

OPSWG WG  
Internet-Draft  
Intended status: Standards Track  
Expires: March 3, 2021

T. Reddy  
McAfee  
D. Wing  
Citrix  
B. Anderson  
Cisco  
August 30, 2020

MUD (D)TLS Pprofiles for IoT ~~devices~~Devices  
draft-reddy-opsawg-mud-tls-05

**Commenté [BMT1]:** To be expanded

## Abstract

This memo extends the Manufacturer Usage Description (MUD) specification to incorporate (D)TLS profile parameters. This allows a network element to identify unexpected (D)TLS usage, which can indicate used as a hint about the presence of unauthorized software or malware on an endpoint.

**Commenté [BMT2]:** Please clarify if the relationship vs. RFC7925.

**Commenté [BMT3]:** Assuming the profile is followed.

**Commenté [BMT4]:** Which network element?

## Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <https://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on March 3, 2021.

## Copyright Notice

Copyright (c) 2020 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of

the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

1. Introduction	2
2. Terminology	4
3. Overview of MUD (D)TLS profiles for IoT devices	5
4. (D)TLS 1.3 handshake	5
4.1. Full (D)TLS 1.3 handshake inspection	6
4.2. Encrypted SNI	6
5. (D)TLS profile YANG module	7
5.1. Tree Structure	9
5.2. YANG Module	10
6. MUD File Example	15
7. Security Considerations	17
8. Privacy Considerations	17
9. IANA Considerations	17
10. Acknowledgments	17
11. References	17
11.1. Normative References	18
11.2. Informative References	19
Authors' Addresses	20

## 1. Introduction

Encryption is necessary to ~~protect~~ enhance the privacy of end users using IoT devices. In a network setting, TLS [RFC8446] and DTLS [I-D.ietf-tls-dtls13] are the dominant protocols providing encryption for IoT device traffic. Unfortunately, in conjunction with IoT applications' rise of encryption, malware is also using encryption which thwarts network-based analysis such as deep packet inspection (DPI). Other mechanisms are needed to ~~notice~~ detect malware is running on ~~the~~ an IoT device.

Malware frequently uses its own libraries for its activities, and those libraries are re-used much like any other software engineering project. ~~Research~~ [malware] indicates that there are observable differences in how malware uses encryption compared with how non-malware uses encryption. There are several interesting findings specific to (D)TLS ~~and TLS~~ which were found common to malware:

- o Older and weaker cryptographic parameters (e.g., TLS\_RSA\_WITH\_RC4\_128\_SHA).
- o TLS SNI and server certificates are composed of subjects with characteristics of a domain generation algorithm (DGA) (e.g., www.33mhw2j.net).

**Commenté [BMT5]:** Is this specific to IoT?

**Commenté [BMT6]:** That is?

**Commenté [BMT7]:** Malware may adapt themselves to follow « the profile » in the document.

- o Higher use of self-signed certificates compared with typical legitimate software.
- o Discrepancies in the server name indication (SNI) TLS extension in the ClientHello message and the DNS names in the SubjectAltName (SAN) X.509 extension in the server certificate message.
- o Discrepancies in the key exchange algorithm and the client public key length in comparison with legitimate flows. As a reminder, Client Key Exchange message has been removed from TLS 1.3.
- o Lower diversity in TLS client advertised TLS extensions compared to legitimate clients.
- o Malware using privacy enhancing technologies like Tor, Psiphon, and Ultrasurf (see [malware-tls]) and, evasion techniques such as ClientHello randomization to evade detection in order to continue exploiting the end user.

- o Malware using DNS-over-HTTPS (DoH) [RFC8484] to avoid detection by malware DNS filtering service ~~+~~[malware-doh]~~+~~. Malware agent may not use the ~~DNS-over-HTTPSDoH~~ server provided by the local network.

Commenté [BMT8]: How this is used to define the profile?

If observable (D)TLS profile parameters are used, the following functions are possible which have a ~~favorable-positive~~ impact on the local network security:

- o Permit intended DTLS or TLS use and block malicious DTLS or TLS use. This is superior to the layers 3 and ~~layer-4~~ ACLs of Manufacturer Usage Description Specification (MUD) [RFC8520] which are not suitable for broad communication patterns.
- o Ensure TLS certificates are valid. Several TLS deployments have been vulnerable to active Man-In-The-Middle (MITM) attacks because of the lack of certificate validation or vulnerability in the certificate validation function (see [crypto-vulnerability]). By observing (D)TLS profile parameters, a network element can detect when the TLS SNI mismatches the SubjectAltName and when the server's certificate is invalid. In TLS 1.2, the ClientHello, ServerHello and Certificate messages are all sent in clear-text, however in TLS 1.3, the Certificate message is encrypted thereby hiding the server identity from any intermediary. In TLS 1.3, the ~~middle-box~~ needs to act as a TLS proxy to validate the server certificate and to detect TLS SNI mismatch with the server certificate.

o Support new communication patterns. An IoT device can learn a new capability, and the new capability can change the way the IoT device communicates with other devices located in the local network ~~and or in the~~ Internet. ~~There would be an inaccurate~~ policy if an IoT device rapidly changes the IP addresses and domain names it communicates with while the MUD ACLs were slower to update. In such a case, observable (D)TLS profile parameters can be used to permit intended use and to block malicious behaviour from the IoT device.

