

Master's thesis in  
Applied Computer Science

## CoolingGen

A parametric 3D-modeling software for turbine  
blade cooling geometries using NURBS

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I hereby declare that this thesis has been written by myself and no other resources than those mentioned have been used.

A handwritten signature in blue ink, appearing to read 'Lüken', with a stylized, flowing script.

Göttingen, September 10, 2022

## Abstract

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## **Zusammenfassung**

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# 1 Introduction

## 1.1 Motivation

## 1.2 State of the Art

## 1.3 Problem Statement

## 2 Methods

As stated before, Non-Uniform Rational B-splines (NURBS) curves and surfaces are used to model geometries in CoolingGen. In this chapter we will first introduce Béziér curves. We will then generalize Béziér curves to obtain B-spline curves and surfaces. Applying an embedding map and a projection map to B-spline curves and surfaces, we acquire NURBS curves and surfaces, which are a generalization of B-spline curves and surfaces. Furthermore, CoolingGen uses special geometric algorithms such as point inversion, curve intersection and curve fillet fitting, which we will also present.

### 2.1 Bézier Curves

Béziér curves are named after the French engineer Pierre Béziér, who famously utilized them in the 1960s to design car bodies for the automobile manufacturer Renault [Béz68]. Today, they are used in a wide variety of vector graphics applications (i.e. in font representation on computers). At first glance, the definition of the Béziér curve might seem cumbersome, but given the mathematical foundation and a few graphical representations, it becomes apparent why they are such a powerful tool in computer-aided design.



Figure 2.1: Béziér curves of different degrees (orange) and their control points (blue).

#### 2.1.1 Definition

**Definition 2.1.1.** The *Bernstein basis polynomials* of degree  $n$  on the interval  $[t_0, t_1]$  are defined as

$$b_{n,k,[t_0,t_1]}(t) := \frac{\binom{n}{k}(t_1 - t)^{n-k}(t - t_0)^k}{(t_1 - t_0)^n}, \quad (2.1)$$

for  $k \in \{0, \dots, n\}$ .

**Definition 2.1.2.** A *Béziér curve* of degree  $n$  is a parametric curve  $B_{P,[t_0,t_1]} : [t_0, t_1] \rightarrow \mathbb{R}^d$  that has a representation

$$B_{P,[t_0,t_1]}(t) = \sum_{k=0}^n b_{n,k,[t_0,t_1]}(t)P_k = \sum_{k=0}^n \frac{\binom{n}{k}(t_1 - t)^{n-k}(t - t_0)^k}{(t_1 - t_0)^n} P_k. \quad (2.2)$$



We call the elements of the set  $P = \{P_0, P_1, \dots, P_n\} \subset \mathbb{R}^d$  the *control points* of  $B_P$ .

**Remark.** Let  $t_0 = 0$  and  $t_1 = 1$ . Then 2.2 simplifies to

$$b_{n,k}(t) := b_{n,k,[0,1]}(t) = \binom{n}{k} (1-t)^{n-k} t^k \quad (2.3)$$

and 2.1 simplifies to

$$B_P(t) := B_{P,[0,1]}(t) = \sum_{k=0}^n \binom{n}{k} (1-t)^{n-k} t^k P_k. \quad (2.4)$$

This case is the only case considered in this thesis.

### 2.1.2 De Casteljau's Algorithm

The computation of Equation 2.4 is usually performed using de Casteljau's algorithm. This is because the algorithm yields a simple implementation and lower complexity than straightforwardly computing Equation 2.4. The algorithm was proposed by Paul de Faget de Casteljau for the automobile manufacturer Citroën in the 1960s.

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#### Algorithm 1 de Casteljau's algorithm

