

# Prédiction de l'intention lors d'interaction physique avec le robot iCub, à l'aide de primitives de mouvements probabilistes

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## 2 ABSTRACT

Ce papier présente un logiciel open-source permettant de prédire l'intention d'un utilisateur interagissant avec le robot humanoid iCub. Notre but est de permettre au robot d'inférer l'intention de son partenaire humain lors de tâche collaborative, en prédisant la continuation de trajectoire attendu par le partenaire. Cette capacité est nécessaire pour que le robot développe des comportements d'anticipation, ce qui est crucial dans les scénarios de collaboration homme-robot, tel que la co-manipulation, l'assemblage ou le transport coopératif. Pour cela, nous proposons une approche permettant au robot d'acquérir des capacités basique de reconnaissance de l'intention, à l'aide de primitive de mouvement probabilistes (ProMPs). Cette méthode (ProMPs) permet de représenter, généraliser et reproduire des mouvements moteurs compliqués. Dans cette étude, le robot apprend un ensemble de primitives de mouvements à partir de plusieurs démonstrations fournies par le partenaire qui guide le robot physiquement. Lors de l'apprentissage, le scénario collaboratif est modélisé à partir des démonstrations fournies par le partenaire. Lors de la reproduction de la tâche collaborative, le partenaire initie le mouvement du robot physiquement, et celui-ci utilise son apprentissage pour reconnaître l'intention de son partenaire. Dès les premières observations de ce mouvement, le robot peut en plus d'inférer l'intention de son partenaire (but), compléter le mouvement et ce, même si le partenaire arrête l'interaction physique avec le robot. Notre approche a été évalué en simulation et sur le robot réel. En simulation, le iCub est guidé par le partenaire à l'aide d'un appareil haptique nomé Géomagic Touch. Dans l'expérience réelle, le partenaire interagit avec le robot en lui attrapant ses mains et en les guidant. Deux expériences ont été effectués sur le robot réel : l'une où le robot effectue de simples trajectoires pour attraper des objets, et l'autre inspiré de tâche de tri collaboratif. Le logiciel implémentant notre approche est accessible sur la plateforme GitHub. De plus, des tutoriels et des vidéos sont fournis.

Mots clefs : robot, prédiction, intention, interaction, modèles probabilistes

## 1 INTRODUCTION

Actuellement, les industries n'utilisent que des robots industriels à base fixe, pour des tâches répétitives ne nécessitant pas d'interaction avec l'humain. Dans le futur, nous imaginons que les robots humanoïdes pourront aussi effectuer ces tâches pour assister les travailleurs là où des robots à base fixe ne peuvent pas être installés, et où les robots ont besoin de s'adapter aux mouvements des travailleurs, tel que pour la fabrication d'avions (?). Pour collaborer avec des humains, les robots ont besoin de pouvoir prédire l'intention de leur partenaire. En effet, les travailleurs aimeraient n'avoir qu'à guider le commencement du mouvement des robots ou lorsqu'il s'agit de lever un objet en collaboration, de commencer eux-mêmes le mouvement sans avoir à commander/programmer les robots. Le robots devineraient alors l'intention de leur partenaire le plus vite possible, afin de compléter la tâche attendu sans avoir besoin d'assistance supplémentaire. Plus précisément, les travailleurs n'auraient qu'à guider les bras des robots dans la direction du but, puis de les lâcher dès que ceux-ci montrent qu'ils sont capables d'atteindre le but voulu par eux-mêmes.

Ce scénario est particulièrement intéressant pour les industries (?), où les travailleurs n'auraient qu'à initier sans effort un mouvement avec les robots, puis ceux-ci seraient capable de déplacer correctement des objets lourds ou peu maniables, et de les placer à la position désirée. Il y a une multitude de scénarios où ces tâches collaboratives peuvent être initié par un humain et finalisé par un robot, que ce soit dans des services à la personne ou pour la production : assemblage de pièces, tris d'objets, soudure, déplacement d'objets nécessitant ou non d'être à plusieurs, etc. Dans tous ces exemples, le robot a besoin de prédire le but de chaque action et la trajectoire correspondante que le partenaire souhaite exécuter. Pour effectuer cette prédiction, les robots doivent utiliser toutes qu'ils ont à leur disposition en utilisant leurs senseurs. Ces informations proviennent aussi de leurs expériences passées (a priori), venant par exemple de session d'apprentissage passé, que ce soit en imitant leur partenaire ou en collaborant avec eux. En plus d'être capable d'utiliser toutes ces données, les robots doivent comprendre et modéliser le comportement des humains, afin de développer cette capacité de prédiction de l'intention et l'autonomie liée à cette compréhension (?). La prédiction de l'intention du partenaire, passe par l'identification de la tâche courante et la prédiction de la trajectoire à effectuer pour atteindre ce but. Dans la littérature sur l'interaction homme-robot, beaucoup de mots-clefs sont associés à cette habileté de prédiction : inférence, estimation du but, lisibilité, reconnaissance de l'intention et prédiction. L'anticipation correspond à la capacité du robot à choisir correctement la tâche à effectuer dans une situation donnée (?). Pour cela, le robot doit prédire l'effet de son action, comme étudié avec le concept d'affordance (??). Il doit aussi être capable de prédire l'intention du partenaire, ce qui revient à estimer le but du partenaire (??). Finalement, il doit prédire les futures événements ou états, c'est à dire être capable de simuler l'évolution du système couplé homme-robot, comme cela est fait dans le cas de modèles de contrôle prédictifs (??) ou de la planification **human-aware** (??).

Il a été montré que faire des **mouvement lisibles** (??) aide l'interaction entre les personnes, puisque cela permet d'améliorer l'estimation mutuelle de l'intention et donc d'améliorer l'efficacité de la collaboration.

L'anticipation nécessite donc l'habileté de visualiser ou de prédire le future état désiré, c'est à dire là où le partenaire souhaite aller. Prédire l'intention de l'utilisateur est souvent formulé comme la prédiction du but de l'action humaine, ce qui signifie que le robot doit être capable de prédire au moins le but du partenaire quand deux partenaires s'engagent dans une action conjointe. Pour faire une telle prédiction, une approche classique est de considérer chaque mouvement comme une instance d'une action particulière, ou d'une primitive de mouvement dirigé vers un but.

68 Dans la dernière décennie, beaucoup de méthodes ont été proposées afin de représenter des primitives de  
69 mouvements, tel que les “Gaussian Mixture Models” (GMM, les modèles de mixture gaussiennes) (??),  
70 les “Dynamic Movement Primitives” (DMP, les primitives de mouvements dynamiques) (?), ou encore  
71 récemment les “Probabilistic Dynamic Movement Primitive” (PDMP ?, les primitives de mouvement  
72 dynamiques probabilistes) et les “Probabilistic Movement Primitives” (ProMP, primitives de mouvements  
73 probabilistes) (?).

74 Une review plus approfondis sur ces différentes techniques est disponible dans (?). Les différentes  
75 techniques d'apprentissage ont été appliquée dans beaucoup de scénario, tel que permettre à un robot à jouer  
76 au ping-pong, écrire des nombres, éviter des obstacles pour des mouvement de **saisie-placement (pick and**  
77 **place)**, etc. Dans tous ces scénarios, les partenaires humains effectuent généralement les démonstrations  
78 (i.e., ils réalisent eux même les mouvements permettant d'effectuer les différentes tâches) soit en guidant  
79 manuellement le robot, soit en utilisant la téléopération, en suivant le paradigme classique d'apprentissage  
80 par démonstration. Certaines études de ce type ont aussi été appliquée au robot humanoïde iCub. Par  
81 exemple, dans ? ils utilisent les DMPs pour adapter en ligne le mouvement dirigé vers un but afin d'éviter  
82 les obstacles variables rencontré par le bras robotique, tandis que dans ?, ils utilisent les ProMPs afin  
83 d'apprendre comment le robot doit incliner un râteau en utilisant les informations des forces et moments.

84 Parmi toutes les techniques précédemment énoncées, les ProMPs nous semble être le plus prometteur  
85 afin de permettre la reconnaissance de l'intention et l'anticipation de mouvement pour des scénario de  
86 collaboration homme-robot. Ils ont l'avantage, par rapport aux autres méthodes, d'avoir une modélisation  
87 de mouvements qui capture la variabilité des démonstrations humaines. Elles ont aussi différentes propriétés  
88 décrites dans ?, telles que la co-activation et le couplage de primitives, ainsi que le redimensionnement  
89 temporel. Les ProMPs ont déjà été utilisés dans la coordination homme-robot pour générer des trajectoires  
90 robotiques appropriés en réponse à des trajectoires humaines initiées. (?). Contrairement aux DMPs, les  
91 ProMPs ne contiennent pas d'attracteur sur la position finale de la trajectoire, donc n'ont pas besoin  
92 d'information sur cette position finale et peuvent faire varier la position finale du mouvement tout en  
93 respectant les primitives apprises.<sup>1</sup> De plus, la méthode ProMPs est plus performante lorsque les données  
94 reçues par les capteurs sont bruitées ou variables, comme montré dans (?).<sup>2</sup> Une étude récente ? présente  
95 une méthode appelée PDMP (Probabilistic Dynamic Movement Primitive). Cette méthode améliore les  
96 DMPs en y ajoutant des propriétés probabilistes afin de mesurer la vraisemblance qu'une primitive de  
97 mouvement est exécutée correctement et afin d'inférer des mouvements à partir de mesures de capteurs.  
98 Malgré cette amélioration, les PDMPs n'ont pas la propriété de généralisation des données **/\*( ? ?)\*/** et les  
99 mouvements peuvent dévier de manière arbitraire hors des démonstrations. Cette dernière différence est  
100 critique lorsque l'on utilise des robots humanoïdes. Par exemple, à cause d'une telle déviation, le robot  
101 pourrait heurter quelque chose durant un mouvement, ou faire tomber un objet dans le cas de mouvement  
102 précis durant lequel le robot transporte un objet. C'est pourquoi, nous avons considéré que l'utilisation de  
103 ProMPs est plus adaptés à nos applications.

104 Dans ce papier, nous présentons notre approche afin de prédire, dans le cadre d'interaction et de collaboration  
105 physique homme-robot, l'intention du partenaire humain, basée sur les primitives de mouvement  
106 probabilistes (Probabilistic Movement Primitives, ProMPs) (?). Nous présentons aussi le logiciel open  
107 source associé qui permet d'implémenter la méthode à notre robot iCub.

1. Il y a des applications dans lesquelles la convergence des mouvements du robot vers un but unique et précis est une propriété désirable voir nécessaire. Cependant, il s'agit d'une hypothèse qui empêche de généraliser la méthode à différentes actions, ce qui est une autre raison pour laquelle nous préférons l'utilisation des ProMPs.

2. Pour avoir une étude détaillée des différences entre ProMPs et DMPs dans le cadre d'apprentissage de primitives d'interaction et de prédiction, voir (?).

108 Pour illustrer la technique utilisée, nous présentons dans ce papier un exemple de problématique qui  
 109 consiste à permettre au robot de finir un mouvement initié par un utilisateur par guidage physique du bras  
 110 du robot. à partir de peu d'observation de ce mouvement commun entre l'homme et le robot, mouvement  
 111 supposé appartenir à une primitive de mouvement d'une certaine tâche, le robot doit reconnaître quel type  
 112 de tâche est guidé par l'utilisateur et prédire la continuation de la trajectoire à effectuer afin d'accomplir le  
 113 mouvement de manière autonome dès que l'utilisateur relâche le bras robotique.<sup>3</sup>

114 Pour effectuer ces tâches, le robot doit tout d'abord apprendre les primitives de mouvements qui y  
 115 sont associées. S l'aide de la méthode ProMPs, nous décrivons ces primitives à l'aide de distributions  
 116 de démonstrations décrites par un modèle probabiliste, plutôt que d'apprendre une trajectoire "moyenne"  
 117 unique. Durant l'interaction, l'utilisateur commence par guider physiquement le robot afin qu'il effectue la  
 118 tâche. En même temps, le robot collecte des données d'observation. Il utilise alors sa connaissance a-priori  
 119 provenant des ProMPs qu'il a apprises, afin de calculer une prédition de la tâche désirée ainsi que de la  
 120 trajectoire future qu'il doit effectuer afin d'atteindre le but. Le concept de ce problème est représenté dans  
 121 la Figure 1. Dans la partie supérieur, on représente l'étape d'apprentissage d'une primitive : le robot y  
 122 est guidé physiquement par le partenaire durant tout le mouvement permettant d'effectuer une certaine  
 123 tâche, et ce plusieurs fois. Ainsi, le robot observe plusieurs démonstrations de ce même mouvement.  
 124 Durant ces mouvements, le robot collecte à la fois des mesures cinématiques (e.g., positions Cartésienne) et  
 125 dynamiques (e.g., couple). Ces  $N$  trajectoires constituent une base pour l'apprentissage des primitives. Cet  
 126 apprentissage consiste à calculer la distribution de paramètres  $\omega$  qui modélisent la trajectoire. On appelle  
 127 la distribution ainsi apprise la *distribution à priori*. Dans le cas où le robot apprend différentes tâches, ce  
 128 processus d'apprentissage est répliqué afin d'avoir une ProMP pour chaque tâche.

129 Le bas de la figure représente l'étape d'inférence. A partir d'un mouvement initié et guidé par l'utilisateur  
 130 humain, le robot commence par identifier quelle ProMP correspond le plus (*i.e.*, quelle primitive l'utilisateur  
 131 exécute parmi les différentes apprises). Puis, il estime la trajectoire future à partir du mouvement initié  
 132 et de la distribution préalable. Pour ce faire, il calcule les paramètres  $\omega^*$  correspondant à la *distribution*  
 133 *postérieure*. La trajectoire correspondante est alors utilisée par le robot afin de finir le mouvement puis la  
 134 tâche de manière autonome, sans nécessité l'aide de l'utilisateur.

135 Dans ce papier, nous décrivons à la fois la théorie et le logiciel sur lesquels se base la capacité du robot à  
 136 prédire et effectuer les tâches et mouvements associés de manière autonome. Le logiciel est actuellement  
 137 implémenté en Matlab et C++ ; il est open-source, accessible sur [github](https://github.com/inria-larsen/icubLearningTrajectories) :

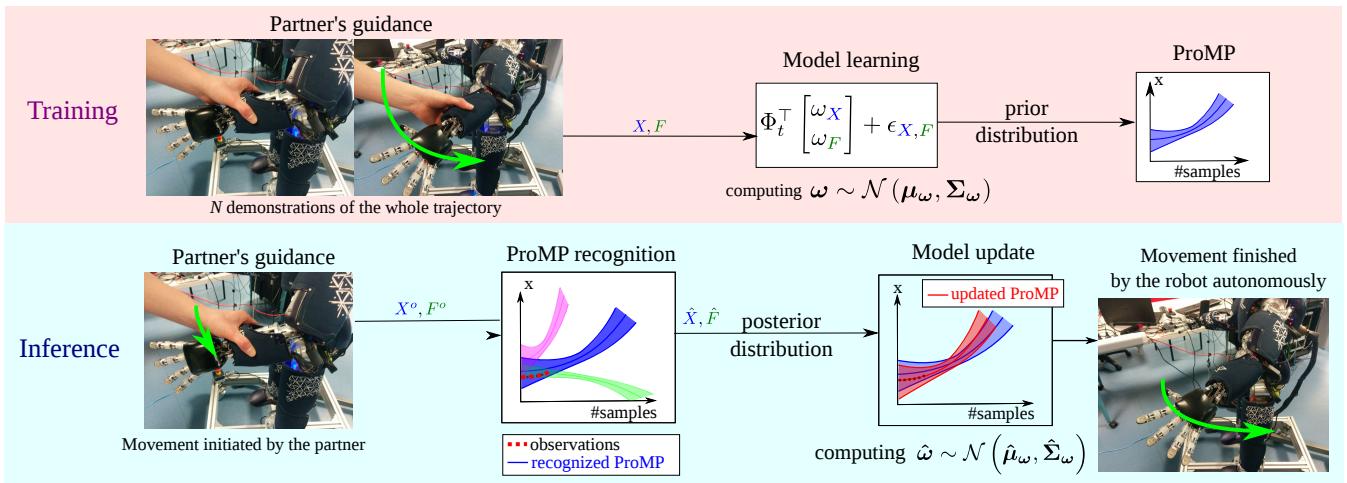
138 <https://github.com/inria-larsen/icubLearningTrajectories>

139 De plus, il a été testé à la fois en simulation (à l'aide du simulateur Gazebo contenant une simulation  
 140 du robot iCub) et sur le robot réel. En simulation, pour que l'utilisateur puisse guider physiquement le  
 141 robot simulé, nous utilisons un appareil haptique nommé "Geomagic Touch", capable de faire ressentir à  
 142 l'utilisateur une simulation des forces que le robot simulé exerce.<sup>4</sup> ; sur le robot réel, l'utilisateur attrape  
 143 physiquement l'avant bras du robot réel pour le guider.

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3. Ici, les tâches sont encodés par les primitives, primitives qui correspondent à des trajectoires : il s'agit d'une approche classique de technique d'apprentissage robotique et en générale des techniques basés sur des primitives. Il s'agit d'une hypothèse simplificatrice, mais cela permet de représenter plusieurs tâches différentes telles que : pointage, déplacement vers un but, attrapage d'objets, mouvement de regard, etc.

4. Dans notre expérience, nous n'utilisons pas cette fonctionnalité de retour de forces. Nous utilisons cet appareil afin de diriger manuellement le bras du robot dans le simulateur. Ainsi, nous utilisons cet appareil comme un joystick plus naturellement manipulable.



**FIGURE 1.** Concepte d'utilisation des ProMPs dans lequel le robot prédit la trajectoire à effectuer dans le cadre de tâche collaborative. En haut : phase d'apprentissage, où les ProMPs sont apprises à partir de plusieurs démonstrations guidés par un humain. Bas : phase d'inférence phase (en ligne), où le robot reconnaît, à partir d'un mouvement initié par son partenaire, la ProMP courante et où il prédit l'intention de son partenaire, *i.e.*, l'évolution future de la trajectoire initiée.

144 Nous fournissons aussi un exemple pratique du logiciel, permettant de répondre à des problèmes clas-  
 145 siques. Dans l'exemple, les mesures des trajectoires correspondent à la position cartésienne de l'actuateur  
 146 ainsi que les forces qui y sont exercées.

147 Notons notamment que ce type de mesures diffère de celles utilisées dans les autres études basées sur les  
 148 ProMPs (?), où il s'agissait des valeurs articulaires. L'utilisation de positions Cartésiennes plutôt que des  
 149 valeurs articulaires nous permet d'exploiter la redondance du bras robotique afin d'effectuer une certaine  
 150 tâche dans un espace 3D, ainsi que de permettre au robot de bouger son bras de manière plus naturelle pour  
 151 effectuer la trajectoire voulue (en effet, lors du déplacement du bras robotique, le partenaire ne bouge que  
 152 l'avant bras du robot, ce qui limite les possibilités de mouvement du robot). Du point de vu contrôle du  
 153 iCub, ce choix implique que le iCub défuisse ses couples articulaires afin d'effectuer les mouvements à  
 154 l'aide d'un contrôleur cartésien (?), plutôt que d'utiliser un contrôleur articulaire. En ce qui concerne les  
 155 forces, on se base sur une estimation basée sur une modélisation de la dynamique du robot, et qui utilise  
 156 les valeurs des capteurs de couple 6D (??). Tous les détails des expériences sont présentés dans ce papier et  
 157 dans le tutoriel du logiciel.

158 Pour résumer, les contributions de ce papier sont les suivants :  
 159 —une description de la théorie utilisée dans ce logiciel basée sur la méthode ProMPs et permettant de  
 160 prédire la trajectoire et le but désirés par l'utilisateur du robot lors d'interaction physique entre le  
 161 partenaire et le robot. Elle fournie notamment les fonctionnalités suivantes : reconnaissance de la tâche  
 162 courante, estimation de la durée de la tâche, prédiction de la trajectoire future. ;  
 163 —une étude expérimentale concernant comment l'information des forces et moments peuvent améliorer  
 164 l'estimation de la durée/vitesse de la trajectoire initiée ;  
 165 —un software open-source permettant la reconnaissance de l'intention et son application avec le robot  
 166 iCub, que ce soit en simulation ou avec le robot réel.

167 Ce papier est organisé en plusieurs sections. Dans la Section 2, nous résu we review the literature about  
 168 intentions in Human-Robot Interaction (HRI), probabilistic models for motion primitives and their related  
 169 software. In Section 4 we describe the theoretical tools that we use to formalize the problem of predicting

170 the intention of the human during interaction. Particularly, we describe the ProMPs and their use for  
171 predicting the evolution of a trajectory given early observations. In Section ?? we overview the software  
172 organization and the interconnection between our software and the iCub's main software, both for the  
173 real and simulated robot. **The following sections are devoted to presenting our software and its use for**  
174 **predicting intention. We choose to present three examples of increasing complexity, with the simulated**  
175 **and real robot.** We provide and explain in detail a software example for a 1-DOF trajectory in Section  
176 ???. In Sections ?? and ?? we present the intention recognition application with the simulated and real  
177 iCub, respectively. **In the first examples with the robot, the “tasks” are exemplified by simple reaching**  
178 **movements, to provide simple and clear trajectories that help the reader understand the method, whereas**  
179 **the last experiment with the robot is a collaborative object sorting task.** Section ?? provides the links to the  
180 videos showing how to use the software in simulation and on the iCub. Finally, in Section ?? we discuss  
181 our approach, its limitations and outline our future developments.

## 2 RELATED WORK

182 In this paper we propose a method to recognize the intention of the human partner collaborating with  
183 the robot, formalized as the target and the "future" trajectory associated to a skill, modeled by a goal-  
184 directed Probabilistic Movement Primitive. In this section, we briefly overview the literature about intention  
185 recognition in human-robot interaction and motion primitives for learning of goal-directed robotic skills.

### 186 2.1 Intention during human-robot interaction

187 Lors de collaboration homme-robot, la compréhension mutuelle de ces deux agents est primordiale pour  
188 assurer la réussite de leur tâche commune. La compréhension mutuelle signifie que chaque agent est au  
189 courant de l'action courante de l'autre, de son statut, de son but, des informations disponibles qu'il peut  
190 estimer ou prédire. La reconnaissance de l'intention n'est qu'une pièce de ce problème, mais joue un rôle  
191 cruciale dans l'acquisition de compétence anticipative.

192 Formaliser l'intention s'avère être une tâche compliquée, notamment parce que fournir une représentation  
193 unique qui explique à la fois l'intention moteur (mouvement actuel) ; l'intention à bas niveau c'est à dire  
194 des actions dirigés vers un but (*e.g.* atteindre un objet ciblé et l'attraper) ; et l'intention à haut niveau, c'est  
195 à dires des actions complexes, abstraites, cognitives (*e.g.* changer une ampoule au plafond, en utilisant  
196 une échelle, en la grimpant pour afin atteindre l'ampoule, etc.). Dans ?, un récapitulatif est fait sur les  
197 différentes approches existantes de la reconnaissance de l'action et de la prédiction de l'intention.

