_{\mathbb{N}} M \{N \mid x : A. N'\} : A \rrbracket_c^{PL} = n \leftarrow \llbracket \Gamma \vdash M \rrbracket_c^{PL}; \\
& \quad \text{rec}_{\mathbb{N}} n \{ \llbracket \Gamma \vdash N \rrbracket_c^{PL} \mid r : U[\llbracket \Gamma \vdash A \rrbracket_c]. x \leftarrow \text{force } r; \llbracket \Gamma, x \vdash N' \rrbracket_c^{PL} \} \\
& \llbracket \Gamma \vdash \mathcal{U}_A : A \rrbracket_c^{PL} = \mathcal{U}_{FA} \\
& \llbracket \Gamma \vdash \mu x.M : A \rrbracket_c^{PL} = \text{fix}[FA](\text{thunk}(\lambda r : UF[\llbracket \Gamma \vdash A \rrbracket_c]. x \leftarrow \text{force } r; \llbracket \Gamma, x \vdash M \rrbracket_c^{PL})) \\
& \llbracket \Gamma \vdash (M, N) \rrbracket_c^{PL} = \\
& \quad x \leftarrow \llbracket \Gamma \vdash M \rrbracket_c^{PL}; \\
& \quad y \leftarrow \llbracket \Gamma \vdash N \rrbracket_c^{PL}; \\
& \quad \text{ret}(x, y) \\
& \llbracket \Gamma \vdash \text{rec}_{\times} M \{x, y. N\} \rrbracket_c^{PL} = m \leftarrow \llbracket \Gamma \vdash M \rrbracket_c^{PL}; \text{rec}_{\times} m \{x, y. \llbracket \Gamma, x, y \vdash N \rrbracket_c^{PL}\} \\
& \llbracket \Gamma \vdash \Lambda[\vec{X}_n](\vec{x}_m).M \rrbracket_c^{PL} = \text{ret}(\text{thunk}(\\
& \quad \Lambda X_1. \Lambda X_2. \dots \Lambda X_n. \\
& \quad \lambda(x : \llbracket \Gamma, \vec{X}_n \vdash A_1 \rrbracket) \dots \lambda(x_m : \llbracket \Gamma, \vec{X}_n \vdash A_m \rrbracket). \\
& \quad \llbracket \Gamma, \vec{X}_n, \vec{x}_m \vdash M \rrbracket_c^{PL})) \\
& \llbracket \Gamma \vdash M[\vec{A}_n](\vec{M}_m) \rrbracket_c^{PL} = \\
& \quad m \leftarrow \llbracket \Gamma \vdash M \rrbracket_c^{PL}; \\
& \quad m_1 \leftarrow \llbracket \Gamma \vdash M_1 \rrbracket_c^{PL}; \\
& \quad \dots \\
& \quad m_m \leftarrow \llbracket \Gamma \vdash M_m \rrbracket_c^{PL}; \\
& \quad (\text{force } m) \llbracket \Gamma \vdash A_1 \rrbracket_c^{PL} \dots \llbracket \Gamma \vdash A_n \rrbracket_c^{PL} (m_1) \dots (m_m)
\end{aligned}$$

Fig. 9. Term Translation: $\mathbf{F}_{\mu, \mathcal{U}}^{\forall \rightarrow}$ to PL

parametrically. Note that by ensuring $\text{eq}_{\sigma_{\mathbb{B}}}[\mathbb{B}]$ is only ever passed fresh cases, uniformity is restored.

$$\begin{aligned}
& \text{eq}_{\sigma_{\mathbb{B}}}[A](\sigma_A) \rightsquigarrow_{\beta} \text{ret false} \quad \text{where } A \neq \mathbb{B} \\
& \text{eq}_{\sigma_{\mathbb{B}}}[\mathbb{B}](\sigma_{\mathbb{B}}) \rightsquigarrow_{\beta} \text{ret true} \\
& \sigma'_{\mathbb{B}} \leftarrow \text{new}_{\mathbb{B}}; \text{eq}_{\sigma_{\mathbb{B}}}[\mathbb{B}](\sigma'_{\mathbb{B}}) \rightsquigarrow_{\beta} \text{ret false}
\end{aligned}$$

Via $\llbracket _ \rrbracket^L$, any type application in **PL** allocates a new case symbol, any type abstraction additionally abstracts over a case symbol, and any value type variable in the context has an associated case symbol. It is crucial to note that the case symbol introduced in the translation of type abstraction is not used in the body of the type lambda, a property we will use in our notion of *obliviousness*. Computation type abstraction and application, which are used to church encode F and are not modified. There is no need to do so as they are not within the codomain of $\llbracket _ \rrbracket^{PL}$. As the next section will demonstrate, $\llbracket _ \rrbracket^L$ alone is not sufficient to enforce the fresh case invariant.

Context:	Values:
$\llbracket \emptyset \rrbracket_{ctx}^L = \emptyset$	$\llbracket \Gamma \vdash x \rrbracket^L = x$
$\llbracket \Gamma, X \rrbracket_{ctx}^L = \llbracket \Gamma \rrbracket_{ctx}^L, X, \sigma_X : \text{Case } X$	$\llbracket \Gamma \vdash \text{true} \rrbracket^L = \text{true}$
$\llbracket \Gamma, \underline{X} \rrbracket_{ctx}^L = \llbracket \Gamma \rrbracket_{ctx}^L, \underline{X}$	$\llbracket \Gamma \vdash \text{false} \rrbracket^L = \text{false}$
$\llbracket \Gamma, x : A \rrbracket_{ctx}^L = \llbracket \Gamma \rrbracket_{ctx}^L, x : \llbracket \Gamma \vdash A \rrbracket_{ty}^L$	$\llbracket \Gamma \vdash z \rrbracket^L = z$
Types:	Computations:
$\llbracket \Gamma \vdash \mathbb{B} \rrbracket_{ty}^L = \mathbb{B}$	$\llbracket \Gamma \vdash sV \rrbracket^L = s \llbracket \Gamma \vdash V \rrbracket^L$
$\llbracket \Gamma \vdash \mathbb{N} \rrbracket_{ty}^L = \mathbb{N}$	$\llbracket \Gamma \vdash \text{thunk } M \rrbracket^L = \text{thunk } \llbracket \Gamma \vdash M \rrbracket^L$
$\llbracket \Gamma \vdash X \rrbracket_{ty}^L = X$	$\llbracket \Gamma \vdash (V, W) \rrbracket^L = (\llbracket \Gamma \vdash V \rrbracket^L, \llbracket \Gamma \vdash W \rrbracket^L)$
$\llbracket \Gamma \vdash UB \rrbracket_{ty}^L = U \llbracket \Gamma \vdash B \rrbracket_{ty}^L$	$\llbracket \Gamma \vdash \text{rec}_{\mathbb{B}} V \{M \mid N\} \rrbracket^L = \text{rec}_{\mathbb{B}} \llbracket \Gamma \vdash V \rrbracket^L \{ \llbracket \Gamma \vdash M \rrbracket^L \mid \llbracket \Gamma \vdash N \rrbracket^L \}$
$\llbracket \Gamma \vdash A \times A' \rrbracket_{ty}^L = \llbracket \Gamma \vdash A \rrbracket_{ty}^L \times \llbracket \Gamma \vdash A' \rrbracket_{ty}^L$	$\llbracket \Gamma \vdash \text{rec}_{\mathbb{N}} V \{M \mid x.N\} \rrbracket^L = \text{rec}_{\mathbb{N}} \llbracket \Gamma \vdash V \rrbracket^L \{ \llbracket \Gamma \vdash M \rrbracket^L \mid x. \llbracket \Gamma, x \vdash N \rrbracket^L \}$
$\llbracket \Gamma \vdash \underline{X} \rrbracket_{ty}^L = \underline{X}$	$\llbracket \Gamma \vdash \text{fix} \rrbracket^L = \text{fix}$
$\llbracket \Gamma \vdash \text{Obs} \rrbracket_{ty}^L = \text{Obs}$	$\llbracket \Gamma \vdash \text{force } V \rrbracket^L = \text{force } \llbracket \Gamma \vdash V \rrbracket^L$
$\llbracket \Gamma \vdash A \rightarrow B \rrbracket_{ty}^L = \llbracket \Gamma \vdash A \rrbracket_{ty}^L \rightarrow \llbracket \Gamma \vdash B \rrbracket_{ty}^L$	$\llbracket \Gamma \vdash \text{hault } V \rrbracket^L = \text{hault } \llbracket \Gamma \vdash V \rrbracket^L$
$\llbracket \Gamma \vdash \forall X. B \rrbracket_{ty}^L = \forall X. \text{Case } X \rightarrow \llbracket \Gamma, X \vdash B \rrbracket_{ty}^L$	$\llbracket \Gamma \vdash \lambda x : A. M \rrbracket^L = \lambda x : \llbracket \Gamma \vdash A \rrbracket^L. \llbracket \Gamma, x : A \vdash M \rrbracket^L$
$\llbracket \Gamma \vdash \forall \underline{X}. B \rrbracket_{ty}^L = \forall \underline{X}. \llbracket \Gamma, \underline{X} \vdash B \rrbracket_{ty}^L$	$\llbracket \Gamma \vdash MV \rrbracket^L = \llbracket \Gamma \vdash M \rrbracket^L \llbracket \Gamma \vdash V \rrbracket^L$
	$\llbracket \Gamma \vdash \Lambda X. M \rrbracket^L = \Lambda X. \lambda \sigma : \text{Case } X. \llbracket \Gamma, X \mid \Delta \vdash M \rrbracket^L$
	$\llbracket \Gamma \vdash M[A] \rrbracket^L = \sigma \leftarrow \text{new}_{\llbracket \Gamma \vdash A \rrbracket^L}; \llbracket \Gamma \mid \Delta \vdash M \rrbracket^L [\llbracket \Gamma \vdash A \rrbracket^L] (\sigma)$
	$\llbracket \Gamma \vdash \Lambda \underline{X}. M \rrbracket^L = \Lambda \underline{X}. \llbracket \Gamma, \underline{X} \vdash M \rrbracket^L$
	$\llbracket \Gamma \vdash M[B] \rrbracket^L = \llbracket \Gamma \vdash M \rrbracket^L [\llbracket \Gamma \vdash B \rrbracket^L]$
	$\llbracket \Gamma \vdash \text{rec}_X V \{x, y. M\} \rrbracket^L = \text{rec}_X \llbracket \Gamma \vdash V \rrbracket^L \{x, y. \llbracket \Gamma, x, y \vdash M \rrbracket^L\}$

