Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Cinemark USA Inc [CS] | S | 05/15/2020 | 06/10/2020 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Municipal Bonds DESCRIPTION: Maturity date is 12/15/2022, interest rate is 5.125% | | | | | |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

 Neuberger Berman - Municipal Bonds (Owner: SP) Location: US

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 06/12/2020