
SCHOOL of ELECTRICAL ENGINEERING & COMPUTING
FACULTY of ENGINEERING & BUILT ENVIRONMENT
The UNIVERSITY of NEWCASTLE

Comp3320/6370 Computer Graphics

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LECTURE w06

Transforms II

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Motivation

The course so far has repeated/refreshed some basic material about vectors from high school and the introductory maths courses (vectors, basis/coordinates, dot product, cross product, determinants, matrices, matrix inversion, etc.).

We also had a look at computer graphics specific homogeneous coordinates and some fundamental transforms (rotation, translation, shearing and scaling) that are used by OpenGL.

Speed is a major concern in CG. In the present lecture we want to understand how and when we/OpenGL can make some algorithms faster by using a very fast way of matrix inversion.

We also want to understand how we can switch between different coordinate systems.

These two details allow us to obtain a faster way to rotate about an arbitrary axis and to scale in direction of an arbitrary vector.

Basic linear algebra

We know by now:

- What is the difference between the dot product and the cross product?
- How to calculate the norm or length of a vector.
- Projection of one vector onto another.
- What is an *Orthonormal Basis* (ONB)? Given one vector, how can we generate an ONB?
- Matrix product for square matrices and for general rectangular matrices.
- How to calculate the inverse M^{-1} of a matrix M .

Hint: Use the Exercise I and II sheets and also look at your maths books or the material available on the internet.

Transforms I

Recall what are

- Homogeneous coordinates
- Translations: points, vectors
- Rotations
- Euler transform
- Scaling
- Shearing
- Rigit-body transforms

Exercise 13: Additivity of Rotations in 2D

Show that for $\alpha, \beta \in [0, 2\pi]$

$$\mathbf{R}(\alpha)\mathbf{R}(\beta) = \mathbf{R}(\alpha + \beta)$$

Compare with the rule for multiplying two complex numbers $ae^{i\alpha}$ and $be^{i\beta}$ for the special case that $a = b = 1$.

Exercise 14: Rotations in 3D: non-commutativity

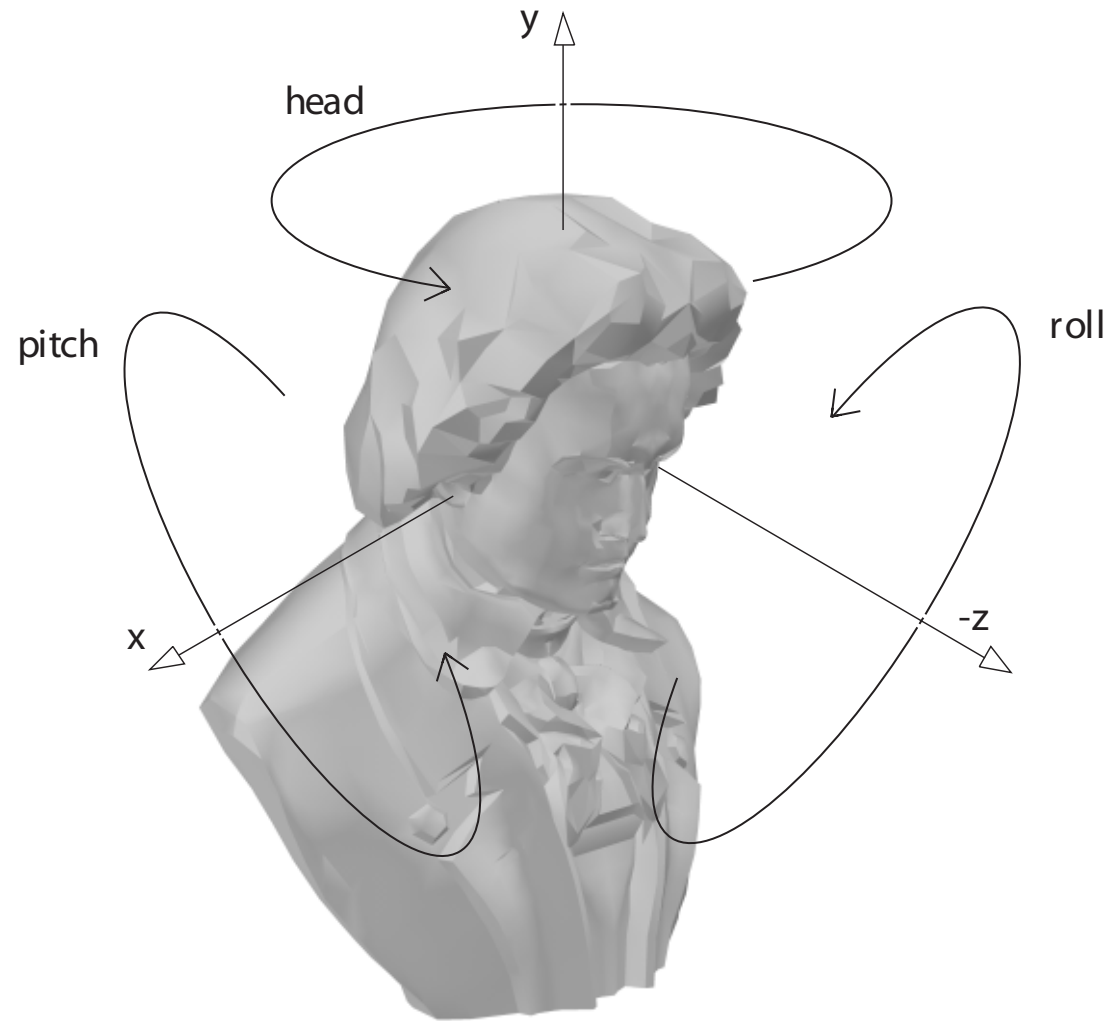
Use rotations in 3D with homogeneous coordinates and calculate the matrix for an x -roll of 30° , followed by an y -roll of 45° , followed by a z -roll of 60° .

