Module 2

IDEA: Primitive operations- Key expansions- One round, Odd round, Even Round- Inverse keys for decryption. AES: Basic Structure- Primitive operation- Inverse Cipher- Key Expansion, Rounds, Inverse Rounds. Stream Cipher –RC4.

ADVANCED encryption standard (AES)

The AES Cipher

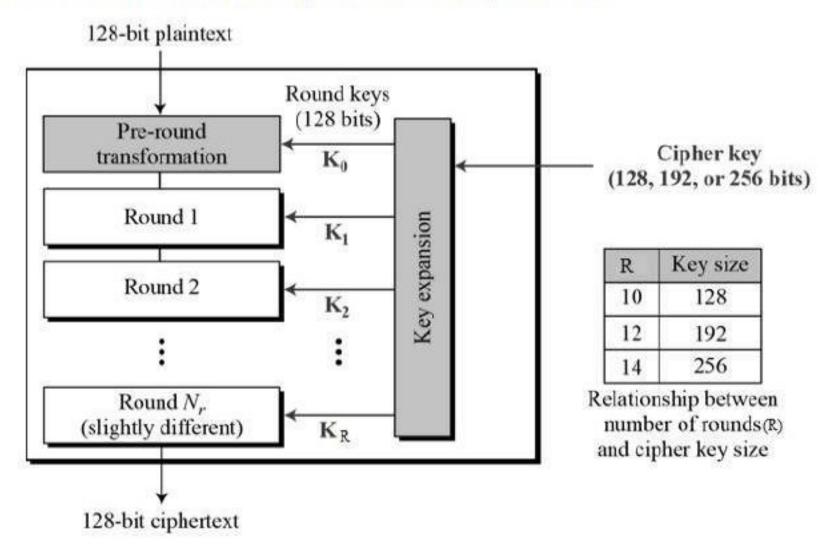
- AES is a symmetric block cipher
- Dr. Joan Daemen and Dr. Vincent Rijmen submitted Rijndael model, accepted as AES by NIST
- •It uses block length of 128 bits but key length can be 128, 192 or 256 bits
- •Characteristics:
 - Resistance against all known attacks
 - Speed and code compactness
 - Design simplicity

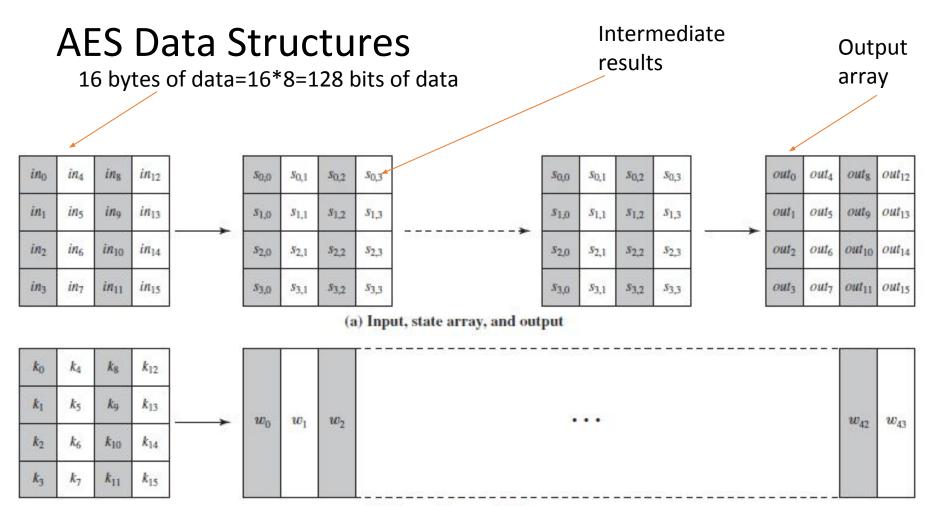
- The more popular and widely adopted symmetric encryption algorithm likely to be encountered nowadays is the Advanced Encryption Standard (AES). It is found at least six time faster than triple DES.
- The features of AES are as follows -
- Symmetric key symmetric block cipher
- •128-bit data, 128/192/256-bit keys
- Stronger and faster than Triple-DES
- Provide full specification and design details
- Software implementable in C and Java

The AES Cipher

- •The input is a single 128-bit block
- This is depicted as a square matrix of bytes
- This is copied to a state array, which is modified during each rounds
- After the final stage state is copied to an output matrix
- •128-bit key is also depicted as a square matrix
- This key is expanded to 44 words (four byte word)

