# **Bounded Branching and Modalities** in Non-Deterministic Planning

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- We consider two extensions for the task of deciding the existence of solutions for non-deterministic planning problems:
  - Bounds in the number of branch points in a plan (solution)
  - Extending the description language with modal formulae
- The first applies to the cases of non-deterministic planning with complete and partial information
- The second applies only to the case of non-deterministic planning with partial information

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## **Outline**

- Planning with Complete Information
- Planning with Partial Information
- Summary

## **Planning with Complete Information**

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- Another possibility is to apply a sequence of actions without observing the system and then to observe the state of the system at the end of the sequence and planning thereafter
- Therefore, we can think of bounding the number of branch points in a plan and to question when such a plan exists

#### **Deterministic Models**

#### Understood in terms of:

- a discrete and finite state space S
- an initial state  $s_0 \in S$
- a non-empty set of goal states  $G \subseteq S$
- actions  $A(s) \subseteq A$  applicable in each state s
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**Solutions:** sequences  $(a_0, \ldots, a_n)$  of actions that "transform"  $s_0$  into a goal state

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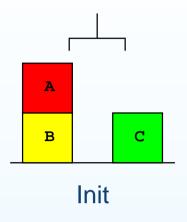
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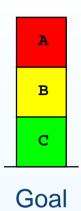
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  - Actions are pairs \( prec, effect \)
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  - Effects are defined inductively as:
    - $\circ$   $\{l\}$  is an effect for literal l (atomic)
    - $(e_1 \wedge \ldots \wedge e_n)$  for effects  $e_1, \ldots, e_n$  (parallel)
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- ullet The goal states defined by a propositional formula  $\Phi_G$

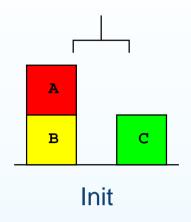
## **Example – Blocksworld (Deterministic)**

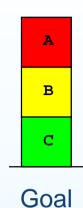




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  - o Blocks' positions: {on-table(B),on(A,B),on-table(C)}
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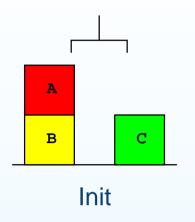


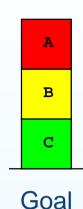
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- Actions:
  - o unstack(A,B):

 $\langle empty-hand \land clear(A) \land on(A,B), holding(A) \land clear(B) \land \neg on(A,B) \rangle$ 

- o pick(A): ⟨empty-hand ∧ clear(A) ∧ on-table(A), holding(A) ∧ ¬on-table(A)⟩
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- o drop(A): \langle holding(A), empty-hand \langle on-table(A) \langle ¬holding(A) \rangle
- Plan: (unstack(A,B),drop(A),pick(B),stack(B,C),pick(A),stack(A,B))

### **Complexity of Deterministic Planning**

- The existence of a plan can be decided with the non-deterministic program:
  - 1. Let counter := 0
  - 2. Let state := I
  - 3. If  $state \models \Phi_G$ , then ACCEPT
  - 4. Choose applicable action *a* in *state*
  - 5. Let state := f(a, state)
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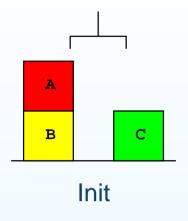
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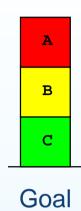
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- Therefore, PLAN-DET is in NPSPACE = PSPACE
- The fact than PLAN-DET is PSPACE-hard was shown in [Bylander, 1994] with a direct simulation of DTMs with polynomial space bound

#### **Non-Deterministic Models**

- As deterministic models but the transition function maps states and actions into sets of states  $F(a,s)\subseteq S$
- There can be more than one initial state described by formula  $\Phi_I$
- The description language is extended with non-deterministic effects:
  - $\circ (e_1 \oplus \cdots \oplus e_n)$  for effects  $e_1, \ldots, e_n$  (non-deterministic effect),
- Solutions can't be sequences of actions, but tree-like structures called contingent plans

## **Example – Blocksworld (Non-Deterministic)**

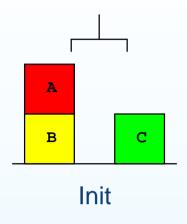


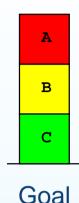


- New Action:
  - o unstack(A,B):

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Contingent Plan:

### **Complexity of Non-Deterministic Planning**

- Plans are like policies for Markov Decisions Processes (MDPs)
- Deciding existence of solution for a contingent planning problem with full observability (i.e. PLAN-FO-CONT) is EXPTIME-complete
- Shown by [Rintanen, 2004] using Alternating TMs with polynomial space bound
- Interestingly, [Littman, 1997] showed that deciding the existence of acyclic policies, of bounded depth N, that reach the goal with probability  $\geq T$  for MDPs is also EXPTIME-complete

#### **Conformant Planning**

Consider now the following actions:

