

School of Electrical Engineering and Computing

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PROGRAMMING LANGUAGES &
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Functional Programming I

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Outline

- Functional Languages
 - *Features*
 - *History*
- Scheme
 - *Syntax*
 - *Lists*
 - *Predefined Functions*

Functional Languages

- Procedural Languages (e.g., Pascal, C)
 - *Statement oriented*
 - *Built from code segments (blocks)*
 - *Uses variables and assignment*
 - *Control flow (selection and iteration) is by control structures*
 - *Forces sequential execution*
- The main concern is efficient of execution on Von Neumann architectures

Functional Languages

- Procedural Example (Factorial)

```
if n == 0 then return 1
if n > 0 then
  prod = 1
  while n > 0 do
    prod = prod*n
    n = n-1
  return prod
```

Functional Languages

- Functional Languages (e.g., Lisp, Scheme)
 - *Function oriented*
 - *Control flow is by means of function calls*
 - *Iteration is by means of recursion*
 - there are **no looping constructs**
 - *Functions may be evaluated concurrently*
- The main concern is representation of the problem
 - *Tend not to be efficient on traditional architectures*

Functional Languages

- Functional Example (Factorial)

$$\text{Fact1}(n) \leftarrow \begin{cases} 1 & n == 0 \\ n * \text{Fact1}(n-1) & n > 0 \end{cases}$$

Functional Languages

- Imperative Languages
 - *Operators are applied to values and the results store in variables*
 - *These variables can be used as a source of values at any time in their life-cycle*
- Functional Languages
 - *Functions are applied to arguments*
 - *The result of a function may (will!) be an argument of another function*
 - ***There is no concept of variable!***

Pure Function

- A pure function is one with no side-effects
 - *A purely functional language consists only of pure functions*
 - *No user input/output other than as arguments to/results from the main function*
 - *Not very useful (other than for scientific calculation)*
 - *Most practical functional languages are not pure*
- If a pure function is called twice with the same arguments, the result is the same both times
 - *This is called referential transparency*

Brief History

- LISP = **LI**St **P**rocessing language (1959)
- AI research needed a language that:
 - *Process data in lists (rather than arrays)*
 - *Symbolic computation (rather than numeric)*
- Syntax is based on *lambda calculus*
 - *First interpreter just to demonstrate the usefulness of lambda notation*

Brief History

- Pure LISP
 - *Purely functional*
 - *Only supported two data types: atoms and lists*
- Scheme (1975)
 - *A “teaching” version of LISP*
 - *Statically scoped*
 - *First-class functions*

Scheme

- Scheme programmes are written using *fully parenthesised prefix notation*
 - *A function in Scheme is a list*
 - *whose first element is the function name.*
 - *and whose remaining elements are the arguments*
 - *E.g., (+ 1 2) and (**max** 5 8)*
- Scheme is (usually) an interpreted language
- Coding
 - *You could use the Racket implementation from your own computer. <https://download.racket-lang.org/>*
 - *Or use online compilers, e.g.,*
<https://repl.it/repls/CoarseSaddlebrownComputergame>

Example

```
> ( + 4 3 2 1 )
```

```
10
```

```
> ( * 4 3 2 1 )
```

```
24
```

```
> ( gcd 14 21 35 )
```

```
7
```

```
> ( quit )
```

Scheme Atoms

- Atomic Types...
- Identifiers/Symbols
 - *Can start with any character except **#" () ; 0 1 2 3 4 5 6 7 8 9***
 - *Can contain any characters except ()*
- Boolean constants
 - **#t** and **#f**
- Character constants
 - **#** followed by any single character.
 - *E.g., #\a, #\b, #\?*
 - **#\space** and **#\newline**

Scheme Atoms

- String constants
 - *Any sequence of characters except \ or " in "strings"*
 - *Escaped characters \\ and \"*
- Numeric constants
 - *A sequence of digits, possibly containing a decimal point . or exponent mark e or E*
 - *Possibly preceded by #b (binary), #o (octal), #d (decimal), #x (hexadecimal), #e (exact) or #i (inexact)*

Scheme Atoms

- With the exception of strings and character constants...

Scheme is case InSeNsitive

(in Scheme standard R5RS)

- But you should not rely on this!