**Commenté [BMT9]:** Having an example would be helpful.

This document extends MUD [RFC8520] to model observable (D)TLS profile parameters. Using these (D)TLS profile parameters, an active MUD-enforcing firewall can identify MUD non-compliant (D)TLS behavior indicating outdated cryptography or malware. This detection can prevent malware downloads, block access to malicious domains, enforce use of strong ciphers, stop data exfiltration, etc. In addition, organizations may have policies around acceptable ciphers and certificates on the websites the IoT devices connect to. Examples include no use of old and less secure versions of TLS, no use of self-signed certificates, deny-list or accept-list of Certificate Authorities, valid certificate expiration time, etc. These policies can be enforced by observing the (D)TLS profile parameters. Enterprise firewalls can use the IoT device's (D)TLS profile parameters to identify legitimate flows by observing (D)TLS sessions, and can make inferences to permit legitimate flows and to block malicious or insecure flows. The proposed technique is also suitable in deployments where decryption techniques are not ideal due to privacy concerns, non-cooperating end-points, and expense.

**Commenté [BMT10]:** To be defined.

**Commenté [BMT11]:** How the profile is maintained?

**Commenté [BMT12]:** What about false positives/negatives?

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119][RFC8174] when, and only when, they appear in all capitals, as shown here.

"(D)TLS" is used for statements that apply to both Transport Layer Security [RFC8446] and Datagram Transport Layer Security [RFC6347]. Specific terms are used for any statement that applies to either protocol alone.

'DoH/DoT' refers to DNS-over-HTTPS and/or DNS-over-TLS.

### 3. Overview of MUD (D)TLS ~~Profiles~~ Profiles for IoT ~~devices~~ Devices

In Enterprise networks, protection and detection are typically done both on end hosts and in the network. ~~Host agents~~ have deep visibility on the devices where they are installed, whereas the network has a broader visibility. Installing host agents may not be a viable option on IoT devices, and network-based security is an efficient means to protect such IoT devices.

**Commenté [BMT13]:** That is ?

#### (D)TLS profile

parameters of IoT ~~devices~~ can be used by middle-boxes to detect and block malware communication, while at the same time preserving the privacy of legitimate uses of encryption. ~~Middle-boxes~~ need not proxy (D)TLS but can passively observe the parameters of (D)TLS handshakes from IoT devices and gain good visibility into TLS 1.0 to 1.2 parameters and partial visibility into TLS 1.3 parameters.

**Commenté [BMT14]:** Again, this assumes all « legitimate devices » are implementing the profile.

Malicious agents can try to use the (D)TLS profile parameters of legitimate agents to evade detection, but it becomes a challenge to mimic the behavior of various IoT device types and IoT device models from several manufacturers. In other words, malware developers will have to develop malicious agents per IoT device type, manufacturer and model, infect the device with the tailored malware agent and will have keep up with updates to the device's (D)TLS profile parameters over time. ~~Furthermore~~, the malware's command and control server certificates need to be signed by the same certifying authorities trusted by the IoT devices. Typically, ~~IoT devices~~ have an infrastructure that supports a rapid deployment of updates, and malware agents will have a near-impossible task of similarly deploying updates and continuing to mimic the TLS behavior of the IoT device it has infected.

**Commenté [BMT15]:** An issue with many IoT devices is that they are not always maintained. Relying on the profile would lead to block some "legitimate" communications.