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o unstack(A,B):
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- Like the deterministic case but with no preconditions and conditional effects
- Since there are no preconditions, the actions are always applicable

## **Conformant Planning (Cont'd) and Complexity**

Therefore, the plan:

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Therefore, the plan:

achieves the goal (i.e. A on B on C) no matter what's the initial situation

- This plan is called a conformant plan [Goldman & Boddy, 1996; Smith & Weld, 1998]
- A conformant plan is a no-branch plan for a non-deterministic planning problem with full observability

## **Complexity of Conformant Planning**

- Checking the existence of a conformant plan (i.e. PLAN-FO-CONF) is EXPSPACE-complete
- The inclusion is shown with a similar program that works with subsets of states instead of states
- Hardness shown by [Haslum & Jonsson, 1999] using Regular Expressions with Exponentiation and Non-deterministic Finite Automata with Counters

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- Example:  $\Sigma^n$  set of words of length n over alphabet  $\Sigma$
- An REE  $\alpha$  can be recognized with an NFA with Counters (NFAC)
- The NFAC can be "simulated" as a non-deterministic planning problem P such that  $\alpha \neq \Sigma^*$  iff P has a conformant plan
- Therefore, since checking whether  $\alpha = \Sigma^*$  is EXPSPACE-hard, checking if P has a conformant plan is co-EXPSPACE-hard
- Finish with the fact that EXPSPACE is closed under complementation

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- In the middle, we can think of plans with no more than *k* branches
- Checking the existence of a contingent plan with at most k branches (i.e. PLAN-FO-CONT-k) is EXPSPACE-complete
- Similar proof to that of [Haslum & Jonsson, 1999] for conformant planning

### **Summary**

Problem	Complete for	Reference
PLAN-DET	PSPACE	[Bylander, 1994]
PLAN-FO-CONT	EXPTIME	[Rintanen, 2004]
PLAN-FO-CONF	EXPSPACE	[Haslum & Jonsson, 1999]
PLAN-FO-CONT-k	EXPSPACE	New

#### Remember:

- $P \subseteq NP \subseteq PSPACE \subseteq EXPTIME \subseteq EXPSPACE$
- PSPACE =  $\bigcup_{k\geq 0}$ DSPACE $(n^k)$
- EXPTIME =  $\bigcup_{k\geq 0} \mathsf{DTIME}(2^{n^k})$
- EXPSPACE =  $\bigcup_{k>0}$ DSPACE $(2^{n^k})$

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- In general, each action depends on the **history** of actions/observations

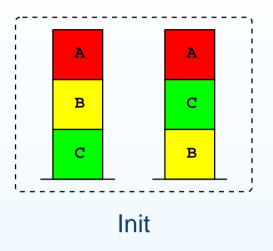
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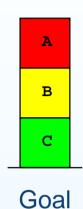
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- Subsets of states are known as belief states
- Plans are tree-like structures that map belief states into actions

# **Example – Blocksworld (Partial Information)**





- Observables:  $Z = \{ clear(A), clear(B), clear(C) \}$
- Contingent Plan:

## **Complexity of Planning With Partial Information**

- Plans are like policies for Partially Observable MDPs (POMDPs)
- Deciding existence of solution for a contingent planning problem with partial observability (i.e. PLAN-PO-CONT) is 2EXPTIME-complete
- 2EXPTIME =  $\bigcup_{k\geq 0} \mathsf{DTIME}(2^{2^{n^k}})$
- Shown by [Rintanen, 2004] using Alternating TMs with exponential space bound

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- Thus, each guess depends on the information acquired during the game
- Although the game can be modeled as a non-deterministic planning problem with partial information, the goal can't be represented
- A modal formula is needed to represent such a goal

M	odal	Formu	ae
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#### **Modal Formulae**

- We use three connectives: □ (necessity), ◊ (sufficiency) and \* (new one)
- Semantics defined using triplets  $(s,b,\sigma)$  where s is a state, b is a belief state, and  $\sigma$  is a sequence of states:
  - $\circ$   $(s,b,\sigma) \models \Box \varphi$  iff  $(s',b,s\sigma) \models \varphi$  for all  $s' \in b$ ,
  - $\circ$   $(s,b,\sigma) \models \Diamond \varphi$  iff  $(s',b,s\sigma) \models \varphi$  for some  $s' \in b$ ,
  - $\circ (s,b,s'\sigma) \models \varphi^* \text{ iff } (s',b,\sigma) \models \varphi, \text{ and }$
  - $\circ (s,b,\langle\rangle) \not\models \varphi^*$  for all  $\varphi$ .