198 Du point de vue de l'humain, comprendre l'intention du robot signifie qu'il doit déterminer les mou-  
199 vements ou actions du robot dirigés vers un but, de manière intuitive et non-ambiguë, et qu'il doit  
200 comprendre ce que le robot est entrain de faire, ou ce qu'il va faire (?). Dans ?, on formalise les différence  
201 entre *prédiction* et *lisibilité* : un mouvement est lisible si un observateur peut rapidement inférer son but,  
202 tandis qu'un mouvement est prédictible lorsqu'il correspond aux attentes d'un l'observateur connaissant le  
203 but. De plus, quand une trajectoire peut être prédite par un observateur à partir d'observation antérieure de  
204 celle-ci, on peut dire que la trajectoire n'est pas seulement lisible, mais aussi prédictible.

205 Les difficultés lors de la génération de mouvements robotiques *lisibles* ont été étudiées dans des études  
206 récentes. Par exemple, dans ? on utilise des techniques d'optimisation afin de générer des mouvements à  
207 la fois lisible et prédictibles. Dans ?, on applique une méthode d'apprentissage par renforcement inverse  
208 à des voitures autonomes afin de sélectionner les mouvements du robot qui contiennent le maximum  
209 d'information pour les humains et qui facilite ainsi la compréhension des objectifs du robot.

Du point de vue du robot, comprendre l'intention du partenaire humain signifie que le robot doit être capable de déchiffrer l'ensemble des indices verbaux et non-verbaux naturellement générés par le comportement de l'humain afin d'identifier, selon la tâche et le contexte courant, quelle est son intention. Plus le robot utilise d'informations (e.g. signaux mesurables provenant du partenaire humain et de son environnement), plus l'estimation peut être précis. La forme la plus simple de la reconnaissance de l'intention du partenaire est d'estimer le but d'une action en cours, sous la condition que chaque action correspond à un mouvement dirigé vers un but. /\*intention\*/ Dans ?, on montre que les humains attribuent implicitement des intentions dirigées vers un but aux mouvements robotiques, ce qui montre que les humains effectuent un regard d'anticipation vers l'objectif visé. /\* ? phrase originel : showed that humans implicitly attribute intentions in form of goals to robot motions, proving that humans exhibit anticipatory gaze towards the intended goal \*/ Le regard a aussi été utilisé dans un jeu homme-robot avec le iCub ?, où le robot (respectivement l'humain) suivait le regard de l'humain (respectivement du robot) pour identifier l'objet visé. Dans ?, on propose un algorithme Bayésien permettant de prédire l'intention du mouvement humain, en calculant géométriquement le but le plus probable à partir de la méthode Espérance-Maximisation et d'un simple classificateur Bayésien. Dans (?), on propose une méthode appelée modèle dynamique dirigé vers le but (Intention-Driven Dynamics model). Cette méthode est basée sur les Modèles Dynamiques de Processus Gaussiens (GPDM (?)) et elle permet d'inférer l'intention de l'adversaire humain du robot lors d'un match de ping-pong. Cette intention à estimer correspond à la position ciblée avec la balle, et la méthode se base sur le mouvement entier du partenaire humain, avant même que celui-ci frappe la balle.

/\*comprendre la phrase : More generally, modeling and descriptive approaches can be used to match predefined labels with measured data (?)\*/.

Une forme plus complexe de reconnaissance de l'intention correspond à l'estimation de la continuation d'une trajectoire à partir des observations antérieures. C'est à dire que l'on veut estimer  $[x_{t+1}, \dots, x_{t+T_{future}}] = f(x_t, x_{t-1}, \dots, x_{t-T_{past}})$ . Ce problème, similaire à l'estimation des modèles prédictif de la dynamique d'un système /\*c'est bien ça forward dynamics ?\*/, est fréquemment adressé par les chercheurs en ce qui concerne les modèles de contrôle prédictifs, où être capable de faire évoluer le système dans les temps est la base du contrôle robotique. This problem, very similar to the estimate of the forward dynamics model of a system, is frequently addressed by researchers in model predictive control, where being able to “play” the system evolving in time is the basis for computing appropriate robot controls.

Quand une trajectoire peut être prédite par un observateur à partir d'observation antérieure de celle-ci, on peut dire que la trajectoire n'est pas seulement *visible*, mais aussi *prédictible*. Une approche systématique permettant de prédire une trajectoire est de raisonner en terme de primitives de mouvements, de façon à ce que la séquence de points d'une trajectoire peut être générée par un modèle temporel paramétrique ou par un système dynamique paramétrique. Par exemple, ? planifie des trajectoires dirigées vers des buts permettant de transporter des objets. Cette méthode de planification permet notamment de connaître les informations sur le poids des objets transportés. Plus généralement, dans les approches génératives (?), des variables latentes sont utilisées pour modéliser par apprentissage les primitives et ainsi être capable de générer et d'inférer des actions. La section suivante donne plus de détails concernant l'état de l'art des techniques permettant de générer les primitives de mouvements.

Dans (?), le robot commence par apprendre une primitive d'interaction en regardant deux humains effectuer eux-même la tâche interactive, à l'aide de capture du mouvement. Cette primitive d'Interaction encapsule les dépendances entre deux mouvements humains. Puis, le robot utilise des primitives d'interaction

254 afin d'adapter son comportement aux mouvements de son partenaire. La méthode utilisé est basée sur les  
255 Primitives Dynamique de Moteur (Dynamics Motor Primitives (?)), où une distribution sur les paramètres  
256 des DMP est apprise. Notons que dans notre papier, nous n'utilisons pas la même approche pour apprendre  
257 les primitives d'interaction puisque nous modélisons les trajectoires du robot et de l'utilisateur comme une  
258 trajectoire unique conjointe, dû au fait que le l'utilisateur et le robot sont en contact physique. De plus, il  
259 n'y a pas de latence entre le mouvement initié par le partenaire et celui du robot, puisque le bras du robot  
260 est guidé physiquement par le partenaire jusqu'à ce que celui-ci stop le contact.

261 Beaucoup d'exemples dans la littérature se focalise sur des trajectoires cinématiques, correspondant aux  
262 gestes utilisés dans les interactions dyadiques caractérisés par une coordination des actions et des réactions.  
263 D'autres s'intéressent à des trajectoires plus complexes, qui correspondent aux trajectoires effectués  
264 lors d'interaction physique entre l'homme et le robot, permettant d'effectuer des tâches nécessitant la  
265 collaboration des agents et des échanges de forces. En effet, les informations cinématiques fournies par de  
266 telles trajectoires ne peuvent pas être analyser sans prendre en compte l'échange haptique et l'estimation  
267 des rôles des partenaires (*i.e.*, qui est le leader qui est le follower).

268 Estimer le rôle actuel du partenaire humain (maître/esclave ou leader/follower) est cruciale puisque  
269 l'information des rôle est nécessaire pour adapter correctement la compliance et l'impédance du robot au  
270 niveau des échanges de forces de contact.

271 Plus important, adapter l'interaction haptique peut permettre au robot d'exprimer qu'il a compris  
272 l'intention du partenaire et qu'il est capable de finir la tâche de manière autonome, en imitant le même type  
273 de communication non verbale qui est typique chez l'humain.

274 Par exemple, dans (?), le robot infère l'intention de l'humain en utilisant les mesures des forces humaines  
275 et en utilisant les modèles de mixture gaussiennes. Dans (?), l'impédance des bras est adapté en utilisant  
276 un modèle basé sur les modèles de mixtures de Gaussienne, sur les forces mesurées et sur les informations  
277 visuelles. Beaucoup d'études se focalisent sur l'abilité du robot à agir seulement quand et selon comment  
278 l'utilisateur le souhaite (?)(?), d'autres sur la capacité au robot de ne pas interférer contre les forces de son  
279 partenaire (?) ou de ses actions (?).

280 In this paper, we describe our approach to the problem of recognizing the human intention during  
281 collaboration by providing an estimate of the future intended trajectory to be performed by the robot. In  
282 our experiments, the robot does not adapt its role during the physical interaction, but simply switch from  
283 follower to leader when the human breaks contact with it.

### 3 MA VIEILLE PARTIE

284 Dans cer papier, on souhaite que notre robot prédisse l'intention de son partenaire afin de finaliser un  
285 mouvement et une action par lui même. Beaucoup d'autres travaux de recherche nécessite cette abilité.

286 Dans ?, il s'agit de prédire les mouvements d'un humain en utilisant une méthode appelée Prédiction  
287 Bayesienne de l'Intention du Mouvement Humain (BHMIP). Cette méthode se base sur des calculs  
288 géométriques permettant de trouver la destination la plus probable de l'humain ainsi que sur l'algorithme  
289 Esperance-Maximisation Puis, pour trouver la prédition la plus performante, ils utilisent un classifieur  
290 Bayesien. Cette méthode a l'avantage d'être indépendant du type d'environnement et qu'il ne nécessite pas  
291 de calculs intensifs.

292 D'autres études utilisent la capacité d'inférence pour adapter l'impédance des robots. Dans (?), le robot  
293 infère l'intention de l'humain grâce a la mesure des forces de celui ci et en utilisant des modèles de mixtures

294 de gaussiennes. Dans (?), ils utilisent des Hidden Markov Models pour apprendre comment compenser des  
295 forces physique potentielles. Leur robot utilise cette méthode afin de serrer la main de son partenaire, en  
296 mesurant l'impédance de ce dernier et en reconnaissant quel type de mouvement il doit suivre. Dans (?),  
297 un bras robotique mesure les forces et les informations visuelles afin d'adapter son impédance, en utilisant  
298 ici aussi des modèles de mixtures gaussiennes. Dans notre étude, le robot utilise l'information des forces et  
299 des moments afin d'estimer l'intention de son partenaire ainsi que de détecter la vitesse du mouvement.

300 Dans une autre thématique de recherche, les robots utilisent la capacité de prédiction de l'intention  
301 humaine afin d'adapter leur comportement (maître/esclave). En effet, pour collaborer efficacement avec  
302 les humains, les robots doivent être rigide quand ils effectuent eux même la tache (maître), tout en restant  
303 alerte à la volonté de leur partenaire (c'est à dire qu'il doit rester suffisamment compliant pour permettre à  
304 l'utilisateur de contrôler l'action). C'est pourquoi beaucoup d'études se focalisent sur l'habileté du robot à  
305 agir uniquement quand et comment son utilisateur le souhaite (?)() et sur sa capacité à ne pas interférer  
306 avec les forces de son partenaire (?) ou ses actions (?). Dans notre étude, le robot est "esclave" lorsqu'il est  
307 guidé par son partenaire (au début du mouvement), puis devient maître lorsqu'il finit le mouvement par lui  
308 même.

309 Dans certaines études, le robot observe d'abord le mouvement du partenaire dans sa totalité avant  
310 d'agir. Dans (?), une méthode appelé Intention-Driven Dynamics model permet d'inférer l'intention du  
311 partenaire du robot durant un match de ping pong. Cette méthode est une amélioration des Gaussian Process  
312 Dynamical Models (GPDM (?)) et permet au robot d'inférer où la balle va être envoyé, avant même que le  
313 partenaire humain ait frappé la balle, grâce à l'analyse du mouvement de celui-ci.

314 Pour obtenir cette aptitude d'inférence, différentes méthodes existent. Dans (?), un récapitulatif est fait  
315 sur les différentes approches concernant la reconnaissance de l'action et de la prédiction de l'intention. La  
316 premiers possibilité consiste à faire coïncider les informations mesurées avec des modèles. Pour cela, une  
317 première possibilité consiste à utiliser des approches descriptives, où l'on fait correspondre à des labels  
318 prédefinis, les données récoltées. On peut trouver un récapitulatif de ces méthodes descriptives dans (?).  
319 Une deuxième possibilité consiste à utiliser des approches génératives, où l'on utilise des variables latentes  
320 afin d'apprendre les informations utiles à l'inférence de l'action. Ce type d'approche se base sur l'utilisation  
321 de distribution probabilistes. Un récapitulatif de ces approches génératives peut être trouvé dans (?).

322 Dans **/\*citer Yannis Demiris Prediction of intent in robotics and multi agent systems 2007\*/**, les  
323 différentes approches permettant la reconnaissance de l'action et la prédiction de l'intentionnalité sont  
324 définis et expliqués. Dans ce document, une architecture générative est mise en évidence, appelé HAMMER  
325 (Hierarchical Attentive Multiple Models for Execution and Recognition). Cette architecture utilise un  
326 modèle inverse de prédiction **/\*inverse-forward model\*/** afin d'exécuter une action ou de reconnaître une  
327 action faite par un démonstrateur.

328 Un problème sous jacent à la reconnaissance de mouvement est que le robot doit estimer la durée de la  
329 trajectoire afin de l'aligner avec les trajectoires qu'il a apprit. Dans notre cas, au début de l'interaction  
330 physique entre l'homme et le robot, ce dernier observe un mouvement partiellement guidé par son  
331 utilisateur. À partir de ce mouvement partiel, le robot doit d'abord estimer l'état courant du mouvement  
332 afin de comprendre l'intention de son partenaire. Ainsi, il a besoin d'estimer la vitesse du mouvement.

333 Mathématiquement, la loi de Fitt modélise la durée d'un mouvement. Une assumption de ce modèle est  
334 que la durée du mouvement est fonction linéaire de la difficulté à atteindre la cible?. Dans ?, ils montrent  
335 qu'en modifiant la taille de la cible, la forme du mouvement change. Ainsi, il est difficile d'appliquer la  
336 théorie de la loi de Fitt quand la taille de la cible peut varier. Dans ? et ?, ils confirment cette idée en

337 montrant que la même forme du mouvement change avec la précision nécessaire pour atteindre la position  
 338 but du mouvement.

339 Une autre méthode, souvent utilisé en informatique est appelée Dynamics Time Warping<sup>5</sup> (DTW). Cette  
 340 méthode permet de trouver la corrélation entre deux trajectoires dont la durée diffère, de manière plus  
 341 robuste qu'en utilisant la distance euclidienne. Dans ?, cet algorithme est modifié afin de permettre de  
 342 faire coïncider des mouvements partiels avec une trajectoire référence. Beaucoup d'améliorations et de  
 343 variations de cette méthode existe. Dans ?, ils proposent des méthodes permettant d'améliorer l'indexation,  
 344 et ainsi d'accélérer la vitesse de calcul de l'algorithme. On trouve ainsi les méthodes FastDTW, Lucky  
 345 Time Wrapping ou encore FTW. Dans ?, ils commencent par expliquer et comparer ces méthodes, puis ils  
 346 ajoutent leur propre méthode appelé Pruned Warping Path, qui accélère encore plus la vitesse calculatoire.  
 347 De plus, cette méthode permet de supprimer les données improbables. Mais le principale désavantage de  
 348 toutes ces méthodes basés sur DTW est qu'elles ne préservent pas la forme globale de la trajectoire (la  
 349 trajectoire est déformée).

350 Dans ?, des primitives de mouvements sont apprises à l'aide d'apprentissage probabiliste. Ils améliorent  
 351 l'estimation des mouvements en utilisant une méthode différente. Celle-ci utilise aussi une modélisation  
 352 à base de gaussiennes de la fonction de déformation du temps, et au lieu d'utiliser la méthode DTW,  
 353 cette méthode force l'alignement locale entre les deux mouvements, sans "sauter" des index. Ainsi, les  
 354 trajectoires obtenues sont plus réalistes, plus régulière, et cette méthode préserve la forme globale des  
 355 trajectoires.

### 356 3.1 **Movement primitives / Primitives de mouvement**

357 *Movement Primitives (MPs) is a well established paradigm for representing complex motor skills.*

358 Les modèles utilisant des primitives de mouvement (MPs) sont une référence quand il s'agit de représenter  
 359 des capacités motrices complexes.

360 **The most known method for representing movement primitives is probably the Dynamic Movement  
 361 Primitives (DMPs) ???.** DMPs use a stable non-linear attractor in combination with a forcing term to  
 362 represent the movement. The forcing term enables to follow specific movement, while the attractor asserts  
 363 asymptotic stability. In a recent paper, ? proposed an extension to DMPs, called PDMP (Probabilistic  
 364 Dynamic Movement Primitive). This method improves DMP with probabilistic properties to measure  
 365 the likelihood that the movement primitive is executed correctly and to perform inference on sensor  
 366 measurement. However, The PDMPs do not have a data-driven generalization and can deviate arbitrarily  
 367 from the demonstrations. This last difference can be critical for our applications with the humanoid robot  
 368 iCub, since uncertainties are unavoidable and disturbances may happen frequently and de-stabilize the  
 369 robot movement (for example, an unexpected collision during the movement). Thus, the ProMPs method is  
 370 more accurate for our software.

371 ?, ? and ? compared ProMPs and DMPs for learning primitives and specifically interaction primitives.  
 372 With the DMP model, at the end of the movement, only a dynamic attractor is activated. Thus, it always  
 373 reach a stable goal. The properties allowed by both methods are temporal scaling of the movement, learning  
 374 from a single demonstration, and generalizing to new final position. With ProMPs, we have in addition the  
 375 ability to do inference (thanks to the distribution), to force the robot to pass by several initial via-points  
 376 (the early observations), to know the correlation between the input of the model, and to co-activate some

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5. Déformation temporelle dynamique

377 ProMPs. In our study, we need these features, because the robot must determine a trajectory that passes by  
378 the early observations (beginning of the movement where the user guides physically the robot).

379 A Recurrent Neural Networks (RNN) approach ? used a hierarchy of neural networks to simulate the  
380 activation of areas in human brain. The network can be trained to infer the state of the robot at the next point  
381 in time, given the current state. The authors propose to train the RNN by minimizing the error between the  
382 inferred position of the next time step and the ground-truth obtained from demonstrations.

383 Hidden Markov Models (HMMs) for movement skills were introduced by ?. This method is often used  
384 to categorize movements, where a category represents a movement primitive. This method also allows to  
385 represent the temporal sequence of a movement. In ? they use learned Hierarchical Hidden Markov Model  
386 (HHMMs) to recognize human behaviors efficiently. In ? they present the Primitive based Coupled-HMM  
387 (CHMM) approach, for human natural complex action recognition. In this approach, each primitive is  
388 represented by a Gaussian Mixture Model.

389 Adapting Gaussian Mixture Models is another method used to learn physical interaction with learning. In  
390 ? they use GMMs and Gaussian Mixture Regression to learn, in addition to the position (joint information),  
391 force information. Using this method, a humanoid robot is able to collaborate in one dimension with its  
392 partner for a lifting task. In our paper, we will also use (Cartesian) position and force information to allow  
393 our robot to interact physically with its partner.

394 A sub-problem of movement recognition is that robots need to estimate the duration of the trajectory to  
395 align a current trajectory with learned movements. In our case, at the beginning of the physical Human-  
396 Robot Interaction (pHRI), the robot observes a partial movement guided by its user. Given this partial  
397 movement, the robot must first estimate what the current state of the movement is to understand what its  
398 partner intent is. Thus, it needs to estimate the partial movement's speed.

399 Fitts' law models the movement duration for goal-directed movements. This model is based on the  
400 assumption that the movement duration is a linear function of the difficulty to achieve a target?. In ?, they  
401 show that by modifying the target's width, the shape of the movement changes. Thus, it is difficult to apply  
402 Fitt's law when the size of the target can change. In ? and ?, they confirm this idea by showing that the  
403 shape of the movement changes with the accuracy required by the goal position of the movement.

404 Dynamics Time Warping (DTW) is a method to find the correlation between two trajectories that have  
405 different durations, in a more robust way than the Euclidean distance. In ?, they modify the DTW algorithm  
406 to match a partial movement with a reference movement. Many improvements over this method exist.  
407 In ?, they propose a robust method to improve the indexation. The calculation speed of DTW is improved  
408 using different methods, such as FastDTW, Lucky Time Warping or FTW. An explanation and comparison  
409 of these methods is presented in ?, where they add their own computation speed improvement by using  
410 a method called Pruned Warping Paths. This method allows the deletion of unlikely data. However, a  
411 drawback of this well-known DTW method is they don't preserve the global trajectory's shape.

412 In ?, where they use a probabilistic learning of movement primitives, they improve the duration estimation  
413 of movements by using a different time warping method. This method is based on a Gaussian basis model  
414 to represent a time warping function and, instead of DTW, it forces a local alignment between the two  
415 movements without "jumping" some index. Thus, the resulting trajectories are more realistic, smoother,  
416 and this method preserves the global trajectories' shapes.

417 For inferring the intention of the robot's partner, we use Probabilistic Movement Primitives (ProMPs), ?.  
418 Specifically, we use the ProMP's conditioning operator to adapt the learned skills according to observations.

Software/library	Method	Code link	Language	Robot	Reference(s)
Dynamical System Modulation for Robot Adaptive Learning via Kinesthetic Demonstrations	GMR	?	Matlab	Hoap3	?
pbdlib-matlab	HMM, GMM, and others	?	Matlab	Baxter	?
DMP learning with GMR	DMP and GMR	?	Matlab or C	Coman	?
Stochastic Machine Learning Toolbox	Kernel Functions, Gaussian Processes, Bayesian Optimization	?	C++ or Python	—	
pydmps	DMP	?	Python	Sarcos	?
Dynamical Systems approach to Learn Robot Motions	GMM, SEDS	?	Matlab	iCub	?, ?
Function Approximation, DMP, and Black-Box Optimization (dmpbbo)	DMP	?	Python or C++	iCub	??
Learning Motor Skills from Partially Observed Movements Executed at Different Speeds	ProMP	?	Matlab or Python	—	?
icubLearningTrajectories	ProMP	?	Matlab and C++	iCub	—

**Table 1.** Open-source software libraries implementing Movement Primitives and their application to different known robots.

419 The ProMPs can encode the correlations between forces and positions and allow better prediction of the  
 420 partner's intention. Further, the phase of the partner's movement can be inferred and therefore the robot  
 421 can adapt to the partner's velocity changes. ProMPs are more efficient for collaborative tasks, as shown  
 422 in ?, where in comparison to DMPs, the root-mean square error of the predictions is lower.

### 423 3.2 Related open-source software

424 One of the goals of this paper is to introduce an open-source software for the iCub (but potentially for  
 425 any other robot), where the ProMP method is used to recognize human intention during collaboration, so  
 426 that the robot can execute initiated actions autonomously. This is not the first open-source implementation  
 427 for representing movement primitives : however, it has a novel application and a rationale that makes it  
 428 easy to use with the iCub robot.

429 In Table 1 we report on the main software libraries that one can use to learn movement primitives. Some  
 430 have been also used to realize learning applications with iCub, e.g., ?? or to recognize human intention.  
 431 However, the software we propose here is different : it provides an implementation of ProMPs used  
 432 explicitly for intention recognition and prediction of intended trajectories. It is interfaced with iCub, both  
 433 real and simulated, and addresses in the specific case of physical interaction between the human and the  
 434 robot. In short, it is a first step towards adding intention recognition ability to the iCub robot.

## 4 THEORETICAL FRAMEWORK

435 *In this section we present the theoretical framework that we use to tackle the problem of intent recognition :*  
 436 *we describe the ProMPs and how they can be used to predict trajectories from early observations.*

437 Dans cette section nous présentons le cadre théorique que nous utilisons pour nous attaquer au problème  
 438 de la reconnaissance d'intention. C'est-à-dire que nous allons détailler le fonctionnement des méthodes  
 439 ProMPs et comment elles peuvent être utilisées pour prédire des trajectoires à partir des observations  
 440 initiales.