Scheme is ALSO case sensitive

(in Scheme standard R6RS etc)

- e.g., *Racket implementation*

Scheme Lists

- Atoms can be combined into a **list**

```
'( 1 2 3 4 5 )
```

```
'( red green blue )
```

```
'( "green" "eggs" "ham" )
```

```
'( 2112 "budd" #t )
```

- Lists can be nested

```
'( 1 2 ( 3 4 ) 5 )
```

```
'( 1 ( 2 ( 3 ( 4 ) ) ) )
```

```
'( 1 ( 2 3 ) ( ( 4 ) 5 ) )
```

- The **empty list** `()` is a constant

```
'( 1 () ( 2 3 ) 4 5 )
```


Scheme Lists

- To create a list:
 - Use single-quote (indeed **quote** function): ' (1 2)
 - Use list function: (**list** 1 2)
- By default, Scheme will attempt to evaluate any list as a function call
 - (*func arg1 arg2 ...*)
 - Each *arg* may itself be a function call
 - *func* may be a variable or function call (see the **LAMBDA** function later)
 - There is no guarantee on the order of evaluation
 - Arguments may even be evaluated in parallel!

Scheme Lists

- The **quote** function suppresses evaluation of its *single* argument, for example

```
> ( + 2 3 )
```

```
5
```

```
> ( quote (+ 2 3) )
```

```
(+ 2 3)
```

- ' (+ 2 3) is shorthand for (**quote** (+ 2 3))

Scheme Lists

- The **quasiquote** function acts like **quote**

- *Shorthand* ``(+ 2 3)`

- But can be unquoted

```
> `( 1 ( unquote ( + 2 3 ) ) )
(1 5)
```

- *Shorthand* ``(1 , (+ 2 3))`

```
> `( 1 ( unquote-splicing '( + 2 3 ) ) )
(1 + 2 3)
```

- *Shorthand* ``(1 ,@'(+ 2 3))`

Scheme Pairs

- A pair is written as two expressions separated by a dot
 - E.g., $(1 . 2)$
- A pair can be created by using *cons* function
 - $> (cons\ 1\ 2)$
 $(1 . 2)$
- The first element of a pair is the **car**
 - E.g., $(car\ (cons\ 1\ 2)) = 1$
- The second element of a pair is the **cdr**
 - E.g., $(cdr\ (cons\ 1\ 2)) = 2$

Pairs and Lists

- A list is actually a sequence of *pairs*
- A list can be written/represented by using nested pairs
 - $(1\ 2\ 3)$ can be considered as

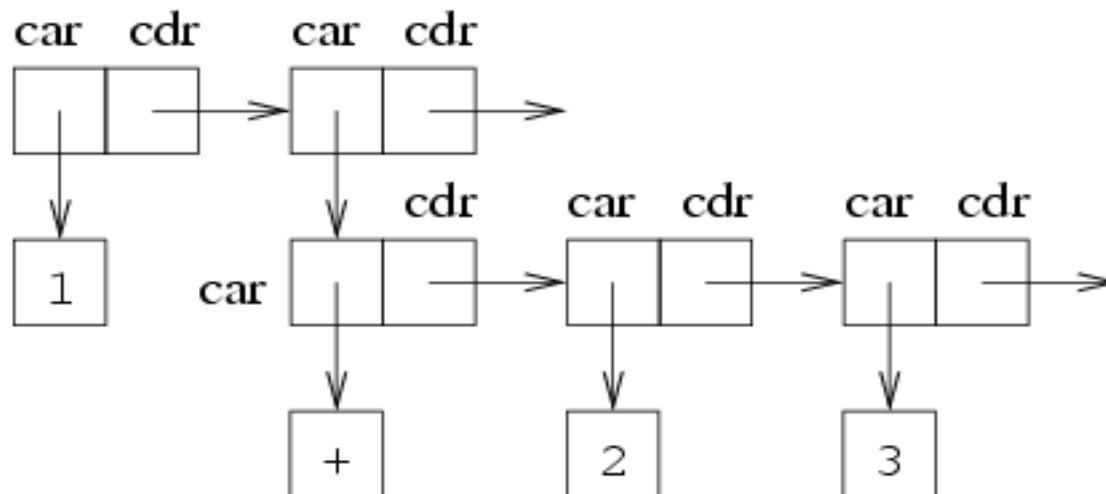
$$(1\ .\ (2\ .\ (3\ .\ ()\)\)\)$$
 - The *cdr* of the last element is the empty list $()$
 - If the last element of the list is not an empty list then it is an improper list, e.g.,

