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Controlling Filtering Rules Using Distributed Denial-of-Service Open  
Threat Signaling (DOTS) Signal Channel  
~~draft-ietf-dots-signal-filter-control-00~~  
draft-ietf-dots-signal-filter-control-01

## Abstract

This document specifies an extension to the DOTS signal channel **protocol** so that DOTS clients can control their filtering rules when an attack mitigation is active.

Particularly, this extension allows a DOTS client to activate or deactivate existing filtering rules during a DDoS attack. The characterization of these filtering rules is supposed to be conveyed by a DOTS client during an idle time by means of the DOTS data channel protocol.

## Editorial Note (To be removed by RFC Editor)

Please update these statements within the document with the RFC number to be assigned to this document:

- o "This version of this YANG module is part of RFC XXXX;"
- o "RFC XXXX: Controlling Filtering Rules Using Distributed Denial-of-Service Open Threat Signaling (DOTS) Signal Channel";
- o reference: RFC XXXX
- o [RFCXXXX]

Please update these statements with the RFC number to be assigned to the following documents:

- o "RFC SSSS: Distributed Denial-of-Service Open Threat Signaling (DOTS) Signal Channel Specification" (used to be [I-D.ietf-dots-signal-channel])
- o "RFC DDDD: Distributed Denial-of-Service Open Threat Signaling (DOTS) Data Channel Specification" (used to be [I-D.ietf-dots-data-channel])

Please update the "revision" date of the YANG module.

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## 1. Introduction

### 1.1. The Problem

The DOTS data channel protocol [I-D.ietf-dots-data-channel] is used for bulk data exchange between DOTS agents to improve the coordination of ~~all the~~ parties involved in the response to the ~~DDoS~~ Distributed Denial-of-Service (DDoS) attack. Filter management is one of its tasks which enables a DOTS client to retrieve the filtering capabilities of a DOTS server and to manage filtering rules. ~~These~~ Typically, these Filtering rules are used for dropping or ~~rate-limiting rate-limiting~~ unwanted traffic, and permitting accept-listed traffic.

Unlike the DOTS signal channel ~~protocol~~ [I-D.ietf-dots-signal-channel], the DOTS data channel ~~protocol~~ is not expected to deal with attack conditions. As such, an issue that might be encountered in some deployments is when filters installed by means of ~~the~~ DOTS data channel protocol may not function as expected during DDoS attacks ~~or~~ or, worse, exacerbate an ongoing DDoS attack. The DOTS data channel ~~protocol~~ cannot be used then to change these filters, which may complicate DDoS mitigation operations [Interop].

A typical case is a DOTS client which configures during 'idle' time (i.e., no mitigation is active) some filtering rules using ~~the~~ DOTS data channel ~~protocol~~ to permit traffic from accept-listed sources, but during a volumetric DDoS attack the DDoS mitigator identifies the source addresses/prefixes in the accept-listed filtering rules are attacking the target. For example, an attacker can spoof the IP addresses of accept-listed sources to generate attack traffic or the attacker can compromise the accept-listed sources and program them to launch a DDoS attack.

[I-D.ietf-dots-signal-channel] is designed so that the DDoS server notifies the conflict to the DOTS client (that is, 'conflict-cause' parameter set to 2 (Conflicts with an existing accept list)), but the DOTS client may not be able to withdraw the accept-list rules during the attack period due to the high-volume attack traffic saturating the inbound ~~link~~ link to the DOTS client domain. In other words, the DOTS client cannot use the DOTS data channel ~~protocol~~ to withdraw the accept-list filters when ~~the~~ a DDoS attack is in progress. This assumes that this DOTS client is the owner of the filtering rule.

### 1.2. The Solution

This specification addresses the problems discussed in Section 1.1 by adding the capability of managing filtering rules using the DOTS signal ~~channel~~ channel protocol, which enables a DOTS client to request the activation

~~or-deactivation~~ (or deactivation) of filtering rules during a DDoS attack.

