

# Self-dual Equations on Riemann surface

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## TO READERS

It's a note for a reading seminar about self-dual equations on principal bundles, and the main reference is Hitchin's celebrated paper [[Hit87](#)]

## 1. NOTATION

- (1)  $\Sigma$  Riemann surface
- (2)  $G$  Lie group
- (3)  $P$  Principle bundle

## 2. THE HITCHIN EQUATION (QILIANG LUO)

**2.1. Higgs field on principle bundle and vector bundle.** Let  $\Sigma$  be a Riemann surface,  $G$  a fixed Lie group,  $P$  a principle  $G$ -bundle. Firstly, we introduce the concept on Higgs field on principle bundle  $P$ .

A point on  $P$  can be written as  $(x, p)$ . The left action of  $G$  on  $P$  can be written as  $g(x, p) = (x, pg^{-1})$ . For any  $G$  representation

$$\rho : G \rightarrow GL(V)$$

We have an associated vector bundle  $E = P \times_{\rho} V$  defined by equivalent relation in  $P \times V$

$$(x, p, v) \sim (x, pg^{-1}, gv)$$

By adjoint vector bundle  $ad(P)$  we denote the associated bundle of  $P$  of the canonical representation  $ad : G \rightarrow Lie(G)$  where  $Lie(G)$  is the Lie algebra of  $G$ .

**Definition 2.1.** A Higgs field  $\phi$  on the principle bundle  $P$  is a section in  $\Omega^{1,0}(\Sigma, ad(P))$ .

Any structure on the principle bundle can induce the corresponding structure on the associated vector bundle by the representation  $\rho$  and the bundle maps

$$P \rightarrow GL(E) \quad ad(P) \rightarrow End(E)$$

also denoted by  $\rho$ . For example, the connection  $A$ , the curvature  $F(A)$  and the Higgs field  $\phi$  on  $P$ . We claim  $F(d_A) = \rho(F(A))$ .

Let  $E$  be a vector bundle on the Riemann surface  $\Sigma$ . Its structure can be reduced to the principle bundle  $P$ , if  $E$  is an associated bundle of  $P$ . Its connection can be reduced to the principle bundle  $P$ , if  $E$  is an associated bundle of  $P$  and the connection on  $E$  is come from a connection on  $P$ , and so on.

**2.2. The Hitchin equation on principle bundle.** Let  $\Sigma$  be a Riemann surface,  $G$  a fixed Lie group,  $P$  a principle  $G$ -bundle. The Hitchin equation on the principle bundle  $P$  is an equation about connection  $A$  and Higgs field  $\phi$

**Definition 2.2.** A pair of connection and Higgs field  $(A, \phi)$  satisfies the Hitchin equation if

$$(2.1) \quad \begin{cases} F(A) = -[\phi, \phi^*] \\ d_A'' \phi = 0 \end{cases}$$

Explain the equation.....(what is  $[\cdot, \cdot]$  and  $*$ )

**Lemma 2.1.** Assume  $H < G$  is a subgroup. If the principle  $G$ -bundle  $P_G$  can be reduced to the principle  $H$ -bundle  $P_H$ , and the connection and the Higgs field can also be reduced to  $P_H$ . Then  $(A, \phi)$  satisfies the Hitchin equation in  $P_G$  if and only if they satisfies the Hitchin equation in  $P_H$ .

### 3. STABILITY (BOWEN LIU)

**3.1. General theory of slope stability.** Let  $X$  be a smooth projective variety and  $\omega$  be an ample divisor on  $X$ .

**Definition 3.1.1.** Let  $\mathcal{E}$  be a torsion-free sheaf over  $X$ . The  $\omega$ -slope of  $\mathcal{E}$  is defined as

$$\mu_\omega(\mathcal{E}) = \frac{c_1(\mathcal{E}) \cdot \omega^{n-1}}{\text{rk } \mathcal{E}}.$$

**Definition 3.1.2.** A torsion-free sheaf  $\mathcal{E}$  on  $X$  is called  $\omega$ -semistable (resp. stable), if  $\mu_\omega(\mathcal{F}) \leq \mu_\omega(\mathcal{E})$  (resp.  $\mu_\omega(\mathcal{F}) < \mu_\omega(\mathcal{E})$ ) for all subsheaves  $\mathcal{F}$  of  $\mathcal{E}$ .