Fig. 10. Translation of Contexts, Types, Values, and Computations: PLto FPL

3.3 Wrapping

The reader may notice that $eq_{\sigma_{\mathbb{B}}}$ will never be in the codomain of $\llbracket _ \rrbracket^{FPL}$ and question why we should be concerned about similarly misbehaved terms. To answer this, let us consider the interaction between the ambient *environment* and open terms in PL and FPL.

$$f : U(\forall X. F\mathbb{B}) \vdash_{PL} (\text{force } f) = \Lambda X. (\text{force } f)[X]$$

In PL, the equation above is simply proved using the η equality for type $\forall X. F\mathbb{B}$.

$$f : U(\forall X. \text{Case } X \rightarrow F\mathbb{B}) \vdash_{FPL} (\text{force } f) = \Lambda X. \lambda \sigma_X. \sigma \leftarrow \text{new}_X; (\text{force } f)[X] \sigma$$

However, in FPL, if we try to use $\eta\forall$, $\eta\lambda$, and congruence rules, we arrive at:

$$f : U(\forall X. \text{Case } X \rightarrow F\mathbb{B}), X, \sigma_X \vdash_{FPL} (\text{force } f)[X] \sigma_X = \sigma \leftarrow \text{new}_X; (\text{force } f)[X] \sigma$$

Note that variable f is not limited to ranging over terms in the codomain of $\llbracket _ \rrbracket^{FPL}$. If we consider the environment an adversary, it can provide values which do not behave parametrically. In this case, take $X \mapsto \mathbb{B}$, $\sigma_X \mapsto \sigma_{\mathbb{B}}$, $f \mapsto \text{thunk}(eq_{\sigma_{\mathbb{B}}})$ which, as we saw in Section 3.2, results in unequal terms. Generally, unguarded type applications, or failures of our fresh case invariant, can result in inequalities and broken proofs.

Neis et al.[13] solve this issue using a specialized deep η expansion, which they call *wrapping*, to surface and guard all type applications. We adapt a simplified version(Fig. 11) of their wrapping

strategy to preserve equalities in our proof translation. However, wrapping introduces complications in our proof translation as we demonstrate in Section 5.1.

$$\begin{array}{ll}
\mathcal{W}_X(t) := t & \mathcal{W}_{\underline{X}}(t) := t \\
\mathcal{W}_{\mathbb{B}}(t) := t & \mathcal{W}_{A \rightarrow \mathbb{B}}(t) := \lambda(x : A). \mathcal{W}_{\mathbb{B}}(t(\mathcal{W}_A(x))) \\
\mathcal{W}_{\mathbb{N}}(t) := t & \mathcal{W}_{\forall X. \mathbb{B}}(t) := \Lambda X. \lambda \sigma_X. \mathcal{W}_{\mathbb{B}}(\sigma'_X \leftarrow ne w_X; t[X](\sigma'_X)) \\
\mathcal{W}_{Obs}(t) := t & \mathcal{W}_{\forall \underline{X}. \mathbb{B}}(t) := \Lambda \underline{X}. \mathcal{W}_{\mathbb{B}}(t[\underline{X}]) \\
\mathcal{W}_{A \times A'}(t) := rec_{\times} t \{x, y. (\mathcal{W}_A(x), \mathcal{W}_{A'}(y))\} & \mathcal{W}_{\Gamma}(t) := t[\mathcal{W}_{A_1}(x_1)/x_1, \dots, \mathcal{W}_{A_n}(x_n)/x_n] \\
\mathcal{W}_{UB}(t) := thunk(\mathcal{W}_{\mathbb{B}}(force t)) & \text{where } x_1 : A_1, \dots, x_n : A_n \in \Gamma
\end{array}$$

Fig. 11. Wrapping

4 PARAMETRICITY LOGICS

A parametricity logic[15] provides a formal system for reasoning about the uniform behavior of polymorphic programs. Instead of relying on meta-theoretic arguments using logical relations, a parametricity logic internalizes the principles of relational reasoning. Recent works have extended the expressivity of parametricity logics to encompass general recursion[2] and algebraic effects[11]. Our formulation is closest to the work of Møgelberg et al. [11], with the key distinction that our term language is based on a call-by-push-value calculus rather than an enriched effect calculus[5].

4.1 Core PL and FPL

Both **PL** and **FPL** are based on a higher order logic, permitting quantification over predicates and relations (Fig. 13). The distinction between value and computation types is extended to our logics as well. Here we have separate classes of value and computation predicates and relations. Propositions (Fig. 14) and relations (Fig. 15) are judged to be well-formed with respect to a context, Γ , of types and terms and a context, Θ , of value and computation relations. Proof sequents are of the form $\Gamma; \Theta \vdash \phi$ where Φ is a context of well-formed propositions in context $\Gamma; \Theta$. A fragment of the derivation rules appear in Fig. 16.