441 *In Section ?? we formulate the problem of learning a primitive for a simple case, where the robot learns  
 442 the distribution from several demonstrated trajectories. In Section ?? we formulate and provide the solution  
 443 to the problem of predicting the “future” trajectory from early observations (i.e., the initial data points). In  
 444 Section ?? we discuss the problem of predicting the time modulation, i.e., predicting the global duration  
 445 of the predicted trajectory. This problem is non-trivial, as by construction the demonstrated trajectories  
 446 are “normalized” in duration when the ProMP is learned.<sup>6</sup> In Section ?? we explain how to recognize,  
 447 from the early observations, to which of many known skills (modeled by ProMPs) the current trajectory  
 448 belongs. In all these sections we tried to present the theoretical aspects related to the use of ProMPs for  
 449 the intention recognition application. Practical examples of these theoretical problems are presented and  
 450 explained later in sections ?? - ?. Section ?? explains how to use our software, introduced in Section ??,  
 451 for learning one ProMP for a simple set of 1-DOF trajectories. Section ?? presents an example with the  
 452 simulated iCub in Gazebo, while Section ?? presents an example with the real iCub.*

453 Dans la Section ?? nous formulons le problème d'apprentissage de primitives à l'aide de la méthode  
 454 ProMP pour un cas simple. Dans ce cas, le robot apprend la distribution à partir de plusieurs trajectoires de  
 455 démonstration. Dans la Section ?? nous formulons et fournissons la solution au problème de prédiction  
 456 de la trajectoire « future » à partir des premières observations sur la trajectoire. Dans la Section ?? nous  
 457 discutons du problème de prédiction de la **modulation du temps**, c'est-à-dire la prédiction de la durée  
 458 globale de la trajectoire prédictive. Ce problème n'est pas trivial parce que les trajectoires de démonstration  
 459 sont « normalisées » par rapport au temps pendant l'apprentissage des primitives avec la méthode ProMP.  
 460 Pour certaines tâches, comme des tâches d'atteignabilité par exemple, il est raisonnable de supposer que  
 461 la différence de durée des trajectoires est négligeable ; cependant d'autres tâches exigent davantage de  
 462 précision et la durée des trajectoires de démonstration peut significativement varier. Dans la Section ?? nous  
 463 expliquons comment reconnaître, à partir des premières observations, laquelle des nombreuses compétences  
 464 apprises (modélisées par des méthodes ProMP) doit être sollicitée. Ainsi dans ces trois sections nous  
 465 présentons les aspects théoriques liés à l'utilisation de méthodes ProMP dans le but de reconnaître des  
 466 intentions.

467 Nous illustrons ces problèmes théoriques dans les Sections ?? à ?. Dans la Section ?? nous détaillons  
 468 un exemple avec un robot iCub simulé avec **Gazebo??** et dans la Section ?? nous présentons un exemple  
 469 cette fois-ci avec le robot iCub réel.

## 470 4.1 Notation

471 *To facilitate understanding of the theoretical framework, we first introduce the notations we use in this  
 472 section and throughout the remainder of the paper.*

473 Pour faciliter la compréhension du cadre théorique nous introduisons tout d'abord les notations que nous  
 474 utilisons tout au long de cette thèse.

### 475 Trajectories :

476 —  $X(t) \in \mathbb{R}^3, X(t) = [x(t), y(t), z(t)]^\top$  : the x/y/z-axis Cartesian coordinate of the robot's end-effector.

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6. In some tasks, e.g., reaching, it is reasonable to assume that the difference of duration of the demonstrated trajectories is negligible ; however, in other tasks the duration of the demonstrated trajectories may vary significantly.

- 477 — $F(t) \in \mathbb{R}^6$ ,  $F(t) = [f_x, f_y, f_z, m_x, m_y, m_z]^\top$  : the wrench contact forces, *i.e.* the external forces and  
478 moments measured by the robot at the contact level (end-effector).
- 479 — $\xi(t) \in \mathbb{R}^D$  : the generic vector containing the current value or state of the trajectories at time  $t$ . It can  
480 be mono-dimensional (*e.g.*  $\xi(t) = [z(t)]$ ), or multi-dimensional (*e.g.*  $\xi(t) = [X(t), F(t)]^\top$ ), depending  
481 on the type of trajectories that we want to represent with the ProMP.
- 482 — $\Xi = \Xi_{[1:t_f]} = [\xi(1), \dots, \xi(t_f)]^\top \in \mathbb{R}^{D \cdot t_f}$  is an entire trajectory, consisting of  $t_f$  samples or data  
483 points.
- 484 — $\Xi_{i[1:t_{fi}]}$  is the  $i$ -th demonstration (trajectory) of a task, consisting of  $t_{fi}$  samples or data points.

**Movement Primitives :**

- 486 — $k \in [1 : K]$  : the  $k$ -th ProMP, among a set of  $K$  ProMPs that represent different tasks/actions.
- 487 — $n_k$  : number of recorded trajectories for each ProMP.
- 488 — $S_k = \{\Xi_{\{k,1\}}, \dots, \Xi_{\{k,n_k\}}\}$  : set of  $n_k$  trajectories for the  $k$ -th ProMP.
- 489 — $\xi(t) = \Phi_t \omega + \epsilon_\xi$  is the model of the trajectory with :
- 490 — $\epsilon_\xi \sim \mathcal{N}(0, \beta)$  : expected trajectory noise.
- 491 — $\Phi_t \in \mathbb{R}^{D \times D \cdot M}$  : radial basis functions (RBFs) used to model trajectories. It is a block diagonal  
492 matrix.
- 493 — $M$  : number of RBFs,
- 494 
$$\psi_{ji}(t) = \frac{e^{\frac{-(t-c_i)^2}{2h}}}{\sum_{m=1}^M e^{\frac{-(t-c_m)^2}{2h}}} : i\text{-th RBF for all inputs } j \in [1 : D].$$
- 495 It must be noted that the upper term comes from a Gaussian  $\frac{1}{\sqrt{2\pi h}} e^{\frac{-(t-c_i)^2}{2h}}$ , where  $c_i, h$  are  
496 respectively the center and variance of the  $i$ -th Gaussian. In our RBF formulation, we normalize  
497 all the Gaussians. ^
- 498 — $\omega \in \mathbb{R}^{D \cdot M}$  : time-independent parameter vector weighting the RBFs, *i.e.*, the parameters to be  
499 learned.
- 500 — $p(\omega) \sim \mathcal{N}(\mu_\omega, \Sigma_\omega)$  : normal distribution computed from a set  $\{\omega_1, \dots, \omega_n\}$ . It represents the  
501 distribution of the modeled trajectories, also called *prior* distribution.

**Time modulation :**

- 503 — $\bar{s}$  : number of samples used as reference to rescale all the trajectories to the same duration.
- 504 — $\Phi_{\alpha_i t} \in \mathbb{R}^{D \times D \cdot M}$  : the RBFs rescaled to match the  $\Xi_i$  trajectory duration.
- 505 — $\alpha_i = \frac{\bar{s}}{t_{fi}}$  : temporal modulation parameter of the  $i$ -th trajectory .
- 506 — $\alpha = \Psi_{\delta_{n_o}} \omega_\alpha + \epsilon_\alpha$  is the model of the function mapping  $\delta_{n_o}$  into the temporal modulation parameter  $\alpha$ ,  
507 with :
- 508 — $\Psi$  : a set of RBFs used to model the mapping between  $\delta_{n_o}$  and  $\alpha$  ;
- 509 — $\delta_{n_o}$  is the variation of the trajectory during the first  $n_o$  observations (data points) ; it can be  $\delta_{n_o} =$   
510  $\xi(n_o) - \xi(1)$  if the entire trajectory variables (*e.g.*, Cartesian position, forces, etc.) are considered, or  
511 more simply  $\delta_{n_o} = X(n_o) - X(1)$  if only the variation in terms of Cartesian position is considered ;
- 512 — $\omega_\alpha$  : the parameter vector weighting the RBFs of the  $\Psi$  matrix.

**Inference :**

- 514 — $\Xi^o = [X^o, F^o]^\top = [\xi^o(1), \dots, \xi^o(n_o)]^\top$  : early-trajectory observations, composed of  $n_o$  data points.
- 515 — $\Sigma_\xi^o$  : noise of the initiated trajectory observation.
- 516 — $\hat{\alpha}$  : estimated time modulation parameter of a trajectory to infer.
- 517 — $\hat{t}_f = \frac{\bar{s}}{\hat{\alpha}}$  : estimated duration of a trajectory to infer.
- 518 — $\Xi^* = [\xi^o(1), \dots, \xi^o(n_o), \xi^*(n_o + 1), \dots, \xi^*(t_f)]$  : ground truth of the trajectory for the robot to infer.

- 519 — $\hat{\Xi} = [\hat{X}, \hat{F}]^\top = [\xi^o(1), \dots, \xi^o(n_o), \hat{\xi}(n_o + 1), \dots, \hat{\xi}(\hat{t}_f)]^\top$  : the estimated trajectory.  
 520 — $p(\hat{\omega}) \sim \mathcal{N}(\hat{\mu}_{\omega}, \hat{\sigma}_{\omega})$  : posterior distribution of the parameter vector of a ProMP using the observation  
 521      $\Xi^o$ .  
 522 — $\hat{k}$  : index of the recognized ProMP from the set of  $K$  known (previously learned) ProMPs.

**Trajectoires :**

- 524 — $X(t) \in \mathbb{R}^3, X(t) = [x(t), y(t), z(t)]^\top$  : l'axe x/y/z pour représenter les coordonnées cartésiennes de  
 525     l'effecteur du robot.  
 526 — $F(t) \in \mathbb{R}^6, F(t) = [f_x, f_y, f_z, m_x, m_y, m_z]^\top$  : la wrench des forces de contact, c'est-à-dire les forces  
 527     externes et les moments mesurées par le robot au niveau du contact (effecteur).  
 528 — $\xi(t) \in \mathbb{R}^D$  : le vecteur générique contenant la valeur courante ou l'état des trajectoires au temps  $t$ . Il  
 529     peut être mono-dimensionnel (e.g.,  $\xi(t) = [z(t)]$ ), ou multidimensionnel (e.g.  $\xi(t) = [X(t), F(t)]^\top$ ),  
 530     dépendant du type de trajectoires qui peut être représenté avec la méthode ProMP.  
 531 — $\Xi = \Xi_{[1:t_f]} = [\xi(1), \dots, \xi(t_f)]^\top \in \mathbb{R}^{D \cdot t_f}$  is an entire trajectory, consisting of  $t_f$  samples or data  
 532     points.  
 533 — $\Xi_{[1:t_{fi}]}$  is the  $i$ -th demonstration (trajectory) of a task, consisting of  $t_{fi}$  samples or data points.

**Movement Primitives :**

- 534 — $k \in [1 : K]$  : the  $k$ -th ProMP, among a set of  $K$  ProMPs that represent different tasks/actions.  
 535 — $n_k$  : number of recorded trajectories for each ProMP.  
 536 — $S_k = \{\Xi_{\{k,1\}}, \dots, \Xi_{\{k,n_k\}}\}$  : set of  $n_k$  trajectories for the  $k$ -th ProMP.  
 537 — $\xi(t) = \Phi_t \omega + \epsilon_{\xi}$  is the model of the trajectory with :  
 538     — $\epsilon_{\xi} \sim \mathcal{N}(0, \beta)$  : expected trajectory noise.  
 539     — $\Phi_t \in \mathbb{R}^{D \times D \cdot M}$  : radial basis functions (RBFs) used to model trajectories. It is a block diagonal  
 540     matrix.  
 541         -  $M$  : number of RBFs,  
 542         -  $\psi_{ji}(t) = \frac{e^{\frac{-(t-c_i)^2}{2h}}}{\sum_{m=1}^M e^{\frac{-(t-c_m)^2}{2h}}}$  :  $i$ -th RBF for all inputs  $j \in [1 : D]$ .  
 543         It must be noted that the upper term comes from a Gaussian  $\frac{1}{\sqrt{2\pi h}} e^{\frac{-(t-c_i)^2}{2h}}$ , where  $c_i, h$  are  
 544         respectively the center and variance of the  $i$ -th Gaussian. In our RBF formulation, we normalize  
 545         all the Gaussians. ^  
 546     — $\omega \in \mathbb{R}^{D \cdot M}$  : time-independent parameter vector weighting the RBFs, i.e., the parameters to be  
 547         learned.  
 548 — $p(\omega) \sim \mathcal{N}(\mu_{\omega}, \Sigma_{\omega})$  : normal distribution computed from a set  $\{\omega_1, \dots, \omega_n\}$ . It represents the  
 549         distribution of the modeled trajectories, also called prior distribution.

**Time modulation :**

- 550 — $\bar{s}$  : number of samples used as reference to rescale all the trajectories to the same duration.  
 551 — $\Phi_{\alpha_it} \in \mathbb{R}^{D \times D \cdot M}$  : the RBFs rescaled to match the  $\Xi_i$  trajectory duration.  
 552 — $\alpha_i = \frac{\bar{s}}{t_{fi}}$  : temporal modulation parameter of the  $i$ -th trajectory .  
 553 — $\alpha = \Psi_{\delta_{n_o}} \omega_{\alpha} + \epsilon_{\alpha}$  is the model of the function mapping  $\delta_{n_o}$  into the temporal modulation parameter  $\alpha$ ,  
 554     with :  
 555         -  $\Psi$  : a set of RBFs used to model the mapping between  $\delta_{n_o}$  and  $\alpha$  ;  
 556         -  $\delta_{n_o}$  is the variation of the trajectory during the first  $n_o$  observations (data points) ; it can be  $\delta_{n_o} =$   
 557              $\xi(n_o) - \xi(1)$  if the entire trajectory variables (e.g., Cartesian position, forces, etc.) are considered, or  
 558             more simply  $\delta_{n_o} = X(n_o) - X(1)$  if only the variation in terms of Cartesian position is considered ;

561 - $\omega_\alpha$  : the parameter vector weighting the RBFs of the  $\Psi$  matrix.

562 **Inference :**

563 — $\Xi^o = [X^o, F^o]^\top = [\xi^o(1), \dots, \xi^o(n_o)]^\top$  : early-trajectory observations, composed of  $n_o$  data points.

564 — $\Sigma_\xi^o$  : noise of the initiated trajectory observation.

565 — $\hat{\alpha}$  : estimated time modulation parameter of a trajectory to infer.

566 — $\hat{t}_f = \frac{\bar{s}}{\hat{\alpha}}$  : estimated duration of a trajectory to infer.

567 — $\Xi^* = [\xi^o(1), \dots, \xi^o(n_o), \xi^*(n_o + 1), \dots, \xi^*(\hat{t}_f)]$  : ground truth of the trajectory for the robot to infer.

568 — $\hat{\Xi} = [\hat{X}, \hat{F}]^\top = [\xi^o(1), \dots, \xi^o(n_o), \hat{\xi}(n_o + 1), \dots, \hat{\xi}(\hat{t}_f)]^\top$  : the estimated trajectory.

569 — $p(\hat{\omega}) \sim \mathcal{N}(\hat{\mu}_\omega, \hat{\sigma}_\omega)$  : posterior distribution of the parameter vector of a ProMP using the observation  
570      $\Xi^o$ .

571 — $\hat{k}$  : index of the recognized ProMP from the set of  $K$  known (previously learned) ProMPs.

572 **4.2 Learning a Probabilistic Movement Primitive (ProMP) from demonstrations**

573 Our toolbox to learn, replay and infer the continuation of trajectories is written in Matlab and available  
574 at :

575 <https://github.com/inria-larsen/icubLearningTrajectories/tree/master/MatlabProgram>

577 Let us assume the robot has recorded a set of  $n_1$  trajectories :  $\{\Xi_1, \dots, \Xi_{n_1}\}$ , where the  $i$ -th trajectory is  
578  $\Xi_i = \{\xi(1), \dots, \xi(t_{f_i})\}$ .  $\xi(t)$  is the generic vector containing all the variables to be learned at time  $t$ , with  
579 the ProMP method. It can be mono-dimensional (e.g.  $\xi(t) = [z(t)]$  for the z-axis Cartesian coordinate), or  
580 multi-dimensional (e.g.  $\xi(t) = [X(t), F(t)]^\top$ ). Note that the duration of each recorded trajectory (i.e.  $t_{f_i}$ )  
581 may be variable. To find a common representation in terms of primitives, a time modulation is applied to all  
582 trajectories, such that they have the same number of samples  $\bar{s}$  (see details in Section ??). Such modulated  
583 trajectories are then used to learn a ProMP.

584 A ProMP is a Bayesian parametric model of the demonstrated trajectories in the form :

$$\xi(t) = \Phi_t \omega + \epsilon_\xi \quad (1)$$

where  $\omega \in R^M$  is the time-independent parameter vector weighting the RBFs,  $\epsilon_\xi \sim \mathcal{N}(0, \beta)$  is the  
trajectory noise, and  $\Phi_t$  is a vector of  $M$  radial basis functions evaluated at time  $t$  :

$$\Phi_t = [\psi_1(t), \psi_2(t), \dots, \psi_M(t)]$$

585 with

$$\begin{cases} \psi_i(t) &= \frac{1}{\sum_{j=1}^M \psi_j(t)} \exp\left\{-\frac{(t-c(i))^2}{2h}\right\} \\ c(i) &= i/M \\ h &= 1/M^2 \end{cases} \quad (2)$$

586 Note that all the  $\psi$  functions are scattered across time.

587 For each  $\Xi_i$  trajectory, we compute the  $\omega_i$  parameter vector to have  $\xi_i(t) = \Phi_t \omega_i + \epsilon_\xi$ . This vector is  
588 computed to minimize the error between the observed  $\xi_i(t)$  trajectory and its model  $\Phi_t \omega_i + \epsilon_\xi$ . This is done  
589 using the Least Mean Square algorithm, i.e. :

$$\omega_i = (\Phi_t^\top \Phi_t)^{-1} \Phi_t^\top \xi_i(t). \quad (3)$$

590 To avoid the common issue of the matrix  $\Phi_t^\top \Phi_t$  in Equation ?? not being invertible, we add a diagonal  
 591 term and perform Ridge Regression :

$$\omega_i = (\Phi_t^\top \Phi_t + \lambda)^{-1} \Phi_t^\top \xi_i(t). \quad (4)$$

592 where  $\lambda = 10^{-11} \cdot \mathbf{1}_{D \cdot M \times D \cdot M}$  is a parameter that can be tuned by looking at the smallest singular value of  
 593 the matrix  $\Phi_t^\top \Phi_t$ .

594 Thus, we obtain a set of these parameters :  $\{\omega_1, \dots, \omega_n\}$ , upon which a distribution is computed. Since  
 595 we assume Normal distributions, we have :

$$p(\omega) \sim \mathcal{N}(\mu_\omega, \Sigma_\omega) \quad (5)$$

$$\text{with } \mu_\omega = \frac{1}{n} \sum_{i=1}^n \omega_i \quad (6)$$

$$\text{and } \Sigma_\omega = \frac{1}{n-1} \sum_{i=1}^n (\omega_i - \mu_\omega)^\top (\omega_i - \mu_\omega) \quad (7)$$

596 The ProMP captures the distribution over the observed trajectories. To represent this movement primitive,  
 597 we usually use the movement that passes by the mean of the distribution Figure ?? shows the ProMP for a  
 598 1-DOF lifting motion, with a number of reference samples  $\bar{s} = 100$  and number of basis functions  $M = 5$ .

599 This example is included in our Matlab toolbox as `demo_plot1DOF.m`. The explanation of this Matlab  
 600 script is presented in Section ???. More complex examples are also included in the scripts `demo_plot*.m`.

### 601 4.3 Predicting the future movement from initial observations

602 Once the ProMP  $p(\omega) \sim \mathcal{N}(\mu_\omega, \Sigma_\omega)$  of a certain task has been learned<sup>7</sup>, we can use it to predict the  
 603 evolution of an initiated movement. An underlying hypothesis is that the observed movement follows to  
 604 this learned distribution.

605 Suppose that the robot measures the first  $n_o$  observations of the trajectory to predict (*e.g.*, lifting the  
 606 arm). We call these observations  $\Xi^o = [\xi^o(1), \dots, \xi^o(n_o)]$ . The goal is then to predict the evolution of  
 607 the trajectory after these  $n_o$  observations, *i.e.* find  $\{\hat{\xi}(n_o + 1), \dots, \hat{\xi}(\hat{t}_f)\}$ , where  $\hat{t}_f$  is the estimation of  
 608 the trajectory duration (see Section ??). This is equivalent to predicting the entire  $\hat{\Xi}$  trajectory where the  
 609 first  $n_o$  samples are known and equal to the observations :  $\hat{\Xi} = \{\xi^o(1), \dots, \xi^o(n_o), \hat{\xi}(n_o + 1), \dots, \hat{\xi}(\hat{t}_f)\}$ .  
 610 Therefore, our prediction problem consists of predicting  $\hat{\Xi}$  given the  $\Xi^o$  observations.

611 To do this prediction, we start from the learned prior distribution  $p(\omega)$ , and we find the  $\hat{\omega}$  parameter  
 612 within this distribution that generates  $\hat{\Xi}$ . To find this  $\hat{\omega}$  parameter, we update the learned distribution  
 613  $p(\hat{\omega}) \sim \mathcal{N}(\hat{\mu}_\omega, \hat{\Sigma}_\omega)$  using the formulae :

$$\begin{cases} \hat{\mu}_\omega = \mu_\omega + K(\Xi^o - \Phi_{[1:n_o]} \mu_\omega) \\ \hat{\Sigma}_\omega = \Sigma_\omega - K(\Phi_{[1:n_o]} \Sigma_\omega) \end{cases} \quad (8)$$

---

<sup>7</sup>. *i.e.*, we computed the  $p(\omega)$  distribution from the dataset  $\{\omega_1, \dots, \omega_n\}$ , where each  $\omega_i$  is an estimated parameter computed from the trajectory demonstrations.

614 where  $K$  is a gain computed by :

$$K = \Sigma_{\omega} \Phi_{[1:n_o]}^{\top} (\Sigma_{\xi}^o + \Phi_{[1:n_o]} \Sigma_{\omega} \Phi_{[1:n_o]}^{\top})^{-1} \quad (9)$$

615 Equation ?? and ?? can be computed through the marginal and conditional distributions ??, as detailed in  
616 Appendix ??.

617 Figure ?? shows the predicted trajectory for the lifting motion of the left arm of iCub. The different graphs  
618 show inferred trajectories when the robot observed  $n_o = 10, 30, 50, 80\%$  of the total trajectory duration.  
619 This example is also available in the toolbox as `demo_plot1DOF.m`. The `nbData` variable changes the  
620 percentage of known data. Thus, it will be visible how the inference improves according to this variable.  
621 An example of predicted trajectories of the arm lifting in Gazebo can be found in a provided video (see  
622 Section ??).

