



# Theory of Computation

## Week 9

**Much of the material on this slides comes from the recommended textbook by Elaine Rich**

# Announcement

## Assignment 2 Due

❑ Due: 17/05/2020

**Timed Exam (Fixed Start)** - The exam will be available in the Assessment or Assignment tab in Blackboard at the scheduled date and time on the exam timetable. Students must complete the exam within an allocated period of time advised in Blackboard. All students complete the exam at the same time regardless of their time zone.

# Detailed content

## Weekly program

- ✓ Week 1 – Background knowledge revision: logic, sets, proof techniques
- ✓ Week 2 – Languages and strings. Hierarchies. Computation. Closure properties
- ✓ Week 3 – Finite State Machines: non-determinism vs. determinism
- ✓ Week 4 – Regular languages: expressions and grammars
- ✓ Week 5 – Non regular languages: pumping lemma. Closure
- ✓ Week 6 – Context-free languages: grammars and parse trees
- ✓ Week 7 – Pushdown automata
- ✓ Week 8 – Non context-free languages: pumping lemma and decidability. Closure



### **Week 9 – Decidable languages: Turing Machines**

- ☐ Week 10 – Church-Turing thesis and the unsolvability of the Halting Problem
- ☐ Week 11 – Decidable, semi-decidable and undecidable languages (and proofs)
- ☐ Week 12 – Revision of the hierarchy. Safety-critical systems
- ☐ Week 13 – Extra revision (if needed)

# Week 09 Videos

## You already know

- ❑ Why PDA can't handle  $A^nB^nC^n$
- ❑ What is a Turing Machine
- ❑ Formal definition of TM
- ❑ Example of TM



Videos to watch before lecture



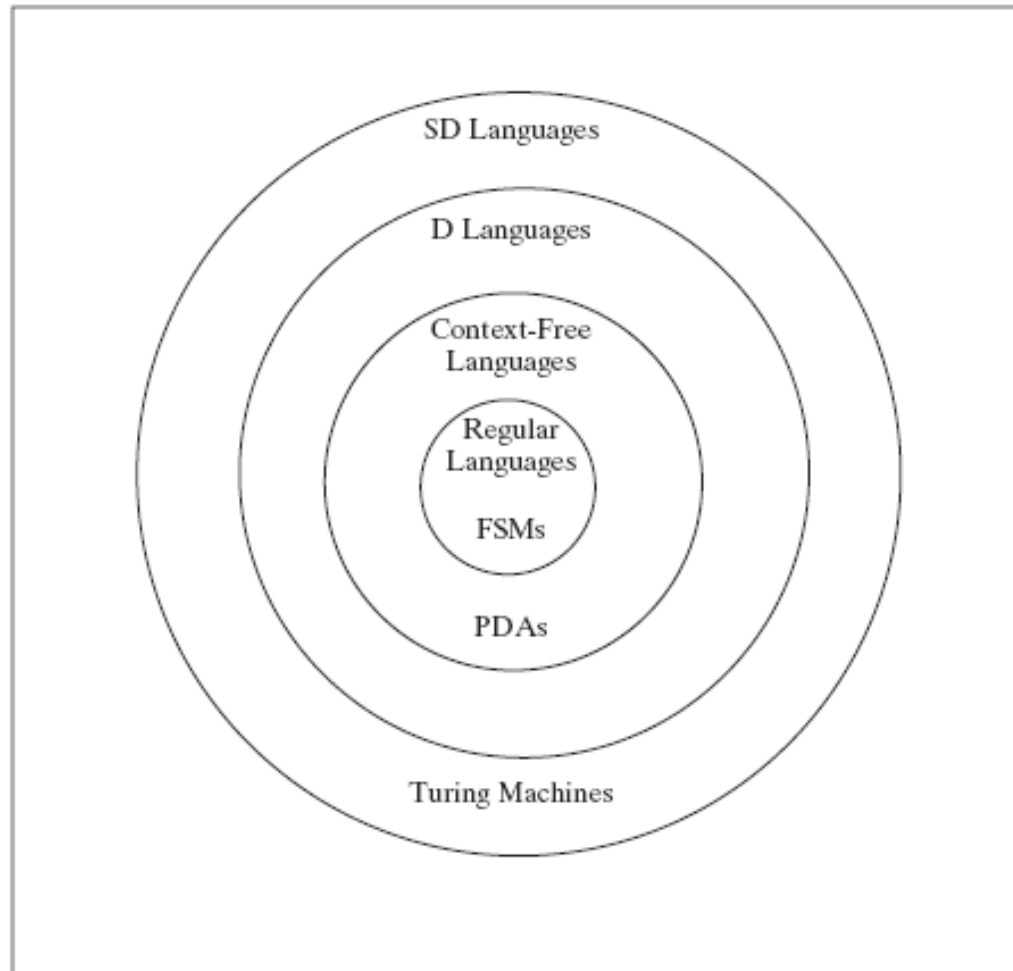
Additional videos to watch for this week

# Week 09 Lecture

## Turing Machine

- ☐ What are Turing Machines?
- ☐ Example TM
- ☐ TM Macro Notations
- ☐ TM as language recognizer
- ☐ Decidable and Semidecidable TM
- ☐ TM for computing functions
- ☐ Turing Machine Extensions
- ☐ Equivalence of extended TM and standard TM

# THE HIERARCHY



# CLASSES OF LANGUAGES SO FAR

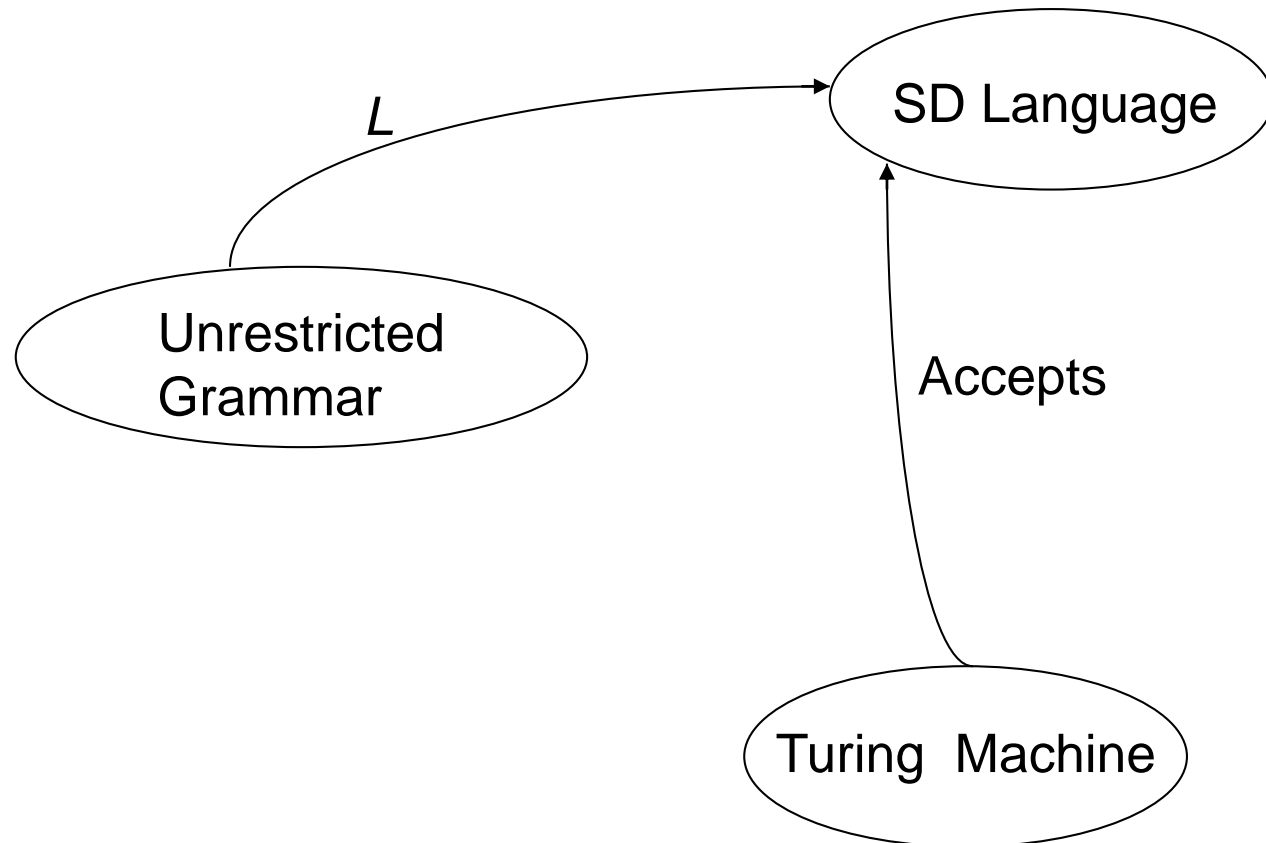
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A language is **regular** iff it is accepted by some FSM

A language  $L$  is **context-free** if and only if it is generated by some context-free grammar  $G$ .

# GRAMMARS, SD LANGUAGES, AND TURING MACHINES

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# TURING MACHINES



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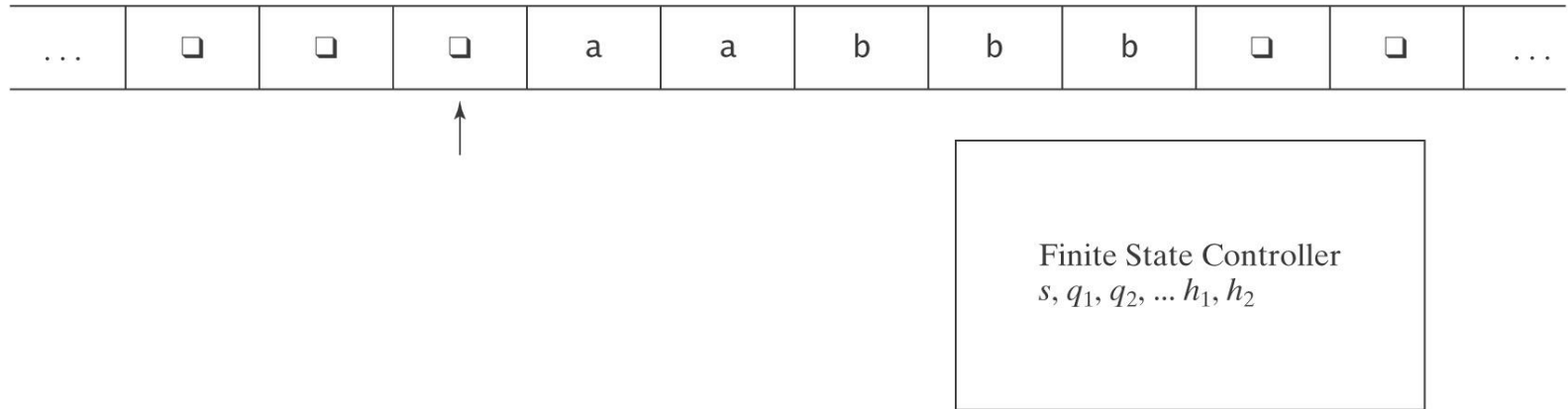
Can we come up with a new kind of automaton that has two properties:

- powerful enough to describe all computable things  
unlike FSMs and PDAs.
- simple enough that we can reason formally about it  
like FSMs and PDAs,  
unlike real computers.

# TURING MACHINES



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At each step, the machine must:

- choose its next state,
- write on the current square, and
- move left or right.