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```

1: Input
2:    $P = \{P_0, P_1, \dots, P_n\}$       set of control points
3:    $t$                                 real number
4: Output
5:    $P_0^{(n)} = B_P(t)$               the point on the Beziér curve w.r.t. to  $t$ 
6: procedure DECASTELJAU( $P, t$ )
7:    $P^{(0)} \leftarrow P$ 
8:   for  $j = 1, 2, \dots, n$  do
9:     for  $k = 0, 1, \dots, n-j$  do
10:       $P_k^{(j)} \leftarrow (1-t) \cdot P_k^{(j-1)} + t \cdot P_{k+1}^{(j-1)}$ 
11:   return  $P_0^{(n)}$ 

```

---

**Theorem 2.1.3.** Algorithm 1 computes  $B_P(t)$ .

*Proof.* By induction. Let  $n = 1$ . Then

$$P_0^{(1)} = (1-t) \cdot P_0 + t \cdot P_1.$$

By employing the induction hypothesis

$$P_j^{(n)} = \sum_{k=j}^{n+j} \binom{n}{k} (1-t)^{n-k} t^k P_{j+k}$$

for some  $n \in \mathbb{N}$ , we can infer that

$$\begin{aligned}
P_0^{(n+1)} &= (1-t) \cdot P_0^{(n)} + t \cdot P_1^{(n)} \\
&= (1-t) \cdot \sum_{k=0}^n \binom{n}{k} (1-t)^{n-k} t^k P_k + t \cdot \sum_{k=1}^{n+1} \binom{n}{k} (1-t)^{n-k} t^k P_{k+1} \\
&= \sum_{k=0}^{n+1} \binom{n+1}{k} (1-t)^{n+1-k} t^k P_k,
\end{aligned}$$

which is equal to  $B_P(t)$  for degree  $n+1$ .  $\square$

A visual representation of Algorithm 1 yields a triangular scheme. To compute one point on a Beziér curve  $B_P$  with degree  $n$ , one has to perform  $\frac{n^2-n}{2}$  vector additions and  $n^2 - n$  scalar multiplications.

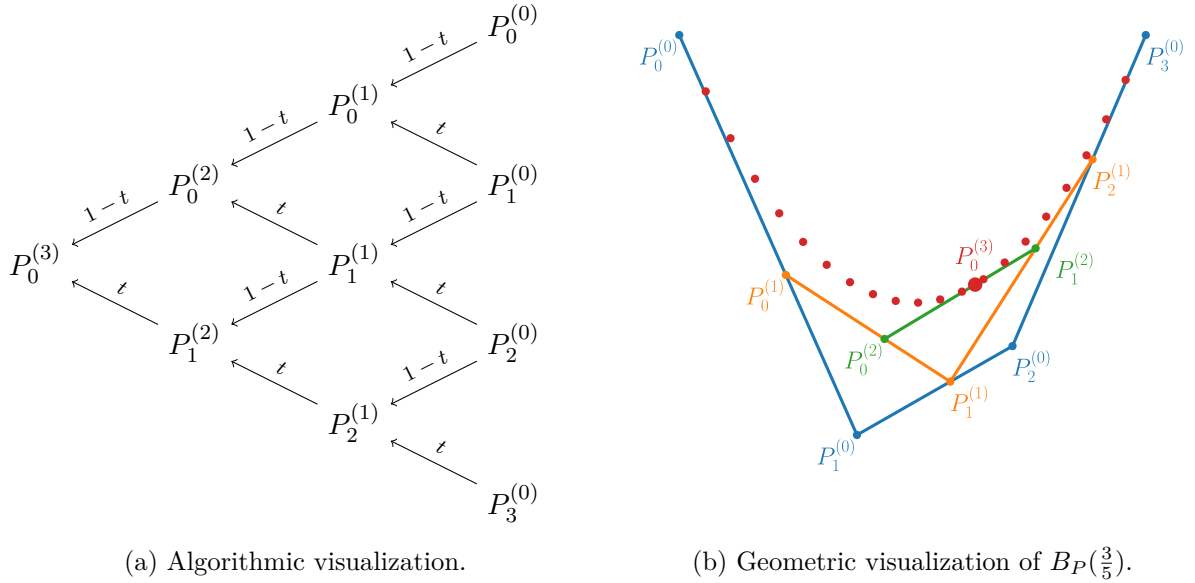


Figure 2.2: Visual representations of de Casteljau's algorithm.

Interestingly, the representation of the algorithm in Figure 2.2 also gives rise to an intuitive visualization of the geometric shape of the Beziér curve  $B_P$ . For all  $i \in \{0, \dots, n\}$  and all  $j \in \{0, \dots, n-i\}$ , the point  $P_j^{(i+1)}$  is the convex combination (always w.r.t.  $t$ ) of  $P_j^{(i)}$  and  $P_{j+1}^{(i)}$ . Thus  $P_j^{(i+1)}$  always lies on the line segment between  $P_j^{(i)}$  and  $P_{j+1}^{(i)}$ , as can be observed in the example in Figure 2.2.

### 2.1.3 Properties

Other than being remarkably intuitive, Beziér curves have a lot of properties which make them convenient. In computer-aided design software, most graphical user interfaces rely on the principle of letting the user interactively drag and drop the control points with a mouse, granting them control over the shape of Beziér curve. The following theorems further illustrate why this is a good idea.

**Theorem 2.1.4.**  $B_P(0) = P_0$  and  $B_P(1) = P_n$ .

*Proof.* Explicit computation yields

$$B_P(0) = \sum_{k=0}^n \binom{n}{k} t^k P_k = \binom{n}{0} P_0 = P_0$$

and

$$B_P(1) = \sum_{k=0}^n \binom{n}{k} (1-t)^{n-k} P_k = \binom{n}{n} P_n = P_n.$$

□

**Theorem 2.1.5.** Let  $T \in \mathbb{R}^{3 \times 3}$ . Then  $B_{TP}(t) = TB_P(t)$  where  $TP := \{TP_0, TP_1, \dots, TP_n\}$ .

*Proof.* For all  $t \in [0, 1]$  we can directly compute

$$TB_P(t) = \sum_{k=0}^n \binom{n}{k} (1-t)^{n-k} t^k TP_k = B_{TP}(t).$$

□

**Theorem 2.1.6.**  $B_P(t)$  lies in the convex hull of  $P$  for all  $t \in [0, 1]$ .

*Proof.* By the algorithm of de Casteljau (1), we know that  $P_j^{(i)} = (1-t) \cdot P_j^{(i-1)} + t \cdot P_{j+1}^{(i-1)}$  for all  $t \in [0, 1]$ . Therefore,  $P^{(i)}$  lie in the convex hull of  $P^{(i-1)}$ . But then  $B_P(t) = P_0^{(n)}$  always lies in the convex hull of  $P^{(0)} = P$  by induction. □

Simple as their appearance may be, Beziér curves fall short of representing some of the most common geometric shapes. Given a finite number of control points, we can never make  $B_P(t)$  a circular arc, although a circle has a very simple parametric form. One of their greatest perks, the ability to describe a shape with just a handful of control points, is their greatest shortcoming at the same time. This is most likely the reason why Beziér curves are not the state of the art in technical engineering applications. However, Beziér curves certainly do provide an intuition for Non-Uniform Rational B-Splines or NURBS, which is their prevailing counterpart.

## 2.2 Non-Uniform Rational B-splines (NURBS)

NURBS are a state of the art tool for curve and surface modelling. There is a somewhat common joke that describes the acronym NURBS as "*Nobody Understands Rational B-Splines*" (source?). In this section, we invalidate this punch line. First of all, we discuss B-splines, then we construct B-spline curves and surfaces and then we apply simple transformations to the B-spline curves and surfaces to acquire NURBS curves and surfaces.

### 2.2.1 Definition

Similarly to how Beziér curves are defined on the Bernstein polynomial basis, NURBS are defined on basis functions called basis splines or, more commonly, B-splines.

**Definition 2.2.1.** A *knot sequence*  $(t_m)_{m=-\infty}^{\infty} \subset \mathbb{R}$  is a sequence with  $t_m \leq t_{m+1}$  for all  $m \in \mathbb{Z}$ .

**Definition 2.2.2.** The *B-splines of degree 0* on a knot sequence  $(t_m)$  are defined as

$$N_{1,k}^{(t_m)}(t) := \begin{cases} 1 & \text{if } t \in [t_k, t_{k+1}), \\ 0 & \text{else.} \end{cases} \quad (2.5)$$

The *B-splines of degree  $p-1$*  with  $p > 1$  are given by the *Cox-de-Boor recursion formula*

$$N_{p,k}^{(t_m)}(t) := \omega_{p-1,k}^{(t_m)}(t) N_{p-1,k}^{(t_m)}(t) + (1 - \omega_{p-1,k+1}^{(t_m)}(t)) N_{p-1,k+1}^{(t_m)}(t), \quad (2.6)$$

where

$$\omega_{p,k}^{(t_m)}(t) := \begin{cases} \frac{t-t_k}{t_{k+p}-t_k} & \text{if } t_{k+p} \neq t, \\ 0 & \text{else.} \end{cases} \quad (2.7)$$

**Remark.** Instead of  $N_{p,k}^{(t_m)}$  we write  $N_{p,k}$  and explicitly refer to  $(t_m)$  when necessary. We restrict the domain of definition of  $N_{p,k}$  to  $[0, 1]$  by setting  $\lim_{m \rightarrow -\infty} t_m = 0$  and  $\lim_{m \rightarrow \infty} t_m = 1$ .

**Definition 2.2.3.** A *B-spline curve* of degree  $p-1$  over a set of control points  $P = \{P_0, P_1, \dots, P_n\} \subset \mathbb{R}^d$  and a knot sequence  $(t_m)$  is defined as

$$S_P(t) = \sum_{k=0}^n N_{p,k}(t) P_k.$$

**Definition 2.2.4.** A *NURBS curve*  $C_P(t)$  of degree  $p-1$  with the control points  $P = \{P_0, P_1, \dots, P_n\} \subset \mathbb{R}^d$ , the control weights  $w = (w_0, w_1, \dots, w_n) \subset \mathbb{R}$  and a knot sequence  $(t_m)$  is defined as

$$C_P(t) = \frac{\sum_{k=0}^n N_{p,k}(t) w_k P_k}{\sum_{k=0}^n N_{p,k}(t) w_k}. \quad (2.8)$$

