Master's thesis in
Applied Computer Science

CoolingGen

A parametric 3D-modeling software for turbine blade cooling geometries using NURBS

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Institute for Numerical and Applied Mathematics
at the Georg-August-University Göttingen

Institute for Propulsion Technology at the
German Aerospace Center in Göttingen

Bachelor's and master's theses at the Center for
Computational Sciences at the
Georg-August-University Göttingen

Julian Lüken
julian.lueken@dlr.de

Georg-August-University Göttingen
Institute of Computer Science

☎ +49 (551) 39-172000
FAX +49 (551) 39-14403
✉ office@cs.uni-goettingen.de

www.informatik.uni-goettingen.de

I hereby declare that this thesis has been written by myself and no other resources than those mentioned have been used.

Göttingen, August 11, 2022

Abstract

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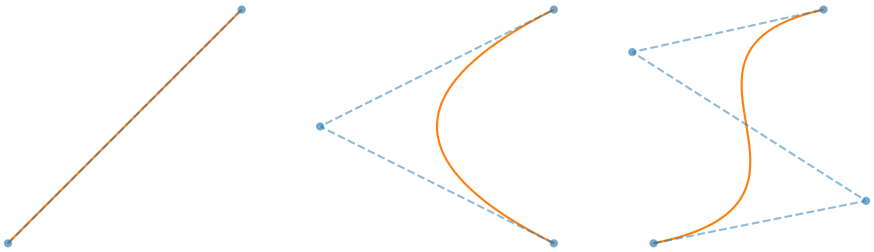
2.1 Bézier Curves

Bézier curves are named after the French engineer Pierre Bézier, who famously utilized them in the 1960s to design car bodies for the automobile manufacturer Renault [Béz68]. Today, they are used in a wide variety of vector graphics applications (i.e. in font representation on computers). At first glance, the definition of the Bézier curve might seem cumbersome, but given the mathematical foundation and a few graphical representations, it becomes apparent why they are such a powerful tool in computer-aided design.



(a) Degree 1. (b) Degree 2. (c) Degree 3.

Figure 2.1: Beziér curves of different degrees (orange) and their control points (blue).

2.1.1 Definition

Definition 2.1.1. The *Bernstein basis polynomials* of degree n on the interval $[t_0, t_1]$ are defined as

$$b_{n,k,[t_0,t_1]}(t) := \frac{\binom{n}{k}(t_1 - t)^{n-k}(t - t_0)^k}{(t_1 - t_0)^n}, \tag{2.1}$$

for $k \in \{0, \dots, n\}$.

Definition 2.1.2. Let V a vector space. A *Bézier curve* of degree n is a parametric curve $B_{P,[t_0,t_1]} : [t_0, t_1] \to V$ that has a representation

$$B_{P,[t_0,t_1]}(t) = \sum_{k=0}^{n} b_{n,k,[t_0,t_1]}(t) P_k = \sum_{k=0}^{n} \frac{\binom{n}{k}(t_1 - t)^{n-k}(t - t_0)^k}{(t_1 - t_0)^n} P_k. \tag{2.2}$$

We call the elements of the set $P = \{P_0, P_1, \dots, P_n\} \subset V$ the *control points* of B_P.

Remark. Let $t_0 = 0$ and $t_1 = 1$. Then 2.2 simplifies to

$$b_{n,k}(t) := b_{n,k,[0,1]}(t) = \binom{n}{k}(1 - t)^{n-k} t^k \tag{2.3}$$

and 2.1 simplifies to

$$B_P(t) := B_{P,[0,1]}(t) = \sum_{k=0}^{n} \binom{n}{k}(1 - t)^{n-k} t^k P_k. \tag{2.4}$$

This case is the only case considered in this thesis. We also set the vector space V to be $\mathbb{R}^3$ unless specified otherwise.

2.1.2 De Casteljau's Algorithm

The computation of equation 2.4 is usually performed using de Casteljau's algorithm. This is because the algorithm yields a simple implementation and lower complexity than straightforwardly computing equation 2.4. The algorithm was proposed by Paul de Faget de Casteljau for the automobile manufacturer Citroën in the 1960s.