In addition to the derivation rules, our logic provides a number of rules that are useful in proving parametricity theorems. First, many parametricity proofs boil down to creatively picking a relation. Frequently, these relations are based on the graph of some function. As an example, take the function $even : \mathbb{N} \rightarrow F\mathbb{B}$ used to establish a relation $\langle even \rangle : Rel_v[\mathbb{N}, \mathbb{B}]$ between \mathbb{N} and \mathbb{B} . This can be constructed using the graph rule.

$$\begin{array}{c}
\frac{\Gamma \mid \cdot \vdash f : A \rightarrow FA'}{\Gamma, x : A \mid \cdot \vdash f : FA'} \text{asm} \\
\frac{\Gamma, x : A \mid \cdot \vdash f : FA' \quad \Gamma, x : A \vdash x : A}{\Gamma, x : A \mid \cdot \vdash f(x) : FA'} \text{weaken} \\
\frac{\Gamma, \Theta \vdash (x : A, y : A'). f(x) =_{FA} ret \ y : Rel_v[A, A']}{\Gamma; \Theta \vdash \langle f \rangle : Rel_v[A, A']} \sim \frac{\Gamma \mid \cdot \vdash f : A \rightarrow FA' \quad \Gamma, y : A' \mid \cdot \vdash ret \ y : FA'}{\Gamma; \Theta \vdash \langle f \rangle : Rel_v[A, A']} \text{graph}\lambda_c
\end{array}$$

Fig. 12. Graph Rule

We provide induction principles for \mathbb{B} and \mathbb{N} which are useful in proving propositions such as $\forall n : \mathbb{N}, b : \mathbb{B}. \langle even \rangle(n, b) \implies \langle even \rangle(2 + n, b)$. As **PL** and **FPL** are both programming logics, we include the equational theories (Fig. 6) of the term languages, including congruence rules and derivable rules about function extensionality.

$$\begin{aligned}
\phi &:= \perp \mid V =_A W \mid M =_{\underline{B}} N \mid R(V, W) \mid \underline{R}(M, N) \mid \\
&\quad P(V) \mid \underline{P}(M) \mid \phi \wedge \psi \mid \phi \vee \psi \mid \phi \implies \psi \mid \exists \square. \phi \mid \forall \square. \phi \\
\square &\in \{x : A, X, \underline{X}, R : Rel_o[A, A'], \underline{R} : Rel_c[\underline{B}, \underline{B'}]\}
\end{aligned}$$

Fig. 13. Propositions

$$\begin{array}{c}
\frac{\Gamma \vdash V : A \quad \Gamma \vdash W : A}{\Gamma; \Theta \vdash V =_A W : Prop} \quad \frac{\Gamma \mid \vdash M : \underline{B} \quad \Gamma \mid \vdash N : \underline{B}}{\Gamma; \Theta \vdash M =_{\underline{B}} N : Prop} \quad \frac{\Gamma \vdash V : A \quad \Gamma \vdash W : A' \quad R : Rel_o[A, A'] \in \Theta}{\Gamma; \Theta \vdash R(V, W) : Prop} \\
\\
\frac{\Gamma \mid \vdash M : \underline{B} \quad \Gamma \mid \vdash N : \underline{B'} \quad \underline{R} : Rel_c[\underline{B}, \underline{B'}] \in \Theta}{\Gamma; \Theta \vdash \underline{R}(M, N) : Prop} \quad \frac{\Gamma; \Theta \vdash \phi : Prop \quad \Gamma; \Theta \vdash \psi : Prop}{\Gamma; \Theta \vdash \phi \square \psi} \quad (\square \in \{\wedge, \vee, \implies\}) \\
\\
\frac{\Gamma, x : A; \Theta \vdash \phi : Prop}{\Gamma; \Theta \vdash \forall(x : A). \phi : Prop} \quad \frac{\Gamma; \Theta \vdash \phi : Prop}{\Gamma; \Theta \vdash \forall X. \phi : Prop} \quad \frac{\Gamma; \Theta, R \vdash \phi : Prop}{\Gamma; \Theta \vdash \forall(R : Rel_o[A, B]). \phi : Prop}
\end{array}$$

Fig. 14. Proposition Formation Rules Fragment

$$\begin{array}{c}
\frac{\Gamma, x : A \mid \vdash V : C \quad \Gamma, y : A' \mid \vdash W : C}{\Gamma; \Theta \vdash (x : A, y : A'). V =_C W : Rel_o[A, A']} \quad \frac{\Gamma \mid x : \underline{B} \vdash M : \underline{C} \quad \Gamma \mid y : \underline{B'} \vdash N : \underline{C}}{\Gamma; \Theta \vdash (x : \underline{B}, y : \underline{B'}). M =_{\underline{C}} N : Rel_c[\underline{B}, \underline{B'}]} \\
\\
\frac{\Gamma; \Theta \vdash (x : A, y : C). \phi : Rel_{\leq}[A, C] \quad \Gamma; \Theta \vdash (x : A, y : C). \psi : Rel_{\leq}[A, C]}{\Gamma; \Theta \vdash (x : A, y : C). \phi \wedge \psi : Rel_{\leq}[A, C]} \\
\\
\frac{\Gamma; \Theta \vdash \phi : Prop \quad \Gamma; \Theta \vdash (x : A, y : C). \psi : Rel_{\leq}[A, C]}{\Gamma; \Theta \vdash (x : A, y : C). \phi \implies \psi : Rel_{\leq}[A, C]} \quad \frac{\Gamma, x : C; \Theta \vdash (x : A, y : B). \phi : Rel_{\leq}[A, B]}{\Gamma; \Theta \vdash (x : A, y : B). \forall x : C. \phi : Rel_{\leq}[A, B]} \\
\\
\frac{\Gamma; \Theta \vdash (x : A, y : B). \phi : Rel_{\leq}[A, B]}{\Gamma; \Theta \vdash (x : A, y : B). \forall X. \phi : Rel_{\leq}[A, B]} \quad \frac{\Gamma, \Theta, R : Rel_{\leq}[C, C'] \vdash (x : A, y : B). \phi : Rel_{\leq}[A, B]}{\Gamma; \Theta \vdash (x : A, y : B). \forall R : Rel_{\leq}[C, C']. \phi : Rel_{\leq}[A, B]}
\end{array}$$

Fig. 15. Relation Formation Rules Fragment

$$\begin{array}{c}
\frac{\Gamma; \Theta \mid \Phi \vdash \perp}{\Gamma; \Theta \mid \Phi \vdash \phi} \quad \frac{\Gamma \vdash V : A}{\Gamma; \Theta \mid \Phi \vdash V =_A V} \quad \frac{\Gamma; \Theta \mid \Phi \vdash V =_A W \quad \Gamma; \Theta \mid \Phi \vdash \phi[V/x]}{\Gamma; \Theta \mid \Phi \vdash \phi[W/x]} \quad \frac{\Gamma; \Theta \mid \Phi \vdash \phi \quad \Gamma; \Theta \mid \Phi \vdash \psi}{\Gamma; \Theta \mid \Phi \vdash \phi \wedge \psi} \\
\\
\frac{\Gamma; \Theta \mid \Phi \vdash \phi \wedge \psi}{\Gamma; \Theta \mid \Phi \vdash \phi} \quad \frac{\Gamma, X; \Theta \mid \Phi \vdash \phi}{\Gamma; \Theta \mid \Phi \vdash \forall X. \phi} \quad (X \notin ftv(\Theta, \Gamma, \Phi)) \quad \frac{\Gamma; \Theta \mid \Phi \vdash \forall X. \phi}{\Gamma; \Theta \mid \Phi \vdash \phi[A/X]} \quad \frac{\Gamma; \Theta, R \mid \Phi \vdash \phi}{\Gamma; \Theta \mid \Phi \vdash \forall(R : Rel_o[A, B]). \phi} \\
\\
\frac{\Gamma; \Theta \mid \Phi \vdash \forall(R : Rel_o[A, B]). \phi \quad \Gamma; \Theta \vdash (x : A, y : B). \psi : Rel_o[A, B]}{\Gamma; \Theta \mid \Phi \vdash \phi[\psi[M/x, N/y]/R(M, N)]}
\end{array}$$

Fig. 16. Derivation Rules Fragment

4.2 Parametricity Axiom

Thus far, we have set up a higher order programming logic. In order to reason about parametricity, we need to define a relational interpretation of types and add an axiom schema.