First calculate each of the the matrices $R_x(30^\circ)$, $R_y(45^\circ)$, $R_z(60^\circ)$ and the product $R_z(60^\circ) \cdot R_y(45^\circ) \cdot R_x(30^\circ)$.

Is it different from $R_x(30^\circ) \cdot R_y(45^\circ) \cdot R_z(60^\circ)$?

Which is the correct order and why?

Euler Angles



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Exercise 15: The inverse of some transforms

Let $\mathbf{F} \in M(4 \times 4, \mathbf{R})$ have the general form of a 3D transform in homogeneous coordinates. That is, if the submatrix $\mathbf{M} \in M(3 \times 3, \mathbf{R})$ is either a 3D rotation, scaling or shearing matrix and the submatrix $\mathbf{T} \in M(3 \times 1, \mathbf{R})$ is a translation vector then \mathbf{F} can be written as:

$$\mathbf{F} = \left[\begin{array}{ccc|c} \mathbf{M} & \mathbf{T} \\ \mathbf{0} & 1 \end{array} \right] = \left[\begin{array}{ccc|c} m_{00} & m_{01} & m_{02} & t_x \\ m_{10} & m_{11} & m_{12} & t_y \\ m_{20} & m_{21} & m_{22} & t_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right]$$

Let $\mathbf{N} = \mathbf{M}^{-1}$. Show that the inverse of \mathbf{F} is given by:

$$\mathbf{F}^{-1} = \left[\begin{array}{ccc|c} \mathbf{N} & -\mathbf{NT} \\ \mathbf{0} & 1 \end{array} \right] = \left[\begin{array}{ccc|c} n_{00} & n_{01} & n_{02} & -(\mathbf{NT})_x \\ n_{10} & n_{11} & n_{12} & -(\mathbf{NT})_y \\ n_{20} & n_{21} & n_{22} & -(\mathbf{NT})_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right]$$

The Orthogonal Group $O(n)$

DEF. $GL_n(\mathbf{R}) = \{A \in M(n \times n, \mathbf{R}); \det(A) \neq 0\}$ is called the *General Linear Group*. It is the group of invertible $n \times n$ matrices with real coefficients.