The schematic of AES structure is given in the following illustration -

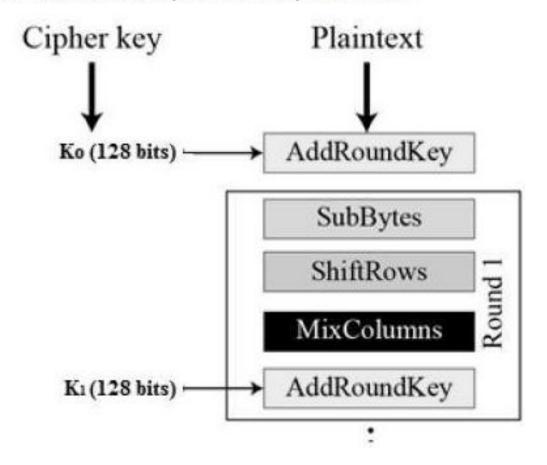




(b) Key and expanded key

Encryption Process

Here, we restrict to description of a typical round of AES encryption. Each round comprise of four sub-processes. The first round process is depicted below –



High Level Description

Key Expansion

 Round keys are derived from the cipher key using Rijndael's key schedule

Initial Round

 AddRoundKey: Each byte of the state is combined with the round key using bitwise xor

Rounds

• SubBytes : non-linear substitution step

• ShiftRows : transposition step

• MixColumns : mixing operation of each column.

AddRoundKey

Final Round

SubBytes

ShiftRows

AddRoundKey

No MixColumns

- Byte Substitution (SubBytes)
- The 16 input bytes are substituted by looking up a fixed table (S-box) given in design. The result is in a matrix of four rows and four columns.
- Shiftrows
- Each of the four rows of the matrix is shifted to the left. Any entries that 'fall off' are re-inserted on the right side of row. Shift is carried out as follows -
- First row is not shifted.
- Second row is shifted one (byte) position to the left.
- Third row is shifted two positions to the left.
- Fourth row is shifted three positions to the left.
- The result is a new matrix consisting of the same 16 bytes but shifted with respect to each other.

MixColumns

- Each column of four bytes is now transformed using a special mathematical function.
- This function takes as input the four bytes of one column and outputs four completely new bytes, which replace the original column.
- The result is another new matrix consisting of 16 new bytes.
 It should be noted that this step is not performed in the last round.

Addroundkey

•The 16 bytes of the matrix are now considered as 128 bits and are XORed to the 128 bits of the round key. If this is the last round then the output is the ciphertext. Otherwise, the resulting 128 bits are interpreted as 16 bytes and we begin another similar round.

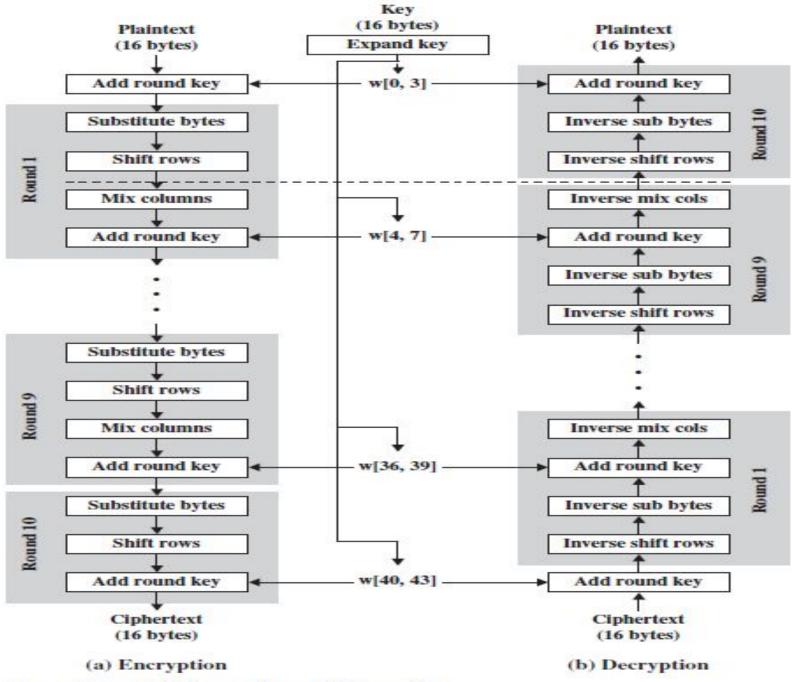


Figure 5.3 AES Encryption and Decryption

• Key – 0.1.2.3 (4 words)

Overall AES structure

- AES is not a Feistel structure
- 2. The key is expanded into an array of forty-four words (32-bit), **w**[*i*]. Four words for each round.
- Four different stages are used (1 permutation,
 3 substitutions)
 - 1. Substitute bytes: Using an S-box
 - 2. Shift rows: Simple permutation
 - Mix columns: Substitution using arithmetic over GF(2⁸)
 - 4. Add round key: Simple XOR with key

Overall AES structure

- 4. Both encryption and decryption
 - starts with an Add round key stage,
 - followed by nine rounds with four stages in each round,
 - followed by tenth round of three stages
- 5. Can view the cipher as alternating operations of XOR encryption (Add Round Key) of a block, followed by scrambling of the block (the other three stages), followed by XOR encryption, and so on
- 6. Each stage is easily reversible.

