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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|---------|---------------------|
| | SP | Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Bo | P eneficiary IRA | 09/11/2020 | 09/18/2020 | \$39.10 | П |
| | SP | Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be | P eneficiary IRA | 09/16/2020 | 09/23/2020 | \$22.07 | |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes © No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 09/24/2020