$O(n) := \{A \in GL_n(\mathbf{R}); A \cdot A^T = A^T \cdot A = Id\}$ is called the *Orthogonal Group of $(n \times n)$ -matrices*.

Proposition: Let $A \in GL_n(\mathbf{R})$ be an invertible $n \times n$ matrix with real coefficients. The following three statements are equi-valent:

- (i) $A^{-1} = A^T$ (i.e. A is an element of $O(n)$)
- (ii) $\forall x \in \mathbf{R}^n \quad \|Ax\| = \|x\|$ (preserves lengths)
- (iii) $\forall x, y \in \mathbf{R}^n \quad Ax \cdot Ay = x \cdot y$ (preserves angles)

Proof. Homework exercise 16.

The Special Orthogonal Group $SO(n)$

DEF. $SO(n) := \{A \in O(n); \det(A) = 1\}$ is called the *Special Orthogonal Group of $(n \times n)$ -matrices*.

Exercise 17. Describe in geometrical terms what is the difference between the elements of $O(n)$ and $SO(n)$?

Some properties of $O(n)$

Notes.

1. $A \in O(n) \implies A^{-1} \in O(n)$
2. $A, B \in O(n) \implies A \cdot B \in O(n)$
3. $A \in O(n) \implies |\det A| = 1$

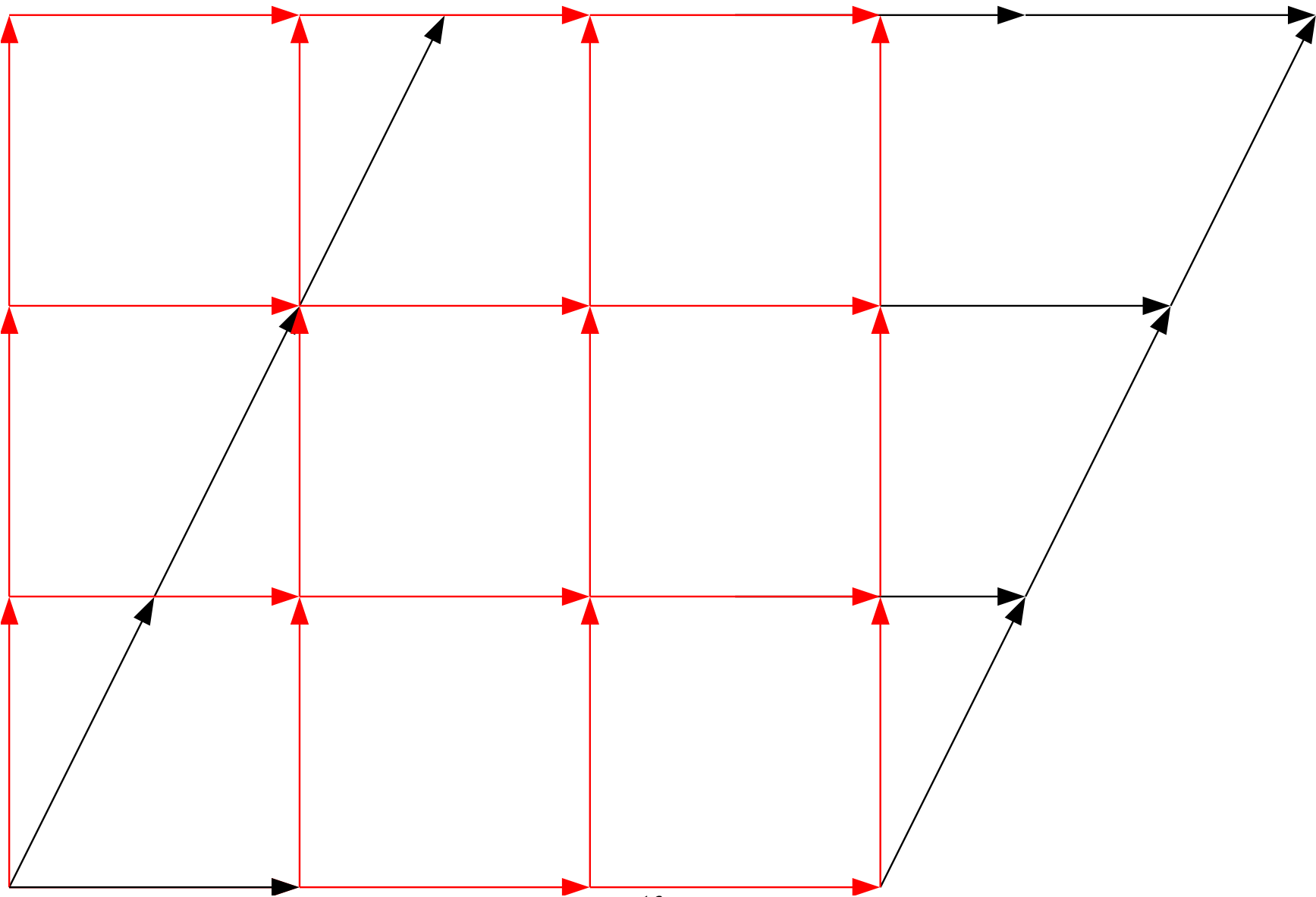
Lemma. If the vectors $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ form an *orthonormal* set (i.e. each of them has length 1 and they are pairwise perpendicular/orthogonal) then the $(n \times n)$ -matrix formed by setting the j -th column equal to \mathbf{v}_j for all $1 \leq j \leq n$ is *orthogonal*, i.e. an element of $O(n)$.