#### 623 4.4 Predicting the trajectory time modulation

624 In the previous section, we presented the general formulation of ProMPs, which makes the implicit  
625 assumption that all the observed trajectories have the same duration and thus the same sampling.<sup>8</sup> That is  
626 why the duration of the trajectories generated by the RBF is fixed and equal to  $\bar{s}$ . Of course, this is valid  
627 only for synthetic data and not for real data.

628 To be able to address real experimental conditions, we now consider the variation of the duration of the  
629 demonstrated trajectories. To this end, we introduce a time modulation parameter  $\alpha$  that maps the actual  
630 trajectory duration  $t_f$  to  $\bar{s}$ :  $\alpha = \bar{s}/t_f$ . The normalized duration  $\bar{s}$  can be chosen arbitrarily; for example  
631 it can be set to the average of the duration of the trajectories, e.g.,  $\bar{s} = \text{mean}(t_{f1}, \dots, t_{fK})$ . Notably, in  
632 the literature sometimes  $\alpha$  is called *phase* ???. The effect of  $\alpha$  is to change the phase of the RBFs, that are  
633 scaled in time.

634 The time modulation of the  $i$ -th trajectory  $\Xi_i$  is computed by  $\alpha_i = \frac{\bar{s}}{t_{fi}}$ . Thus, we have  $\alpha \cdot t \in [1 : \bar{s}]$ .  
635 Thus, the improved ProMP model is :

$$\xi_t = \Phi_{\alpha t} \omega + \epsilon_t, \quad (10)$$

where  $\Phi_{\alpha t}$  is the RBFs matrix evaluated at time  $\alpha t$ . All the  $M$  Gaussian functions of the RBFs are spread  
over the same number of samples  $\bar{s}$ . Thus, we have :

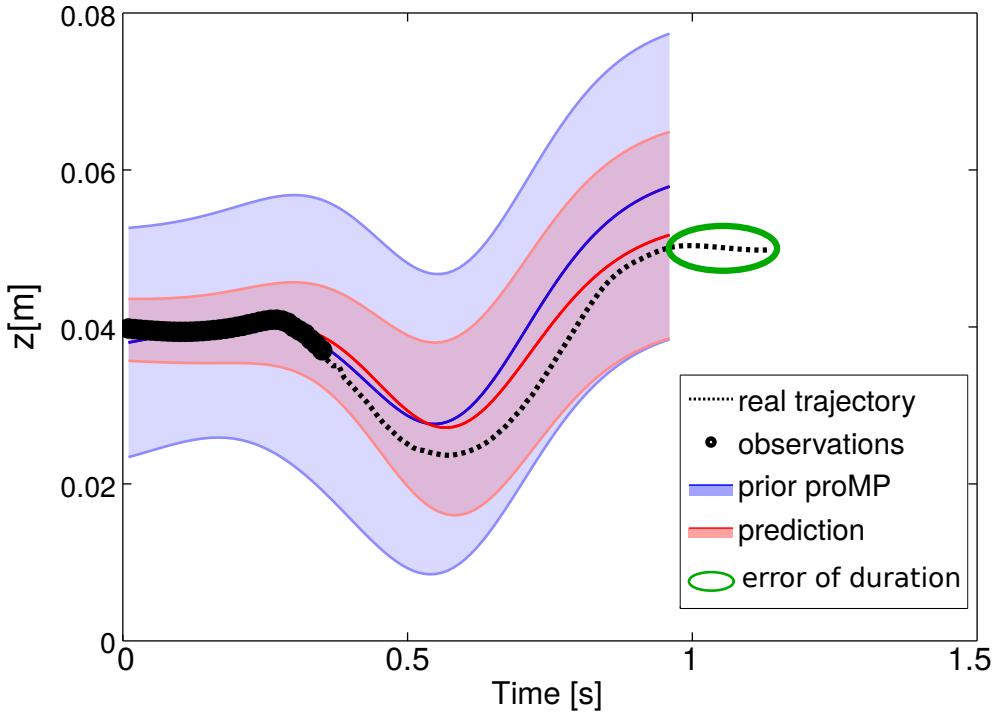
$$\Phi_{\alpha t} = [\psi_1(\alpha t), \psi_2(\alpha t), \dots, \psi_M(\alpha t)].$$

636 During the learning step, we record a set of  $\alpha$  parameters :  $S_{\alpha} = \{\alpha_1, \dots, \alpha_n\}$ . Then, using this set, we  
637 can replay the learned ProMP with different speeds. By default (e.g. when  $\alpha = 1$ ), the speed allows to  
638 finish the movement in  $\bar{s}$  samples.

639 During the inference, the **time modulation**  $\alpha$  of the partially observed trajectory is not known. Unless  
640 **fixed a priori**, the robot **must** estimate it. This estimation is critical to ensure a good recognition, as shown  
641 in Figure ?? : the inferred trajectory (represented by the mean of the posterior distribution in red) does not  
642 have the same duration as the “real” intended trajectory (which is the ground truth). This difference is due  
643 to the estimation error of the time modulation parameter. This estimation  $\hat{\alpha}$  by default is computed as the

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8. Actually, we call here duration what is in fact the total number of samples for the trajectory.



**FIGURE 2.** This plot shows the predicted trajectory given early observations (data points, in black), compared to the ground truth (*e.g.*, the trajectory that the human intends to execute with the robot). We show the prior distribution (in light blue) and the posterior distribution (in red), which is computed by conditioning the distribution to match the observations. Here, the posterior simply uses the average  $\alpha$  computed over the  $\alpha_1, \dots, \alpha_K$  of the  $K$  demonstrations. Without predicting the time modulation from the observations and using the average  $\alpha$ , the predicted trajectory has a duration that is visibly different from the ground truth.

644 mean of all the  $\alpha_k$  observed during the learning :

$$\hat{\alpha} = \frac{\sum \alpha_k}{n_k}. \quad (11)$$

645 However, using the mean value for the time modulation is an appropriate choice only when the primitive  
 646 represents goal-directed motions that are very regular, or for which we can reasonably assume that  
 647 differences in the duration can be neglected (which is not a general case). In many applications this  
 648 estimation may be too rough.

649 Thus, we have to find a way to estimate the duration of the observed trajectory, which corresponds to  
 650 accurately estimating the time modulation parameter  $\hat{\alpha}$ . To estimate  $\hat{\alpha}$ , we implemented four different  
 651 methods. The first is the **mean of all the  $\alpha_k$** , as in Equation ???. The second is the **maximum likelihood**,  
 652 with

$$\hat{\alpha} = \operatorname{argmax}_{\alpha \in S_{\alpha k}} \{ \operatorname{loglikelihood}(\Xi^o, \mu_{\omega_k}, \sigma_{\omega_k}, \alpha_k) \}. \quad (12)$$

653 The third is the **minimum distance** criterion, where we seek the best  $\hat{\alpha}$  that minimizes the difference  
 654 between the observed trajectory  $\Xi_t^o$  and the predicted trajectory for the first  $n_o$  data points :

$$\hat{\alpha} = \operatorname{argmin}_{\alpha \in S_{\alpha k}} \left\{ \sum_{t=1}^{n_o} |\Xi_t^o - \Phi_{\alpha t} \mu_{\omega_k}| \right\}. \quad (13)$$

655 The fourth method is based on a **model** : we assume that there is a correlation between  $\alpha$  and the variation of  
 656 the trajectory  $\delta_{n_o}$  from the beginning until the time  $n_o$ . This “variation”  $\delta_{n_o}$  can be computed as the variation  
 657 of the position, e.g.,  $\delta_{n_o} = X(n_o) - X(1)$ , or the variation in the entire trajectory,  $\delta_{n_o} = \Xi(n_o) - \Xi(1)$ ,  
 658 or any other measure of progress, if this hypothesis is appropriate for the type of task trajectories of the  
 659 application.<sup>9</sup> Indeed, the  $\alpha$  can be linked also to the movement speed, which can be roughly approximated  
 660 by  $\dot{X} = \frac{\delta X}{t_f}$  ( $\dot{\Xi} = \frac{\delta \Xi}{t_f}$ ). We model the mapping between  $\delta_{n_o}$  and  $\alpha$  by :

$$\alpha = \Psi(\delta_{n_o})^\top \omega_\alpha + \epsilon_\alpha, \quad (14)$$

661 where  $\Psi$  are RBFs, and  $\epsilon_\alpha$  is a zero-mean Gaussian noise. During learning, we compute the  $\omega_\alpha$  parameter,  
 662 using the same method as in Equation ???. During the inference, we compute  $\hat{\alpha} = \Psi(\delta_{n_o})^\top \omega_\alpha$ .

663 A comparison of the four methods for estimating  $\alpha$  on a test study with iCub in simulation is presented in  
 664 Section ??.

665 There exist other methods in the literature for computing  $\alpha$ . For example, ? propose a method that models  
 666 local variability in the speed of execution. In ? they use a method that improves Dynamic Time Warping by  
 667 imposing a smooth function on the time alignment mapping using local optimization. These methods will  
 668 be implemented in the future works.

#### 669 4.5 Recognizing one among many movement primitives

670 Robots should not learn only one skills, but many : different skills for different tasks. **In our framework,**  
**tasks are represented by movement primitives, precisely ProMP.** So it is important for the robot to be able  
 671 to learn  $K$  different ProMPs and then be able to recognize from the early observations of a trajectory which  
 672 of the  $K$  ProMPs the observations belong to.

674 During the learning step of a movement primitive  $k \in [1 : K]$ , the robot observes different trajectories  
 675  $S_k = \{\Xi_1, \dots, \Xi_{n_o}\}$ . For each ProMP, it learns the distribution over the parameters vector  $p(\omega) \sim$   
 676  $\mathcal{N}(\mu_{\omega_k}, \Sigma_{\omega_k})$ , using Equation ???. Moreover, the robot records the different phases of all the observed  
 677 trajectories :  $S_{\alpha k} = \{\alpha_{1k}, \dots, \alpha_{nk}\}$ .

678 After having learned these  $K$  ProMPs, the robot **can use this information to autonomously execute a task**  
**trajectory. Since we are targeting collaborative movements, performed together with a partner at least at the**  
 679 **beginning, we want the robot to be able to recognize from the first observations of a collaborative trajectory**  
 680 **which is the current task that the partner is doing and what is the intention of the partner. Finally, we want**  
 681 **the robot to be able to complete the task on its own, once it has recognized the task and predicted the future**  
 682 **trajectory.**

684 Let  $\Xi^o = [\Xi_1 \dots \Xi_{n_o}]^\top$  be the early observations of an initiated trajectory.

685 From these partial observations, the robot **can recognize the “correct” (i.e., most likely) ProMP**  $\hat{k} \in$   
 686  $[1 : K]$ . **First, for each ProMP**  $k \in [1 : K]$ , **it computes** the most likely phase (time modulation  
 687 factor)  $\hat{\alpha}_k$  (as explained in Section ??), to obtain the set of ProMPs with the most likely duration :  
 688  $S_{[\mu_{\omega_k}, \hat{\alpha}_k]} = \{(\mu_{\omega_1}, \hat{\alpha}_1), \dots, (\mu_{\omega_K}, \hat{\alpha}_K)\}$

689 Then we compute the most likely ProMP  $\hat{k}$  in  $S_{[\mu_{\omega_k}, \hat{\alpha}_k]}$  according to some criterion. One possible way is  
 690 to minimize the distance between the early observations and the mean of the ProMP for the first portion of

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9. In our case, this assumption can be appropriate, because the reaching trajectories in our application are generally monotonic increasing/decreasing.

691 the trajectory :

$$\hat{k} = \arg \min_{k \in [1:K]} \left[ \frac{1}{n_o} \sum_{t=1}^{n_o} |\Xi_t - \Phi_{\hat{\alpha}_k t} \mu_{\omega_k}| \right] \quad (15)$$

692 In Equation ??, for each ProMP  $k \in [1 : K]$ , we compute the average distance between the observed  
 693 early-trajectory  $\Xi_t$  and the mean trajectory of the ProMP  $\Phi_{\hat{\alpha}_k t} \mu_{\omega_k}$ , with  $t = [1 : n_o]$ . The most likely  
 694 ProMP  $\hat{k}$  is selected by computing the minimum distance ( $\arg \min$ ). Other possible methods for estimating  
 695 the most likely ProMPs could be inspired by those presented in the previous section for estimating the time  
 696 modulation, *i.e.* maximum likelihood or learned models.

697 Once identified the  $\hat{k}$ -th most likely ProMP, we update its posterior distribution to take into account the  
 698 initial portion of the observed trajectory, using Equation ?? :

$$\begin{cases} \hat{\mu}_{\omega_{\hat{k}}} &= \mu_{\omega_{\hat{k}}} + K(\Xi^o - \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]} \mu_{\omega_{\hat{k}}}) \\ \hat{\Sigma}_{\omega_{\hat{k}}} &= \Sigma_{\omega_{\hat{k}}} - K(\Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]} \Sigma_{\omega_{\hat{k}}}) \\ K &= \Sigma_{\omega_{\hat{k}}} \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]}^\top (\Sigma_{\xi^o} + \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]} \Sigma_{\omega_{\hat{k}}} \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]}^\top)^{-1} \end{cases} \quad (16)$$

699 with  $\hat{\alpha}_{\hat{k}}[1 : n_o] = \hat{\alpha}_{\hat{k}} t$  (in matrix form), with  $t \in [1 : n_o]$ .

Finally, the inferred trajectory is given by :

$$\forall t \in [1 : \hat{t}_f], \hat{\xi}(t) = \Phi_t \hat{\mu}_{\omega_{\hat{k}}}$$

700 with the expected duration of the trajectory  $\hat{t}_f = \hat{\alpha}_{\hat{k}} \bar{s}$ . The robot is now able to finish the movement  
 701 executing the most-likely “future” trajectory  $\hat{\Xi} = [\hat{\xi}_{n_o+1} \dots \hat{\xi}_{\hat{t}_f}]^\top$ .

## 5 SOFTWARE OVERVIEW

702 In this section, we introduce our open-source software with an overview of its architecture. This software  
 703 is composed of two main modules, represented in Figure ??.

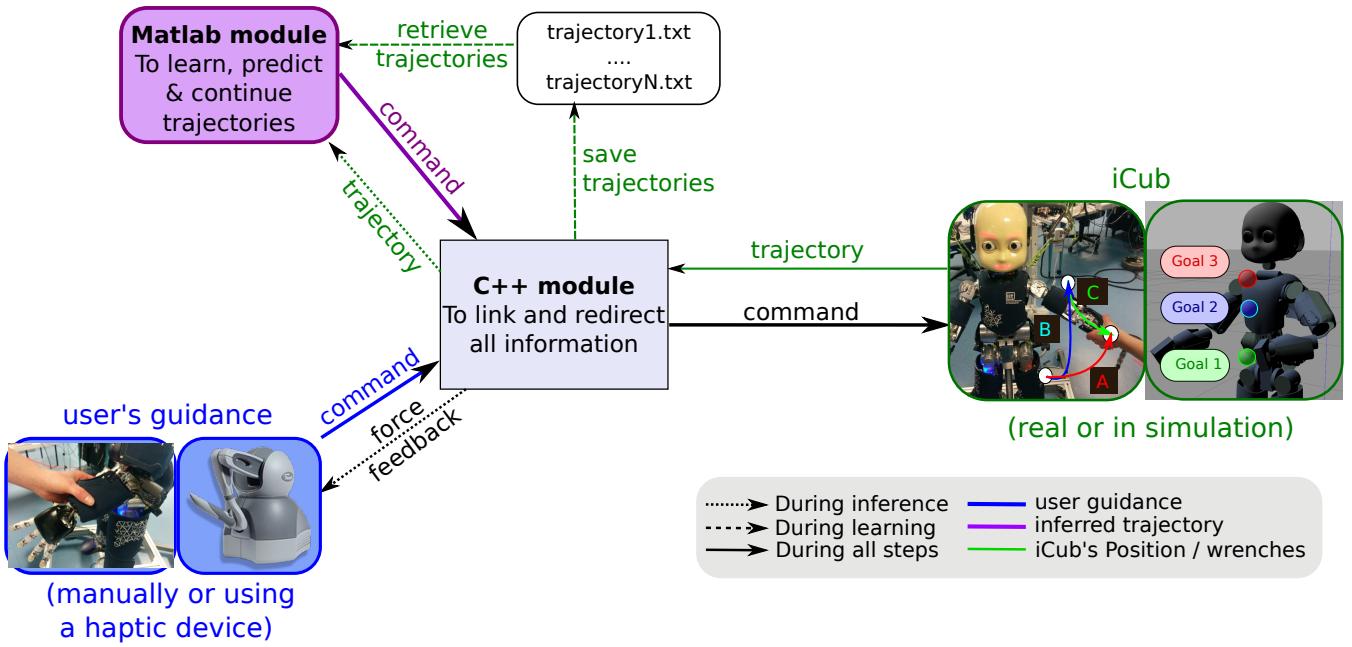
704 While the robot is learning the Probabilistic Movement Primitives (ProMPs) associated to the different  
 705 tasks, the robot is controlled by its user. The user's guidance can be either manual for the real iCub, or  
 706 through a haptic device for the simulated robot.

707 A Matlab module allows replaying movement primitives or finishing a movement that has been initiated  
 708 by its user. By using this module, the robot can learn distributions over trajectories, replay movement  
 709 primitives (using the mean of the distribution), recognize the ProMP that best matches a current trajectory,  
 710 and infer the future evolution (until the end target) of this trajectory.

711 A C++ module forwards to the robot the control that comes either from the user or from the Matlab  
 712 module. Then, the robot is able to finish a movement initiated by its user (directly or through a haptic  
 713 device) in an autonomous way, as shown in Figure 1.

714 We present the C++ module in Section ?? and the theoretical explanation of the Matlab module algorithms  
 715 in Section 4. A guide to run this last module is first presented in Section ?? for a simple example, and  
 716 in Section ?? for our application, where a simulated robot learns many measured information of the  
 717 movements. Finally, we present results on the real iCub application in Section ??.

718 Our software is available through the GPL licence, and publicly available at :

**FIGURE 3.** Software architecture and data-flows.

The robot's control is done either by the user's guidance (manually or through a haptic device) represented in blue, or by the Matlab module, in purple. The C++ module handles the control source to command the robot, as represented in black. Moreover, this module forwards information that comes from the iCub.

719     <https://github.com/inria-larsen/icubLearningTrajectories>.

720     Tutorial, readme and videos can be found in that repository. First, the readme file describes how to launch  
 721     simple demonstrations of the software. Videos present these demonstrations to simplify the understanding.  
 722     In the next sections, we detail the operation of the demo program for a first case of 1DOF primitive,  
 723     followed by the presentation of the specific applications on the iCub (first simulated and then real).

## 6 SOFTWARE EXAMPLE : LEARNING A 1-DOF PRIMITIVE

724     In this section, we present the use of the software to learn ProMPs in a simple case of 1-DOF primitive.  
 725     This example only uses the *MatlabProgram* folder, composed of :

726     —A sub-folder called “Data”, where there are trajectory sets used to learn movement primitives. These  
 727     trajectories are stored in text files with the following information :

728       **-input parameters** : # input<sub>1</sub> # input<sub>2</sub> [...]  
 729       **-input parameters with time-step** : # timeStep # input<sub>1</sub> # input<sub>2</sub> [...]  
 730       **-recordTrajectories.cpp** program recording : See Section ?? for more information.

731     —A sub-folder called “used\_functions”. It contains all the functions used to retrieve trajectories, compute  
 732     ProMPs, infer trajectories, and plot results. Normally, using this toolbox does not require understanding  
 733     these functions. The first lines of these functions give an explanation of their functioning and precise  
 734     what are the input(s) and output(s) parameters.  
 735     —Matlab scripts called “demo\_\*.m”. They are simple examples of how to use this toolbox.

736     |||||| HEAD The script *demo\_plot1DOF.m*, can be used to compute a ProMP and to continue  
 737     an initiated movement. The ProMP is computed from a dataset stored in a “.mat” file, called

738 *traj1\_1DOFmat*. In this script, variables are first defined to make the script specific to the current dataset :

Variable assignation	Commentary
DataPath = 'Data/traj1_1DOF.mat' ;	Can be either ".mat" or ".txt". In the current demo, you can also write DataPath = 'Data/traj1' if you want to use the text files of this dataset.
typeRecover = '.mat'	Or .txt, it depends on your choice of data file.
inputName = 'z[m]' ;	Label of your input(s). Here $z$ represents the $z$ -axis Cartesian coordinate.
s_ref=100 ;	Number of samples used as reference to rescale all the trajectories to the same duration.
nbInput = 1 ;	Dimension of the generic vector containing the state of the trajectory.
M = 5 ;	Number of radial basis functions per input.
expNoise = 0.00001 ;	Expected trajectory noise.
percentData = 20 ;	Percent of observed data during the inference.

740 The variables include :

741 —DataPath is the path to the recorded data. If the data are stored in text files, this variable contains the  
 742 folder name where text files are stored. These text files are called “record $X$ .txt”, with  $X \in [0 : n - 1]$   
 743 if there are  $n$  trajectories. One folder is used to learn one ProMP. If the data are already loaded from a  
 744 “.mat” file, write the whole path with the extension. The data in “.mat” matches with the output of the  
 745 Matlab function `loadTrajectory`.

746 —nbInput =  $D$  is the dimension of the input vector  $\xi_t$ .

747 —expNoise =  $\Sigma_\xi^o$  is the expected noise of the initiated trajectory. The smaller this variable is, the  
 748 stronger the modification of the ProMP distribution will be, given new observations.

749 We will now explain more in detail the script. To recover data recorded in a “.txt” file, we call the  
 750 function :

751 `t{1} = loadTrajectory(PATH, nameT, varargin)`

752 Its input parameters specify the path of the recorded data, the label of the trajectory. Other information  
 753 can be added by using the varargin variable (for more detail, check the header of the function with  
 754 the help comments). The output is an object that contains all the information about the demonstrated  
 755 trajectories. It is composed of nbTraj, the number of trajectory ; realTime, the simulation time ; y (and  
 756 yMat), the vector (and matrix) trajectory set , etc.. Thus, `t{1}.y{i}` contains the  $i$ -th trajectory.

757 The Matlab function `drawRecoverData(t{1}, inputName, 'namFig', nFig, varargin)`  
 758 plots in a Matlab figure (numbered nFig) the dataset of loaded trajectories. An example is shown in  
 759 Figure ??, on the left. Incidentally, the different duration of the trajectories is visible : on average, it is  
 760  $1.17 \pm 0.42$  seconds.