Algorithm 1 de Casteljau's algorithm

Input
  $P = \{P_0, P_1, ..., P_n\}$ set of control points
  t real number
Output
  $P_0^{(n)} = B_P(t)$ the point on the Beziér curve w.r.t. to t
procedure deCasteljau(P, t)
  $P^{(0)} \leftarrow P$
  for $j = 1, 2, ..., n$ **do**
    for $k = 0, 1, ..., n - j$ **do**
      $P_k^{(j)} \leftarrow (1 - t) \cdot P_k^{(j-1)} + t \cdot P_{k+1}^{(j-1)}$
  return $P_0^{(n)}$

Theorem 2.1.3. Algorithm 1 computes $B_P(t)$.

Proof. By induction. Let $n = 1$. Then

$$P_0^{(1)} = (1 - t) \cdot P_0 + t \cdot P_1.$$

By employing the induction hypothesis

$$P_j^{(n)} = \sum_{k=j}^{n+j} \binom{n}{k} (1 - t)^{n-k} t^k P_{j+k}$$

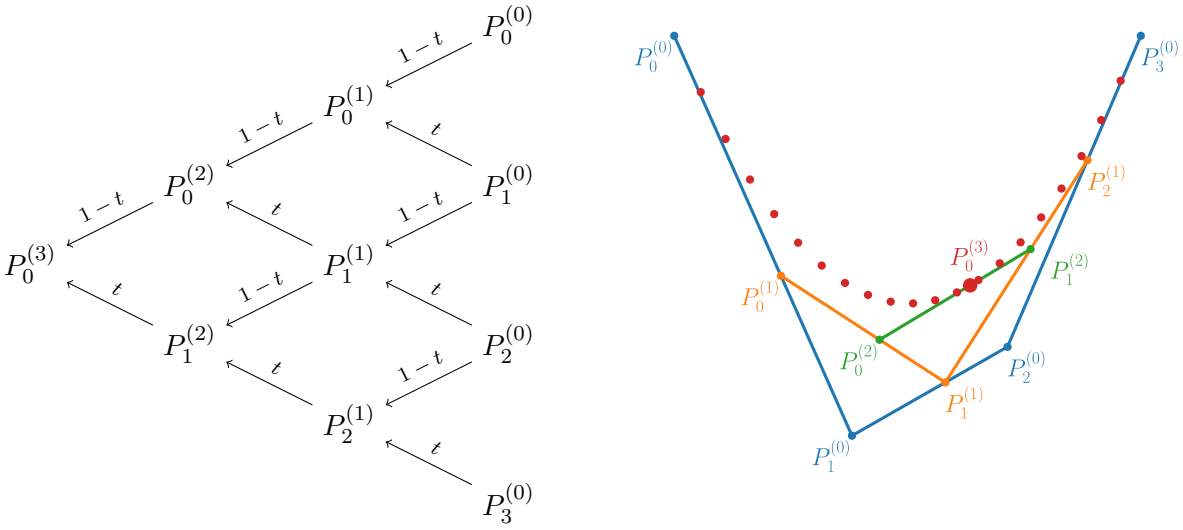
for some $n \in \mathbb{N}$, we can infer that

$$\begin{aligned} P_0^{(n+1)} &= (1 - t) \cdot P_0^{(n)} + t \cdot P_1^{(n)} \\ &= (1 - t) \cdot \sum_{k=0}^{n} \binom{n}{k} (1 - t)^{n-k} t^k P_k + t \cdot \sum_{k=1}^{n+1} \binom{n}{k} (1 - t)^{n-k} t^k P_{k+1} \\ &= \sum_{k=0}^{n+1} \binom{n+1}{k} (1 - t)^{n+1-k} t^k P_k, \end{aligned}$$

which is equal to $B_P(t)$ for degree $n + 1$. □

A visual representation of Algorithm 1 yields a triangular scheme. To compute one point on a Beziér curve B_P with degree n, one has to perform $\frac{n^2-n}{2}$ additions and $n^2 - n$ scalar

multiplications.



(a) Algorithmic visualization.