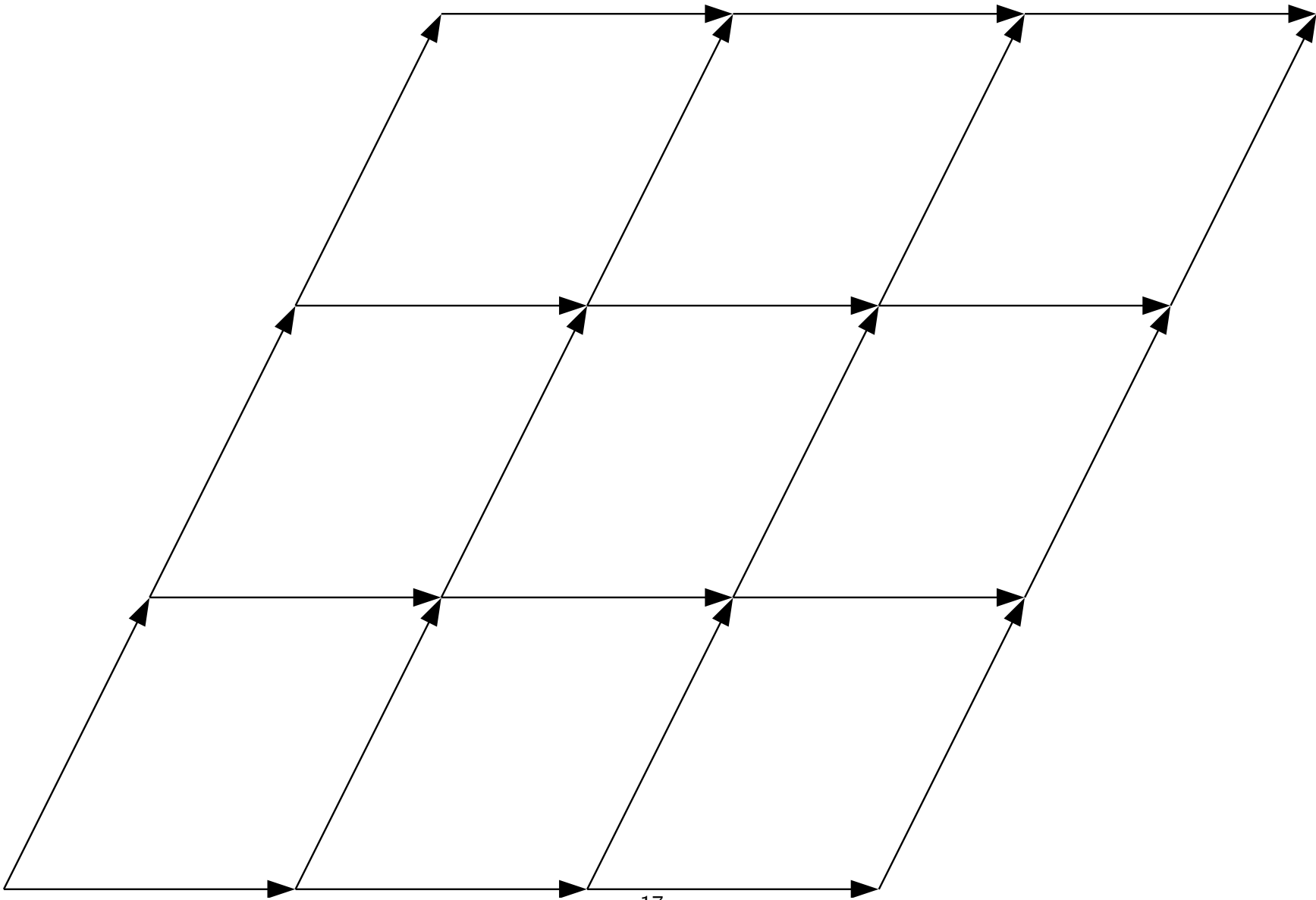
Why is the lemma useful and how can we be sure it is true? (Exercise 18)