The DOTS signal channel protocol ~~{I-D.ietf-dots-signal-channel}~~ is designed to enable a DOTS client to contact a DOTS server for help even under severe network congestion conditions. Therefore, extending the DOTS signal channel protocol to manage the filtering rules during ~~a~~ **an** attack will enhance the protection capability offered by DOTS protocols.

Note: The experiment at the IETF103 hackathon [Interop] showed that even when the ~~incoming~~ **inbound** link is saturated by DDoS attack traffic, the DOTS client can signal mitigation requests using the DOTS signal channel over the saturated link.

Conflicts that are induced by filters installed by other DOTS clients of the same domain are not discussed in this specification.

**An augment to the DOTS signal channel YANG module is defined in Section 3.2.2.**

Sample examples are provided in Section 4, in particular:

- o Section 4.1 illustrates how the filter control extension is used when conflicts with **ACLs Access Control List (ACLs)** are detected **and reported** by a DOTS server.
- o Section 4.2 shows how a DOTS client can instruct a DOTS server to safely forward some specific traffic in 'attack' time.
- o Section 4.3 shows how a DOTS client can react if **the** DDoS traffic is still being forwarded to the DOTS client domain even if mitigation requests were sent to a DOTS server.

**The JavaScript Object Notation (JSON) encoding of YANG-modeled data [RFC7951] is used to illustrate the examples.**

## 2. ~~Notational Conventions and~~ Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

The reader should be familiar with the terms defined in [I-D.ietf-dots-requirements].

The **terminology for describing YANG modules is defined in [RFC7950]**. The meaning of the symbols in **the** tree ~~diagrams~~ **diagram** is defined in [RFC8340].

## 3. Controlling Filtering Rules of a DOTS Client

### 3.1. Binding ~~the~~ **DOTS** Data and Signal Channels

The filtering rules eventually managed using the DOTS signal channel **protocol** are created a priori by the same DOTS client using the DOTS data ~~channel-~~ **channel protocol**. Managing conflicts with filters installed by other DOTS clients of the same domain is out of scope.

As discussed in Section 4.4.1 of [I-D.ietf-dots-signal-channel], a DOTS client must use the same 'cuid' for both the **DOTS** signal and data channels. This requirement is meant to facilitate binding DOTS channels used by the same DOTS client.

The DOTS signal and data channels from a DOTS client may or may not use the same DOTS server. Nevertheless, the scope of the mitigation request, alias, and filtering rules are not restricted to the DOTS server but to the DOTS server domain. To that aim, DOTS servers within a domain are assumed to have a mechanism to coordinate the mitigation requests, aliases, and filtering rules to coordinate their decisions for better mitigation operation efficiency. The exact details about such mechanism is out of **the** scope of this document.

A filtering rule controlled by the DOTS signal channel is identified by its ~~Access-Control-List (ACL)~~ **ACL** name (Section 7.2 of [I-D.ietf-dots-data-channel]). Note that an ACL name unambiguously identifies an ACL bound to a DOTS client, but the same name may be used by distinct DOTS clients.

The activation or deactivation of an ACL by the **DOTS** signal channel overrides the 'activation-type' (defined in Section 7.2 of

[I-D.ietf-dots-data-channel]) a priori conveyed with the filtering rules using the DOTS data ~~channel~~ **channel protocol**.

### 3.2. DOTS Signal Channel Extension

#### 3.2.1. Parameters & **and** Behaviors

This specification extends the mitigation request defined in Section 4.4.1 of [I-D.ietf-dots-signal-channel] to convey the intended control of ~~the~~ configured filtering rules. Concretely, the DOTS client conveys **'acl-list' attribute with the following parameters sub-attributes** in the CBOR body of a mitigation ~~request~~ **request (see the YANG-encoded structure in Section 3.2.2.1):**

**acl-name:** A name of an access list defined using the DOTS data channel (Section 7.2 of [I-D.ietf-dots-data-channel]) that is associated with the DOTS client.