4.2.1 Relational Interpretation of Types. The relational interpretation of types is given in Fig. 17. The syntax " $\mathcal{V}[A]_{\rho, \underline{\rho}}$ " can be seen as a *marco* which, given a value type A , unfolds to a value relation $Rel_o[A', A'']$. Relation environments, $\rho, \underline{\rho}$, consists of a mapping $X \mapsto (Y, Z, R : Rel[Y, Z])$ from type variable X to a tuple of two types Y, Z and a relation $R : Rel[Y, Z]$. We use $A[\rho_L]$ to denote a

type substitution which replaces every type variable X in A with the corresponding type given by the first element of tuple $\rho(X)$. The presented relational interpretation of types is standard except for our definition for *Case A* and *OSum*. We axiomatize the relational definition of *Case A* and *OSum* instead of providing a direct relational interpretation. In order to provide a direct relational interpretation, we would need to add guarded separation logic connectives to our logic. For the purposes of our result, we avoid such complications.

4.2.2 Identity Extension Principle. In order to make use of the relational interpretation of types, we employ an axiom schema for the *Identity Extension Principle*.

Definition 4.1. Identity Extension Principle. For all types A , we have:

$$\Gamma; \Theta \mid \Phi \vdash \forall x, y : A. \mathcal{V}[A]_{\vec{e}\vec{q}, \vec{e}\vec{q}}(x, y) \iff x =_A y$$

$$\begin{aligned} \mathcal{V}[X]_{\rho, \underline{\rho}} &= \rho(X) \\ \mathcal{V}[\mathbb{B}]_{\rho, \underline{\rho}} &= (x : \mathbb{B}, y : \mathbb{B}). x = y \\ \mathcal{V}[\mathbb{N}]_{\rho, \underline{\rho}} &= (x : \mathbb{N}, y : \mathbb{N}). x = y \\ \mathcal{V}[\text{Case } A]_{\rho, \underline{\rho}} &= \mathcal{R}_{\text{Case } A} \\ \mathcal{V}[\text{OSum}]_{\rho, \underline{\rho}} &= \mathcal{R}_{\text{OSum}} \\ \mathcal{V}[U\underline{B}]_{\rho, \underline{\rho}} &= (x : U\underline{B}[\rho_L, \underline{\rho}_L], y : U\underline{B}[\rho_R, \underline{\rho}_R]). C[\underline{B}]_{\rho, \underline{\rho}}(\text{force } x, \text{force } y) \\ \mathcal{V}[A \times A']_{\rho, \underline{\rho}} &= (p_1 : (A \times A')[\rho_L, \underline{\rho}_L], p_2 : (A \times A')[\rho_R, \underline{\rho}_R]). \\ &\quad \exists e_1 : A[\underline{L}], e_2 : A'[\underline{L}], e_3 : A[\underline{R}], e_4 : A'[\underline{R}]. \\ &\quad p_1 = (e_1, e_2) \wedge p_2 = (e_3, e_4) \wedge \mathcal{V}[A]_{\rho, \underline{\rho}}(e_1, e_3) \wedge \mathcal{V}[A']_{\rho, \underline{\rho}}(e_2, e_4) \\ C[\underline{X}]_{\rho, \underline{\rho}} &= \underline{\rho}(\underline{X}) \\ C[\text{Obs}_{\mathbb{B}}]_{\rho, \underline{\rho}} &= (x : \text{Obs}_{\mathbb{B}}, y : \text{Obs}_{\mathbb{B}}). \\ &\quad \exists b_1, b_2. x = \text{hault } b_1 \wedge y = \text{hault } b_2 \wedge \mathcal{V}[\mathbb{B}](b_1, b_2) \\ C[A \rightarrow \underline{B}]_{\rho, \underline{\rho}} &= (f : (A \rightarrow \underline{B})[\rho_L, \underline{\rho}_L], g : (A \rightarrow \underline{B})[\rho_R, \underline{\rho}_R]). \\ &\quad \forall x : A[\rho_L, \underline{\rho}_L], y : A[\rho_R, \underline{\rho}_R]. \mathcal{V}[A]_{\rho, \underline{\rho}}(x, y) \implies C[\underline{B}]_{\rho, \underline{\rho}}(fx, gy) \\ C[\forall X. \underline{B}]_{\rho, \underline{\rho}} &= (f : \forall X. \underline{B}[\rho_L, \underline{\rho}_L], g : \forall X. \underline{B}[\rho_R, \underline{\rho}_R]). \\ &\quad \forall Y, Z, R : \text{Rel}_v[Y, Z]. C[\underline{B}]_{\rho, R, \underline{\rho}}(f[Y], g[Z]) \\ C[\forall \underline{X}. \underline{B}]_{\rho, \underline{\rho}} &= (f : \forall \underline{X}. \underline{B}[\rho_L, \underline{\rho}_L], g : \forall \underline{X}. \underline{B}[\rho_R, \underline{\rho}_R]). \\ &\quad \forall \underline{Y}, \underline{Z}, \underline{R} : \text{Rel}_c[\underline{Y}, \underline{Z}]. C[\underline{B}]_{\rho, \underline{\rho}, \underline{R}}(f[\underline{Y}], g[\underline{Z}]) \end{aligned}$$

Fig. 17. Relational Interpretation of Types

4.3 Example Proof in PL

To demonstrate the utility of PL we provide a simple parametricity proof. Consider the $F_{\mu, \underline{U}}^{\vee \rightarrow}$ term:

$$M : \forall [X, Y]. (X \times Y) \rightarrow (Y \times X)$$

By informal parametricity reasoning, we would expect M to either, swap the given values, error, or diverge. That is to say, given

$$\text{swap} : \forall [X, Y]. (X \times Y) \rightarrow (Y \times X) := \Lambda[X, Y](p). \text{rec}_{\times} p \{x, y. (y, x)\}$$

we should expect

$$\text{swap}[A', A](M[A, A'](a, a')) =_{A \times A'} M[A', A](a', a)$$

We state this claim formally in **PL**:

THEOREM.

$$\vdash_{PL} \forall M : U(\forall X. \forall Y. (X \times Y) \rightarrow F(Y \times X)), a : \llbracket A \rrbracket, a' : \llbracket A' \rrbracket.$$

$$r \leftarrow (\text{force } M) \llbracket A \rrbracket \llbracket A' \rrbracket (a, a'); \text{swap} \llbracket A' \rrbracket \llbracket A \rrbracket (r) = r \leftarrow (\text{force } M) \llbracket A' \rrbracket \llbracket A \rrbracket (a', a); \text{ret } r$$

PROOF. We begin by introducing M, a, a' to the context. Then, we use congruence for bind which is derivable within the logic given that bind is church encoded. We then have two goals.