$$(1\ .\ (2\ .\ (3\ .\ 4\)\)\)$$

$$\Rightarrow (1\ 2\ 3\ .\ 4)$$

Pairs and Lists

- Considering the pairs of a list
 - `car` is a “pointer” to the value of the element
 - `cdr` is a “pointer” to the next element of the list
- For example, the list `(1 + 2 3)` looks like



Scheme Variables and Functions

- (**define** var expr)
 - Evaluates *expr* and binds the result to *var*
 - This can only be used at the top-level of code
 - The scope of *var* is the remainder of the program
 - If *var* already exists, then it is overwritten
 - Effectively creates and initialises a global variable
- (**set!** var expr)
 - Evaluates *expr* and stores it in an already defined *var*

Scheme Variables and Functions

- Functions in Scheme are first-class types
 - *Functions can be assigned to variables*
 - *Functions can be returned by other functions*
- (**lambda** (var1 ... varn) body)
 - *A function that expects n parameters, with parameters var1 ... varn*
 - ***body** is a sequence of one or more expressions*
 - *Calling the function returns the value of the last expression in **body***

Scheme Variables and Functions

- Scheme checks that the number of arguments matches the number of formal parameters
- (**lambda** var body)
 - *A function which expects zero-or-more arguments*
 - *The list of arguments is bound to **var***
- (**lambda** (var1 ... varn . varn+1) body)
 - *A function which expects **n**-or-more arguments*
 - *The first **n** arguments are bound to **var1** ... **varn***
 - *The list of remaining arguments is bound to **varn+1***

Scheme Variables and Functions

```
( define ( func var1 ... varn ) body )
```

```
⇔ ( define func (  
    lambda ( var1 ... varn ) body )  
)
```

```
( define ( func var1 ... varn . varn+1 ) body )
```

```
⇔ ( define func (  
    lambda ( var1 ... varn . varn+1 ) body )  
)
```

Example

- Swap function

```
( define ( swap a b )  
  ( define temp a )  
  ( set! a b )  
  ( set! b temp )  
  ( list a b )  
)
```

```
> ( swap 5 6 )  
  (6 5)
```

The `let` Function

- `(let ((var1 expr1) ... (varn exprn)) body)`
 - Evaluates each ***expr*** and binds the result to the corresponding ***var***
 - The scope of each ***var*** is the expression ***body***
 - These variables may mask variables declared at higher levels
 - There is no guarantee on the order in which variables are bound

The `let*` Function

- `(let* ((var1 expr1)...(varn
exprn)) body)`
 - *Equivalent to `let`, except...*
 - *Expressions are evaluated and variables are bound in order, ***var1*** through ***varn****
 - *The scope of each ***var*** is the ***body and all vars and exprs*** after it in the order*

The `letrec` Function

- `(letrec ((var1 expr1) ... (varn exprn)) body)`
 - Equivalent to `let`, except...
 - The scope of each *var* is the *body* and *all vars* and *exprs*
 - Each *expr* is evaluated knowing that the *vars* exist, but not knowing their values
 - Used to create mutually-recursive functions

Examples

```
> x
```

```
ERROR: unbound variable: x
```

```
> ( define x 1 )
```

```
> ( let ( ( y 2 ) ( z x ) ) `( ,x ,y ,z ) )  
  (1 2 1)
```

```
> ( let ( ( y 2 ) ( z y ) ) `( ,x ,y ,z ) )  
ERROR: unbound variable: y
```

Examples

```

> ( let* ( ( y 2 ) ( z y ) ) `( ,x ,y ,z ) )
(1 2 2)

> ( letrec (
  ( A ( lambda (x)
    ( if (> x 0) ( B (- x 1) ) 0 ) ) )
  ( B ( lambda (y)
    ( if (> y 0) ( A (- y 1) ) 1 ) ) )
) `( , ( A 4 ) , ( A 5 ) , ( B 4 ) , ( B 5 ) )
)
(0 1 1 0)