As a reminder, an ACL is an ordered list of Access Control Entries (ACE). Each Access Control Entry has a list of match criteria and a list of actions [I-D.ietf-dots-data-channel]. The list of configured ACLs can be retrieved using the DOTS data channel during 'idle' time.

This is an optional attribute.

**activation-type:** Indicates the activation type of an ACL overriding the existing 'activation-type' installed by the DOTS client using the DOTS data channel.

As a reminder, this attribute can be set to 'deactivate', 'immediate', or 'activate-when-mitigating' as defined in [I-D.ietf-dots-data-channel].

Note that both 'immediate' and 'activate-when-mitigating' have an immediate effect when a mitigation request is being processed by the DOTS server.

This is an optional attribute.

The JSON/YANG mapping to CBOR for 'activation-type' is shown in Table 1.

Parameter Name	YANG Type	CBOR Key	CBOR Major Type & Information	JSON Type
activation-type	enumeration	0x0031 (TBD1)	0 unsigned	String

Table 1: JSON/YANG mapping to CBOR for 'activation-type'

~~A DOTS client may include acl \* attributes in a mitigation request having a new or an existing 'mid'. When acl \* attributes~~

~~By default, ACL-related operations are to be included in a mitigation request with an existing 'mid', the DOTS client MUST repeat all the other parameters as sent in the original mitigation request (i.e., having that 'mid') apart from a possible change to achieved using the lifetime parameter value.~~

~~It is RECOMMENDED for a DOTS client to subscribe to asynchronous notifications of the data channel protocol when no attack mitigation, as detailed in Section 4.4.2.1 of [I-D.ietf-dots-signal-channel]. If not, the polling mechanism in Section 4.4.2.2 of [I-D.ietf-dots-signal-channel] has to be followed by the DOTS client.~~

~~A is ongoing. DOTS client clients MUST NOT use the filtering control over DOTS signal channel in 'idle' time; such requests MUST be discarded by the DOTS server servers with 4.00 (Bad Request). By default, ACL-related operations are achieved using the DOTS data channel [I-D.ietf-dots-data-channel] when no~~

**During an attack time, DOTS clients may include 'acl-list', 'acl-name', and 'activation-type' attributes in a mitigation request. This request may be the initial mitigation request for a given mitigation scope or a new one overriding an existing request. In**

both cases, a new 'mid' MUST be used. Nevertheless, it is ~~ongoing~~. NOT RECOMMENDED to include ACL attributes in an initial mitigation request for a given mitigation scope or in a mitigation request adjusting the mitigation scope.

As the attack evolves, DOTS clients can adjust the 'activation-type' of an ACL conveyed in a mitigation request or control other filters as necessary. This can be achieved by sending a PUT request with a new 'mid' value.

It is RECOMMENDED for a DOTS client to subscribe to asynchronous notifications of the attack mitigation, as detailed in Section 4.4.2.1 of [I-D.ietf-dots-signal-channel]. If not, the polling mechanism in Section 4.4.2.2 of [I-D.ietf-dots-signal-channel] has to be followed by the DOTS client.

A DOTS client relies on the information received from the DOTS server and/or local information to the DOTS client domain to trigger a filter control request. Only filters that are pertinent for an ongoing mitigation should be controlled by a DOTS client using the DOTS signal channel.

As a reminder, the 'acl-list' and 'acl-name' parameters are defined as comprehension-required parameters in Table 6 of [I-D.ietf-dots-signal-channel]. Also, the 'activation-type' is defined as a comprehension-required parameter (Section 5.1). Following the rules in Section 6 of [I-D.ietf-dots-signal-channel], if the DOTS server does not understand the 'acl-list' or 'acl-name' or 'activation-type' attributes, it responds with a "4.00 (Bad Request)" error response code.

If the DOTS server does not find the ACL name ('acl-name') conveyed in the mitigation request ~~in its configuration data~~ for this DOTS client, it MUST respond with a ~~"4.04 (Not Found)"~~ "4.04 (Not Found)" error response code.