Overall AES structure

- 7. The decryption algorithm makes use of the expanded key in reverse order, however the decryption algorithm is not identical to the encryption algorithm.
- 8. State is the same for both encryption and decryption
- 9. Final round of both encryption and decryption consists of only three stages

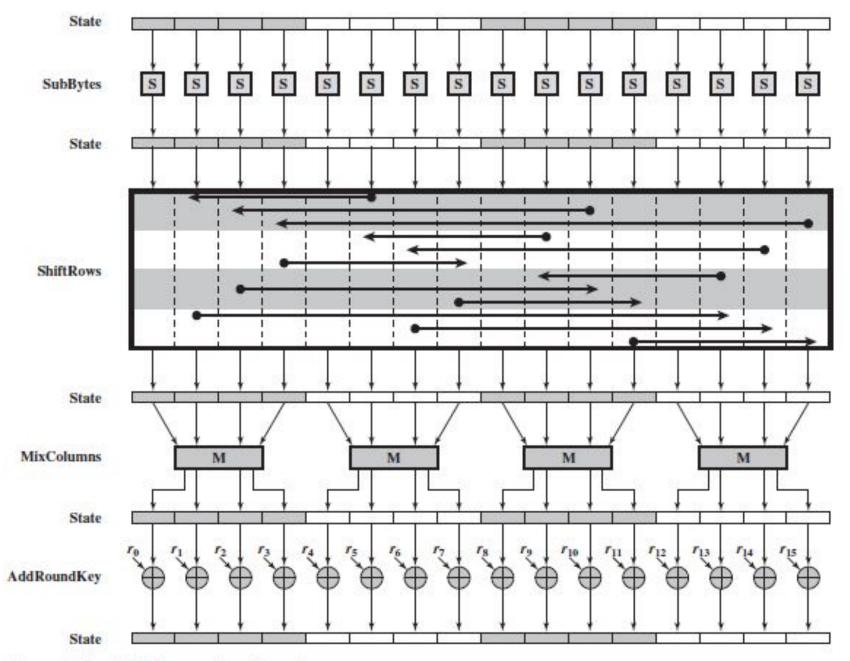
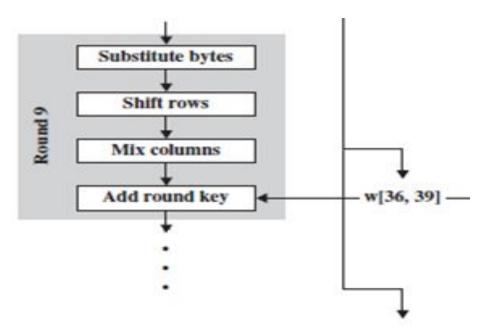


Figure 5.4 AES Encryption Round

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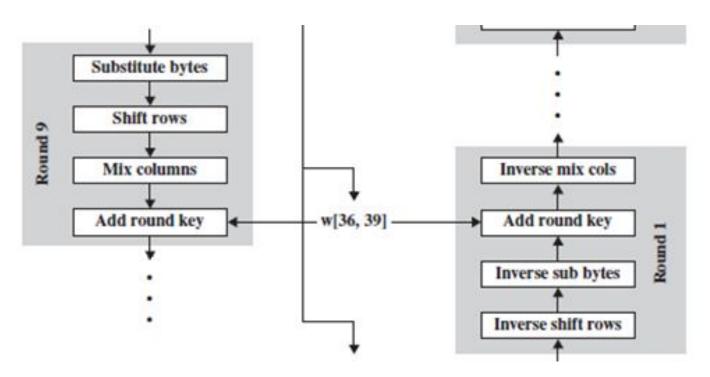
The four stages:

- 1. Substitute bytes: Using an S-box
- 2. Shift rows: Simple permutation
- 3. Mix columns: Substitution using arithmetic over $GF(2^8)$
- 4. Add round key: Simple XOR with key



1. Substitute Bytes Transformation

- Encryption algorithm uses Forward Substitute Byte transformation called SubBytes
- Decryption algorithm uses Inverse Substitute Byte transformation called InvSubBytes