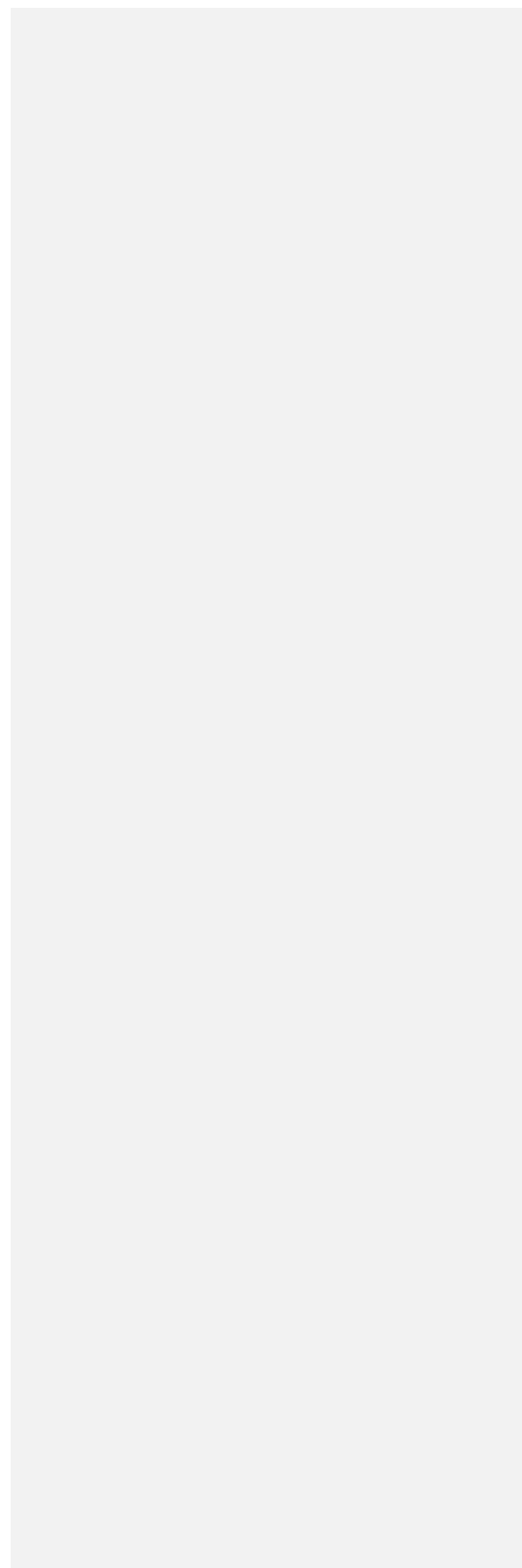
**Commenté [BMT16]:** This is not always the case. Please check <https://tools.ietf.org/html/rfc8576>

~~The eC~~Compromised IoT devices are typically used for launching DDoS attacks (Section 3 of [RFC8576]). Some of the DDoS attacks like Slowloris and Transport Layer Security (TLS) re-negotiation can be detected by observing the (D)TLS profile parameters. For example, the victim's server certificate need not be signed by the same certifying authorities trusted by the IoT device.

### 4. (D)TLS 1.3 ~~handshake~~ Handshake

In (D)TLS 1.3, full (D)TLS handshake inspection is not possible since all (D)TLS handshake messages excluding the ClientHello message are encrypted. (D)TLS 1.3 has introduced new extensions in the handshake record layers called Encrypted Extensions. Using these extensions handshake messages will be encrypted and network devices (such as a firewall) are incapable ~~to deciphering~~ the handshake, ~~and~~ thus cannot view

the server certificate. However, the ClientHello and ServerHello still have some fields visible, such as the list of supported versions, named groups, cipher suites, signature algorithms, and extensions in ClientHello and, chosen cipher in the ServerHello. For



instance, if the malware uses evasion techniques like ClientHello randomization, the observable list of cipher suites and extensions offered by the malware agent in the ClientHello message will not match the list of cipher suites and extensions offered by the legitimate client in the ClientHello message, and the middle-box can block malicious flows without acting as a (D)TLS 1.3 proxy.

#### 4.1. Full (D)TLS 1.3 ~~H~~handshake ~~inspection~~Inspection

To obtain more visibility into negotiated TLS 1.3 parameters, a middle-box can act as a (D)TLS 1.3 proxy. A middle-box can act as a (D)TLS proxy for the IoT devices owned and managed by the IT team in the Enterprise network and the (D)TLS proxy must meet the security and privacy requirements of the organization. In other words, the scope of middle-box acting as a (D)TLS proxy is restricted to Enterprise network owning and managing the IoT devices. The middle-box **MUST** follow the behaviour ~~explained-detailed~~ in Section 9.3 of

[RFC8446]

to act as a compliant (D)TLS 1.3 proxy.

To function as a (D)TLS proxy the middle-box ~~has to~~ **creates** a signed certificate using itself as a certificate authority. That certificate authority has to be trusted by the (D)TLS client. The IoT device needs to be configured with the middle-box's CA certificate as Explicit Trust Anchor database entry to validate the server certificate. The mechanism to configure the IoT device with the middle-box's CA certificate is out of ~~the scope of the document~~.

The middle-box uses

the "supported\_versions" TLS extension (defined in TLS 1.3 to negotiate the supported TLS versions between client and server) to determine the TLS version. During the (D)TLS handshake, If (D)TLS version 1.3 is used, the middle-box ((D)TLS proxy) modifies the certificate provided by the server and signs it with the private key from the local CA certificate. The middle-box has visibility into further exchanges between the IoT device and server which enables it to inspect the (D)TLS 1.3 handshake, enforce the MUD (D)TLS profile and can inspect subsequent network traffic. The IoT device uses the Explicit Trust Anchor database to validate the server certificate.