If the DOTS server finds the ACL name for this DOTS client, and assuming the request passed the validation checks in Section 4.4.1 of [I-D.ietf-dots-signal-channel], the DOTS server MUST proceed with the 'activation-type' update. The update is immediately enforced by the DOTS server and will be maintained as the new activation type for the ACL name even after the termination of the mitigation request. In addition, the DOTS server MUST update the lifetime of the corresponding ACL similar to the update when a refresh request is received using the DOTS data ~~channel~~ channel (Section 7.2 of [I-D.ietf-dots-data-channel]). If, for some reason, the DOTS server fails to apply the filter update, it MUST respond with 5.03 (Service Unavailable) error response code and include the failed ACL update in the diagnostic payload of the response (an example is shown in Figure 1). Else, the DOTS server replies with the appropriate response code defined in Section 4.4.1 of [I-D.ietf-dots-signal-channel].

```
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "mid": 123,
        "ietf-dots-signal-control:acl-list": [
          {
            "ietf-dots-signal-control:acl-name": "an-accept-list",
            "ietf-dots-signal-control:activation-type": "deactivate"
          }
        ]
      }
    ]
  }
}
```

Figure 1: Example of a Diagnostic Payload Including Failed ACL Update

If the DOTS client receives a 5.03 (Service Unavailable) with a diagnostic payload indicating a failed ACL update, the DOTS client MUST immediately send a new request which repeats all the parameters as sent in the failed mitigation request but without including the ACL attributes. After the expiry of Max-Age returned in the 5.03 (Service Unavailable) response, the DOTS client retries with a new mitigation request (i.e., a new 'mid') that repeats all the parameters as sent in the failed mitigation request.

If, during an active mitigation, the 'activation-type' is changed at the DOTS server (e.g., as a result of an external action) for an ACL bound to a DOTS client, the DOTS server notifies that DOTS client

with the change by including the corresponding ~~acl~~\* ACL parameters in an asynchronous notification (the DOTS client is observing the active mitigation) or in a response to a polling request ~~(Section 4.4.2.2 of [I-D.ietf-dots-signal-channel])~~. (Section 4.4.2.2 of [I-D.ietf-dots-signal-channel]).

If the DOTS signal and data channels of a DOTS client are not established with the same DOTS server of a DOTS server domain, the above request processing operations are undertaken using the coordination mechanism discussed in Section 3.1.

This specification does not require any modification to the efficacy update and the withdrawal procedures defined in [I-D.ietf-dots-signal-channel]. In particular, ACL-related clauses are not included in a PUT request used to send an efficacy update and DELETE requests.

### 3.2.2. DOTS Signal Filtering Control Module

#### 3.2.2.1. Tree Structure

This document augments the "ietf-dots-signal-channel" DOTS signal YANG module defined in [I-D.ietf-dots-signal-channel] for managing filtering rules.

This document defines the YANG module "ietf-dots-signal-control", which has the following tree structure:

```
module: ietf-dots-signal-control
  augment /ietf-signal:dots-signal/ietf-signal:message-type
    /ietf-signal:mitigation-scope/ietf-signal:scope:
      +--rw acl-list* [acl-name] {control-filtering}?
        +--rw acl-name
        |   -> /ietf-data:dots-data/dots-client/acls/acl/name
        +--rw activation-type? ietf-data:activation-type
```