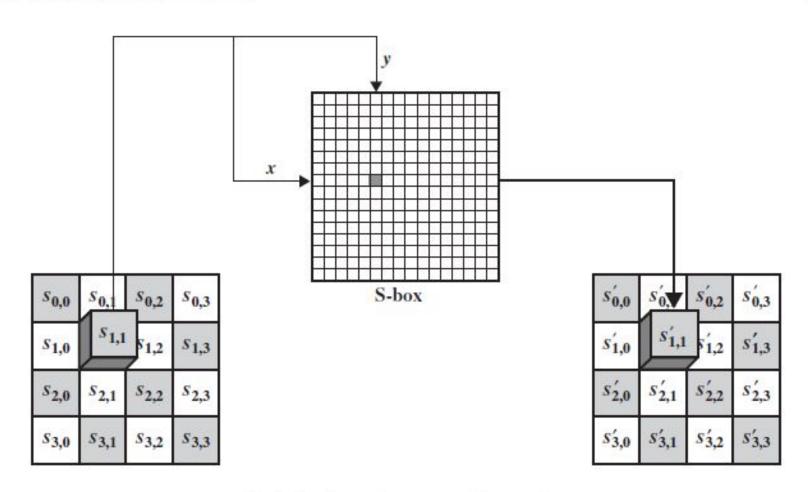
Forward Substitute Bytes Transformation

- SubBytes is simple substitution of each byte
- Uses one table of 16x16 bytes containing a permutation of all 256 eight-bit values
- Each byte of state is replaced by byte from the table
 - Left 4 bits used as row value and right 4 bits as column
 - This is used as an index into S-box to select a unique 8-bit value

Substitute Bytes Transformation

Illustrate S box creation in AES





(a) Substitute byte transformation

Table 5.2 AES S-Boxes

										v							
		0	1	2	3	4	5	6	7	8	9	A	В	C	D	Е	F
	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	В7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	В3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
3	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
x	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	В	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
	D	70	3E	B5	66	48	03	F6	0E	61	35	57	В9	86	C1	1D	9E
	E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	В0	54	BB	16

(a) S-box

Here is an example of the SubBytes transformation:

EA	04	65	85
83	45	5D	96
5C	33	98	B0
F0	2D	AD	C5



87	F2	4D	97
EC	6E	4C	90
4A	C3	46	E7
8C	D8	95	A6

Inverse substitute byte transformation

InvSubBytes uses inverse S-box

)	V							5
		0	1	2	3	4	5	6	7	8	9	A	В	С	D	E	F
	0	52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FB
	1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	СВ
	2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	0B	42	FA	C3	4E
	3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25
	4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92
	5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84
	6	90	D8	AB	00	8C	BC	D3	0A	F7	E4	58	05	B8	В3	45	06
	7	D0	2C	1E	8F	CA	3F	0F	02	C1	AF	BD	03	01	13	8A	6B
x	8	3A	91	11	41	4F	67	DC	EA	97	F2	CF	CE	F0	B4	E6	73
	9	96	AC	74	22	E7	AD	35	85	E2	F9	37	E8	1C	75	DF	6E
	A	47	F1	1A	71	1D	29	C5	89	6F	B7	62	0E	AA	18	BE	1B
	В	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F4
	C	1F	DD	A 8	33	88	07	C7	31	B 1	12	10	59	27	80	EC	5F
	D	60	51	7 F	A9	19	B5	4A	0D	2D	E5	7A	9F	93	C9	9C	EF
	E	A0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61
	F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	7D

(b) Inverse S-box

Construction of S-Box

- Initialize the S-box with the byte values in ascending sequence row by row.
 - 1. The first row contains {00},{01},{02},...... {0F};
 - 2. the second row contains {10},{11}, etc.; and so on.
 - 3. Thus, the value of the byte at row x, column y is {xy}
- 2. Replace each byte with its multiplicative inverse in the finite field $GF(2^8)$

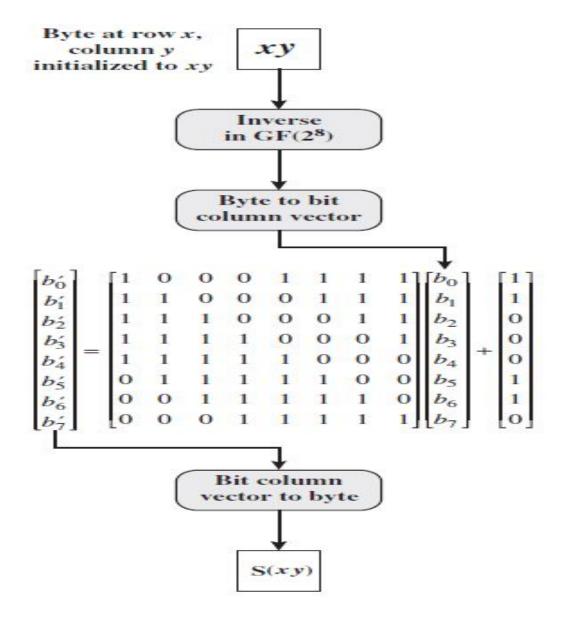
Multiplicative inverse table in $GF(2^8)$ for bytes xy used within the AES S-Box