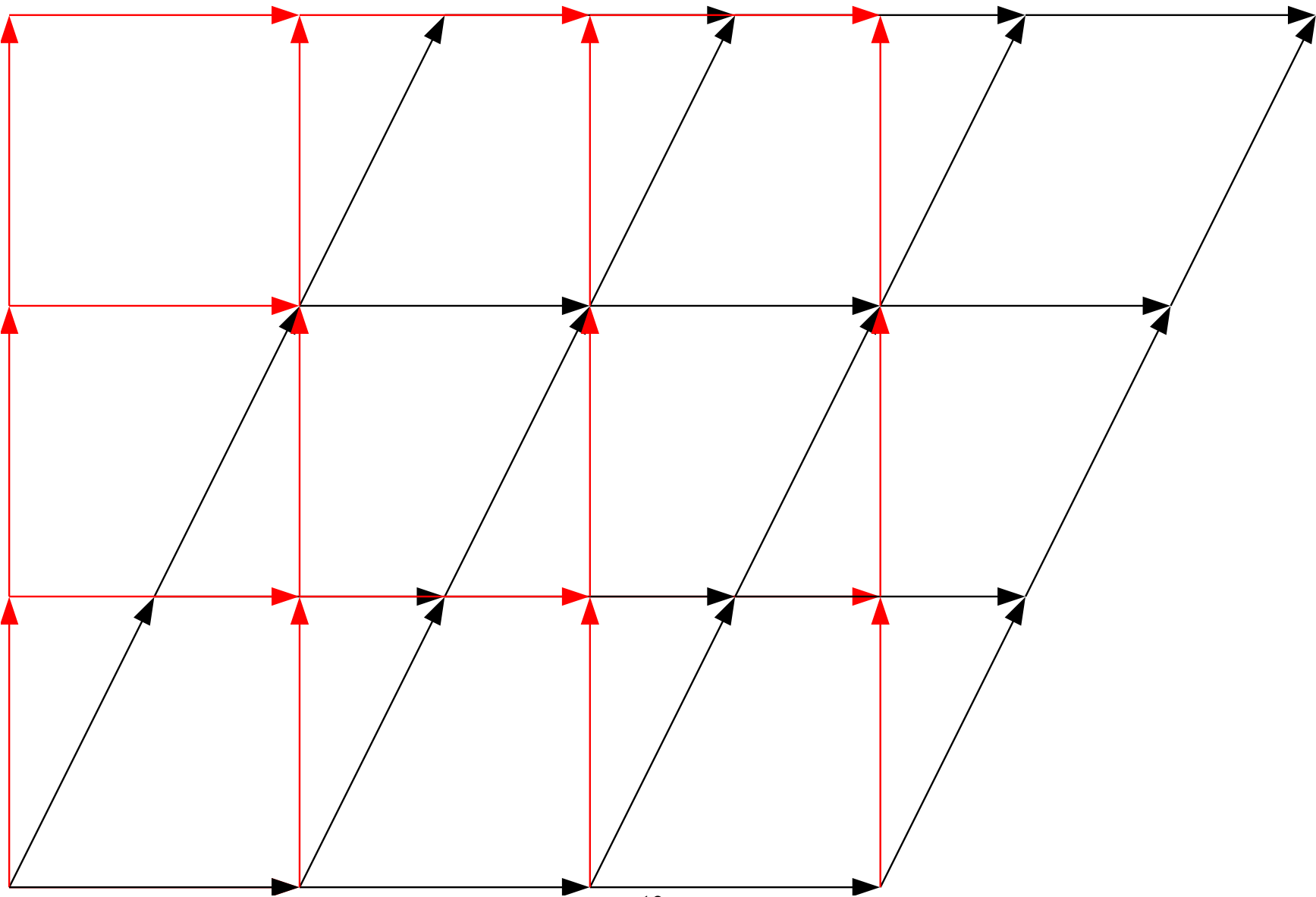
Transforms II

Today look into

- Change of basis
- Rotation about an arbitrary axis







Change of basis

Let $\mathbf{E} = (\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$ be the standard basis, and $\mathbf{F} = (\mathbf{f}_1, \mathbf{f}_2, \mathbf{f}_3)$ be another basis of \mathbf{R}^3 .

Let $\mathbf{v} \in \mathbf{R}^3$ be a vector. Let $[\mathbf{v}]_{\mathbf{E}}$ be \mathbf{v} expressed in terms of \mathbf{E} (note that $[\mathbf{v}]_{\mathbf{E}} = \mathbf{v}$) and let $[\mathbf{v}]_{\mathbf{F}}$ be \mathbf{v} expressed in terms of \mathbf{F} .

Let $[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}}$ be the change of basis matrix from \mathbf{F} to \mathbf{E} i.e.

$$[\mathbf{v}]_{\mathbf{E}} = [\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} \cdot [\mathbf{v}]_{\mathbf{F}} \quad (1)$$

Note 1. The first basis element of \mathbf{F} is \mathbf{f}_1 and therefore $[\mathbf{f}_1]_{\mathbf{F}} = (1 \ 0 \ 0)^T$. If we take as change of basis matrix the matrix with the basis vectors $\mathbf{f}_1, \mathbf{f}_2, \mathbf{f}_3$ as columns, i.e.,

$$[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} = [\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3] \quad (2)$$

then we obtain

$$\mathbf{f}_1 = [\mathbf{f}_1]_{\mathbf{E}} = [\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} \cdot [\mathbf{f}_1]_{\mathbf{F}} = [\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} \cdot (1 \ 0 \ 0)^T = [\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3] \cdot (1 \ 0 \ 0)^T \quad (3)$$

The same works for \mathbf{f}_2 and \mathbf{f}_3 .

$$\begin{array}{ccc}
[\mathbf{v}]_{\mathbf{G}} & \xrightarrow{[\mathbf{Id}]_{\mathbf{F}}^{\mathbf{G}}} & [\mathbf{v}]_{\mathbf{F}} \\
[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{G}} \downarrow & & \downarrow [\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} \\
[\mathbf{v}]_{\mathbf{E}} & \xrightarrow{[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{E}}} & [\mathbf{v}]_{\mathbf{E}}
\end{array}$$

Change of basis, cont.