#### 3.2.2.2. YANG Module

This module uses types defined in [I-D.ietf-dots-data-channel].

```
<CODE BEGINS> file "ietf-dots-signal-control@2019-04-01.yang" "ietf-dots-signal-control@2019-05-13.yang"
module ietf-dots-signal-control {
  yang-version 1.1;
  namespace
    "urn:ietf:params:xml:ns:yang:ietf-dots-signal-control";
  prefix signal-control;

  import ietf-dots-signal-channel {
    prefix ietf-signal;
    reference
      "RFC SSSS: Distributed Denial-of-Service Open Threat
        Signaling (DOTS) Signal Channel Specification";
  }
  import ietf-dots-data-channel {
    prefix ietf-data;
    reference
      "RFC DDDD: Distributed Denial-of-Service Open Threat
        Signaling (DOTS) Data Channel Specification";
  }

  organization
    "IETF DDoS Open Threat Signaling (DOTS) Working Group";
  contact
    "WG Web:  <https://datatracker.ietf.org/wg/dots/>
    WG List:  <mailto:dots@ietf.org>

    Author:   Konda, Tirumaleswar Reddy
              <mailto:TirumaleswarReddy_Konda@McAfee.com>

    Author:   Mohamed Boucadair
              <mailto:mohamed.boucadair@orange.com>

    Author:   Kaname Nishizuka
              <mailto:kaname@nttv6.jp>

    Author:   Takahiko Nagata
              <mailto:nagata@lepidum.co.jp>";

  description
    "This module contains YANG definition for the signaling
    messages exchanged between a DOTS client and a DOTS server
    to control, by means of the DOTS signal channel, filtering
```

rules configured using the DOTS data channel.

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This version of this YANG module is part of RFC XXXX; see the RFC itself for full legal notices.";

```

revision 2019-04-01 2019-05-13 {
  description
    "Initial revision.";
  reference
    "RFC XXXX: Controlling Filtering Rules Using Distributed
    Denial-of-Service Open Threat Signaling (DOTS)
    Signal Channel";
}

feature control-filtering {
  description
    "This feature means that the DOTS signal channel is able
    to manage the filtering rules created by the same DOTS
    client using the DOTS data channel.";
}

augment "/ietf-signal:dots-signal/ietf-signal:message-type"
  + "/ietf-signal:mitigation-scope/ietf-signal:scope" {
  if-feature control-filtering;

  description "ACL name and activation type.";

  list acl-list {
    key "acl-name";
    description
      "List of ACLs as defined in using the DOTS data
      channel. These ACLs bound to a DOTS client are uniquely defined
      identified by
      euid and a name.";
    leaf acl-name {
      type leafref {
        path "/ietf-data:dots-data/ietf-data:dots-client"
          + "/ietf-data:acls/ietf-data:acl/ietf-data:name";
      }
      description
        "Reference to the ACL name bound to a DOTS client.";
    }
    leaf activation-type {
      type ietf-data:activation-type;
      default "activate-when-mitigating";
      description
        "Set
        Sets the activation type of an ACL.";
    }
  }
}
}
<CODE ENDS>

```

#### 4. Sample Examples

This section provides sample examples to illustrate the behavior specified in Section 3.2.1. These examples are provided for illustration purposes; they should not be considered as deployment recommendations.

##### 4.1. Conflict Handling

Let's consider a DOTS client which contacts its DOTS server during 'idle' time to install an accept-list allowing for UDP traffic issued from 2001:db8:1234::/48 with a destination port number 443 to be forwarded to 2001:db8:6401::2/127. It does so by sending, for example, a PUT request shown in Figure ~~1~~ 2.

```

PUT /restconf/data/ietf-dots-data-channel:dots-data\
/dots-client=paL8p4Zqo4SLv64TLPXrXA/acls\
/acl=an-accept-list HTTP/1.1

```



```

Host: {host}:{port}
Content-Type: application/yang-data+json

{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "an-accept-list",
        "type": "ipv6-acl-type",
        "activation-type": "activate-when-mitigating",
        "aces": {
          "ace": [
            {
              "name": "test-ace-ipv6-udp",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:6401::2/127",
                  "source-ipv6-network": "2001:db8:1234::/48"
                },
                "udp": {
                  "destination-port": {
                    "operator": "eq",
                    "port": 443
                  }
                }
              },
              "actions": {
                "forwarding": "accept"
              }
            }
          ]
        }
      ]
    ]
  }
}

```

Figure 1+ 2: DOTS Data Channel Request to Create a Filtering

Some time later, consider that a DDoS attack is detected by the DOTS client on 2001:db8:6401::2/127. Consequently, the DOTS client sends a mitigation request to its DOTS server as shown in Figure 2+ 3.

```

Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=paL8p4Zqo4SLv64TLpXrxA"
Uri-Path: "mid=123"
Content-Format: "application/dots+cbor"

{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:6401::2/127"
        ],
        "target-protocol": [
          17
        ],
        "lifetime": 3600
      }
    ]
  }
}