761 To split the entire dataset of demonstrated trajectories `t{1}` into a training dataset (used for learning the  
 762 ProMPs) and a test dataset (used for the inference), call the function

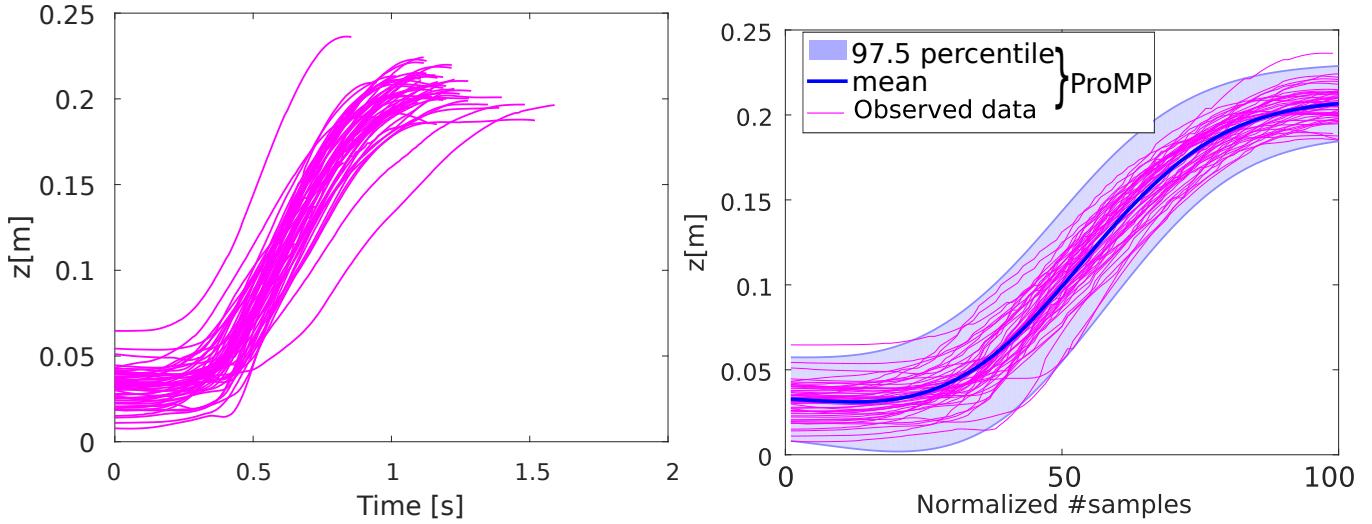
763 `[train, test] = partitionTrajectory(t{1}, partitionType, percentData, s_ref)`  
 764 where if `partitionType = 1`, only one trajectory is in the test set and the others are placed in the training  
 765 set, and if `partitionType > 1` it corresponds to the percentage of trajectories that will be included in the  
 766 training set.

767 The ProMP can be computed from the training set by using the function :

768 `promp = computeDistribution(train, M, s_ref, c, h)`

769 The output variable `promp` is an object that contains all the ProMP information. The first three input  
 770 parameters have been presented before : `train` is the training set,  $M$  is the number of RBFs, `s_ref` is the  
 771 number of samples used to rescale all the trajectories. The last two input parameters  $c$  and  $h$  shape the  
 772 RBFs of the ProMP model :  $c \in \mathbb{R}^M$  is the center of the Gaussians and  $h \in \mathbb{R}$  their variance.

773 To visualize this ProMP, as shown in Figure ??, call the function : `drawDistribution(promp, ...`  
 774 `inputName, s_ref)`



**FIGURE 4.** The observed trajectories are represented in magenta. The corresponding ProMP is represented in blue. The following parameters are used :  $\bar{s} = 100$  for the reference number of samples,  $M = 5$  for the number of RBFs spread over time, and  $h = 0.04 (= \frac{1}{M^2})$  the variance of the RBFs.

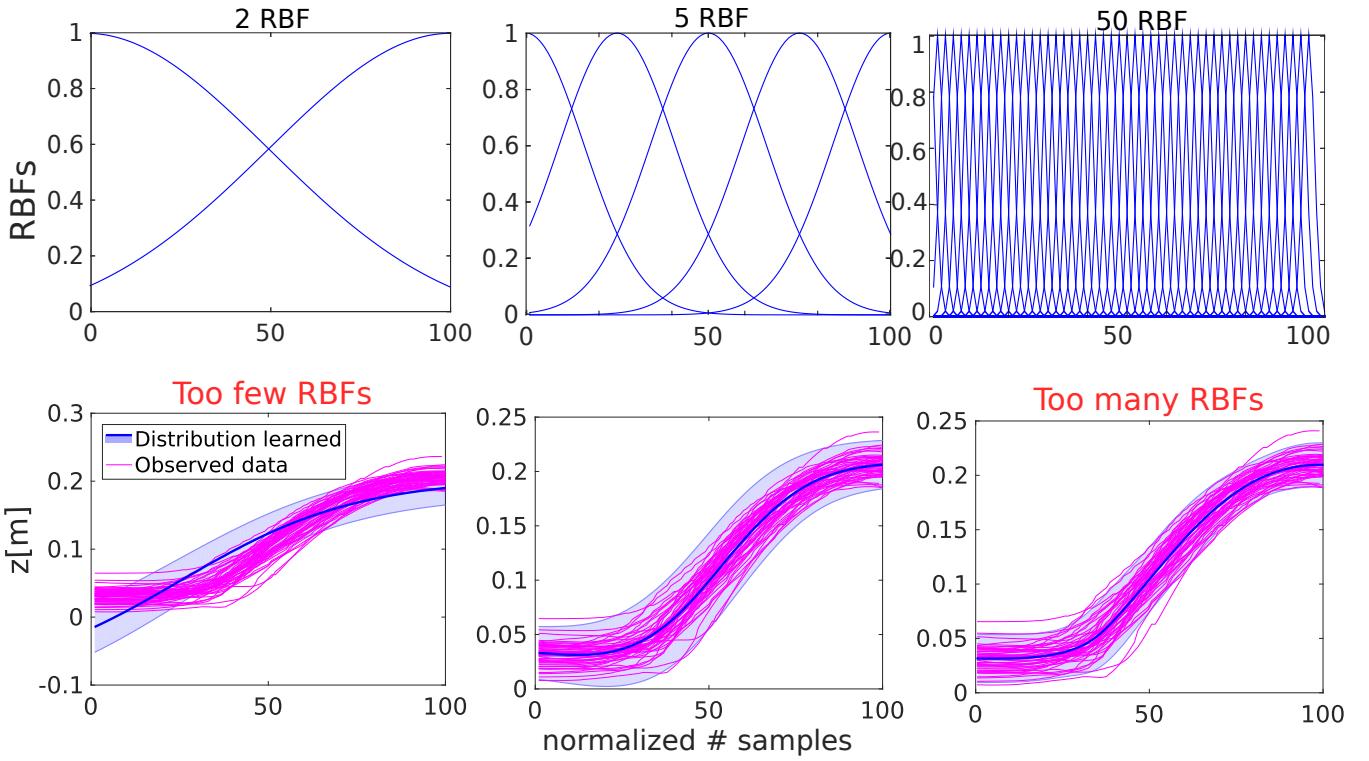
775 For debugging purposes and to understand how to tune the ProMPs' parameters, it is interesting to plot  
 776 the overlay of the basis functions in time. Choosing an appropriate number of basis functions is important,  
 777 as too few may be insufficient to approximate the trajectories under consideration, and too many could  
 778 result in over-fitting problems. To plot the basis functions, simply call :

779 `drawBasisFunction(promp.PHI, M)`

780 where `promp.PHI` is a set of RBFs evaluated in the normalized time range  $t \in [1 : \bar{s}]$ .

781 Figure ?? shows at the top the basis functions before normalization, and at the bottom the ProMP modeled  
 782 from these basis functions. From left to right, we change the number of basis functions. When there are  
 783 not enough basis functions (left), the model is not able to correctly represent the shape of the trajectories.  
 784 In the middle, the trajectories are well represented by the five basis functions. With more basis functions  
 785 (right), the variance of the distribution decreases because the model is more accurate. However, arbitrarily  
 786 increasing the number of basis functions is not a good idea, as it may not improve the accuracy of the  
 787 model and worse it may cause overfitting.

788 Once the ProMP is learned, the robot can reproduce the movement primitive using the mean of the  
 789 distribution. Moreover, it can now recognize a movement that has been initiated in this distribution, and  
 790 predict how to finish it. To do so, given the early  $n_o$  observations of a movement, the robot updates the  
 791 prior distribution to match the early observed data points : through conditioning, it finds the posterior  
 792 distribution, that can be used by the robot to execute the movement on its own.



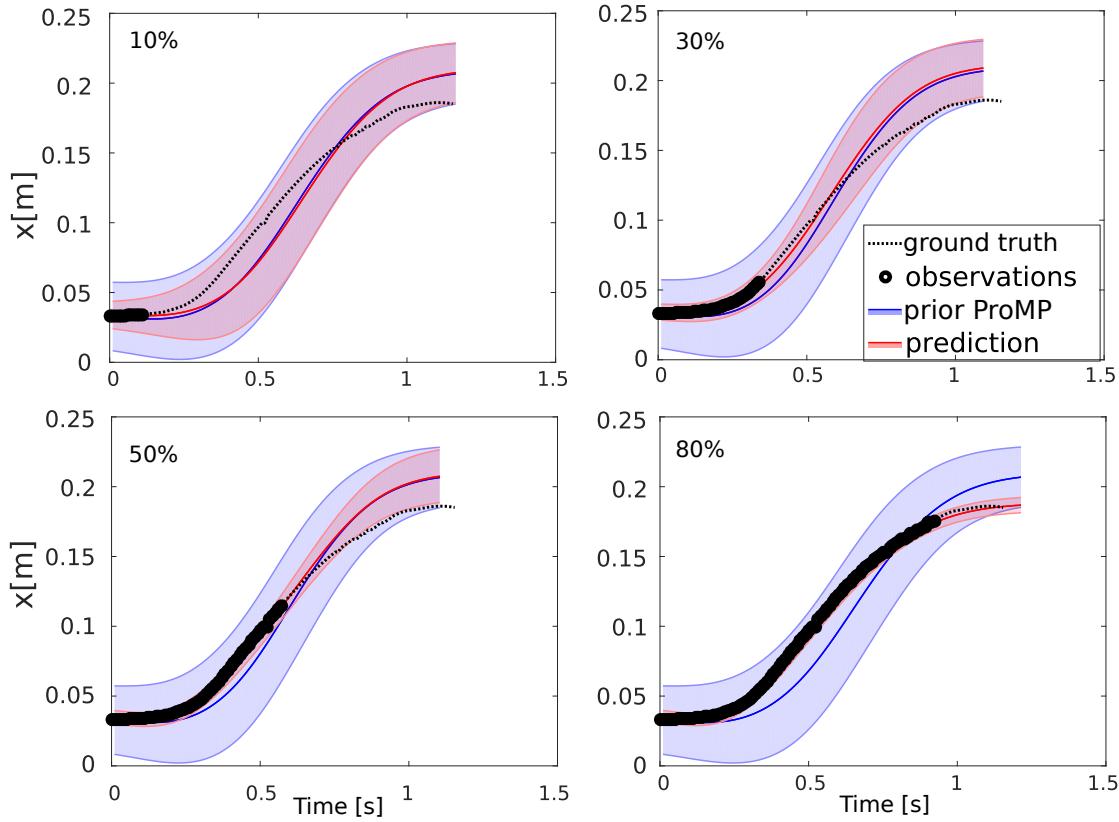
**FIGURE 5.** The ProMP computed for the test dataset (Figure ??) using different numbers of basis functions : from left to right :  $M = \{2, 5, 50\}$  basis functions before normalization, with a variance  $h = \frac{1}{M^2}$ .

793 The first step in predicting the evolution of the trajectory is to infer the duration of this trajectory, which  
 794 is encoded by the time modulation parameter  $\hat{\alpha}$ . The computation of this inference, which was detailed in  
 795 Section ??, can be done by using the function :

796 `[expAlpha,type,x]=inferenceAlpha(promp,test{1},M,s_ref,c,h,test{1}.nbData, ...`  
 797 `expNoise, typeReco)`

798 where `typeReco` is the type of criteria used to find the expected time modulation ('MO', 'DI' or 'ML'  
 799 for model, distance or maximum likelihood methods); `expAlpha =  $\hat{\alpha}$`  is the expected time modulation;  
 800 `type` is the index of the ProMP from which `expAlpha` has been computed, which we note in this pa-  
 801 per as  $k$ . To predict the evolution of the trajectory, we use Equation ?? from Section ???. In Matlab,  
 802 this is done by the function : `infTraj = inference(promp, test{1}, M, s_ref, c, h, ...`  
 803 `test{1}.nbData, expNoise, expAlpha)`  
 804 where `test{1}.nbData` has been computed during the `partitionTrajectory` step. This variable is  
 805 the number of observations  $n_o$ , representing the percentage of observed data (`percentData`) of the test tra-  
 806 jectory (*i.e.*, to be inferred) that the robot observes. `infTraj =  $\hat{\Xi}$`  is the inferred trajectory. Finally, to draw  
 807 the inferred trajectory, we can call the function `drawInference(promp, inputName, infTraj, ...`  
 808 `test1,s_ref).`

809 It can be interesting to plot the quality of the predicted trajectories as a function of the number of  
 810 observations, as done in Figure ??.



**FIGURE 6.** The prediction of the future trajectory given early observations, exploiting the information of the learned ProMP (Figure ??). The plots show the predicted trajectories after 10%, 30%, 50% and 80% of observed data points.

811 Note that when we have observed a larger portion of the trajectory, the prediction of the remaining portion  
 812 is more accurate.

813 Now we want to measure the quality of the prediction. Let  $\Xi^* = [\xi^o(1), \dots, \xi^o(n_o), \xi^*(n_o +$   
 814 1), \dots, \xi^\*(t\_f^\*)] be the real trajectory expected by the user. To measure the quality of the prediction,  
 815 we can use :

- 816 —The likelihood of having the  $\Xi^*$  trajectory given the updated distribution  $p(\hat{\omega})$ .  
 817 —The distance between the  $\Xi^*$  trajectory and the  $\hat{\Xi}$  inferred trajectory.

818 However, according to the type of recognition `typeReco` used to estimate the time modulation parameter  
 819  $\alpha$  from the early observations, a visible mismatch between the predicted trajectory and the real one can  
 820 be visible even when a lot of observations are used. This is due to the error of the expectation of this  
 821 time modulation parameter. In Section ??, we present the different methods used to predict the trajectory  
 822 duration. These methods select the most likely  $\hat{\alpha}$  according to different criteria : distance ; maximum  
 823 likelihood ; model of the  $\alpha$  variable<sup>10</sup> ; and average of the observed  $\alpha$  during learning.

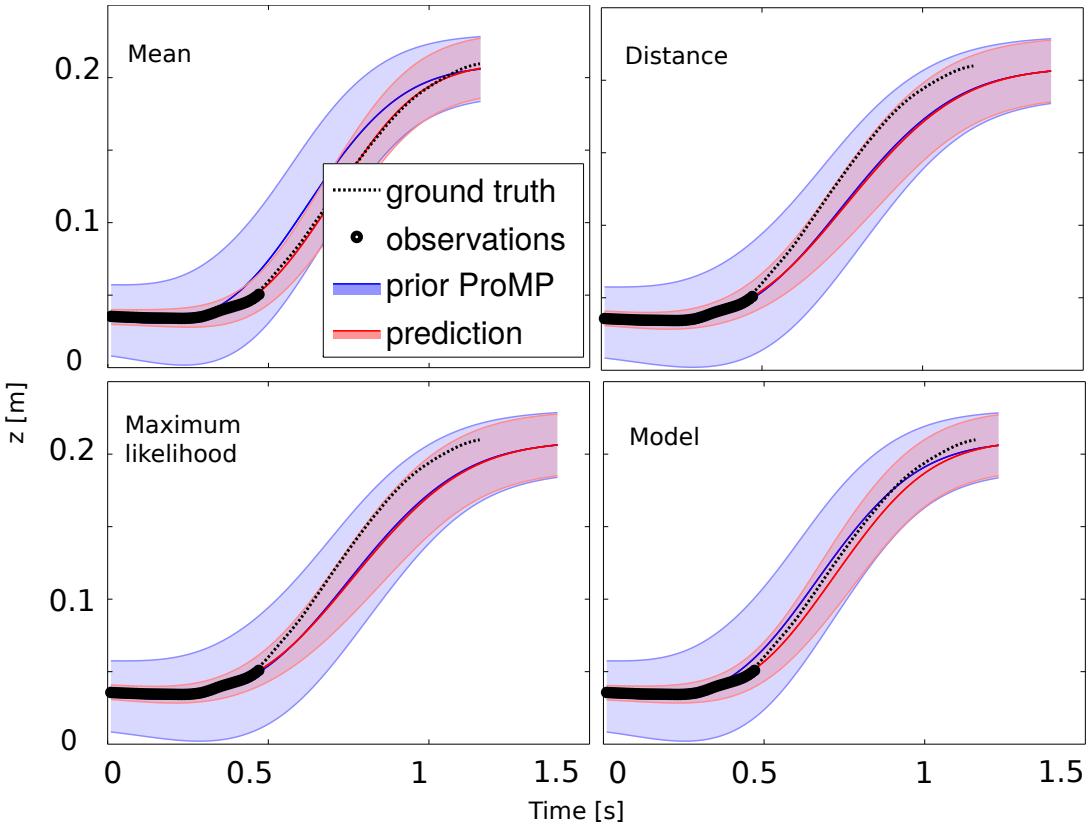
824 Figure ?? shows the different trajectories predicted after  $n_o = 40\%$  of the length of the desired trajectory  
 825 is observed, according to the method used to estimate the time modulation parameter.

10. In this model, we assume that we can find the time modulation parameter according to the global variation of the position during the  $n_o$  first observed data.

	Traj. Samples	$\alpha = \frac{s}{\text{Iterations}}$ , $\bar{s} = 100$	Duration [s]
Min	83	1.2048	0.83
Max	115	0.8696	1.15
Mean	100	1	0.99
Std deviation	9	11.1111	0.09

**Table 2.** information about trajectories' duration

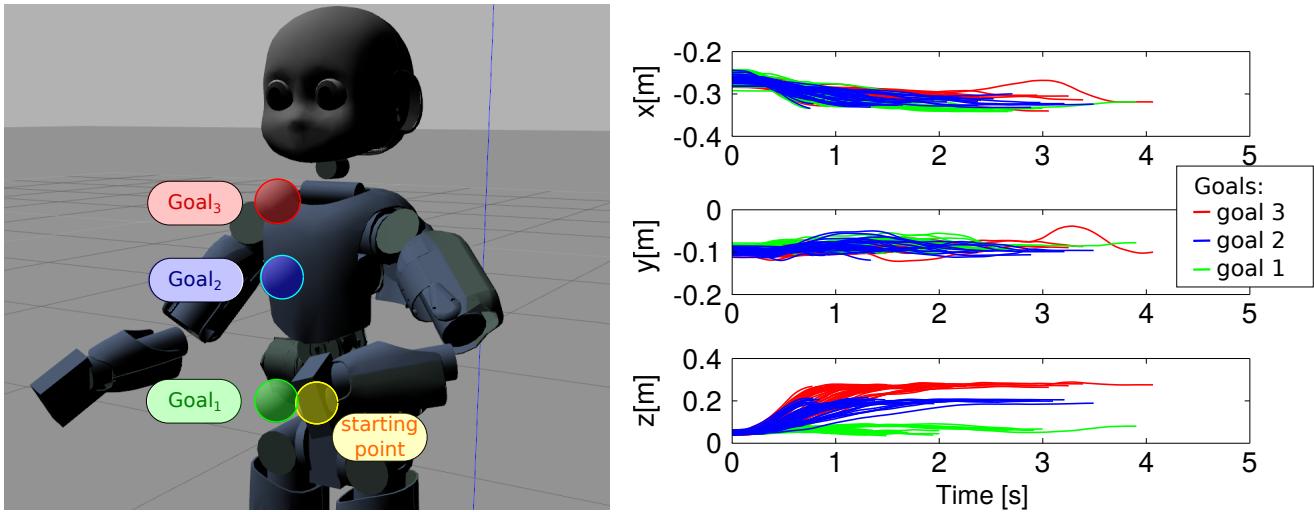
826 On this simple test, where the variation time is little as shown in Table ??, the best result is accomplished  
 827 by the average of time modulation parameter of the trajectories used during the learning step. In more  
 828 complicated cases, when the time modulation varies, the other methods will be preferable as seen in  
 829 Section ??.



**FIGURE 7.** The prediction of the future trajectory given  $n_o = 40\%$  of early observations from the learned ProMP computed for the test dataset (Figure ??). The plots show the predicted trajectory, using different criteria to estimate the best phases of the trajectory : using the average time modulation (Equation ??); using the distance criteria (Equation ??); using the maximum log-likelihood (Equation ??); or using a model of time modulation according to the time variation (Equation ??).

## 7 APPLICATION ON THE SIMULATED ICUB : LEARNING THREE PRIMITIVES

830 In this application, the robot learns multiple ProMPs and is able to predict the future trajectory of a  
 831 movement initiated by the user, assuming the movement belongs to one of the learned primitives. Based on  
 832 this prediction, it can also complete the movement once it has recognized the appropriate ProMP.



**FIGURE 8.** Left : the three colored targets that the robot must reach from the starting point ; the corresponding trajectories are used to learn three primitives representing three skills. Right : the Cartesian position information of the demonstrated trajectories for the three reaching tasks.

833 We simplify the three actions/tasks by reaching three different targets, represented by three colored balls  
 834 in the reachable workspace of the iCub. The example is performed with the simulated iCub in Gazebo.  
 835 Figure ?? shows the three targets, placed at different heights in front of the robot.

836 In Section ?? we formulate the intention recognition problem for the iCub : the problem is to learn  
 837 the ProMP from trajectories consisting of Cartesian positions in 3D<sup>11</sup> and the 6D wrench information  
 838 measured by the robot during the movement. In Section ?? we describe the simulated setup of iCub in  
 839 Gazebo, then in Section ?? we explain how trajectories are recorded, including force information, when we  
 840 use the simulated robot.

#### 841 7.1 Predicting intended trajectories by using ProMPs

The model is based on Section 4, but here we want to learn more information during movements. We record this information in a multivariate parameter vector :

$$\forall t, \xi_t = \begin{bmatrix} X_t \\ F_t \end{bmatrix} \in \mathbb{R}^9$$

842 Were  $X_t \in \mathbb{R}^3$  is the Cartesian position of the robot's end effector and  $F_t \in \mathbb{R}^6$  the external forces and  
 843 moments. In particular,  $F_t$  contains the user's contact forces and moments. Let us call  $\dim(\xi_t) = D$ , the  
 844 dimension of this parameter vector.

The corresponding ProMP model is :

$$\xi_t = \begin{bmatrix} X_t \\ F_t \end{bmatrix} = \Phi_{\alpha t} \omega + \epsilon_t$$

11. Note that in that particular example we do not use the orientation because we want the robot's hand to keep the same orientation during the movement. But in principle, it is possible to learn trajectories consisting of the 6D/7D Cartesian position and orientation of the hand, to make the robot change also the orientation of the hand during the task.

Where  $\omega \in \mathbb{R}^{D \cdot M}$  is the time independent parameter vector,  $\epsilon_t = \begin{bmatrix} \epsilon_{X_t} \\ \epsilon_{F_t} \end{bmatrix} \in \mathbb{R}^D$  is the zero-mean Gaussian i.i.d. observation noise, and  $\Phi_{\alpha t} \in \mathbb{R}^{D \times D \cdot M}$  a matrix of Radial Basis Functions (RBFs) evaluated at time  $\alpha t$ .