# TURING MACHINES

<https://www.youtube.com/watch?v=E3keLeMwfHY>

<https://www.youtube.com/watch?v=gJQTFhkhwPA>

<https://www.youtube.com/watch?v=dNRDvLACg5Q>



# TURING MACHINES

A Turing machine  $M$  is a sextuple  $(K, \Sigma, \Gamma, \delta, s, H)$ :

- $K$  is a finite set of states;
- $\Sigma$  is the input alphabet, which does not contain  $\square$ ;
- $\Gamma$  is the tape alphabet, which must contain  $\square$  and have  $\Sigma$  as a subset.
- $s \in K$  is the initial state;
- $H \subseteq K$  is the set of halting states;
- $\delta$  is the transition function:

$(K - H)$	$\times$	$\Gamma$	to	$K$	$\times$	$\Gamma$	$\times$	$\{\rightarrow, \leftarrow\}$
non-halting state	$\times$	tape char		state	$\times$	tape char	$\times$	action (R or L)

# TURING MACHINES



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$(K - H)$	$\times \Gamma$	to	$K$	$\times \Gamma$	$\times$	$\{\rightarrow, \leftarrow\}$
non-halting state	$\times$ tape char		state	$\times$ tape char	$\times$	action (R or L)

If  $\delta$  contains the transition  $((q_0, a), (q_1, b, A))$ , then, whenever  $M$  is in state  $q_0$ , and the character under the read/write head is an  $a$ ,

- $M$  will go to state  $q_1$ ,
- write a  $b$ , and
- move the read/write head as specified by  $A$  (either one square to the right or one square to the left)

# TURING MACHINES

Notice that the tape symbol  $\square$  is important:

- Initially, all tape squares except those that contain the input string contain  $\square$ ,
- The input string may not contain  $\square$ .

When designing a machine be careful when you write  $\square$ . You have to make sure your machine knows whether it is just a part or the end of the string.

...	$\square$	$\square$	$\square$	a	a	b	b	b	$\square$	$\square$	...
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# TURING MACHINES

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1. The input tape is infinite in both directions.
2.  $\delta$  is a function, not a relation. So this is a definition for deterministic Turing machines.
3.  $\delta$  must be defined for all (state, input) pairs unless the state is a halting state.
4. Turing machines do not necessarily halt (unlike FSM's and PDAs).  
Why? To halt, they must enter a halting state.  
Otherwise they loop.
5. Turing machines generate output so they can compute functions.

- A **binary relation** over two sets  $A$  and  $B$  is a subset of  $A \times B$ .
- A **function**  $f$  from a set  $A$  to a set  $B$  is a mapping of elements of  $A$  to elements of  $B$  such that each element of  $A$  maps to exactly one element of  $B$ .

$$\forall x \in A (((x, y) \in f \wedge (x, z) \in f) \rightarrow y = z) \wedge \exists y \in B ((x, y) \in f))$$



# TURING MACHINES

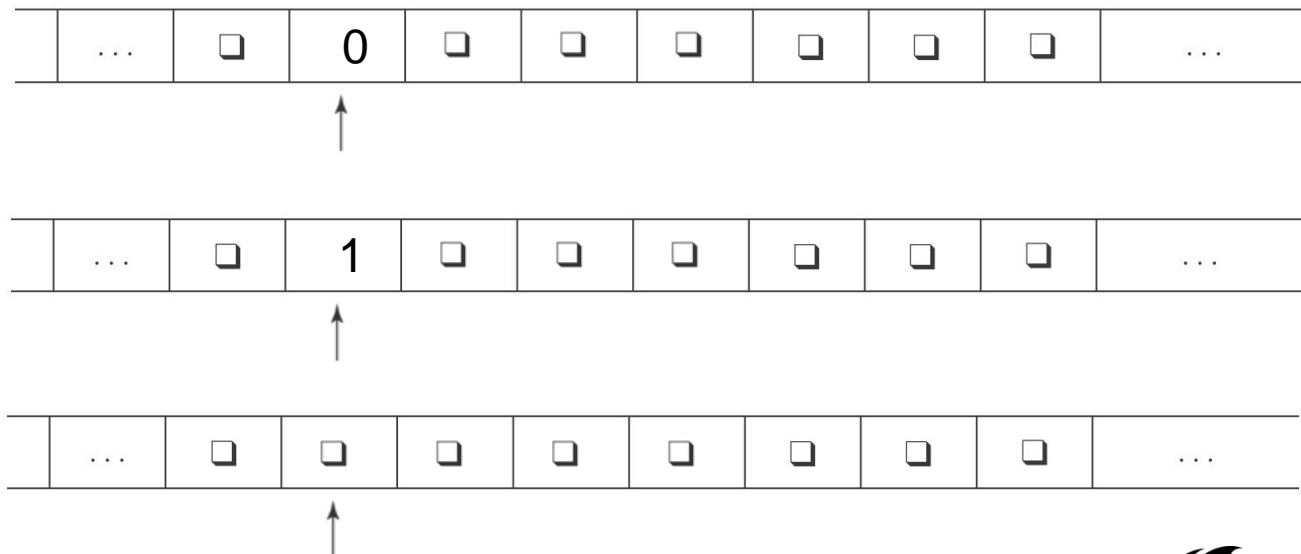
## Example

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Let's assume a Turing machine  $M$  is  $(K, \Sigma, \Gamma, \delta, s, H)$ :

$K = \{S1, S2\}$ ,  $\Sigma = \{0,1\}$ ,  $\Gamma = \{0,1, \square\}$ ,  $s = S1$ ,  $H = \{S2\}$

$\delta = \{S1, 0\}, \{S2, 1, \leftarrow\},$   
 $\{S1, 1\}, \{S2, 0, \rightarrow\},$   
 $\{S1, \square\}, \{S1, 0, \rightarrow\},$



# TURING MACHINES

## Example

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$M$  takes as input a string in the language:

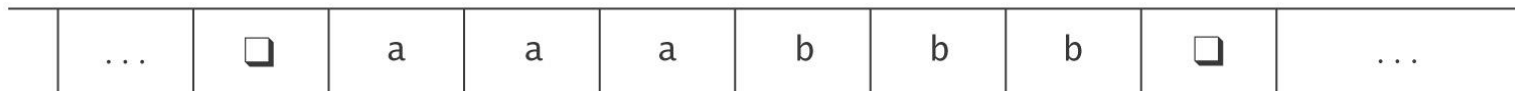
$$\{a^i b^j, 0 \leq j \leq i\},$$

and adds  $b$ 's as required to make the number of  $b$ 's equal the number of  $a$ 's.

The input to  $M$  will look like this:



The output should be:



# TURING MACHINES

## Example

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$\{a^j b^i, 0 \leq j \leq i\}$  to  $\{a^i b^i, 0 \leq i\}$

M will operate as follows:

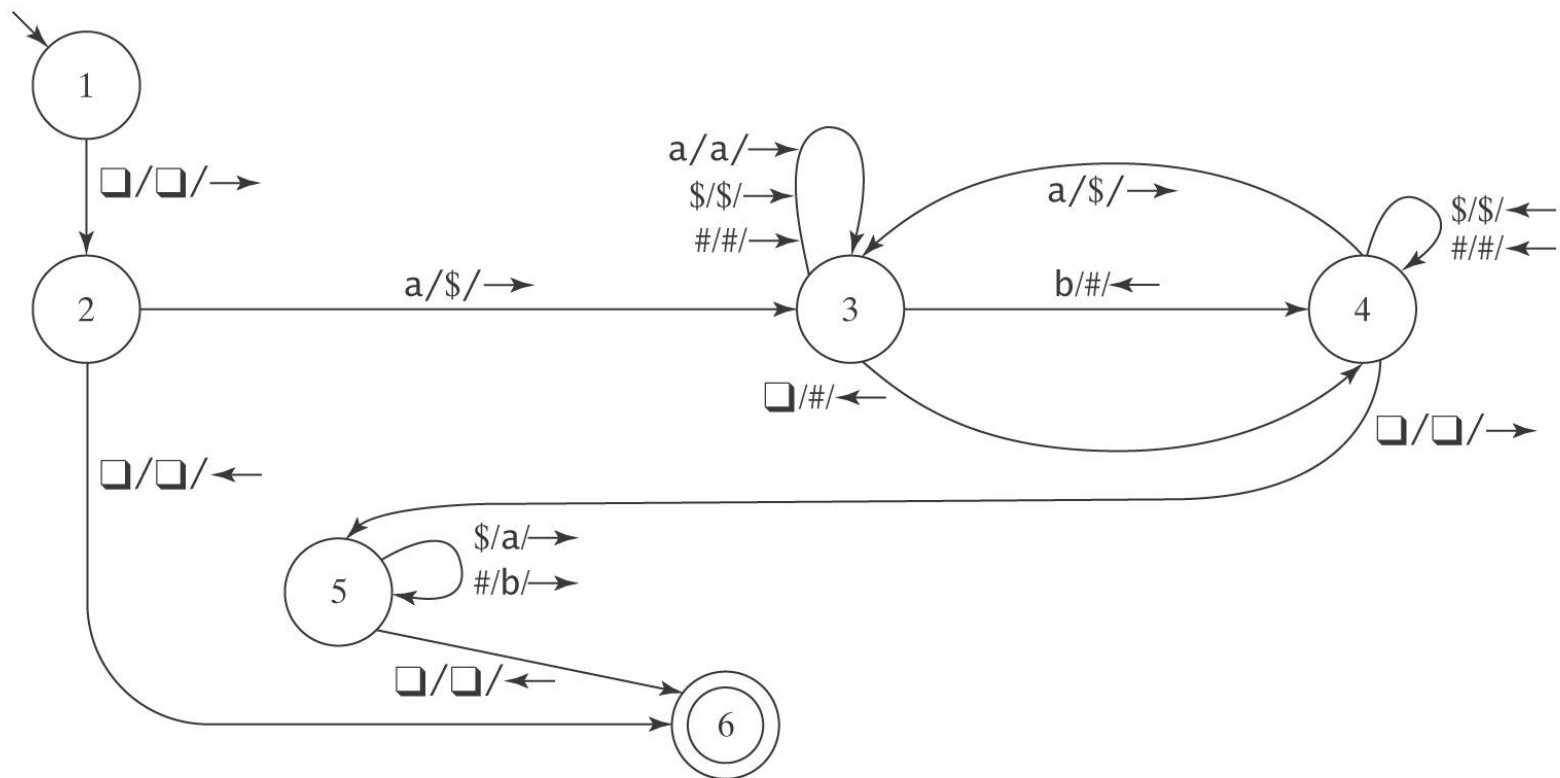
1. Move one square to the right. If character is  $\square$ , halt. Otherwise continue
2. Loop:
  - a. Mark off an a with a \$
  - b. Scan rightward to first b or  $\square$ ,
    - If b, mark it off with # and get ready to go back and search for next a,b pair
    - If  $\square$ , then there are no more b's but there are a's that need matched. Write # and get ready to go back and search for unmarked a's
  - c. Scan back left for an a or  $\square$ . If a, go to 2.a. If  $\square$ , then exit loop.
3. Make one last pass though the non-blank area changing all \$ to a's and # to b's
4. Halt

# TURING MACHINES

## Example

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$K = \{1, 2, 3, 4, 5, 6\}$ ,  $\Sigma = \{a, b\}$ ,  $\Gamma = \{a, b, \square, \$, \#\}$ ,  
 $s = 1$ ,  $H = \{6\}$ ,  $\delta =$



# TURING MACHINES

## Example

$M = \{\{1, 2, 3, 4, 5, 6\}, \{a, b\}, \{a, b, \square, \$, \#\}, \delta, 1, \{6\}\}$ , where  $\delta =$

