### **Boris Hanin**

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| EMPLOYMENT | 2020 - present | Assistant Professor, ORFE, Princeton  |
|------------|----------------|---|
|            | 2017 - 2020    | Assistant Professor, Department of Mathematics, Texas A&M   |
|            | 2014 - 2017    | NSF Postdoctoral Fellow, Department of Mathematics, MIT (Sponsoring Scientist: Professor Alice Guionnet)  |
| VISITING   | Spring 2020    | Visiting Scientist, Google, Mountain View, CA   |
| Positions  | Summer 2019    | Visiting Scientist, Foundations of Deep Learning Program, Simons Institute, Berkeley, CA  |
|            | Spring 2019    | Visiting Scientist, Facebook AI Research, NYC   |
| Education  | 2009 - 2014    | Ph.D. in Mathematics, Northwestern University   |
|            | 2005 - 2009    | B.S. in Mathematics (with honors), Stanford University  |
| Awards and | 2024 - 2027    | Sloan Fellowship in Mathematics   |
| Grants     | 2022           | Alfred Rheinstein Faculty Award (School of Engineering and Applied Sciences at Princeton)   |
|            | 2022 - 2027    | CAREER: Random Neural Nets and Random Matrix Products (NSF DMS-2143754, \$577,241)  |
|            | 2022 - 2025    | Collaborative Research: Probabilistic, Geometric, and Topological Analysis of Neural Networks, From Theory to Applications (NSF DMS-2133806, \$500,000) |
|            | 2019 - 2023    | Random Neural Networks (NSF DMS-1855684, \$150,000)   |
|            | 2014 - 2017    | NSF Postdoctoral Fellowship (DMS-1400822, \$150,000)  |
|            |                |   |

# SUBMITTED FOR PUBLICATION

- J. Q. Davis, B. Hanin, L. Chen, P. Bailis, I. Stoica. M. Zaharia. Networks of Networks: Complexity Class Principles Applied to Compound AI Systems Design. Available online: arXiv:2407.16831.
- 2. B. Hanin, A. Zlokapa Bayesian Inference with Deep Weakly Nonlinear Networks, Available online: arXiv:2405.16630.
- 3. L. Chen, J. Q. Davis, B. Hanin, P. Bailis, M. Zaharia, I. Stoica, and J. Zou. Are More LLM Calls All You Need? Towards Scaling Laws of Compound Inference Systems. Available online: arXiv:2403.02419.
- 4. S. Favaro, B. Hanin, D. Marinucci, I. Nourdin, and G. Peccati. *Quantitative CLTs in Deep Neural Networks*, Available online: arXiv:2307.06092.

#### JOURNAL ARTICLES ON NEURAL NETS

- 1. G. DeZoort, B. Hanin. Principles for Initialization and Architecture Selection in Graph Neural Networks with ReLU Activations. In Press: SIAM Journal on Mathematics of Data Science, 2024.
- 2. Hanin, B. Random Fully Connected Neural Networks as Perturbatively Solvable Hierarchies. In Press: Journal of Machine Learning Research, 2024.

- 3. Hanin, B., Zlokapa, A., *Bayesian Interpolation with Deep Linear Networks*. In Press: Proceedings of the National Academy of Sciences. Volume 120, No. 23., Pages e2301345120, 2023.
- 4. Hanin, B. Random Neural Networks in the Infinite Width Limit as Gaussian Processes. The Annals of Applied Probability 33 (6A), 4798-4819, 2023.
- 5. Hanin, B. and Paouris G. Non-asymptotic Results for Singular Values of Gaussian Matrix Products. Geometric and Functional Analysis 31 (2), 268-324, 2021.
- DeVore, R., Hanin, B. and Petrova, G. Neural Network Approximation. Acta Numerica 30, 327-444, 2021.
- 7. Daubechies, I., DeVore, R., Foucart, S., Hanin, B. and Petrova, G. *Nonlinear Approximation and (Deep) ReLU Nets.* Constructive Approximation, 1-46, 2021.
- 8. Hanin, B. and Nica, M. Products of Many Large Random Matrices and Gradients in Deep Neural Networks. Communications in Mathematical Physics, 1-36, 2019.
- 9. Hanin, B. Universal Function Approximation by Deep Neural Nets with Bounded Width and ReLU Activations. Mathematics 2019, 7(10), 992 (Special Issue on Computational Mathematics, Algorithms, and Data Processing).