Since we are in the multidimensional case, this  $\Phi_{\alpha t}$  block diagonal matrix is defined as :

$$\Phi_{\alpha t} = \text{BlockdiagonalMatrix}(\phi_1, \dots, \phi_D) \in \mathbb{R}^{D \times D \cdot M}$$

845 It is a diagonal matrix of  $D$  Radial Basis Functions (RBFs), where each RBF represents one dimension of  
 846 the  $\xi_t$  vector and it is composed of  $M$  Gaussians, spread over same number of samples  $\bar{s}$ .

#### 847 7.1.1 Learning motion primitives

848 During the learning step of each movement primitive  $k \in [1 : 3]$ , the robot observes different trajectories  
 849  $S_k = \{\Xi_1, \dots, \Xi_n\}_k$ , as presented in Section ??.

850 For each trajectory  $\Xi_{i[1:t_{fi}]} = [\xi_{i(1)}, \dots, \xi_{i(t_{fi})}]^\top$ , it computes the optimal  $\omega_{ki}$  parameter vector that best  
 851 approximates the trajectory.

852 We saw in Section ?? how these computations are done. In our software, we use matrix computation  
 853 instead of  $t_{fi}$  iterative ones done for each observation  $t$  (as in Equation ??). Thus, we have :

$$\omega_{ki} = (\Phi_{\alpha[1:t_{fi}]}^\top \Phi_{\alpha[1:t_{fi}]})^{-1} \Phi_{\alpha[1:t_{fi}]}^\top * \Xi_{i[1:t_{fi}]} \quad (17)$$

854 with  $\Phi_{\alpha[1:t_{fi}]} = [\Phi_{\alpha 1}, \Phi_{\alpha 2} \dots, \Phi_{\alpha t_{fi}}]^\top$ .

#### 855 7.1.2 Prediction of the trajectory evolution from initial observations

856 After having learned the three ProMPs, the robot is able to finish an initiated movement on its own.  
 857 In Sections ??, ?? and ?? we explained how to compute the future intended trajectory given the early  
 858 observations.

859 In this example, we add specificities about the parameters to learn.

860 Let  $\Xi^o = \begin{bmatrix} X^o \\ F^o \end{bmatrix} = [\Xi_1 \dots \Xi_{n_o}]^\top$  be the early observations of the trajectory.

861 First, we only consider the partial observations :  $X^o = [X_1 \dots X_{n_o}]^\top$ . Indeed, we assume the recognition  
 862 of a trajectory is done with Cartesian position information only, because the same movement can be done  
 863 and recognized with different force profiles than the learned ones.

864 From this partial observation  $X^o$ , the robot recognizes the current ProMP  $\hat{k} \in [1 : 3]$ , as seen in  
 865 Section ?? . It also computes an expectation of the time modulation  $\hat{t}_f$ , as seen in Section ?? . Using the  
 866 expected value of the time modulation, it approximates the trajectory speed and its total time duration.

Second, we use the total observation  $\Xi^o$  to update the ProMP, as seen in Section ?? . This computation is  
 based on equation ?? , but here again, we use matrix computation :

$$\left\{ \begin{array}{l} \hat{\mu}_{\omega_k} = \mu_{\omega_k} + K(\Xi^o - \Phi_{\alpha[1:n_o]} \mu_{\omega_k}) \\ \hat{\Sigma}_{\omega_k} = \Sigma_{\omega_k} - K(\Phi_{\alpha[1:n_o]} \Sigma_{\omega_k}) \\ K = \Sigma_{\omega_k} \Phi_{\alpha[1:n_o]}^\top (\Sigma_{\xi^o} + \Phi_{\alpha[1:n_o]} \Sigma_{\omega_k} \Phi_{\alpha[1:n_o]}^\top)^{-1} \end{array} \right.$$

From this posterior distribution, we retrieve the inferred  $\hat{\Xi} = \{\hat{\xi}_1, \dots, \hat{\xi}_{\hat{t}_f}\}$  trajectory, with :

$$\forall t \in [1 : \hat{t}_f], \hat{\xi}_t = \begin{bmatrix} \hat{X}_t \\ \hat{F}_t \end{bmatrix} = \Phi_{\alpha t} \hat{\mu}_{\omega_k}$$

867 Note that the inferred wrenches  $\hat{F}_t$ , here, correspond to the simulated wrenches in Gazebo. In this example  
 868 there is little use for them in simulation ; the interest for predicting also wrenches will be clearer in Section  
 869 ??, with the example on the real robot.

## 870 7.2 Setup for simulated iCub

871 For this application, we created a prototype in Gazebo, where the robot must reach three different targets  
 872 with the help of a human. To interact physically with the robot simulated in Gazebo, we used the Geomagic  
 873 touch, a haptic device.

874 The setup consists of :

- 875 —the iCub simulation in Gazebo, complete with the dynamic information provided by *wholeBody-*  
 876 *DynamicsTree* (<https://github.com/robotology/codyco-modules/tree/master/src/modules/wholeBodyDynamicsTree>) and the Cartesian information provided by *iKin-*  
 877 *CartesianController* ;
- 878 —the Geomagic Touch, installed following the instructions in <https://github.com/inria-larsen/icub-manual/wiki/Installation-with-the-Geomagic-Touch>, which  
 879 not only install the SDK and the drivers of the GeoMagic but also point to how to create the yarp  
 880 drivers for the Geomagic ;
- 881 —a C++ module (<https://github.com/inria-larsen/icubLearningTrajectories/tree/master/CppProgram>) that connects the output command from the Geomagic to the iCub  
 882 in Gazebo, and eventually enables recording the trajectories on a file. A tutorial is included in this  
 883 software.

The interconnection among the different modules is represented in Figure ??, where the Matlab module  
 is not used. The tip of the Geomagic is virtually attached to the end-effector of the robot :

$$x_{geo} \rightarrow x_{icub\_hand}$$

When the operator moves the Geomagic, the position of the Geomagic tip  $x_{geo}$  is scaled (1 : 1 by default)  
 in the iCub workspace as  $x_{icub\_hand}$ , and the Cartesian controller is used to move the iCub hand around a  
 “home” position, or default starting position :

$$x_{icub\_hand} = hapticDriverMapping(x_0 + x_{geo})$$

887 where *hapticDriverMapping* is the transformation applied by the haptic device driver, which essentially  
 888 maps the axis from the Geomagic reference frame to the iCub reference frame. By default, no force  
 889 feedback is sent back to the operator in this application, as we want to emulate the zero-torque control  
 890 mode of the real iCub, where the robot is ideally transparent and not opposing any resistance to the human  
 891 guidance. A default orientation of the hand (“katana” orientation) is set.

### 892 7.3 Data acquisition

893 The dark button of the Geomagic is used to start and stop the recording of the trajectories. The operator  
 894 must click and hold the button during the whole movement and release the button at the end. The trajectory  
 895 is saved on a file called *recordX.txt* for the *X*-th trajectory. The structure of this file is :

```
896
897 1 #time #xgeo #ygeo #zgeo #fx #fy #fz #mx #my #mz #x_icub_hand #y_icub_hand #z_icub_hand
898 2 5.96046e-06 -0.0510954 -0.0127809 -0.0522504 0.284382 -0.0659538 -0.0239582 -0.0162418 -0.0290078 ...
899 -0.0607215 -0.248905 -0.0872191 0.0477496$
```

901 A video showing the iCub's arm moved by an user through the haptic device in Gazebo is available  
 902 in Section ?? (tutorial video). The graph in Figure ?? represents some trajectories recorded with the  
 903 Geomagic, corresponding to lifting the left arm of the iCub.

904 Demonstrated trajectories and their corresponding forces can be recorded directly from the robot, by  
 905 accessing the Cartesian interface and the *wholeBodyDynamicsTree* module.<sup>12</sup>

906 In our project on Github, we provide the acquired dataset with the trajectories for the interested reader who  
 907 wishes to test the code with these trajectories. Two datasets are available at <https://github.com/inria-larsen/icubLearningTrajectories/tree/master/MatlabProgram/Data/> :  
 908 the first dataset called "heights" is composed of three goal-directed reaching tasks, where the targets  
 909 vary in height; the second dataset called "FLT" is composed of trajectories recorded on the real robot,  
 910 whose arms moves forward, to the left and to the top.

912 A matlab script that learns ProMPs with such kinds of datasets is available in the toolbox, called  
 913 *demo\_plotProMPs.m*. It contains all the following steps.

914 To load the first "heights" dataset with the three trajectories, write :

```
915
916 1 t{1} = loadTrajectory('Data/heights/bottom', 'bottom', 'refNb', s_bar, 'nbInput', nbInput, ...
917     'Specific', 'FromGeom');
918 2 t{2} = loadTrajectory('Data/heights/top', 'top', 'refNb', s_bar, 'nbInput', nbInput, 'Specific', ...
919     'FromGeom');
920 3 t{3} = loadTrajectory('Data/heights/middle', 'forward', 'refNb', s_bar, 'nbInput', nbInput, ...
921     'Specific', 'FromGeom');
```

923 Figure ?? shows the three sets of demonstrated trajectories. In the used dataset called "heights", we have  
 924 recorded 40 trajectories per movement primitive.

### 925 7.4 Learning the ProMPs

926 We need to first learn the ProMPs associated to the three observed movements. First, we partition the  
 927 collected dataset into a training set and test dataset for the inference. One random trajectory for the inference  
 928 is used :

```
929
930 1 [train{i},test{i}] = partitionTrajectory(t{i},1,percentData,s_bar);
```

12. In our example, we do not use the simulated wrench information as it is very noisy. However, we provide the code and show how to retrieve it and use it, in case the readers should not have access to the real iCub.

932 The second input parameter specifies that we select only one trajectory, randomly selected, to test the  
 933 ProMPs.

934 Now, we compute the three ProMPs with :

```
935
936 1 promp{1} = computeDistribution(train{1}, M, s_bar,c,h);
937 2 promp{2} = computeDistribution(train{2}, M, s_bar,c,h);
938 3 promp{3} = computeDistribution(train{3}, M, s_bar,c,h)
```

940 We set the following parameters :

941 — $s_{\text{bar}}=100$  : reference number of samples, which we note in this paper as  $\bar{s}$ .  
 942 — $\text{nbInput}(1) = 3$ ;  $\text{nbInput}(2) = 6$  : dimension of the generic vector containing the state of the  
 943 trajectories. It is composed of 3D Cartesian position and 6D forces and wrench information.<sup>13</sup>  
 944 — $M(1) = 5$ ;  $M(2) = 5$  : number of basis functions for each  $\text{nbInput}$  dimension.  
 945 — $c = 1/M$ ;  $h = 1/(M \cdot M)$  : RBF parameters (see Equation ??).  
 946 — $\text{expNoise} = 0.00001$  : the expected data noise. We assume this noise to be very low, since this is a  
 947 simulation.  
 948 — $\text{percentData} = 40$  : this variable specifies the percentage of the trajectory that the robot will be  
 949 observed, before inferring the end.

950 These parameters can be changed at the beginning of the Matlab script.

951 Figure ?? shows the three ProMPs of the reaching movements towards the three targets. To highlight the  
 952 most useful dimension, we only plot the  $z$ -axis Cartesian position. However, each dimension is plotted by  
 953 the Matlab script with :

```
954
955 1 drawRecoverData(t{1}, inputName, 'Specolor','b','namFig', 1);
956 2 drawRecoverData(t{1}, inputName, 'Interval', [4 7 5 8 6 9], 'Specolor','b','namFig',2);
957 3 drawRecoverData(t{2}, inputName, 'Specolor','r','namFig',1);
958 4 drawRecoverData(t{2}, inputName, 'Interval', [4 7 5 8 6 9], 'Specolor','r','namFig',2);
959 5 drawRecoverData(t{3}, inputName, 'Specolor','g','namFig',1);
960 6 drawRecoverData(t{3}, inputName, 'Interval', [4 7 5 8 6 9], 'Specolor','g','namFig',2);
```

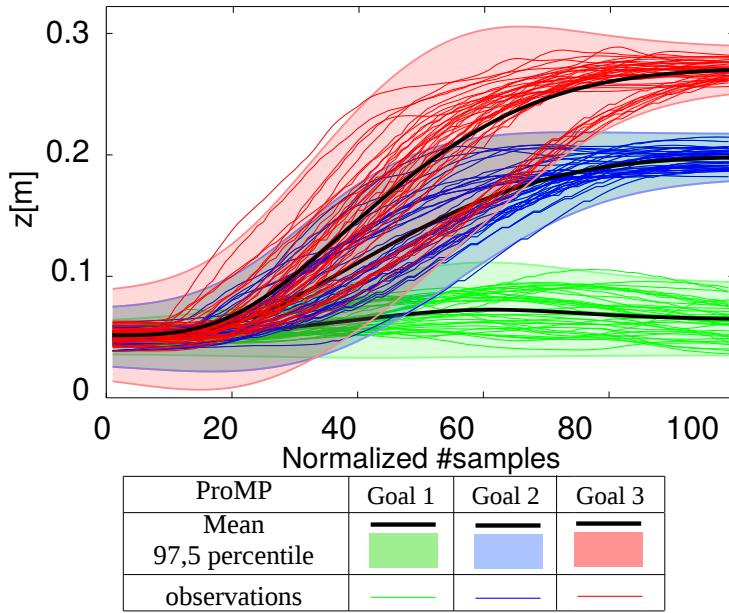
## 962 7.5 Predicting the desired movement

963 Now that we have learned the different ProMPs, we can predict the end of a trajectory according to the  
 964 early observation  $n_o$ . This number is computed from the variable  $\text{percentData}$  written at the beginning  
 965 of the trajectory by :  $n_o = \lfloor \frac{\text{percentData}}{100} * t_{fi} \rfloor$ , where  $i$  is the index of the test-trajectory

966 To prepare the prediction, the model the time modulation of each trajectory is computed with :

```
967
968 1 w = computeAlpha(test.nbData,t, nbInput);
969 2 promp{1}.w_alpha= w{1};
970 3 promp{2}.w_alpha= w{2};
971 4 promp{3}.w_alpha= w{3};
```

13. Note that in our example wrenches are separated from the Cartesian position, because they are not used to recognize the index of the current ProMP during the inference.



**FIGURE 9.** The Cartesian position in the  $z$ -axis of the three ProMPs corresponding to reaching three targets. There are 39 trajectory demonstrations per each ProMP with  $M=5$  basis functions,  $c = \frac{1}{M}$ ,  $h = \frac{1}{M^2}$  and  $\bar{s} = 100$ .

973 This model relies on the global variation of Cartesian position during the first  $n_o$  observations. The model's  
 974 computations are explained in Section ??.

975 Now, to estimate the time modulation of the trajectory, call the function :

```
976
977 1 [alphaTraj,type, x] = inferenceAlpha(promp,test{1},M,s_bar,c,h,test{1}.nbData, expNoise, 'MO');
```

979 Where `alphaTraj` contains the estimated time modulation  $\hat{\alpha}$  and `type` gives the index of the recognized  
 980 ProMP. The last parameter `x` is used for debugging purposes.

981 Using this estimation of the time modulation, the end of the trajectory is inferred with :

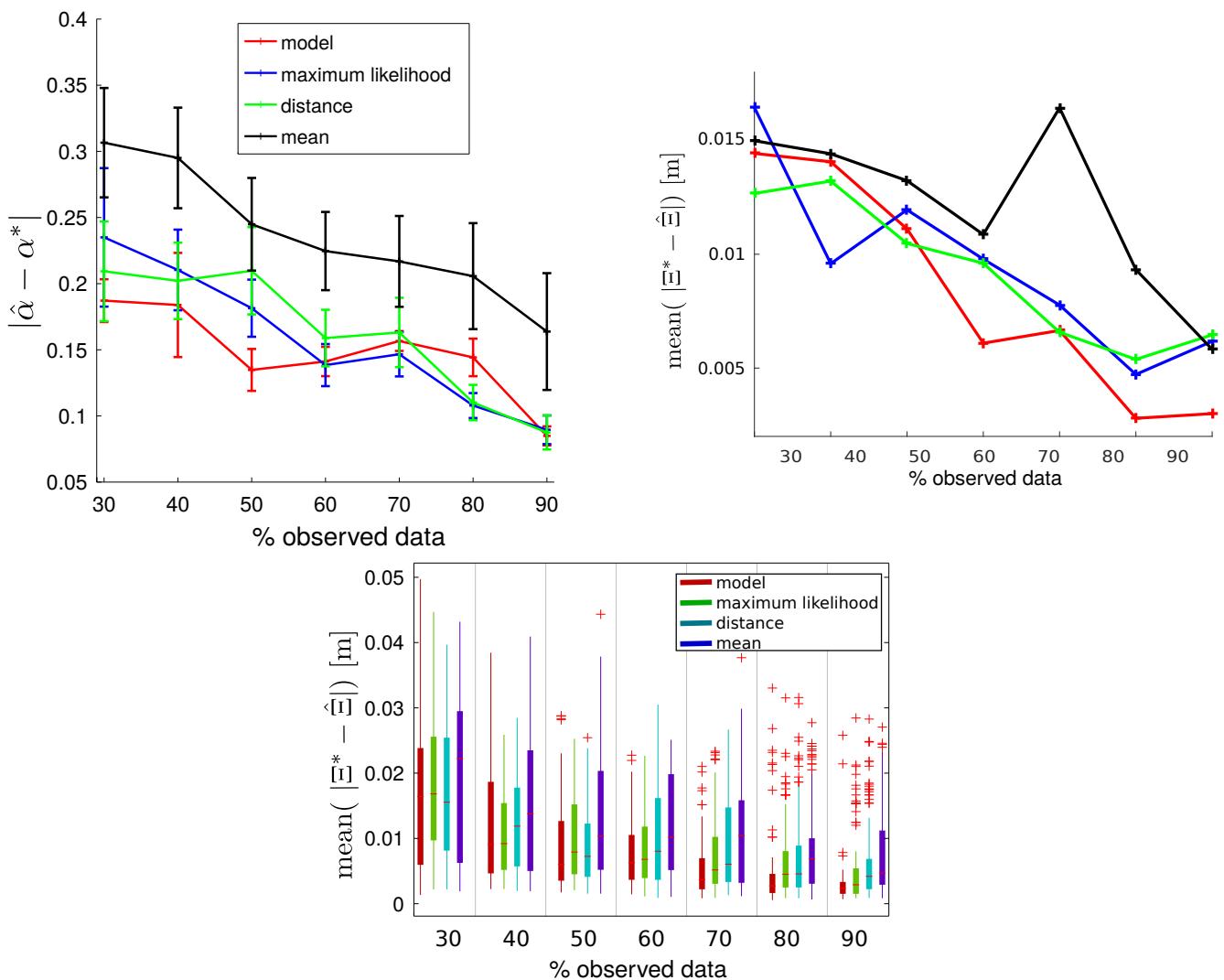
```
982
983 1 infTraj = inference(promp, test{1}, M, s_bar, c, h, test{1}.nbData, expNoise, alphaTraj);
```

985 As shown in the previous example, the quality of the prediction of the future trajectory depends on the  
 986 accuracy of the time modulation estimation. This estimation is computed by calling the function :

```
987
988 1 %Using the model:
989 2 [alphaTraj,type, x] = inferenceAlpha(promp,test{1},M,s_bar,c,h,test{1}.nbData, expNoise, 'MO');
990 3 %Using the distance criteria:
991 4 [alphaTraj,type, x] = inferenceAlpha(promp,test{1},M,s_bar,c,h,test{1}.nbData, expNoise, 'DI');
992 5 %Using the Maximum likelihood criteria:
993 6 [alphaTraj,type, x] = inferenceAlpha(promp,test{1},M,s_bar,c,h,test{1}.nbData, expNoise, 'ML');
994 7 %Using the mean of observed temporal modulation during learning:
995 8 alphaTraj = (promp{1}.mu_alpha + promp{2}.mu_alpha + promp{3}.mu_alpha) /3.0;
```

## 997 7.6 Predicting the time modulation

998 In Section ?? we presented four main methods for estimating the time modulation parameter, discussing  
 999 why this is crucial for a better estimation of the trajectory. Here, we compare the methods on the three  
 1000 goals experiment. We recorded 40 trajectories for each movement primitive, for a total of 120 trajectories.  
 1001 After having computed the corresponding ProMPs, we tested the inference by providing early observations  
 1002 of a trajectory that the robot must finish. For that purpose, it recognizes the correct ProMP among the three  
 1003 precedently learned (see Section ??) and then it estimates the time modulation parameter  $\hat{\alpha}$ . Figure ??  
 1004 represents the average error of the  $\hat{\alpha}$  during inference for 10 trials according to the number of observations  
 1005 (from 30% to 90% of observed data) and according to the used method. These methods are the ones we have  
 1006 just presented before that we called mean (Equation ??), maximum likelihood (Equation ??), minimum  
 1007 distance (Equation ??) or model (Equation ??). Each time, the tested trajectory is chosen randomly from  
 1008 the data set of observed trajectories (of course, the test trajectory does not belong to the training set, so  
 it was not used in the learning step). The method that takes the average of  $\alpha$  observed during learning is



**FIGURE 10.** (top left) Error of  $\alpha$  estimation ; (top right and bottom) error of trajectory prediction according to the number of known data and the method used. We executed 10 different trials for each case.

1009 taken as comparison (in black). We can see that other methods are more accurate. **The maximum likelihood**

1011 is increasingly more accurate, as expected. The fourth method (*model*) that models the  $\alpha$  according to the  
1012 global variation of the trajectory's positions during the early observations is the best performing when  
1013 the portion of observed trajectory is small (e.g., 30%-50%). Since it is our interest to predict the future  
1014 trajectory as early as possible, we adopted the *model* method for our experiments.

## 8 APPLICATION ON THE REAL ICUB

1015 In this section we present and discuss two experiments with the real robot iCub.

1016 In the first, we take inspiration from the experiment of the previous Section ??, where the “tasks” are  
1017 exemplified by simple point-to-point trajectories demonstrated by a human tutor. In this experiment we  
1018 explore how to use wrench information and use known demonstrations as ground truth, to evaluate the  
1019 quality of our prediction.

1020 In the second experiment, we set up a more realistic collaborative scenario, inspired by collaborative  
1021 object sorting. In such applications, the robot is used to lift an object (heavy, or dangerous, or that the  
1022 human cannot manipulate, as for some chemicals or food), the human inspects the object and then decides  
1023 if it is accepted or rejected. Depending on this decision, the object goes on a tray or bin in front of the  
1024 robot, or on a bin located on the robot side. Dropping the objects in two cases must be done in a different  
1025 way. Realizing this application with iCub is not easy, as iCub cannot lift heavy objects and has a limited  
1026 workspace. Therefore, we simplify the experiment with small objects and two bins. The human simply  
1027 starts the robots movement with physical guidance, and then the robot finishes the movement on its own. In  
1028 this experiment the predicted trajectories are validated on-the-fly by the human operator.

1029 In a more complex collaborative scenario, tasks could be elementary tasks such as pointing, grasping,  
1030 reaching, manipulating tools (the type of task here is not important, as long as it can be represented by a  
1031 trajectory).