$((1, \square), (2, \square, \rightarrow)),$

$((1, a), (2, \square, \rightarrow)),$

$((1, b), (2, \square, \rightarrow)),$

$((1, \$), (2, \square, \rightarrow)),$

$((1, \#), (2, \square, \rightarrow)),$

$((2, \square), (6, \square, \rightarrow)),$

$((2, a), (3, \$, \rightarrow)),$

$((2, b), (3, \$, \rightarrow)),$

$((2, \$), (3, \$, \rightarrow)),$

$((2, \#), (3, \$, \rightarrow)),$

$((3, \square), (4, \#, \leftarrow)),$

$((3, a), (3, a, \rightarrow)),$

$((3, b), (4, \#, \leftarrow)),$

$((3, \$), (3, \$, \rightarrow)),$

$((3, \#), (3, \#, \rightarrow)),$

$((4, \square), (5, \square, \rightarrow)),$

$((4, a), (3, \$, \rightarrow)),$

$((4, \$), (4, \$, \leftarrow)),$

$((4, \#), (4, \#, \leftarrow)),$

$((5, \square), (6, \square, \leftarrow)),$

$((5, \$), (5, a, \rightarrow)),$

$((5, \#), (5, b, \rightarrow))$

{These four transitions are required because  $M$  must be defined for every state/ input pair, but since it isn't possible to see anything except  $\square$  in state 1, it doesn't matter what they do. }

{Three more unusable elements of  $\delta$ . We'll omit the rest here for clarity.}

{State 6 is a halting state and so has no transitions out of it.}

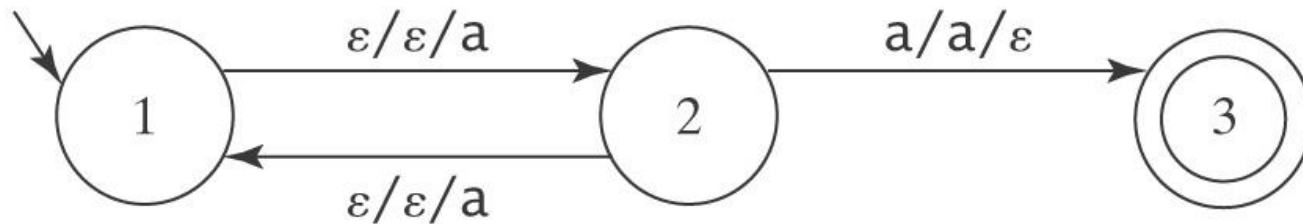
# TURING MACHINES

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- The machine has a strong procedural feel, with one phase coming after another.
- There are common idioms, like scan left until you find a blank
- There are two common ways to scan back and forth marking things off.
- Often there is a final phase to fix up the output.
- Even a very simple machine is a nuisance to write.

# HALTING

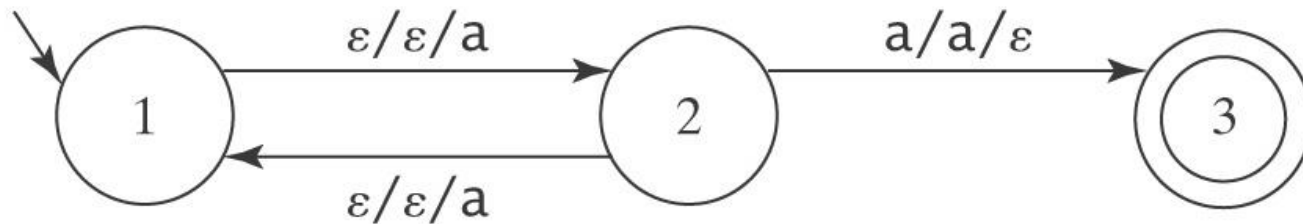
- A DFMSM  $M$ , on input  $w$ , is guaranteed to halt in  $|w|$  steps.
- A PDA  $M$ , on input  $w$ , is not guaranteed to halt. To see why, consider again  $M =$



This is a PDA accepts a the language  $L(M) = \{a\}$ . The computation  $(1, a, \epsilon) \vdash (2, a, a) \vdash (3, \epsilon, \epsilon)$  will cause  $M$  to accept 'a' but any other input will make this PDA loop forever

# HALTING

- A DFMSM  $M$ , on input  $w$ , is guaranteed to halt in  $|w|$  steps.
- A PDA  $M$ , on input  $w$ , is not guaranteed to halt. To see why, consider again  $M =$



But there exists an algorithm to construct an equivalent PDA  $M'$  that is guaranteed to halt.

A TM  $M$ , on input  $w$ , is not guaranteed to halt. And there exists no algorithm to construct one that is guaranteed to do so.



# FORMALISING TURING MACHINES

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*A configuration* of a Turing machine

$M = (K, \Sigma, \Gamma, s, H)$  is an element of:

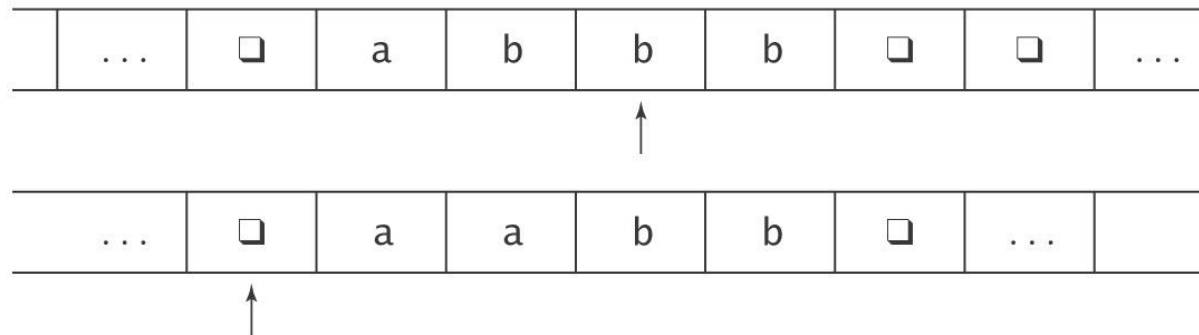
$$K \times ((\Gamma - \{\square\}) \Gamma^*) \cup \{\varepsilon\} \times \Gamma \times (\Gamma^* (\Gamma - \{\square\})) \cup \{\varepsilon\}$$

state	all active tape up to scanned square	scanned square	all active tape after scanned square
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# TURING MACHINES

## Example configurations

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As a 4-tuple      Shorthand

$(q, ab, b, b)$        $(q, ab\underline{b}b)$

$(q, \varepsilon, \underline{\square}, aabb)$        $(q, \underline{\square}abbb)$

$$\begin{array}{lll} (1) & (q, ab, b, b) & = & (q, ab\underline{b}b) \\ (2) & (q, \varepsilon, \underline{\square}, aabb) & = & (q, \underline{\square}aabb) \end{array}$$

Initial configuration is  $(s, \underline{\square}w)$ .

# TURING MACHINES

## Yields

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$(q_1, w_1) \vdash_M (q_2, w_2)$  iff  $(q_2, w_2)$  is derivable, via  $\delta$ , in one step.

For any TM  $M$ , let  $\vdash_M^*$  be the reflexive, transitive closure of  $\vdash_M$ .

Configuration  $C_1$  **yields** configuration  $C_2$  if:

$$C_1 \vdash_M^* C_2.$$

# TURING MACHINES

## Yields

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A **path** through  $M$  is a sequence of configurations  $C_0, C_1, \dots, C_n$  for some  $n \geq 0$  such that  $C_0$  is the initial configuration and:

$$C_0 \vdash_M C_1 \vdash_M C_2 \vdash_M \dots \vdash_M C_n.$$

A **computation** by  $M$  is a path that halts.

If a computation is of *length*  $n$  or has  $n$  steps, we write:

$$C_0 \vdash_M^n C_n$$

# MACRO NOTATION FOR TURING MACHINES

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- Symbol writing machines

For each  $x \in \Gamma$ , define  $M_x$ , written just  $x$ , to be a machine that writes  $x$ .

- Head moving machines

R: for each  $x \in \Gamma$ ,  $\delta(s, x) = (h, x, \rightarrow)$

L: for each  $x \in \Gamma$ ,  $\delta(s, x) = (h, x, \leftarrow)$

- Machines that simply halt

$h$ , which simply halts.

$n$ , which halts and rejects.

$y$ , which halts and accepts.

# MACRO NOTATION FOR TURING MACHINES

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Next we need to describe how to:

- Check the tape and branch based on what character we see, and
- Combine the basic machines to form larger ones.

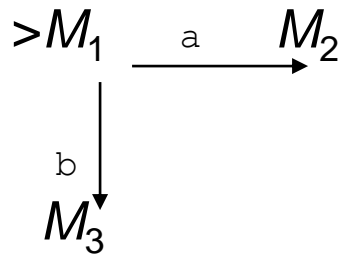
To do this, we need two forms:

- $M_1 M_2$
- $M_1 \xrightarrow{\langle condition \rangle} M_2$

# NOTATION FOR TURING MACHINES

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Example:



- Start in the start state of  $M_1$ .
- Compute until  $M_1$  reaches a halt state.
- Examine the tape and take the appropriate transition.
- Start in the start state of the next machine, etc.
- Halt if any component reaches a halt state and has no place to go.
- If any component fails to halt, then the entire machine may fail to halt.

# SHORTHANDS

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$$M_1 \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{b} \end{array} M_2 \quad \text{becomes}$$

$$M_1 \xrightarrow{a, b} M_2$$

$$M_1 \xrightarrow{\text{all elems of } \Gamma} M_2 \quad \text{becomes}$$

$$M_1 \xrightarrow{\text{or}} M_2$$

$$M_1 M_2$$

## Storing values in variables

$$M_1 \xrightarrow[\text{except } a]{\text{all elems of } \Gamma} M_2 \quad \text{becomes}$$

and x takes on the value of the current square

$$M_1 \xrightarrow{x \leftarrow \neg a} M_2$$

$$M_1 \xrightarrow{a, b} M_2 \quad \text{becomes}$$

and x takes on the value of the current square

$$M_1 \xrightarrow{x \leftarrow a, b} M_2$$



# SHORTHANDS

We can use the value of a variable in two ways.

1. The first is a condition on a transition. So we can write:

$$M_1 \xrightarrow{x=y} M_2$$

if  $x = y$  then take the transition

Note that we write  $\leftarrow$  for assignment and  $=$  or  $\neq$  for Boolean comparison

2. We can also write the value of a variable

Let  $M =$

$$> \xrightarrow{x \leftarrow \neg \square} Rx$$

if the current square is not blank, go right and copy it.

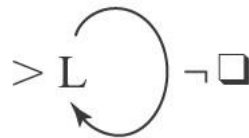
# SHORTHANDS

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Find the first blank square to the right of the current square.

$R_{\square}$



Find the first blank square to the left of the current square.

$L_{\square}$



Find the first nonblank square to the right of the current square.

$R_{\neg\square}$



Find the first nonblank square to the left of the current square

$L_{\neg\square}$

# SHORTHANDS

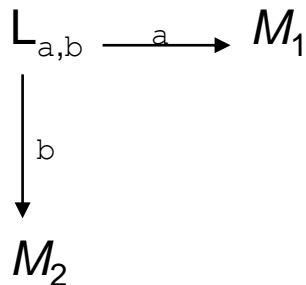
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$L_a$

Find the first occurrence of  $a$  to the left of the current square.

$R_{a,b}$

Find the first occurrence of  $a$  or  $b$  to the right of the current square.



Find the first occurrence of  $a$  or  $b$  to the left of the current square, then go to  $M_1$  if the detected character is  $a$ ; go to  $M_2$  if the detected character is  $b$ .

$L_{x \leftarrow a,b}$

Find the first occurrence of  $a$  or  $b$  to the left of the current square and set  $x$  to the value found.

$L_{x \leftarrow a,b} R_x$

Find the first occurrence of  $a$  or  $b$  to the left of the current square, set  $x$  to the value found, move one square to the right, and write  $x$  ( $a$  or  $b$ ).