	20								7	Z							
	93	0	1	2	3	4	5	6	7	8	9	A	В	C	D	E	F
	0	00	01	8D	F6	CB	52	7B	D1	E8	4F	29	C0	B0	E1	E5	C7
	1	74	B4	AA	4B	99	2B	60	5F	58	3F	FD	CC	FF	40	EE	B2
	2	3A	6E	5A	F1	55	4D	A8	C9	C1	0A	98	15	30	44	A2	C2
	3	2C	45	92	6C	F3	39	66	42	F2	35	20	6F	77	BB	59	19
	4	1D	FE	37	67	2D	31	F5	69	A7	64	AB	13	54	25	E9	09
	5	ED	5C	05	CA	4C	24	87	BF	18	3E	22	F0	51	EC	61	17
	6	16	5E	AF	D3	49	A6	36	43	F4	47	91	DF	33	93	21	3B
	7	79	B7	97	85	10	B5	BA	3C	B6	70	D0	06	A1	FA	81	82
X	8	83	7E	7F	80	96	73	BE	56	9B	9E	95	D9	F7	02	B9	A4
	9	DE	6A	32	6D	D8	8A	84	72	2A	14	9F	88	F9	DC	89	9A
	A	FB	7C	2E	C3	8F	B8	65	48	26	C8	12	4A	CE	E7	D2	62
	В	0C	E0	1F	EF	11	75	78	71	A5	8E	76	3D	BD	BC	86	57
	C	0B	28	2F	A3	DA	D4	E4	OF	A9	27	53	04	1B	FC	AC	E6
	D	7A	07	AE	63	C5	DB	E2	EA	94	8B	C4	D5	9D	F8	90	6B
	E	B1	0D	D6	EB	C6	0E	CF	AD	08	4E	D7	E3	5D	50	1E	B3
	F	5B	23	38	34	68	46	03	8C	DD	9C	7D	A0	CD	1A	41	1C

3. Apply the following transformation to each bit of each byte in the S-box:

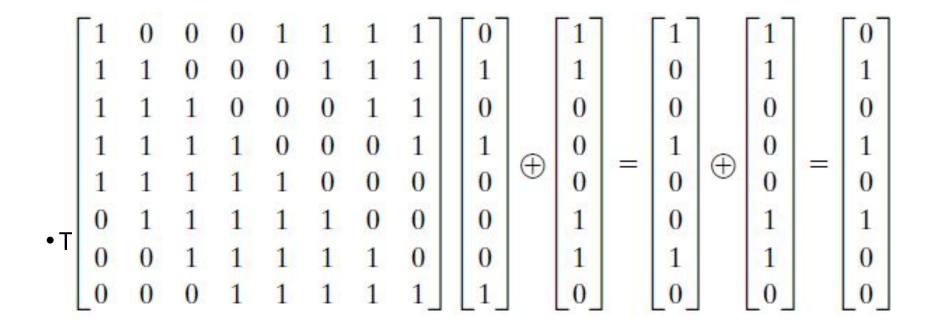
 $b_i' = b_i \oplus b_{(i+4) \mod 8} \oplus b_{(i+5) \mod 8} \oplus b_{(i+6) \mod 8} \oplus b_{(i+7) \mod 8} \oplus c_i$ The AES standard depicts this transformation in matrix form as follows:

$$\begin{bmatrix} b'_0 \\ b'_1 \\ b'_2 \\ b'_3 \\ b'_4 \\ b'_5 \\ b'_6 \\ b'_7 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} b_0 \\ b_1 \\ b_2 \\ b_3 \\ b_4 \\ b_5 \\ b_6 \\ b_7 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$$

