

## 0 – 1 Knapsack summary

- The problem: We are given a knapsack of capacity  $W$  and a set of  $n$  items; an each item  $i$ , with  $1 \leq i \leq n$ , is worth  $v[i]$  and has weight  $w[i]$  pounds. Assume that weights  $w[i]$  and the total weight  $W$  are integers. The goal is to fill the knapsack so that the value of all items in the knapsack is maximized.
- Choice of subproblem: Let  $optknapsack(k, w)$  return the maximal value obtainable when filling a knapsack of capacity  $w$  using items among items 1 through  $k$ . To solve our problem we call  $optknapsack(n, W)$ .
- Recursive definition of  $optknapsack(k, w)$ :

```
OPTKNAPSACK( $k, w$ )
1 // returns the max value to pack a knapsack of capacity  $w$  using items 1 through  $k$ .
2 if ( $w == 0$ ): return 0
3 if ( $k \leq 0$ ): return 0
4 IF ( $weight[k] \leq w$ ):  $with = value[k] + optknapsack(k - 1, w - weight[k])$ 
5 ELSE:  $with = 0$ 
6  $without = optknapsack(k - 1, w)$ 
7 RETURN max {  $with, without$  }
```

- Correctness: tries both possibilities for an item (take or not) and recurses on the rest (which is correct bec. of optimal substructure).
- Dynamic programming solution, recursive (top-down) with memoization: We create a table  $table[1..n][1..W]$ , where  $table[i][w]$  will store the result of  $optknapsack(i, w)$ . We initialize all entries in the table as 0. To solve the problem, we call  $optknapsackDP(n, W)$ .

```
OPTKNAPSACKDP( $k, w$ )
1 // global variable  $table[1..n][1..W]$  initialized to 0. Also global  $v[1..n]$  and  $w[1..n]$ .
2 // returns the max value to pack a knapsack of capacity  $w$  using items 1 through  $k$ .
3 if ( $w == 0$ ): return 0
4 if ( $k \leq 0$ ): return 0
5 IF ( $table[k][w] \neq 0$ ): RETURN  $table[k][w]$ 
6 IF ( $w[k] \leq w$ ):  $with = v[k] + optknapsackDP(k - 1, w - w[k])$ 
7 ELSE:  $with = 0$ 
8  $without = optknapsackDP(k - 1, w)$ 
9  $table[k][w] = \max \{ with, without \}$ 
10 RETURN  $table[k][w]$ 
```

Running time:  $O(n \cdot W)$

- Dynamic programming, iterative (bottom-up):

```

OPTKNAPSACKDP_ITERATIVE()
1  create table[0..n][0..W] and initialize all entries to 0
2  for  $k = 1; k \leq n; k++$ 
3      for  $w = 1; w \leq W; w++$ 
4          with =  $v[k] + \text{table}[k-1][w-w[k]]$ 
5          without =  $\text{table}[k-1][w]$ 
6           $\text{table}[k][w] = \max \{ \text{with}, \text{without} \}$ 
7  RETURN  $\text{table}[n][W]$ 

```

Running time:  $O(n \cdot W)$

- Computing full solution:

Input: The table  $\text{table}[1..n][1..W]$  as computed above, where  $\text{table}[i][x]$  stores the max value to pack a knapsack of weight  $x$  using items  $1..i$ .

Output: the set of items corresponding to  $\text{table}[n][W]$

$i = n, w = W$

while ( $i > 0$ ) do:

    //is the value  $\text{table}[i][w]$  achieved by including item  $i$  or not?

    if  $\text{table}[i][w] == v[i] + \text{table}[i-1][w-w[i]]$ :

        output item  $i$

$w = w - w[i], i = i - 1$

    else:  $i = i - 1$

Running time:  $\Theta(n \cdot W)$ , with no extra space