- $M, a, a' \vdash_{PL} C[F(Y \times X)]_{?,?}((\text{force } M) \llbracket A \rrbracket \llbracket A' \rrbracket (a, a'), (\text{force } M) \llbracket A' \rrbracket \llbracket A \rrbracket (a', a))$
- $M, a, a' \vdash_{PL} C[(Y \times X) \rightarrow X]_{?,?, EQ_{F(\llbracket A \rrbracket \times \llbracket A' \rrbracket)}}(\lambda x. \text{swap} \llbracket A' \rrbracket \llbracket A \rrbracket x, \lambda x. \text{ret } x)$

These goals are not fully specified. We need to determine what relations we want to assign to type variables X and Y . Here we make use of the IEP axiom for type $U(\forall X. \forall Y. (X \times Y) \rightarrow F(Y \times X))$.

$$M, a, a' \vdash_{PL} \forall X', Y', R : \text{Rel}_v[X', Y'], Z, W, R' : \text{Rel}_v[Z, W], (p_1 : X' \times Z), (p_2 : Y' \times W).$$

$$\mathcal{V}[X \times Y]_{R, R'}(p_1, p_2) \implies C[F(Y \times X)]_{R, R'}((\text{force } M)[X'] [Z](p_1), (\text{force } M)[Y'] [W](p_2))$$

From our first goal, it is clear that we should pick

$$X' \mapsto \llbracket A \rrbracket, Y \mapsto \llbracket A' \rrbracket, Z \mapsto \llbracket A' \rrbracket, W \mapsto \llbracket A \rrbracket, p_1 \mapsto (a, a'), p_2 \mapsto (a', a)$$

We still need to pick relations $R : \text{Rel}_v[\llbracket A \rrbracket, \llbracket A' \rrbracket], R' : \text{Rel}_v[\llbracket A' \rrbracket, \llbracket A \rrbracket]$ such that our proof goes through. It suffices to say that the easiest relations to pick for this proof are:

- $R := (x : \llbracket A \rrbracket, y : \llbracket A' \rrbracket). x = a \wedge y = a'$
- $R' := (x : \llbracket A' \rrbracket, y : \llbracket A \rrbracket). x = a' \wedge y = a$

Then, it suffices to show $R(a, a') \wedge R'(a', a)$ in order to prove our first goal. This trivially holds given our choice of relations. The second goal boils down to showing $\text{swap} \llbracket A' \rrbracket \llbracket A \rrbracket (a', a) = \text{ret}(a, a')$ \square

5 PRESERVING LOGICAL EQUIVALENCE

In this section Here we state our theorem in full detail. The remainder of this section will be dedicated to defining the proof translation and presenting lemmas we think are necessary to prove our result.

5.1 Obliviousness

Modifying the terms in a proof has consequences. Without any adjustment to our interpretation of propositions, proofs which use η expansion for value type abstraction break. Considering the following convoluted proof of $2 = 2$ in **PL**¹.

$$\frac{\frac{\frac{\vdash 2 : \mathbb{N} \quad \text{refl}}{\vdash 2 = 2} \quad \frac{\frac{f \vdash (\text{force } f) : \forall X. B}{f \vdash (\text{force } f) = \Lambda X. (\text{force } f)[X]} \eta^\forall}{\vdash \forall f. (\text{force } f) = \Lambda X. (\text{force } f)[X]} \text{I}\forall_{\text{stm}}}{\frac{\vdash 2 = 2 \wedge \forall f : U(\forall X. B). (\text{force } f) = \Lambda X. (\text{force } f)[X]}{\vdash 2 = 2} \text{I}\wedge \text{E}\wedge_1}$$

The proof statement $2 = 2$ could simply be solved using reflexivity, but our logical equivalence theorem states that we should be able to handle any possible proof of $2 = 2$, even one which introduces superfluous derivations. Assuming the proposition and derivation translation from

¹Note that $\mathcal{W}[\mathbb{B}]$ is the identity function, so wrapping does not play a role in the translated proof.

PL to **FPL** do not introduce extra hypotheses, we run into a problem. Specifically, we do not have enough information to prove the rule $\eta\forall$ under translation. Given $\llbracket \Gamma \rrbracket \vdash \llbracket M \rrbracket : \llbracket \forall X. \underline{B} \rrbracket$, show $\llbracket \Gamma \rrbracket; \llbracket \Theta \rrbracket \mid \llbracket \Phi \rrbracket \vdash \llbracket M \rrbracket = \llbracket \Lambda X. M[X] \rrbracket$ in **FPL**. Or, as in our concrete example above:

$$\frac{\frac{\frac{f : \llbracket U(\forall X. \underline{B}) \rrbracket \vdash \llbracket (force\ f) \rrbracket : \llbracket \forall X. \underline{B} \rrbracket}{f : U(\forall X. Case\ X \rightarrow \llbracket \underline{B} \rrbracket) \vdash (force\ f) : \forall X. Case\ X \rightarrow \llbracket \underline{B} \rrbracket}}{?}}{\frac{f \vdash force\ f = \Lambda X. \lambda \sigma_X. \sigma \leftarrow new_X; (force\ f)[X]\sigma}{f \vdash \llbracket force\ f \rrbracket = \llbracket \Lambda X. (force\ f)[X] \rrbracket}}$$

Using the rules of **FPL** to η expanding lambdas on the left-hand-side of the equation as well as congruence rules for lambdas yields:

$$f, X, \sigma_X \vdash (force\ f)[X]\sigma_X = \sigma \leftarrow new_X; (force\ f)[X]\sigma \quad (1)$$

Note that if the variable f was wrapped, then this equation would hold. But, as we saw in the example above, the wrapping *did not* cover f . To patch over this issue, we introduce the concept of *obliviousness*.

We define obliviousness (Fig. 18) as a PL type-indexed *logical predicate* over terms in **FPL**. An oblivious term is one which cannot use case symbols in any meaningful way. In particular, it is not able to distinguish between a fresh case symbol and one which has already been allocated. Formally, this property is encoded in the obliviousness predicate as an equation in case $O[\forall X. \underline{B}]$.

$$\begin{aligned} O[X]_\rho(V : \rho_{ty}(X)) &:= \rho_{rel}(X)(V) \\ O[\mathbb{B}]_\rho(V : \mathbb{B}) &:= \top \\ O[\mathbb{N}]_\rho(V : \mathbb{N}) &:= \top \\ O[A \times A']_\rho(V : \llbracket A \times A' \rrbracket_{ty}^L[\rho]) &:= \exists x_1 : \llbracket A \rrbracket_{ty}^L[\rho], x_2 : \llbracket A' \rrbracket_{ty}^L[\rho]. \\ &\quad V = (x_1, x_2) \wedge O[A]_\rho(x_1) \wedge O[A']_\rho(x_2) \\ O[U\underline{B}]_\rho(V : \llbracket \underline{B} \rrbracket_{ty}^L[\rho]) &:= O[\underline{B}]_\rho(force\ V) \\ O[\underline{X}]_\rho(V : \rho_{ty}(\underline{X})) &:= \rho_{rel}(\underline{X})(V) \\ O[A \rightarrow \underline{B}]_\rho(M : \llbracket A \rightarrow \underline{B} \rrbracket_{ty}^L[\rho]) &:= \forall V : \llbracket A \rrbracket_{ty}^L[\rho]. O[A]_\rho(V) \implies O[\underline{B}]_\rho(MV) \\ O[\forall X. \underline{B}]_\rho(M : \llbracket \forall X. \underline{B} \rrbracket_{ty}^L[\rho]) &:= \\ &\quad \forall X, P : Pred[X], \sigma_X : Case\ X. \\ &\quad O[\underline{B}]_{\rho, P}(M[X](\sigma_X)) \\ &\quad \wedge M[X](\sigma_X) = (\sigma \leftarrow new_X; M[X](\sigma)) \\ O[\forall \underline{X}. \underline{B}]_\rho(M : \llbracket \forall \underline{X}. \underline{B} \rrbracket_{ty}^L[\rho]) &:= \forall \underline{X}, \underline{P} : Pred[\underline{X}]. O[\underline{B}]_{\rho, \underline{P}}(M[\underline{X}]) \end{aligned}$$