Note 2. The change of basis in the opposite direction from \mathbf{E} to \mathbf{F} is given by

$$[\mathbf{e}_i]_{\mathbf{F}} = [\mathbf{Id}]_{\mathbf{F}}^{\mathbf{E}} \cdot [\mathbf{e}_i]_{\mathbf{E}} \quad (4)$$

where $[\mathbf{Id}]_{\mathbf{F}}^{\mathbf{E}} = ([\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}})^{-1}$ is applied to \mathbf{e}_i , $i = 1, 2, 3$.

Note 3. Let $\mathbf{G} = (\mathbf{g}_1, \mathbf{g}_2, \mathbf{g}_3)$ be a third basis of \mathbf{R}^3 . Then the change of basis matrix from \mathbf{G} to \mathbf{F} can be obtained in two steps via transforming into and from the standard basis \mathbf{E} as follows

$$[\mathbf{Id}]_{\mathbf{F}}^{\mathbf{G}} = [\mathbf{Id}]_{\mathbf{F}}^{\mathbf{E}} \cdot [\mathbf{Id}]_{\mathbf{E}}^{\mathbf{G}} = ([\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}})^{-1} \cdot [\mathbf{Id}]_{\mathbf{E}}^{\mathbf{G}} = [\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3]^{-1} \cdot [\mathbf{g}_1 \ \mathbf{g}_2 \ \mathbf{g}_3] \quad (5)$$

Comment 1. If $[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} \in O(3)$ i.e. it is an orthogonal matrix then $([\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}})^{-1} = ([\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}})^T$.

Comment 2 (Some inconsistency in mathematical terminology). If $\mathbf{F} = (\mathbf{f}_1, \mathbf{f}_2, \mathbf{f}_3)$ consists of orthogonal (i.e. pairwise perpendicular) vectors then this is not sufficient for the matrix $[\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3]$ to be in $O(3)$. \mathbf{F} must be an ONB (orthonormal basis), i.e. $\mathbf{f}_1, \mathbf{f}_2, \mathbf{f}_3$ must be pairwise orthogonal vectors of unit length. Then $[\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3] \in O(3)$ and $[\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3]^{-1} = [\mathbf{f}_1 \ \mathbf{f}_2 \ \mathbf{f}_3]^T$.

Exercise 19: Change of basis

Let $\mathbf{E} = (\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$ be the standard basis and let

$\mathbf{F} = ((1 \ 2 \ 2)^T, (0 \ 1 \ 2)^T, (1 \ 0 \ 2)^T)$ and

$\mathbf{G} = ((1 \ 2 \ 3)^T, (1 \ 1 \ 3)^T, (5 \ 2 \ 1)^T)$ be

other bases of \mathbf{R}^3 .

Determine:

1. $[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}}$
2. $[\mathbf{Id}]_{\mathbf{F}}^{\mathbf{E}}$
3. $[\mathbf{Id}]_{\mathbf{F}}^{\mathbf{G}}$

Rotation about an arbitrary axis

Let a rotation axis be given by a normalised vector \mathbf{r} . What is the transform that rotates some entity by an angle α about the axis defined by vector \mathbf{r} ?

Step 1: Find ONB. The first axis is \mathbf{r} (make sure it is of length 1). Find the second axis \mathbf{s} such that it is orthogonal to \mathbf{r} and of unit length. An orthogonal vector to \mathbf{r} can be found by setting the numerically smallest component of \mathbf{r} to zero, then swapping the remaining two components, and negating the first of these. \mathbf{s} is obtained by normalising the result. Then $\mathbf{t} = \mathbf{r} \times \mathbf{s}$ is the third axis. Now $\mathbf{F} = (\mathbf{r}, \mathbf{s}, \mathbf{t})$ is an ONB.

Rotation about an arbitrary axis, cont.