(b) Geometric visualization of $B_P(\frac{3}{5})$.

Figure 2.2: Visual representations of de Casteljau's algorithm.

Interestingly, the representation of the algorithm in Figure 2.2 also gives rise to an intuitive visualization of the geometric shape of the Beziér curve B_P. For all $i \in \{0, ..., n\}$ and all $j \in \{0, ..., n-i\}$, the point $P_j^{(i+1)}$ is the convex combination (always w.r.t. t) of $P_j^{(i)}$ and $P_{j+1}^{(i)}$. Thus $P_j^{(i+1)}$ always lies on the line segment between $P_j^{(i)}$ and $P_{j+1}^{(i)}$, as can be observed in the example in Figure 2.2.

2.1.3 Properties

Other than being remarkably intuitive, Beziér curves have a lot of properties which make them convenient. In computer-aided design software, most graphical user interfaces rely on the principle of letting the user interactively drag and drop the control points with a mouse, granting them control over the shape of Beziér curve. The following theorems further illustrate why this is a good idea.

Theorem 2.1.4. $B_P(0) = P_0$ and $B_P(1) = P_n$.

Proof. Explicit computation yields

$$B_P(0) = \sum_{k=0}^{n} \binom{n}{k} t^k P_k = \binom{n}{0} P_0 = P_0$$

and

$$B_P(1) = \sum_{k=0}^{n} \binom{n}{k} (1-t)^{n-k} P_k = \binom{n}{n} P_n = P_n.$$

□

Theorem 2.1.5. Let $T \in \mathbb{R}^{3\times 3}$. Then $B_{TP}(t) = TB_P(t)$ where $TP := \{TP_0, TP_1, ..., TP_n\}$.

Proof. For all $t \in [0,1]$ we can directly compute

$$TB_P(t) = \sum_{k=0}^{n} \binom{n}{k} (1-t)^{n-k} t^k TP_k = B_{TP}(t).$$

□

Theorem 2.1.6. $B_P(t)$ lies in the convex hull of P for all $t \in [0,1]$.

Proof. By the algorithm of de Casteljau (1), we know that $P_j^{(i)} = (1-t) \cdot P_j^{(i-1)} + t \cdot P_{j+1}^{(i-1)}$ for all $t \in [0,1]$. Therefore, $P^{(i)}$ lie in the convex hull of $P^{(i-1)}$. But then $B_P(t) = P_0^{(n)}$ always lies in the convex hull of $P^{(0)} = P$ by induction. □

Simple as their appearance may be, Beziér curves fall short of representing some of the most common geometric shapes. Given a finite number of control points, we can never make $B_P(t)$ a circular arc, although a circle has a very simple parametric form. One of their greatest perks, the ability to describe a shape with just a handful of control points, is their greatest shortcoming at the same time. This is most likely the reason why Beziér curves are not the state of the art in technical engineering applications. However, Beziér curves certainly do provide an intuition for Non-Uniform Rational B-Splines or NURBS, which is their prevailing counterpart.

2.2 Non-Uniform Rational B-splines (NURBS)

NURBS are a state of the art tool for curve and surface modelling. There is a somewhat common joke that describes the acronym NURBS as *"Nobody Understands Rational B-Splines"* (source?). In this section, we invalidate this punch line. First of all, we discuss B-splines, then we construct B-spline curves and then we apply simple transformations to the B-spline curve to acquire NURBS.

2.2.1 Definition

Similarly to how Beziér curves are defined on the Bernstein polynomial basis, NURBS are defined on basis functions called basis splines (or more commonly B-splines), which are recursively defined on a *knot sequence* $(t_m)_{m=-\infty}^{\infty} \subset \mathbb{R}$ with $t_m \leq t_{m+1}$ for all $m \in \mathbb{Z}$.

