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**SCHOOL of ELECTRICAL ENGINEERING & COMPUTING**  
**FACULTY of ENGINEERING & BUILT ENVIRONMENT**  
**The UNIVERSITY of NEWCASTLE**

**Comp3320/6370 Computer Graphics**

Semester 2, 2018

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**Ray Tracing**

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# COMP3320/6370 Computer Graphics: Ray Tracing

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Mostly based on the book by Peter Shirley  
(lecture notes partially prepared by Steven Nicklin)

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# Setting the Scene

- The scene is a collection of objects, and light sources that are to be viewed via a camera.
  - The scene is arranged in a world, or world space.
  - The world has a width, height and depth in which the objects and light sources can be arranged.
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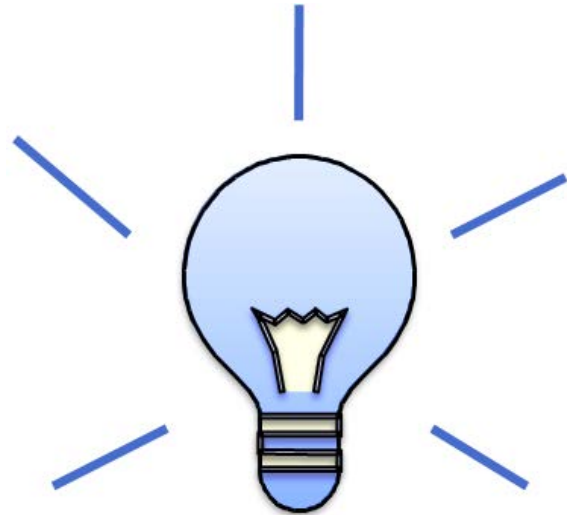
# Objects

- A thing that will be displayed in the scene.
- Objects can consist of shapes which can be described mathematically.
- Can be gas, liquid or solid.
- All objects have some kind of texture, describing its colour, shininess and other properties.



# Light Sources

- A light source has three important properties
  - Position
  - Colour
  - Brightness
- Without lighting nothing in the scene is visible.



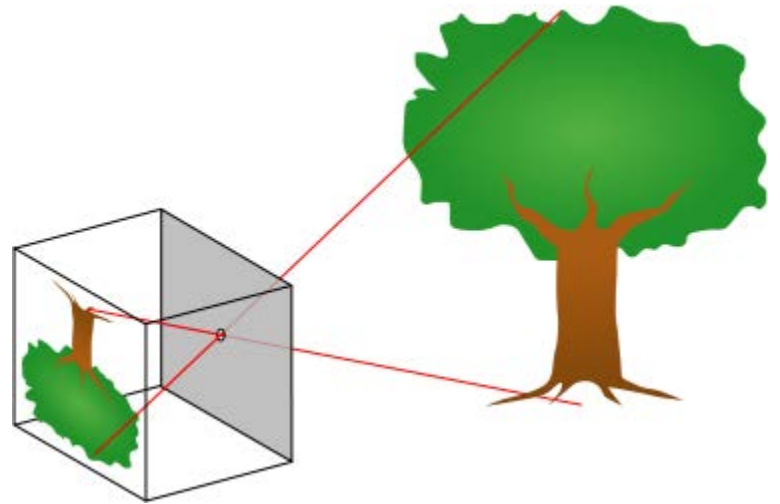
# Camera

- The camera represents the point at which we are viewing the scene.
- The image produced is the scene as viewed through the camera.



# Pinhole Camera

- The small hole restricts the amount of light that enters the camera.
- Each part of the film (in our case each pixel) is only exposed to a small part of the scene.
- Only one possible path for the light to take to reach each pixel.



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# What is Ray Tracing?

- Rendering method produced by tracing the path of light from the viewing point to the light source/s (i.e. backwards).
  - Current method first developed in mid 1980's
  - Simple, yet powerful rendering method
  - Many extensions have been developed to create photo realistic images
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# Why is Ray Tracing Important?

- Aims at producing photorealistic images in 3D Computer Graphics.
  - The animated films *Ice Age*, *Ice Age 2*, *Robots*, *Bunny*, and *The Cathedral* were fully ray traced.
  - Ray tracing was also used in *Happy Feet* and *Cars*.
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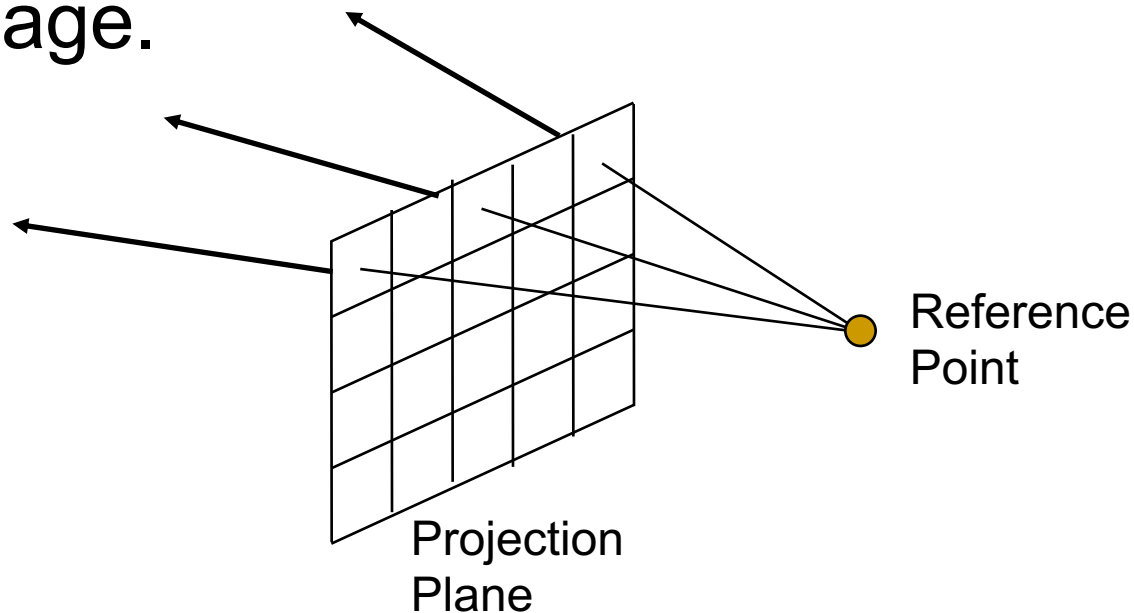
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# Basic Premises of Ray Tracing

- Light rays travel in straight lines
  - Light rays do not interfere with each other when they cross
  - Light travels from the light source to the eye, but the physics are invariant under path reversal (!)
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# General Method

- Primary rays are produced by projecting from a reference point through a projection plane that has been divided into pixels representing the image.



# Ray Casting

- Uses the same principles as raytracing, however does not generate new rays following an intersection.



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# General Method

- Rays are tested for intersection with objects in scene, the closest intersection is used
  - Effect of intersection on ray is determined
  - New rays are created if necessary
  - Process is then repeated for new rays
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# Intersection Methods

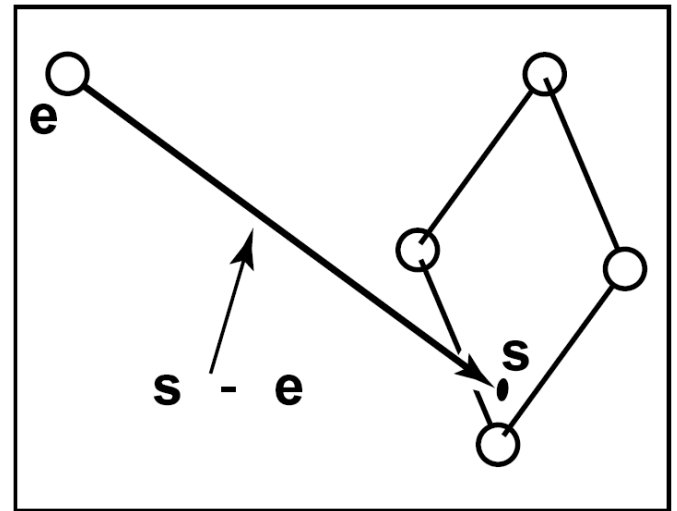
- Many possible intersections and collisions are possible: between objects, light rays and various objects, clipping planes and objects, etc..
  - Each situation has a method for fast calculation.
  - In our exercises and course we restrict ourselves to a simple case and understanding of the underlying concept.
  - But there is much more and if you have a look at the following page you can get some idea and pointers to the different situations <http://www.realtimerendering.com/intersections.html>
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# Finding an intersection

- Rays can be described by a 3D parametric line

$$\mathbf{p}(t) = \mathbf{e} + t(\mathbf{s} - \mathbf{e})$$

- $\mathbf{e}$  = position of eye
- $\mathbf{s}$  = point ray passes through screen
- $t$  = distance
- When an intersection is found, the value of  $t$  tells us distance from  $\mathbf{e}$



# Finding an intersection: Sphere

- Given a ray  $\mathbf{p}(t) = \mathbf{e} + t\mathbf{d}$  and a surface given by equation  $f(\mathbf{p}) = 0$  we want to find the intersection
- Intersection occurs when the point on the ray satisfies the surface equation  $f(\mathbf{p}(t)) = f(\mathbf{e} + t\mathbf{d}) = 0$
- A sphere with centre  $\mathbf{c} = (c_x, c_y, c_z)$  and radius  $R$  can be represented as such an equation

$$(x - c_x)^2 + (y - c_y)^2 + (z - c_z)^2 - R^2 = 0$$

- i.e. in vector form  $(\mathbf{p} - \mathbf{c}) \cdot (\mathbf{p} - \mathbf{c}) - R^2 = 0$



# Finding an intersection: Sphere cont.

- Substituting  $\mathbf{p} = \mathbf{e} + t\mathbf{d}$  gives

$$(\mathbf{e} + t\mathbf{d} - \mathbf{c}) \cdot (\mathbf{e} + t\mathbf{d} - \mathbf{c}) - R^2 = 0$$

- Rearranging gives quadratic equation

$$(\mathbf{d} \cdot \mathbf{d})t^2 + 2\mathbf{d} \cdot (\mathbf{e} - \mathbf{c})t + (\mathbf{e} - \mathbf{c}) \cdot (\mathbf{e} - \mathbf{c}) - R^2 = 0$$

- Solving the quadratic equation for  $t$  results in

$$t = \frac{-\mathbf{d} \cdot (\mathbf{e} - \mathbf{c}) \pm \sqrt{(\mathbf{d} \cdot (\mathbf{e} - \mathbf{c}))^2 - (\mathbf{d} \cdot \mathbf{d})((\mathbf{e} - \mathbf{c}) \cdot (\mathbf{e} - \mathbf{c}) - R^2)}}{(\mathbf{d} \cdot \mathbf{d})}$$

# Finding an intersection: Sphere cont.

- If the discriminante is negative, roots are imaginary and there is no intersection
- If the discriminante is zero there is one unique solution and the ray touches the edge of the sphere at one point
- If the discriminante is positive, there are two solutions and ray passes through sphere

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# Interactions Between Rays and Objects

- After an intersection between a ray and an object, the ray can be affected by:
  - Shadows
  - Reflection
  - Refraction