**Remark.** Let  $P \subset \mathbb{R}^d$ . A NURBS curve can alternatively be understood as a projection of a B-spline curve on a transformed set of control points. For this purpose we define the embedding into the weighted vector space

$$\Phi_w : \mathbb{R}^d \rightarrow \mathbb{R}^{d+1}$$

that maps each control point  $P_k = (p_1, \dots, p_d) \in \mathbb{R}^d$  onto  $(w_k p_1, \dots, w_k p_d, w_k) \in \mathbb{R}^{d+1}$ . We also have to define the projection map

$$\Phi^\dagger : \mathbb{R}^{d+1} \rightarrow \mathbb{R}^d$$

that maps each point on the B-spline curve  $S_{\Phi(P)}(t) = (s_1, \dots, s_d, s_{d+1})$  onto  $(\frac{s_1}{s_{d+1}}, \dots, \frac{s_d}{s_{d+1}})$ . We can then define the NURBS curve as

$$C_P(t) = \Phi^\dagger(S_{\Phi_w(P)}(t))$$

which we will make use of later on.

**Theorem 2.2.5.** Let  $w \equiv 1$ . Then  $S_P \equiv \Phi^\dagger(S_{\Phi_w(P)})$ . In other words, NURBS curves are a generalization of B-spline curves.

*Proof.* Since in this case  $\Phi_w((p_1, \dots, p_d)) = (p_1, \dots, p_d, 1)$ , we have that  $\Phi^\dagger(S_{\Phi_w(P)}(t)) = \Phi^\dagger(\Phi_w(S_P(t))) = S_P(t)$ .  $\square$

Now that we have defined B-spline curves and NURBS curves, we can define B-spline surfaces and NURBS surfaces in a similar manner. To do this, we require a grid of knots represented by two knot sequences  $(u_m)_{m=-\infty}^\infty$  and  $(v_m)_{m=-\infty}^\infty$  which satisfy the same conditions as  $(t_m)$  did before. Instead of a one-dimensional set of control points, the surface definition relies on a two-dimensional set of control points. Notice that this grants us control

**Definition 2.2.6.** A *B-spline surface*  $S_P(u, v)$  of degree  $(p-1, q-1)$  over a set of control points  $P = \{P_{i,j} : (i, j) \in \{0, 1, \dots, n_u\} \times \{0, 1, \dots, n_v\}\} \subset \mathbb{R}^d$  on the knot grid  $(u_m), (v_m)$  is defined as

$$S_P(u, v) = \sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u) N_{q,k_v}(v) P_{k_u,k_v},$$

where  $N_{p,k_u}(u) := N_{p,k_u}^{(u_m)}(u)$  and  $N_{q,k_v}(v) := N_{q,k_v}^{(v_m)}(v)$ .

**Definition 2.2.7.** A *NURBS surface*  $C_P(u, v)$  of degree  $(p-1, q-1)$  over a set of control points  $P = \{P_{i,j} : (i, j) \in \{0, 1, \dots, n_u\} \times \{0, 1, \dots, n_v\}\} \subset \mathbb{R}^d$ , the control weights  $w = \{w_{i,j} : (i, j) \in \{0, 1, \dots, n_u\} \times \{0, 1, \dots, n_v\}\} \subset \mathbb{R}$  and the knot grid  $(u_m), (v_m)$  is defined as

$$C_P(u, v) = \frac{\sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u) N_{q,k_v}(v) w_{k_u,k_v} P_{k_u,k_v}}{\sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u) N_{q,k_v}(v) w_{k_u,k_v}},$$

where  $N_{p,k_u}(u) := N_{p,k_u}^{(u_m)}(u)$  and  $N_{q,k_v}(v) := N_{q,k_v}^{(v_m)}(v)$ .

**Remark.** As with NURBS curve, we have the analogous result

$$C_P(u, v) = \Phi^\dagger(S_{\Phi_w(P)}(u, v))$$

for NURBS surfaces.

The notion of the knot sequence  $(t_m)$  is commonly computationally simplified to that of a knot vector  $\tau$ , since  $\tau$  only contains a finite number of elements. For our purposes, we let

$$\tau = (\underbrace{t_0, \dots, t_{p-1}}_{=0}, t_p, \dots, t_n, \underbrace{t_{n+1}, \dots, t_{n+p}}_{=1}),$$

where  $t_k = 0$  for  $k \in \{0, \dots, p-1\}$  and  $t_k = 1$  for  $k \in \{n+1, \dots, n+p\}$ . We still require the monotony property  $t_k \leq t_{k+1}$  for all  $k \in \{0, \dots, n+p\}$ .

## 2.2.2 De Boor's Algorithm

To efficiently calculate points on a B-spline object, Carl-Wilhelm Reinhold de Boor devised an efficient algorithm, the construction of which demonstrates its correctness. Together with the embedding  $\Phi_w$  and the projection  $\Phi^\dagger$  from the Remark for Definition 2.2.4, this algorithm can also be used to calculate points on a NURBS object.

Let  $P = \{P_0, P_1, \dots, P_n\}$  a set of control points,  $(t_m)$  a knot sequence and  $p - 1$  the degree of the B-spline curve  $S(t)$ . Then by the Cox-de-Boor recursion formula, we find

$$\begin{aligned} S(t) &= \sum_{k=0}^n N_{p,k}(t) P_k \\ &= \sum_{k=1}^n \omega_{p-1,k}(t) N_{p-1,k}(t) P_k + \sum_{k=0}^n (1 - \omega_{p-1,k+1}(t)) N_{p-1,k+1}(t) P_k. \end{aligned}$$

Changing the limits of the second term, we can summarize the two terms as

$$\begin{aligned} S(t) &= \sum_{k=1}^n N_{p-1,k}(t) \underbrace{\left[ \omega_{p-1,k}(t) P_k + (1 - \omega_{p-1,k}(t)) P_{k-1} \right]}_{=: P_k^{(1)}(t)} \\ &= \sum_{k=1}^n N_{p-1,k}(t) P_k^{(1)}(t). \end{aligned}$$

Recursively defining

$$P_k^{(j)}(t) := \begin{cases} \omega_{p-j,k} P_k^{(j-1)}(t) + (1 - \omega_{p-j,k}) P_{k-1}^{(j-1)}(t) & \text{if } j > 0, \\ P_k & \text{else,} \end{cases} \quad (2.9)$$

we can repeat this process up to  $p - 2$  more times, finding

$$S(t) = \sum_{k=p-1}^n N_{1,k}(t) P_k^{(p-1)}(t) = P_l^{(p-1)}(t)$$

for  $t \in [t_l, t_{l+1})$ . We can thus use the recursive definition in Equation 2.9 as the key step of our algorithm to compute  $S(t)$ . It is already clear from this place that the points calculated on a B-spline curve are in fact also a cumulated convex combination of control points, just as it is the case with Beziér curves. As zero-values for  $\omega_{i,j}$  (or  $1 - \omega_{i,j}$ ) can be completely omitted by checking multiplicity, we arrive at the following algorithm:

---

**Algorithm 2** de Boor's algorithm for curves
 

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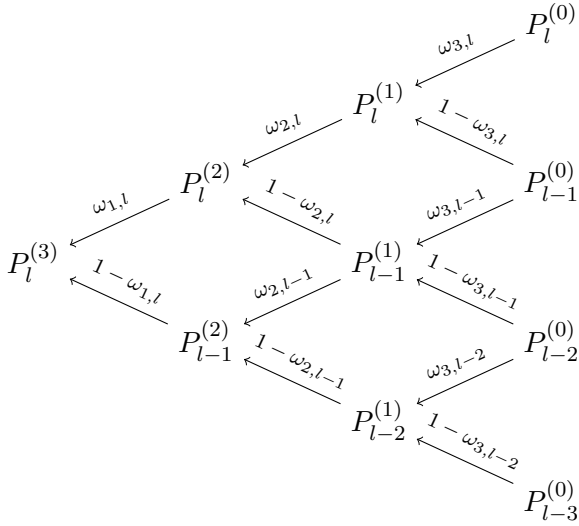
1: Input
2:    $P = \{P_0, P_1, \dots, P_n\}$       set of control points of the B-spline curve
3:    $\tau = (t_0, t_1, \dots, t_{n+p})$     knot vector of the B-spline curve
4:    $p - 1$                             degree of the B-spline curve
5:    $t \in [t_0, t_{n+p})$               real number

6: Output
7:    $S_P(t)$                           the point on the B-spline curve w.r.t. to  $t$ 

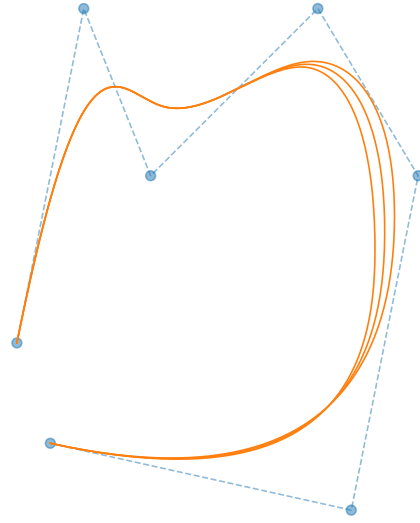
8: procedure DEBOORCURVE( $P, p, \tau, t$ )
9:   Find  $l$  such that  $t \in [t_l, t_{l+1})$ 
10:  Let  $m$  be the multiplicity of  $t$  in the knot vector  $\tau$ 
11:   $P^{(0)} \leftarrow P$ 
12:  for  $j = 1, 2, \dots, p - m - 1$  do
13:    for  $k = l - p + j + 1, \dots, l - m$  do
14:       $\omega_{p-j,k} \leftarrow \frac{t - t_k}{t_{k+p-j} - t_k}$ 
15:       $P_k^{(j)} \leftarrow (1 - \omega_{p-j,k}) \cdot P_{k-1}^{(j-1)} + \omega_{p-j,k} \cdot P_k^{(j-1)}$ 
16:  return  $P_{l-m}^{(p-m-1)} = C_P(t)$ 