Definition 2.2.1. The *B-splines of degree* 0 on a knot sequence (t_m) are defined as

$$N_{1,k}^{(t_m)}(t) := \begin{cases} 1 & \text{if } t \in [t_k, t_{k+1}), \\ 0 & \text{else.} \end{cases} \tag{2.5}$$

The *B-splines of degree* $p-1$ with $p > 1$ are given by the *Cox-de-Boor recursion formula*

$$N_{p,k}^{(t_m)}(t) := \omega_{p-1,k}^{(t_m)}(t)\, N_{p-1,k}^{(t_m)}(t) + (1 - \omega_{p-1,k+1}^{(t_m)}(t))\, N_{p-1,k+1}^{(t_m)}(t), \tag{2.6}$$

where

$$\omega_{p,k}^{(t_m)}(t) := \begin{cases} \frac{t-t_k}{t_{k+p}-t_k} & \text{if } t_{k+p} \neq t, \\ 0 & \text{else.} \end{cases} \tag{2.7}$$

Remark. Instead of $N_{p,k}^{(t_m)}$ we write $N_{p,k}$ and explicitly refer to (t_m) when necessary. We restrict the domain of definition of $N_{p,k}$ to $[0,1)$ by setting $\lim_{m\to-\infty} t_m = 0$ and $\lim_{m\to\infty} t_m = 1$.

Definition 2.2.2. Let V a vector space. A *B-spline curve* of degree $p-1$ over a set of control points $P = \{P_0, P_1, ...P_n\} \subset V$ is defined as

$$S_P(t) = \sum_{k=0}^{n} N_{p,k}(t) P_k.$$

Definition 2.2.3. A *NURBS curve* $C_P(t)$ of degree $p-1$ with the control points $P = \{P_0, P_1, ..., P_n\} \subset V$, the control weights $w = (w_0, w_1, ...w_n) \subset \mathbb{R}$ and a knot sequence (t_m) is defined as

$$C_P(t) = \frac{\sum_{k=0}^{n} N_{p,k}(t) w_k P_k}{\sum_{k=0}^{n} N_{p,k}(t) w_k}. \tag{2.8}$$

Remark. Let $\dim V = d$. A NURBS curve can alternatively be understood as a projection of a B-spline curve on a transformed set of control points. For this purpose we define the embedding into the weighted vector space

$$\Phi_w : V \to V \times \mathbb{R}$$

that maps each control point $P_k = (p_1, ..., p_d) \in V$ onto $(w_k p_1, ..., w_k p_d, w_k) \in V \times \mathbb{R}$. We also have to define the projection map

$$\Phi^\dagger : V \times \mathbb{R} \to V$$

that maps each point on the B-spline curve $S_{\Phi(P)}(t) = (s_1, ..., s_d, s_{d+1})$ onto $(\frac{s_1}{s_{d+1}}, ..., \frac{s_d}{s_{d+1}})$. We can then define the NURBS curve as

$$C_P(t) = \Phi^\dagger(S_{\Phi_w(P)}(t))$$

which we will make use of later on.

Theorem 2.2.4. Let $w \equiv 1$. Then $S_P \equiv \Phi^\dagger(S_{\Phi_w(P)})$. In other words, NURBS curves are a generalization of B-spline curves.

Proof. Since in this case $\Phi_w((p_1, ..., p_d)) = (p_1, ..., p_d, 1)$, we have that $\Phi^\dagger(S_{\Phi_w(P)}(t)) = \Phi^\dagger(\Phi_w(S_P(t))) = S_P(t)$. □

The notion of the knot sequence (t_m) is commonly computationally simplified to that of a knot vector τ, since τ only contains a finite number of elements. For our purposes, we let

$$\tau = (\underbrace{t_0, ..., t_{p-1}}_{=0}, t_p, ..., t_n, \underbrace{t_{n+1}, ..., t_{n+p}}_{=1}),$$

where $t_k = 0$ for $k \in \{0, ..., p-1\}$ and $t_k = 1$ for $k \in \{n+1, ..., n+p\}$. We still require the monotony property $t_k \leq t_{k+1}$ for all $k \in \{0, ..., n+p\}$.

2.2.2 De Boor's Algorithm

To efficiently calculate points on a B-spline curve, Carl-Wilhelm Reinhold de Boor devised an efficient algorithm, the construction of which demonstrates its correctness. Together with the

embedding Φ_w and the projection $\Phi^\dagger$ from the Remark for Definition 2.2.3, this algorithm can also be used to calculate points on a NURBS curve.