#### 4.2. Encrypted SNI

To increase privacy, encrypted SNI (ESNI, ~~[I-D.ietf-tls-sni-encryption]~~) prevents passive observation of the TLS Server Name Indication extension. To effectively provide that privacy protection, SNI encryption needs to be used in conjunction with DNS encryption (e.g., ~~DNS over TLS (DoT) [RFC7858] or DNS over HTTPS (DoH) [RFC8484]~~DoH or DoT). A middle-box (e.g., firewall)

passively

inspecting an encrypted SNI (D)TLS handshake cannot observe the encrypted SNI nor observe the encrypted DNS traffic. If an IoT device is pre-configured to use public DoH/DoT servers, that middle-

**Commenté [BMT17]:** Why the normative language is used here?

**Commenté [BMT18]:** To be updated to rfc8744

**Commenté [BMT19]:** Already cited.

box needs to act as a DoH/DoT proxy and replace the ECH configuration in the "echconfig" SvcParamKey (Section 6.3 of [I-D.ietf-dnsop-svcb-https]) with the middle box's ECH configuration. Instead of an unappealing DoH/DoT proxy, the IoT device can be bootstrapped to discover and authenticate DoH/DoT servers provided by a local network by making use of one of the mechanisms described in Section 4 of [I-D.reddy-add-enterprise]. The local DoH/DoT server replaces the ECH configuration in the "echconfig" SvcParamKey with the middle box's ECH configuration.

A common usage pattern for certain type of IoT devices (e.g., light bulb) is for it to "call home" to a service that resides on the public Internet, where that service is referenced through a domain name (A or AAAA record). As discussed in Manufacturer Usage Description Specification [RFC8520], because these devices tend to require access to very few sites, all other access should be considered suspect. If an IoT device is pre-configured to use public DoH/DoT server, the MUD policy enforcement point is moved to that public server, which cannot enforce the MUD policy based on domain names (Section 8 of [RFC8520]). If the DNS query is not accessible for inspection, it becomes quite difficult for the infrastructure to suspect anything. Thus the use of a public DoH/DoT server is incompatible with MUD in general. A local DoH/DoT server is necessary to allow MUD policy enforcement on the local network.

5. (D)TLS ~~profile~~Profile YANG ~~module~~Module

This document specifies a YANG module for representing (D)TLS profile. The (D)TLS profile YANG module provides a method for ~~firewalls~~ to observe the (D)TLS profile parameters in the (D)TLS handshake to permit intended use and to block malicious behavior. This module uses the common YANG types defined in [RFC6991], ~~the~~

rules

defined in [RFC8519], and ~~the~~ cryptographic types defined in [I-D.ietf-netconf-crypto-types].

The (D)TLS ~~profiles and the~~ parameters in each (D)TLS profile include the following:

- o Profile name
- o (D)TLS version in ClientHello.legacy\_version
- o (D)TLS versions supported by the IoT device. As a reminder, "supported\_versions" extension defined in (D)TLS 1.3 is used by the client to indicate which versions of (D)TLS it supports and a client is considered to be attempting to negotiate (D)TLS 1.3 if the ClientHello contains a "supported\_versions" extension with 0x0304 contained in its body.

Commenté [BMT20]: Why to restrict to firewalls?



- o If GREASE [RFC8701] (Generate Random Extensions And Sustain Extensibility) values are offered by the client or not.
- o List of supported symmetric encryption algorithms. TLS 1.3 defines five cipher suites (Appendix B.4 of [RFC8446]), but most clients are continuing to offer TLS 1.2 compatible cipher suites for backwards compatibility.
- o List of supported compression methods for data compression. In TLS 1.3, only the "null" compression method is allowed (Section 4.1.2 of [RFC8446]).
- o List of supported extension types
- o List of trust anchor certificates used by the IoT device. If the server certificate is signed by one of the trust anchors, the middle-box continues with the connection as normal. Otherwise, the middle-box will react as if the server certificate validation has failed and takes appropriate action (e.g., block the (D)TLS session). An IoT device can use a private trust anchor to validate a server's certificate (e.g., the private trust anchor can be preloaded at manufacturing time on the IoT device and the IoT device fetches the firmware image from the Firmware server whose certificate is signed by the private CA).
- o List of SPKI pin set pre-configured on the client to validate self-signed server certificates or raw public keys. A SPKI pin set is a cryptographic digest to "pin" public key information in a manner similar to HTTP Public Key Pinning (HPKP) [RFC7469]. If SPKI pin set is present in the (D)TLS profile of a IoT device and the server certificate does not pass the PKIX certification path validation, the middle-box computes the SPKI Fingerprint for the public key found in the server's certificate (or in the raw public key, if the server provides that instead). If a computed fingerprint exactly matches one of the SPKI pin sets in the (D)TLS profile, the middle-box continues with the connection as normal. Otherwise, the middle-box will act on the SPKI validation failure and takes appropriate action.
- o Cryptographic hash algorithm used to generate the SPKI pinsets
- o List of pre-shared key exchange modes
- o List of named groups (DHE or ECDHE) supported by the client
- o List signature algorithms the client can validate in X.509 server certificates