```

---



(a) Visualization of de Boor's algorithm on a curve  $S_P(t)$  with degree 3, where  $t$  does not appear in the knot vector  $\tau$  (in other words,  $m = 0$ ).



(b) Degree 3 NURBS curve  $\Phi^\dagger(S_{\Phi_w(P)}(t))$  for different values of a single entry of the control weight vector  $w$ .

Figure 2.3: Calculating points on a NURBS curve.

To calculate one point on the B-spline curve  $S_P$  of degree  $p$ , we require at most  $\frac{p^2-p}{2}$  vector additions and  $p^2 - p$  scalar multiplications, which is quite similar to what we found for Beziér curves. However, we also need to calculate  $\omega_{j,k}$  at every step, which in total sums up to  $p^2 - p$  real additions and  $\frac{p^2-p}{2}$  real multiplications.

By writing the definition of the B-spline surface as

$$S_P(u, v) = \sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u) N_{q,k_v}(v) P_{k_u,k_v} = \sum_{k_u=0}^{n_u} N_{p,k_u}(u) \underbrace{\left( \sum_{k_v=0}^{n_v} N_{q,k_v}(v) P_{k_u,k_v} \right)}_{:= q_{k_u}(v)},$$

we can observe that Algorithm 2 can be utilized to calculate points on B-splines surfaces. To do this, we run the de Boor's algorithm to calculate  $q_{k_u}(v)$ .

---

**Algorithm 3** de Boor's algorithm for surfaces

---

```

1: Input
2:    $P = \{P_{i,j}\}$            set of control points with  $n_1 \cdot n_2$  elements
3:    $\tau_u = (u_0, u_1, \dots, u_{n_u+p})$    first knot vector of the B-spline curve
4:    $\tau_v = (v_0, v_1, \dots, v_{n_v+q})$    second knot vector of the B-spline curve
5:    $p - 1$                    first degree of the B-spline curve
6:    $q - 1$                    second degree of the B-spline curve
7:    $u \in [u_0, u_{n_u+p})$        first real number
8:    $v \in [v_0, v_{n_v+q})$        second real number

9: Output
10:   $S_P(u, v)$                the point on the B-spline curve w.r.t. to  $u, v$ 

11: procedure DEBOORSURFACE( $P, p, q, \tau_u, \tau_v, u, v$ )
12:   Find  $l$  such that  $u \in [u_l, u_{l+1})$ 
13:   Let  $m$  be the multiplicity of  $u$  in the knot vector  $\tau_u$ 
14:   for  $k = l - p + 1, \dots, l - m - 1$  do
15:      $Q_k \leftarrow \text{deBoorCurve}(P_{k,\cdot}, q, \tau_v, v)$ 
16:   return  $\text{deBoorCurve}(Q, p, \tau_u, u)$ 

```

---

Utilizing the notation with the embedding map  $\Phi_w$  and the projection map  $\Phi^\dagger$ , we can calculate points on NURBS objects with these two algorithms. To do this, we employ the following steps on a set of control points  $P$  and the weights  $w$ :

1. Calculate  $P_w = \Phi_w(P)$ .
2. Use de Boor's algorithm to calculate points on  $S_{P_w}$ .
3. Project points onto  $\mathbb{R}^d$  by applying  $\Phi^\dagger$  to  $S_{P_w}$  to find  $C_P$ .

### 2.2.3 Properties

In this section, we will see that B-spline curves and NURBS curves share some (although not all) useful properties with Beziér curves.

**Theorem 2.2.8.** Let  $S_P$  a B-spline curve and  $C_P$  a NURBS curve. Then  $S_P(0) = P_0$  and  $S_P(1) = P_n$  and therefore  $C_P(0) = P_0$  and  $C_P(1) = P_n$ .



*Proof.* By using Algorithm 2, we have  $m = p$  and return  $P_l$  without calculation, which is  $P_0$  in the case of  $t = 0$  and  $P_n$  in the case of  $t = 1$ . Then by  $C_P(t) = \Phi^\dagger(S_{\Phi_w(P)}(t))$ , we have  $C_P(0) = \Phi^\dagger\Phi_w(P_0) = P_0$  and  $C_P(1) = \Phi^\dagger\Phi_w(P_n) = P_n$ .  $\square$

**Theorem 2.2.9.** Let  $T \in \mathbb{R}^{d \times d}$ . Let  $S_P$  be a B-spline curve or surface and  $C_P$  a NURBS curve or surface. Then  $S_{TP} = TS_P$  and  $C_{TP} = TC_P$ .

*Proof.* By linearity of  $T$  we can compute

$$S_{TP}(t) = \sum_{k=0}^n N_{p,k}(t)TP_k = T \left( \sum_{k=0}^n N_{p,k}(t)P_k \right) = TS_P(t)$$

for B-spline curves and

$$C_{TP}(t) = \frac{\sum_{k=0}^n N_{p,k}(t)w_kTP_k}{\sum_{k=0}^n N_{p,k}(t)w_k} = T \left( \frac{\sum_{k=0}^n N_{p,k}(t)w_kP_k}{\sum_{k=0}^n N_{p,k}(t)w_k} \right) = TC_P(t)$$

for NURBS curves. Similarly, we can compute

$$S_{TP}(u, v) = \sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u)N_{q,k_v}(v)TP_{k_u,k_v} = TS_P(u, v)$$

for B-spline surfaces and

$$C_{TP}(u, v) = \frac{\sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u)N_{q,k_v}(v)w_{k_u,k_v}TP_{k_u,k_v}}{\sum_{k_u=0}^{n_u} \sum_{k_v=0}^{n_v} N_{p,k_u}(u)N_{q,k_v}(v)w_{k_u,k_v}} = TC_P(u, v)$$

for NURBS surfaces.  $\square$

**Theorem 2.2.10.** A B-spline curve or surface  $S_P$  lies in the convex hull of its control points  $P$ .

*Proof.* Using Equation 2.9, we can see that every iteration of Algorithm 2 produces a set of points which all lie in the convex hull of the last iteration. Therefore,  $S_P(t) = P_l^{(p-1)}$  lies in the convex hull of  $P^{(0)}$  for all  $l$ .  $\square$

**Remark.** This theorem does not hold for NURBS curves and surfaces  $C_P$ .

## 2.3 Methods on NURBS Objects

### 2.3.1 Point Inversion

Let  $\gamma(t) \subset \mathbb{R}^d$  a curve,  $t \in [0, 1]$ , and let  $Q \in \mathbb{R}^d$  a point. We want to find an element in the set

$$T^* := \arg \min_{t \in [0, 1]} D(\gamma(t), Q),$$

where  $D(\cdot, \cdot)$  is the Euclidean distance between two points. Notice that

$$\min_{t \in [0, 1]} D(\gamma(t), Q) = D(\gamma(t^*), Q),$$

is the distance between the curve  $\gamma$  and the point  $Q$ , and is constant for all  $t^* \in T^*$ .