# TURING MACHINES

## Example

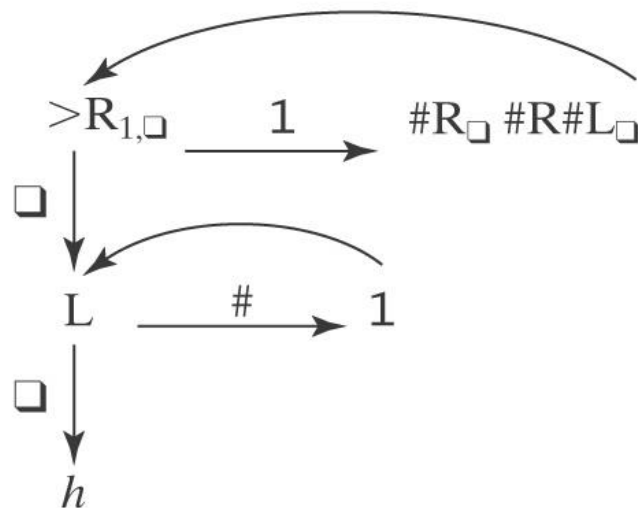
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Input:  $\square w \quad w \in \{1\}^*$

Output:  $\square w^3$

Example:  $\square 111 \square \square \square \square \square \square \square \square \square \square \square \square \square \square$

$M =$



# TURING MACHINES

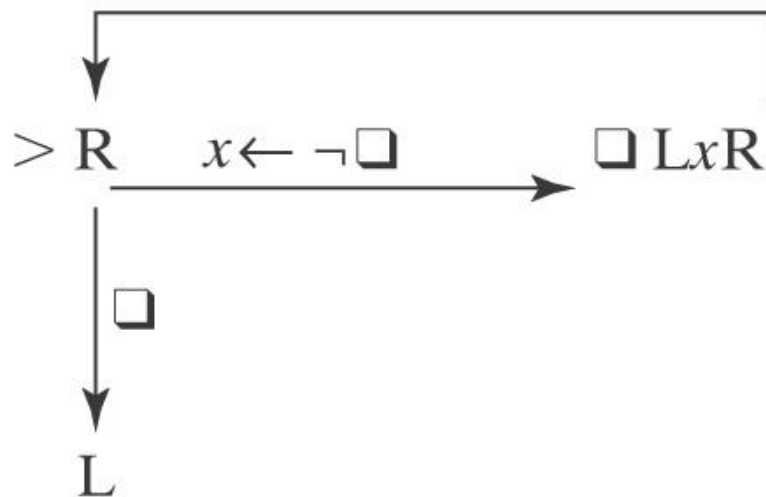
## Example: Shifting machine , $S_{\leftarrow}$

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Input:  $\square u \square w \square$

Output:  $\square u w \square$

Example:  $\square ba \square abba \square \square \square \square \square \square \square \square \square \square \square$



# TURING MACHINES AS LANGUAGE RECOGNIZERS



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Convention: We will write the input on the tape as:

$\sqcup w \sqcup$ ,  $w$  contains no  $\sqcup$ s

The initial configuration of  $M$  will then be:

$(s, \underline{\sqcup} w)$

Given a language  $L$ , we would like to design a  $TM$  that takes as input some string  $w$  and tells us whether or not  $w \in L$ . This is possible for many languages, such as all regular, context-free and the others such as  $A^n B^n C^n$

However there are languages for which the power of a  $TM$  is not enough



Let  $M = (K, \Sigma, \Gamma, \delta, s, \{y, n\})$ .

- $M$  **accepts** a string  $w$  iff  $(s, \underline{\square}w) \vdash_M^* (y, w')$  for some string  $w'$ .
  - We call  $(y, w')$  an *accepting* configuration
- $M$  **rejects** a string  $w$  iff  $(s, \underline{\square}w) \vdash_M^* (n, w')$  for some string  $w'$ .
  - We call  $(n, w')$  a *rejecting* configuration



$M$  **decides** a language  $L \subseteq \Sigma^*$  iff:

For any string  $w \in \Sigma^*$  it is true that:

- if  $w \in L$  then  $M$  accepts  $w$ , and
- if  $w \notin L$  then  $M$  rejects  $w$ .

A language  $L$  is **decidable** iff there is a Turing machine  $M$  that decides it. In this case, we will say that  $L$  is in  **$D$** .





# TURING MACHINES

## a DECIDING example

$$A^n B^n C^n = \{a^n b^n c^n : n \geq 0\}$$

Example:      □aabbcc□□□□□□□□□□

Example:      □aacccb□□□□□□□□□□



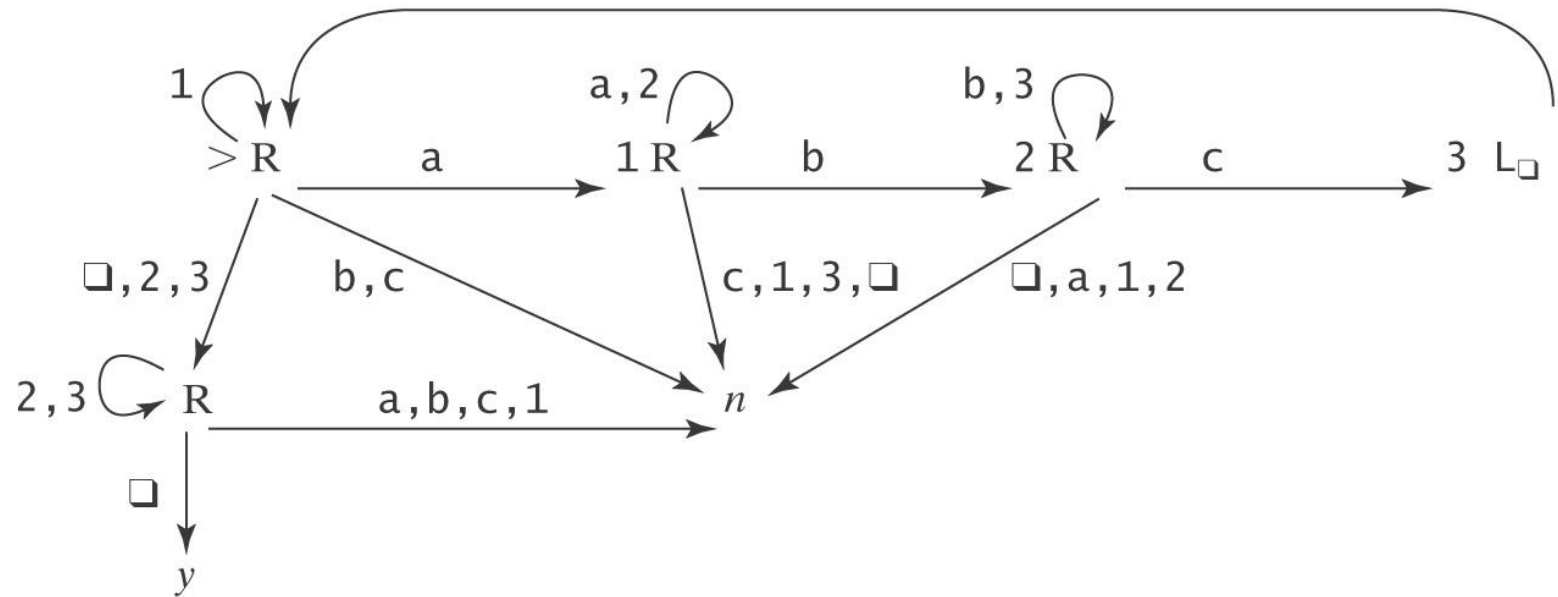
# TURING MACHINES

## a DECIDING example

$$A^n B^n C^n = \{a^n b^n c^n : n \geq 0\}$$

Example: □aabbcc□□□□□□□□□□

Example: □aaccb□□□□□□□□□□





# TURING MACHINES

## another DECIDING example

$$WcW = \{wcw : w \in \{a, b\}^*\}$$

Example:   abbcabbb      

Example:   acabb



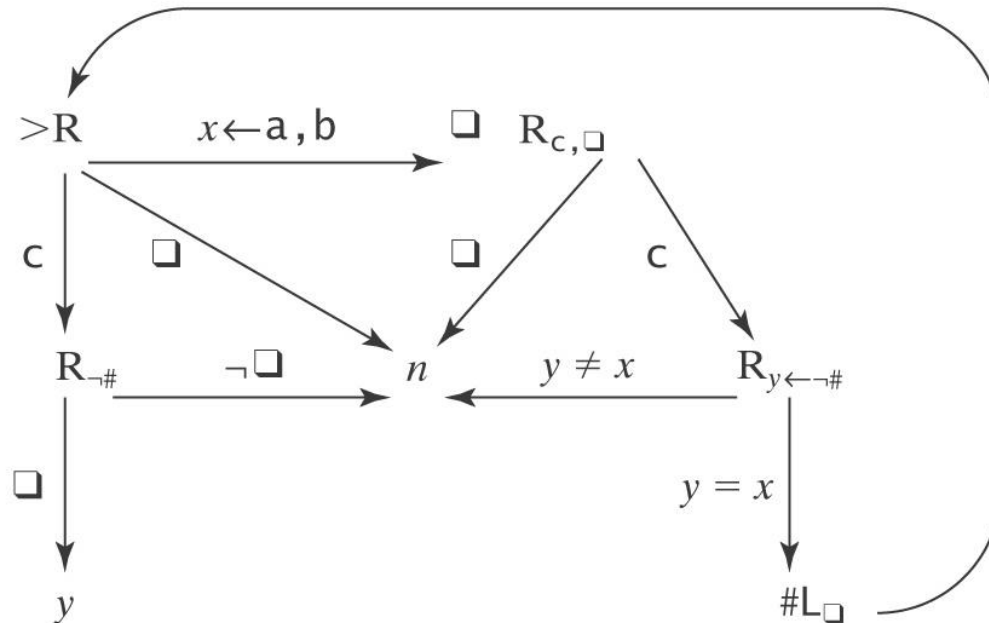
# TURING MACHINES

## another DECIDING example

$$WcW = \{w_cw : w \in \{a, b\}^*\}$$

Example: □abbcabb□□□

Example: □acabb□□□





# SEMIDECIDING A LANGUAGE

Let  $\Sigma_M$  be the input alphabet to a TM  $M$ . Let  $L \subseteq \Sigma_M^*$ .

$M$  **semidecides**  $L$  iff, for any string  $w \in \Sigma_M^*$ :

- $w \in L \rightarrow M$  accepts  $w$
- $w \notin L \rightarrow M$  does not accept  $w$ .

$M$  may either:  
reject or  
fail to halt.

A language  $L$  is **semidecidable** iff there is a Turing machine that semidecides it. We define the set **SD** to be the set of all semidecidable languages.



# TURING MACHINES

## a SEMIDECIDING example

Let  $L = b^*a(a \cup b)^*$

We can build  $M$  to semidecide  $L$ :

### 1. Loop

1.1 Move one square to the right. If the character under the read head is an  $a$ , halt and accept.

In our macro language,  $M$  is:





# TURING MACHINES

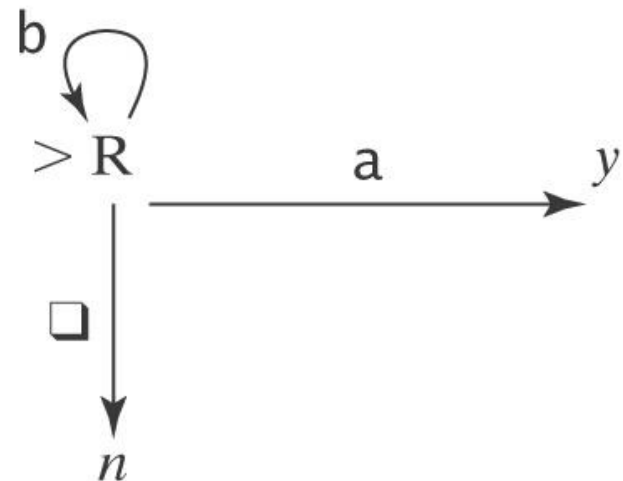
## a DECIDING example

$L = b^*a(a \cup b)^*$ . We can also decide  $L$ :

Loop:

- 1.1 Move one square to the right.
- 1.2 If the character under the read/write head is an  $a$ , halt and accept.
- 1.3 If it is  $\square$ , halt and reject.