Let $P = \{P_0, P_1, ..., P_n\}$ a set of control points, (t_m) a knot sequence and $p-1$ the degree of the B-spline curve $S(t)$. Then by the Cox-de-Boor recursion formula, we find

$$\begin{aligned} S(t) &= \sum_{k=0}^{n} N_{p,k}(t)\, P_k \\ &= \sum_{k=1}^{n} \omega_{p-1,k}(t)\, N_{p-1,k}(t)\, P_k \;+\; \sum_{k=0}^{n} (1 - \omega_{p-1,k+1}(t))\; N_{p-1,k+1}(t)\, P_k. \end{aligned}$$

Changing the limits of the second term, we can summarize the two terms as

$$\begin{aligned} S(t) &= \sum_{k=1}^{n} N_{p-1,k}(t)\; \underbrace{\left[\omega_{p-1,k}(t)\, P_k \;+\; (1 - \omega_{p-1,k}(t))\; P_{k-1}\right]}_{=:P_k^{(1)}(t)} \\ &= \sum_{k=1}^{n} N_{p-1,k}(t)\, P_k^{(1)}(t). \end{aligned}$$

Recursively defining

$$P_k^{(j)}(t) := \begin{cases} \omega_{p-j,k}\, P_k^{(j-1)}(t) \;+\; (1 - \omega_{p-j,k})\, P_{k-1}^{(j-1)}(t) & \text{if } j > 0, \\ P_k & \text{else,} \end{cases} \tag{2.9}$$

we can repeat this process up to $p-2$ more times, finding

$$S(t) = \sum_{k=p-1}^{n} N_{1,k}(t)\, P_k^{(p-1)}(t) = P_l^{(p-1)}(t)$$

for $t \in [t_l, t_{l+1})$. We can thus use the recursive definition in Equation 2.9 as the key step of our algorithm to compute $S(t)$. It is already clear from this place that the points calculated on a B-spline curve are in fact also a cumultated convex combination of control points, just as it is the case with Beziér curves. As zero-values for $\omega_{i,j}$ (or $1 - \omega_{i,j}$) can be completely omitted by checking multiplicity, we arrive at the following algorithm:

Algorithm 2 de Boor's algorithm

Input

$P = \{P_0, P_1, ..., P_n\}$ set of control points of the B-spline curve

$\tau = (t_0, t_1, ..., t_{n+p})$ knot vector of the B-spline curve

$p - 1$ degree of the B-spline curve

$t \in [t_0, t_{n+p})$ real number

Output

$C_P(t)$ the point on the NURBS curve w.r.t. to t

procedure DEBOOR(P, p, τ, t)

  Find l such that $t \in [t_l, t_{l+1})$

  Let m be the multiplicity of t in the knot vector τ

  $P^{(0)} \leftarrow P$

  for $j = 1, 2, ..., p - m - 1$ **do**

    for $k = l - p + j + 1, ..., l - m$ **do**

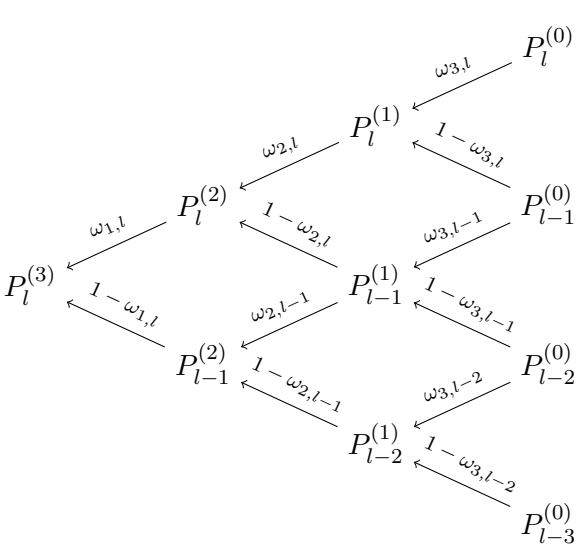
      $\omega_{p-j,k} \leftarrow \frac{t - t_k}{t_{k+p-j} - t_k}$