Fig. 18. Obliviousness Predicate

To demonstrate how obliviousness solves our problem, we reconsider Eq. (1) with an added hypothesis, H_f , about the obliviousness of f in Eq. (2). Our hypothesis H_f applied to X, P_X, σ_X gives us a proof of obliviousness $O[\underline{B}](\llbracket (force\ f)[X]\sigma_X \rrbracket)$ and the exact equation we are trying to prove.

$$f, X, \sigma_X; P_X \mid H_f : O[U(\forall X. \underline{B})](f) \vdash (force\ f)[X]\sigma_X = \sigma \leftarrow new_X; (force\ f)[X]\sigma \quad (2)$$

We define our proof translation making usage of obliviousness. In order to justify our approach, we prove an *adequacy* theorem in Section 5.4 demonstrating that our usage of obliviousness is sound. While wrapping FPL terms enforces the freshness invariant, it complicates the proof translation by potentially introducing η expansions and allocation of fresh cases which modify the terms in a proof. Obliviousness helps here as well by enabling a more compositional approach to proof translation, as we will see in section Section 5.3.

5.2 Proposition Translation

As we saw in the last section, in order to preserve PL proofs we needed terms to be *oblivious* to case symbols. We introduce obliviousness via the proof translation from PL to FPL. Here we define a translation $\llbracket _ \rrbracket^O$ which enhances the term translation $\llbracket _ \rrbracket^L$ by pairing a translated term with a proof of its obliviousness. Additionally, $\llbracket _ \rrbracket^O$ adds data to the context required by the definition of obliviousness. Specifically, any term variable $x : A$ in the context has an associated obliviousness hypothesis $H_x : O[A](x)$ in the proof context and type variables X/\underline{X} are associated with predicates P/\underline{P} so that type variables can be interpreted in the obliviousness predicate.

$$\begin{aligned} \llbracket \Gamma, x : A \rrbracket^O &:= \llbracket \Gamma \rrbracket^O, x : \llbracket A \rrbracket^L \mid H_x : O[A](x) \\ \llbracket \Gamma, X \rrbracket^O &:= \llbracket \Gamma \rrbracket^O, X, \sigma_X : \text{Case } X; \quad P : \text{Pred}[X] \\ \llbracket \Gamma, \underline{X} \rrbracket^O &:= \llbracket \Gamma \rrbracket^O, \underline{X}; \quad \underline{P} : \text{Pred}[\underline{X}] \\ \llbracket \Gamma \vdash_{PL} M : A \rrbracket^O &:= \llbracket \Gamma \vdash_{PL} M : A \rrbracket^L, \quad O[A](\llbracket M \rrbracket) \end{aligned}$$

Fig. 19. Obliviousness Context and Term Translation

For the invariants on contexts to be preserved, we must adjust the translation of propositions. Quantification over a value $x : A$ introduces an obliviousness hypothesis $O[A](x)$. Quantification over computation types \underline{X} introduces a predicate $\underline{P}_{\underline{X}}$. Quantification over value types X introduces a predicate P as well as a case symbol $\sigma_X : \text{Case } X$.

$$\begin{aligned} \llbracket \Gamma; \Theta \vdash_A \perp \rrbracket_{prop}^L &= \perp \\ \llbracket \Gamma; \Theta \vdash_A V =_A W \rrbracket_{prop}^L &= \llbracket \Gamma \vdash_A V \rrbracket^O =_{\llbracket \Gamma \vdash_A \rrbracket_{ty}^L} \llbracket \Gamma \vdash W \rrbracket^O \\ \llbracket \Gamma; \Theta \vdash_A M =_B N \rrbracket_{prop}^L &= \llbracket \Gamma \vdash M \rrbracket^O =_{\llbracket \Gamma \vdash_B \rrbracket_{ty}^L} \llbracket \Gamma \vdash N \rrbracket^O \\ \llbracket \Gamma; \Theta \vdash_A R(V, W) \rrbracket_{prop}^L &= \llbracket \Gamma; \Theta \vdash_A R \rrbracket_{rel_v}^L (\llbracket \Gamma \vdash V \rrbracket^O, \llbracket \Gamma \vdash W \rrbracket^O) \\ \llbracket \Gamma; \Theta \vdash_A \phi \wedge \psi \rrbracket_{prop}^L &= \llbracket \Gamma; \Theta \vdash_A \phi \rrbracket_{prop}^L \wedge \llbracket \Gamma; \Theta \vdash_A \psi \rrbracket_{prop}^L \\ \llbracket \Gamma; \Theta \vdash_A \forall (x : A). \phi \rrbracket_{prop}^L &= \forall (x : \llbracket \Gamma \vdash_A \rrbracket_{ty}^L). \quad O[A](x) \implies \llbracket \Gamma, x : A \Theta \vdash_A \phi \rrbracket_{prop}^L \\ \llbracket \Gamma; \Theta \vdash_A \forall X. \phi \rrbracket_{prop}^L &= \forall X, \quad P : \text{Pred}[X], \sigma_X : \text{Case } X. \llbracket \Gamma, X; \Theta \vdash_A \phi \rrbracket_{prop}^L \\ \llbracket \Gamma; \Theta \vdash_A \forall \underline{X}. \phi \rrbracket_{prop}^L &= \forall \underline{X}, \quad \underline{P} : \text{Pred}[\underline{X}]. \llbracket \Gamma, \underline{X}; \Theta \vdash_A \phi \rrbracket_{prop}^L \\ \llbracket \Gamma; \Theta \vdash_A \forall R : Rel_v[A, A']. \phi \rrbracket_{prop}^L &= \forall R : Rel_v[\llbracket \Gamma \vdash_A \rrbracket_{ty}^L, \llbracket \Gamma \vdash_{A'} \rrbracket_{ty}^L]. \llbracket \Gamma; \Theta, R : Rel_v[A, A'] \vdash_A \phi \rrbracket_{prop}^L \end{aligned}$$

Fig. 20. Proposition Translation Fragment

5.3 Oblivious Proof Translation

Here we demonstrate the first key theorem (Theorem 5.2) in our preservation of logical equivalence. This theorem relies on auxiliary lemmas demonstrating that our term and type translations are sound, such as the preservation of typing.

LEMMA. $\llbracket _ \rrbracket^L$ Preserves well-formedness of types and well-typedness of terms

PROOF. This is mostly routine. The case for type application is slightly complicated by the need to use typing rules for church encoded bind. \square

Here we demonstrate that for any $\Gamma \vdash_{PL} V : A$ we can provide a proof $\llbracket \Gamma \rrbracket^O \vdash_{FPL} O[A](\llbracket V \rrbracket^L)$ as demanded by our updated term translation. In other words, obliviousness is a congruence with respect to the typing rules of PL.

LEMMA 5.1. $\Gamma \vdash_{PL} V : A \implies \llbracket \Gamma \rrbracket^O \vdash_{FPL} O[A](\llbracket V \rrbracket^L)$

PROOF. By induction on the typing rules of PL. We consider the interesting cases here.