In our macro language,  $M$  is:



# COMPUTING FUNCTIONS



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- When a TM halts there is a value on its tape.
  - When we build deciding or semideciding TMs we ignore the value.
  - We don't have to!
- 
- We can use TMs to compute functions using what's left on the tape





# COMPUTING FUNCTIONS

Let  $M = (K, \Sigma, \Gamma, \delta, s, \{h\})$ . Its initial configuration is  $(s, \sqcup w)$ .

Define  $M(w) = z$  iff  $(s, \sqcup w) \vdash_M^* (h, \sqcup z)$ .

Let  $\Sigma' \subseteq \Gamma$  be  $M$ 's output alphabet.

Let  $f$  be any function from  $\Sigma^*$  to  $\Sigma'^*$ .

$M$  **computes**  $f$  iff, for all  $w \in \Sigma^*$ :

- If  $w$  is an input on which  $f$  is defined:  $M(w) = f(w)$ .
- Otherwise  $M(w)$  does not halt.

A function  $f$  is **recursive** or **computable** iff there is a Turing machine  $M$  that computes it and that always halts.



# EXAMPLE OF COMPUTING A FUNCTION

Let  $\Sigma = \{a, b\}$ . Let  $f(w) = ww$ .

Input:  $\underline{a} w \square \square \square \square \square \square$

Output:  $\underline{a} ww \square$

Define the copy machine  $C$ :

$\underline{a} w \square \square \square \square \square \square \rightarrow \square w \underline{a} w \square$

Remember the  $S_{\leftarrow}$  machine:

$\square u \underline{a} w \square \rightarrow \square u w \underline{a}$

Then the machine to compute  $f$  is just  $\triangleright C S_{\leftarrow} L \square$



# EXAMPLE OF COMPUTING A FUNCTION

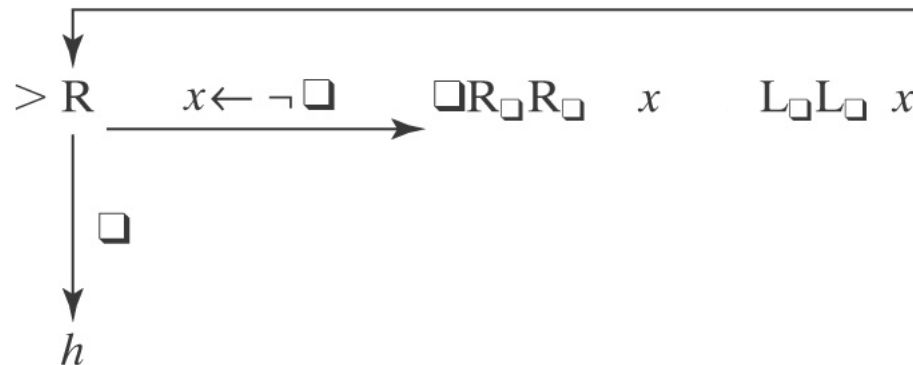
Let  $\Sigma = \{a, b\}$ . Let  $f(w) = ww$ .

Input:  $\underline{w} \square \square \square \square \square \square$

Output:  $\square w \underline{w} \square$

Define the copy machine  $C$ :

$\underline{w} \square \square \square \square \square \square \rightarrow \square w \underline{w} \square$



Remember the  $S_{\leftarrow}$  machine:

$\square u \underline{w} \square \rightarrow \square u w \underline{\square}$

Then the machine to compute  $f$  is just  $>C S_{\leftarrow} L \square$

# COMPUTING NUMERIC FUNCTIONS



52

Example:  $\text{succ}(n) = n + 1$

We will represent  $n$  in binary. So  $n \in 0 \cup 1\{0, 1\}^*$

Input:  $\underline{\square}n\square\square\square\square\square\square$   
 $\square 1111\square\square\square\square$

Output:  $\underline{\square}n+1\square$   
Output:  $\square 10000\square$

# COMPUTING NUMERIC FUNCTIONS



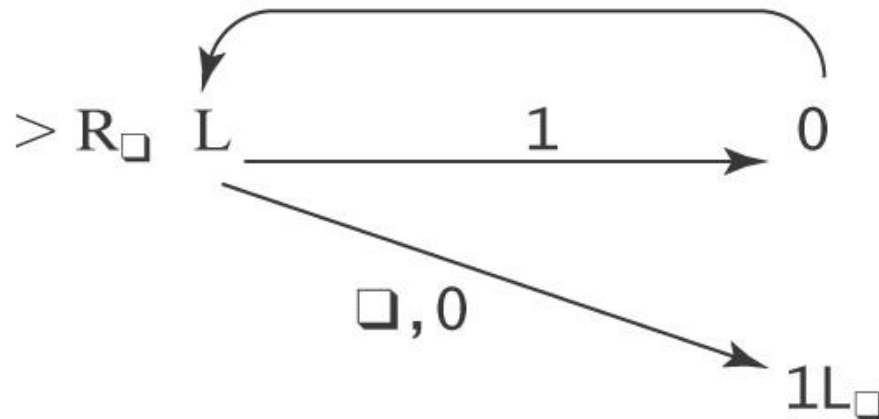
53

Example:  $\text{succ}(n) = n + 1$

We will represent  $n$  in binary. So  $n \in 0 \cup 1\{0, 1\}^*$

Input:  $\underline{\phantom{0}}n\underline{\phantom{0}}\underline{\phantom{0}}\underline{\phantom{0}}\underline{\phantom{0}}\underline{\phantom{0}}\underline{\phantom{0}}$   
 $\underline{\phantom{0}}1111\underline{\phantom{0}}\underline{\phantom{0}}\underline{\phantom{0}}\underline{\phantom{0}}$

Output:  $\underline{\phantom{0}}n+1\underline{\phantom{0}}$   
Output:  $\underline{\phantom{0}}10000\underline{\phantom{0}}$





# NOT ALL FUNCTIONS ARE COMPUTABLE

Let  $T$  be the set of all TMs that:

- Have tape alphabet  $\Gamma = \{\square, 1\}$ , and
- Halt on a blank tape.

Define the **busy beaver functions**  $S(n)$  and  $\Sigma(n)$ :

- $S(n)$ : the maximum number of steps that are executed by any element of  $T$  with  $n$ -nonhalting states, when started on a blank tape, before it halts.
- $\Sigma(n)$ : the maximum number of 1's that are left on the tape by any element of  $T$  with  $n$ -nonhalting states, when it halts.

$n$	$S(n)$	$\Sigma(n)$
1	1	1
2	6	4
3	21	6
4	107	13
5	$\geq 47,176,870$	$\geq 4098$
6	$\geq 7.4 \times 10^{36534}$	$\geq 3.5 \times 10^{18267}$

# TURING MACHINES



55

Turing machines

Are more powerful than any of the other formalisms we have studied so far.



Turing machines

Are a **lot** harder to work with than all the real computers we have available.

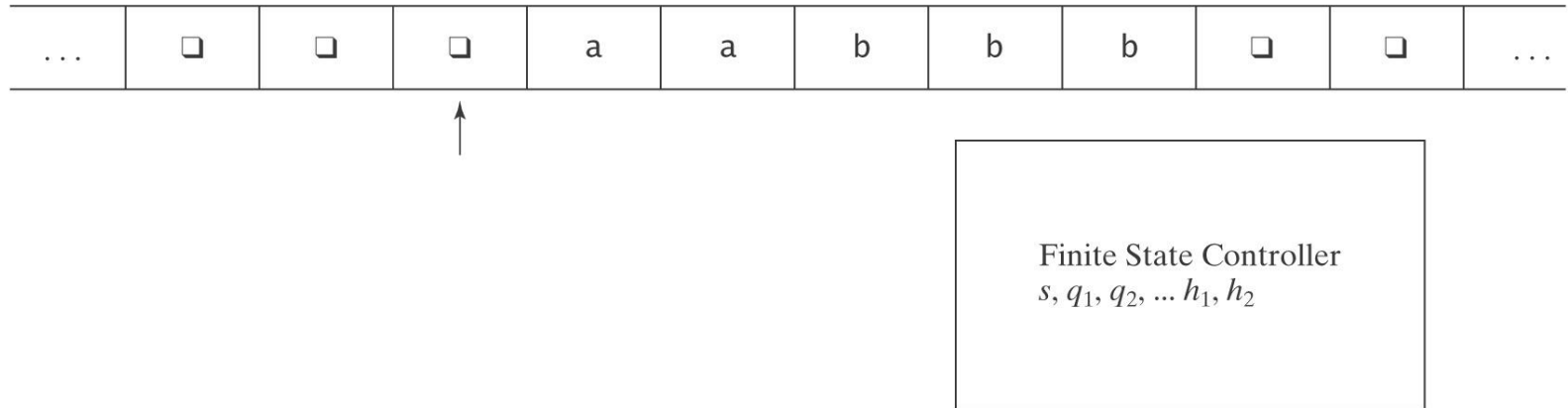


Why bother?

The very simplicity that makes it hard to program Turing machines makes it possible to reason formally about what they can do. If we can, once, show that anything a real computer can do can be done (albeit clumsily) on a Turing machine, then we have a way to reason about what real computers can do.

# TURING MACHINE EXTENTIONS

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At each step, the machine must:

- choose its next state,
- write on the current square, and
- move left or right.



# Turing Machine Extensions

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There are many extensions we might like to make to our basic Turing machine model. But:

**We can show that every extended machine has an equivalent basic machine.**

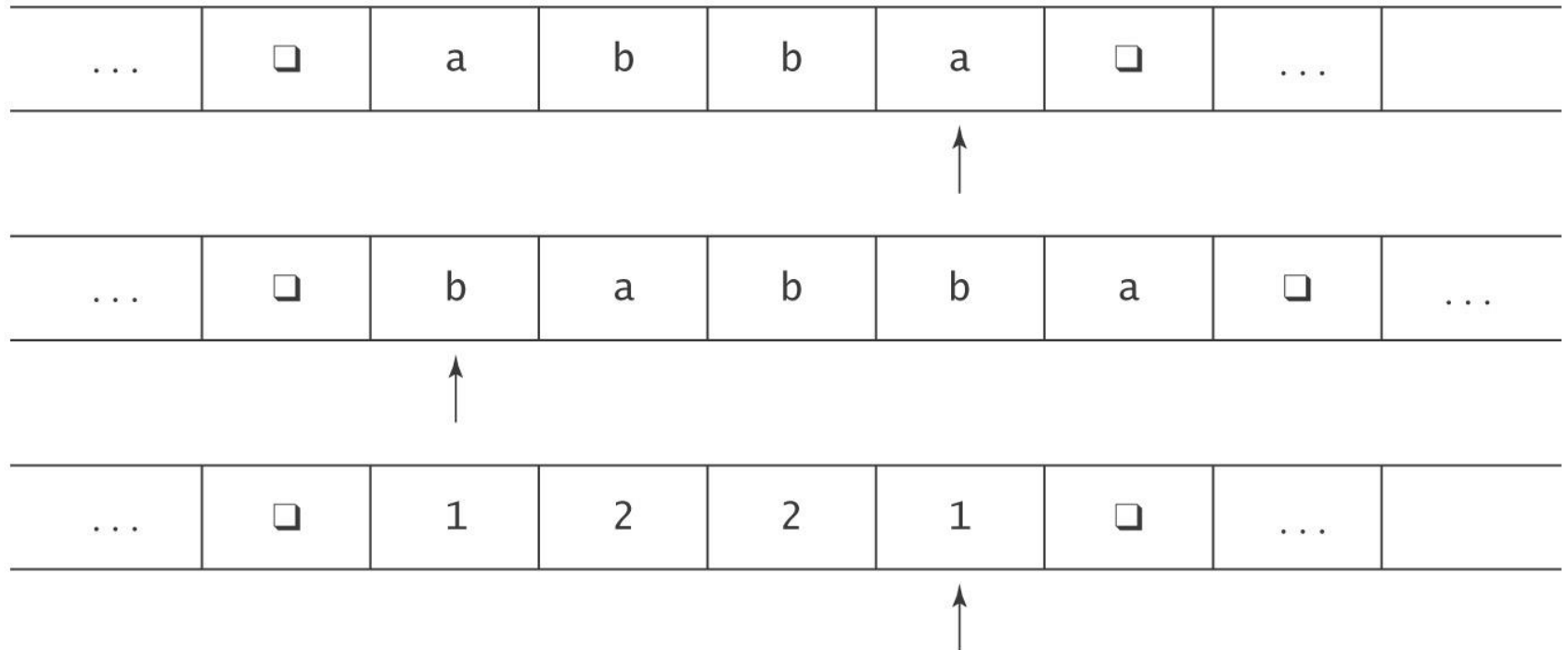
We can also place a bound on any change in the complexity of a solution when we go from an extended machine to a basic machine.