#### CONFERENCE ARTICLES ON NEURAL NETS

- Hanin, B., Iyer, G., Rolnick, D., Maximal Initial Learning Rates in Deep ReLU Networks. Proceedings of the 40th International Conference on Machine Learning, PMLR 202:14500-14530, 2023. Available online: arXiv:2212.07295.
- 2. Chen, W., Huang, W. Gong, X., Hanin, B. and Wang, Z. Deep Architecture Connectivity Matters for Its Convergence: A Fine-Grained Analysis. NeurIPS 2022. Available online: arXiv:2205.05662.
- 3. Hanin, B., Jeong, R., and Rolnick, D. Deep ReLU Networks Preserve Expected Length. ICLR 2022. Available online: arXiv:2102.10492.
- 4. Hanin, B. and Sun, Y. Data Augmentation as Stochastic Optimization. NeurIPS 2021. Available online: arXiv:2010.11171.
- 5. Hanin, B. and Nica, M. Finite Depth and Width Corrections to the Neural Tangent Kernel. Spotlight ICLR 2020.
- 6. Hanin, B. and Rolnick, D. Deep ReLU Nets have Surprisingly Few Activation Regions. Accepted: Advances in Neural Information Processing Systems, 2019.
- 7. Hanin, B. and Rolnick, D. Complexity of Linear Regions in Deep Networks. International Conference on Machine Learning, 2019.
- 8. Hanin, B. Which Neural Net Architectures Give Rise to Exploding and Vanishing Gradients?. NIPS 2018.
- 9. Hanin, B. and Rolnick, D. How to Start Training: The Effect of Initialization and Architecture. NIPS 2018.

#### JOURNAL ARTICLES ON SPECTRAL THEORY

- 1. Hanin, B. and Zelditch, S. Scaling Asymptotics of Spectral Wigner Functions. Journal of Physics A 55 (41), 2022. Special Edition on Claritons and the Asymptotics of Ideas: the Physics of Michael Berry.
- 2. Hanin, B. and Zelditch, S. Interface Asymptotics of Wigner-Weyl Distributions for the Harmonic Oscillator. Journal d'Analyse, Volume 147, pages 69-98, 2022.
- 3. Hanin, B. and Zelditch, S. Interface Asymptotics of Eigenspace Wigner distributions for the Harmonic Oscillator. Communications in Partial Differential Equations 45 (11), 1589-1620, 2021.

- Canzani, Y. and Hanin, B. Local Universality for Zeros and Critical Points of Monochromatic Random Waves. Communications in Mathematical Physics 378 (3), 1677-1712, 2020.
- 5. Hanin, B. and Beck, T. Level Spacings and Nodal Sets at Infinity for Radial Perturbations of the Harmonic Oscillator. Int. Math Research Notices. 2018.
- Beck, T., Hanin, B., and Hughes, S. Nodal Sets of Functions with Finite Vanishing Order. Calculus of Variations and PDE. Calc. Var. (2018) 57: 140.
- Hanin, B., Zelditch, S., and Zhou, P. Scaling of Harmonic Oscillator Eigenfunctions and Their Nodal Sets Around the Caustic. Communications in Mathematical Physics. Vol. 350, no. 3, pp. 1147–1183, 2017.
- 8. Canzani, Y. and Hanin, B.  $C^{\infty}$  Scaling Asymptotics for the Spectral Function of the Laplacian. The Journal of Geometric Analysis. January 2018, Volume 28, Issue 1, pp 111 122.
- Canzani, Y. and Hanin, B. Scaling Limit for the Kernel of the Spectral Projector and Remainder Estimates in the Pointwise Weyl Law. Analysis and PDE, Vol. 8 (2015), No. 7, pp. 1707-1731.
- Canzani, Y. and Hanin, B. High Frequency Eigenfunction Immersions and Supremum Norms of Random Waves. Electronic Research Announcements. MS 22, no. 0, January 2015, pp. 76 - 86.
- Hanin, B., Zelditch, S., and Zhou, P. Nodal Sets of Random Eigenfunctions for the Isotropic Harmonic Oscillator, International Mathematics Research Notices, Vol. 2015, No. 13, pp. 4813 - 4839.