```

Figure 2+ 3: DOTS Signal Channel Mitigation Request

The DOTS server accepts immediately the request by replying with 2.01 (Created) (Figure 3+ 4 depicts the message body of the response).

```

{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "mid": 123,
        "lifetime": 3600
      }
    ]
  }
}

```



Figure 3- 4: Status Response (Message Body)

Assuming the DOTS client subscribed to asynchronous notifications, when the DOTS server concludes that some of the attack sources belong to 2001:db8:1234::/48, it sends a notification message with 'status' code set to '1 (Attack mitigation is in progress)' and 'conflict-cause' set to '2' (conflict-with-acceptlist) to the DOTS client to indicate that this mitigation request is in progress, but a conflict is detected.

Upon receipt of the notification message from the DOTS server, the DOTS client sends a PUT request to deactivate the "an-accept-list" ACL as shown in Figure 4- 5.

The DOTS client can also decide to send a PUT request to deactivate the "an-accept-list" ACL, if suspect traffic is received from an accept-listed source (2001:db8:1234::/48). The structure of that PUT is the same as the one shown in Figure 4- 5.

```
Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=paL8p4Zqo4SLv64TLPXrxA"
Uri-Path: "mid=123" "mid=124"
Content-Format: "application/dots+cbor"

{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:6401::2/127"
        ],
        "target-protocol": [
          17
        ],
"acl-list":
        "ietf-dots-signal-control:acl-list": [
          {
"acl-name":
            "ietf-dots-signal-control:acl-name": "an-accept-list",
"activation-type":
            "ietf-dots-signal-control:activation-type": "deactivate"
          }
        ]
        "lifetime": 3600
      }
    ]
  }
}
```

Figure 4- 5: PUT for Deactivating a Conflicting Filter

Then, the DOTS server deactivates "an-accept-list" ACL and replies with 2.04 (Changed) response to the DOTS client to confirm the successful operation. The message body is similar to the one depicted in Figure 3- 4.

Once the attack is mitigated, the DOTS client may use the data channel to retrieve its ACLs maintained by the DOTS server. As shown in Figure 5- 6, the activation type is set to 'deactivate' as set by the signal channel (Figure 4- 5) instead of the type initially set using the data channel (Figure 1- 2).

```
{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "an-accept-list",
        "type": "ipv6-acl-type",
        "activation-type": "deactivate",
        "pending-lifetime": 10021,
        "aces": {
          "ace": [
            {
              "name": "test-ace-ipv6-udp",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:6401::2/127",
                  "source-ipv6-network": "2001:db8:1234::/48"
                }
              }
            }
          ]
        }
      }
    ]
  }
}
```

```

    },
    "udp": {
      "destination-port": {
        "operator": "eq",
        "port": 443
      }
    }
  },
  "actions": {
    "forwarding": "accept"
  }
}
]
}
]
}
}

```

Figure 5+ 6: DOTS Data Channel GET Response after Mitigation

#### 4.2. On-Demand Activation of an Accept-List Filter

Let's consider a DOTS client which contacts its DOTS server during 'idle' time to install an accept-list allowing for UDP traffic issued from 2001:db8:1234::/48 to be forwarded to 2001:db8:6401::2/127. It does so by sending, for example, a PUT request shown in Figure 6+ 7. The DOTS server installs this filter with a "deactivated" state.

```

PUT /restconf/data/ietf-dots-data-channel:dots-data\
/dots-client=ioiuLoZqo4SLv64TLPXrxA/acls\
/acl=my-accept-list HTTP/1.1
Host: {host}:{port}
Content-Type: application/yang-data+json

{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "my-accept-list",
        "type": "ipv6-acl-type",
        "activation-type": "deactivate",
        "aces": {
          "ace": [
            {
              "name": "an-ace",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:6401::2/127",
                  "source-ipv6-network": "2001:db8:1234::/48",
                  "protocol": 17
                }
              },
              "actions": {
                "forwarding": "accept"
              }
            }
          ]
        }
      }
    ]
  }
}