**Definition 2.3.1.** The distance between a curve  $\gamma \subset \mathbb{R}^d$  and a point  $Q \in \mathbb{R}^d$  is given by

$$D(Q, \gamma) := D(\gamma, Q) := \min_t D(\gamma(t), Q).$$

**Remark.** If  $D(Q, \gamma) = 0$ , then by the point-separating property of the Euclidean norm,  $Q$  lies on the curve  $\gamma$ .

**Theorem 2.3.2.** Let  $\gamma(t) \subset \mathbb{R}^d$  a curve,  $t \in [0, 1]$  and let  $Q \in \mathbb{R}^d$ . If  $\gamma$  has no self-intersections and

$$\min_{t \in [0, 1]} D(\gamma(t), Q) = 0$$

then  $T^*$  is a single element set.

*Proof.* If  $\gamma$  has no self-intersections, then no two parameters of  $\gamma$  will map onto the same point. Therefore,  $\gamma$  is injective. We know  $t^*$  exists such that  $D(\gamma(t^*), Q) = 0$ , which by the point-separating property of the Euclidean norm is equivalent to  $Q = \gamma(t^*)$ . By injectivity, this  $t^*$  is unique.  $\square$

Minimizing distance functions for general curves is, in general, a problem that is hard to solve. We therefore make some assumptions about the point-curve distance function  $\phi(t) := D(\gamma(t), Q)$  that we are going to minimize in order to find one target parameter  $t^* \in T^*$ . If  $\phi$  is convex and differentiable, then the problem statement has a well-known and easy to implement solution, namely Newton's method, which for an initial guess  $t^{(0)}$  is given by the iteration

$$t^{(n+1)} = t^{(n)} - \frac{\phi(t^{(n)})}{\phi'(t^{(n)})}.$$

Here,  $\phi'(t)$  is the derivative of  $\phi(t)$  with respect to  $t$ , which for a small value of  $\epsilon > 0$  can be approximated by the right-sided difference quotient

$$\phi'_r(t) = \frac{\phi(t + \epsilon) - \phi(t)}{\epsilon}.$$

However, to ensure differentiability of  $\phi$  adjacent to  $t$ , it is recommended to compare the value of the right-sided difference quotient to

$$\phi'_l(t) = \frac{\phi(t) - \phi(t - \epsilon)}{\epsilon},$$

which is the left-sided difference-quotient. If  $\phi'_r(t) = \phi'_l(t)$ , then we can assume  $\phi'_l(t) \approx \phi'(t) \approx \phi'_r(t)$ .

Although in CoolingGen, we often cannot make the assumption of differentiability or convexity of  $\phi$ . We therefore employ a pragmatic scheme and assume continuity, piecewise differentiability and piecewise convexity of  $\phi$  and run Newton's method on a small subinterval  $[l, u] \subset [0, 1]$ .

To find  $[l, u]$ , we sample the curve  $\gamma$  at a set of points  $\{t_0, t_1, \dots, t_n\}$  with  $t_i = i/n$  and seek

$$m = \arg \min_{t \in \{t_0, t_1, \dots, t_n\}} \phi(t).$$

We let  $l = m - \frac{i}{n}$  and  $u = m + \frac{i}{n}$ . Next, we can use Newton's method with the start value  $m$  to find

$$t^* = \arg \min_{t \in [l, u]} \phi(t).$$

This scheme will only converge to the global minimum of  $\phi$  for large enough  $n \in \mathbb{N}$ .

Given that the target distance is zero and  $\gamma$  is a NURBS curve, we can observe that the devised scheme is the inversion of de Boor's algorithm (Algorithm 2), that will return one input parameter of the curve that fits the point  $Q$  (in fact, the only input parameter if we also have injectivity of  $\gamma$ ). This justifies the name *point inversion algorithm*.

If the target distance is not equal to zero or the curve is not injective, the algorithm still proves useful to find the distance  $D(Q, \gamma)$ , since the minimum distance is the same for all  $t^* \in T^*$ .

---

**Algorithm 4** Point Inversion

---

```

1: Input
2:    $\gamma, Q$                                 curve and point
3:    $N$                                     number of samples for initial value search
4:    $M$                                     number of iterations in Newton's method
5: Output
6:    $t^*$                                 best parameter of  $\gamma$ 
7: procedure FINDPOINTINVERSIONINITVALUES( $\gamma, Q$ )
8:    $m \leftarrow 0$ 
9:   for  $k = 1, \dots, N$  do
10:     $t \leftarrow \frac{k}{N}$ 
11:    if  $D(Q, \gamma(m)) > D(Q, \gamma(t))$  then  $m \leftarrow t$ 
12:   return  $m - \frac{i}{N}, m + \frac{i}{N}, m$ 
13: procedure POINTINVERSION( $\gamma, Q$ )
14:    $l, u, m \leftarrow \text{findPointInversionInitValues}(\gamma, Q)$ 
15:    $t_0 \leftarrow m$ 
16:   for  $k = 1, 2, \dots, M$  do
17:     $t_1 \leftarrow t_0 + \epsilon$ 
18:     $\phi_0 \leftarrow D(\gamma(t_0), Q)$  and  $\phi_1 \leftarrow D(\gamma(t_1), Q)$ 
19:     $\phi' \leftarrow \frac{\phi_1 - \phi_0}{\epsilon}$ 
20:     $t_0 \leftarrow t_0 - \frac{\phi_0}{\phi'}$ 
21:    if  $t_0 < l$  then  $t_0 \leftarrow l$ 
22:    if  $t_0 > u$  then  $t_0 \leftarrow u$ 
23:   return  $t_0$ 

```

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### 2.3.2 Ray-Curve Intersection in 2D

**Definition 2.3.3.** A *ray* with support vector  $A \in \mathbb{R}^d$  and direction vector  $B \in \mathbb{R}^d$  is given by the set of points

$$\hat{R}_{A,B} = \{A + tB : t \in \mathbb{R}, t \geq 0\}.$$

The dependence of a point on the ray on the real parameter  $t$  motivates the description of this set as a map

$$R_{A,B} : \mathbb{R} \rightarrow \mathbb{R}^d, \quad t \mapsto A + tB.$$

which yields the equality  $\hat{R}_{A,B} = R_{A,B}([0, \infty))$ .