- o List signature algorithms the client is willing to accept for CertificateVerify message (Section 4.2.3 of [RFC8446]). For example, a TLS client implementation can support different sets of algorithms for certificates and in TLS to signal the capabilities in "signature\_algorithms\_cert" and "signature\_algorithms" extensions.
- o List of supported application protocols (e.g., h3, h2, http/1.1 etc.)
- o List of certificate compression algorithms (defined in [I-D.ietf-tls-certificate-compression])
- o List of the distinguished names [X501] of acceptable certificate authorities, represented in DER-encoded format [X690] (defined in Section 4.2.4 of [RFC8446])
- o List of client key exchange algorithms and the client public key lengths in versions prior to (D)TLS 1.3

The (D)TLS profile parameters include the GREASE values for extension types, named groups, signature algorithms, (D)TLS versions, pre-shared key exchange modes and cipher suites, but normalized to the value 0x0a to preserve ordering information. Note that the GREASE values are random but their positions are deterministic (Section 5 of [RFC8701]) and peers will ignore these values and interoperate.

If the (D)TLS profile parameters are not observed in a (D)TLS session from the IoT device, the default behaviour is to block the (D)TLS session.

Note: The TLS and DTLS IANA registries are available from <https://www.iana.org/assignments/tls-parameters/tls-parameters.txt>.

### 5.1. Tree Structure

This document augments the "ietf-mud" MUD YANG module defined in [RFC8520] for signaling the IoT device (D)TLS profile. This document defines the YANG module "reddy-opsawg-mud-tls-profile", which has the following tree structure:

```

module: reddy-opsawg-mud-tls-profile
augment /acl:acls/acl:acl/acl:aces/acl:ace/acl:matches:
  +--rw client-profile
    +--rw tls-profiles* [profile-name]
      +--rw profile-name          string
      +--rw protocol-version?     uint16
      +--rw supported_versions*   uint16
      +--rw grease_extension?     boolean
      +--rw encryption-algorithms* encryption-algorithm
      +--rw compression-methods*  compression-method
      +--rw extension-types*      extension-type
      +--rw acceptlist-ta-certs*   ct:trust-anchor-cert-cms
      +--rw SPKI-pin-sets*         SPKI-pin-set
      +--rw SPKI-hash-algorithm?   iha:hash-algorithm-type
      +--rw psk-key-exchange-modes* psk-key-exchange-mode
      +--rw supported-groups*      supported-group
      +--rw signature-algorithms-cert* signature-algorithm
      +--rw signature-algorithms*  signature-algorithm
      +--rw application-protocols* application-protocol
      +--rw cert-compression-algorithms* cert-compression-algorithm
      +--rw certificate_authorities* certificate_authorities
      +--rw client-public-keys
        +--rw key-exchange-algorithms* key-exchange-algorithm
        +--rw client-public-key-lengths* client-public-key-length

```

## 5.2. YANG Module

```

module reddy-opsawg-mud-tls-profile {
  yang-version 1.1;
  namespace "urn:ietf:params:xml:ns:yang:redy-opsawg-mud-tls-profile";
  prefix mud-tls-profile;

  import ietf-crypto-types {
    prefix ct;
    reference "draft-ietf-netconf-crypto-types-01:
      Common YANG Data Types for Cryptography";
  }

  import iana-hash-algs {
    prefix iha;
    reference
      "RFC XXXX: Common YANG Data Types for Hash algorithms";
  }

  import ietf-access-control-list {
    prefix acl;
    reference