#### JOURNAL ARTICLES ON RANDOM POLYNOMIALS

- Hanin, B. Pairing of Zeros and Critical Points for Random Meromorphic Functions on Riemann Surfaces. Mathematics Research Letters, Vol. 22 (2015), No. 1, pp. 111-140.
- 2. Hanin, B., Epstein, M., and Lundberg E. *The Lemniscate Tree of a Random Polynomial*. Annales de l'Institut Fourier, 70 (4), 1663-1687, 2020.
- 3. Hanin, B. Pairing of Zeros and Critical Points for Random Polynomials. Annales de l'Institut Henri Poincaré (B). Volume 53, Number 3 (2017), 1498-1511.
- 4. Hanin, B. Correlations and Pairing Between Zeros and Critical Points of Gaussian Random Polynomials. International Math Research Notices (2015), Vol. (2), pp. 381-421.

#### Publications on Other Topics

- 1. Contributed research to "The principles of deep learning theory," published by Cambridge University Press in 2022 and written by Roberts, Daniel A. and Yaida, Sho. Available online: arXiv:2106.10165.
- 2. Hanin, L., Fisher, R., Hanin, B. An Intriguing Property of the Center of Mass for Points on Quadradtic Curves and Surfaces, Mathematics Maganize, v. 80, No. 5, pp. 353-362, 2007.

#### Teaching Awards

2020 - 2023

Letter of Commendation for Teaching, School of Engineering and Applied Science at Princeton (each year).

2018 - 2019

Texas A&M Math Department Award for Outstanding Teaching for: "For his outstanding teaching of undergraduate and graduate courses, and his extremely successful graduate topics course in fall 2018 that had an enrollment of 100+."

#### Invited Courses

- 1. Winter 2024. Mathematics of Deep Learning at University of Luxembourg.
- 2. Winter 2023. Deep Learning Theory at Tor Vergata University, Rome.
- 3. Summer 2022. Les Houches Summer School on Statistical Physics of Machine Learning (joint with Yasaman Bahri)

#### Professional Service

| 2023 - present | Associate Editor, Advances in Theoretical and Mathematical Physics                        |
|----------------|---|
| 2023 - present | Associate Editor, Mathematics of Operations Research                                      |
| 2021 - present | Associate Editor, Pure and Applied Analysis   |
| 2021 - present | Sole organizer, Princeton ML Theory Summer School   |
| June 2023      | Co-organizer, Workshop on Foundations of Data Science and Machine Learning at FoCM $2023$ |
| April 2023     | Co-organizer, Neural Networks for Physics (Princeton Center for Theoretical Sciences)     |
| 2019 - 2021    | Program Committee Mathematical and Scientific Machine Learning (MSML) $$                  |
| 2019 - 2020    | Member of TAMIDS Research Committee   |
|                |   |

#### INVITED TALKS

- 1. June 2025. Workshop and ML and PDEs, Banff International Research Center (Granada, Spain)
- 2. May 2025. DeepMind Seminar Series
- 3. May 2025. Oxford Machine Learning and Data Science Seminar
- 4. April 2024. Perimeter Institute ML Seminar
- 5. April 2024. UC Berkeley Spectral Theory Seminar
- 6. March 2024. UIUC ML Seminar (virtual)
- 7. February 2024. Workshop on ML in Science (Brin Research Center, UMD)
- 8. September 2023. Wharton Statistics PRiML Seminar
- 9. September 2023. Harvard Statistics Colloquium
- August 2023. IAIFI 2023 Summer Workshop on Physics and Machine Learning (IAIFI, Boston)
- 11. August 2023. Two-day program on the theoretical aspects of Machine Learning at the Center for Brain Minds and Machines Summer School in Woods Hole, MA.
- 12. August 2023. Workshop on "Statistical Physics and Machine Learning: Back Together Again" (CNRS Cargese Physics Center, Corsica)
- 13. June 2023. 2023 Deep Learning: Theory, Algorithms, and Applications (Fondazione Bruno Kessler)
- 14. May 2023. International Conference on Approximation Theory and Beyond (Vanderbilt)
- 15. May 2023. CMSA Probability Seminar (Harvard)
- 16. March 2023. 2023 Workshop on Machine Learning Theory and Foundations (Beijing remote)
- 17. March 2023. Artificial Intelligence and Mathematics Seminar (Remote Seminar Series run by Istituto per le Applicazioni del Calcolo)

