

# The Longest Common Subsequence (LCS)

Biological applications work with DNA sequences. A strand of DNA consists of a string of molecules which are one of four possible bases: adenine (A), guanine (G), cytosine (C) and thymine (T). Thus DNA sequences can be expressed as arrays or strings over four symbols,  $A, C, G, T$ . Biologists want to compare how “close” are two DNA strands. One of the ways proposed to model closeness is to compute the longest common subsequence (LCS) of two DNA strands.

**The LCS problem:** Suppose we have two sequences (arrays)  $X[1..n]$  and  $Y[1..m]$ , where each element is one of the four bases  $A, C, G, T$ .

- We say that another sequence  $Z[1..k]$  is a *subsequence* of  $X$  if there exists a strictly increasing sequence of indices  $i_1 < i_2 < i_3 < \dots < i_k$  such that we have  $X[i_1] = Z[1], X[i_2] = Z[2], \dots, X[i_k] = Z[k]$ .

For example,  $[A, C, D, A]$  ( $i_1 = 1, i_2 = 3, i_3 = 5, i_4 = 6$ ) and  $[A, B, B]$  ( $i_1 = 1, i_2 = 2, i_3 = 4$ ) are subsequences of  $X = [A, B, C, B, D, A, B]$ .

- We say that  $Z$  is a *common subsequence* (of  $X, Y$ ) if  $Z$  is a subsequence of both  $X$  and  $Y$ .

Given two sequences  $X$  and  $Y$  of size  $n$  and  $m$  respectively, come up with an algorithm that finds their longest common subsequence (LCS).

Work through the questions below to come up with a DP solution for this problem.

Example: Let  $X = [A, B, C, B, D, A, B]$ ,  $Y = [B, D, C, A, B, A]$ .

1. List some common subsequences of  $X$  and  $Y$  of length 2 and 3. Can you find a common subsequence of length 4? How about 5?
2. Let's start with a simpler problem: How might you write an algorithm to check if an array is a subsequence of another array? How long?

```
//return True if X is a subsequence of Y, False otherwise
isSubsequence(array X, array Y)
```

3. Consider a brute-force algorithm for finding  $LCS(X, Y)$ . For example you could enumerate all possibilities (how many possibilities are there)? You don't need to write this in details, just sketch the idea and pencil its running time.

#### 4. Towards a recursive formulation:

**More notation:** For any sequence  $X[1..n]$  let  $X_i$  denote the sequence consisting of the first  $i$  elements of  $X$ , called the  $i$ -prefix:  $X_i = X[1..i]$ .

Let  $Z[1..k] = Z_k$  be the LCS of  $X, Y$ . Let's assume we know  $Z_k$ , and let's express the optimal substructure of the problem by comparing the last elements of  $X$  and  $Y$  and  $Z_k$  and reason about  $Z_{k-1}$ .

- Case 1: If  $X[n] == Y[m]$ : Is the following True or False?

The last element of  $Z$  must be equal to the last element of  $X$  and  $Y$ :  $Z[k] = X[n] = Y[m]$

Why?

What can you say about  $Z_{k-1}$ ? Express it recursively in terms of  $X_{n-1}$  and  $Y_{m-1}$ :

$$Z_{k-1} = LCS(\dots\dots\dots, \dots\dots\dots)$$

- Case 2 (a): If  $X[n] \neq Y[m]$  and assume we knew that  $Z[k] \neq X[n]$ : What can you say about  $Z_k$  in this case? Express  $Z_k$  recursively.

$$Z_k = LCS(\dots\dots\dots, \dots\dots\dots)$$

- Case 2 (b): If  $X[n] \neq Y[m]$  and assume we knew that  $Z[k] \neq Y[m]$ : What can you say about  $Z_k$  in this case? Express  $Z_k$  recursively.

$$Z_k = LCS(\dots\dots\dots, \dots\dots\dots)$$

Note: Case 2(a) and 2(b) above assumed we know the last symbol of  $Z$ . But the thing is, we don't know  $Z$ , that's precisely what we are trying to compute. Still, we made progress: the cases above represent **all** cases that can happen. We got to try them all and pick the best.

5. We are now ready to write the recursive algorithm for LCS. As always, we start by computing *the length* of the LCS of  $X, Y$ . Then we'll extend the solution to compute not only the length, but the actual LCS.

**Our subproblem:  $c(i, j)$  returns the length of the LCS of  $X_i$  and  $Y_j$ .**

To find the LCS of  $X$  and  $Y$  we'll want to call  $c(n, m)$ .

Start by writing the base case:

$$c(i, 0) = ?$$

$$c(0, j) = ?$$

6. If  $X[n] == Y[m]$ : Express  $c(i, j)$  recursively.

$$c(i, j) =$$

7. If  $X[n] \neq Y[m]$ : Express  $c(i, j)$  recursively.

$$c(i, j) =$$

8. Describe a DP algorithm (top-down, recursive) that  $c(i, j)$ .

9. How long does it take to compute  $c(n, m)$ ?
10. How would you extend your algorithm above to compute the LCS not just the length?
11. Consider the following example:

$$X = [A, B, C, B, D, A, B], \quad Y = [B, D, C, A, B, A]$$

Draw the table and show how it's filled when calling your dynamic programming function to compute  $c(7, 6)$ . When you are done, step through the Python notebook to check your answer. If time permits, add code to compute the actual LCS (not just the length).