Some possible extensions:

- Multiple tape TMs
- Nondeterministic TMs

# MULTIPLE TAPES

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A configuration of  $k$ -tape Turing Machine is a  $k+1$  tuple  
( $state, tape_1, tape_2, \dots, tape_k$ )

Initial configuration ( $s, \underline{\square}w, \underline{\square}, \dots, \underline{\square},$  ).

# MULTIPLE TAPES

59

The transition function for a  $k$ -tape Turing machine:

$$\begin{array}{l} ((K-H), \Gamma_1 \text{ to } (K, \Gamma_1', \{\leftarrow, \rightarrow, \uparrow\} \\ \quad , \Gamma_2 \quad , \Gamma_2', \{\leftarrow, \rightarrow, \uparrow\} \\ \quad , \cdot \quad , \cdot \\ \quad , \cdot \quad , \cdot \\ \quad , \Gamma_k) \quad , \Gamma_k', \{\leftarrow, \rightarrow, \uparrow\}) \end{array}$$

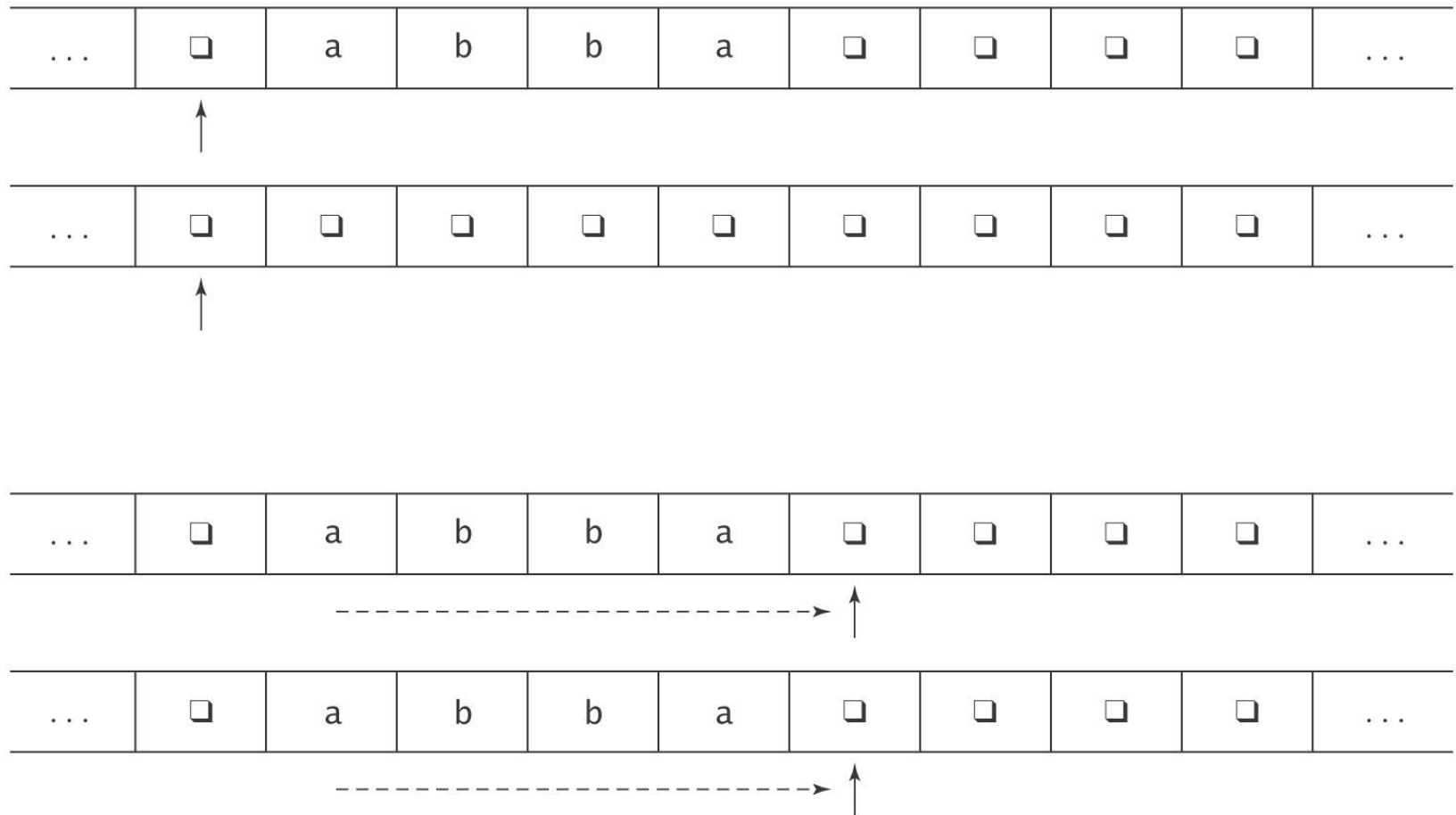
Input: as before on tape 1, others blank.

Output: as before on tape 1, others ignored.