```

```
"RFC 8519: YANG Data Model for Network Access
  Control Lists (ACLs)";
}

organization
  "IETF Operations and Management Area Working Group Working Group";
contact
  "Editor: Konda, Tirumaleswar Reddy
    <mailto:TirumaleswarReddy_Konda@McAfee.com>";

description
  "This module contains YANG definition for the IoT device
    (D)TLS profile.

    Copyright (c) 2019 IETF Trust and the persons identified as
    authors of the code. All rights reserved.

    Redistribution and use in source and binary forms, with or
    without modification, is permitted pursuant to, and subject
    to the license terms contained in, the Simplified BSD License
    set forth in Section 4.c of the IETF Trust's Legal Provisions
    Relating to IETF Documents
    (http://trustee.ietf.org/license-info).

    This version of this YANG module is part of RFC XXXX; see
    the RFC itself for full legal notices.";

revision 2019-06-12 {
  description
    "Initial revision";
}

typedef compression-method {
  type uint8;
  description "Compression method";
}

typedef extension-type {
  type uint16;
  description "Extension type";
}

typedef encryption-algorithm {
  type uint16;
  description "Encryption algorithm";
}

typedef supported-group {
```

```
    type uint16;
    description "Named group (DHE or ECDHE)";
}

typedef SPKI-pin-set {
    type binary;
    description "Subject Public Key Info pin set";
}

typedef signature-algorithm {
    type uint16;
    description "Signature algorithm";
}

typedef key-exchange-algorithm {
    type uint8;
    description "key exchange algorithm";
}

typedef psk-key-exchange-mode {
    type uint8;
    description "pre-shared key exchange mode";
}

typedef client-public-key-length {
    type uint8;
    description "client public key length";
}

typedef application-protocol {
    type string;
    description "application protocol";
}

typedef cert-compression-algorithm {
    type uint8;
    description "certificate compression algorithm";
}

typedef certificate_authority {
    type binary;
    description "Distinguished Name of Certificate authority";
}

grouping client-profile {
    description
        "A grouping for (D)TLS profiles.";
    container client-profile {
```

```
list tls-profiles {
  key "profile-name";
  description
    "A list of (D)TLS version profiles supported by the client.";
  leaf profile-name {
    type string {
      length "1..64";
    }
    description
      "The name of (D)TLS profile; space and special
      characters are not allowed.";
  }
  leaf protocol-version {
    type uint16;
    description "(D)TLS version in ClientHello.legacy_version";
  }
  leaf-list supported_versions {
    type uint16;
    description
      "TLS versions supported by the client indicated
      in the supported_versions extension in (D)TLS 1.3.";
  }
  leaf grease_extension {
    type boolean;
    description
      "If set to 'true', Grease extension values are offered by
      the client.";
  }
  leaf-list encryption-algorithms {
    type encryption-algorithm;
    description "Encryption algorithms";
  }
  leaf-list compression-methods {
    type compression-method;
    description "Compression methods";
  }
  leaf-list extension-types {
    type extension-type;
    description "Extension Types";
  }
  leaf-list acceptlist-ta-certs {
    type ct:trust-anchor-cert-cms;
    description
      "A list of trust anchor certificates used by the client.";
  }
  leaf-list SPKI-pin-sets {
    type SPKI-pin-set;
    description
```

```
    "A list of SPKI pin sets pre-configured on the client
    to validate self-signed server certificate or
    raw public key.";
}
leaf SPKI-hash-algorithm {
  type iha:hash-algorithm-type;
  description
    "cryptographic hash algorithm used to generate the
    SPKI pinset.";
}
leaf-list psk-key-exchange-modes {
  type psk-key-exchange-mode;
  description
    "pre-shared key exchange modes";
}
leaf-list supported-groups {
  type supported-group;
  description
    "A list of named groups supported by the client.";
}
leaf-list signature-algorithms-cert {
  type signature-algorithm;
  description
    "A list signature algorithms the client can validate
    in X.509 certificates.";
}
leaf-list signature-algorithms {
  type signature-algorithm;
  description
    "A list signature algorithms the client can validate
    in the CertificateVerify message.";
}
leaf-list application-protocols {
  type application-protocol;
  description
    "A list application protocols supported by the client";
}
leaf-list cert-compression-algorithms {
  type cert-compression-algorithm;
  description
    "A list certificate compression algorithms
    supported by the client";
}
leaf-list certificate_authorities {
  type certificate_authority;
  description
    "A list of the distinguished names of certificate
authorities acceptable to the client";
```

```

    }
    container client-public-keys {
      leaf-list key-exchange-algorithms {
        type key-exchange-algorithm;
        description
          "Key exchange algorithms supported by the client";
      }
      leaf-list client-public-key-lengths {
        type client-public-key-length;
        description
          "client public key lengths";
      }
    }
  }
}
}
augment "/acl:acls/acl:acl/acl:aces/acl:ace/acl:matches" {
  description
    "MUD (D)TLS specific matches.";
  uses client-profile;
}
}

```

## 6. MUD File Example

~~This~~The example below contains (D)TLS profile parameters for a IoT device used to reach servers listening on port 443 using TCP transport. JSON encoding of YANG modelled data [RFC7951] is used to illustrate the example.

```

{
  "ietf-mud:mud": {
    "mud-version": 1,
    "mud-url": "https://example.com/IoTDevice",
    "last-update": "2019-18-06T03:56:40.105+10:00",
    "cache-validity": 100,
    "is-supported": true,
    "systeminfo": "IoT device name",
    "from-device-policy": {
      "access-lists": {
        "access-list": [
          {
            "name": "mud-7500-profile"
          }
        ]
      }
    },
    "ietf-access-control-list:acls": {