Step 2: Basis transform. The matrix

$$[\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}} = [\mathbf{r} \ \mathbf{s} \ \mathbf{t}] \quad (6)$$

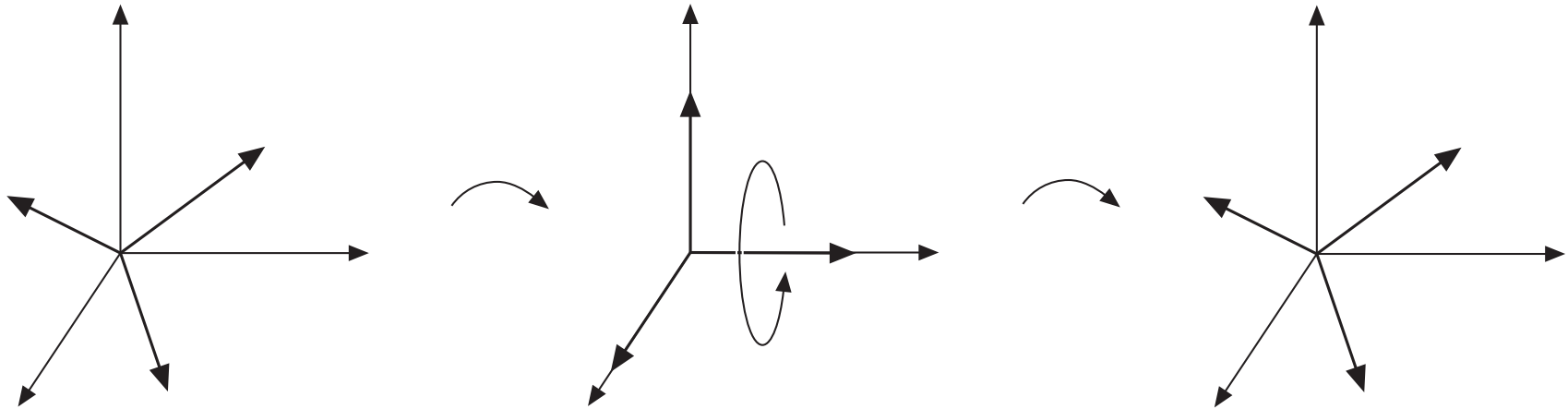
is an element of $O(3)$ and is the transform from \mathbf{F} into the standard basis $\mathbf{E} = (\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$. Hence the inverse is $M = ([\mathbf{Id}]_{\mathbf{E}}^{\mathbf{F}})^T$ and it transforms \mathbf{r} into \mathbf{e}_1 , \mathbf{s} into \mathbf{e}_2 , and \mathbf{t} into \mathbf{e}_3 .

Rotation about an arbitrary axis, cont.

Step 3: Concatenation of transforms. The complete transform is given by

$$X = M^T \cdot \mathbf{R}_x(\alpha) \cdot M = [\mathbf{r} \ \mathbf{s} \ \mathbf{t}] \cdot \mathbf{R}_x(\alpha) \cdot [\mathbf{r} \ \mathbf{s} \ \mathbf{t}]^T \quad (7)$$

It transforms the bases such that \mathbf{r} maps onto the x -axis, then it rotates by α about the x -axis, and finally it reverses the initial basis transform.



Exercise 20: Rotation about an arbitrary axis

What is the transform that rotates some entity by angle $\alpha = \frac{\pi}{2}$ about the axis defined by vector $\mathbf{r} = (1 \ 2 \ 2)^T$?

Exercise 21: Scaling in direction of an arbitrary vector

We would like to scale an entity by factor 2 in direction of a given vector \vec{r} . What is the transform ?

Answer.

Step 1: Create an ONB ($f_x = \frac{\vec{r}}{\|\vec{r}\|}$, $f_y = \frac{f_x^\perp}{\|f_x^\perp\|}$, $f_z = f_x \times f_y$).

Step 2: $X = [f_x \ f_y \ f_z] \cdot S(2, 1, 1) \cdot [f_x \ f_y \ f_z]^T$

$$= [f_x \ f_y \ f_z] \cdot \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot [f_x \ f_y \ f_z]^T$$

Suggestion:

Understand this exercise because it sums it all up in a simple way.

Then note the similarities between the approaches in exercises 20 and 21 and check all the details in the previous slides.

LITERATURE

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