**Note:** tape head is allowed to stay put.

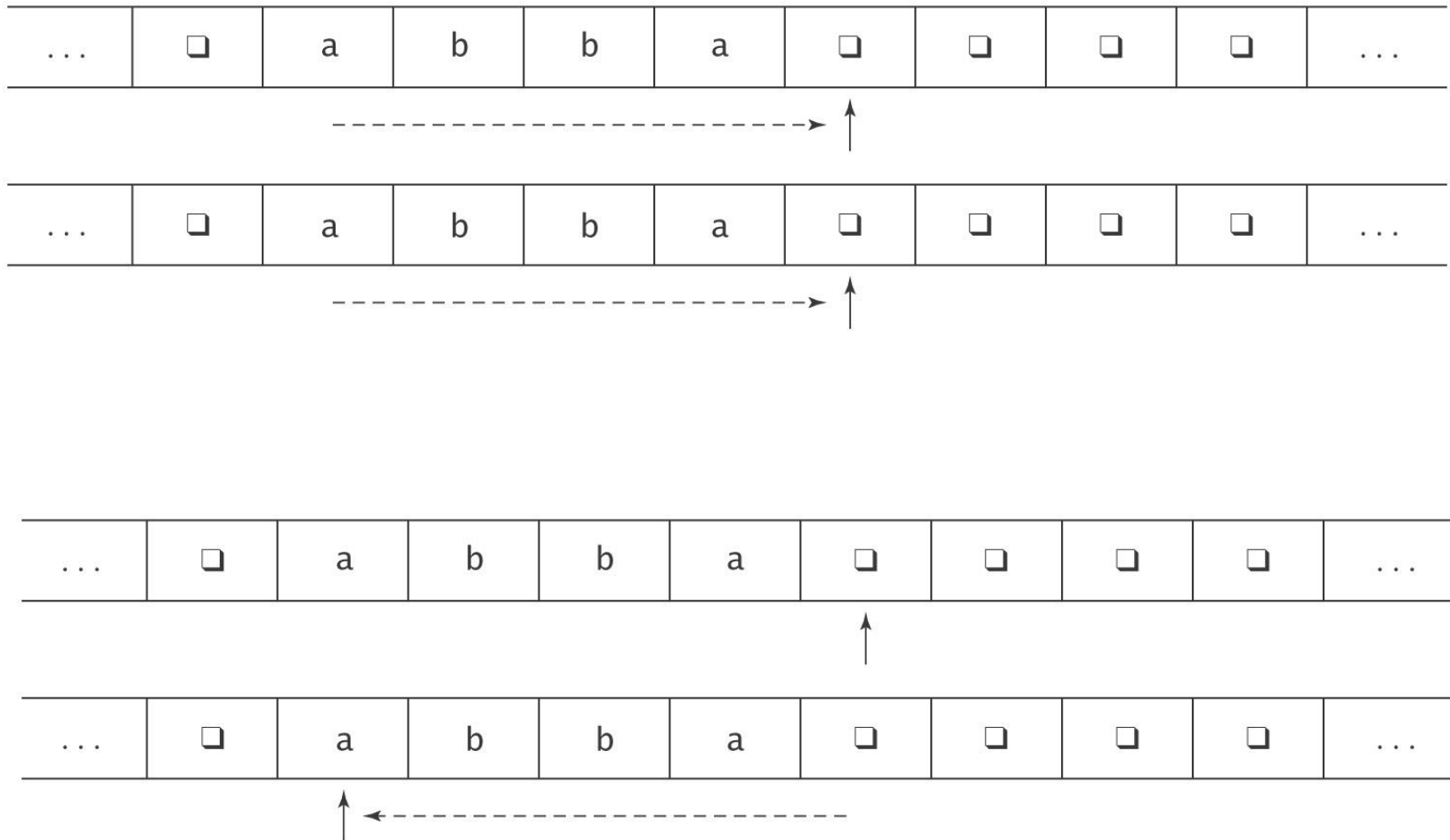
# EXAMPLE: COPYING A STRING

60



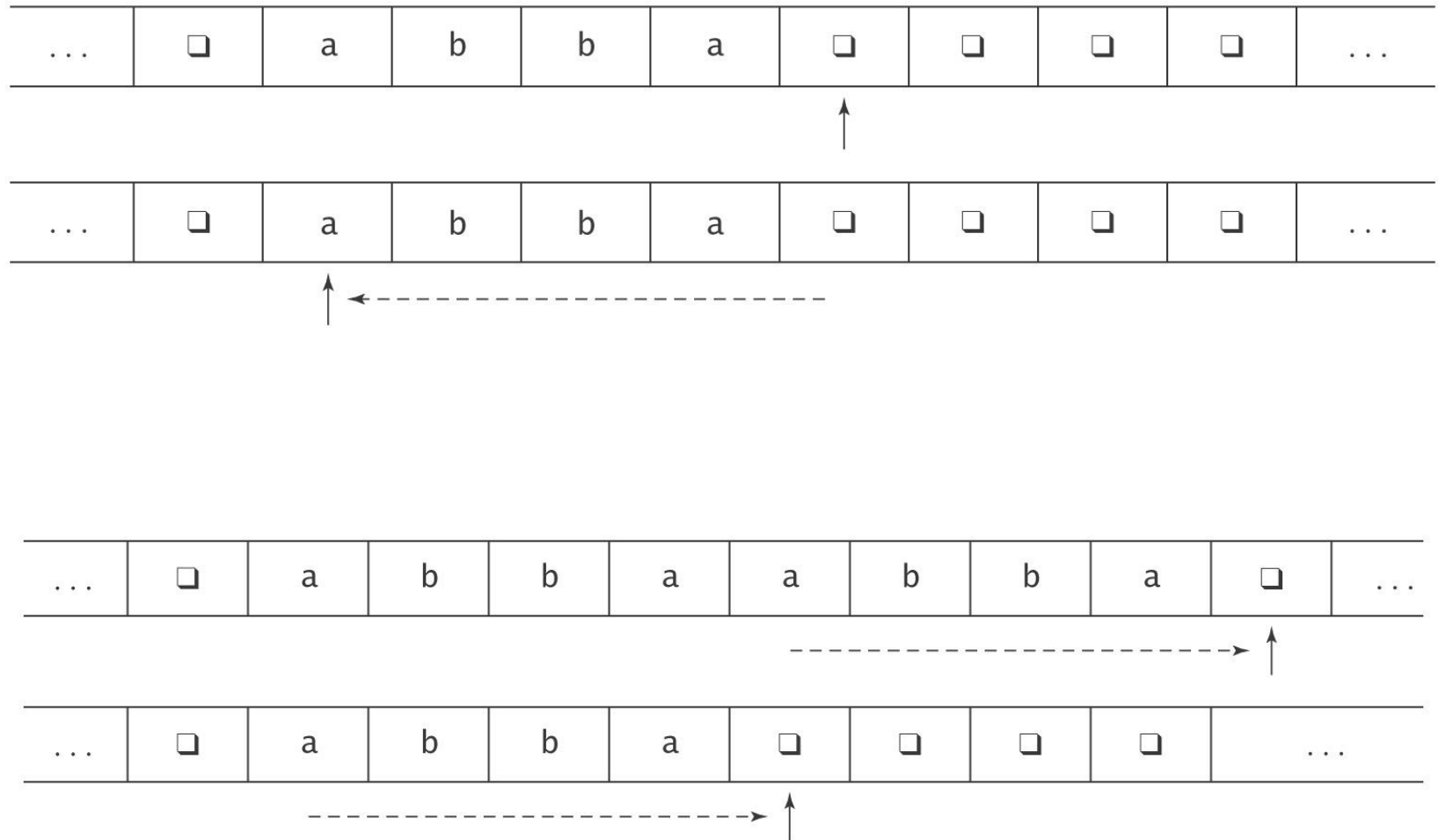
# EXAMPLE: COPYING A STRING

61



# EXAMPLE: COPYING A STRING

62



# Example: $A^n B^n C^n$

63

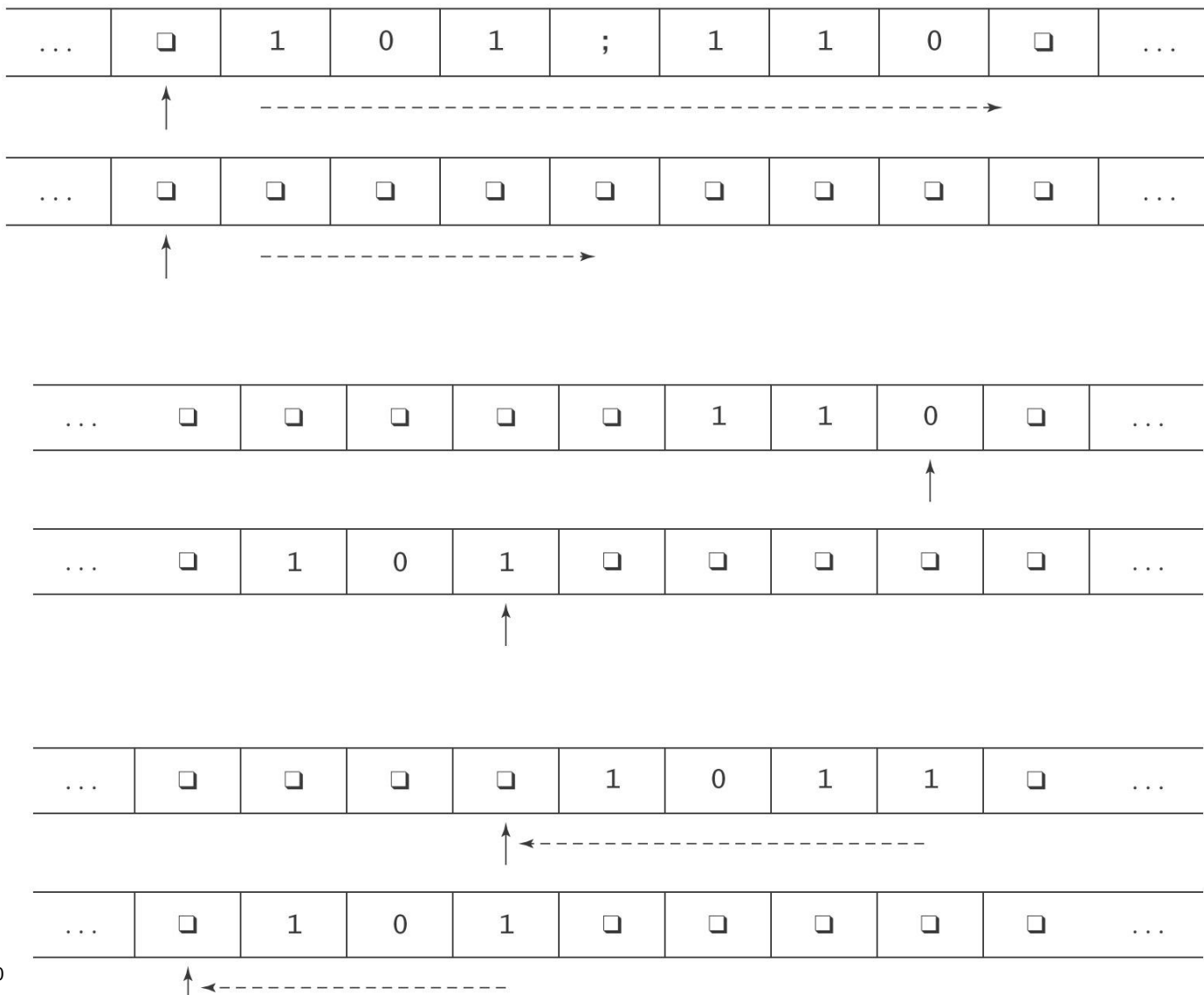
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a a a a b b b b c c c c

---

# ANOTHER TWO TAPE EXAMPLE: ADDITION

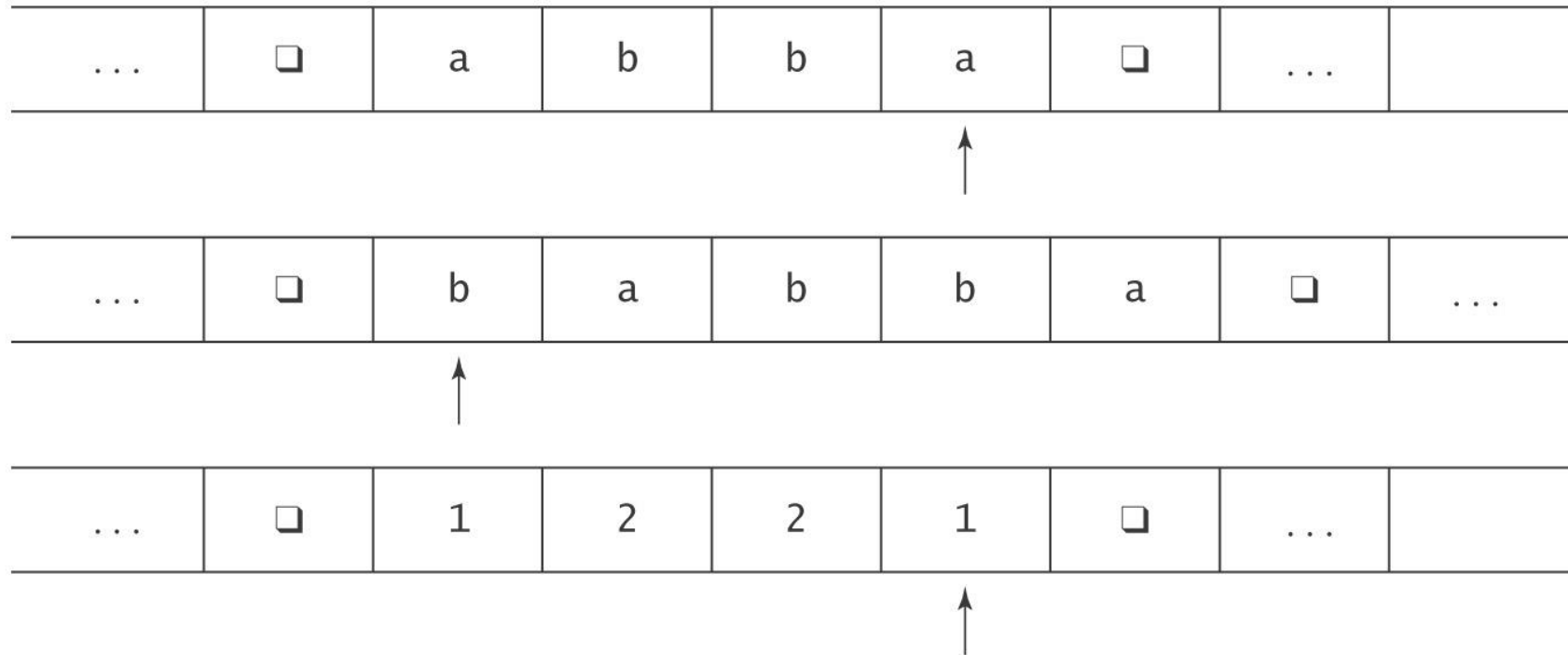
64





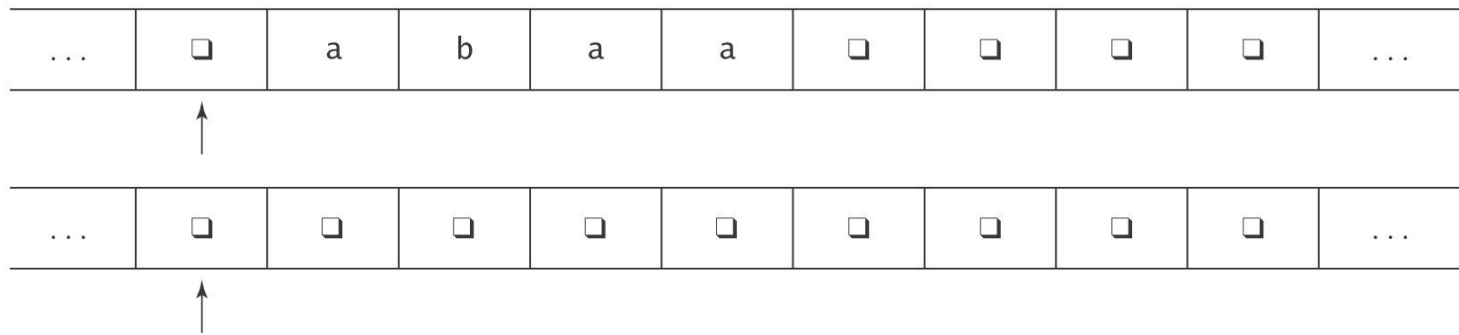
# How to Represent Multiple Tapes?

