

IPv6 Operations (v6ops)  
Internet-Draft  
Intended status: Informational  
Expires: January 21, 2019

J. Palet Martinez  
The IPv6 Company  
H. M.-H. Liu  
D-Link Systems, Inc.  
M. Kawashima  
NEC Platforms, Ltd.  
July 20, 2018

Requirements for IPv6 Customer Edge Routers to Support IPv4 Connectivity  
as-a-Service  
draft-ietf-v6ops-transition-ipv4aaS-05

## Abstract

This document specifies the IPv4 service continuity requirements for an IPv6 Customer Edge (CE) router, either provided by the service provider or thru the retail market.

**Commentaire [Med1]:** I don't see the need for this mention. Please delete it.

Specifically, this document extends the "Basic Requirements for IPv6 Customer Edge Routers" in order to allow the provisioning of IPv6 transition services for the support of "IPv4 as-a-Service" (IPv4aaS) by means of new transition mechanisms. The document only covers transition technologies for delivering IPv4 in IPv6-only access networks, commonly called "~~IPv4 as-a-Service~~" (~~IPv4aaS~~), as required in a world where IPv4 addresses are no longer available, so hosts in the customer LANs with IPv4-only or IPv6-only applications or devices, requiring to communicate with IPv4-only services at the Internet, are still able to do so.

**Commentaire [Med2]:** already mentioned.

## Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <https://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on January 21, 2019.

## Copyright Notice

Copyright (c) 2018 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

1. Introduction . . . . .	3
1.1. Requirements Language - Special Note . . . . .	3
2. Terminology . . . . .	4
3. Requirements . . . . .	4
3.1. LAN-Side Configuration . . . . .	4
3.2. Transition Technologies Support for IPv4 Service Continuity (IPv4 as-a-Service - IPv4aaS) . . . . .	5
3.2.1. 464XLAT . . . . .	6
3.2.2. Dual-Stack Lite (DS-Lite) . . . . .	7
3.2.3. Lightweight 4over6 (lw4o6) . . . . .	8
3.2.4. MAP-E . . . . .	9
3.2.5. MAP-T . . . . .	9
4. IPv4 Multicast Support . . . . .	10
5. UPnP Support . . . . .	10
6. Differences from RFC7084 . . . . .	10
7. Code Considerations . . . . .	10
8. Security Considerations . . . . .	11
9. IANA Considerations . . . . .	11
10. Acknowledgements . . . . .	11
11. Annex A: Usage Scenarios . . . . .	12
12. Annex B: End-User Network Architecture . . . . .	14
13. ANNEX C: Changes from -00 . . . . .	16
14. ANNEX D: Changes from -01 . . . . .	16
15. ANNEX E: Changes from -02 . . . . .	16
16. ANNEX F: Changes from -03 . . . . .	17
17. ANNEX F: Changes from -04 . . . . .	17
18. References . . . . .	17
18.1. Normative References . . . . .	17
18.2. Informative References . . . . .	20
Authors' Addresses . . . . .	20

## 1. Introduction

This document defines IPv4 service continuity features over an IPv6-only network, for a residential or small-office router, referred to as an "IPv6 Transition CE Router", in order to establish an industry baseline for transition features to be implemented on such a router.

These routers rely upon "Basic Requirements for IPv6 Customer Edge Routers" ([RFC7084]). ~~so the~~ The scope of this document is to ensure the

IPv4 "service continuity" support, in the LAN side and the access to IPv4-only ~~Internet~~ services from an IPv6-only access WAN even from IPv6-only applications or devices in the LAN side.

**Commentaire [Med3]:** the service can be located within the realm of the service provider.

This document covers a set of IP transition techniques required when ISPs ~~have offer~~ an IPv6-only ~~access network connectivity~~. This is a common situation in

**Commentaire [Med4]:** Actually, the network is dual-stack. It is still used to deliver service to legacy customers, but also to those with IPv6-capable CPEs.

a world where IPv4 addresses, including private ones, are no longer available, so the service providers need to provision IPv6-only WAN access. At the same time, they need to ensure that both IPv4-only and IPv6-only devices or applications in the customer networks, can still reach IPv4-only devices and applications ~~in the Internet~~.

This document specifies the IPv4 service continuity mechanisms to be supported by an IPv6 Transition CE Router, and relevant provisioning or configuration information differences from [RFC7084].

This document is not a recommendation for service providers to use any specific transition mechanism.

Automatic provisioning of more complex topology than a single router with multiple LAN interfaces may be handled, for example, by means of HNCP ([RFC7788]), ~~].~~ Such means are ~~which is~~ out of the scope of this document.

Service providers who specify feature sets for IPv6 Transition CE Router ~~MAY~~ may specify a different set of features than those included in

**Commentaire [Med5]:** inappropriate use of the normative language.

this document. Since it is impossible to know prior to sale which transition mechanism a ~~device CPE~~ will need over ~~the its~~ lifetime ~~of the device~~, IPv6 Transition CE Router intended for the retail market MUST are likely to support many or all of them.

**Commentaire [Med6]:** The language is not appropriate.

A complete description of "Usage Scenarios" and "End-User Network Architecture" is provided in Annexes A and B, respectively.