```

Figure 6+ 7: DOTS Data Channel Request to Create an Accept-List Filter

Sometime later, consider that a UDP DDoS attack is detected by the DOTS client on 2001:db8:6401::2/127 but the DOTS client wants to let the traffic from 2001:db8:1234::/48 to be accept-listed to the DOTS client domain. Consequently, the DOTS client sends a mitigation request to its DOTS server as shown in Figure 7+ 8.

```

Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=ioiuLoZqo4SLv64TLPXrxA"
Uri-Path: "mid=4879"
Content-Format: "application/dots+cbor"

```

```

{
  "ietf-dots-signal-channel:mitigation-scope": {

```

```

"scope": [
  {
    "target-prefix": [
      "2001:db8:6401::2/127"
    ],
    "target-protocol": [
      17
    ],
"acl-list":
    "ietf-dots-signal-control:acl-list": [
      {
"acl-name":
        "ietf-dots-signal-control:acl-name": "my-accept-list",
"activation-type":
        "ietf-dots-signal-control:activation-type": "immediate"
      }
    ]
    "lifetime": 3600
  }
]
}
}

```

Figure 7- 8: DOTS Signal Channel Mitigation Request with a Filter Control

The DOTS server activates "my-accept-list" ACL and replies with 2.01 (Created) response to the DOTS client to confirm the successful operation.

#### 4.3. DOTS Servers/Mitigators Lacking Capacity

This section describes a scenario in which a DOTS client activates a drop-list or a rate-limit filter during an attack.

Consider a DOTS client that contacts its DOTS server during 'idle' time to install an accept-list that rate-limits all (or a part thereof) traffic to be forwarded to 2001:db8:123::/48 as a last resort countermeasure whenever required. It does so by sending, for example, a PUT request shown in Figure 8- 9. The DOTS server installs this filter with a "deactivated" state.

```

PUT /restconf/data/ietf-dots-data-channel:dots-data\
/dots-client=OopPisZqo4SLv64TLPXrxA/acls\
/acl=my-ratelimit-list HTTP/1.1
Host: {host}:{port}
Content-Type: application/yang-data+json

```

```

{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "my-ratelimit-list",
        "type": "ipv6-acl-type",
        "activation-type": "deactivate",
        "aces": {
          "ace": [
            {
              "name": "my-ace",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:123::/48"
                }
              },
              "actions": {
                "forwarding": "accept",
                "rate-limit": "20.00"
              }
            }
          ]
        }
      }
    ]
  }
}

```

Figure 8- 9: DOTS Data Channel Request to Create a Rate-Limit Filter

Consider now that a DDoS attack is detected by the DOTS client on 2001:db8:123::/48. Consequently, the DOTS client sends a mitigation request to its DOTS server (Figure 9- 10).

Header: PUT (Code=0.03)

```

Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=OopPisZqo4SLv64TLPXrxA"
Uri-Path: "mid=85"
Content-Format: "application/dots+cbor"

```

```

{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:123::/48"
        ],
        "lifetime": 3600
      }
    ]
  }
}

```

Figure 9- 10: DOTS Signal Channel Mitigation Request to Activate a Rate-Limit Filter

For some reason (e.g., the DOTS server, or the mitigator, is lacking a capability or capacity), the DOTS client is still receiving the attack traffic which saturates available links. To soften the problem, the DOTS client decides to activate the filter that rate-limits the traffic destined to the DOTS client domain. To that aim, the DOTS client sends the mitigation request to its DOTS server shown in Figure 10- 11.

```

Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=OopPisZqo4SLv64TLPXrxA"
Uri-Path: "mid=85" "mid=86"
Content-Format: "application/dots+cbor"

{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:123::/48"
        ],
"acl-list":
        "ietf-dots-signal-control:acl-list": [
          {
"acl-name":
            "ietf-dots-signal-control:acl-name": "my-ratelimit-list",
"activation-type": "activate"
            "ietf-dots-signal-control:activation-type": "immediate"
          }
        ]
        "lifetime": 3600
      }
    ]
  }
}

```

Figure 10- 11: DOTS Signal Channel Mitigation Request to Activate a Rate-Limit Filter

Then, the DOTS server activates "my-ratelimit-list" ACL and replies with 2.04 (Changed) response to the DOTS client to confirm the successful operation.