### 1032 8.1 Three simple actions with wrench information

1033 Task trajectories, in this example, have both position and wrench information. In general, it is a good idea  
1034 to represent collaborative motion primitives in terms of both position and wrenches, as this representation  
1035 enables using them in the context of physical interaction. Contrarily to the simulated experiment, here the  
1036 inferred wrenches  $\hat{F}_t$  correspond to the wrenches the robot should perceive if the partner was manually  
1037 guiding the robot to perform the entire movement : indeed, these wrenches are computed from the  
1038 demonstrations used to learn the primitive. The predicted wrenches can be used in different ways, depending  
1039 on the application. For example, if the partner breaks the contact with the robot, the perceived wrenches  
1040 will be different. If the robot is not equipped with tactile or contact sensors, this information can be used  
1041 by the robot to “perceive” the contact breaking and interpret it, for example, as the sign that the human  
1042 wants the robot to continue the task on its own. Another use for the demonstrated wrenches is for detecting  
1043 abnormal forces while the robot is moving : this use can have different applications, from adapting the  
1044 motion to new environment to automatically detecting new demonstrations. Here, they are simply used to  
1045 detect when the partner breaks the contact with the robot, and the latter must continue the movement on its  
1046 own.

1047 In the following, we present how to realize the experiment for predicting the user intention with the real  
1048 iCub, using our software. The robot must learn three task trajectories represented in Figure ???. In red, the  
1049 first trajectory goes from an initial position **in front of the robot** to its left (**task A**). In **green**, the second

1050 trajectory goes from the same initial position to the top (**task C**). In **blue**, the last trajectory goes from the  
 1051 top position to the position on the left (**task B**).

1052 To provide the demonstrations for the tasks, the human tutor used three visual targets shown on the  
 1053 iCub\_GUI, a basic module of the iCub code that provides a real-time synthetic and augmented view of the  
 1054 robot status, with arrows for the external forces and colored objects for the targets. One difficulty for novice  
 1055 users of iCub is to be able to drive the robot's arm making it perform desired complex 3D trajectories ?,  
 1056 but after some practice in moving the robot's arm the operator recorded all the demonstrations. We want to  
 1057 highlight that having variations in the starting or ending points of the trajectories is not at all a problem,  
 1058 since the ProMPs are able to deal with this variability.

1059 We will see that by using the ProMPs method and by learning the end-effector Cartesian position, the  
 1060 robot will be able to learn distributions over trajectories, recognize when a movement belongs to one of  
 1061 these distributions and infer the end of the movement.

1062 In this experiment, the robot received 10 demonstrated trajectories per movement primitive, all provided by  
 1063 the same user. We recorded the Cartesian end-effector position and the wrenches of the robot's left arm. Data  
 1064 are retrieved using the function `used_functions/retrieveRealDataWithoutOrientation.m`. The output parameters of this function are three objects (one per ProMP) that contain all the required  
 1065 information to learn the ProMPs.

1067 In this function, the wrench information are filtered using a Matlab function called `envelope.m`<sup>14</sup> : for  
 1068 each trajectory `traj` and its subMatrix  $M = F([1:t])$  :

```
1069
1070 1 [envHigh, envLow] = envelope(traj.M);
1071 2 traj.M = (envHigh+envLow)/2;
```

1073 These three objects are saved in '`Data/realIcub.mat`'. A Matlab script called `demo_plotProMPsIcub.m`  
 1074 recovers these data, using the function `load('Data/realIcub.mat')`. This script follows the same  
 1075 organization as the ones we previously explained in Sections ?? and ?. By launching this script, the  
 1076 recovered data are plotted first.

1077 Then, the ProMPs are computed and plotted, as presented in Figure ?. In this figure, the distributions  
 1078 are visibly overlaid :

1079 —during the whole trajectories duration for the wrench information ;  
 1080 —during the 40% first samples of the trajectories for the Cartesian position information.

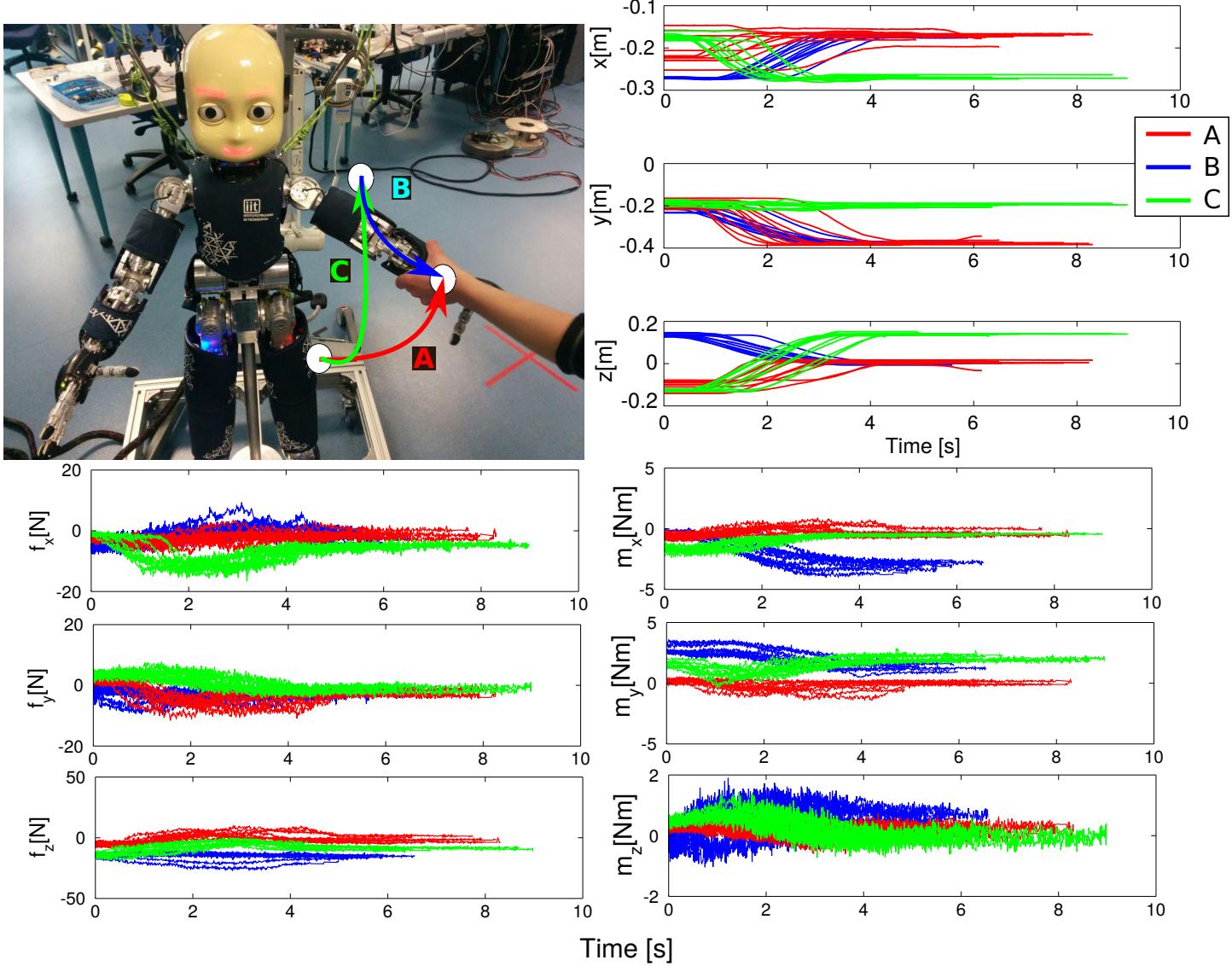
1081 After this learning step, the user chooses which ProMP to test. Using a variable that represents the  
 1082 percentage of observed data to be used for the inference, the script computes the number of early observa-  
 1083 tions  $n_o$ <sup>15</sup> that will be measured by the robot. Using this number, the robot models the time modulation  
 1084 parameter  $\alpha$ <sup>16</sup> of each ProMP, as explained in Section ?. Using this model, the time modulation of the  
 1085 test trajectory is estimated and the corresponding ProMP is identified.

1086 Then, the inference of the trajectory's target is performed. Figure ?? represents the inference of the three  
 1087 tested trajectories when wrench information is not used by the robot to infer the trajectory. **To realize this**  
 1088 **figure, with the comparison between the predicted trajectory and the ground truth, we applied our algorithm**

14. Information about this function can be found here : <https://fr.mathworks.com/help/signal/ref/envelope.html?requestedDomain=www.mathworks.com>

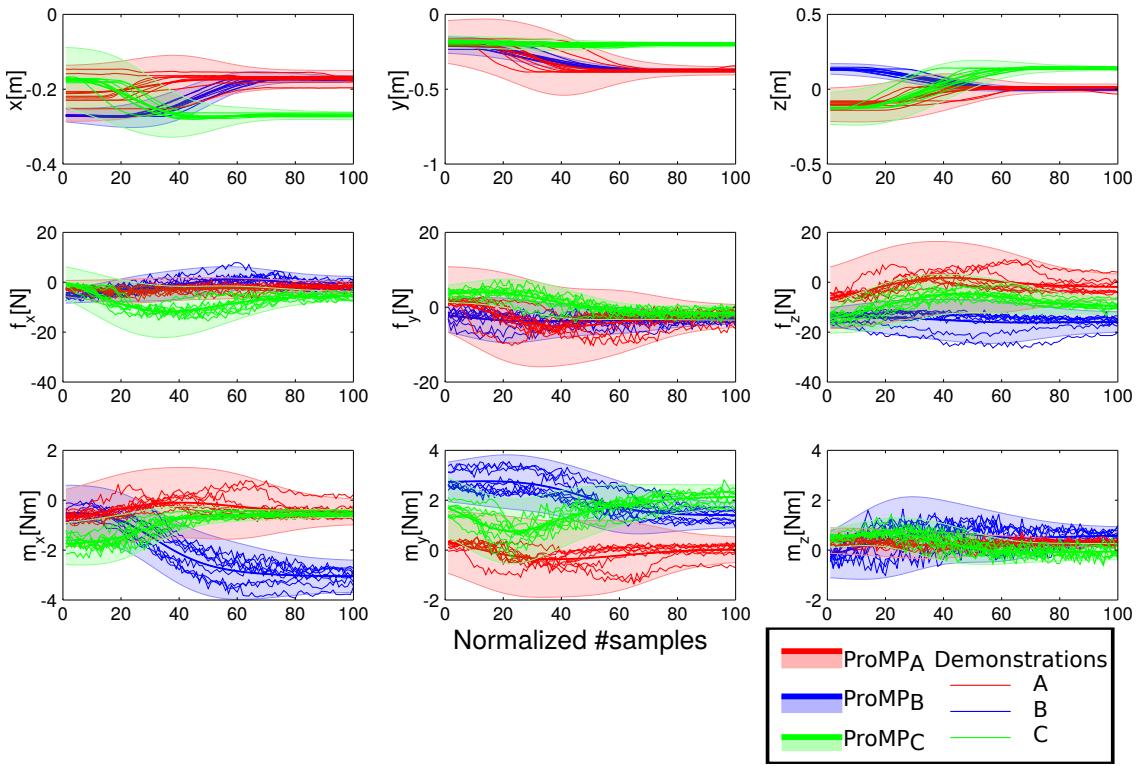
15.  $n_o$  is not the same for each trajectory test, because it depends on the total duration of the trajectory to be inferred.

16. Since the model uses the  $n_o$  parameter, its computation cannot be performed before this step.



**FIGURE 11.** Top left : the iCub and the visualization of the three targets in its workspace, defining the three tasks A-B-C. Top right : Cartesian position information of the demonstrated trajectories for the three tasks. Bottom left and right : wrench (force and moment) information of the demonstrated trajectories.

1089 offline. In fact, it is not possible at time  $t$  to have the ground truth of the trajectory intended by the human  
 1090 from  $t + 1$  to  $t_f$  : even if we would tell to the human in advance the goal that he/she must reach for, the  
 1091 trajectory to reach that goal could vary. So, for the purpose of these figures and comparisons with the  
 1092 ground truth, we show here the offline evaluation : we select one demonstrated task trajectory from the  
 1093 test set (not the training set used to learn the ProMP) as ground truth, and imagine that this is the intended  
 1094 trajectory. In Figure ??, the ground truth is shown in black, whereas the portion of this trajectory that is fed  
 1095 to the inference, and that corresponds to the “early observations”, is represented with bigger black circles.  
 1096 We can see that the inference of the Cartesian position is correct, although we can see an error of about 1  
 1097 second of the estimated duration time for the last trial. Also, the wrench inference is not accurate. We can  
 1098 assume that it is : because the robot infers the trajectory using only position information without wrench  
 1099 information, or because the wrenches’ variation is not correlated to the position variation. To improve this  
 1100 result, we can make the inference using wrench in addition to Cartesian position information, as shown



**FIGURE 12.** The ProMPs learned by the robot from the demonstrations of Figure ??.

in Figure ???. We can see in this Figure that the estimation of the trajectory's duration is accurate. The disadvantage is that the inference of the Cartesian position is less accurate because the posterior distribution computation makes a trade-off between fitting Cartesian position and wrench early observations. Moreover, to allow a correct inference using wrench information, the noise expectation must be increased to consider forces.<sup>17</sup>

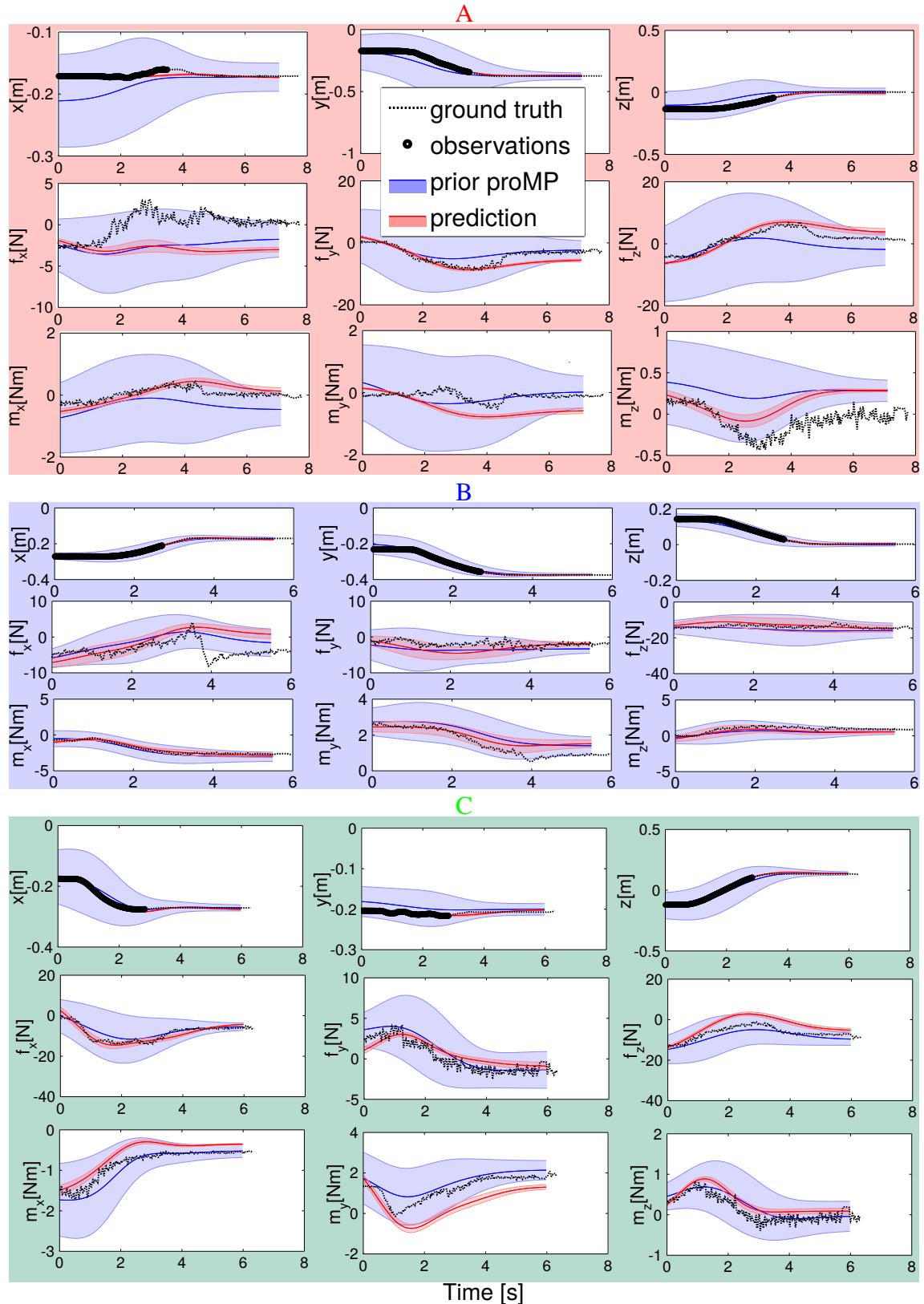
To confirm these results, we analyzed the trajectory inference and  $\alpha$  estimation considering different percentages of each trajectory as observed data (30 to 90%). For each percentage, we performed 20 tests, with and without force information.

In Figure ???, each box-plot represents errors for 20 tests. On the top, the error criterion is the average distance between the inferred trajectory and the real one. We can see that the inference of Cartesian end-effector trajectory is more accurate without wrench information. On the bottom, the error criterion is the distance between the estimated  $\alpha$  and the real one. We can see that using wrench information, the estimation of the  $\alpha$  is more accurate. Thus, these two graphs confirm what we assumed from Figures ?? and ??.

Median, mean and variance of the prediction errors, computed with the normalized root-mean-square error (NRMSE) are reported in Table ???. The prediction error for the time modulation is a scalar :  $|\alpha_{\text{prediction}} - \alpha_{\text{real}}|$ . The prediction error for the trajectory is computed by the NRMSE of  $|\Xi_{\text{prediction}} - \Xi_{\text{real}}|$ .

In future upgrades for this application, we will probably use the wrench information only to estimate the time modulation parameter  $\alpha$ , to have both the best inference of the intended trajectory and the best

17. In future versions, we will include the possibility to have different noise models for the observations, e.g. we will have  $\Sigma_{\Xi}^o = \begin{bmatrix} \Sigma_X & 0 \\ 0 & \Sigma_F \end{bmatrix}$ . We will therefore set a bigger covariance for the wrench information than for the position information.



**FIGURE 13.** The prediction of the future trajectory from the learned ProMPs computed from the position information for the 3-targets dataset on the real iCub (Figure ??) after 40% of observations.

With wrenches					
% of observed data ( $\simeq$ n. of samples)		30( $\simeq$ 180)	50( $\simeq$ 300)	70( $\simeq$ 419)	90( $\simeq$ 539)
Prediction error of time modulation	median	2.26e-08	1.35e-08	3.61e-09	8.95e-09
	mean	4.26e-02	7.81e-09	2.19e-08	2.09e-08
	variance	1.08e-02	1.09e-16	5.44e-16	2.24e-16
Prediction error for the trajectory (NRMSE) [m]	median	2.73e-01	5.51e-01	4.52e-01	5.38e-01
	mean	8.11e-01	5.86e-01	5.29e-01	3.42e-01
	variance	7.45e-01	1.36e-01	7.74e-02	2.93e-02
Computation time [s]	mean	0.25	0.74	1.92	3.59
	variance	0.01	0.27	2.77	4.81

Without wrenches					
% of observed data ( $\simeq$ n. of samples)		30( $\simeq$ 180)	50( $\simeq$ 300)	70( $\simeq$ 419)	90( $\simeq$ 539)
Prediction error of time modulation	median	1.19e-02	1.76e-02	1.74e-02	1.62e-02
	mean	2.81e-02	1.92e-02	2.45e-02	1.43e-02
	variance	9.51e-04	1.00e-04	3.37e-04	1.82e-04
Prediction error for the trajectory (NRMSE) [m]	median	4.53e-02	4.73e-02	7.20e-02	6.20e-02
	mean	1.56e-01	7.36e-02	5.75e-02	4.29e-02
	variance	5.04e-02	1.80e-03	1.80e-03	6.0e-04
Computation time [s]	mean	6.89e-02	8.49e-02	1.43e-01	2.58e-01
	variance	2.83e-03	1.19e-03	7.31e-03	2.45e-03

**Table 3.** Mean and stdev of the NRMSE of the prediction errors plotted in Figure ??, and average time for computing both predictions (time modulation and trajectory via update of the posterior distribution). The computation were performed in Matlab, on a single core (no parallelization).

1120 estimation of the time modulation parameter to combine the benefits of inference with and without wrench  
1121 information.

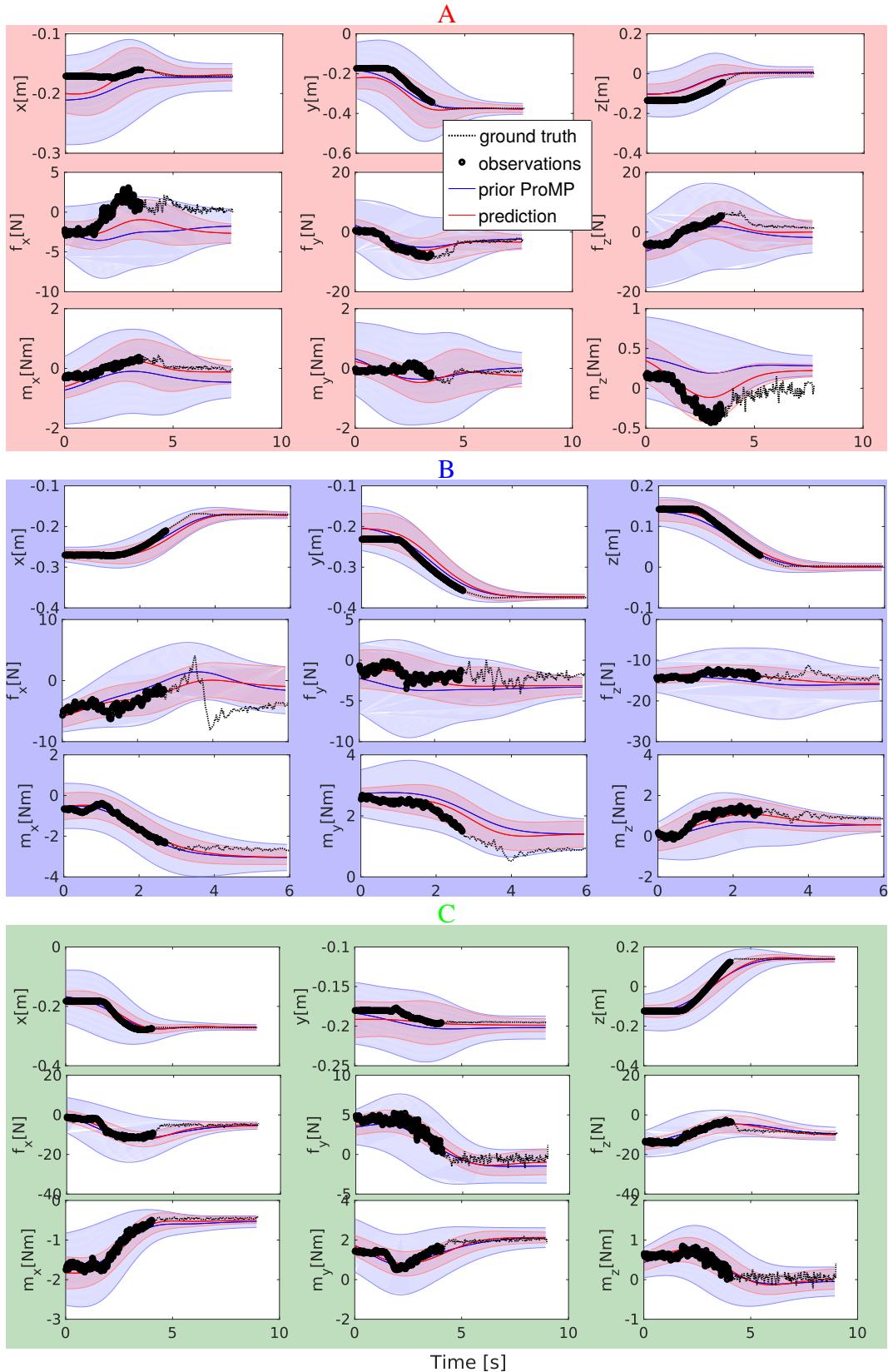
1122 Table ?? also reports the average time for computing the prediction of both time modulation and posterior  
1123 distribution. The computation were performed in Matlab, on a single core laptop (no parallelization). While  
1124 the computation time for the case “without wrenches” is fine for real-time application, using the wrench  
1125 information delays the prediction and represents a limit for real-time applications if fast decisions have to  
1126 taken by the robot. Computation time will be improved in the future works, with the implementation of the  
1127 prediction in an iterative way.