**Lemma 3.1.1.** Let  $C$  be a smooth projective curve and  $\mathcal{E}$  be a locally free sheaf on  $C$ . Then  $\mathcal{E}$  is semistable (resp. stable) if  $\mu(\mathcal{F}) \leq \mu(\mathcal{E})$  (resp.  $\mu(\mathcal{F}) < \mu(\mathcal{E})$ ) for all subbundles  $\mathcal{F}$  of  $\mathcal{E}$ .

*Proof.* For any subsheaf  $\mathcal{F} \subseteq \mathcal{E}$ , suppose  $\tilde{\mathcal{F}}$  is the saturation of  $\mathcal{F}$  in  $\mathcal{E}$ , that is,  $\mathcal{E}/\tilde{\mathcal{F}}$  is torsion-free. Then we must have  $\mu(\tilde{\mathcal{F}}) \geq \mu(\mathcal{F})$ , since  $\tilde{\mathcal{F}}$  is the extension of  $\mathcal{F}$  by a torsion sheaf supported on a zero-dimensional subvariety. Thus in order to test the stability of  $\mathcal{E}$ , it suffices to test saturated subsheaves of  $\mathcal{E}$ .

Now we claim that every saturated subsheaf of a locally free sheaf on a smooth projective curve is a subbundle<sup>4</sup>. Suppose  $\mathcal{F} \subseteq \mathcal{E}$  is a saturated subsheaf. Then we have the following exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{E} \rightarrow \mathcal{Q} \rightarrow 0,$$

where  $\mathcal{Q}$  is torsion-free and thus locally free, since every torsion-free sheaf is a locally free sheaf on a codimensional two locus. To prove the local freeness of  $\mathcal{F}$ , note that on for each  $p \in X$ , there is the exact sequence

$$0 \rightarrow \mathcal{F}_p \rightarrow \mathcal{O}_{C,p}^{\oplus m} \rightarrow \mathcal{O}_{C,p}^{\oplus n} \rightarrow 0,$$

which implies  $\mathcal{F}_p$  is free, since the local ring  $\mathcal{O}_{C,p}$  is PID and submodule of a free module over a PID is free. This shows  $\mathcal{F}$  is locally free since  $\mathcal{F}_p$  is free for every  $p \in C$ .  $\square$

**Theorem 3.1.1 ([HN75]).** Let  $\mathcal{E}$  be a torsion-free sheaf on  $X$ . Then there exists a unique filtration  $\Sigma_\omega$ ,

$$0 = \mathcal{E}_0 \subsetneq \mathcal{E}_1 \subsetneq \cdots \subsetneq \mathcal{E}_s = \mathcal{E},$$

which is called the *Harder-Narasimhan filtration*, such that

- (1)  $\text{Gr}_i(\Sigma_\omega) = \mathcal{E}_i/\mathcal{E}_{i+1}$  is a torsion-free  $\omega$ -semistable sheaf;
- (2)  $\mu_\omega(\text{Gr}_i(\Sigma_\omega))$  is a strictly decreasing function in  $i$ .

*Sketch of existence.* Put  $\mu_\omega^{\max}(\mathcal{E}) := \sup\{\mu_\omega(\mathcal{F}) \mid 0 \neq \mathcal{F} \subseteq \mathcal{E} \text{ a coherent subsheaf}\}$ . Then we need to prove that

- (1)  $\mu_\omega^{\max}(\mathcal{E}) < \infty$ ;
- (2) There exists a saturated subsheaf  $\mathcal{F}_1 \subseteq \mathcal{E}$  with maximal slope.

<sup>4</sup>A subbundle  $\mathcal{F} \subseteq \mathcal{E}$  means  $\mathcal{F}$  is locally free and the quotient  $\mathcal{E}/\mathcal{F}$  is again locally free.