#### **Modal Formulae**

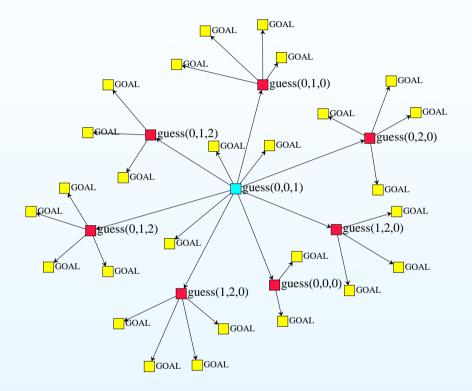
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  - $\circ (s,b,s'\sigma) \models \varphi^* \text{ iff } (s',b,\sigma) \models \varphi, \text{ and }$
  - $\circ (s,b,\langle\rangle) \not\models \varphi^* \text{ for all } \varphi.$
- $\varphi$  holds in belief b iff  $(s, b, \langle \rangle) \models \varphi$  for all  $s \in b$

### **Modal Formulae**

- We use three connectives:  $\square$  (necessity),  $\lozenge$  (sufficiency) and \* (new one)
- Semantics defined using triplets  $(s,b,\sigma)$  where s is a state, b is a belief state, and  $\sigma$  is a sequence of states:
  - $\circ$   $(s,b,\sigma) \models \Box \varphi$  iff  $(s',b,s\sigma) \models \varphi$  for all  $s' \in b$ ,
  - $\circ$   $(s,b,\sigma)\models\Diamond \varphi$  iff  $(s',b,s\sigma)\models \varphi$  for some  $s'\in b$ ,
  - $\circ (s,b,s'\sigma) \models \varphi^* \text{ iff } (s',b,\sigma) \models \varphi, \text{ and }$
  - $\circ (s,b,\langle\rangle) \not\models \varphi^* \text{ for all } \varphi.$
- $\varphi$  holds in belief b iff  $(s,b,\langle\rangle) \models \varphi$  for all  $s \in b$
- Examples:
  - 'know  $\phi$ ' equivalent to  $\Box \phi \lor \Box \neg \phi$
  - 'possibly φ' equivalent to ◊φ
  - $\Box \Diamond (p^* \leftrightarrow q)$  true in belief b iff for all  $s \in b$  there is  $s' \in b$  such that the value of p in s coincides with the value of q in s'

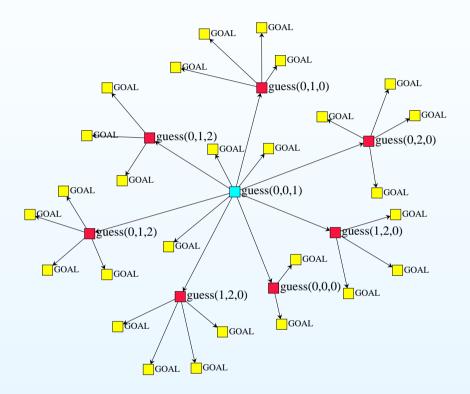
# Mastermind Revisited: 3 colors, 3 pegs

• Contingent Plan:



## Mastermind Revisited: 3 colors, 3 pegs

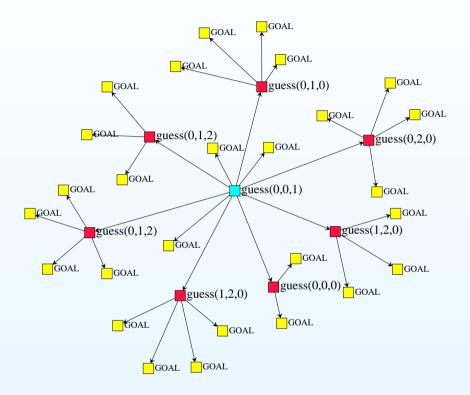
Contingent Plan:



• As before, we can also compute conformant plans for this task

## Mastermind Revisited: 3 colors, 3 pegs

Contingent Plan:



- As before, we can also compute conformant plans for this task
- The following plan discover the secret code no matter what's its value

$$guess(2,0,0)$$
,  $guess(2,1,0)$ ,  $guess(2,2,1)$ .

## **Complexity of Conformant Planning with Partial Information**

- Deciding the existence of solution for a conformant planning problem with partial information (i.e. PLAN-PO-CONF) is 2EXPSPACE-complete
- Deciding the existence of solutions for a contingent planning problem with partial information and with at most k branch points (i.e. PLAN-PO-CONT-k) is also 2EXPSPACE-complete
- If there are no modal formulae in the planning problem, PLAN-PO-CONF and PLAN-PO-CONT-k become EXPSPACE-complete

## **Summary**

Problem	Complete for	Reference
PLAN-PO-CONT	2EXPTIME	[Rintanen, 2004]
PLAN-PO-CONF	2EXPSPACE	New
PLAN-PO-CONT-k	2EXPSPACE	New

#### Remember:

• P  $\subseteq$  NP  $\subseteq$  PSPACE  $\subseteq$  EXPTIME  $\subseteq$  EXPSPACE  $\subseteq$  2EXPSPACE

### **Grand Summary**

- New planning tasks considered: solutions of bounded branching and conformant tasks for partially observable problems
- Derived tight bounds on complexity for the new tasks
- Special classes also considered:
  - Problems with partial information and no modal formulae
  - Checking the existence of plans of polynomial length following [Turner, 2002]

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