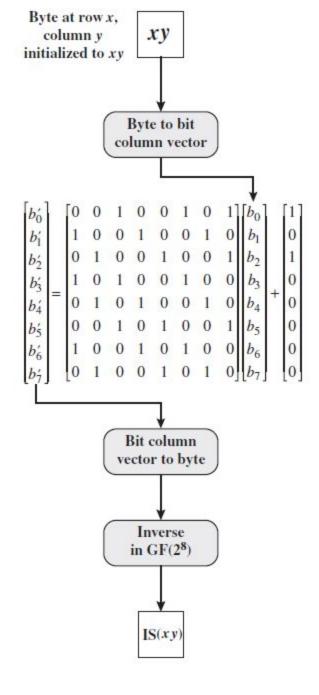


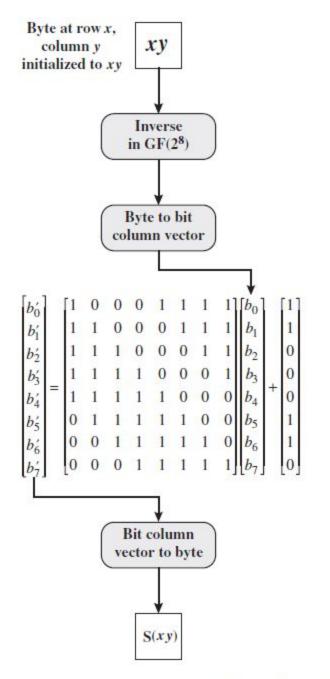
- For eg:- consider the input value {95}
- The multiplicative inverse in $GF(2^8)$ is 10001010 in binary

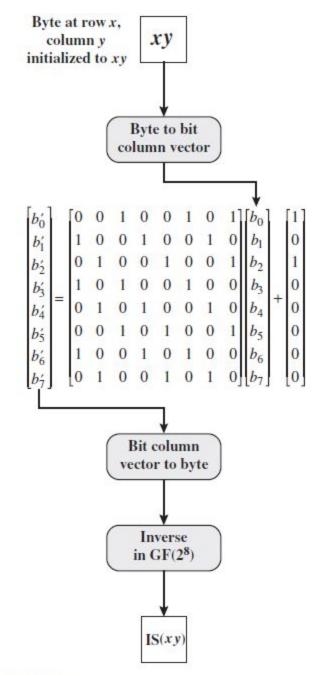
 ${95}^{-1} = {8A}$ which is



Construction of Inverse S-box



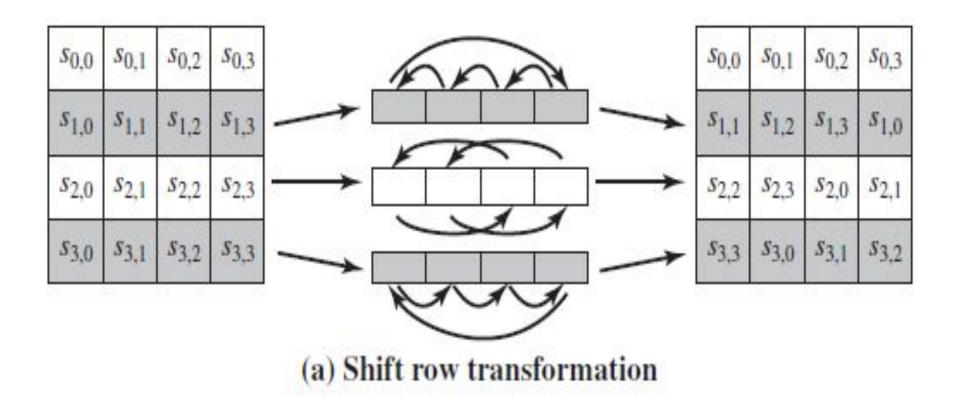




2. Shift Row Transformation

Forward Shift Row transformation: ShiftRows

- •The first row of **State** is not altered.
- •For the second row, a 1-byte circular left shift is performed.
- •For the third row, a 2-byte circular left shift is performed.
- •For the fourth row, a 3-byte circular left shift is performed.



ShiftRows Example:

87	F2	4D	97
EC	6E	4C	90
4A	C3	46	E7
8C	D8	95	A6



87	F2	4D	97
6E	4C	90	EC
46	E7	4A	C3
A6	8C	D8	95

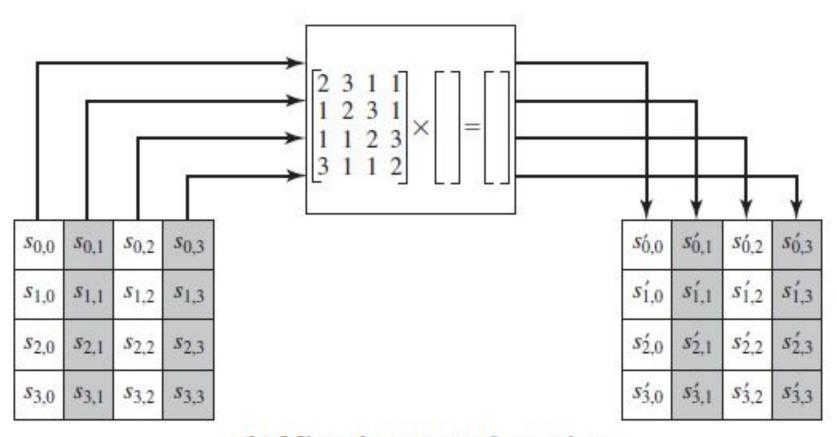
•Inverse Shift Row Transformation: InvShiftRows

- •Performs the circular shifts in the opposite direction for each of the last three rows
- •A 1-byte circular right shift for the second row, and so on.

