

# PERIODIC TRANSACTION REPORT

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### FILER INFORMATION

Name: Hon. Michael Garcia

**Status:** Member **State/District:** CA25

#### **TRANSACTIONS**

American Airlines Group, Inc. (AAL) [ST]  FILING STATUS: New  American Airlines Group, Inc. (AAL) [ST]  FILING STATUS: New  American Airlines Group, Inc. (AAL) [ST]  FILING STATUS: New  S (partial)  FILING STATUS: New  P 07/09/2020 07/09/2020 \$15,001 - \$50,000  \$15,001 - \$50,000  \$15,001 - \$50,000  FILING STATUS: New	\$200?
(AAL) [ST] \$50,000  FILING STATUS: New  American Airlines Group, Inc. S (partial) 11/09/2020 11/09/2020 \$15,001 - (AAL) [ST] \$50,000  FILING STATUS: New	П
(AAL) [ST] \$50,000 FILING STATUS: New	П
D. G. C.	<b>▽</b>
Boeing Company (BA) [ST] S 08/10/2020 08/10/2020 \$15,001 - \$50,000 FILING STATUS: New	<u></u>
Boeing Company (BA) [ST] S 11/13/2020 11/13/2020 \$15,001 - \$50,000 FILING STATUS: New	<u></u>
Direxion Financial Bull 3X Shares S 11/11/2020 11/11/2020 \$15,001 - (FAS) [ST] \$50,000  FILING STATUS: New	<u></u>
Direxion Financial Bull 3X Shares S 11/05/2020 11/05/2020 \$15,001 - \$50,000 FILING STATUS: New	<u> </u>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Direxion Financial Bull 3X Shares (FAS) [ST] FILING STATUS: New	P	07/09/2020	07/09/2020	\$1,001 - \$15,000	
		Direxion Financial Bull 3X Shares (FAS) [ST] FILING STATUS: New	P	10/26/2020	10/26/2020	\$15,001 - \$50,000	
		Direxion Financial Bull 3X Shares (FAS) [ST] FILING STATUS: New	S (partial)	11/09/2020	11/09/2020	\$15,001 - \$50,000	<b>V</b>
		iPath Series B S&P 500 VIX Short- Term Futures ETN (VXX) [ST] FILING STATUS: New	P	11/16/2020	11/16/2020	\$15,001 - \$50,000	П
		Tesla, Inc. (TSLA) [ST]  FILING STATUS: New	S (partial)	11/16/2020	11/16/2020	\$15,001 - \$50,000	<u></u>
		Tesla, Inc. (TSLA) [ST] FILING STATUS: New	P	11/17/2020	11/17/2020	\$15,001 - \$50,000	П
		Tesla, Inc. (TSLA) [ST] FILING STATUS: New	S (partial)	11/23/2020	11/23/2020	\$15,001 - \$50,000	<u></u>
		Tesla, Inc. (TSLA) [ST] FILING STATUS: New	P	11/13/2020	11/13/2020	\$15,001 - \$50,000	
		Tesla, Inc. (TSLA) [ST] FILING STATUS: New	P	10/26/2020	10/26/2020	\$1,001 - \$15,000	
		Tesla, Inc. (TSLA) [ST] FILING STATUS: New	P	09/04/2020	09/04/2020	\$15,001 - \$50,000	
		Uber Technologies, Inc. (UBER) [ST] FILING STATUS: New	S	11/05/2020	11/05/2020	\$15,001 - \$50,000	<u></u>
		UBS Group AG Registered	S	11/09/2020	11/09/2020	\$15,001 -	<u>~</u>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Ordinary Shares (UBS) [ST] FILING STATUS: New				\$50,000	
		VanEck Vectors Oil Services ETF (OIH) [ST] FILING STATUS: New	S	11/18/2020	11/18/2020	\$1,001 - \$15,000	<b>▽</b>

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

## INITIAL PUBLIC OFFERINGS



## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Michael Garcia, 11/23/2020