### 1.1. Requirements Language - Special Note

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",

"SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are not used as described in RFC 2119 [RFC2119]. This

document uses these keywords not strictly for the purpose of interoperability, but rather for the purpose of establishing industry-common baseline functionality. As such, the document points to several other specifications to provide additional guidance to implementers regarding any protocol implementation required to produce a successful IPv6 Transition CE Router that interoperates successfully with a particular subset of currently deploying and planned common IPv6-only access networks.

Additionally, the keyword "DEFAULT" is to be interpreted in this document as pertaining to a configuration as applied by a vendor, prior to the administrator changing it for its initial activation.

## 2. Terminology

This document uses the same terms as in [RFC7084], with minor clarifications.

"IPv4aaS" stands for "IPv4 as-a-Service", meaning transition ~~technologies-techniques~~ for delivering IPv4 ~~in-over an~~ IPv6-only ~~access networksconnectivity~~.

The term "IPv6 transition Customer Edge Router with IPv4aaS" (shortened as "IPv6 Transition CE Router") is defined as an "IPv6 Customer Edge Router" that provides features for the delivery of IPv4 services over an IPv6-only ~~WAN networkconnectivity~~ including IPv6-IPv4 communications.

The "WAN Interface" term used across this document, means that it can also support link technologies based in Internet-layer (or higher-layers) "tunnels", such as IPv4-in-IPv6 tunnels.

**Commentaire [Med7]:** The access may be designed to handle a variety of customers. So, strictly speaking we should focus on the connectivity provided to a given customers.

**Commentaire [Med8]:** I don't parse this one.

## 3. Requirements

The IPv6 Transition CE Router MUST comply with [RFC7084] (Basic Requirements for IPv6 Customer Edge Routers).

~~and this document add~~Additional ~~new~~ requirements are, as described in the following sub-sections.

### 3.1. LAN-Side Configuration

A new LAN requirement is added, which ~~in fact~~ is common in regular IPv6 Transition CE Router, and it is required by most of the ~~transition-IPv4aaS~~ mechanisms:

L-1: The IPv6 Transition CE Router MUST implement a DNS proxy as described in [RFC5625] (DNS Proxy Implementation Guidelines).



### 3.2. Transition Technologies Support for IPv4 Service Continuity (IPv4 as-a-Service - IPv4aaS)

The main target of this document is the support of IPv6-only WAN access. To enable ~~legacy~~ IPv4 ~~functionality~~ service continuity, this document also includes the support of IPv4-only devices and applications in the customers LANs, as well as access to IPv4-only ~~services on the Internet servers~~. Thus, both IPv4-only and the IPv6-only devices inside the IPv6 Transition CE Router are able to reach the IPv4-only services.

**Commentaire [Med9]:** What is a device inside a router? I don't parse this.

This document takes no position on simultaneous operation of one or several ~~transition-IPv4aaS~~ mechanisms and/or native IPv4.

In order to seamlessly provide the IPv4 Service Continuity in Customer LANs, allowing an automated IPv6 transition mechanism provisioning, general transition requirements are defined.

General transition requirements:

TRANS-1: The IPv6 Transition CE Router MUST support the DHCPv6 S46 priority options described in [RFC8026] (Unified IPv4-in-IPv6 Software Customer Premises Equipment (CPE): A DHCPv6-Based Prioritization Mechanism).

TRANS-2: The IPv6 Transition CE Router MUST have a configuration option (e.g., GUI, CLI, and/or API) option to manually enable/disable each of the supported ~~transition-IPv4aaS~~ mechanisms.

TRANS-3: The IPv6 Transition CE Router MUST request the relevant DHCP configuration options for each supported ~~IPv4aaS transition~~ mechanisms, which MUST remain disabled at this step.

TRANS-4: The IPv6 Transition CE Router, following Section 1.4 of [RFC8026], MUST check for a valid match in OPTION\_S46\_PRIORITY, which allows enabling/disabling a IPv4aaS transition mechanism.

TRANS-5: In order to allow the service provider to disable all the IPv4aaS transition mechanisms, the IPv6 Transition CE Router MUST NOT enable any IPv4aaS transition mechanisms if no match is found between the priority list and the candidate list [RFC8026].

The following sections describe the requirements for supporting each ~~one~~ of the IPv4aaS transition mechanisms.





## 3.2.1. 464XLAT

464XLAT [RFC6877] is a technique to provide IPv4 service over an IPv6-only access network without encapsulation. This architecture assumes a NAT64 [RFC6146] ~~(Stateful NAT64: Network Address and Protocol Translation from IPv6 Clients to IPv4 Servers)~~ function deployed at the service provider or a third-party network.

The IPv6 Transition CE Router SHOULD support CLAT functionality. ~~If 464XLAT is supported, it MUST be implemented according to~~ [RFC6877]. The following IPv6 Transition CE Router requirements also apply:

464XLAT requirements:

464XLAT-1: The IPv6 Transition CE Router MUST perform IPv4 Network Address Translation (NAT) on IPv4 traffic translated using the CLAT, unless a dedicated /64 prefix has been acquired, either using DHCPv6-PD [RFC3633] (IPv6 Prefix Options for DHCPv6) or by alternative means.

464XLAT-2: The IPv6 Transition CE Router SHOULD support IGD-PCP IWF [RFC6970] (UPnP Internet Gateway Device - Port Control Protocol Interworking Function).