      $P_k^{(j)} \leftarrow (1 - \omega_{p-j,k}) \cdot P_{k-1}^{(j-1)} + \omega_{p-j,k} \cdot P_k^{(j-1)}$

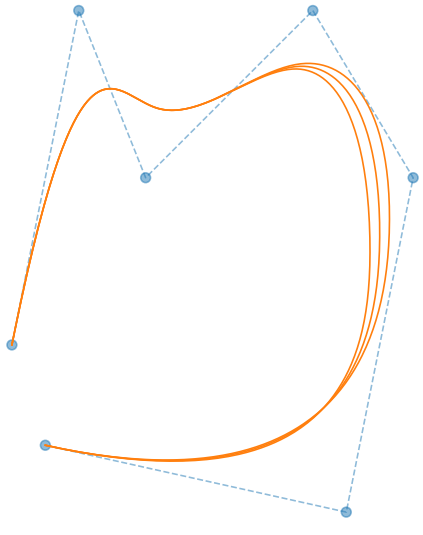
  return $P_{l-m}^{(p-m-1)} = C_P(t)$

Theorem 2.2.5. Algorithm 2 computes $S(t)$.

Proof. By construction. □



(a) Visualization of de Boor's algorithm on a curve $S_P(t)$ with degree 3, where t does not appear in the knot vector τ (in other words, $m = 0$.



(b) Degree 3 NURBS curve $\Phi^{\dagger}(S_{\Phi_w(P)}(t))$ for different values of a single entry of the control weight vector w.

Figure 2.3: Calculating points on a NURBS curve.

Algorithm 2, which calculates points on B-spline curves, is in fact a generalization of Algorithm 1, which calculates points on Beziér curves. In both algorithms, a nested convex combi-

nation of the control points is calculated. In the former, the scalars by which we multiply in each step additionally vary depending on the knot vector. Utilizing the embedding map Φ_w and the projection map $\Phi^\dagger$, weights can be added to the control points, making the curve a NURBS curve, granting even more control over the shape of the curve. To calculate points on a NURBS curve using Algorithm 2 we can employ the following steps on a set of control points P and the weights w:

1. Calculate $P_w = \Phi_w(P)$.
2. Use de Boor's algorithm to calculate points on S_{P_w}.
3. Project points onto V by applying $\Phi^\dagger$ to S_{P_w} to find $C_P(t)$.

2.2.3 Properties

In this section, we will see that B-spline curves and NURBS curves share some (although not all) useful properties with Beziér curves, which makes them easy to work with.

Theorem 2.2.6. $S_P(0) = P_0$ and $S_P(1) = P_n$ and therefore $C_P(0) = P_0$ and $C_P(1) = P_1$.

Proof. By using Algorithm 2, we have $m = p$ and return P_l without calculation, which is P_0 in the case of $t = 0$ and P_n in the case of $t = 1$. Then by $C_P(t) = \Phi^\dagger(S_{\Phi_w(P)}(t))$, we have $C_P(0) = \Phi^\dagger\Phi_w(P_0) = P_0$ and $C_P(1) = \Phi^\dagger\Phi_w(P_n) = P_n$. □

Theorem 2.2.7. Let $V = \mathbb{R}^d$ and $T \in \mathbb{R}^{d\times d}$. Then $S_{TP}(t) = TS_P(t)$ and therefore $C_{TP}(t) = TC_P(t)$.

Proof. By substituting P with TP in Equation 2.9, we find by linearity of T, that

$$TP_k^{(j)}(t) := \begin{cases} \omega_{p-j,k}\, TP_k^{(j-1)}(t) \;+\; (1-\omega_{p-j,k})\, TP_{k-1}^{(j-1)}(t) & \text{if } j > 0, \\ TP_k & \text{else.} \end{cases}$$