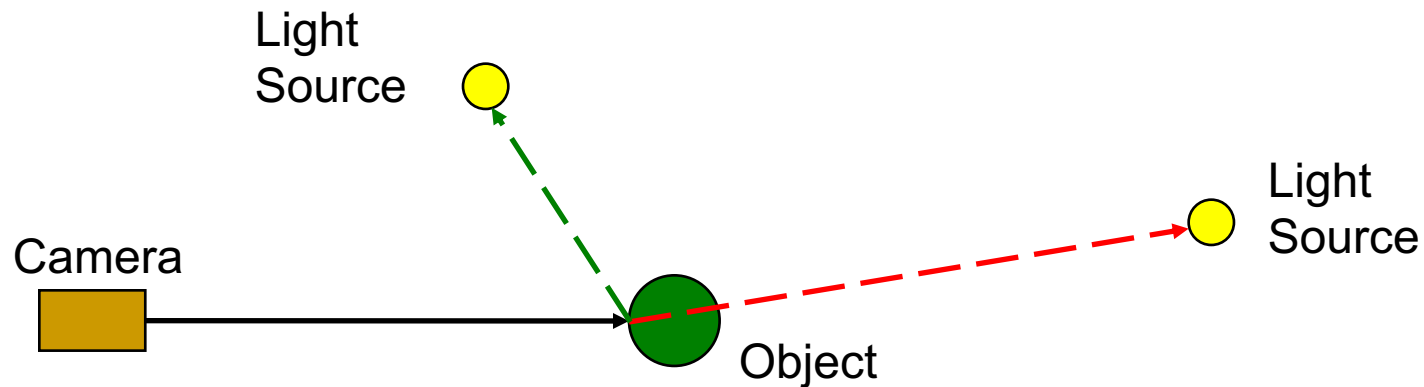
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# Shadows

- Shadows are caused when a ray hits an object which has no line of sight to a light source
  - The amount of shadow is determined by the light sources not in line of sight
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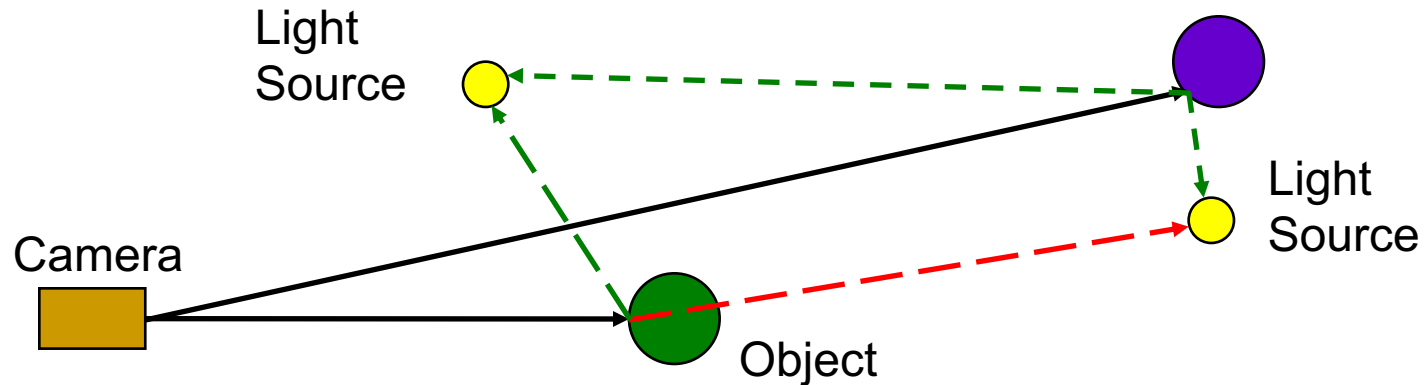
# Shadows

- Shadows are found by sending rays towards the light source/s to determine line of sight
- If there is no line of sight to the light source, that point is in shadow with respect to that source.



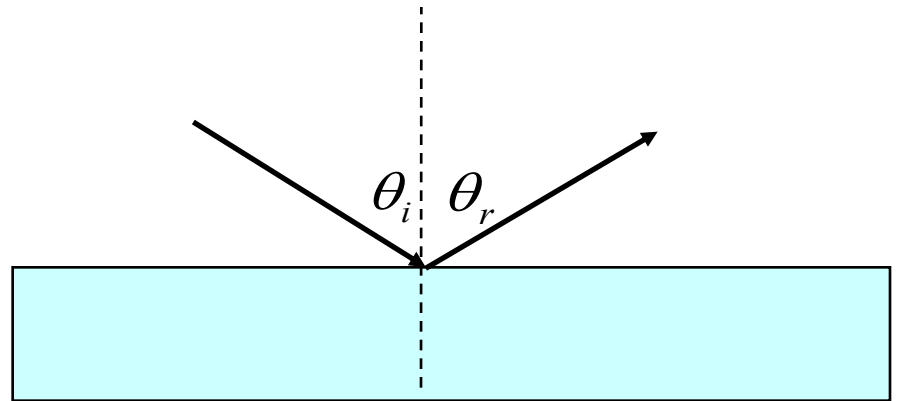
# Shadows

- The lower the number of light sources acting on the point, the darker the shadow



# Reflection

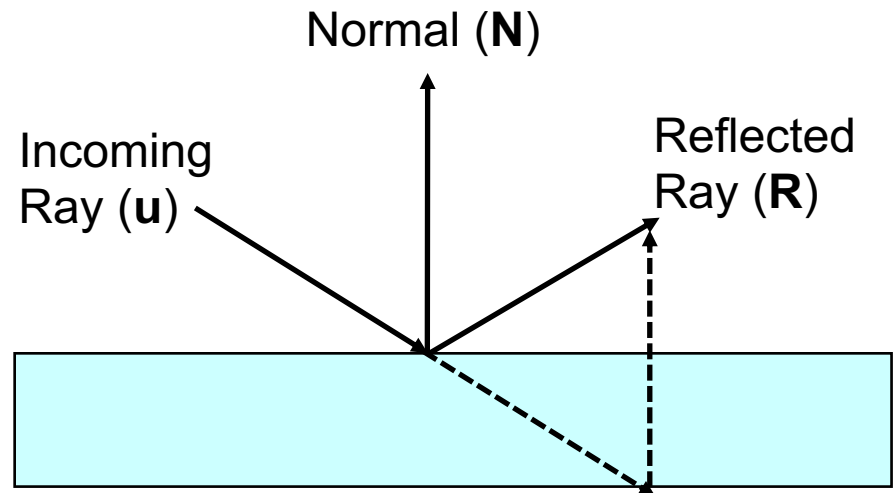
- Reflections occur when the ray hits a reflective object
- The angle of reflection equals the angle of incidence
- $\theta_r = \theta_i$



# Reflection

- To find the reflected ray  $\mathbf{R}$  from the incoming ray  $\mathbf{u}$  and the unit normal vector  $\mathbf{N}$

$$\mathbf{R} = \mathbf{u} - (2\mathbf{u} \cdot \mathbf{N})\mathbf{N}$$





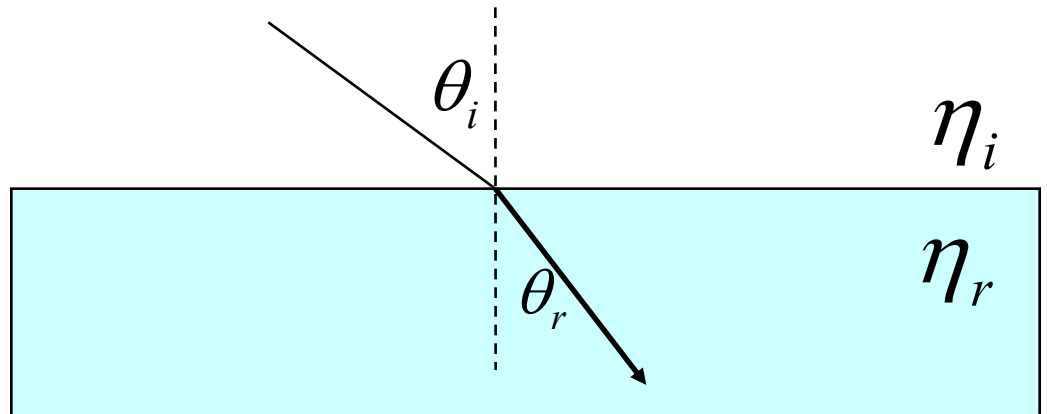
# Refraction

- Light rays are *refracted* when they change mediums.

- Described by Snell's Law

$$\eta_i \sin \theta_i = \eta_r \sin \theta_r$$

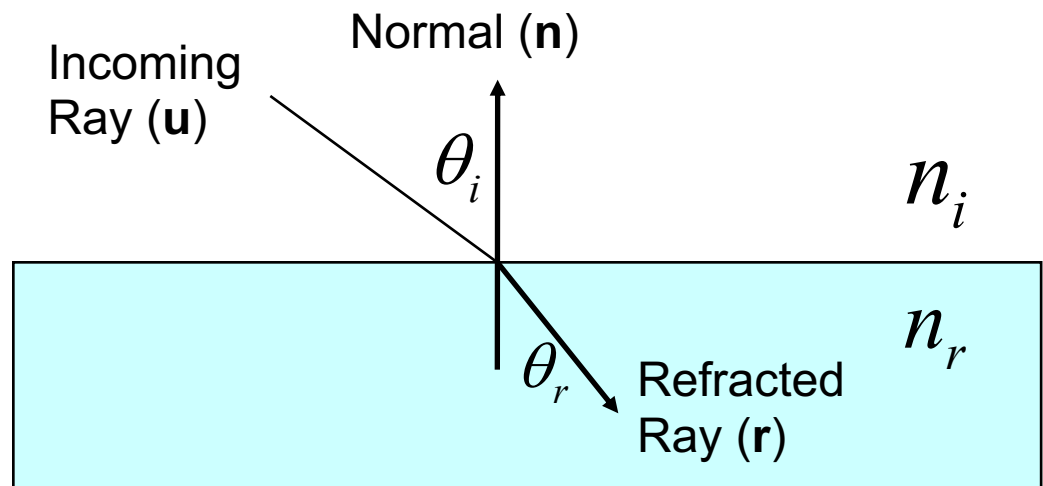
- $\eta$  is given by a materials refractive index