464XLAT-3: If PCP ([RFC6887]) is implemented, the IPv6 Transition CE Router MUST also implement [RFC7291] (DHCP Options for the PCP). Following ~~+~~[RFC6887]~~+~~, if no PCP server is configured, the IPv6 Transition CE Router MAY verify if the default gateway ~~or the NAT64~~ is the PCP server. ~~A plain IPv6 mode MUST be used to send PCP requests to the server.~~

464XLAT-4: The IPv6 Transition CE Router MUST implement ~~[I-D.prefix64folks-6man-ra-pref64] (Discovering PREFIX64 in Router Advertisements) and~~ [RFC7050] (Discovery of the IPv6 Prefix Used for IPv6 Address Synthesis) in order to discover the PLAT-side translation IPv4 and IPv6 prefix(es) ~~/suffix(es)~~.

464XLAT-5: If PCP is implemented, the IPv6 Transition CE Router MUST follow [RFC7225] (Discovering NAT64 IPv6 Prefixes Using the PCP), in order to learn the PLAT-side translation IPv4 and IPv6 prefix(es)/suffix(es) used by an upstream PCP-controlled NAT64 device.

464XLAT-6: [RFC8115] MUST be implemented and a DHCPv6 Option "OPTION\_V6\_PREFIX64" ([RFC8115]), with zeroed ASM\_mPrefix64 and SSM\_mPrefix64, MUST also be considered

**Commentaire [Med10]:** This is about CLAT which is defined in 6877. The wording is weird, IMHO.

**Commentaire [Med11]:** Please note that the support of PCP client is a SHOULD in 7084. I would delete the "if..." and use SHOULD support the DHCP option.

**Commentaire [Med12]:** The CPE does not have an information about the address of the NAT64 which servicing it. So, this behavior is broken.

**Commentaire [Med13]:** The text already says that if 464XLAT is deployed, no encap is used.

**Commentaire [Med14]:** Refer t the list.

as a valid NAT64 prefix (uPrefix64).

464XLAT-7: The priority for the NAT64 prefix, in case the network provides several choices, MUST be: 1) [RFC7225], 2) [RFC8115], 3) ~~[I-D.prf64folks-6man-ra-pref64]~~ and 4) [RFC7050].

464XLAT-8: If a DHCPv6 Option "OPTION\_V6\_PREFIX64" ([RFC8115]), with zeroed ASM\_mPrefix64 and SSM\_mPrefix64 provides a NAT64 prefix, or one or more NAT64 prefixes are learnt by means of either [RFC7050] or [RFC7225], then 464XLAT MUST be included in the candidate list of possible S46 mechanism (Section 1.4.1 of [RFC8026]).

The NAT64 prefix could be discovered by means of [RFC7050] only in the case the service provider uses DNS64 ([RFC6147]). If DNS64 ~~([RFC6147])~~ is not used, or not trusted, as the DNS configuration at the CE (or hosts behind the CE) may be modified by the customer, then the service provider may opt to configure the NAT64 prefix either by means of [RFC7225] or [RFC8115], which also can be used if the service provider uses DNS64 ~~([RFC6147])~~.

### 3.2.2. Dual-Stack Lite (DS-Lite)

Dual-Stack Lite [RFC6333] enables ~~both~~ continued support for IPv4 services over an IPv6 connectivity. Dual-Stack Lite enables a broadband service provider to share IPv4 addresses among customers by combining two well-known technologies: ~~IP-IP-in-IP~~ (IPv4-in-IPv6) and Network Address Translation (NAT). ~~It is expected that DS-Lite traffic is forwarded over the IPv6 Transition CE Router's native IPv6 WAN interface, and not encapsulated in another tunnel.~~

The IPv6 Transition CE Router SHOULD implement DS-Lite B4 functionality [RFC6333]. ~~If DS-Lite is supported, it MUST be implemented according to [RFC6333].~~ The following IPv6 Transition CE Router requirements also apply:

DS-Lite requirements:

DSLITE-1: The IPv6 Transition CE Router MUST support configuration of DS-Lite via the DS-Lite DHCPv6 option [RFC6334] (DHCPv6 Option for Dual-Stack Lite). The IPv6 Transition CE Router MAY use other mechanisms to configure DS-Lite parameters. Such mechanisms are outside the scope of this document.

DSLITE-2: The IPv6 Transition CE Router SHOULD support IGD-PCP IWF [RFC6970] (UPnP Internet Gateway Device - Port Control

**Commentaire [Med15]:** not sure to understand the intent here.

**Commentaire [Med16]:** there is only one way to do DS-lite: 6333.

Protocol Interworking Function).

DSLITE-3: If PCP ([RFC6887]) is implemented, the IPv6 Transition CE Router SHOULD implement [RFC7291] (DHCP Options for the PCP). If PCP ([RFC6887]) is implemented and a PCP server is not configured, the IPv6 Transition CE Router MUST assume, by DEFAULT, that the AFTR is the PCP server. A plain IPv6 mode MUST be used to send PCP requests to the PCP server.

DSLITE-4: The IPv6 Transition CE Router MUST NOT perform IPv4 Network Address Translation (NAT) on IPv4 traffic encapsulated using DS-Lite ([RFC6333]).

### 3.2.3. Lightweight 4over6 (lw4o6)

lw4o6 [RFC7596] specifies an extension to DS-Lite, which moves the NAT function from the DS-Lite tunnel concentrator to the tunnel client located in the IPv6 Transition CE Router, removing the requirement for a CGN function in the tunnel concentrator and reducing the amount of centralized state.