- 18. March 2023. Undergraduate Colloquium (Northwestern Math)
- March 2023. Theoretical Physics for Machine Learning, Aspen Center For Physics (Aspen)
- 20. February 2023. Quantitative Social Science Colloquium (Princeton)
- 21. February 2023. AI Institute for Artificial Intelligence and Fundamental Interactions Colloquium (Boston)
- 22. January 2023. External Seminar Series, Gatsby Institute for Neuroscience (University College London)
- 23. November 2023. Institute for Foundations of ML (Austin)
- 24. October 2022. Workshop on Machine Learning and It's Applications (National University of Singapore)
- 25. October 2022. Mathematics and Data Seminar (NYU)
- 26. September 2022. Machine learning in Madrid (virtual)
- 27. June 2022. Special Seminar in Debora Marks' Computational Biology Lab (Harvard Medical School)
- 28. March 2022. One World Machine Learning Seminar (virtual)
- 29. November 2021. Mathematical Physics Seminar (UC Boulder)
- 30. November 2021. Industrial Systems and Information Theory Seminar (Univ. Minnesota)
- 31. October 2021. Dynamics Seminar (Georgia Inst. Tech.)
- 32. October 2021. Probability and Combinatorics Seminar (Ohio State University)
- 33. October 2021. Probability Seminar (Edinburgh)
- 34. November 2020. Workshop on Seeking Low-dimensionality in Deep Neural Networks (SLowDNN).
- 35. October 2020. Joint Israeli Probability Seminar.
- 36. October 2020. Mathematical Machine Learning Seminar (Max Planck Institute, UCLA)
- 37. September 2020. Probability Seminar (UW Madison)
- 38. September 2020. Keynote: Advances in Data Science and Operations Research
- 39. February 2020. Probability Seminar (UCLA)
- 40. February 2020. Harmonic Analysis and PDE Seminar (Berkeley)
- 41. January 2020. Statistics Colloquium (U Chicago)
- 42. December 2019. Applied & Computational Math Seminar (Simon Fraser University)
- 43. November 2019. DeepMath Conference (Princeton Club, NYC)
- 44. October 2019. UT Austin/Texas A&M Conference on Probability and Related Fields
- 45. October 2019. Computer Engineering and Systems Group Seminar (Texas A&M)
- 46. June 2019. COE Seminar (Johns Hopkins)
- 47. May 2019. Approximation Theory 16 (Vanderbilt).
- 48. May 2019. Southeastern Probability Conference (Duke).
- 49. March 2019. Colloquium (UC Boulder).
- 50. March 2019. ECE Seminar (Rice).