3. Mix Column Transformation

- •Forward Mix Column Transformation: MixColumns
- •Inverse Mix Column Transformation: InvMixColumns

Forward Mix Column Transformation: MixColumns



(b) Mix column transformation

Forward Mix Column Transformation: MixColumns

The transformation can be defined by:

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s'_{0,0} & s'_{0,1} & s'_{0,2} & s'_{0,3} \\ s'_{1,0} & s'_{1,1} & s'_{1,2} & s'_{1,3} \\ s'_{2,0} & s'_{2,1} & s'_{2,2} & s'_{2,3} \\ s'_{3,0} & s'_{3,1} & s'_{3,2} & s'_{3,3} \end{bmatrix}$$

elements of one row and one column.

 In this case, the individual additions and multiplications are performed in GF(2⁸)

The following is an example of MixColumns:

87	F2	4D	97
6E	4C	90	EC
46	E7	4A	C3
A6	8C	D8	95

_

47	40	A3	4C
37	D4	70	9F
94	E4	3A	42
ED	A5	A6	BC

Inverse Mix Column Transformation: InvMixColumns

$$\begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s'_{0,0} & s'_{0,1} & s'_{0,2} & s'_{0,3} \\ s'_{1,0} & s'_{1,1} & s'_{1,2} & s'_{1,3} \\ s'_{2,0} & s'_{2,1} & s'_{2,2} & s'_{2,3} \\ s'_{3,0} & s'_{3,1} & s'_{3,2} & s'_{3,3} \end{bmatrix}$$

InvMixColumns is inverse of MixColumns: Proof

MixColumn Equation

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s'_{0,0} & s'_{0,1} & s'_{0,2} & s'_{0,3} \\ s'_{1,0} & s'_{1,1} & s'_{1,2} & s'_{1,3} \\ s'_{2,0} & s'_{2,1} & s'_{2,2} & s'_{2,3} \\ s'_{3,0} & s'_{3,1} & s'_{3,2} & s'_{3,3} \end{bmatrix}$$

InvMixColumns is inverse of MixColumns: Proof

We need to show that

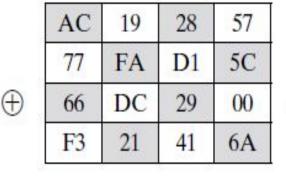
$$\begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix}$$

$$\begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

4. Add Round Key

- Forward add round key transformation: AddRoundKey,
- The 128 bits of State are bitwise XORed with the
 128 bits of the round key

47	40	A3	4C
37	D4	70	9F
94	E4	3A	42
ED	A5	A6	BC



EB	59	8B	1B
40	2E	A1	C3
F2	38	13	42
1E	84	E7	D6

- •The **inverse add round key** transformation is identical to the forward add round key transformation
 - because the XOR operation is its own inverse.

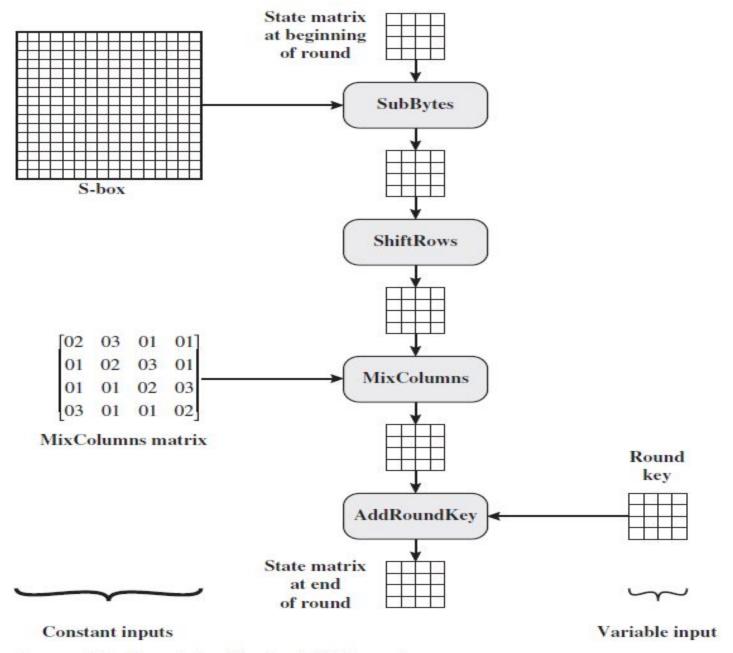
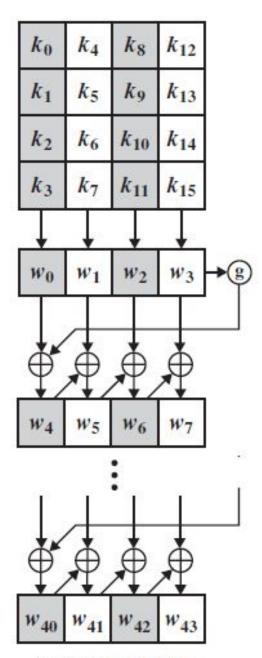


Figure 5.8 Inputs for Single AES Round Athanasius College of Engineering.