# Refraction

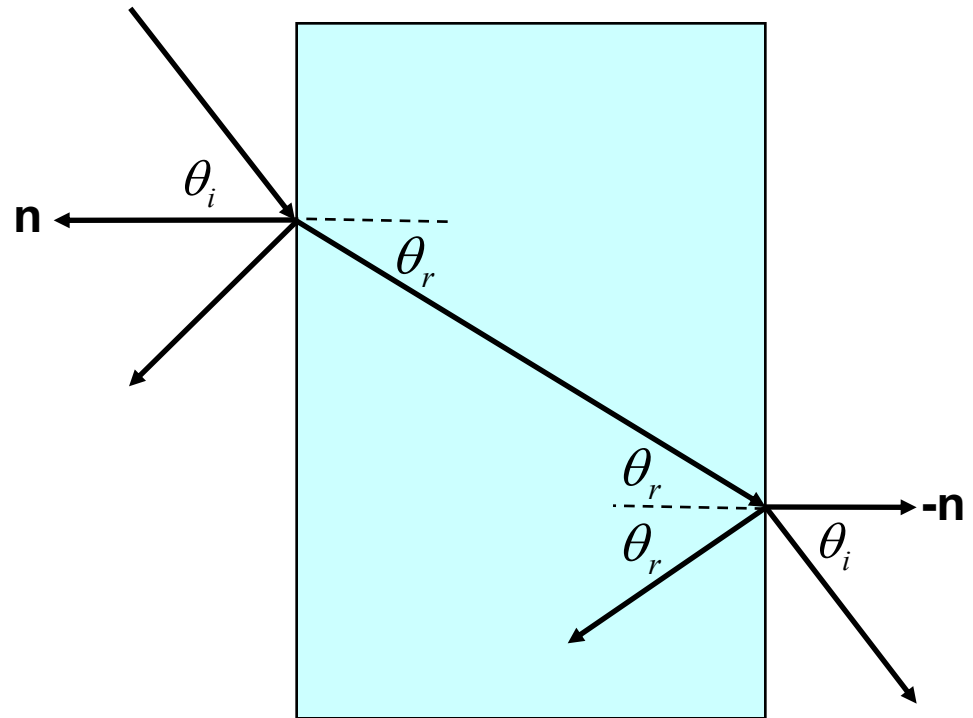
- We note (e.g. by looking at a physics book [not asked in exam]) that the refracted ray  $\mathbf{r}$  (vector) is given by

$$\mathbf{r} = \frac{n_i(\mathbf{u} - \mathbf{n}(\mathbf{u} \cdot \mathbf{n}))}{n_r} - \mathbf{n} \sqrt{1 - \frac{n_i^2(1 - (\mathbf{u} \cdot \mathbf{n})^2)}{n_r^2}}$$



# Refraction

- Refracted rays can pass through the object causing further refraction and internal reflections as they leave the medium



# Refraction

- Since light is both refracted and reflected we need to determine how the total light is split
- The reflectivity varies depending on incident angle according to the *Fresnel Equations*
- This can be approximated using the *Schlick approximation* [formula not asked in exam].

$$R(\theta) = R_0 + (1 - R_0)(1 - \cos \theta)^5 \quad R_0 = \left( \frac{n_r - 1}{n_r + 1} \right)^2$$

# Tracing a Ray – Basic Algorithm

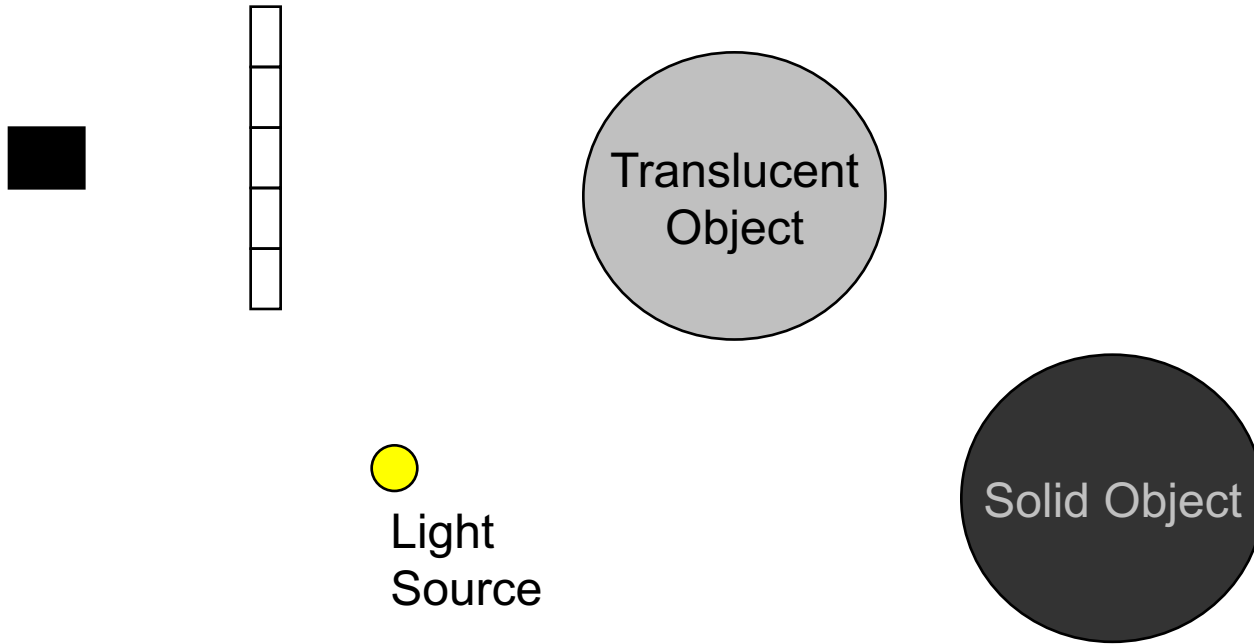
- For each pixel
  - Form primary ray
  - Find closest intersection
  - If intersection found
    - Shade(depth+1,final) // compute pixel colour based on the results of ray intersections
  - Put final shade in pixel
- Shade(depth,rtnshade) // **rtn** = reflection, transparent, normal
  - Form shadow ray
  - Find intersection
  - If reflective
    - Form reflected ray
    - Find closest intersect
    - Shade(depth+1,reflshade)
  - If transparent
    - Form refract ray
    - Find closest intersect
    - Shade(depth+1,refrshade)
  - Compute **rtnshade**

# Tracing a Ray – Basic Process

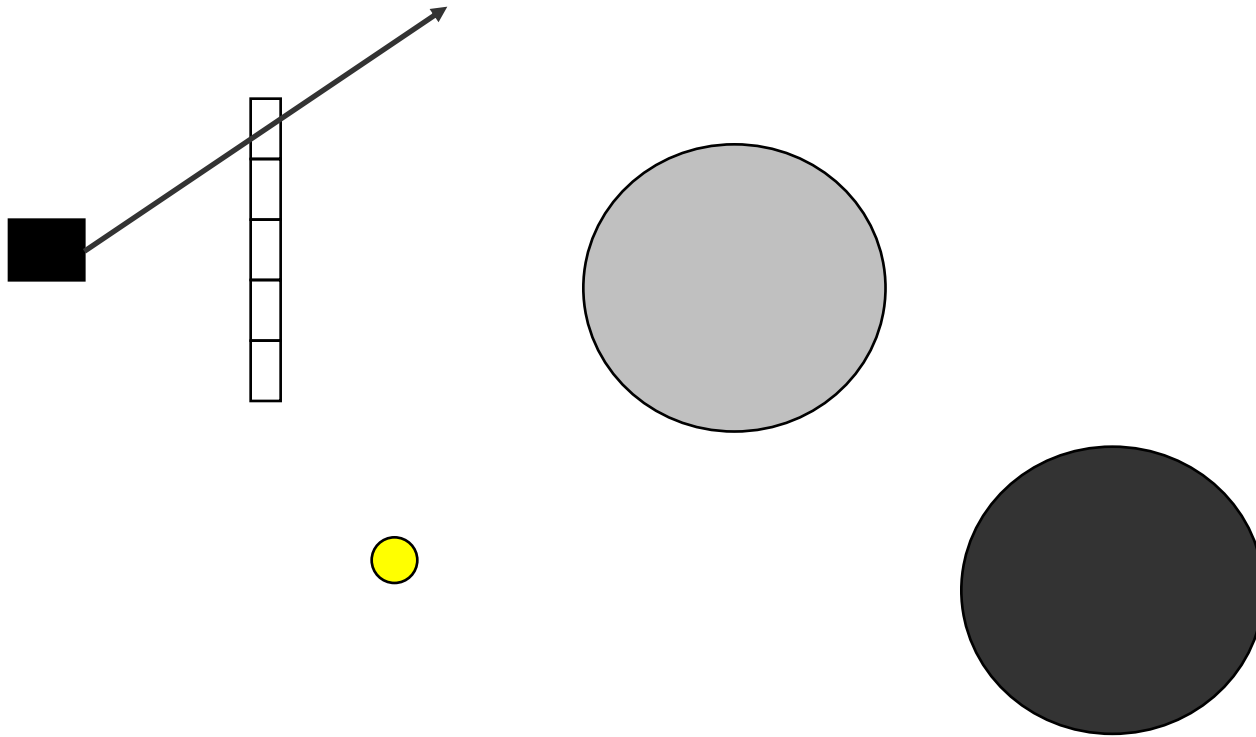
- Recursive process
- Need a limit on number of rays formed or it could become infinite!