- 51. February 2019. Machine Learning Seminar (CUNY).
- 52. February 2019. Machine Learning Seminar (NYU).
- 53. February 2019. Probability Seminar (NYU).
- 54. January 2019. Scientific Machine Learning (ICERM).
- 55. November 2018. Worskhop on Deep Learning (Texas A&M).
- 56. November 2018. Machine Learning and Physics (CUNY).
- 57. November 2018. Colloqium (TCU).
- 58. October 2018. Winedale Workshop (UT Austin).
- 59. August 2018. Theory Seminar (Facebook AI Research, NYC).
- 60. August 2018. Probability Seminar (Columbia).
- 61. August 2018. Summer Informal Regional Functional Analysis Seminar (Texas A&M).
- 62. June 2018. Stochastic Processes and Applications 2018 (Göthenburg, Sweden).
- 63. May 2018. Theory Seminar (IBM Research Tel-Aviv).
- 64. May 2018. Learning Seminar (Hebrew University).
- 65. April 2018. Probability Seminar (Paris 5).
- 66. April 2018. Probability Seminar (Luxembourg).
- 67. April 2018. Theory Seminar (DeepMind).
- 68. April 2018. Probability Seminar (Northwestern).
- 69. January 2018. CSAIL Machine Learning Seminar (MIT).
- 70. October 2017. Geometry Seminar (Texas A&M).
- 71. September 2017. Probability Seminar (Texas A&M).
- 72. September 2017. Mathematical Physics and Harmonic Analysis Seminar (Texas A& M).
- 73. April 2017. Probability Seminar (UC Boulder).
- 74. April 2017. Colloquium (UC Boulder).
- 75. April 2017. AMS Sectional Meeting Special Session on Microlocal Analysis and Spectral Theory (Pullman, WA).
- 76. April 2017. Colloquium (UC Colorado Springs).
- 77. March 2017. Probability Seminar (Columbia).
- 78. February 2017. Colloquium (Texas A&M).
- 79. December 2016. Analysis Seminar (Florida Atlantic University, Boca Raton).
- 80. November 2016. AMS Sectional Meeting Special Session on Harmonic Analysis and Dispersive PDE (Raleigh, NC).
- 81. November 2016. Analysis and PDE Seminar (UNC Chapel Hill).
- 82. August 2016. Workshop: Probabilistic Methods in Spectral Geometry and PDE (Centre de Recherches Mathématiques, Montreal).
- 83. June 2016. Geometry of Quantum Hall States Workshop (Simons Center for Geometry and Physics).
- 84. June 2016. Geometry of Quantum Hall States Workshop (Simons Center for Geometry and Physics).
- 85. April 2016. Probability Seminar (Institut Fourier, Grenoble).

- 86. April 2016. Holon Meeting on Complex and Harmonic Analysis (Tel Aviv).
- 87. April 2016. Analysis Seminar (Tel Aviv).
- 88. April 2016. Mathematical Physics Seminar (Physics Dept. Technion, Israel).
- 89. April 2016. Analysis and Probability Seminar (U. Penn and Temple).
- 90. March 2016. Analysis and PDE Seminar (McGill).
- 91. March 2016. Mathematical Physics Seminar (Northeastern).
- 92. February 2016. Analysis and PDE Seminar (Brown).
- 93. February 2016. New Mexico Analysis Seminar (Univ. New Mexico, Albuquerque).
- 94. February 2016. Analysis and PDE Seminar (UC Berkeley).
- 95. January 2016. AMS Joint Meetings Special Session on Global Harmonic Analysis (Seattle).
- 96. December 2015. Conference on Geometry of the Quantum Hall Effect (Uni. Köln).
- 97. November 2015. Differential Geometry Seminar (Harvard).
- 98. June 2015. Workshop: Quantum Geometry, Stochastic Geometry, Random Geometry, you name it (Simons Center for Geometry and Physics).
- 99. June 2015. Large N Limit Problems in Kähler Geometry (Simons Center for Geometry and Physics).
- 100. February 2015. Analysis and PDE Seminar (MIT).
- 101. June 2014. Complex Geometry Summer School (Milan, Italy).
- 102. May 2014. Frontier Probability Days Conference (University of Arizona, Tuscon).
- 103. March 2014. Topology and Geometry Seminar (Hebrew University, Jerusalem).
- 104. March 2014. Analysis Seminar (Tel-Aviv University).
- 105. March 2014. Horowitz Seminar (Tel-Aviv University).
- 106. January 2014. PDE, Complex Analysis and Differential Geometry Seminar (Notre Dame).
- 107. May 2013. Trondheim Spring School 2013 in Point Processes and Complex Analysis (Trondheim, Norway).
- 108. November 2012. Complex Geometry Seminar (organized jointly between Johns Hopkins University and University of Maryland College Park).
- 109. October 2012. Colloquium (Idaho State University).
- 110. July 2012. Workshop on Manifolds of Metrics and Probabilistic Methods in Geometry and Analysis (Centre de Recherches Mathématiques, Montreal).