After that, suppose both  $\mathcal{F}_1$  and  $\mathcal{F}_2$  coherent subsheaves of rank  $r_1$  and  $r_2$  with maximal slope. By the following exact sequence

$$0 \rightarrow \mathcal{F}_1 \cap \mathcal{F}_2 \rightarrow \mathcal{F}_1 \oplus \mathcal{F}_2 \rightarrow \mathcal{F}_1 + \mathcal{F}_2 \rightarrow 0,$$

one has

$$\begin{aligned} c_1(\mathcal{F}_1 + \mathcal{F}_2) &= c_1(\mathcal{F}_1) + c_1(\mathcal{F}_2) - c_1(\mathcal{F}_1 \cap \mathcal{F}_2) \\ \text{rk}(\mathcal{F}_1 + \mathcal{F}_2) &= \text{rk}(\mathcal{F}_1) + \text{rk}(\mathcal{F}_2) - \text{rk}(\mathcal{F}_1 \cap \mathcal{F}_2). \end{aligned}$$

Then

$$\begin{aligned} \text{rk}(\mathcal{F}_1 + \mathcal{F}_2)\mu_\omega(\mathcal{F}_1 + \mathcal{F}_2) &= r_1\mu_\omega(\mathcal{F}_1) + r_2\mu_\omega(\mathcal{F}_2) - \text{rk}(\mathcal{F}_1 \cap \mathcal{F}_2)\mu_\omega(\mathcal{F}_1 \cap \mathcal{F}_2) \\ &\geq (r_1 + r_2)\mu_\omega^{\max}(\mathcal{E}) - \text{rk}(\mathcal{F}_1 \cap \mathcal{F}_2)\mu_\omega^{\max}(\mathcal{E}) \\ &= \text{rk}(\mathcal{F}_1 + \mathcal{F}_2)\mu_\omega^{\max}(\mathcal{E}). \end{aligned}$$

This shows  $\mathcal{F}_1 + \mathcal{F}_2$  also has the maximal slope. By adding all these subsheaves together, this gives the *maximal  $\omega$ -destabilizing subsheaf*  $\mathcal{E}_1$ . Repeat above process to obtain the maximal  $\omega$ -destabilizing subsheaf of  $\mathcal{E}/\mathcal{E}_1$ , and consider its preimage to obtain  $\mathcal{E}_2$ , that is,  $\mathcal{E}_2/\mathcal{E}_1 = (\mathcal{E}/\mathcal{E}_1)_1$ . Then  $\mu_\omega(\mathcal{E}_1) > \mu_\omega(\mathcal{E}_2/\mathcal{E}_1)$ , otherwise we would have  $\mu_\omega(\mathcal{E}_1) \leq \mu_\omega(\mathcal{E}_2)$ , a contradiction.  $\square$

**Remark 3.1.1.** The maximal  $\omega$ -destabilizing subsheaf of  $\mathcal{E}$  is characterized by the following properties:

- (1)  $\mu_\omega(\mathcal{E}_1) \geq \mu_\omega(\mathcal{F})$  for every coherent subsheaf  $\mathcal{F}$  of  $\mathcal{E}$ ;
- (2) If  $\mu_\omega(\mathcal{E}_1) = \mu_\omega(\mathcal{F})$  for  $\mathcal{F} \subset \mathcal{E}$ , then  $\mathcal{F} \subset \mathcal{E}_1$ .

**Remark 3.1.2.** The  $\omega$ -semistable filtration of the dual sheaf  $\mathcal{E}^*$  is essentially the same as that of  $\mathcal{E}$ , with each entry substituted by the duals of the quotient  $\mathcal{E}/\mathcal{E}_{s-i}$ .

**3.2. Stability of rank two Higgs bundle on Riemann surfaces.** Let  $\Sigma$  be a compact Riemann surface.

**Definition 3.2.1.** A Higgs bundle  $(\mathcal{E}, \theta)$  on  $\Sigma$  is defined to be *stable* if, for every  $\theta$ -invariant subbundle  $\mathcal{F} \subseteq \mathcal{E}$ , we have  $\mu(\mathcal{F}) < \mu(\mathcal{E})$ .

If the Higgs field  $\theta = 0$ , then it reduces to the stability of a vector bundle. However, there exists a stable Higgs bundle  $(\mathcal{E}, \theta)$ , but  $\mathcal{E}$  is not stable as a vector bundle.

