



Filing ID #20016559

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips**Status:** Member**State/District:** MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	3M Company (MMM) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Abbott Laboratories (ABT) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Affiliated Managers Group, Inc. (AMG) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	AFLAC Incorporated (AFL) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Alliance Data Systems Corporation (ADS) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Amazon.com, Inc. (AMZN) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New					
	American International Group, Inc. New (AIG) [ST]	S		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Apple Inc. (AAPL) [ST]	P		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Arconic Corporation (ARNC) [ST]	E		04/01/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Received shares of Arconic Corporation in exchange for Arconic Inc. COMMENTS: On 4/6/2020 Arconic Inc. changed it's name to Howmet Aerospace Inc. (HWM)						
	AT&T Inc. (T) [ST]	P		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Atmos Energy Corporation (ATO) [ST]	P		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Autodesk, Inc. (ADSK) [ST]	P		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	AvalonBay Communities, Inc. (AVB) [ST]	S (partial)		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Ball Corporation (BLL) [ST]	P		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Bank of America Corporation (BAC) [ST]	S (partial)		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Baxter International Inc. (BAX) [ST]	P		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						
	Capital One Financial Corporation (COF) [ST]	S (partial)		04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New						

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Caterpillar, Inc. (CAT) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	CenterPoint Energy, Inc (CNP) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	CenturyLink, Inc. (CTL) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corp Var Rt Perp Mat Dtd 04/30/2020 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	04/27/2020	05/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New	S (partial)	04/24/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charter Communications, Inc. - Class A (CHTR) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/15/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Citigroup, Inc. (C) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Citizens Financial Group, Inc. (CFG) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Citrix Systems, Inc. (CTXS) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	Comcast Corporation - Class A (CMCSA) [ST]	P	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Comerica Incorporated (CMA) [ST]	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Costco Wholesale Corporation (COST) [ST]	S	04/02/2020	05/06/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	Crown Castle International Corp. (CCI) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	Delta Air Lines, Inc. (DAL) [ST]	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Digital Realty Trust, Inc. (DLR) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Discover Financial Services (DFS) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Discovery, Inc. - Series A (DISCA) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Discovery, Inc. - Series C (DISCK) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Dollar Tree, Inc. (DLTR) [ST]	P	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Dominion Energy Inc Var Rt Perp Mat dtd 12/13/2019 [CS]	S (partial)	04/16/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New DESCRIPTION: Preferred security					
	Dow Inc. (DOW) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	DTE Energy Company (DTE) [ST]	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	DuPont de Nemours, Inc. (DD) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	DXC Technology Company (DXC) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Eastman Chemical Company (EMN) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Emerson Electric Company (EMR) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Estee Lauder Companies, Inc. (EL) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Facebook, Inc. - Class A (FB) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Fifth Third Bancorp (FITB) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Flowserve Corporation (FLS) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						
	Ford Motor Company (F) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>	
	FILING STATUS: New						

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		Fortive Corporation (FTV) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		General Mills, Inc. (GIS) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		General Motors Company (GM) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Genuine Parts Company (GPC) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Goldman Sachs Group, Inc. (GS) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		H&R Block, Inc. (HRB) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Hartford Financial Services Group, Inc. (HIG) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Host Hotels & Resorts, Inc. (HST) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		HP Inc. (HPQ) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Huntington Bancshares Incorporated (HBAN) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		International Business Machines Corporation (IBM) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Intuitive Surgical, Inc. (ISRG) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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		Invesco Ltd (IVZ) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Iron Mountain Incorporated (IRM) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Johnson Controls International plc Ordinary Share (JCI) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		KeyCorp (KEY) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Kimberly-Clark Corporation (KMB) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Kohl's Corporation (KSS) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Koninklijke Philips N.V. NY Registry Shares (PHG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/22/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Kroger Company (KR) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Las Vegas Sands Corp. (LVS) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Lexington Cnty SC Ref-Ser A Unlimited Tax 5.0%; Due 02/01/2025 [GS] FILING STATUS: New	P	04/16/2020	05/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		Lincoln National Corporation	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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		(LNC) [ST] FILING STATUS: New					
	Loews Corporation (L)	[ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	LyondellBasell Industries NV Ordinary Shares Class A (LYB)	[ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	M&T Bank Corporation (MTB)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Macy's Inc (M)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	MGM Resorts International (MGM)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Molson Coors Beverage Company Class B (TAP)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Morgan Stanley (MS)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Mosaic Company (MOS)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Netflix, Inc. (NFLX)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Newell Brands Inc. (NWL)	[ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	NiSource Inc (NI)	[ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Nordstrom, Inc. (JWN)	[ST]	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	Parker-Hannifin Corporation (PH) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	People's United Financial, Inc. (PBCT) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Philip Morris International Inc (PM) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PNC Financial Services Group, Inc. (PNC) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PPG Industries, Inc. (PPG) [ST]	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Principal Financial Group Inc (PFG) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Prudential Financial, Inc. (PRU) [ST]	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Quanta Services, Inc. (PWR) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Quest Diagnostics Incorporated (DGX) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	Royal Caribbean Cruises Ltd. (RCL) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

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	Sealed Air Corporation (SEE) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Sempra Energy (SRE) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	ServiceNow, Inc. (NOW) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Simon Property Group, Inc. (SPG) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Sony Corporation (SNE) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/16/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Sony Corporation (SNE) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Sony Corporation (SNE) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/20/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Sony Corporation (SNE) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/21/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Southwest Airlines Company (LUV) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/16/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Southwest Airlines Company (LUV) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Synchrony Financial (SYF) [ST]	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/22/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S	04/24/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	The Bank of New York Mellon Corporation (BK) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	The Kraft Heinz Company (KHC) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	The Travelers Companies, Inc. (TRV) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Truist Financial Corporation (TFC) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Twitter, Inc. (TWTR) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	U.S. Bancorp (USB) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	04/16/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	United Rentals, Inc. (URI) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	VA St Pub Sch Auth Sch Technology & Sec NTS Ser V 5.0%; Due 04/15/20 [GS] FILING STATUS: New	S	04/15/2020	05/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Ventas, Inc. (VTR) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	ViacomCBS Inc. - Class B (VIAC) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Vulcan Materials Company (VMC) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wabtec Corp (WAB) [OT] FILING STATUS: New LOCATION: US DESCRIPTION: Stock	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Walgreens Boots Alliance, Inc. (WBA) [ST] FILING STATUS: New	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Welltower Inc. (HCN) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Westrock Company (WRK) [ST] FILING STATUS: New	S	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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		Zions Bancorporation N.A. (ZION) [ST]	P	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New							

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 05/07/2020