AES Key Expansion Algorithm

- The AES key expansion algorithm takes as input a four-word (16-byte) key
- And produces a linear array of 44 words (176 bytes)

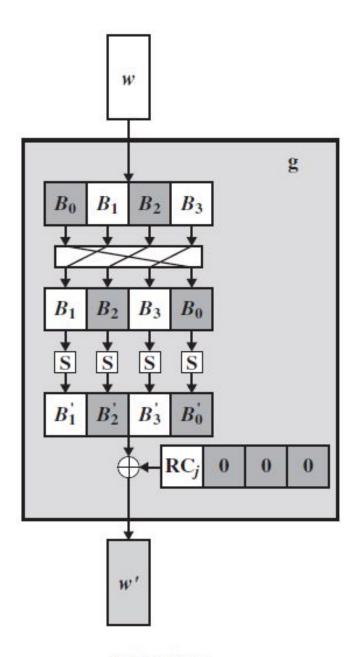


(a) Overall algorithm

Function g

- 1. Perform a one-byte circular left shift on a word
- 2. Then a byte substitution on each byte of its input word, using the S-box
- 3. The result of steps 1 and 2 is XORed with a round constant, RC[j]

j	1	2	3	4	5	6	7	8	9	10
RC[j]	01	02	04	08	10	20	40	80	1B	36



(b) Function g

Key Expansion for AES Example

Table 5.3 Key Expansion for AES Example

Key Words	Auxiliary Function		
w0 = 0f 15 71 c9	RotWord(w3) = 7f 67 98 af = x1		
w1 = 47 d9 e8 59	SubWord(x1) = d2 85 46 79 = y1		
w2 = 0c b7 ad d6	Rcon(1) = 01 00 00 00		
w3 = af 7f 67 98	y1 (1) Rcon(1) = d3 85 46 79 = z1		
w4 = w0	RotWord (w7) = 81 15 a7 38 = x2		
w5 = w4 + w1 = 9b 49 df e9	SubWord (x2) = 0c 59 5c 07 = y2		
w6 = w5	Rcon(2) = 02 00 00 00		
w7 = w6 ⊕ w3 = 38 81 15 a7	y2 ⊕ Rcon(2) = 0e 59 5c 07 = z2		
w8 = w4 + z2 = d2 c9 6b b7	RotWord (w11) = ff d3 c6 e6 = x3		
w9 = w8 + w5 = 49 80 b4 5e	SubWord (x3) = 16 66 b4 83 = y3		

AES Avalanche Effect

Round		Number of Bits that Differ
	0123456789abcdeffedcba9876543210 0023456789abcdeffedcba9876543210	1
0	0e3634aece7225b6f26b174ed92b5588 0f3634aece7225b6f26b174ed92b5588	1
1	657470750fc7ff3fc0e8e8ca4dd02a9c c4a9ad090fc7ff3fc0e8e8ca4dd02a9c	20
2	5c7bb49a6b72349b05a2317ff46d1294 fe2ae569f7ee8bb8c1f5a2bb37ef53d5	58
3	7115262448dc747e5cdac7227da9bd9c ec093dfb7c45343d689017507d485e62	59
4	f867aee8b437a5210c24c1974cffeabc 43efdb697244df808e8d9364ee0ae6f5	61
5	721eb200ba06206dcbd4bce704fa654e 7b28a5d5ed643287e006c099bb375302	68
6	0ad9d85689f9f77bc1c5f71185e5fb14 3bc2d8b6798d8ac4fe36a1d891ac181a	64
7	db18a8ffa16d30d5f88b08d777ba4eaa 9fb8b5452023c70280e5c4bb9e555a4b	67
8	f91b4fbfe934c9bf8f2f85812b084989 20264e1126b219aef7feb3f9b2d6de40	65
9	cca104a13e678500ff59025f3bafaa34 b56a0341b2290ba7dfdfbddcd8578205	61
10	ff0b844a0853bf7c6934ab4364148fb9 612b89398d0600cde116227ce72433f0	58

Compute