As the attack mitigation evolves, the DOTS client may decide to deactivate the rate-limit policy (e.g., upon receipt of notification status change from 'attack-exceeded-capability' to 'attack-mitigation-in-progress'). Based on the mitigation status conveyed by the DOTS server, the DOTS client can de-activate the rate-limit action. ). It does so by sending the request shown in Figure 12.

```

Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=OopPisZqo4SLv64TLPXrxA"
Uri-Path: "mid=87"
Content-Format: "application/dots+cbor"

```

```

{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:123::/48"
        ],
        "ietf-dots-signal-control:acl-list": [
          {
            "ietf-dots-signal-control:acl-name": "my-ratelimit-list",
            "ietf-dots-signal-control:activation-type": "deactivate"
          }
        ]
      }
    ]
    "lifetime": 3600
  }
}

```

Figure 12: DOTS Signal Channel Mitigation Request to Deactivate a Rate-Limit Filter

## 5. IANA Considerations

### 5.1. DOTS Signal Channel CBOR Mappings Registry

This specification registers the 'activation-type' parameter in the IANA "DOTS Signal Channel CBOR Key Values" registry established by [I-D.ietf-dots-signal-channel].

~~The 'activation-type' is a comprehension-required parameter. The 'acl-list' and 'acl-name' parameters are defined as comprehension-required parameters in Table 6 in [I-D.ietf-dots-signal-channel]. Following the rules in [I-D.ietf-dots-signal-channel], if the DOTS server does not understand the 'acl-list' or 'acl-name' or 'activation-type' attributes, it responds with a "4.00 (Bad Request)" error response code.~~

- o Note to the RFC Editor: Please delete (TBD1) once the CBOR key is assigned from the (0x0001 - 0x3FFF) range.

Parameter Name	CBOR Key Value	CBOR Major Type	Change Controller	Specification Document(s)
activation-type	0x0031 (TBD1)	0	IESG	[RFCXXXX]

### 5.2. DOTS Signal Filtering Control YANG Module

This document requests IANA to register the following URI in the "IETF XML Registry" [RFC3688]:

URI: urn:ietf:params:xml:ns:yang:ietf-dots-signal-control  
 Registrant Contact: The IESG.  
 XML: N/A; the requested URI is an XML namespace.

This document requests IANA to register the following YANG module in the "YANG Module Names" registry [RFC7950].

Name: ietf-dots-signal-control  
 Namespace: urn:ietf:params:xml:ns:yang:ietf-dots-signal-control  
 Maintained by IANA: N  
 Prefix: signal-control  
 Reference: RFC XXXX

## 6. Security Considerations

The security considerations discussed in [I-D.ietf-dots-signal-channel] and [I-D.ietf-dots-data-channel] need to be taken into account.

A DOTS client is entitled to access only to resources it creates. In particular, a DOTS client can not tweak filtering rules created by other DOTS clients of the same DOTS client domain.

A compromised DOTS client can use the filtering control capability to exacerbate an ongoing attack. Likewise, such compromised DOTS client may abstain from reacting to an ACL conflict notification received

from the DOTS server during attacks. These are not new attack vectors, but variations of threats discussed in [I-D.ietf-dots-signal-channel] and [I-D.ietf-dots-data-channel]. DOTS operators should carefully monitor and audit DOTS agents to detect ~~misbehavior~~ ~~misbehaviors~~ and to deter ~~misuse~~, ~~misuses~~.

## 7. Acknowledgements

~~Thank you~~

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## 8. References

### 8.1. Normative References

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