Thus we find $S_{TP}(t) = TP_l^{(p-1)}(t) = TS_P(t)$. We know $C_{TP}(t) = \Phi^\dagger(S_{\Phi_w(TP)}(t))$. For all k, we can write $\Phi_w(TP_k) = \Phi_w(T(p_1, p_2, ..., p_d))$ as $(w_kT_1P_k, w_kT_2P_k, ..., w_kT_dP_k, w_k) = (w_kTP_k, w_k)$, where T_i denote the rows of T. Then the first d entries of $S_{(w_kTP_k,w_k)}(t)$ are equal to $TS_{w_kP_k}(t)$ and the remaining entry is equal to $S_{w_k}(t)$. By applying $\Phi^\dagger$, we finally arrive at

$$\frac{\sum_{k=0}^n N_{p,k}(t) w_k TP_k}{\sum_{k=0}^n N_{p,k}(t) w_k} = TC_P(t).$$

□

Theorem 2.2.8. A B-spline curve $S_P(t)$ lies in the convex hull of its control points P.

Proof. Using Equation 2.9, we can see that every iteration of Algorithm 2 produces a set of points which all lie in the convex hull of the last iteration. Therefore, $S_P(t) = P_l^{(p-1)}$ lies in the convex hull of $P^{(0)}$ for all l. □

Remark. This theorem does not hold for NURBS curves $C_P(t)$.

2.3 Methods on NURBS Objects

2.3.1 Affine Transformations

2.3.2 The Frenet-Serret Apparatus

2.3.3 Finding Intersections

2.3.4 Interpolation

2.4 Jet Engine Design Specifics

2.4.1 Fundamental Terms

2.4.2 The S2M Net

2.4.3 Fillet Creation

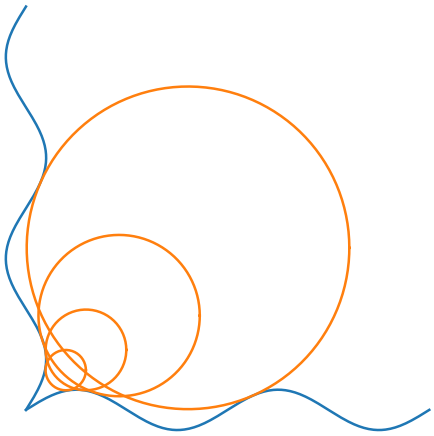


Figure 2.4: yeah

3 Results

3.1 Cooling Geometries And Their Parametrizations

3.1.1 Chambers

3.1.2 Turnarounds

3.1.3 Slots

3.1.4 Film Cooling Holes

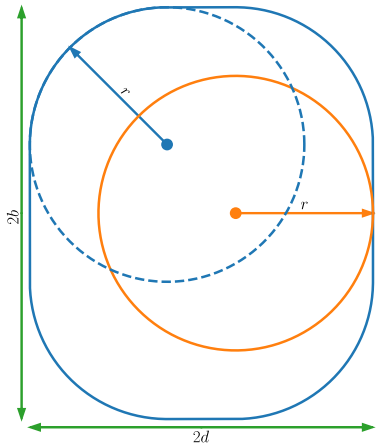


Figure 3.1: yeah

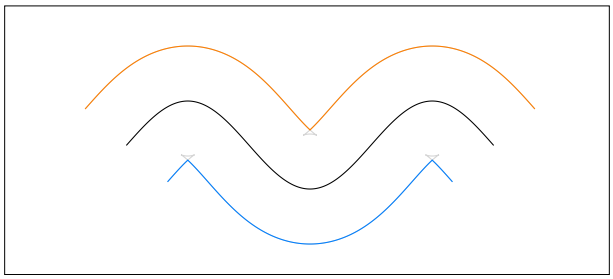


Figure 3.2: yeah

3.1.5 Impingement Inserts

3.2 Export for CENTAUR

3.3 Export for Open CASCADE

4 Discussion

4.1 Future Work

4.2 Conclusion

[Pie97]

5 References

[Béz68] Pierre E. Bézier. "How Renault Uses Numerical Control for Car Body Design and Tooling". In: *SAE Technical Paper Series*. SAE International, Feb. 1968. DOI: 10.4271/680010.

[Pie97] Les A. Piegl. *The NURBS book*. Springer, 1997, p. 646. ISBN: 3540615458.