```



```
"acl": [
  {
    "name": "mud-7500-profile",
    "type": "ipv6-acl-type",
    "aces": {
      "ace": [
        {
          "name": "cl0-frdev",
          "matches": {
            "ipv6": {
              "protocol": 6
            },
            "tcp": {
              "ietf-mud:direction-initiated": "from-device",
              "destination-port": {
                "operator": "eq",
                "port": 443
              }
            }
          },
          "redy-opsawg-mud-tls-profile:client-profile" : {
            "tls-profiles" : [
              {
                "protocol-version" : 771,
                "supported_versions_ext" : "FALSE",
                "encryption-algorithms" :
                  [31354, 4865, 4866, 4867],
                "extension-types" : [10],
                "supported-groups" : [29]
              }
            ]
          },
          "actions": {
            "forwarding": "accept"
          }
        }
      ]
    }
  }
]
```

## 7. Security Considerations

Security considerations in [RFC8520] need to be taken into consideration. Although it is challenging for a malware to mimic the TLS behavior of various IoT device types and IoT device models from several manufacturers, malicious agents have a very low

~~probability~~ probability of using the same (D)TLS profile parameters as legitimate agents on the IoT device to evade detection. Network security services should also rely on contextual network data to detect false negatives. In order to detect such malicious flows, anomaly detection (deep learning techniques on network data) can be used to detect malicious agents using the same (D)TLS profile parameters as legitimate agent on the IoT device. In anomaly detection, the main idea is to maintain rigorous learning of "normal" behavior and where an "anomaly" (or an attack) is identified and categorized based on the knowledge about the normal behavior and a deviation from this normal behavior.

## 8. Privacy Considerations

The middle-box acting as a (D)TLS proxy must immediately delete the decrypted data upon completing any necessary inspection functions. TLS proxy potentially has access to a user's PII (Personally identifiable information) and PHI (Protected Health Information). The TLS proxy must not store, process or modify PII data. For example, IT administrator can configure firewall to bypass payload inspection for a connection destined to a specific service due to privacy compliance requirements.

## 9. IANA Considerations

This document requests IANA to register the following URIs in the "ns" subregistry within the "IETF XML Registry" [RFC3688]:

URI: urn:ietf:params:xml:ns:yang:reddy-opsawg-mud-tls-profile  
Registrant Contact: The IESG.  
XML: N/A; the requested URI is an XML namespace.

## 10. Acknowledgments

Thanks to Flemming Andreassen, Shashank Jain, Michael Richardson, Piyush Joshi and Harsha Joshi for the discussion and comments.

## 11. References

**Commenté [BMT21]:** To be updated as per <https://trac.ietf.org/trac/ops/wiki/yang-security-guidelines>

**Commenté [BMT22]:** You need to update the action as per :

==

Each normative YANG module MUST be registered in both the "IETF XML Registry" [RFC3688] [IANA-XML] and the "YANG Module Names" registry [RFC6020] [IANA-MOD-NAMES].

==

## 11.1. Normative References

- [I-D.ietf-netconf-crypto-types]  
Watsen, K., "YANG Data Types and Groupings for Cryptography", draft-ietf-netconf-crypto-types-18 (work in progress), August 2020.
- [I-D.ietf-tls-certificate-compression]  
Ghedini, A. and V. Vasiliev, "TLS Certificate Compression", draft-ietf-tls-certificate-compression-10 (work in progress), January 2020.
- [I-D.ietf-tls-dtls13]  
Rescorla, E., Tschofenig, H., and N. Modadugu, "The Datagram Transport Layer Security (DTLS) Protocol Version 1.3", draft-ietf-tls-dtls13-38 (work in progress), May 2020.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, DOI 10.17487/RFC3688, January 2004, <<https://www.rfc-editor.org/info/rfc3688>>.
- [RFC6347] Rescorla, E. and N. Modadugu, "Datagram Transport Layer Security Version 1.2", RFC 6347, DOI 10.17487/RFC6347, January 2012, <<https://www.rfc-editor.org/info/rfc6347>>.
- [RFC6991] Schoenwaelder, J., Ed., "Common YANG Data Types", RFC 6991, DOI 10.17487/RFC6991, July 2013, <<https://www.rfc-editor.org/info/rfc6991>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.
- [RFC8446] Rescorla, E., "The Transport Layer Security (TLS) Protocol Version 1.3", RFC 8446, DOI 10.17487/RFC8446, August 2018, <<https://www.rfc-editor.org/info/rfc8446>>.
- [RFC8519] Jethanandani, M., Agarwal, S., Huang, L., and D. Blair, "YANG Data Model for Network Access Control Lists (ACLs)", RFC 8519, DOI 10.17487/RFC8519, March 2019, <<https://www.rfc-editor.org/info/rfc8519>>.