The IPv6 Transition CE Router SHOULD implement lwB4 functionality [RFC7596]. If DS-Lite is implemented, lw4o6 SHOULD be supported as well. ~~If lw4o6 is supported, it MUST be implemented according to [RFC7596].~~ The following IPv6 Transition CE Router requirements also apply:

lw4o6 requirements:

LW4O6-1: The IPv6 Transition CE Router MUST support configuration of lw4o6 via the lw4o6 DHCPv6 options [RFC7598] (DHCPv6 Options for Configuration of Software Address and Port-Mapped Clients). The IPv6 Transition CE Router MAY use other mechanisms to configure lw4o6 parameters. Such mechanisms are outside the scope of this document.

LW4O6-2: The IPv6 Transition CE Router MUST support the DHCPv4-over-DHCPv6 (DHCP 4o6) transport described in [RFC7341] (DHCPv4-over-DHCPv6 Transport).

LW4O6-3: The IPv6 Transition CE Router MAY support Dynamic Allocation of Shared IPv4 Addresses as described in [RFC7618] (Dynamic Allocation of Shared IPv4 Addresses).

#### 3.2.4. MAP-E

MAP-E [RFC7597] is a mechanism for transporting IPv4 packets across an IPv6 network using IP encapsulation, including an algorithmic mechanism for mapping between IPv6 addresses and IPv4 addresses as well as transport-layer ports.

The IPv6 Transition CE Router SHOULD support MAP-E CE functionality [RFC7597]. ~~If MAP-E is supported, it MUST be implemented according to [RFC7597].~~ The following IPv6 Transition CE Router requirements also apply:

MAP-E requirements:

- MAPE-1: The IPv6 Transition CE Router MUST support configuration of MAP-E via the MAP-E DHCPv6 options [RFC7598] (DHCPv6 Options for Configuration of Software Address and Port-Mapped Clients). The IPv6 Transition CE Router MAY use other mechanisms to configure MAP-E parameters. Such mechanisms are outside the scope of this document.
- MAPE-2: The IPv6 Transition CE Router MAY support Dynamic Allocation of Shared IPv4 Addresses as described in [RFC7618] (Dynamic Allocation of Shared IPv4 Addresses).

#### 3.2.5. MAP-T

MAP-T [RFC7599] is a mechanism similar to MAP-E, differing from it in that MAP-T uses IPv4-IPv6 translation, rather than encapsulation, as the form of IPv6 domain transport.

The IPv6 Transition CE Router SHOULD support MAP-T CE functionality [RFC7599]. ~~If MAP-T is supported, it MUST be implemented according to [RFC7599].~~ The following IPv6 Transition CE Router requirements also apply:

MAP-T requirements:

- MAPT-1: The IPv6 Transition CE Router MUST support configuration of MAP-T via the MAP-T DHCPv6 options [RFC7598] (DHCPv6 Options for Configuration of Software Address and Port-Mapped Clients). The IPv6 Transition CE Router MAY use other mechanisms to configure MAP-T parameters. Such mechanisms are outside the scope of this document.
- MAPT-2: The IPv6 Transition CE Router MAY support Dynamic Allocation of Shared IPv4 Addresses as described in [RFC7618] (Dynamic Allocation of Shared IPv4 Addresses).

#### 4. IPv4 Multicast Support

Actual deployments support IPv4 multicast for services such as IPTV. In the transition phase it is expected that multicast services will still be provided using IPv4 to the customer LANs.

If the IPv6 Transition CE Router supports delivery of IPv4 multicast services, then it MUST support [RFC8114] (Delivery of IPv4 Multicast Services to IPv4 Clients over an IPv6 Multicast Network) and [RFC8115] (DHCPv6 Option for IPv4-Embedded Multicast and Unicast IPv6 Prefixes).

#### 5. UPnP Support

UPnP SHOULD be disabled by DEFAULT on the IPv6 Transition CE Router when using an IPv4aaS transition mechanism.

UPnP MAY be enabled when an IPv6 Transition CE Router is configured to use a stateless mechanism that allows unsolicited inbound packets through to the CE, such as MAP or lw4o6, or when configured with a port set containing all 65535 ports, e.g., with an IPv4 address sharing ratio of 1.

If UPnP is enabled on an IPv6 Transition CE Router, the UPnP agent MUST reject any port mapping requests for port numbers outside of the port set allocated to the IPv6 Transition CE Router.

UPnP SHOULD also be enabled on an IPv6 Transition CE Router configured for IPv4aaS mechanisms that support PCP [RFC6887], if implemented in conjunction with a method to control the external port mappings, such as IGD-PCP IWF [RFC6970].

An IPv6 Transition CE Router that implements a UPnP agent, SHOULD support the Open Connectivity Foundation's IGD:2 specification, including especially the AddAnyPortMapping() function.

#### 6. Differences from RFC7084

This document no longer considers the need to support 6rd ([RFC5969]) and includes slightly different requirements for DS-~~LITE~~-Lite [RFC6333].

#### 7. Code Considerations

One of the apparent main issues for vendors to include new functionalities, such as support for new transition mechanisms, is the lack of space in the flash (or equivalent) memory. However, it has been confirmed from existing open source implementations (OpenWRT/LEDE, Linux, others), that adding the support for the new

**Commentaire [Med17]:** What is the rationale for this one?

In order to allow for service continuity, I would maintain any default behavior is used for IPv4.