Case: $\Lambda X.M : \forall X.B$

Given: $\llbracket \Gamma \rrbracket^O, X\sigma_X; P_X \vdash O[B](\llbracket M \rrbracket^L)$

Prove: $\llbracket \Gamma \rrbracket^O \vdash O[\forall X.B](\llbracket \Lambda X.M \rrbracket^L)$

We have to show $\forall X, P_X, \sigma_X. O[B](\llbracket \Lambda X.M \rrbracket^L[X]\sigma_X) \wedge \llbracket \Lambda X.M \rrbracket^L[X]\sigma_X = \sigma \leftarrow new_X; \llbracket \Lambda X.M \rrbracket^L[X]\sigma$. Here we use the observation that the translation of a type lambda is oblivious, the body $\llbracket X \vdash M \rrbracket^L$ does not use σ_X . Thus, we have $\llbracket \Lambda X.M \rrbracket^L[X]\sigma_X = (\Lambda X. \lambda \sigma_X. \llbracket X \vdash M \rrbracket^L[X]\sigma_X) = \llbracket M \rrbracket^L$. We have the first conjunct by assumption. The second conjunct simplifies to $\llbracket M \rrbracket^L = \sigma \leftarrow new_X; \llbracket M \rrbracket^L$, but we know that $\llbracket M \rrbracket^L$ does not use σ . Therefore, the second conjunct is solved by the drop rule.

Case: $M[A]$

Given: $\llbracket \Gamma \rrbracket^O \vdash O[\forall X.B](\llbracket M \rrbracket^L)$

Prove: $\llbracket \Gamma \rrbracket^O \vdash O[B](\llbracket M[A] \rrbracket^L)$

We have to show $O[B](\sigma \leftarrow new_{\llbracket A \rrbracket^L}; \llbracket M \rrbracket^L \llbracket A \rrbracket^L \sigma)$. In order to use the hypothesis, we need a case symbol in scope. For this we look at the unary relational interpretation of $new_{\llbracket A \rrbracket^L}$.

$$C[F(\text{Case } \llbracket A \rrbracket)](new_{\llbracket A \rrbracket}) = \forall \underline{Y}, \underline{P}_Y. k : U(\text{Case } \llbracket A \rrbracket \rightarrow \underline{Y}).$$

$$(\forall \sigma : \text{Case } \llbracket A \rrbracket. \mathcal{V}[\text{Case } \llbracket A \rrbracket](\sigma) \implies \underline{P}_Y((\text{force } k)\sigma)) \implies \underline{P}_Y(new_{\llbracket A \rrbracket}[\underline{Y}]k)$$

Picking $\underline{Y} := \llbracket B \rrbracket$, $\underline{P}_Y := O[\underline{B}]$, $k := \text{thunk}(\lambda \sigma. \llbracket M \rrbracket \llbracket A \rrbracket \sigma)$, we have

$$\begin{aligned} (\forall \sigma : \text{Case } \llbracket A \rrbracket. \mathcal{V}[\text{Case } \llbracket A \rrbracket](\sigma) \implies O[\underline{B}](\llbracket M \rrbracket \llbracket A \rrbracket \sigma)) \implies \\ O[\underline{B}](\sigma \leftarrow new_{\llbracket A \rrbracket^L}; \llbracket M \rrbracket^L \llbracket A \rrbracket^L \sigma) \end{aligned}$$

In which case, it suffices to show

$$\forall \sigma : \text{Case } \llbracket A \rrbracket. \mathcal{V}[\text{Case } \llbracket A \rrbracket](\sigma) \implies O[\underline{B}](\llbracket M \rrbracket \llbracket A \rrbracket \sigma)$$

This, along with our hypothesis, is sufficient to prove the goal. \square

THEOREM 5.2. $\Gamma \vdash_{PL} M = N \implies \llbracket \Gamma \rrbracket^O \vdash_{FPL} \llbracket M \rrbracket^O = \llbracket N \rrbracket^O$

PROOF. Proceed by induction on the proof rules and axioms of PL.

Case: $\eta\forall$

This case, which motivated our definition of obliviousness, was demonstrated in Section 5.1

Case: $E\forall_{vtm}$

Given: $\llbracket \Gamma \rrbracket^O \vdash_{FPL} \llbracket \forall x : A. \phi \rrbracket_{prop}^L$ and $\llbracket \Gamma \rrbracket^L \vdash_{FPL} \llbracket V \rrbracket^L : \llbracket A \rrbracket^L$

Prove: $\llbracket \Gamma \rrbracket^O \vdash_{FPL} \llbracket \phi[V/x] \rrbracket_{prop}^L$

We are given $\forall x : \llbracket A \rrbracket. O[A](x) \implies \llbracket x \vdash \phi \rrbracket_{prop}^L$ and, via substitution lemmas, our goal is $\llbracket \phi \rrbracket_{prop}^L[\llbracket V \rrbracket^L/x]$. We instantiate our hypothesis with $x \mapsto \llbracket V \rrbracket$. By Lemma 5.1 we have $O[A](\llbracket V \rrbracket)$ and so our goal is solved.

Case: IEP Axioms

The most technically challenging component of this proof is demonstrating the parametricity axioms hold under translation. We spare the detail here and leave it for the appendix. \square

5.4 Adequacy

In the last section, we demonstrated that we can translate any proof of equality in **PL** to a proof of equality in **FPL** under additional assumptions about the obliviousness of terms. Here we demonstrate that our usage of obliviousness is sound with respect to our wrapping translation.

LEMMA 5.3. *Wrapping of oblivious terms is identity.* $\forall M : \llbracket A \rrbracket^L. O[A](M) \implies \mathcal{W}[A](M) = M$

PROOF. By induction on the type formation rules of **PL**. The base cases where wrapping is identity are trivially true. The only case that is not simply an application of an η rule is $\forall X. \underline{B}$ where we use the equation baked into the obliviousness predicate. \square

LEMMA 5.4. *Wrapped terms are Oblivious.* $\forall M : \llbracket A \rrbracket^L. O[A](\mathcal{W}[A](M))$

PROOF. By induction on the type formation rules of **PL**. The base types hold trivially by the definition of obliviousness. The only interesting cases are value type abstraction and application where cases are involved but these too are easily dispatched since we designed obliviousness to capture the essence of wrapping logically. \square

THEOREM 5.5. *Adequacy:*

$$\frac{\llbracket \Gamma \rrbracket^O \vdash_{FPL} \llbracket M \rrbracket^O = \llbracket N \rrbracket^O}{\llbracket \Gamma \rrbracket^L; \emptyset \mid \emptyset \vdash_{FPL} \mathcal{W}_{\Gamma \vdash A}(\llbracket M \rrbracket^L) = \mathcal{W}_{\Gamma \vdash A}(\llbracket N \rrbracket^L)}$$

PROOF. By assumption, we have the obliviousness of $\llbracket M \rrbracket^L, \llbracket N \rrbracket^L$. Combine these with Lemma 5.3 to get $\mathcal{W}[A](\llbracket M \rrbracket^L) = \llbracket M \rrbracket^L$ and use this fact with our hypothesis. Next, we define a substitution $\llbracket \Gamma \rrbracket^L \xrightarrow{\sigma} \llbracket \Gamma \rrbracket^O$ by:

$$X \mapsto X, \underline{X} \mapsto \underline{X}, x : \llbracket A \rrbracket \mapsto \mathcal{W}[A](x), P_X := (x : X). \top, \underline{P}_X := (x : \underline{X}). \top$$

Then, using substitutivity:

$$\frac{\llbracket \Gamma \rrbracket^L \xrightarrow{\sigma} \llbracket \Gamma \rrbracket^O \quad \llbracket \Gamma \rrbracket^O \vdash_{FPL} \mathcal{W}[A](\llbracket M \rrbracket^L) = \mathcal{W}[A](\llbracket N \rrbracket^L)}{\llbracket \Gamma \rrbracket^L \mid H_{x_1} : O[A_1](x_1)[\sigma], \dots, H_{x_n} : O[A_n](x_n)[\sigma] \vdash \mathcal{W}_{\Gamma \vdash A}(\llbracket M \rrbracket^L) = \mathcal{W}_{\Gamma \vdash A}(\llbracket N \rrbracket^L)}$$

Noting that $\mathcal{W}[A](\llbracket M \rrbracket^L)[\sigma] = \mathcal{W}_{\Gamma \vdash A}(\llbracket M \rrbracket^L)$. The remaining obligation is to prove all the obliviousness hypotheses H_x using Lemma 5.4. \square

5.5 Grand Finale

Finally, we provide the full statement of the preservation of logical equivalence between **PL** and **FPL**. Our statement amounts to saying that any proof of equality, including those which rely on parametric reasoning, of $F_{\mu, U}^{\forall \rightarrow}$ terms M, N embedded in **PL** can be translated to a proof of equality in **FPL** where we ensure the translated terms behave parametrically by wrapping them.