**Example 3.2.1.** Suppose  $\Sigma$  is a compact Riemann surface with genus  $g > 1$ . Then consider the uniformizing bundle  $\mathcal{V} = K_\Sigma^{\frac{1}{2}} \oplus K_\Sigma^{-\frac{1}{2}}$  and the Higgs field  $\theta$  is given by

$$\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

Since  $K_\Sigma^{-\frac{1}{2}}$  is the only  $\theta$ -invariant subbundle, and it's of negative degree, so  $(\mathcal{V}, \theta)$  is stable. However, it's clear that  $\mathcal{V}$  is not stable as a vector bundle.

An interesting fact is that above phenomenon only happens on compact Riemann surface with genus  $g > 1$ .

**Lemma 3.2.1.** Let  $\Sigma$  be a compact Riemann surface of genus  $g \leq 1$  and  $(\mathcal{E}, \theta)$  be a semistable Higgs bundle. Then  $\mathcal{E}$  is semistable as a vector bundle.

*Proof.* Suppose  $\mathcal{E}$  is not semistable and its Harder-Narasimhan filtration is given by

$$0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \cdots \subset \mathcal{E}_{s-1} \subset \mathcal{E}_s = \mathcal{E},$$

where the  $\mathcal{G}_i = \mathcal{E}_i / \mathcal{E}_{i-1}$  are semistable bundles with  $\mu(\mathcal{G}_i) > \mu(\mathcal{G}_j)$  if  $i < j$ .

The maximal destabilizer  $\mathcal{E}_1 = \mathcal{G}_1$  has  $\deg(\mathcal{E}_1) > \deg(\mathcal{E})$ . Suppose  $\theta(\mathcal{E}_1)$  is non-zero and take  $\mathcal{E}_\ell$  such that  $\theta(\mathcal{E}_1) \subseteq \mathcal{E}_\ell \otimes K_\Sigma$  but  $\theta(\mathcal{E}_1) \not\subseteq \mathcal{E}_{\ell-1} \otimes K_\Sigma$ . Then  $\theta$  induces a non-zero morphism  $\mathcal{G}_1 \rightarrow \mathcal{G}_\ell \otimes K_\Sigma$ .

Since  $g \leq 1$ , we have  $\mu(\mathcal{G}_\ell \otimes K_\Sigma) = \mu(\mathcal{G}_\ell) + 2g - 2 \leq \mu(\mathcal{G}_\ell) < \mu(\mathcal{G}_1)$ , and thus there are no non-zero morphisms unless  $\ell = 1$ , since  $\mathcal{G}_i$  are semistable. This shows  $\mathcal{E}_1$  is  $\theta$ -invariant and  $(\mathcal{E}, \theta)$  is not  $\theta$ -semistable, a contradiction.  $\square$

### 3.2.1. Rank two stable Higgs bundle on projective line and elliptic curve.

**Example 3.2.2.** There are no stable Higgs bundle of rank two on  $\mathbb{P}^1$ . Suppose  $(\mathcal{E}, \theta)$  is a Higgs bundle of rank two. By Grothendieck's classification of vector bundle on  $\mathbb{P}^1$ ,  $\mathcal{E} \cong \mathcal{O}_{\mathbb{P}^1}(m) \oplus \mathcal{O}_{\mathbb{P}^1}(n)$ , where  $m, n \in \mathbb{Z}$ . Suppose the Higgs field  $\theta$  is given by

$$\begin{pmatrix} \theta_{11} & \theta_{12} \\ \theta_{21} & \theta_{22} \end{pmatrix},$$

where  $\theta_{11}, \theta_{22} \in H^0(\mathbb{P}^1, K_{\mathbb{P}^1}) = H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(-2)) = 0$ ,  $\theta_{12} \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(m-n-2))$  and  $\theta_{21} \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(n-m-2))$ . Without lose of generality, we may assume  $m \geq n$ , hence  $\theta_{21} = 0$  and  $\mathcal{O}_{\mathbb{P}^1}(m)$  is  $\theta$ -invariant. However,

$$\mu(\mathcal{O}_{\mathbb{P}^1}(m)) = m > \frac{1}{2}(m+n) = \mu(\mathcal{E}).$$

This shows  $(\mathcal{E}, \theta)$  is not stable.