Given that we don't have one for IPv4, I tend to suggest to delete this and be silent.

**Commentaire [Med18]:** This is contradictory to how IGD:2 would work if supported. Instead of rejecting the request, a port within the port set will be returned.

transitions mechanisms, requires around 10-12 Kbytes (because most of the code base is shared among several transition mechanisms already supported by [RFC7084]), as a single data plane is common to all them, which typically means about 0,15% of the existing code size in popular CEs already in the market.

It is also clear that the new requirements don't have extra cost in terms of RAM memory, neither other hardware requirements such as more powerful CPUs.

The other issue seems to be the cost of developing the code for those new functionalities. However, at the time of writing this document, it has been confirmed that there are several open source versions of the required code for supporting the new transition mechanisms, and even several vendors already have implementations and provide it to ISPs, so the development cost is negligent, and only integration and testing cost may become a minor issue.

## 8. Security Considerations

The IPv6 Transition CE Router must comply with the Security Considerations as stated in [RFC7084], as well as those stated by each transition mechanism implemented by the IPv6 Transition CE Router.

## 9. IANA Considerations

IANA is requested, by means of this document, to update the "Option Codes permitted in the S46 Priority Option" registry available at <https://www.iana.org/assignments/dhcpv6-parameters/dhcpv6-parameters.xhtml#option-codes-s46-priority-option>, with the following entry.

Option Code	S46 Mechanism	Reference
113	464XLAT	[thisdoc]

Table 1: DHCPv6 Option Code for 464XLAT

## 10. Acknowledgements

Thanks to Mikael Abrahamsson, Fred Baker, Mohamed Boucadair, Brian Carpenter, Ian Farrer, Lee Howard, Richard Patterson, Barbara Stark, Ole Troan, James Woodyatt and Lorenzo Colitti, for their review and comments in this and/or previous versions of this document.

## 11. Annex A: Usage Scenarios

The situation previously described, where there is ongoing IPv6 deployment and lack of IPv4 addresses, is not happening at the same pace at every country, and even within every country, every ISP. For different technical, financial, commercial/marketing and socio-economic reasons, each network is transitioning at their own pace, and nobody has a magic crystal ball, to make a guess.

Different studies (for example [IPv6Survey]) also show that this is a changing situation, because in a single country, it may be that not all operators provide IPv6 support, and consumers may switch ISPs and use the same IPv6 Transition CE Router with an ISP that provides IPv4-only and an ISP that provides IPv6 plus IPv4aaS.

So, it is clear that, to cover all those evolving situations, an IPv6 Transition CE Router is required, at least from the perspective of the transition support, which can accommodate those changes.

Moreover, because some services will remain IPv4-only for an undetermined time, and some service providers will remain IPv4-only for an undetermined period of time, IPv4 will be needed for an undetermined period of time. There will be a need for CEs with support "IPv4 as-a-Service" for an undetermined period of time.

This document is consequently, based on those premises, in order to ensure the continued transition from networks that today may provide access with dual-stack or IPv6-in-IPv4, as described in [RFC7084], and as an "extension" to it, evolving to an IPv6-only access with IPv4-as-a-Service.

Considering that situation and different possible usage cases, the IPv6 Transition CE Router described in this document is expected to be used typically, in the following scenarios:

1. Residential/household, Small Office/Home Office (SOHO) and Small/Medium Enterprise (SME). Common usage is any kind of Internet access (web, email, streaming, online gaming, etc.).
2. Residential/household and Small/Medium Enterprise (SME) with advanced requirements. Same basic usage as for the previous case, however there may be requirements for allowing inbound connections (IP cameras, web, DNS, email, VPN, etc.).

The above list is not intended to be comprehensive of all the possible usage scenarios, just an overall view. In fact, combinations of the above usages are also possible, as well as situations where the same CE is used at different times in different

scenarios or even different services providers that may use a different transition mechanism.

The mechanisms for allowing inbound connections are "naturally" available in any IPv6 router, as when using GUA, unless they are blocked by firewall rules, which may require some manual configuration by means of a GUI, CLI and/or API.

However, in the case of IPv4aaS, because the usage of private addresses and NAT and even depending on the specific transition mechanism, it typically requires some degree of more complex manual configuration such as setting up a DMZ, virtual servers, or port/protocol forwarding. In general, IPv4 CE Routers already provide GUI and/or CLI to manually configure them, or the possibility to setup the CE in bridge mode, so another CE behind it, takes care of that. It is out of the scope of this document the definition of any requirements for that.

The main difference for a CE Router to support the above indicated scenarios and number of users, is related to the packet processing capabilities, performance, even other details such as the number of WAN/LAN interfaces, their maximum speed, memory for keeping tables or tracking connections, etc. It is out of the scope of this document to classify them.

The actual bandwidth capabilities of access technologies such as FTTH, cable and even 3GPP/LTE, allows the support of such scenarios, and indeed, is a very common situation that access networks and CE Router provided by the service provider are the same for SMEs and residential users.

There is also no difference in terms of who actually provides the CE Router. In most of the cases is the service provider, and in fact is responsible, typically, of provisioning/managing at least the WAN side. However, commonly the user has access to configure the LAN interfaces, firewall, DMZ, and many other features. In fact, in many cases, the user must supply or may replace the CE Router; this makes even more relevant that all the CE Routers, support the same requirements defined in this document.