THEOREM 5.6. *Preservation of Logical Equivalence:* $\forall \Gamma \vdash_{F_{\mu, U}^{\forall \rightarrow}} M, N : A.$

$$\llbracket \Gamma \rrbracket^{PL}; \emptyset \mid \emptyset \vdash_{PL} \llbracket M \rrbracket^{PL} = \llbracket N \rrbracket^{PL} \implies \llbracket \Gamma \rrbracket^{FPL}; \emptyset \mid \emptyset \vdash_{FPL} \mathcal{W}_{\Gamma \vdash A}(\llbracket M \rrbracket^{FPL}) = \mathcal{W}_{\Gamma \vdash A}(\llbracket N \rrbracket^{FPL})$$

PROOF. Corollary of Theorem 5.2 and Theorem 5.5. □

6 CONCLUSION

Parametricity provides the foundation for reasoning about data abstraction, representation independence, and “theorems for free” in polymorphic languages. However, its interaction with intensional type analysis, as exemplified by gradual typing and runtime casts, presents significant challenges. While the negative results of Devriese et al. [4] might suggest that prior work reconciling parametricity with type-analysis features is defective, we argued that such a conclusion is too strong: the failure of contextual equivalence preservation does not entail a failure of parametricity itself. By distinguishing between contextual equivalence and parametric reasoning, we introduced the weaker but more appropriate notion of *Logical Equivalence*. We demonstrated that logical equivalence is preserved under the translation from effectful System F into our fresh parametricity logic, even though full abstraction fails. This result shows that the type-world logical relation retains sufficient strength to support the essential guarantees of parametricity, including data abstraction and representation independence.

ACKNOWLEDGMENTS

This material is based upon work supported by the National Science Foundation CISE Graduate Fellowships (CSGrad4US) under Grant No. 2313998. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the National Science Foundation.

REFERENCES

- [1] Amal Ahmed, Dustin Jamner, Jeremy G. Siek, and Philip Wadler. 2017. Theorems for free for free: parametricity, with and without types. *Proc. ACM Program. Lang.* 1, ICFP, Article 39 (Aug. 2017), 28 pages. <https://doi.org/10.1145/3110283>
- [2] Lars Birkedal, Rasmus Ejlers Møgelberg, and Rasmus Lerchedahl Petersen. 2006. Linear Abadi and Plotkin Logic. *Log. Methods Comput. Sci.* 2 (2006). <https://api.semanticscholar.org/CorpusID:14086681>
- [3] Dominique Devriese, Marco Patrignani, and Frank Piessens. 2017. Parametricity versus the universal type. *Proc. ACM Program. Lang.* 2, POPL, Article 38 (Dec. 2017), 23 pages. <https://doi.org/10.1145/3158126>
- [4] Dominique Devriese, Marco Patrignani, and Frank Piessens. 2022. Two Parametricities Versus Three Universal Types. *ACM Trans. Program. Lang. Syst.* 44, 4, Article 23 (Sept. 2022), 43 pages. <https://doi.org/10.1145/3539657>
- [5] Jeff Egger, Rasmus Ejlers Møgelberg, and Alex Simpson. 2012. The enriched effect calculus: syntax and semantics. *Journal of Logic and Computation* 24, 3 (06 2012), 615–654. <https://doi.org/10.1093/logcom/exs025> arXiv:<https://academic.oup.com/logcom/article-pdf/24/3/615/2785623/exs025.pdf>
- [6] Robert Harper and Greg Morrisett. 1995. Compiling polymorphism using intensional type analysis. In *Proceedings of the 22nd ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages* (San Francisco, California, USA) (POPL '95). Association for Computing Machinery, New York, NY, USA, 130–141. <https://doi.org/10.1145/199448.199475>
- [7] Claudio Hermida, Uday S. Reddy, and Edmund P. Robinson. 2014. Logical Relations and Parametricity – A Reynolds Programme for Category Theory and Programming Languages. *Electronic Notes in Theoretical Computer Science* 303 (2014), 149–180. <https://doi.org/10.1016/j.entcs.2014.02.008> Proceedings of the Workshop on Algebra, Coalgebra and Topology (WACT 2013).

- [8] Paul Blain Levy. 2004. *Call-By-Push-Value: A Functional/Imperative Synthesis (Semantics Structures in Computation, V. 2)*. Kluwer Academic Publishers, USA.
- [9] John C. Mitchell and Gordon D. Plotkin. 1988. Abstract types have existential type. *ACM Trans. Program. Lang. Syst.* 10, 3 (July 1988), 470–502. <https://doi.org/10.1145/44501.45065>
- [10] Rasmus Ejlers Mogelberg and Alex Simpson. 2007. Relational Parametricity for Computational Effects. In *22nd Annual IEEE Symposium on Logic in Computer Science (LICS 2007)*. 346–355. <https://doi.org/10.1109/LICS.2007.40>
- [11] Rasmus Ejlers Møgelberg and Alex Simpson. 2008. A Logic for Parametric Polymorphism with Effects. In *Types for Proofs and Programs*, Marino Miculan, Ivan Scagnetto, and Furio Honsell (Eds.). Springer Berlin Heidelberg, Berlin, Heidelberg, 142–156.
- [12] Greg Morrisett, David Walker, Karl Crary, and Neal Glew. 1999. From system F to typed assembly language. *ACM Trans. Program. Lang. Syst.* 21, 3 (May 1999), 527–568. <https://doi.org/10.1145/319301.319345>
- [13] Georg Neis, Derek Dreyer, and Andreas Rossberg. 2009. Non-parametric parametricity. *SIGPLAN Not.* 44, 9 (Aug. 2009), 135–148. <https://doi.org/10.1145/1631687.1596572>
- [14] Max S. New, Dustin Jamner, and Amal Ahmed. 2019. Graduality and parametricity: together again for the first time. *Proc. ACM Program. Lang.* 4, POPL, Article 46 (Dec. 2019), 32 pages. <https://doi.org/10.1145/3371114>
- [15] Gordon D. Plotkin and Martín Abadi. 1993. A Logic for Parametric Polymorphism. In *Proceedings of the International Conference on Typed Lambda Calculi and Applications (TLCA '93)*. Springer-Verlag, Berlin, Heidelberg, 361–375.
- [16] John C. Reynolds. 1983. Types, Abstraction and Parametric Polymorphism. In *IFIP Congress*. <https://api.semanticscholar.org/CorpusID:19681636>
- [17] Matias Toro, Elizabeth Labrada, and Éric Tanter. 2019. Gradual parametricity, revisited. *Proc. ACM Program. Lang.* 3, POPL, Article 17 (Jan. 2019), 30 pages. <https://doi.org/10.1145/3290330>
- [18] Philip Wadler. 1989. Theorems for free!. In *Proceedings of the Fourth International Conference on Functional Programming Languages and Computer Architecture* (Imperial College, London, United Kingdom) (FPCA '89). Association for Computing Machinery, New York, NY, USA, 347–359. <https://doi.org/10.1145/99370.99404>

Received 20 February 2007; revised 12 March 2009; accepted 5 June 2009