**Example 3.2.3.** Let  $(\mathcal{E}, \theta)$  be a rank two stable Higgs bundle on elliptic curve  $E$ . Since  $K_E$  is trivial, the Higgs field  $\theta$  are endomorphisms of  $\mathcal{E}$ , so without lose of generality we may assume  $\mathcal{E}$  is indecomposable, otherwise if  $\mathcal{E}$  is decomposable, it cannot be stable.

By Atiyah's classification of vector bundles on elliptic curve ([Ati57, Theorem 5, Theorem 6]), we know that, after tensoring with a line bundle,  $\mathcal{E}$  is equivalent to the non-trivial extension

$$0 \rightarrow \mathcal{O}_E \rightarrow \mathcal{E} \rightarrow \mathcal{O}_E \rightarrow 0$$

defined by  $H^1(E, \mathcal{O}_E) = H^0(E, \mathcal{O}_E) \cong \mathbb{C}$ , or

$$0 \rightarrow \mathcal{O}_E \rightarrow \mathcal{E} \rightarrow \mathcal{O}_E(1) \rightarrow 0$$

defined by  $H^1(E, \mathcal{O}_E(-1)) = H^0(E, \mathcal{O}_E(1)) \cong \mathbb{C}$ .

In the first case, the distinguished trivial bundle  $\mathcal{L} \cong \mathcal{O}$  is invariant by each endomorphism, but  $\mu(\mathcal{L}) = 0 = \mu(\mathcal{E})$ , which contradicts to the assumption  $(\mathcal{E}, \theta)$  is stable. In the second case,  $\mathcal{E}$  is a stable vector bundle, hence the only endomorphisms are scalars.



Thus the only stable rank two Higgs bundles on elliptic curve are  $(\mathcal{E}, \theta)$ , where  $\mathcal{E}$  is the unique indecomposable vector bundle of odd degree and  $\theta$  is a scalar.

In the case of compact Riemann surface of genus greater than 1, stable Higgs bundles occur with more frequency, such as the uniformizing Higgs bundle, but there are still restrictions on the holomorphic structure of the underlying vector bundle  $\mathcal{E}$ .

### 3.2.2. Rank two stable Higgs bundle on higher genus curve.

**Proposition 3.2.1.** Let  $\Sigma$  be a compact Riemann surface of genus  $g > 1$ . A rank two vector bundle  $\mathcal{E}$  occurs in a stable Higgs bundle  $(\mathcal{E}, \theta)$  if and only if one of the following holds:

- (1)  $\mathcal{E}$  is stable;
- (2)  $\mathcal{E}$  is semistable and  $g > 2$ ;
- (3) If  $\mathcal{E}$  is semistable and  $g = 2$ , then  $\mathcal{E} \cong \mathcal{U} \otimes \mathcal{L}$ , where  $\mathcal{U}$  is either decomposable or an extension of the trivial bundle by itself;
- (4)  $\mathcal{E}$  is unstable with maximal destabilizer  $\mathcal{L}$ , and  $\dim H^0(\Sigma, \mathcal{L}^{-2} \otimes K_\Sigma \otimes \det \mathcal{E}) \geq 2$ .
- (5)  $\mathcal{E}$  is unstable and decomposable as

$$\mathcal{E} = \mathcal{L} \oplus (\mathcal{L}^* \otimes \det \mathcal{E}),$$

where  $\mathcal{L}$  is the maximal destabilizer and  $\dim H^0(\Sigma, \mathcal{L}^{-2} \otimes K_\Sigma \otimes \det \mathcal{E}) = 1$ .

*Proof.* If  $\mathcal{E}$  is a stable bundle, then  $(\mathcal{E}, 0)$  is a stable Higgs bundle and this provides the case (1). Assume therefore that  $\mathcal{E}$  has a subbundle  $\mathcal{L}$  with  $\deg \mathcal{L} \geq \frac{1}{2} \deg \mathcal{E}$  and write  $\mathcal{E}$  as an extension

$$(3.1) \quad 0 \rightarrow \mathcal{L} \rightarrow \mathcal{E} \rightarrow \mathcal{L}^* \otimes \det \mathcal{E} \rightarrow 0.$$

In order to consider whether there exists a Higgs field  $\theta$  such that  $(\mathcal{E}, \theta)$  is stable as Higgs bundle or not, it suffices to consider the existence of sections of  ${}_0(\mathcal{E}) \otimes K_\Sigma$  which leave  $\mathcal{L}$  invariant, where  ${}_0(\mathcal{E})$  is the sheaf of traceless endomorphisms. Indeed, since every endomorphism can be decomposed as its traceless part and a scalar part, and scalar part always preserves every subbundle, so if every section of  $(\mathcal{E})_0 \otimes K_\Sigma$  leaves  $\mathcal{L}$  invariant, then  $(\mathcal{E}, \theta)$  cannot be a stable Higgs bundle for any Higgs field  $\theta$ .

