

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Mikie Sherrill

Status: Member State/District: NJ11

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|------------------------|---------------------------|
| | | Abbott Laboratories (ABT) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Alexandria Real Estate Equities, Inc. (ARE) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | V |
| | | Alphabet Inc Class A (GOOGL) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|------------------------|---------------------|
| | | Altria Group, Inc. (MO) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | ▽ |
| | | Amgen Inc. (AMGN) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Analog Devices, Inc. (ADI) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Apple Inc. (AAPL) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Arthur J. Gallagher & Co. (AJG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Autodesk, Inc. (ADSK) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | <u></u> |
| | | Automatic Data Processing, Inc. (ADP) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | AvalonBay Communities, Inc. (AVB) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Bank of America Corporation (BAC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Becton, Dickinson and Company (BDX) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | BlackRock, Inc. (BLK) [ST] | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |

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|----|-------|---|---------------------|------------|----------------------|------------------------|---------------------|
| | | FILING STATUS: New | | | | | |
| | | Boston Properties, Inc. (BXP) [ST] | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New | | | | | |
| | | Bristol-Myers Squibb Company (BMY) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | Capital One Financial Corporation (COF) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Cerner Corporation (CERN) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | Chevron Corporation (CVX) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Chubb Limited (CB) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | CME Group Inc Class A (CME) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | CMS Energy Corporation (CMS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Coca-Cola Company (KO) [ST] | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | ▽ |
| | | FILING STATUS: New | | | | | |
| | | Colgate-Palmolive Company (CL) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Comcast Corporation - Class A | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ∀ |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | (CMCSA) [ST] FILING STATUS: New | | | | | |
| | | ConocoPhillips (COP) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Cullen/Frost Bankers, Inc. (CFR) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | CVS Health Corporation (CVS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Danone Sponsored ADR (DANOY) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Deere & Company (DE) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Discover Financial Services (DFS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Dover Corporation (DOV) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | $\overline{\vee}$ |
| | | DuPont de Nemours, Inc. (DD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Edison International (EIX) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Eli Lilly and Company (LLY) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | $\overline{\vee}$ |
| | | Entergy Corporation (ETR) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |

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|----|-------|--|---------------------|------------|----------------------|--------------------------|---------------------|
| | | Expeditors International of Washington, Inc. (EXPD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Facebook, Inc Class A (FB) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | <u> </u> |
| | | FactSet Research Systems Inc. (FDS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Fidelity Dividend ETF for Rising Rates (FDRR) [ST] FILING STATUS: New | P | 02/21/2020 | 03/03/2020 | \$100,001 - \$250,000 | П |
| | | Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | General Dynamics Corporation (GD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Gilead Sciences, Inc. (GILD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Hartford Financial Services Group, Inc. (HIG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | Hasbro, Inc. (HAS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Home Depot, Inc. (HD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u> </u> |
| | | Honeywell International Inc. (HON) [ST] | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |

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|----|-------|---|---------------------|------------|----------------------|--------------------------|---------------------|
| | | FILING STATUS: New | | | | | |
| | | Illinois Tool Works Inc. (ITW) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | International Business Machines Corporation (IBM) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | iShares Expanded Tech-Software Sector ETF (IGV) [ST] FILING STATUS: New | P | 02/21/2020 | 03/03/2020 | \$100,001 - \$250,000 | |
| | | Johnson & Johnson (JNJ) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | Las Vegas Sands Corp. (LVS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | M&T Bank Corporation (MTB) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Marsh & McLennan Companies, Inc. (MMC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | McDonald's Corporation (MCD) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Medtronic plc. Ordinary Shares (MDT) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Merck & Company, Inc. Common Stock (MRK) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | MetLife, Inc. (MET) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Microsoft Corporation (MSFT) | S | 02/20/2020 | 03/03/2020 | \$15,001 - | ~ |

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|----|-------|--|---------------------|------------|----------------------|--------------------------|---------------------|
| | | [ST] FILING STATUS: New | | | | \$50,000 | |
| | | Mondelez International, Inc Class A (MDLZ) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Monster Beverage Corporation (MNST) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | Norfolk Southern Corporation (NSC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Northern Trust Corporation (NTRS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Novartis AG (NVS) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | Novo Nordisk A/S (NVO) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Nuveen ESG Large-Cap Growth ETF (NULG) [ST] FILING STATUS: New | P | 02/21/2020 | 03/03/2020 | \$100,001 - \$250,000 | Г |
| | | NVIDIA Corporation (NVDA) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | ✓ |
| | | Oracle Corporation (ORCL) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | V |
| | | Parker-Hannifin Corporation (PH) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |

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|----|-------|--|---------------------|------------|----------------------|------------------------|---------------------|
| | | Pepsico, Inc. (PEP) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | Pfizer, Inc. (PFE) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Philip Morris International Inc (PM) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | PNC Financial Services Group, Inc. (PNC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | PPG Industries, Inc. (PPG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Procter & Gamble Company (PG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | ~ |
| | | Progressive Corporation (PGR) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Prudential Financial, Inc. (PRU) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Public Service Enterprise Group Incorporated (PEG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | QUALCOMM Incorporated (QCOM) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Regeneron Pharmaceuticals, Inc. (REGN) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Republic Services, Inc. (RSG) [ST] | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |

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|----|-------|---|---------------------|------------|----------------------|--------------------------|---------------------|
| | | FILING STATUS: New | | | | | |
| | | Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | RPM International Inc. (RPM) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |
| | | Schlumberger N.V. (SLB) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Schwab US Dividend Equity ETF (SCHD) [ST] FILING STATUS: New | P | 02/21/2020 | 03/03/2020 | \$100,001 - \$250,000 | |
| | | SEI Investments Company (SEIC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Simon Property Group, Inc. (SPG) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [ST] FILING STATUS: New | P | 02/21/2020 | 03/03/2020 | \$100,001 - \$250,000 | |
| | | Stanley Black & Decker, Inc. (SWK) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Starbucks Corporation (SBUX) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | T. Rowe Price Group, Inc. (TROW) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u> </u> |
| | | Texas Instruments Incorporated (TXN) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | V |

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|----|-------|--|---------------------|------------|----------------------|------------------------|---------------------|
| | | The Travelers Companies, Inc. (TRV) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Truist Financial Corporation (TFC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | U.S. Bancorp (USB) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | United Technologies Corporation (UTX) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | V.F. Corporation (VFC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Valero Energy Corporation (VLO) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Varian Medical Systems, Inc. (VAR) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Ventas, Inc. (VTR) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Verizon Communications Inc. (VZ) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u></u> |
| | | Visa Inc. (V) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$15,001 - \$50,000 | <u>~</u> |
| | | Vornado Realty Trust (VNO) [ST] | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |

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|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------|
| | | FILING STATUS: New | | | | | |
| | | Walmart Inc. (WMT) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |
| | | Wells Fargo & Company (WFC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | П |
| | | Wyndham Hotels & Resorts, Inc. (WH) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | |
| | | Xcel Energy Inc. (XEL) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | | Yum China Holdings, Inc. (YUMC) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ▽ |
| | | Yum! Brands, Inc. (YUM) [ST] FILING STATUS: New | S | 02/20/2020 | 03/03/2020 | \$1,001 - \$15,000 | ~ |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

INITIAL PUBLIC OFFERINGS

○ Yes ○ No

CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mikie Sherrill, 04/05/2020