# Ugeopgave 2

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### Task 1

# Optimal Substructure

The problem exhibits optimal substructure because any optimal solution to a problem of size n>0 contains within it optimal solutions to subproblems. If for example we consider a sequence of n heights  $\{h_1,\ldots,h_n\}$ , an optimal solution s, can be obtained by adding to optimal solutions  $s'=\{s'_1,\ldots,s'_p\}$  and  $s''=\{s''_1,\ldots,s''_q\},\ p,q\leq n-1$ , for the smaller sequence  $\{h_1,\ldots,h_{n-1}\}$ :

$$s = \begin{cases} \{s'_1, \dots, s'_p, h_n\} & s'_p < h_n \text{ and } s'_{p-1} > s'_p \\ \{s''_1, \dots, s''_p, h_n\} & s''_p > h_n \text{ and } s''_{p-1} < s''_p \\ s' & \text{otherwise} \end{cases}$$

In the event that both s' and s'' exist to satisfy the two first clauses above, either result will be an optimal solution. If n = 0, the empty subsequence is the only optimal solution.

### Overlapping Subproblems

The problem exhibits overlapping subproblems because for each iteration, we need to consider multiple optimal solutions for each subproblem. Therefore unless information for these is retained, the algorithm will need to solve the same subproblem more than once.

### Task 2

The length of a longest zig-zag subsequence of  $\{h_1, \ldots, h_n\}$  with largest element  $m = \max_{1 \le k \le n} (h_k)$  can be described by the following recursive definition:

$$l_n = \max(u_{[n,1]}, d_{[n,m]})$$

$$u_{[n,j]} = \begin{cases} 0 & n = 0 \\ \max\left(u_{[n-1,j]}, 1 + d_{[n-1,h_n]}, \max_{j < k \le m}(u_{[n,k]})\right) & n > 0 \text{ and } j < h_n \\ u_{[n-1,j]} & \text{otherwise} \end{cases}$$

$$d_{[n,j]} = \begin{cases} 0 & n = 0 \\ \max\left(d_{[n-1,j]}, 1 + u_{[n-1,h_n]}, \max_{1 \le k < j} (d_{[n,k]})\right) & n > 0 \text{ and } j > h_n \\ d_{[n-1,j]} & \text{otherwise} \end{cases}$$

In this definition, each  $u_{[n,j]}$  denotes the length of a longest subsequence 'zigging' up to a value v > j, and each  $d_{[n,j]}$  denotes the length of a longest subsequence 'zagging' down to a value v < j.

# Task 3

We prove the correctness of the algorithm using mathematical induction over n. The base case is n=0, the empty sequence of bottles. For this sequence, any subsequence is trivially empty and therefore identical to the full sequence. This makes the empty sequence an optimal solution to the problem for n=0.

Turning to the inductive step, we assume that the definitions presented in the answer to Task 2 of  $u_{[n-1,j]}$  and  $d_{[n-1,j]}$  hold for n > 0 and  $1 \le j \le m$ .

Looking at the definition of  $u_{[n,j]}$ , we recognize two possible cases: If  $j < h_n$ , we have  $u_{[n,j]} = \max(u_{[n-1,j]}, 1+d_{[n-1,h_n]}, \max_{j < k \le m}(u_{[n,k]}))$ . This is correct since  $u_{[n,j]}$  denotes the length of a longest subsequence zigging up to a value v > j; either this subsequence already zigged up to v earlier in the sequence than v, or it zagged down to a value v' < j, in which case adding v to the subsequence increases its length by 1, or it is produced by one of the  $u_{[n,j']}$ , where v is v in the subsequence and we get v in the subsequence and

Similarly looking at the definition of  $d_{[n,j]}$ , we recognize two possible cases: If  $j > h_n$ , we have  $d_{[n,j]} = \max(d_{[n-1,j]}, 1 + u_{[n-1,h_n]}, \max_{1 \le k < j} (d_{[n,k]}))$ . Again this is correct since  $d_{[n,j]}$  denotes the length of a longest subsequence 'zagging' down to a value v < j; either this subsequence already zagged down to v earlier in the sequence than n, or it zigged up to a value v' > j, in which case adding  $h_n$  to the subsequence increases its length by 1, or it is produced by one of the  $d_{[n,j']}$ , where j' < j. If  $j \le h_n$ ,  $h_n$  cannot contribute and we get  $d_{[n,j]} = d_{[n-1,j]}$ .

This concludes the inductive step, and by the principles of mathematical induction we have shown the correctness of  $u_{[n,j]}$  and  $d_{[n,j]}$  for  $n\geq 0$  and  $1\leq j\leq m$ . Given that  $u_{[n,1]}$  denotes the length of a longest subsequence zigging up to a value v>1 and that  $d_{[n,m]}$  denotes the length of a longest subsequence zagging down to a value v'< m, an optimal solution has to be found by one or both of them. Therefore  $l_n$  as defined in the answer to Task 2 is also correct.

## Task 4

# Running Time

### Memory Usage

We will prove the running time and memory usage of the algorithm by analyzing the recursive steps required and by making assumptions about which subsolutions are stored for later retrieval.

## Task 5

Still to do.