## 1128 8.2 Collaborative object sorting

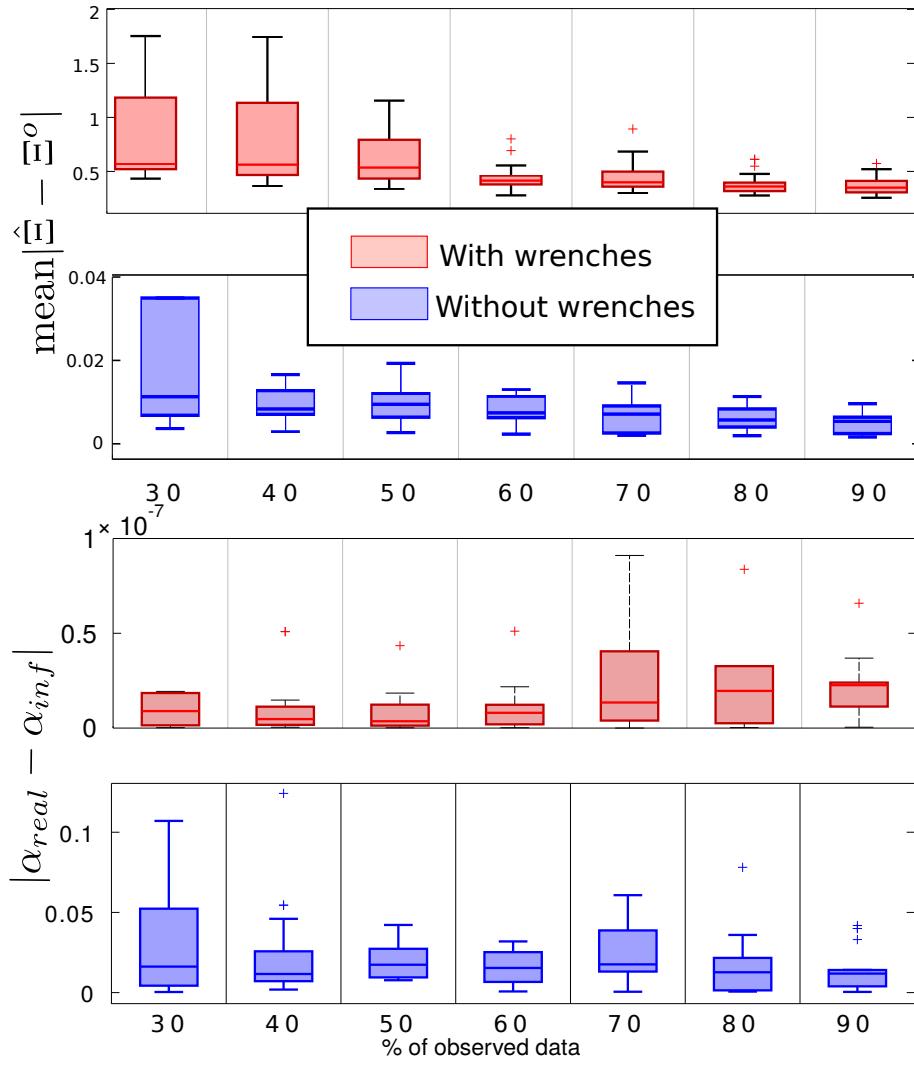
We realized another experiment with iCub, where the robot has to sort some objects in different bins (see Figure ??). We have two main primitives : one for a bin located on the left of the robot, and one for the bin to the front. Dropping the object is done at different heights, with a different gesture that also has a different orientation of the hand. For this reason, the ProMP model consists of the Cartesian position of the hand  $X_t = [x_t, y_t, z_t] \in R^3$  and its orientation  $A_t \in R^4$ , expressed as a quaternion :

$$\xi_t = \begin{bmatrix} X_t \\ A_t \end{bmatrix} = \Phi_{at} \omega + \epsilon_t$$

1129 As in the previous experiment, we first teach the robot the primitives by kinesthetic teaching, with a dozen  
1130 of demonstrations. Then we start the robot movement : the human operator physically grabs the robot’s  
1131 arm and start the movement towards one of the bins. The robot’ skin is used twice. First, to detect the



**FIGURE 14.** The prediction of the future trajectory from the learned ProMPs computed from the position and wrench information for the 3-targets dataset on the real iCub (Figure ??) after 40% of observations.

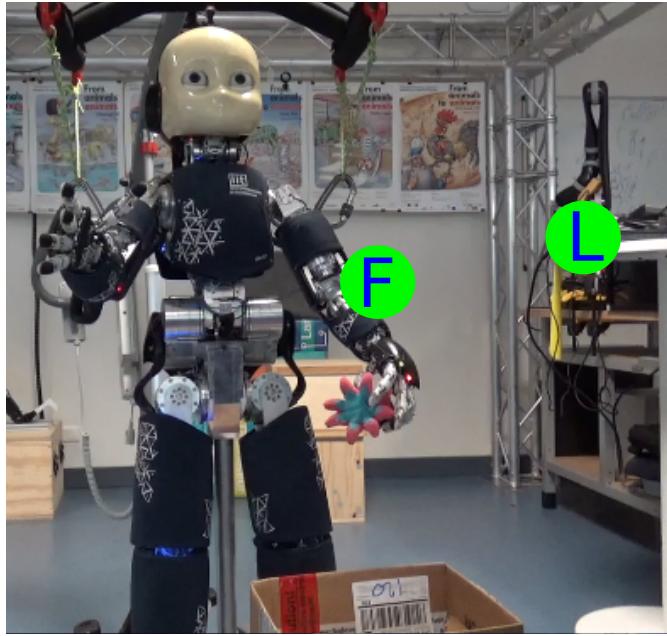


**FIGURE 15.** Trajectory prediction error (top) and time modulation estimation error (bottom) of the future trajectory with and without wrench information, for the 3-targets dataset on the real iCub (Figure ??) with respect to the number of observed data points.

1132 contact when the human grabs the arm, which marks the beginning of the observations. Second, when the  
 1133 human breaks the contact with the arm, which marks the end of the observations. Using the first portion  
 1134 of the observed movement, the robot recognize the current task that is being executed, predicts the future  
 1135 movement that is intended by the human and then executes it on its own. In the video (see link in Section  
 1136 ??) we artificially introduced a pause to let the operator “validate” the predicted trajectory, using a visual  
 1137 feedback on the iCubGui. Figure ?? shows one of the predictions made by the robot after the human  
 1138 releases the arm. Of course in this case we do not have a “ground truth” for the predicted trajectory, only a  
 1139 validation of the predicted trajectory by the operator.

## 9 VIDEOS

1140 We recorded several videos that complement the tutorials. The videos are presented in the github repository  
 1141 of our software : <https://github.com/inria-larsen/icubLearningTrajectories/tree/master/Videos>.



**FIGURE 16.** The second experiment with the robot : iCub must sort the objects into two bins, guided by the human. If the object is good, the robot has to put the object in the “front bin” ; if the object is not good, the robot has to put the object in the “left bin”. The gestures to put the objects into the two bins are different. To simplify, the drop locations for the two bins are represented by the targets F and L. After inspecting the object, the human drives the robot towards the front of the left.

## 10 DISCUSSION

1143 While we believe that our proposed method is principled and has several advantages for predicting  
 1144 intention in human-robot interaction, there are numerous improvements that can be done. Some will be  
 1145 object of our future works.

1146 **Improving the estimation of the time modulation** - Our experiments showed that estimating the time  
 1147 modulation parameter  $\alpha$ , determining the duration of the trajectory, greatly improves the prediction of the  
 1148 trajectory in terms of difference with the human intended trajectory (*i.e.*, our ground truth). We proposed  
 1149 four simple methods in Section ??, and in the iCub experiment we showed that the method that maps the  
 1150 time modulation and the variation of the trajectory in the first  $n_o$  observations provides a good estimate  
 1151 of the time modulation  $\alpha$  for our specific application. However, it is an *ad hoc* model that cannot be  
 1152 generalized to all possible cases. Overall, the estimation of the time modulation (or phase) can be improved.  
 1153 For example, ? used Dynamic Time Warping, while ? proposed to improve the estimation by having local  
 1154 estimations of the speed in the execution of the trajectory, to comply with cases where the velocity of  
 1155 task trajectory may not be constant throughout the task execution. In the future, we plan to explore more  
 1156 solutions and integrate them into our software.

1157 **Improving prediction** - Another point that needs further investigation and improvement is how to  
 1158 improve the prediction of the trajectories exploiting different information. In our experiment with iCub,  
 1159 we improved the estimation of the time modulation using position and wrench information ; however,  
 1160 we observed that the noisy wrench information does not help in improving the prediction of the position  
 1161 trajectory. One improvement is to certainly exploit more information from the demonstrated trajectories,  
 1162 such as estimating the different noise of every trajectory component and exploiting this information to  
 1163 improve the prediction. **Another possible improvement would consist in using contextual information about**

the task trajectories. Finally, it would be interesting to try to identify automatically the characteristic such as velocity profiles or accelerations, that are renown to play a key role in attributing intentions to human movements. For example, in goal-directed tasks such as reaching, the arm velocity profile and the hand configuration are cues that helps us detect intentions. Extracting these cues automatically, leveraging the estimation of the time modulation, would probably improve the prediction of the future trajectory. This is a research topic on its own, outside the scope of this paper, with strong links to human motor control.

**Continuous prediction** - In Section ?? we described how to compute the prediction of the future trajectory after recognizing the current task. However, we did not explore what happens if the task recognition is wrong : this may happen, if there are two or more task with a similar trajectory at the beginning (*e.g.*, moving the object from the same initial point towards one of four possible targets), or simply because there were not enough observed points. So what happens if our task recognition is wrong ? How to re-decide on a previously identified task ? And how should the robot decide if its current prediction is finally correct (in statistical terms) ? While implementing a continuous recognition and prediction is easy with our framework (one has simply to do the estimation at each time step), providing a generic answer to these question may not be straightforward. Re-deciding about the current task implies also changing the prediction of the future trajectory. If the decision does not come with a confidence level greater than a desired value, then the robot could face a stall : if asked to continue the movement but unsure about the future trajectory, should it continue or stop ? The choice may be application-dependent. We will address these issues and the continuous prediction in future works.

**Improving computational time** - Finally, we plan to improve the computational time for the inference and the portability of our software by porting the entire framework in C++.

**Learning tasks with objects** - In many collaborative scenarios, such as object carrying and cooperative assembly, the physical interaction between the human and the robot is mediated by objects. In these cases, if specific manipulations must be done on the objects, our method still applies, but not only on the robot. It must be adapted to the new “augmented system” consisting of robot and object. Typically, we could image a trajectory for some frame or variable or point of interest for the object, and learn the corresponding task. Since ProMPs support multiplication and sequencing of primitives, we could exploit the properties of the ProMPs to learn the joint distribution of the robot task trajectories and the object task trajectories.

## 11 CONCLUSION

In this paper we propose a method for predicting the intention of a user physically interacting with the iCub in a collaborative task. We formalize the intention prediction as predicting the target and “future” intended trajectory from early observations of the task trajectory, modeled by Probabilistic Movement Primitives (ProMPs). We use ProMPs because they capture the variability of the task, in the form of a distribution of trajectories coming from several demonstrations of the task. From the information provided by the ProMP, we are able to compute the future trajectory by conditioning the ProMP to match the early observed data points. Additional features of our method are the estimation of the duration of the intended movement, the recognition of the current task among the many known in advance, and multimodal prediction.

Section 4 described the theoretical framework, whereas Sections ??–?? presented the open-source software that provides the implementation of the proposed method. The software is available on [github](#), and tutorials and videos are provided.

1204 We used three examples of increasing complexity to show how to use our method for predicting the  
 1205 intention of the human in collaborative tasks, exploiting the different features. We presented experiments  
 1206 with both the real and the simulated iCub. In our experiments, the robot learns a set of motion primitives  
 1207 corresponding to different tasks, from several demonstrations provided by a user. The resulting ProMPs are  
 1208 the prior information that is later used to make inferences about human intention. When the human starts a  
 1209 new collaborative task, the robot uses the early observations to infer which task the human is executing, and  
 1210 predicts the trajectory that the human intends to execute. When the human releases the robot, the predicted  
 1211 trajectory is used by the robot to continue executing the task on its own.

1212 In Section ?? we discussed some current issues and challenges for improving the proposed method and  
 1213 make it applicable to a wider repertoire of collaborative human-robot scenarios. In our future works, our  
 1214 priority would be in accelerating the time for computing the inference, and finding a principled way to  
 1215 do continuous estimation, by letting the robot re-decide continuously about the current task and future  
 1216 trajectory.

## 1217 Appendices

### A DETAIL OF THE INFERENCE FORMULA

1218 In this appendices, we explain how to obtain the inference formulae used in our software. First, let us  
 1219 recall the Marginal and Conditional Gaussians laws<sup>18</sup> Given a marginal Gaussian distribution for  $x$  and a  
 1220 Gaussian distribution for  $y$  given  $x$  in the form :

$$p(x) = \mathcal{N}(x|\mu, \Delta^{-1}) \quad p(y|x) = \mathcal{N}(Ax + b, L^{-1}) \quad (18)$$

1221 the marginal distribution of  $y$  and the conditional distribution of  $x$  given  $y$  are given by

$$p(y) = \mathcal{N}\left(y|A\mu + b, L^{-1} + A\Delta^{-1}A^\top\right) \quad (19)$$

$$p(x|y) = \mathcal{N}\left(x|\Sigma A^\top L(y - b) + \Delta\mu, \Sigma\right) \quad (20)$$

where

$$\Sigma = (\Delta + A^\top L A)^{-1}$$

1222 We computed the parameter's marginal Gaussian distribution from the set of observed movements :

$$p(\omega) \sim \mathcal{N}(\mu_\omega, \Sigma_\omega) \quad (21)$$

1223 From the model  $\Xi_t = \Phi_{[1:t_f]}\omega + \epsilon_\Xi$ , we have the conditional Gaussian distribution for  $\Xi$  given  $\omega$  :

$$p(\Xi|\omega) = \mathcal{N}(\Xi|\Phi_{[1:t_f]}\omega, \Sigma_\Xi) \quad (22)$$

---

18. From the book ?

1224 Then, using Equation ?? :

$$p(\Xi) = \mathcal{N}(\Xi | \Phi_{[1:t_f]} \mu_\omega, \Sigma_\Xi + \Phi_{[1:t_f]} \Sigma_\omega \Phi_{[1:t_f]}^\top) \quad (23)$$

1225 that is the prior distribution of the ProMP.

1226 Let  $\Xi^o = [\xi^o(1), \dots, \xi^o(n_o)]$  be the first  $n_o$  observations of the trajectory to predict with the first  $n_o$   
1227 elements corresponding to the early observations.

1228 Let  $\hat{\Xi} = [\xi^o(1), \dots, \xi^o(n_o), \hat{\xi}(n_o + 1), \dots, \hat{\xi}(t_{\hat{f}})]$  be the whole trajectory we have to predict. We can  
1229 then compute the posterior distribution of the ProMP by using the conditional Gaussians Equation ?? :

$$p(\omega | \Xi^o) = \mathcal{N}(\omega | \mu_\omega + K(\Xi^o - \Phi_{[1:n_o]} \mu_\omega), \Sigma_\omega - K \Phi_{[1:n_o]} \Sigma_\omega) \quad (24)$$

1230 with  $K = \Sigma_\omega \Phi_{[1:n_o]}^\top (\Sigma_\Xi + \Phi_{[1:n_o]} \Sigma_\omega \Phi_{[1:n_o]}^\top)^{-1}$  (25)

1231 Thus, we have the posterior distribution of the ProMP  $p(\omega | \Xi^o) = \mathcal{N}(\omega | \hat{\mu}_\omega, \hat{\Sigma}_\omega)$  with :

$$\begin{cases} \hat{\mu}_\omega &= \mu_\omega + K(\Xi^o - \Phi_{[1:n_o]} \mu_\omega) \\ \hat{\Sigma}_\omega &= \Sigma_\omega - K(\Phi_{[1:n_o]} \Sigma_\omega) \\ K &= \Sigma_\omega \Phi_{[1:n_o]}^\top (\Sigma_\Xi + \Phi_{[1:n_o]} \Sigma_\omega \Phi_{[1:n_o]}^\top)^{-1} \end{cases} \quad (26)$$

## CONFLICT OF INTEREST STATEMENT

1232 The authors declare that the research was conducted in the absence of any commercial or financial  
1233 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

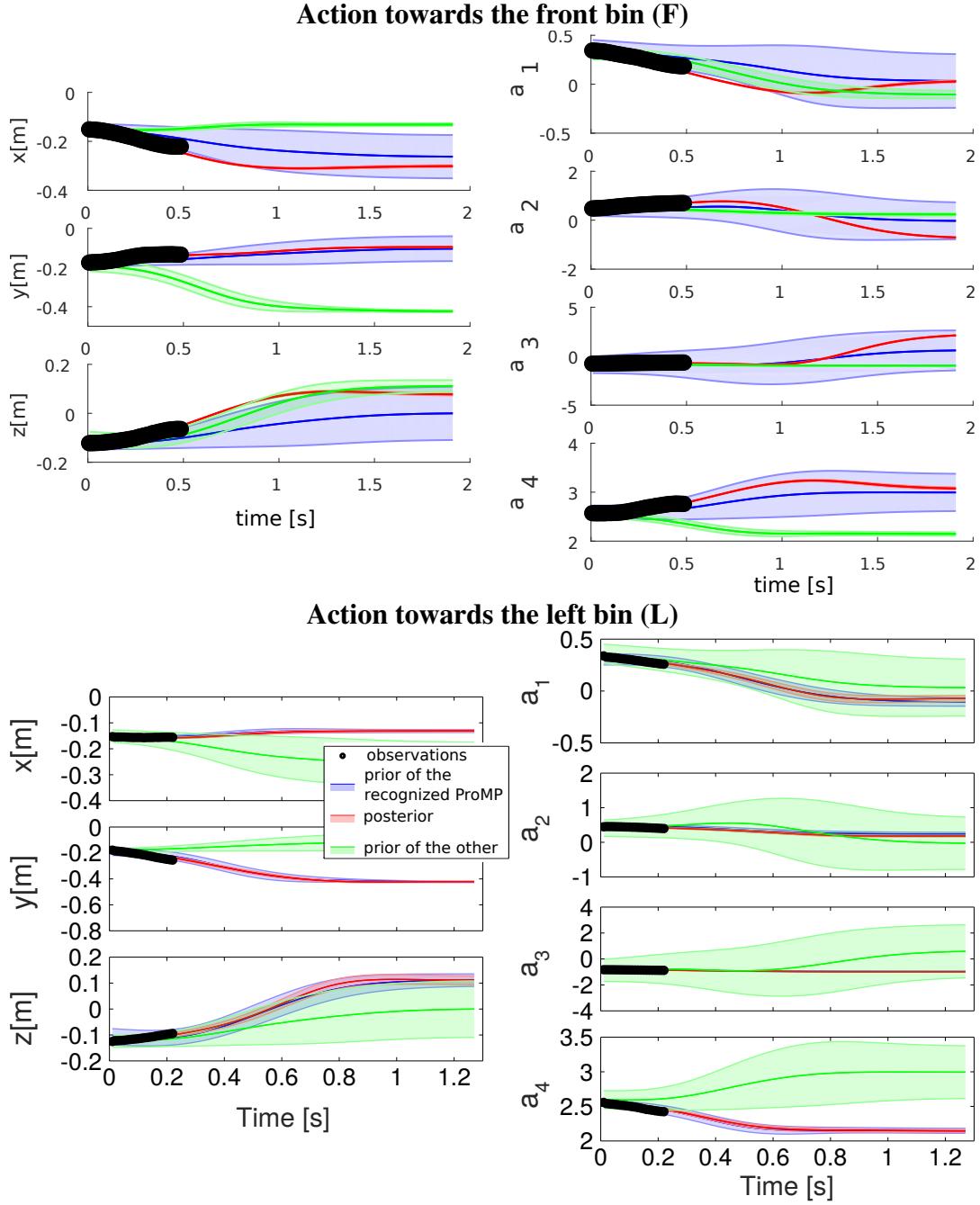
1234 Designed study : OD, AP, FC, SI. Wrote software : OD, ME, AP, SI. Wrote paper : OD, AP, ME, FC, JP,  
1235 SI.

## FUNDING

1236 This paper was partially funded by the European projects CoDyCo (no. 600716 ICT211.2.1) and AnDy  
1237 (no. 731540 H2020-ICT-2016-1), and the French CPER project SCiarat.

## ACKNOWLEDGMENTS

1238 The authors wish to thank the IIT researchers of the CoDyCo project for their support with iCub, Ugo  
1239 Pattacini and Olivier Rochel for their help with the software for the Geomagic, and Iñaki Fernández Pérez  
1240 for all his relevant feedback.



**FIGURE 17.** Predicted trajectories for the second experiment with the robot (Figure ??). The black circles represent the observations acquired while the human is physically moving the iCub's arm. When the human breaks the contact and releases the arm, the robot predicts the future trajectory and continues the movement. The prior of the recognized ProMP is blue, the posterior ProMP used for prediction is red, the prior ProMP of the other task (i.e., the one that is recognized as not the one currently being executed) is green. **Top, F**: the human moves the arm towards the front bin. After few observations ( $\sim 0.5$ s) the robot recognizes that the movement corresponds to the “F” action. The prior of the F actions is blue, the posterior/prediction is red, the L action is green. **Bottom, L**: the human moves the arm towards the left bin. After few observations ( $\sim 0.25$ s) the robot has recognized the L action. The prior of the L action is blue, the posterior red, the F action (not recognized) is green.