- [RFC8701] Benjamin, D., "Applying Generate Random Extensions And Sustain Extensibility (GREASE) to TLS Extensibility", RFC 8701, DOI 10.17487/RFC8701, January 2020, <<https://www.rfc-editor.org/info/rfc8701>>.
- [X690] ITU-T, "Information technology - ASN.1 encoding Rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)", ISO/IEC 8825-1:2002, 2002.

## 11.2. Informative References

- [crypto-vulnerability] Perez, B., "Exploiting the Windows CryptoAPI Vulnerability", January 2020, <<https://media.defense.gov/2020/Jan/14/2002234275/-1/-1/0/CSA-WINDOWS-10-CRYPT-LIB-20190114.PDF>>.
- [I-D.ietf-dnsop-svcb-https] Schwartz, B., Bishop, M., and E. Nygren, "Service binding and parameter specification via the DNS (DNS SVCB and HTTPS RRs)", draft-ietf-dnsop-svcb-https-01 (work in progress), July 2020.
- [I-D.ietf-tls-sni-encryption] Huitema, C. and E. Rescorla, "Issues and Requirements for SNI Encryption in TLS", draft-ietf-tls-sni-encryption-09 (work in progress), October 2019.
- [I-D.reddy-add-enterprise] Reddy, K. T. and D. Wing, "DNS-over-HTTPS and DNS-over-TLS Server Deployment Considerations for Enterprise Networks", draft-reddy-add-enterprise-00 (work in progress), June 2020.
- [malware] Anderson, B., Paul, S., and D. McGrew, "Deciphering Malware's use of TLS (without Decryption)", July 2016, <<https://arxiv.org/abs/1607.01639>>.
- [malware-doh] Cimpanu, C., "First-ever malware strain spotted abusing new DoH (DNS over HTTPS) protocol", July 2019, <<https://www.zdnet.com/article/first-ever-malware-strain-spotted-abusing-new-doh-dns-over-https-protocol/>>.

## [malware-tls]

Anderson, B. and D. McGrew, "TLS Beyond the Browser: Combining End Host and Network Data to Understand Application Behavior", October 2019, <<https://dl.acm.org/citation.cfm?id=3355601>>.

[RFC7469] Evans, C., Palmer, C., and R. Sleevi, "Public Key Pinning Extension for HTTP", RFC 7469, DOI 10.17487/RFC7469, April 2015, <<https://www.rfc-editor.org/info/rfc7469>>.

[RFC7858] Hu, Z., Zhu, L., Heidemann, J., Mankin, A., Wessels, D., and P. Hoffman, "Specification for DNS over Transport Layer Security (TLS)", RFC 7858, DOI 10.17487/RFC7858, May 2016, <<https://www.rfc-editor.org/info/rfc7858>>.

[RFC7951] Lhotka, L., "JSON Encoding of Data Modeled with YANG", RFC 7951, DOI 10.17487/RFC7951, August 2016, <<https://www.rfc-editor.org/info/rfc7951>>.

[RFC8484] Hoffman, P. and P. McManus, "DNS Queries over HTTPS (DoH)", RFC 8484, DOI 10.17487/RFC8484, October 2018, <<https://www.rfc-editor.org/info/rfc8484>>.

[RFC8520] Lear, E., Droms, R., and D. Romascanu, "Manufacturer Usage Description Specification", RFC 8520, DOI 10.17487/RFC8520, March 2019, <<https://www.rfc-editor.org/info/rfc8520>>.

[RFC8576] Garcia-Morchon, O., Kumar, S., and M. Sethi, "Internet of Things (IoT) Security: State of the Art and Challenges", RFC 8576, DOI 10.17487/RFC8576, April 2019, <<https://www.rfc-editor.org/info/rfc8576>>.

[X501] "Information Technology - Open Systems Interconnection - The Directory: Models", ITU-T X.501, 1993.

## Authors' Addresses

Tirumaleswar Reddy  
McAfee, Inc.  
Embassy Golf Link Business Park  
Bangalore, Karnataka 560071  
India

Email: [kondtir@gmail.com](mailto:kondtir@gmail.com)

Dan Wing  
Citrix Systems, Inc.  
4988 Great America Pkwy  
Santa Clara, CA 95054  
USA

Email: danwing@gmail.com

Blake Anderson  
Cisco Systems, Inc.  
170 West Tasman Dr  
San Jose, CA 95134  
USA

Email: blake.anderson@cisco.com