In this section, we want to find an algorithm that intersects a curve  $\gamma(t)$  with  $t \in [0, 1]$  with a ray  $R_{A,B}(s)$  with  $s \in [0, \infty)$ . Generally, the intersection of these two sets can be written as

$$I = \gamma([0, 1]) \cap R_{A,B}([0, \infty)).$$

The algorithm presented will return only up to one element in that set, specifically the one corresponding to the lowest value of the ray parameter  $s$ . If however  $I = \emptyset$ , then no element will be returned.

**Definition 2.3.4.** Let  $R_{A,B}$  a ray and  $\gamma(t)$  a curve. Then the ray-curve-distance w.r.t  $R_{A,B}$  and  $\gamma$  is defined as

$$D(R_{A,B}, \gamma) := D(\gamma, R_{A,B}) := \min_{s \in [0, \infty)} D(\gamma, R_{A,B}(s))$$

**Definition 2.3.5.** Let  $R_{A,B}(s)$  a ray and  $\gamma(t)$  a curve. If a solution to

$$\min_{s \in [0, \infty)} s \quad \text{subject to} \quad D(\gamma, R_{A,B}(s)) = 0$$

exists, then it is called *collision parameter* of  $R_{A,B}$  w.r.t.  $\gamma$ .

We define the return value of our algorithm as the one element set given by

$$I_{\min} := \begin{cases} \{R_{A,B}(s^*)\} & \text{if } D(R_{A,B}(s^*), \gamma) \leq \epsilon, \\ \emptyset & \text{otherwise,} \end{cases}$$

where the absolute tolerance  $\epsilon > 0$  is close to 0 and  $s^*$  is an approximation of the collision parameter of  $R_{A,B}$  w.r.t.  $\gamma$ . If  $I_{\min} \neq \emptyset$ , then we refer to the element in  $I_{\min}$  as *collision point*.

**Remark.** Why is the notion of the collision point of interest? To exemplify the prospect of our algorithm, imagine the following scenario: Let there be a reflective interface represented by a curve  $\gamma$  and a beam of light represented by a ray  $R_{A,B}$ . Then our model suggests the equivalence of the physical point of reflection and the collision point of the ray with respect to the curve.

We can find the approximation  $s^*$  of the collision parameter by using a common CAD scheme called *ray marching*, in which we traverse the ray  $R_{A,B}$  by a small distance increments  $s$  until we achieve collision with the curve  $\gamma$ . How do we choose the optimal value for  $s$ ? The idea is the

following: Using the point inversion algorithm, we can calculate the *safe distance*  $s$  of the point  $A$  on the ray to the curve  $\gamma$ . Then we can easily construct a point  $P$  that fulfills  $D(A, P) = s$  and lies on the ray  $R_{A,B}$  by

$$P := A + s \frac{B}{\|B\|}.$$

Now there are only two possibilities: Either  $P$  lies within the  $\epsilon$ -ball of the collision point, or the line segment  $\overline{AP} \subset R_{A,B}([0, \infty))$  does not intersect with  $\gamma$ . In the first case, we can happily return  $\{P\}$ . In the second case, we can repeat the procedure on the set

$$R_{A,B}([0, \infty)) \setminus \overline{AP} = R_{A,B}([s, \infty)) = R_{P,B}([0, \infty)).$$

If after a certain amount of iterations  $N$  the algorithm does not converge to a point which lies within the  $\epsilon$ -ball of the collision point, we return  $\emptyset$ .

---

**Algorithm 5** Ray Marching

---

```

1: Input
2:    $\gamma$                                 curve
3:    $R_{A,B}$                              ray
4: Output
5:    $I_{\min}$                             intersection set with up to one element
6: procedure INTERSECTCURVERAY( $\gamma, A, B$ )
7:    $B \leftarrow \frac{B}{\|B\|}, s \leftarrow 0, P \leftarrow A$ 
8:   for  $k = 1, 2, \dots, N$  do
9:      $s \leftarrow s + D(\gamma(\text{pointInversion}(\gamma, P)), P)$ 
10:     $P \leftarrow A + sB$ 
11:    if  $s < \epsilon$  then
12:      return  $\{P\}$ 
13: return  $\emptyset$ 

```

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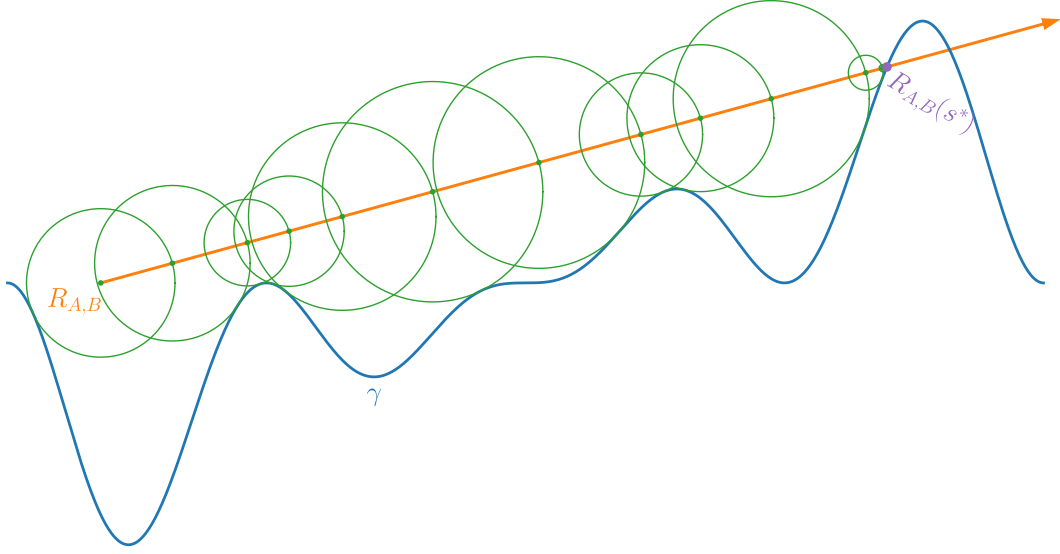


Figure 2.4: The ray marching algorithm visualized: At each iteration, a new safe distance  $s$  (depicted as green circle radii) along the ray is calculated. The ray is traversed using  $s$  as step size (to achieve the points depicted in green) until the safe distance falls below the absolute tolerance  $\epsilon$ . The resulting point, depicted in purple, is close to the collision point.

### 2.3.3 Curve-Curve Intersection in 2D

Let  $\gamma_1(s)$  and  $\gamma_2(t)$  be two continuous curves in  $\mathbb{R}^2$  with  $s, t \in [0, 1]$ . We seek the set of parameters, at which  $\gamma_1$  and  $\gamma_2$  intersect. In other words, we are looking for the set of pairs

$$I(\gamma_1, \gamma_2) := \{(s, t) \in [0, 1]^2 : \gamma_1(s) = \gamma_2(t)\},$$

which we call intersection parameter set. This set can be either of the following types:

1. A singleton set or a union of countably many singleton sets in  $[0, 1]^2$ .
2. A set of line segments in  $[0, 1]^2$ .
3. Any union of countably many singleton sets and line segments in  $[0, 1]^2$ .

We denote the set of points of intersection as

$$V(\gamma_1, \gamma_2) := \{\gamma_1(s) : (s, t) \in I(\gamma_1, \gamma_2)\} = \{\gamma_2(t) : (s, t) \in I(\gamma_1, \gamma_2)\}.$$

If  $I(\gamma_1, \gamma_2)$  is a singleton set or a union of countably many singleton sets, then  $I(\gamma_1, \gamma_2)$  and  $V(\gamma_1, \gamma_2)$  are both nowhere locally connected. On the other hand, if  $I(\gamma_1, \gamma_2)$  is a set of line segments, then  $I(\gamma_1, \gamma_2)$  and  $V(\gamma_1, \gamma_2)$  are both locally path connected.