Note that sections of  ${}_0(\mathcal{E}) \otimes K_\Sigma$  which leave  $\mathcal{L}$  invariant are sections of  $\mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma$ , and there is the following exact sequence

$$0 \rightarrow \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma \rightarrow {}_0(\mathcal{E}) \otimes K_\Sigma \rightarrow \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E} \otimes K_\Sigma \rightarrow 0,$$

which leads to a long exact sequence of cohomology groups

$$0 \rightarrow H^0(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma) \rightarrow H^0(\Sigma, {}_0(\mathcal{E}) \otimes K_\Sigma) \rightarrow H^0(\Sigma, \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E} \otimes K_\Sigma) \xrightarrow{\delta} H^1(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma) \rightarrow \dots$$

By the exactness, we have

$$\ker \delta = \frac{H^0(\Sigma, {}_0(\mathcal{E}) \otimes K_\Sigma)}{H^0(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma)}.$$

Thus there exists a Higgs field  $\theta$  which does not preserve  $\mathcal{L}$  if and only if  $\delta$  is not injective.

Consider the exact sequence of bundles

$$0 \rightarrow \mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}^* \otimes K_\Sigma \rightarrow \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma \xrightarrow{\pi} K_\Sigma \rightarrow 0$$

and its cohomology sequence

$$(3.2) \quad H^0(\Sigma, K_\Sigma) \rightarrow H^1(\Sigma, \mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}^* \otimes K_\Sigma) \rightarrow H^1(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma) \xrightarrow{\pi} H^1(\Sigma, K_\Sigma) \rightarrow 0.$$

If  $\deg \mathcal{L} > \frac{1}{2} \deg \mathcal{E}$ , then

$$H^1(\Sigma, \mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}^* \otimes K_\Sigma) = H^0(\Sigma, \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E}) = 0,$$

so

$$\pi: H^1(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma) \xrightarrow{\cong} H^1(\Sigma, K_\Sigma) = \mathbb{C}.$$

Thus if  $\dim H^0(\Sigma, \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E} \otimes K_\Sigma) \geq 2$ , then  $\delta$  can never be injective. This provides the case (4).

The map

$$\pi \circ \delta: H^0(\Sigma, \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E} \otimes K_\Sigma) \rightarrow H^1(\Sigma, K_\Sigma)$$

is given by the cup product with the extension class  $e$  of (3.1). Thus if the extension class  $e = 0$ , then  $\delta$  can never be injective. This provides the case (5). It's clear that if  $H^0(\Sigma, \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E} \otimes K_\Sigma) = 0$ , then  $\delta$  is always injective.

It remains to consider the semistable case with  $\deg \mathcal{L} = \frac{1}{2} \deg \mathcal{E}$ . In this case, the bundle  $\mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}$  is of degree zero.

- (1) If  $\mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}^*$  is not trivial, then  $H^1(\Sigma, \mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}^* \otimes K_\Sigma) = H^0(\Sigma, \mathcal{L}^{-\otimes 2} \otimes \det \mathcal{E}) = 0$  since a degree zero line bundle has global section if and only if it's trivial. In this case,

$$H^1(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma) \cong H^1(\Sigma, K_\Sigma) = \mathbb{C}$$

as above. By Riemann-Roch theorem,

$$\dim H^0(\Sigma, \mathcal{L}^{\otimes -2} \otimes \det \mathcal{E} \otimes K_\Sigma) = g - 1,$$

so  $\delta$  can be injective if and only if  $g = 2$ , and the extension class of (3.1) is non-trivial.

- (2) Suppose  $\mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}^*$  is trivial.

