

0 – 1 Knapsack summary

- The problem: We are given a knapsack of capacity W and a set of n items, where item i is worth $v[i]$ and has weight $w[i]$ pounds. The weights $w[i]$ and the total weight W are **integers**. Fill the knapsack so that the overall value of all items in the knapsack is maximized.
- Notation and choice of subproblem: Let $optknapsack(k, w)$ return the maximal value for a knapsack of size w using items among items 1 through k . To solve our problem we call $optknapsack(n, W)$.

for simplicity, we'll assume that the weight and value arrays $w[], v[]$ are global

- Recursive definition of $optknapsack(k, w)$:

```
@returns the max value to pack a knapsack of capacity w using the first k items
OPTKNAPSACK(k, w)
1  if (w == 0): return 0
2  if (k ≤ 0): return 0
3  if (w[k] ≤ w): with = v[k] + OPTKNAPSACK(k - 1, w - w[k])
4  else: with = 0
5  without = OPTKNAPSACK(k - 1, w)
6  RETURN max { with, without }
```

- Why correct? It tries both possibilities for each item (with or without) and recurses on the rest, which is correct bec. it has optimal substructure (why?).
- Dynamic programming, recursive (top-down) with memoization:

```
Create a table a table[1..n][1..W], where table[i][w] will store the result of optknapsack(i, w).
Initialize all entries in the table as 0.
Call optknapsackDP(n, W) and return the result.
```

```
@returns the max value to pack a knapsack of capacity w using the first k items
OPTKNAPSACKDP(k, w, table)
1  if (w == 0): return 0
2  if (k == 0): return 0
3  IF (table[k][w] ≠ 0): RETURN table[k][w]
4  IF (w[k] ≤ w): with = v[k] + OPTKNAPSACKDP(k - 1, w - w[k], table)
5  ELSE: with = 0
6  without = OPTKNAPSACKDP(k - 1, w, table)
7  table[k][w] = max { with, without }
8  RETURN table[k][w]
```

Running time for $optknapsackDP(n, W)$: $O(n \cdot W)$

- Dynamic programming, iterative (bottom-up):

```

@returns the max value to pack a knapsack of capacity  $W$  using the  $n$  items
OPTKNAPSACKDP_ITERATIVE()
1  create table[0..n][0..W] and initialize all entries to 0
2  for  $k = 1; k \leq n; k++$ 
3      for  $w = 1; w \leq W; w++$ 
4          if ( $w[k] \leq w$ ) with =  $v[k] + \text{table}[k-1][w-w[k]]$  else with = 0
5          without =  $\text{table}[k-1][w]$ 
6           $\text{table}[k][w] = \max \{ \text{with}, \text{without} \}$ 
7  RETURN  $\text{table}[n][W]$ 

```

Running time: $O(n \cdot W)$

- Computing full solution (without storing additional information while filling the table):

```

@param: The table  $\text{table}[1..n][1..W]$  as computed above, where  $\text{table}[i][x]$  stores the max
value to pack a knapsack of weight  $x$  using items 1.. $i$ .
@return: prints the set of items corresponding to  $\text{table}[n][W]$ 
FINDITEMS(table)
1   $i = n, w = W$ 
2  while ( $i > 0$  and  $w > 0$ )
3      // is the value  $\text{table}[i][w]$  achieved with item  $i$  or without?
4      if  $\text{table}[i][w] == v[i] + \text{table}[i-1][w-w[i]]$  //WITH item i
5          output item  $i$ 
6           $w = w - w[i], i = i - 1$ 
7      else // WITHOUT item i
8           $i = i - 1$ 

```

Running time: $O(n)$, with no extra space