The IPv6 Transition CE Router described in this document is not intended for usage in other scenarios such as large Enterprises, Data Centers, Content Providers, etc. So, even if the documented requirements meet their needs, they may have additional requirements, which are out of the scope of this document.



## 12. Annex B: End-User Network Architecture

According to the descriptions in the preceding sections, an end-user network will likely support both IPv4 and IPv6. It is not expected that an end user will change their existing network topology with the introduction of IPv6. There are some differences in how IPv6 works and is provisioned; these differences have implications for the network architecture.

A typical IPv4 end-user network consists of a "plug and play" router with NAT functionality and a single link upstream, connected to the service provider network.

From the perspective of an "IPv4 user" behind an IPv6 transition Customer Edge Router with IPv4aaS, this doesn't change.

However, while a typical IPv4 NAT deployment by default blocks all incoming connections and may allow opening of ports using a Universal Plug and Play Internet Gateway Device (UPnP IGD) [UPnP-IGD] or some other firewall control protocol, in the case of an IPv6-only access and IPv4aaS, that may not be feasible depending on specific transition mechanism details. PCP (Port Control Protocol, [RFC6887]) may be an alternative solution.

Another consequence of using IPv4 private address space in the end-user network is that it provides stable addressing; that is, it never changes even when you change service providers, and the addresses are always there even when the WAN interface is down or the customer edge router has not yet been provisioned. In the case of an IPv6-only access, there is no change on that if the transition mechanism keeps running the NAT interface towards the LAN side.

More advanced routers support dynamic routing (which learns routes from other routers), and advanced end-users can build arbitrary, complex networks using manual configuration of address prefixes combined with a dynamic routing protocol. Once again, this is true for both, IPv4 and IPv6.

In general, the end-user network architecture for IPv6 should provide equivalent or better capabilities and functionality than the current IPv4 architecture.

The end-user network is a stub network, in the sense that is not providing transit to other external networks. However, HNCP ([RFC7788]) allows support for automatic provisioning of downstream routers. Figure 1 illustrates the model topology for the end-user network.

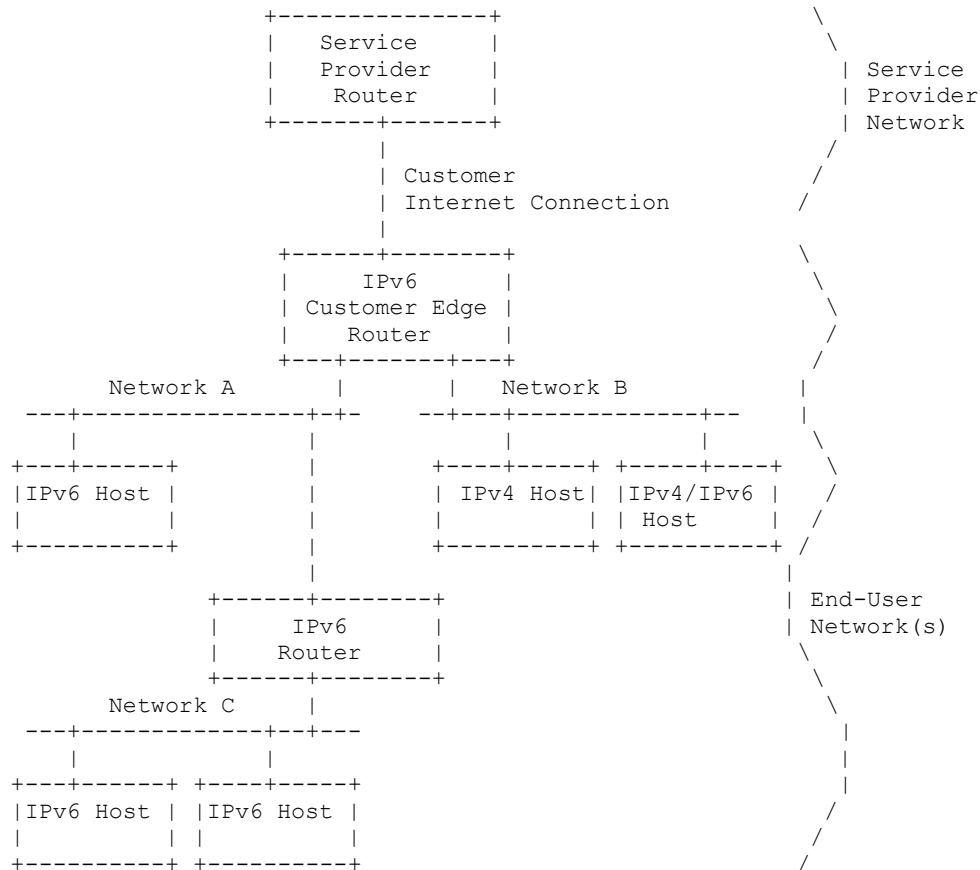


Figure 1: An Example of a Typical End-User Network

This architecture describes the:

- o Basic capabilities of the IPv6 Transition CE Router
- o Provisioning of the WAN interface connecting to the service provider
- o Provisioning of the LAN interfaces

The IPv6 Transition CE Router may be manually configured in an arbitrary topology with a dynamic routing protocol or using HNCP ([RFC7788]). Automatic provisioning and configuration is described

for a single IPv6 Transition CE Router only.