65



# The Representation

66



(a)

...	□	□	a	b	a	a	□	□	□	...
		1	0	0	0	0	0	0		
		□	□	□	□	□	□			
		1	0	0	0	0	0	0		

(b)

Alphabet ( $\Sigma'$ ) of  $M' = \Gamma \cup (\Gamma \times \{0, 1\})^k$ :

$\square, a, b, (\square, 1, \square, 1), (a, 0, \square, 0), (b, 0, \square, 0), \dots$

# The Operation of $M'$

67

...	□	□	a	b	a	a	□	□	□	...
		1	0	0	0	0	0	0		
		□	□	□	□	□	□			
		1	0	0	0	0	0	0		

1. Set up the multitrack tape.
2. Simulate the computation of  $M$  until (if)  $M$  would halt:
  - 2.1 Scan left and store in the state the  $k$ -tuple of characters under the read heads. Move back right.
  - 2.2 Scan left and update each track as required by the transitions of  $M$ . If necessary, subdivide a new square into tracks. Move back right.
3. When  $M$  would halt, reformat the tape to throw away all but track 1, position the head correctly, then go to  $M$ 's halt state.

# How Many Steps Does $M'$ Take?

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Let:  $w$  be the input string, and  
 $n$  be the number of steps it takes  $M$  to execute.

Step 1 (initialization):  $\mathcal{O}(|w|)$ .

Step 2 (computation):

Number of passes =  $n$ .

Work at each pass: 2.1 =  $2 \cdot (\text{length of tape})$ .

$$= 2 \cdot (|w| + n).$$

$$2.2 = 2 \cdot (|w| + n).$$

Total:  $\mathcal{O}(n \cdot (|w| + n))$ .

Step 3 (clean up):  $\mathcal{O}(\text{length of tape})$ .

Total:  $\mathcal{O}(n \cdot (|w| + n)) = \mathcal{O}(n^2)$ . \*

\* assuming that  $n \geq w$

# ADDING TAPES ADDS NO POWER

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**Theorem:** Let  $M$  be a  $k$ -tape Turing machine for some  $k \geq 1$ . Then there is a standard TM  $M'$  where  $\Sigma \subseteq \Sigma'$ , and:

- On input  $x$ ,  $M$  halts with output  $z$  on the first tape iff  $M'$  halts in the same state with  $z$  on its tape.
- On input  $x$ , if  $M$  halts in  $n$  steps,  $M'$  halts in  $\mathcal{O}(n^2)$  steps.

**Proof:** By construction.



# IMPACT OF NONDETERMINISM

- FSMs
  - Power NO
  - Complexity
    - Time NO
    - Space YES
- PDAs
  - Power YES
- Turing machines
  - Power NO
  - Complexity ?

# NONDETERMINISTIC TURING MACHINES



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A **nondeterministic** TM is a sextuple  $(K, \Sigma, \Gamma, \Delta, s, H)$ .

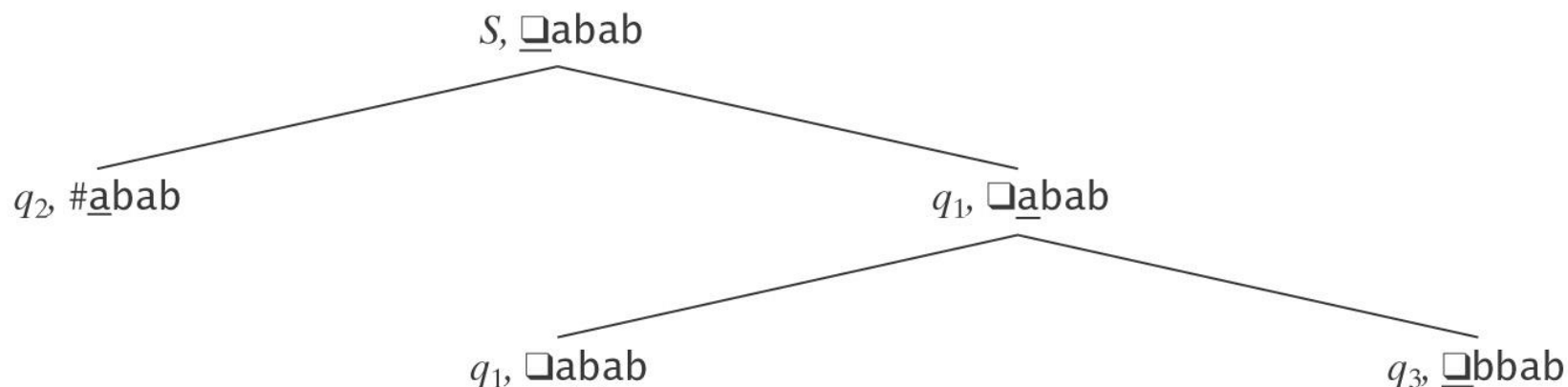
$\Delta$  is a ***subset*** of:

$$((K - H) \times \Gamma) \times (K \times \Gamma \times \{\leftarrow, \rightarrow\})$$

# NONDETERMINISTIC TURING MACHINES



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What does it mean for a nondeterministic Turing machine to:

- Decide a language
- Semidecide a language
- Compute a function





# NONDETERMINISTIC DECIDING

Let  $M = (K, \Sigma, \Gamma, \Delta, s, \{y, n\})$  be a nondeterministic TM.

Let  $w$  be an element of  $\Sigma^*$ .

$M$  **accepts**  $w$  iff *at least one* of its computations accepts.

$M$  **rejects**  $w$  iff all of its computations reject.

$M$  **decides** a language  $L \subseteq \Sigma^*$  iff,  $\forall w$ :

- There is a finite number of paths that  $M$  can follow on input  $w$ ,
- All of those paths halt, and
- $w \in L$  iff  $M$  accepts  $w$ .

# AN EXAMPLE OF NONDETERMINISTIC DECIDING



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$L = \{w \in \{0, 1\}^* : w \text{ is the binary encoding of a composite number}\}.$

$M$  decides  $L$  by doing the following on input  $w$ :

1. Nondeterministically choose two positive binary numbers such that:

$$2 \leq |p| \text{ and } |q| \leq |w|.$$

Write them on the tape, after  $w$ , separated by ;

□110011;111;1111□□  
           $w$        $p$        $q$

2. Multiply  $p$  and  $q$  and put the answer,  $A$ , on the tape, in place of  $p$  and  $q$ .

□110011;1011111□□  
           $w$            $A$

3. Compare  $A$  and  $w$ . If equal, go to  $y$ . Else go to  $n$ .

# NONDETERMINISTIC SEMIDECIDING



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Let  $M = (K, \Sigma, \Gamma, \Delta, s, H)$  be a nondeterministic TM.

We say that  $M$  **semidecides** a language  $L \subseteq \Sigma^*$  iff:  
for all  $w \in \Sigma^*$ :

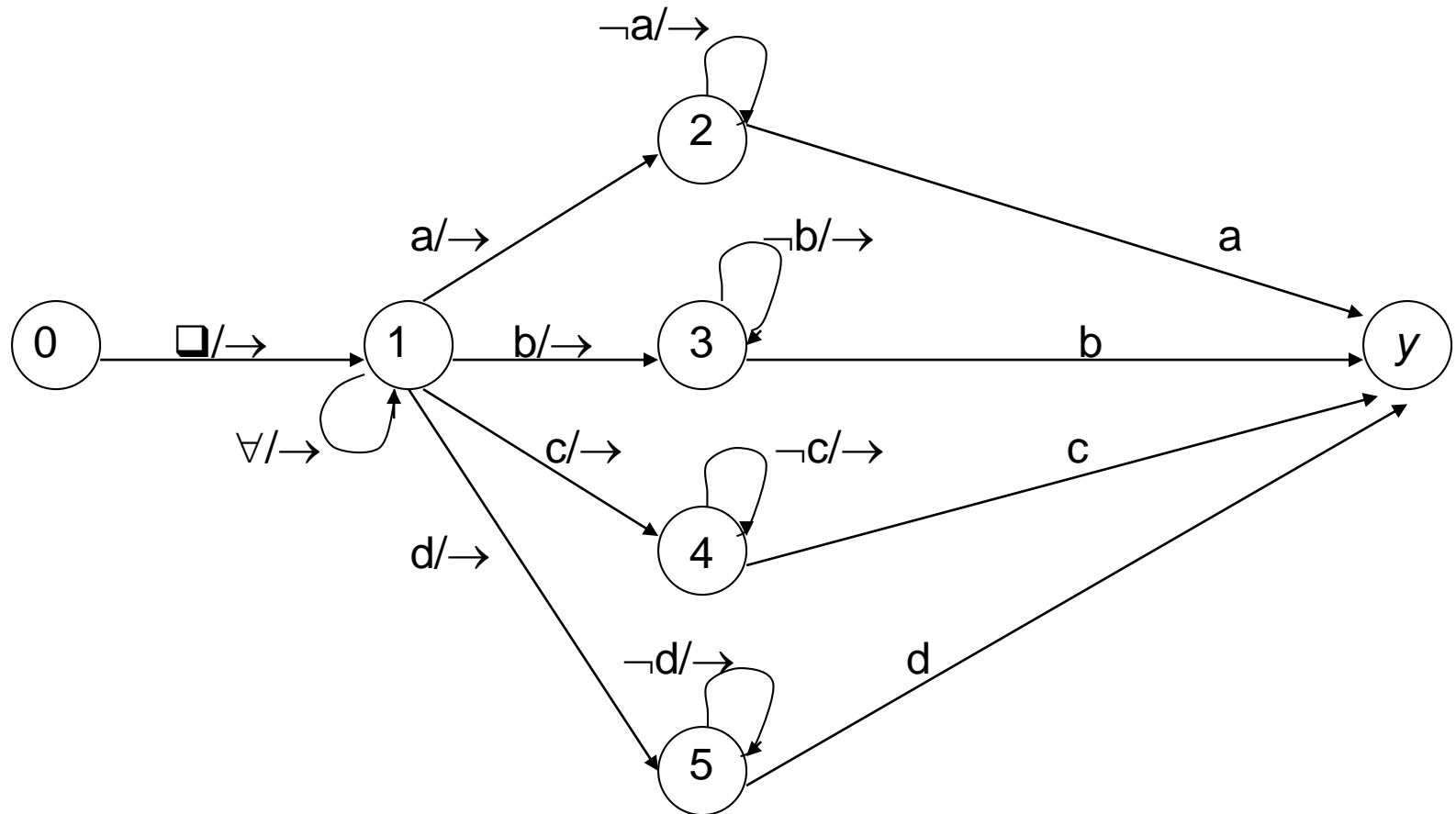
$w \in L$  iff  
 $(s, \sqcup w)$  yields at least one accepting configuration.

# AN EXAMPLE OF NONDETERMINISTIC SEMIDECIDING



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$L = \{w \in \{a, b, c, d\}^* : \text{there are two of at least one letter}\}$



# AN EXAMPLE OF NONDETERMINISTIC SEMIDECIDING



77

Let  $L = \{\text{descriptions of TMs that halt on at least one string}\}$ .

Let  $\langle M \rangle$  mean the string that describes some TM  $M$ .

$S$  semidecides  $L$  as follows on input  $\langle M \rangle$ :

1. Nondeterministically choose a string  $w$  in  $\Sigma_M^*$  and write it on the tape.
2. Run  $M$  on  $w$ .
3. Accept.

We'll prove later that, in this case, semideciding is the best we can do.

# NONDETERMINISTIC FUNCTION COMPUTATION



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*M computes a function  $f$  iff,  $\forall w \in \Sigma^*$  :*

- All of  $M$ 's computations halt, and
- All of  $M$ 's computations result in  $f(w)$ .

# EQUIVALENCE OF DETERMINISTIC AND NONDETERMINISTIC TURING MACHINES



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**Theorem:** If a nondeterministic TM  $M$  decides or semidecides a language, or computes a function, then there is a standard TM  $M'$  semideciding or deciding the same language or computing the same function.

**Proof:** (by construction)

We must do separate constructions for deciding/semideciding and for function computation.

# References

## ❑ Automata, Computability and Complexity. Theory and Applications

- By Elaine Rich

## ❑ Chapter 17:

- Page : 266-391.