```


Some Functions

- (**pair?** expr)
 - *Is true if the expression results in a pair*
- (**car** pair)
 - *Returns the car of the pair*
- (**cdr** pair)
 - *Returns the cdr of the pair*
- It is an error to use **car** or **cdr** on the empty list

Some Functions

- (**cons** car cdr)
 - *Creates a pair with the given car and cdr*
- (**set-car!** pair expr)
- (**set-cdr!** pair expr)
 - *Change the car/cdr of a pair*

Some Functions

- (**list** item1 ... itemn)
 - *Creates a list of the given items*
- (**list?** expr)
 - *Is true if the expression results in a list*
- (**null?** expr)
 - *Is true if the expression results in the empty list*
- (**length** list)
 - *Returns the length of the list*

Some Functions

- (**append** list1 ... listn)
 - Concatenates the lists into a single list
 - Newly allocates items for *list1* ... *listn-1* but *not listn*
- (**reverse** list)
 - Returns the reversed list
- (**list-tail** list k)
 - Returns the result of omitting the first *k* elements of the list
 - Same as applying *cdr* *k* times
- (**list-ref** list k)
 - Returns the *k*th element of the list
 - Indices starting from 0
 - Same as (**car** (**list-tail** list k))

Scheme Control Structures

- (**begin** expr1 ... exprn)
 - Evaluates the expressions in order and returns the result of *exprn*
- (**if** test then else)
 - Evaluates *test*
 - If *test* is true, then it evaluates and returns *then*
 - Otherwise, it evaluates and returns *else*
 - Only **#f** is false, everything else is true

Scheme Control Structures

- (**not** *expr*)
 - *The logical not of **expr***
- (**and** *expr1* ... *exprn*)
 - *Evaluates each **expr** in order until one fails, then it returns false*
 - *If no **exprs** fail then it returns true*
- (**or** *expr1* ... *exprn*)
 - *Evaluates each **expr** in order until one is true, then it returns true*
 - *If no **exprs** are true then it returns false*

Scheme Control Structures

```
( cond
  ( test1 expr11 ... expr1j )
  ...
  ( testn exprn1 ... exprnk )
  ( else exprn+11 ... exprn+1m )
)
```

- Evaluates *tests* in order until one is true
- Evaluates the corresponding *exprs*, returning the last
- If no *tests* are true then evaluate the *exprs* of the (optional) else clause, returning the last

Example

- Factorial function

```
( define ( factorial n )  
  ( cond  
    ( ( = n 0 ) 1 )  
    ( ( > n 0 )  
      ( * n ( factorial ( - n 1 ) ) )  
    )  
    ( ( < n 0 ) 'error )  
  )  
)
```


Example

- Output

```
> ( factorial 0 )  
1
```

```
> ( factorial 4 )  
24
```

```
> ( factorial -1 )  
error
```

Example

- Factorial function

```
(define (compare x y)
  (cond
    ( (> x y)
      (display "x is greater than y") )
    (< x y)
      (display "y is greater than x") )
    (else (display "x and y are equal")
  )
)
```

Scheme Control Structures

- (**apply** *func* *args*)
 - Apply function *func* to the list of *args* and return the result
- (**map** *func* *list1* ... *listn*)
 - Apply function *func* to each *list* and return the list of results
 - Guarantees *func* will be called on the lists in order
 - Does not guarantee the order of evaluation within each list

Scheme Control Structures

- Other control structures (not covered)
- **for-each**
 - *Like **map** – guarantees argument order but does not return results*
- **case**
 - *Like a C/Java switch*
- **do**
 - *Like a C/Java for-loop – better support for multi-variables loops*

Scheme Predicates

- Scheme has 3 versions of equivalence...
- (**eq?** *obj1* *obj2*)
 - True if *obj1* and *obj2* are equal simple constants or references to the same object
- (**eqv?** *obj1* *obj2*)
 - True if *obj1* and *obj2* are equal simple values or references to the same object
- (**equal?** *obj1* *obj2*)
 - Recursively applies **eqv?** to the elements of complex types *obj1* and *obj2*