# Tracing a Ray – 2D Example

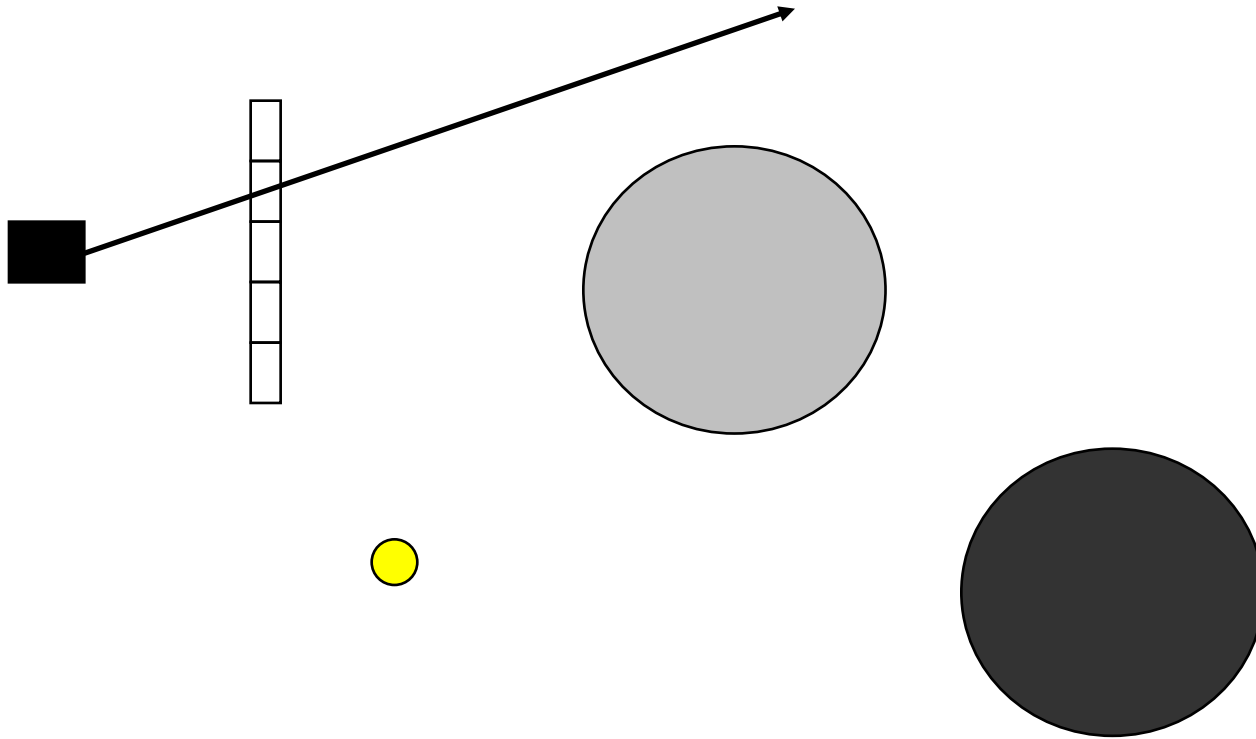


# Tracing a Ray – 2D Example

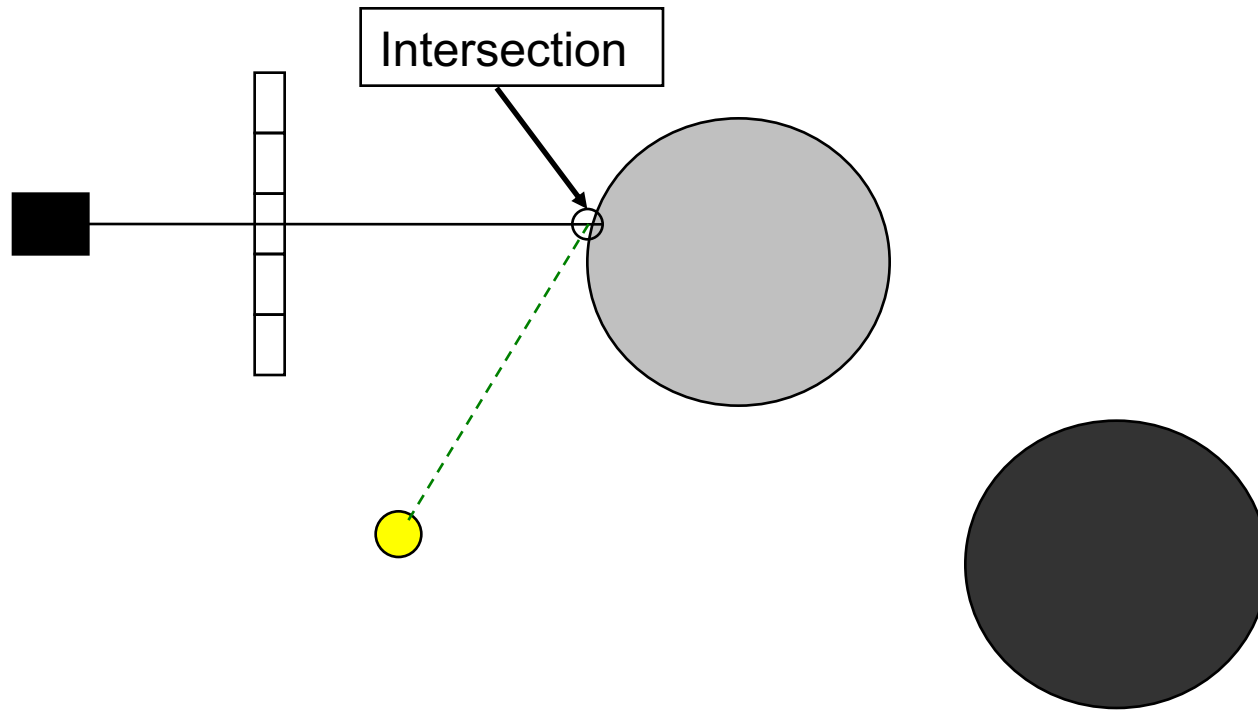




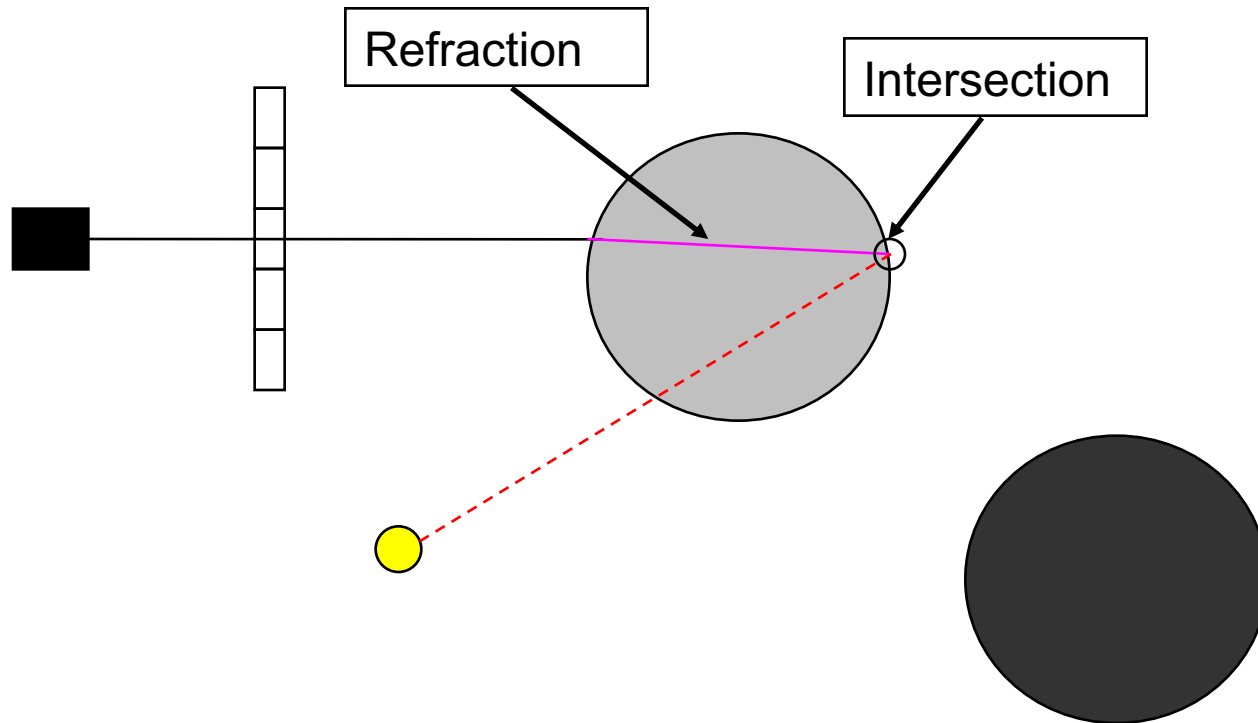
# Tracing a Ray – 2D Example



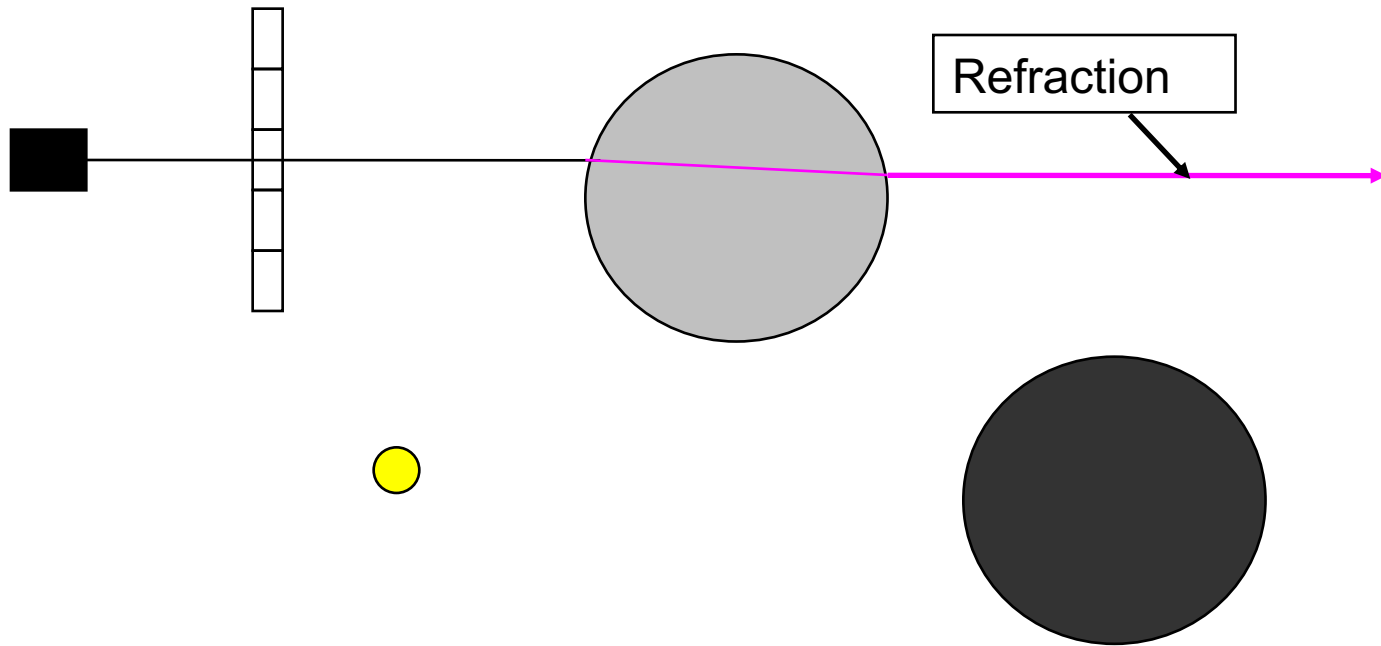
# Tracing a Ray – 2D Example



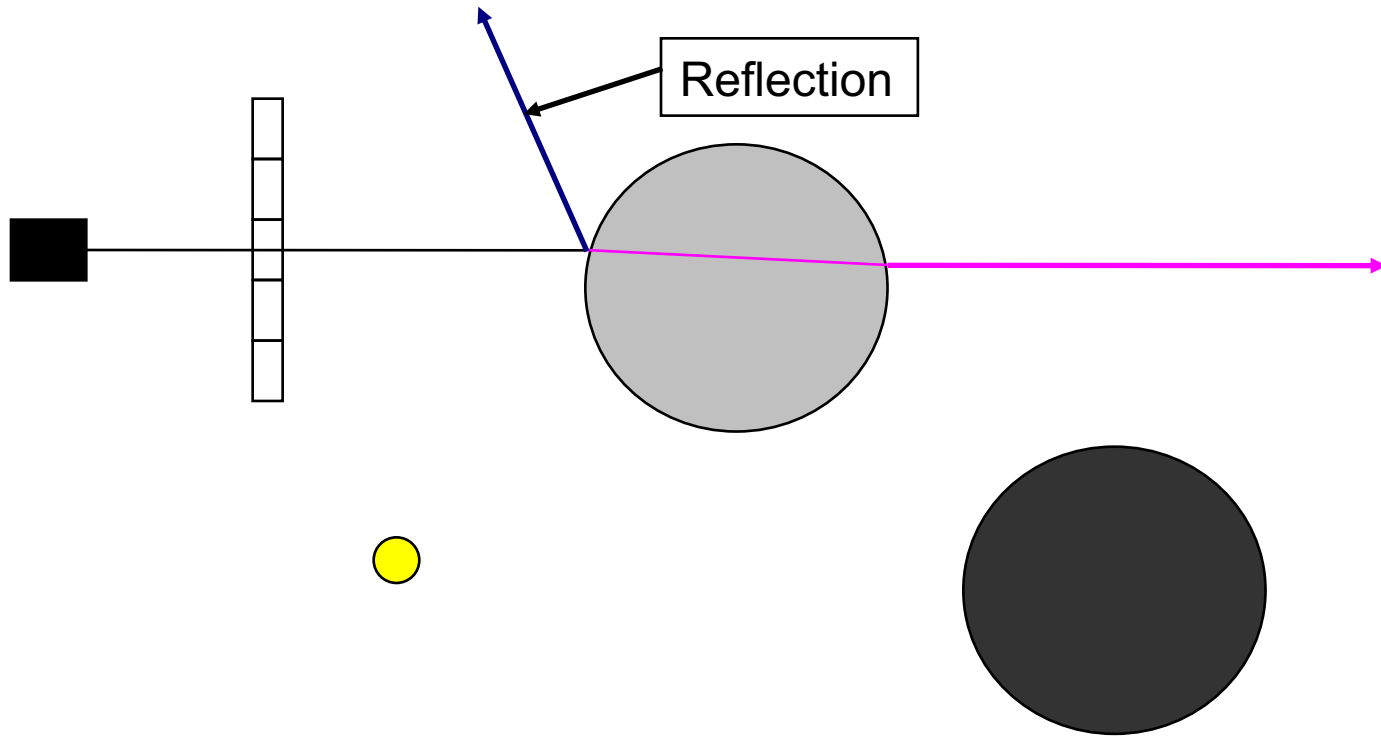
# Tracing a Ray – 2D Example



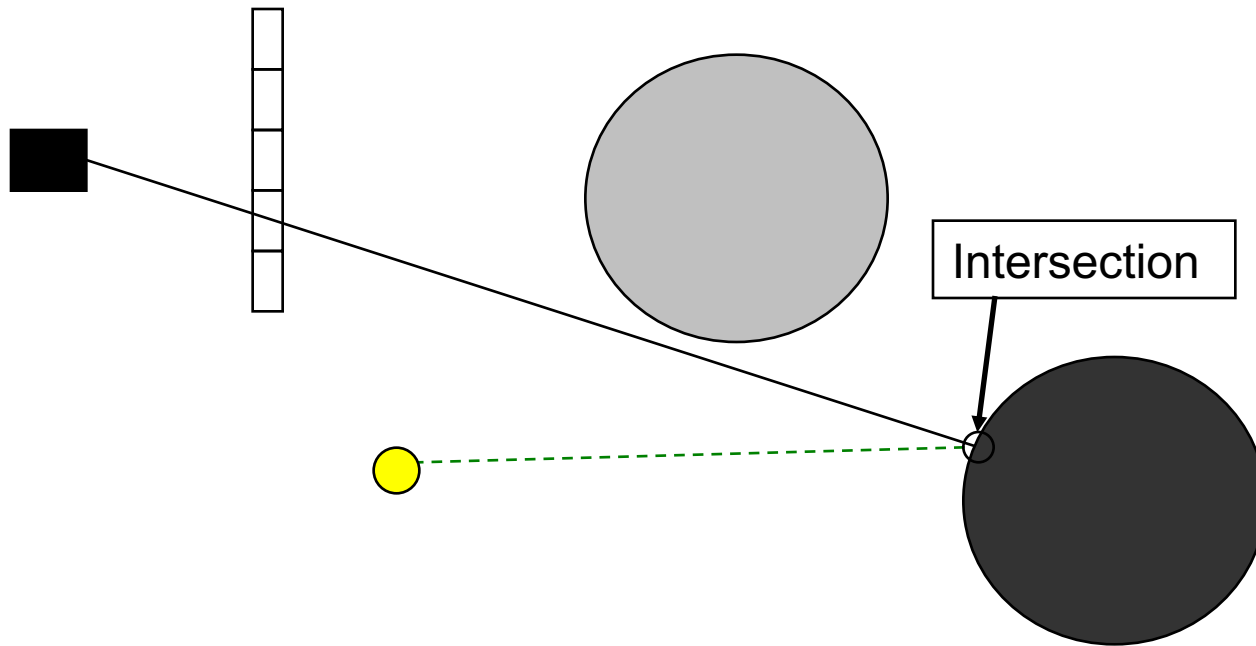
# Tracing a Ray – 2D Example



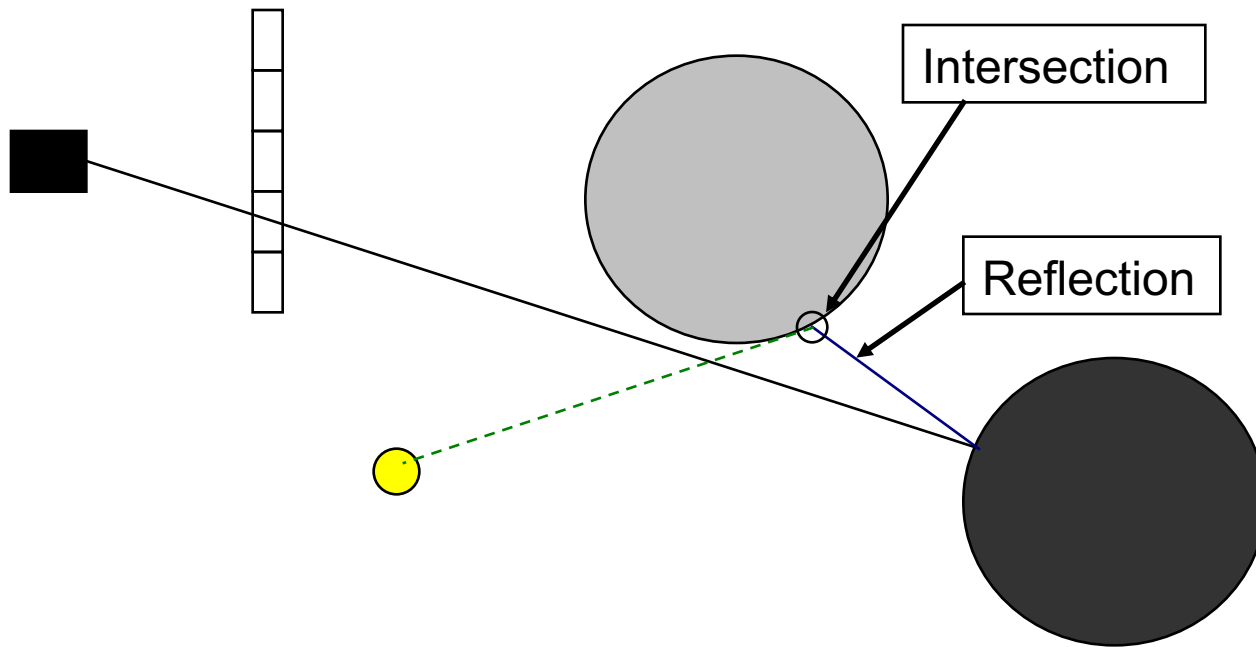
# Tracing a Ray – 2D Example



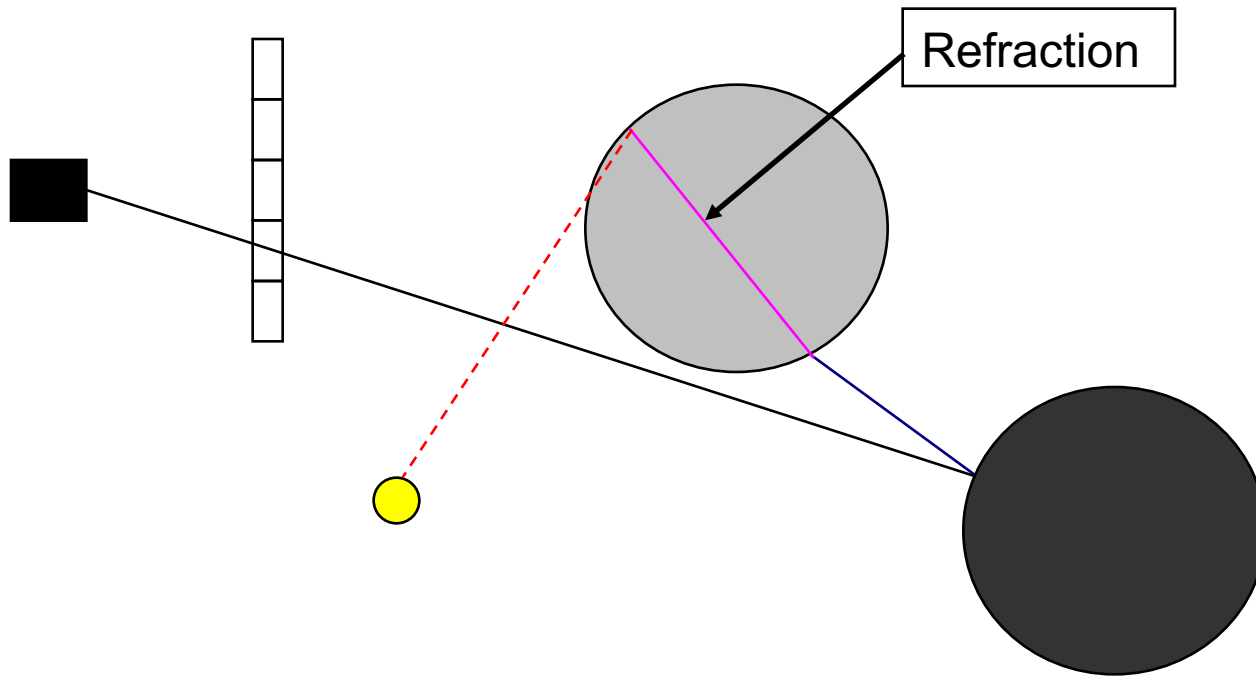
# Tracing a Ray – 2D Example



# Tracing a Ray – 2D Example

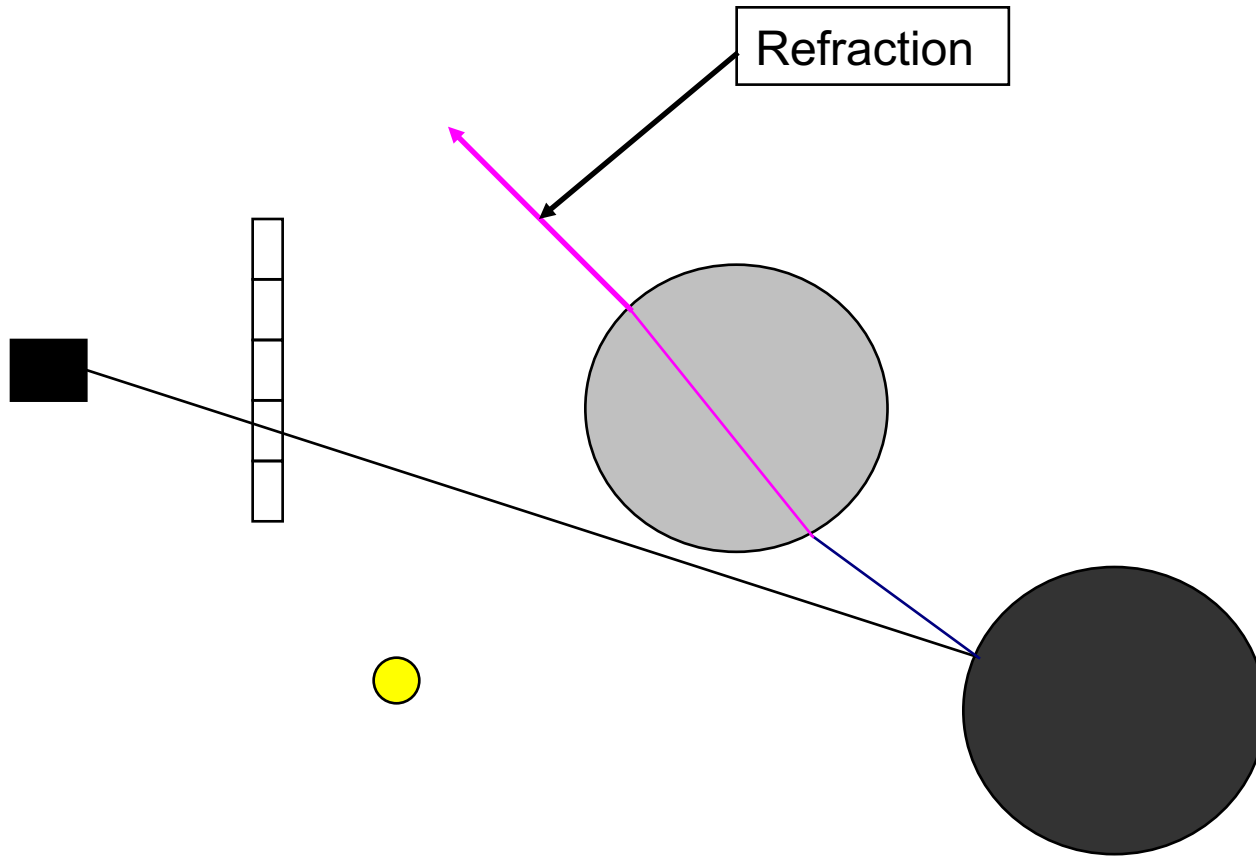


# Tracing a Ray – 2D Example

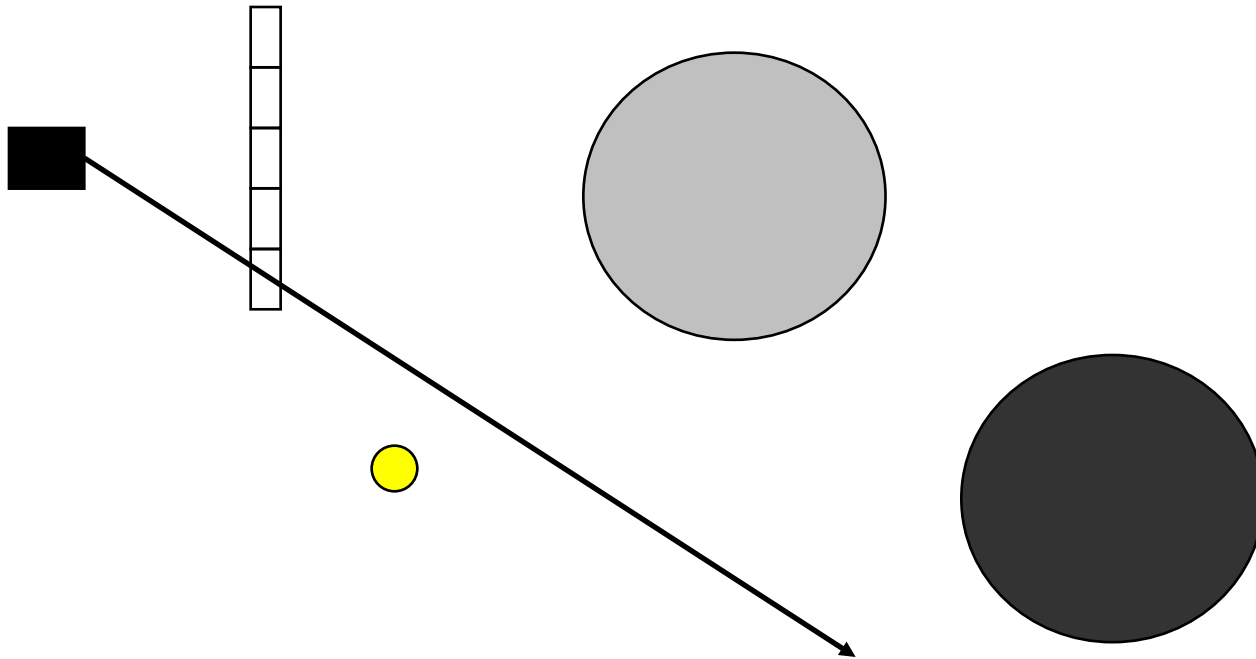




# Tracing a Ray – 2D Example



# Tracing a Ray – 2D Example



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# Ray-Tracing in Practice

- Often used for realistic computer generated images
  - Advanced version used e.g. in the Pixar film “*Cars*”, due to the high level of reflections in the film
  - Its use is limited by high computation requirements. This is becoming less of an issue with modern processors and methods.
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# Advantages of Ray Tracing