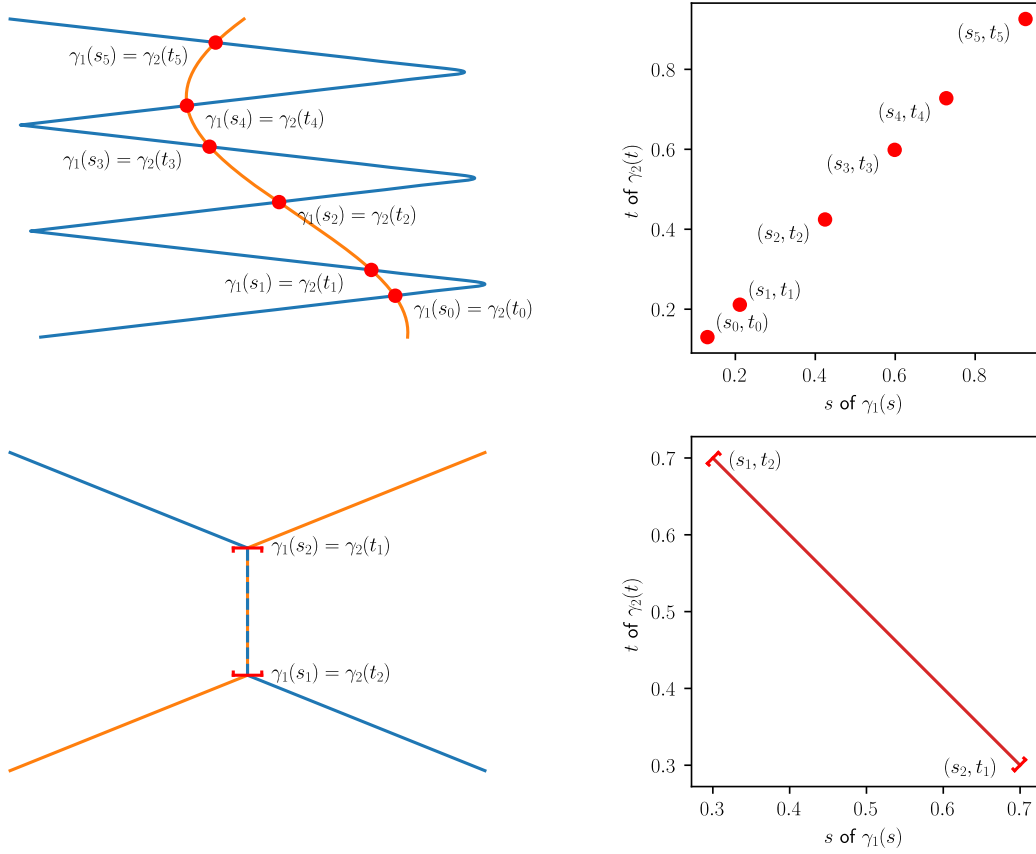


Figure 2.5: Two types of intersection: In the top left, there are two curves which intersect at a union of singleton sets. The red dots represent the set  $V(\gamma_1, \gamma_2)$ . In the top right, the corresponding set  $I(\gamma_1, \gamma_2) \subset [0, 1]^2$  is also represented by red dots. The two curves in the bottom left intersect in such a way that  $V(\gamma_1, \gamma_2)$  is locally path connected. Here, the red brackets symbolize the end points of  $V(\gamma_1, \gamma_2)$ . The parameter representation  $I(\gamma_1, \gamma_2)$  of this intersection in the bottom right is a line.

In CoolingGen, we generally only care about the type of intersections of curves where  $I(\gamma_1, \gamma_2)$  and  $V(\gamma_1, \gamma_2)$  are nowhere locally connected and finite. We employ a strategy that utilizes line segment intersection. Given two line segments  $\overline{A_s A_e}$  and  $\overline{B_s B_e}$ , we can determine whether they intersect using their parametric definitions

$$L_A(t) = (1 - t)A_s + tA_e \quad \text{and} \quad L_B(t) = (1 - t)B_s + tB_e,$$

where  $t \in [0, 1]$ . Similarly to the definition of a ray, we can define  $A_d := A_e - A_s$  and  $B_d := B_e - B_s$  and write

$$L_A(t) = A_s + tA_d \quad \text{and} \quad L_B(t) = B_s + tB_d,$$

respectively.

**Theorem 2.3.6.** If  $L_A$  and  $L_B$  are not parallel, the single intersection point of the lines that

contain  $L_A$  and  $L_B$  is given by  $L_A(t_A) = L_B(t_B)$ , where

$$t_A = \frac{\det \begin{pmatrix} D_y & D_x \\ B_{d_y} & B_{d_x} \end{pmatrix}}{\det \begin{pmatrix} B_{d_x} & B_{d_y} \\ A_{d_x} & A_{d_y} \end{pmatrix}} \quad \text{and} \quad t_B = \frac{\det \begin{pmatrix} D_y & D_x \\ A_{d_y} & A_{d_x} \end{pmatrix}}{\det \begin{pmatrix} B_{d_x} & B_{d_y} \\ A_{d_x} & A_{d_y} \end{pmatrix}}, \quad (2.10)$$

where  $D = B_s - A_s$  and  $t_A, t_B \in \mathbb{R}$ . If  $t_A, t_B \in [0, 1]$ , the line segments intersect in a single point.

**Theorem 2.3.7.** Let  $\gamma_1$  and  $\gamma_2$  be two continuous curves and let  $(s^*, t^*) \in I(\gamma_1, \gamma_2)$  and  $s^*, t^* \neq 0$ . If the curve derivatives yield  $\gamma_1'(s^*) \neq \gamma_2'(t^*)$ , then there exist neighborhoods

$$[s^* - \epsilon, s^* + \epsilon] \quad \text{and} \quad [t^* - \epsilon, t^* + \epsilon]$$

around  $s^*$  and  $t^*$ , respectively, where  $\epsilon > 0$ . Then the line segments

$$\overline{\gamma_1(s^* - \epsilon)\gamma_1(s^* + \epsilon)} \quad \text{and} \quad \overline{\gamma_2(t^* - \epsilon)\gamma_2(t^* + \epsilon)}$$

intersect.

**Remark.** Suppose that the line segments  $\overline{\gamma_1(s_1)\gamma_1(s_2)}$  and  $\overline{\gamma_2(t_1)\gamma_2(t_2)}$  intersect. We cannot conclude that there is an intersection  $(s, t) \in I(\gamma_1, \gamma_2)$  with  $s \in [s_1, s_2]$  and  $t \in [t_1, t_2]$ . Using this theorem as core concept for our algorithm, we need to ensure that the distance between the curves is small inside of  $[s_1, s_2] \times [t_1, t_2]$ . If this is not the case, then no intersection lies inside of  $[s_1, s_2] \times [t_1, t_2]$  and every line segment intersection found within should be discarded.