Examples

```
> ( equal? 1 2 )
```

```
#f
```

```
> ( equal? (list 1) (list 2) )
```

```
#f
```

```
> ( equal? (list 1) (list 1) )
```

```
#t
```

```
> ( eqv? (list 1) (list 1) )
```

```
#f
```

```
> ( eq? (list 1) (list 1))
```

```
#f
```

```
> ( eq? '() '())
```

```
#t
```

Scheme Predicates

- Scheme has 3 versions of membership predicates
- (**memq** *obj list*)
 - Returns the first sublist of *list* whose car **eq?** *obj*
 - If no match is found then return **#f**
- Similarly **memv** using **eqv?**
- Similarly **member** using **equal?**

Examples

```
> ( memq 1 '(1) )  
  (1)  
  
> ( memq 1 '(2 1 4) )  
  (1 4)  
  
> ( memv '(1) '(2 1 4) )  
  #f  
  
> ( memv '(1) '(2 (1) 4) )  
  #f  
  
> ( member '(1) '(2 1 4) )  
  #f  
  
> ( member '(1) '(2 (1) 4) )  
  ((1) 4)  
  
> ( memv 1 '(2 (1) 4) )  
  #f
```


Scheme Predicates

- Type checking predicates...

- (**number?** obj)

- (**complex?** obj) (**real?** obj)

- (**rational?** obj) (**integer?** obj)

- (**exact?** num) (**inexact?** num)

- (**zero?** num)

- (**positive?** num) (**negative?** num)

- (**odd?** num) (**even?** num)

Scheme Predicates

- Ordering predicates

(= num1 num2 num3 ...)

(< num1 num2 num3 ...)

(> num1 num2 num3 ...)

(<= num1 num2 num3 ...)

(>= num1 num2 num3 ...)

Scheme Predicates

- String comparison
 - *Case sensitive*
(**string=?** str1 str2)
 - *Case insensitive*
(**string-ci=?** str1 str2)
- Also < > <= >=

Scheme Numbers

- Useful functions on lists of numbers

(+ num1 num2 num3 ...)

(* num1 num2 num3 ...)

(- num1 num2 num3 ...)

(/ num1 num2 num3 ...)

- *Left associative*

- Also **max min gcd lcm**

- Remainders, rounding, trigonometry,
exponentials, rectangular- and polar-coordinates

Scheme I/O

- (**current-input-port**)
- (**current-output-port**)
 - *Return the current input/output ports*
 - *Default to standard input/output*
- (**open-input-file** filename)
- (**open-output-file** filename)
 - *Return a port opened for input/output on the given file*
- (**close-input-file** port)
- (**close-output-file** port)
 - *Close an open input/output port*

Scheme I/O

- (**read** port)
 - Returns the object whose external representation is found on *port*
- (**read-char** port)
 - Removes the next character on *port* and returns it
- (**peek-char** port)
 - Returns the next character on *port* without removing it
- (**eof-object?** obj)
 - Return true if *obj* is the end-of-file object
- port defaults to **current-input-port**

Scheme I/O

- (**write** obj port)
 - Writes a machine representation of *obj* to *port*
- (**display** obj port)
 - Writes a machine representation of *obj* to *port*
 - Strings have no double-quotes or escaped characters, and characters appears as per *write-char*
- (**write-char** char port)
 - Writes a single character to *port*
- (**newline** port)
 - Writes a newline character to *port*
- port defaults to **current-output-port**

Scheme I/O

- Functions for converting between strings and numbers
 - (**number->string** number)
 - (**number->string** number radix)
 - (**string->number** string)
 - (**string->number** string radix)
- Radix must be an exact integer. By default, radix=10.
E.g.,
 - > (**number->string** 5)
" 5 "
 - > (**number->string** 5 2)
"101"

References

- R. W. Sebesta, “Concepts of Programming Languages”, 9th Edn, Addison-Wesley, 2010 (Chapter 15) (also Edn.10)
- R. K. Dybvig, “The Scheme Programming Language”, 3rd Edition, MIT Press, 2003.
<http://www.scheme.com/tspl3/>