- Technique inherently produces:
    - ❑ Global reflections
    - ❑ Detects visible surfaces
    - ❑ Shadows
    - ❑ Transparency effects
    - ❑ Perspective-projection views
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# Limitations

- Images are often too precise, with sharp shadows, sharp edges, all objects in focus, and mirror-like reflections
  - Many other effects are missing
  - Combining with more advanced methods leads to best results
  - Takes a large amount of computing resources
-

# Ray-Tracing in Practice



# Why is Traditional Ray-Tracing so Processor Intensive?

- Image at  $1024 \times 768 = 786,432$  Pixels
- One primary ray must be sent for each of the pixels
- Each pixel may have multiple rays occurring from intersections
- Every ray must be compared with all objects in the scene for intersections
- **Intersection calculations** can be expensive and use up to 95% of processing time !!

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# Distributed Ray Tracing

- Images produced by simple Ray-Tracing are often too “clean”
  - Distributed ray tracing can be used to produce the following effects:
    - ❑ Anti-Aliasing
    - ❑ Soft Shadows
    - ❑ Depth of Field
    - ❑ Glossy Reflections
    - ❑ Motion Blur
-



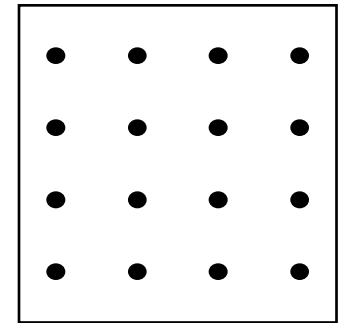
# Anti-Aliasing

- Simple way to perform anti-aliasing is to calculate the average colour value over the area of the pixel
- To do anti-aliasing with ray tracing we do this by creating multiple rays for each pixel and computing the average from these
- Where should rays be formed within the pixel?

# Anti-Aliasing

## ■ Regular Grid

- ❑ Produces same result as scaling down a higher resolution ray-trace
- ❑ Regular pattern can create regular artefacts such as Moire patterns

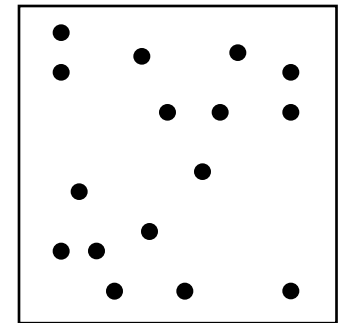


Regular Samples

# Anti-Aliasing

## ■ Random

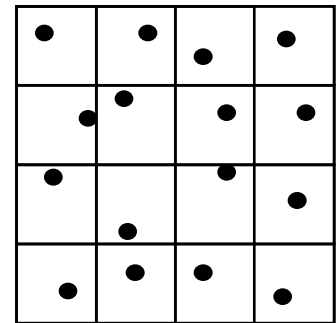
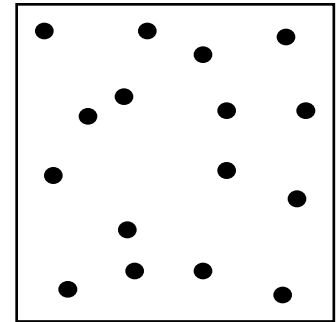
- ❑ Samples randomly placed within pixel
- ❑ Noise from randomness of sample selection can be a problem unless a lot of samples are used



Random Samples

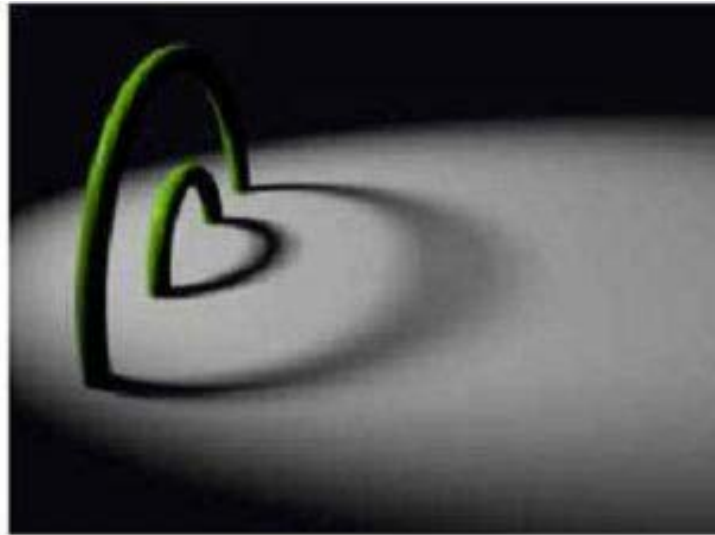
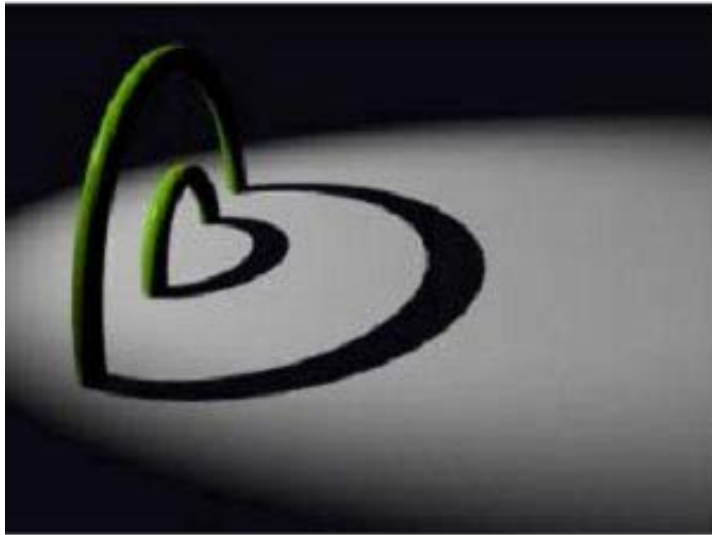
# Anti-Aliasing

- Stratified or jittered Samples
  - Combines the best parts of both techniques
  - Divides the pixel in to a grid and then places each sample randomly inside each section
  - Allows semi-random positioning while still covering the majority of the pixel