In our algorithm we sample the curves  $\gamma_1$  and  $\gamma_2$  at  $t_i = \frac{i}{N}$ , where  $i \in \{0, \dots, N\}$ . In the next step we check for an intersection of

$$\overline{\gamma_1(t_i)\gamma_1(t_{i+1})} \quad \text{and} \quad \overline{\gamma_2(t_j)\gamma_2(t_{j+1})}$$

for  $i, j \in \{0, \dots, N-1\}$ . For all intersection pairs  $(i^*, j^*)$ , we calculate the interval midpoint distance

$$D\left(\gamma_1\left(\frac{t_{i^*} + t_{i^*+1}}{2}\right), \gamma_2\left(\frac{t_{j^*} + t_{j^*+1}}{2}\right)\right)$$

and recursively repeat this procedure on the curves  $\gamma_1([t_i, t_{i+1}])$  and  $\gamma_2([t_j, t_{j+1}])$ . If after a given recursion depth, the interval midpoint distance of some pair  $(i^*, j^*)$  is greater than a given absolute tolerance  $\alpha$ , we discard  $(i^*, j^*)$ .



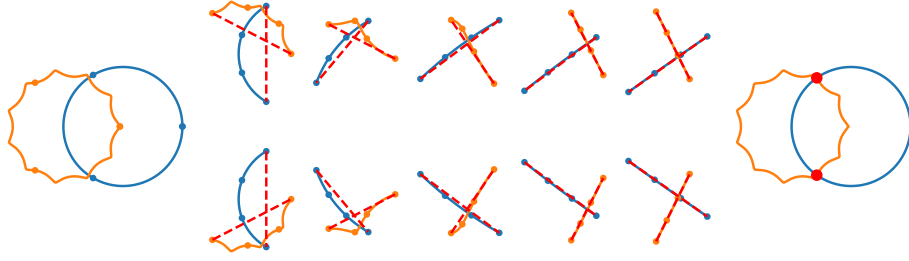


Figure 2.6: We find the intersection of the curves on the left. The two middle rows represent the different steps of the algorithm, where the curves are divided curve segments. If an intersection between end points of these segments occur, that segment is divided again into new segments until a points  $(s, t)$  is found such that  $D(\gamma_1(s), \gamma_2(t)) \leq \epsilon$ .

---

**Algorithm 6** Curve Intersection

---

```
1: Input
2:    $\gamma_1, \gamma_2$            curves on  $[0, 1]$ 
3:    $N$                      number of line segments that approximate the curve
4:    $d_{\max}$                 maximum recursion depth
5:    $\epsilon$                  absolute tolerance value
6: Output
7:    $I(\gamma_1, \gamma_2)$     intersection parameter set
8: procedure GETPIECEWISELINEARINTERPOLATION( $\gamma, l, u, N$ )
9:    $P \leftarrow$  empty vector with  $N + 1$  entries
10:  for  $i = 0, \dots, N$  do
11:     $P_i \leftarrow \gamma\left((u - l)\frac{i}{N} + l\right)$ 
12:  return  $P$ 
13: procedure INTERSECTCURVESRECURSION( $I, \gamma_1, l_1, u_1, \gamma_2, l_2, u_2, d$ )
14:    $m_1 \leftarrow \frac{l_1 + u_1}{2}$ 
15:    $m_2 \leftarrow \frac{l_2 + u_2}{2}$ 
16:   if  $D(\gamma_1(m_1), \gamma_2(m_2)) \leq \epsilon$  then
17:     append  $(m_1, m_2)$  to  $I$ 
18:   return
19:   if  $d \geq d_{\max}$  then return
20:    $P^{(1)} \leftarrow$  getPiecewiseLinearInterpolation( $\gamma_1, 0, 1, N$ )
21:    $P^{(2)} \leftarrow$  getPiecewiseLinearInterpolation( $\gamma_2, 0, 1, N$ )
22:   for  $i = 0, \dots, N - 1$  do
23:     for  $j = 0, \dots, N - 1$  do
24:       if lineSegmentsIntersect( $P_i^{(1)}, P_{i+1}^{(1)}, P_j^{(2)}, P_{j+1}^{(2)}$ ) then
25:          $s_1 \leftarrow (l_1 - u_1)\frac{i}{N} + l_1$ 
26:          $s_2 \leftarrow (l_1 - u_1)\frac{i+1}{N} + l_1$ 
27:          $t_1 \leftarrow (l_2 - u_2)\frac{j}{N} + l_2$ 
28:          $t_2 \leftarrow (l_2 - u_2)\frac{j+1}{N} + l_2$ 
29:         intersectCurvesRecursion( $I, \gamma_1, s_1, s_2, \gamma_2, t_1, t_2, d + 1$ )
30:   return  $I$ 
31: procedure INTERSECTCURVES( $\gamma_1, \gamma_2$ )
32:    $I \leftarrow \emptyset$ 
33:   intersectCurvesRecursion( $I, \gamma_1, 0, 1, \gamma_2, 0, 1, 0$ )
34:  return  $I$ 
```

---

### 2.3.4 Fillet Creation

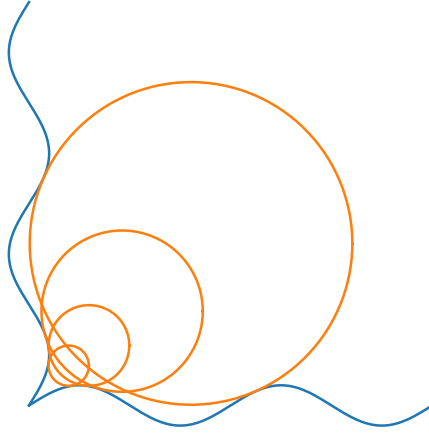


Figure 2.7: yeah

## 2.4 Jet Engine Design Specifics

### 2.4.1 Fundamental Terms

### 2.4.2 The S2M Net

## 3 Results

### 3.1 Cooling Geometries And Their Parametrizations

#### 3.1.1 Chambers

#### 3.1.2 Turnarounds

#### 3.1.3 Slots

#### 3.1.4 Film Cooling Holes

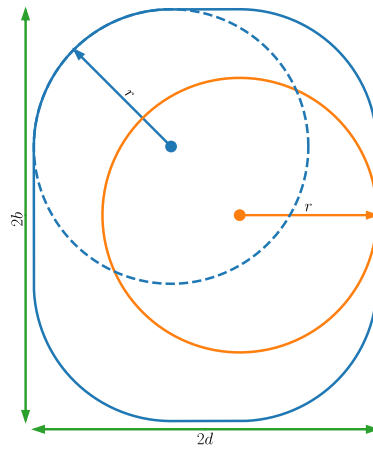


Figure 3.1: yeah

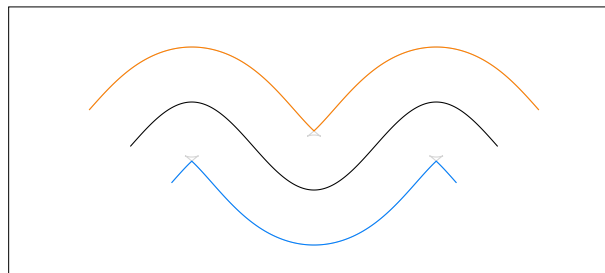


Figure 3.2: yeah

#### 3.1.5 Impingement Inserts

### 3.2 Export for CENTAUR

### 3.3 Export for Open CASCADE

## 4 Discussion

### 4.1 Future Work

### 4.2 Conclusion

[Pie97]

## 5 References

- [Béz68] Pierre E. Bézier. “How Renault Uses Numerical Control for Car Body Design and Tooling”. In: *SAE Technical Paper Series*. SAE International, Feb. 1968. DOI: 10 . 4271/680010.
- [Pie97] Les A. Piegl. *The NURBS book*. Springer, 1997, p. 646. ISBN: 3540615458.