13. ANNEX C: Changes from -00

Section to be removed for WGLC. Significant updates are:

1. ID-Nits: IANA section.
2. ID-Nits: RFC7084 reference removed from Abstract.
3. This document no longer updates RFC7084.
4. UPnP section reworded.
5. "CE Router" changed to "IPv6 Transition CE Router".
6. Reduced text in Annex A.

14. ANNEX D: Changes from -01

Section to be removed for WGLC. Significant updates are:

1. TRANS requirements reworked in order to increase operator control and allow gradual transitioning from dual-stack to IPv6-only on specific customers.
2. New TRANS requirement so all the supported transition mechanisms are disabled by default, in order to facilitate the operator management.
3. New TRANS requirement in order to allow turning on/off each transition mechanism by the user.
4. Clarification on how to obtain multiple /64 for 464XLAT.
5. S46 priority update to RFC8026 for including 464XLAT and related changes in several sections.

15. ANNEX E: Changes from -02

Section to be removed for WGLC. Significant updates are:

1. RFC8026 update removed, not needed with new approach.
2. TRANS and 464XLAT requirements reworded in order to match new approach to allow operator control on each/all the transition mechanisms.

3. Added text in 464XLAT to clarify the usage.

16. ANNEX F: Changes from -03

Section to be removed for WGLC. Significant updates are:

1. Several editorial changes across the document, specially TRANS requirements.
2. DNS proxy MUST instead of SHOULD.

17. ANNEX F: Changes from -04

Section to be removed for WGLC. Significant updates are:

1. Removed G-1.
2. Added support for draft-pref64folks-6man-ra-pref64.
3. General text clarifications.

18. References

18.1. Normative References

- [I-D.pref64folks-6man-ra-pref64]  
Colitti, L., Kline, E., and J. Linkova, "Discovering PREF64 in Router Advertisements", draft-pref64folks-6man-ra-pref64-00 (work in progress), July 2018.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC3633] Troan, O. and R. Droms, "IPv6 Prefix Options for Dynamic Host Configuration Protocol (DHCP) version 6", RFC 3633, DOI 10.17487/RFC3633, December 2003, <<https://www.rfc-editor.org/info/rfc3633>>.
- [RFC5625] Bellis, R., "DNS Proxy Implementation Guidelines", BCP 152, RFC 5625, DOI 10.17487/RFC5625, August 2009, <<https://www.rfc-editor.org/info/rfc5625>>.
- [RFC5969] Townsley, W. and O. Troan, "IPv6 Rapid Deployment on IPv4 Infrastructures (6rd) -- Protocol Specification", RFC 5969, DOI 10.17487/RFC5969, August 2010, <<https://www.rfc-editor.org/info/rfc5969>>.

- [RFC6146] Bagnulo, M., Matthews, P., and I. van Beijnum, "Stateful NAT64: Network Address and Protocol Translation from IPv6 Clients to IPv4 Servers", RFC 6146, DOI 10.17487/RFC6146, April 2011, <<https://www.rfc-editor.org/info/rfc6146>>.
- [RFC6147] Bagnulo, M., Sullivan, A., Matthews, P., and I. van Beijnum, "DNS64: DNS Extensions for Network Address Translation from IPv6 Clients to IPv4 Servers", RFC 6147, DOI 10.17487/RFC6147, April 2011, <<https://www.rfc-editor.org/info/rfc6147>>.
- [RFC6333] Durand, A., Droms, R., Woodyatt, J., and Y. Lee, "Dual-Stack Lite Broadband Deployments Following IPv4 Exhaustion", RFC 6333, DOI 10.17487/RFC6333, August 2011, <<https://www.rfc-editor.org/info/rfc6333>>.
- [RFC6334] Hankins, D. and T. Mrugalski, "Dynamic Host Configuration Protocol for IPv6 (DHCPv6) Option for Dual-Stack Lite", RFC 6334, DOI 10.17487/RFC6334, August 2011, <<https://www.rfc-editor.org/info/rfc6334>>.
- [RFC6877] Mawatari, M., Kawashima, M., and C. Byrne, "464XLAT: Combination of Stateful and Stateless Translation", RFC 6877, DOI 10.17487/RFC6877, April 2013, <<https://www.rfc-editor.org/info/rfc6877>>.
- [RFC6887] Wing, D., Ed., Cheshire, S., Boucadair, M., Penno, R., and P. Selkirk, "Port Control Protocol (PCP)", RFC 6887, DOI 10.17487/RFC6887, April 2013, <<https://www.rfc-editor.org/info/rfc6887>>.
- [RFC6970] Boucadair, M., Penno, R., and D. Wing, "Universal Plug and Play (UPnP) Internet Gateway Device - Port Control Protocol Interworking Function (IGD-PCP IWF)", RFC 6970, DOI 10.17487/RFC6970, July 2013, <<https://www.rfc-editor.org/info/rfc6970>>.
- [RFC7050] Savolainen, T., Korhonen, J., and D. Wing, "Discovery of the IPv6 Prefix Used for IPv6 Address Synthesis", RFC 7050, DOI 10.17487/RFC7050, November 2013, <<https://www.rfc-editor.org/info/rfc7050>>.
- [RFC7084] Singh, H., Beebe, W., Donley, C., and B. Stark, "Basic Requirements for IPv6 Customer Edge Routers", RFC 7084, DOI 10.17487/RFC7084, November 2013, <<https://www.rfc-editor.org/info/rfc7084>>.