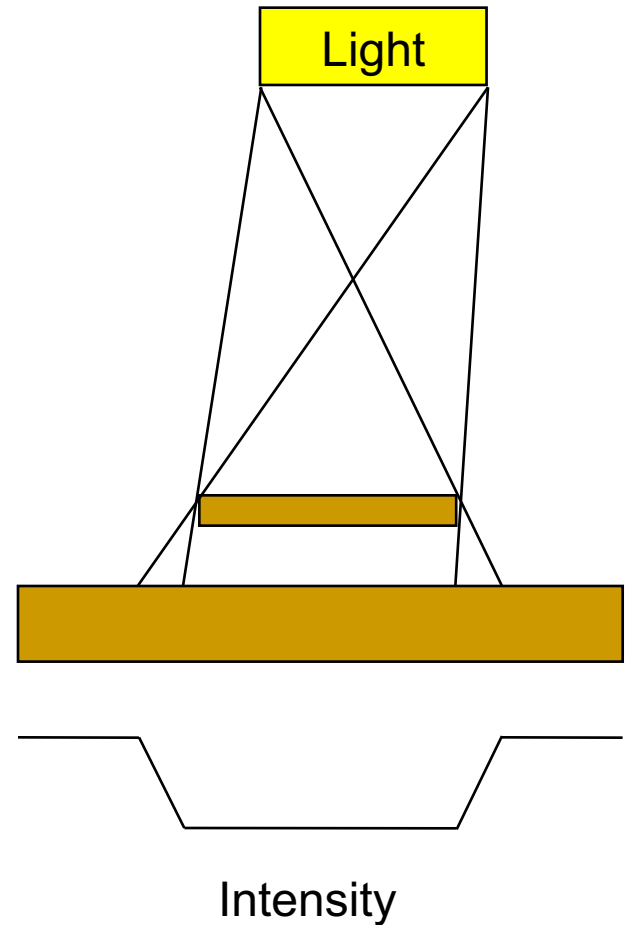
Stratified (jittered) samples

# Soft Shadows



# Soft Shadows

- Soft shadows occur because real light sources are not singular points (as assumed previously).
- Actual light sources have an area and therefore can give varying levels of shadow



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# Soft Shadows

- Need to account for light source being an area rather than a point
  - Could represent the light source as a series of points, however this would require many sources to get desired results
  - Choose a random spot on the light source for each ray's shadow determination
  - Because of multiple samples for each pixel an average value results
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# Depth of Field

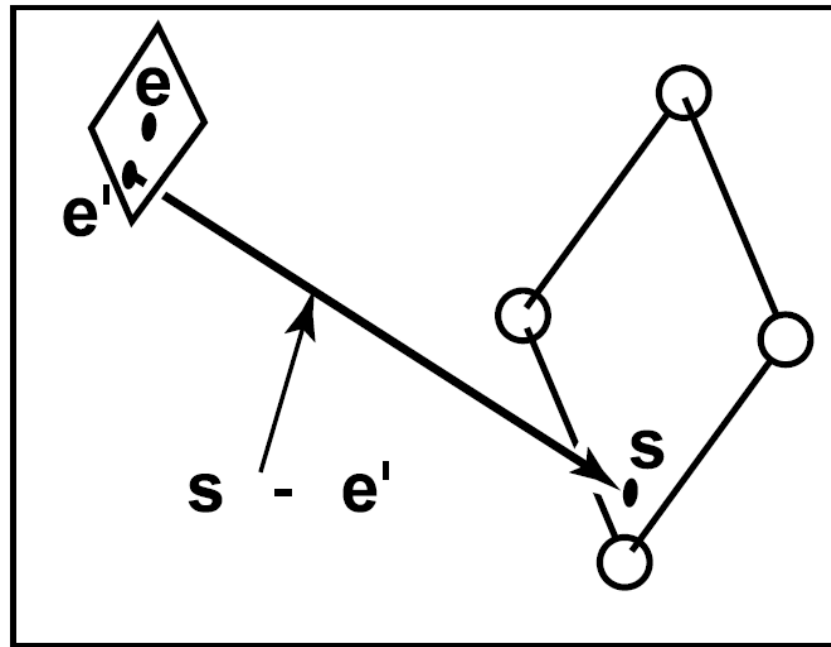




# Depth of field

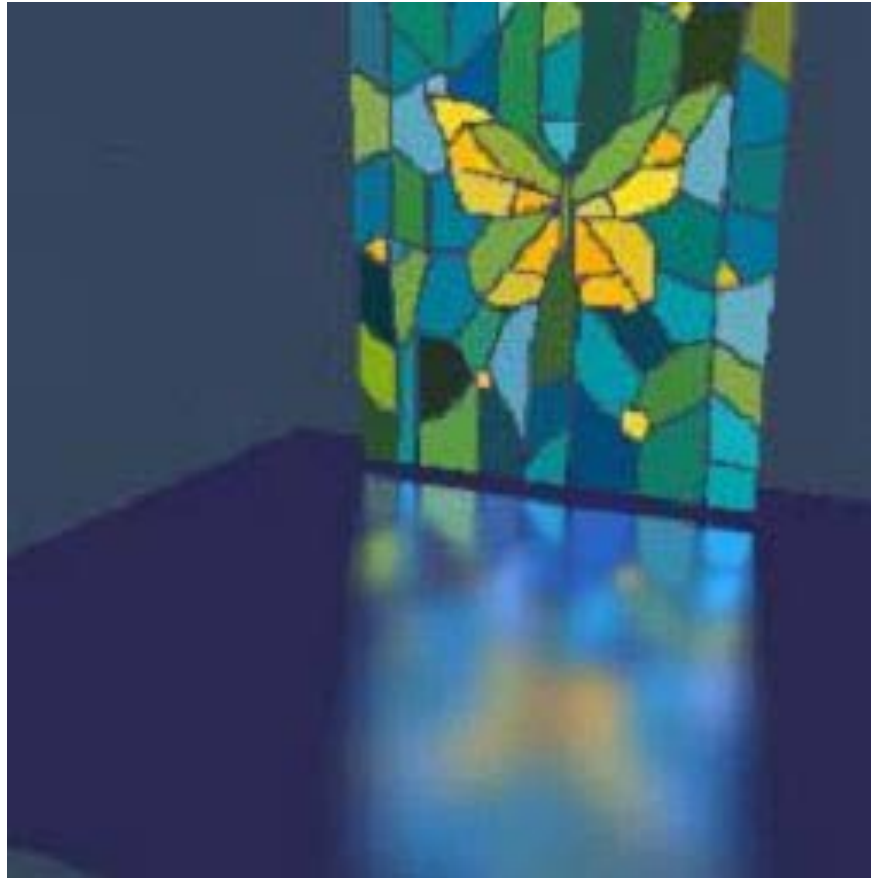
- The blurring effect when objects are out of focus, often seen in photographs
- Does not occur in simple ray tracing because the eye is represented by a single point, simulating an ideal pinhole camera.
- Solution: Represent eye by a non zero sized “lens” selecting a point on the surface at random for each ray

# Depth of field



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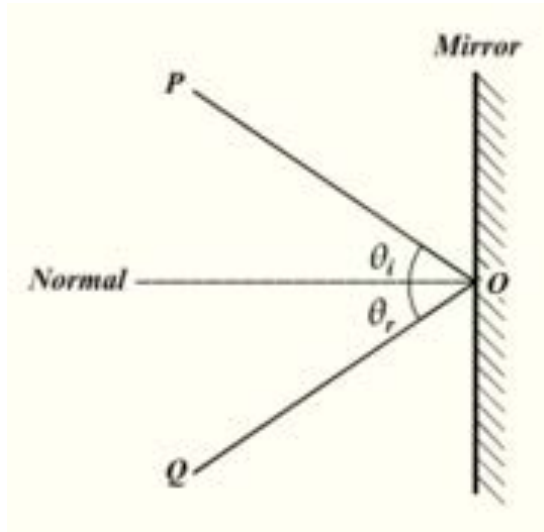
# Glossy Reflections



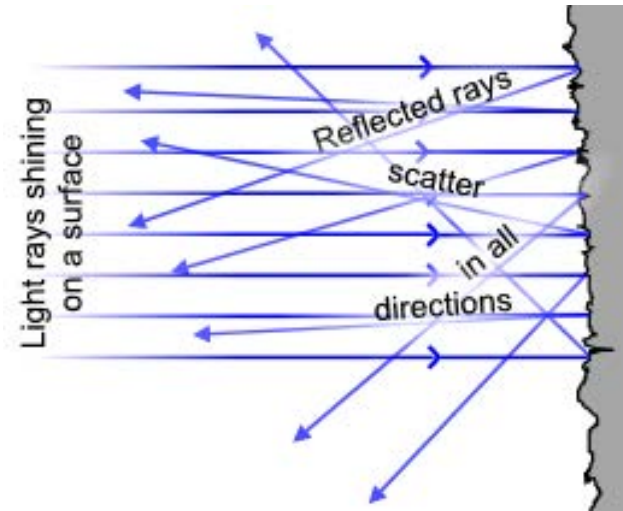
# Glossy Reflections

- Previous rules for reflections only apply to an ideal mirror like surface
- Many surfaces such as brushed metal are somewhere between an ideal mirror and a diffuse surface producing a blurred reflection
- Reflection angle is somewhere between the ideal calculated response of a mirror surface and the generally random value of the diffuse surface

# Glossy Reflections



Mirror Surface



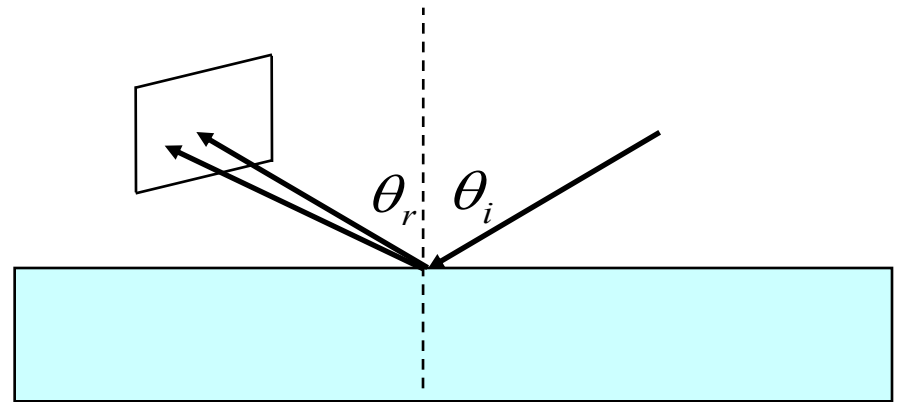
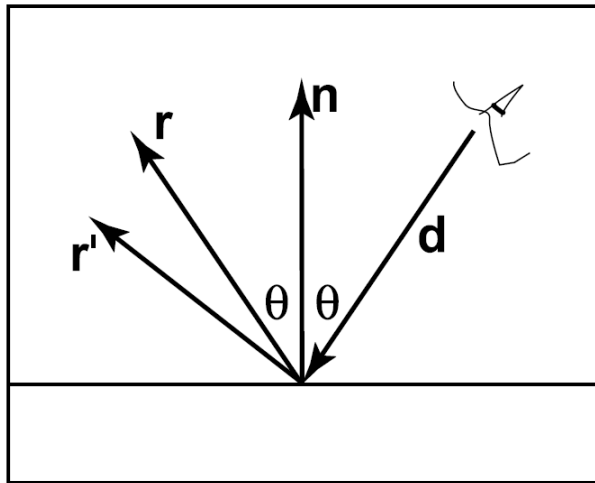
Diffuse Surface