- (a) If the extension class of (3.1) is non-trivial, then the coboundary map  $H^0(\Sigma, K_\Sigma) \rightarrow H^1(\Sigma, K_\Sigma)$  in exact sequence (3.2) is surjective, and thus  $\dim H^1(\Sigma, \mathcal{E}^* \otimes \mathcal{L} \otimes K_\Sigma) = 1$ . However, in this case

$$\dim H^0(\Sigma, \mathcal{L}^{\otimes -2} \otimes \det \mathcal{E} \otimes K_\Sigma) = g$$

and thus  $\delta$  can never be injective for  $g \geq 2$ .

- (b) If the extension class of (3.1) is trivial, then

$$\mathcal{E} = \mathcal{L} \oplus (\mathcal{L}^* \otimes \det \mathcal{E}) = \mathcal{L}^{\oplus 2}.$$

The above arguments provide the cases (2) and (3) and this completes the proof.  $\square$

*Remark 3.2.1.* Cases (4) and (5) of Proposition 3.2.1 show that when  $\mathcal{E}$  is unstable, there is a constraint on the maximal destabilizer  $\mathcal{L}$  in order for  $\mathcal{E}$  to belong to a stable pair. In particular, since  $\dim H^0(\Sigma, \mathcal{L}^{-2} \otimes K_\Sigma \otimes \det \mathcal{E}) \geq 1$ , we must have

$$0 < \deg(\mathcal{L}^{-2} \otimes \det \mathcal{E}) \leq 2g - 2.$$

Note that if  $\deg(\mathcal{L}^{\otimes 2} \otimes \det \mathcal{E}) = 2$ , then the condition

$$\dim H^0(\Sigma, \mathcal{L}^{\otimes -2} \otimes \det \mathcal{E} \otimes K_\Sigma) = 1$$

implies that

$$\mathcal{L}^{\otimes 2} \otimes \det \mathcal{E} \cong K_\Sigma.$$

From case (5) of Proposition 3.2.1, this means that

$$\mathcal{E} \cong (K_\Sigma^{\frac{1}{2}} \oplus K_\Sigma^{-\frac{1}{2}}) \oplus \mathcal{L} \otimes K_\Sigma^{-\frac{1}{2}}.$$

In other words,  $\mathcal{E}$  is exactly the uniformalizing bundle up to tensoring a line bundle.

The information we have derived so far is enough to provide an explicit description of rank two stable Higgs bundles for a compact Riemann surface of genus two. By tensoring a line bundle we may assume that  $\det \mathcal{E}$  is trivial or  $\mathcal{O}_\Sigma(x)$ , where  $x$  is some fixed point of  $\Sigma$ .

**Example 3.2.4.** *If  $g = 2$  and  $\det \mathcal{E}$  is trivial. Then there are following possibilities for  $\mathcal{E}$  to belong to a stable pair:*

- (1)  $\mathcal{E}$  is stable;
- (2)  $\mathcal{E} \cong \mathcal{L} \oplus \mathcal{L}^*$ , where  $\mathcal{L}^{\otimes 2}$  is non-trivial;
- (3)  $\mathcal{E} \cong \mathcal{L}^{\oplus 2}$ , where  $\mathcal{L}^{\otimes 2}$  is trivial;
- (4)  $\mathcal{E}$  is a non-trivial extension of the trivial bundle by itself;
- (5)  $\mathcal{E} \cong K_\Sigma^{\frac{1}{2}} \oplus K_\Sigma^{-\frac{1}{2}}$ .

**Example 3.2.5.** *If  $g = 2$  and  $\det \mathcal{E} \cong \mathcal{O}_\Sigma(x)$  for some fixed point  $x \in \Sigma$ . Then there are following possibilities for  $\mathcal{E}$  to belong to a stable pair:*

- (1)  $\mathcal{E}$  is stable;
- (2)  $\mathcal{E} \cong \mathcal{L} \oplus \mathcal{L}^* \otimes \mathcal{O}_\Sigma(x)$ , where  $\mathcal{L}$  is a line bundle of degree one and

$$\dim H^0(\Sigma, \mathcal{L}^{\otimes -2} \otimes \mathcal{O}_\Sigma(x) \otimes K_\Sigma) = 1.$$

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