- [RFC7225] Boucadair, M., "Discovering NAT64 IPv6 Prefixes Using the Port Control Protocol (PCP)", RFC 7225, DOI 10.17487/RFC7225, May 2014, <<https://www.rfc-editor.org/info/rfc7225>>.
- [RFC7291] Boucadair, M., Penno, R., and D. Wing, "DHCP Options for the Port Control Protocol (PCP)", RFC 7291, DOI 10.17487/RFC7291, July 2014, <<https://www.rfc-editor.org/info/rfc7291>>.
- [RFC7341] Sun, Q., Cui, Y., Siodelski, M., Krishnan, S., and I. Farrer, "DHCPv4-over-DHCPv6 (DHCP 4o6) Transport", RFC 7341, DOI 10.17487/RFC7341, August 2014, <<https://www.rfc-editor.org/info/rfc7341>>.
- [RFC7596] Cui, Y., Sun, Q., Boucadair, M., Tsou, T., Lee, Y., and I. Farrer, "Lightweight 4over6: An Extension to the Dual-Stack Lite Architecture", RFC 7596, DOI 10.17487/RFC7596, July 2015, <<https://www.rfc-editor.org/info/rfc7596>>.
- [RFC7597] Troan, O., Ed., Dec, W., Li, X., Bao, C., Matsushima, S., Murakami, T., and T. Taylor, Ed., "Mapping of Address and Port with Encapsulation (MAP-E)", RFC 7597, DOI 10.17487/RFC7597, July 2015, <<https://www.rfc-editor.org/info/rfc7597>>.
- [RFC7598] Mrugalski, T., Troan, O., Farrer, I., Perreault, S., Dec, W., Bao, C., Yeh, L., and X. Deng, "DHCPv6 Options for Configuration of Software Address and Port-Mapped Clients", RFC 7598, DOI 10.17487/RFC7598, July 2015, <<https://www.rfc-editor.org/info/rfc7598>>.
- [RFC7599] Li, X., Bao, C., Dec, W., Ed., Troan, O., Matsushima, S., and T. Murakami, "Mapping of Address and Port using Translation (MAP-T)", RFC 7599, DOI 10.17487/RFC7599, July 2015, <<https://www.rfc-editor.org/info/rfc7599>>.
- [RFC7618] Cui, Y., Sun, Q., Farrer, I., Lee, Y., Sun, Q., and M. Boucadair, "Dynamic Allocation of Shared IPv4 Addresses", RFC 7618, DOI 10.17487/RFC7618, August 2015, <<https://www.rfc-editor.org/info/rfc7618>>.
- [RFC8026] Boucadair, M. and I. Farrer, "Unified IPv4-in-IPv6 Software Customer Premises Equipment (CPE): A DHCPv6-Based Prioritization Mechanism", RFC 8026, DOI 10.17487/RFC8026, November 2016, <<https://www.rfc-editor.org/info/rfc8026>>.

- [RFC8114] Boucadair, M., Qin, C., Jacquenet, C., Lee, Y., and Q. Wang, "Delivery of IPv4 Multicast Services to IPv4 Clients over an IPv6 Multicast Network", RFC 8114, DOI 10.17487/RFC8114, March 2017, <<https://www.rfc-editor.org/info/rfc8114>>.
- [RFC8115] Boucadair, M., Qin, J., Tsou, T., and X. Deng, "DHCPv6 Option for IPv4-Embedded Multicast and Unicast IPv6 Prefixes", RFC 8115, DOI 10.17487/RFC8115, March 2017, <<https://www.rfc-editor.org/info/rfc8115>>.

## 18.2. Informative References

- [IPv6Survey] Palet Martinez, J., "IPv6 Deployment Survey", January 2018, <<https://indico.uknof.org.uk/event/41/contribution/5/material/slides/0.pdf>>.
- [RFC7788] Stenberg, M., Barth, S., and P. Pfister, "Home Networking Control Protocol", RFC 7788, DOI 10.17487/RFC7788, April 2016, <<https://www.rfc-editor.org/info/rfc7788>>.
- [UPnP-IGD] UPnP Forum, "InternetGatewayDevice:2 Device Template Version 1.01", December 2010, <<http://upnp.org/specs/gw/igd2/>>.

## Authors' Addresses

Jordi Palet Martinez  
The IPv6 Company  
Molino de la Navata, 75  
La Navata - Galapagar, Madrid 28420  
Spain

Email: [jordi.palet@theipv6company.com](mailto:jordi.palet@theipv6company.com)  
URI: <http://www.theipv6company.com/>

Hans M.-H. Liu  
D-Link Systems, Inc.  
17595 Mount Herrmann St.  
Fountain Valley, California 92708  
US

Email: [hans.liu@dlinkcorp.com](mailto:hans.liu@dlinkcorp.com)  
URI: <http://www.dlink.com/>

Masanobu Kawashima  
NEC Platforms, Ltd.  
800, Shimomata  
Kakegawa-shi, Shizuoka 436-8501  
Japan

Email: kawashimam@vx.jp.nec.com  
URI: <https://www.necplatforms.co.jp/en/>