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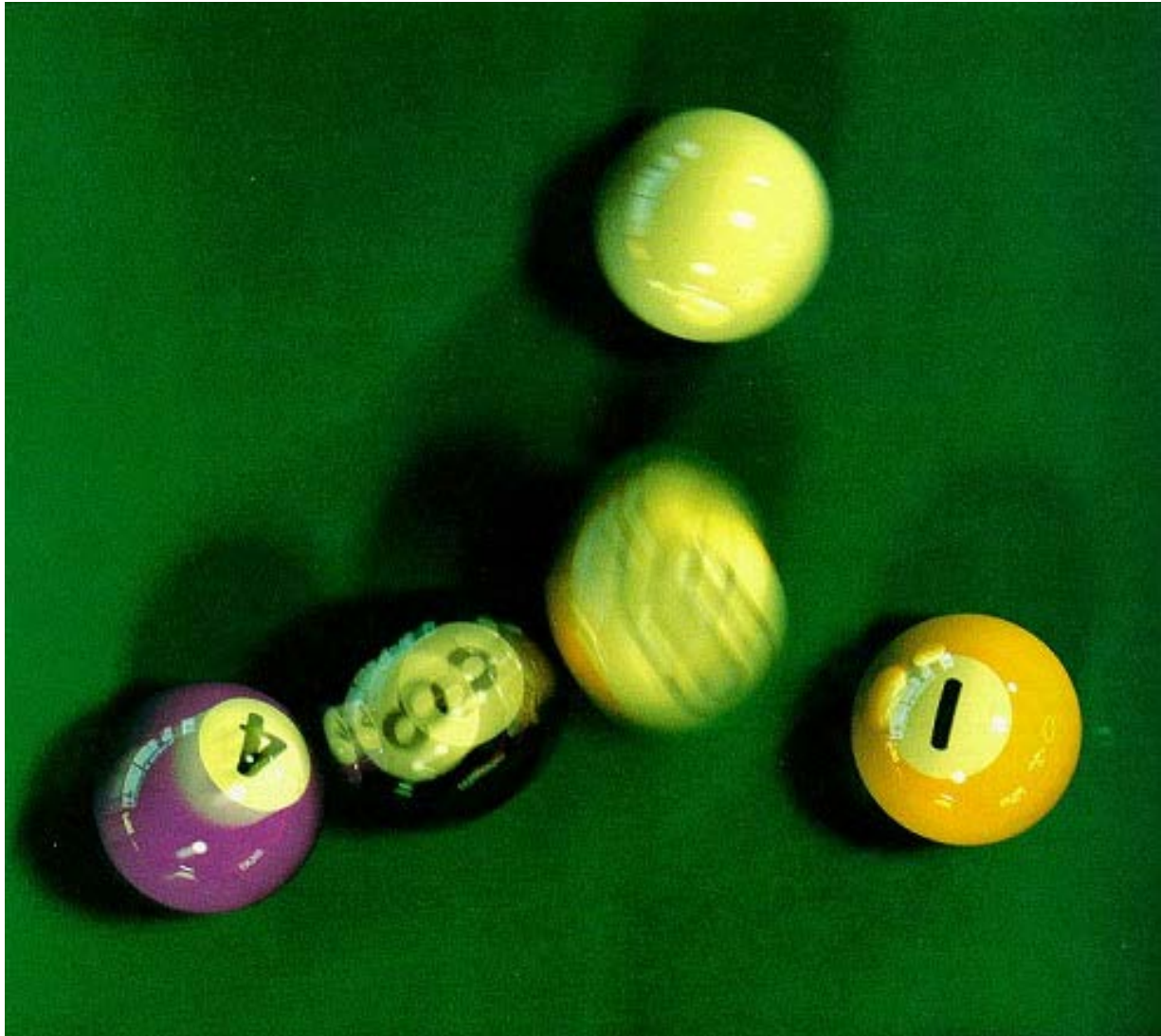
# Glossy Reflections

- Need to add a random element to the ideal reflection.
  - Create a square centred on the ideal reflected ray and select a random spot within the square
  - Size of square determines the blurriness of reflected image
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# Glossy Reflections



# Motion Blur





# Motion Blur

- When a camera takes an image, the image is formed over a non-zero length of time
- This creates blur as objects move over time
- Can simulate this in ray-tracing by setting a time variable ranging from  $T_0$  to  $T_1$
- Each viewing ray is sent at a random time within this range
- When combined, the rays sent at differing times and hence encountering objects at different positions to create blur

# Distributed Ray Tracing - Limitations

- Distributed Ray Tracing creates many more rays than the basic method
- More processing required
- Need methods to decrease processing for rays
- Methods to reduce intersection calculations
  - Bounding Boxes
  - Hierarchical Bounding Boxes
  - Uniform Spatial Subdivision

# Ray-Tracing in Practice



# Ray-Tracing in Practice



Environment map



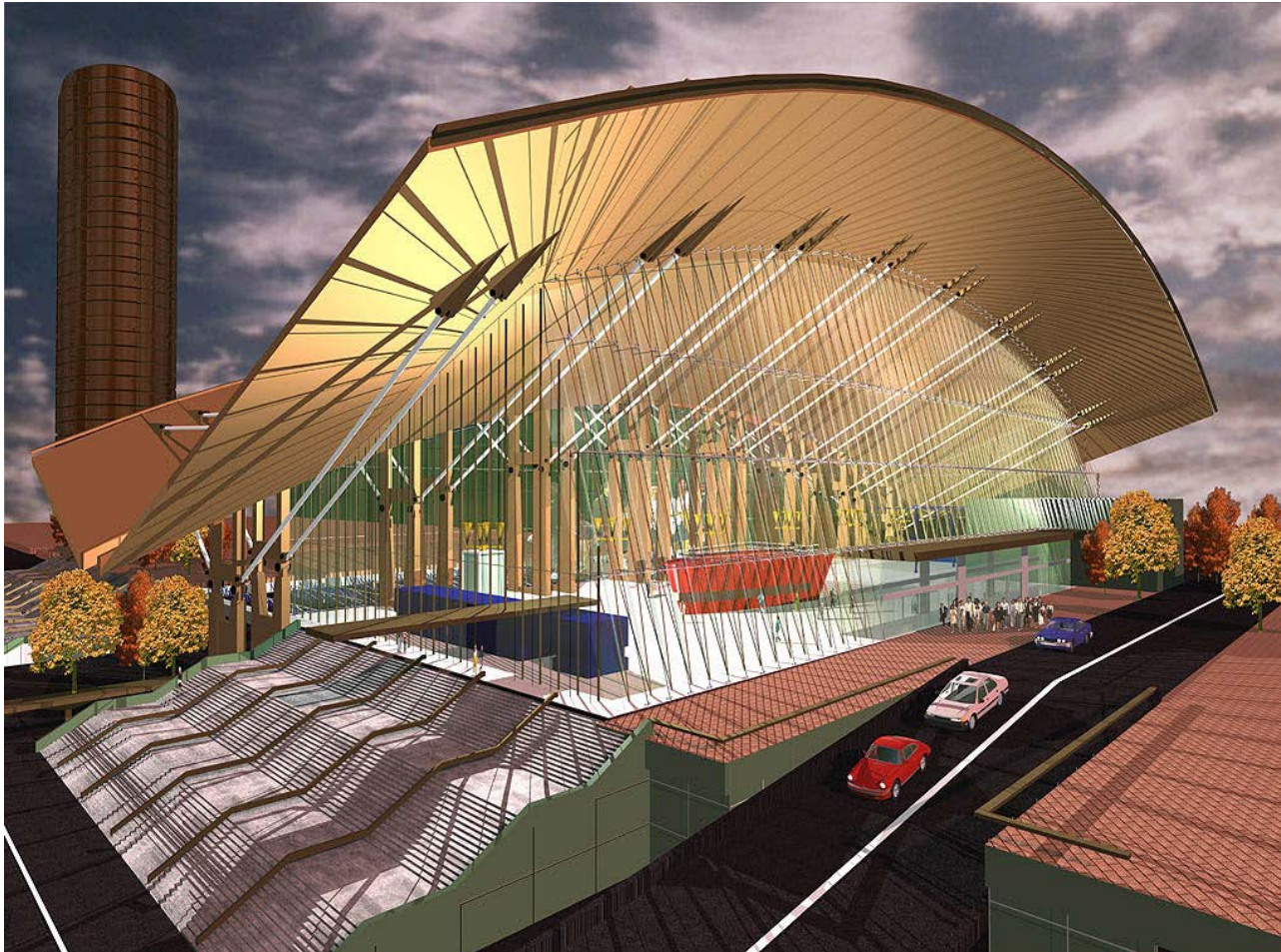
Ray-traced reflections

# Ray-Tracing in Practice





# Ray-Tracing in Practice



# Ray-Tracing in Practice





# Ray-Tracing in Practice



NVIDIA's GPU Ray Tracing Demo 2008

<http://hothardware.com/News/NVIDIA-Shows-Interactive-Ray-Tracing-on-GPUs/>



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# Some Links

## **Future Game Engines: Real Time Ray Tracing**

<https://www.youtube.com/watch?v=MidOCkSsQ-I>

## **OpenCL Real-Time Raytracing**

<http://www.youtube.com/watch?v=TX56aqXoDW0&feature=related>

## **Julia 4D ray tracing using CUDA**

<http://www.youtube.com/watch?v=QT4LLbyH3qY>

## **Nvidia's demos**

<http://www.youtube.com/watch?v=w9SH8xlgzoi>

<https://www.youtube.com/watch?v=XISqvBVyASo>

<https://www.youtube.com/watch?v=XISqvBVyASo>

## **Diamonds**

<http://www.youtube.com/watch?v=EissQ331WI8>

<http://www.youtube.com/watch?v=EXec-tHeRXI>

<http://www.youtube.com/watch?v=kIX5WL07Uss>

<http://www.youtube.com/watch?v=HLLYLCN-ma8>

## **Water and Waves**

<https://www.shadertoy.com/view/Ms2SD1>

<http://madebyevan.com/webgl-water/>

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# Conclusion

- Conceptually simple, yet powerful rendering method
  - Produces many advanced effects by modelling the nature of light
  - Use is limited by resource requirements
  - Resource requirements become less of an issue over time with advancing technology
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# Software

- Brazil <http://www.splutterfish.com>
  - Mental Ray <http://www.mentalimages.com>
  - Final Render <http://www.finalrender.com>
  - Cinema 4D <http://www.maxon.net>
  - Skeleton ray tracers  
<http://www.raytracegroundup/downloads.html>
  - Iray\_ <http://www.nvidia.com/object/iray-features.html>
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# References

- “Fundamentals of Computer Graphics” by Peter Shirley
  - “Computer Graphics C Version” by Donald Hearn, M. Pauline Baker
  - “Computer Graphics with OpenGL” by Donald Hearn, M. Pauline Baker
  - “Real-time Rendering” by Tomas Akenine-Möller, Eric Haines
  - “Ray Tracing from the Ground Up” by Kevin Suffern, A K Peters, 2007.
-