

# Dummy Titlepage

Boye Gravningen Sjo

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*TMA4500*

Industrial Mathematics Specialisation Project

Department of Mathematical Sciences

Faculty of Information Technology and Electrical Engineering

Norwegian University of Science and Technology

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# Chapter 1

## Introduction

### 1.1 Relevant work

#### 1.1.1 Cerezo et al. (2021)

Variation quantum algorithms (VQAs) are envisioned as the most likely candidate for quantum advantage to be achieved. By optimising a set of parameters that describe the quantum circuit, classical optimisation techniques are applicable, and only using the quantum hardware for what can be interpreted as function calls, limits the circuit depths needed. Running the same circuit many times with slightly different parameters and inputs in a classical-quantum-hybrid fashion, rather than a complete quantum implementation, means that the quantum operations can be simple enough for the noise and decoherence to be manageable.

Generally, VQAs start with defining a cost function, depending on some input data (states) and the parametrised circuit, to be minimised with respect to the parameters of the quantum circuit. For example, the cost function for the variational quantum eigensolver (VQE) is the expectation value of some Hamiltonian, which is the energy of a system. The cost function should be meaningful in the sense that the minimum coincides with the optimal solution to the problem, and that lower values generally implies better solutions. Additionally, the cost function should be complicated enough to warrant quantum computation by not being easily calculated on classical hardware, while still having few enough parameters to be efficiently optimised.

The optimisation of the cost function is often done with gradient descent methods. To evaluate the gradient of the quantum circuit w.r.t. the parameters, the very convenient parameter shift rule is often used. Though appearing almost as a finite difference scheme, relying on evaluating the circuit with slightly shifted parameters, it is indeed an exact formula. Furthermore, it may be used recursively to evaluate higher order derivatives, which is useful for optimisation methods that require the Hessian.

VQA's applications are numerous. The archetypical example is finding the ground state of a Hamiltonian for a molecule. Such problems are exponential in the particle count, and thus intractable on classical hardware for larger molecules, while the problem of evaluating the Hamiltonian on quantum hardware is typically polynomial. VQAs are also well suited for general mathematical problems and optimisation, even machine learning, another common example being QAOA for the max-cut problem.

Still, there are many difficulties when applying VQAs. Barren plateaus are a common occurrence, making the optimisation futile. The choosing of the ansatz determines the performance and feasibility of the algorithms, and there are many strategies and options. Some rely on ex-

exploiting the specific quantum hardware’s properties, while some use the specifics of the problem at hand. Finally, the inherent noise and errors on near-term hardware will still be a problem and limit circuit depths.

### **1.1.2 Moll et al. (2018)**

The computational performance of quantum computers is decided by five main factors. Naturally, the total qubit count is important, but also their connectivity (if they are not connected, intermediate operations like swapping is needed). How many gates/operations can be used before decoherence, noise and errors ruins the result also determines what programmes are feasible. Furthermore, which physical gates are available also matters, as transpiling to native gates will increase the circuit depth. Lastly, the degree of gate parallelisation can allow for shallower circuits and increased performance.

With all these factors in mind, the metric of quantum volume is defined, giving a single number describing the performance. It is effectively defined as the largest rectangular circuit of two-qubits a quantum computer may execute.

### **1.1.3 Torlai et al. (2020)**

Due to the probabilistic nature of quantum computers and their exponentially great number of states, measuring complex observables accurately requires many samples. By post-processing the measurements using an artificial neural network, the variance of the samples are significantly reduced, though at the cost of some increased bias.

### **1.1.4 Schuld et al. (2019)**

In optimising the parameters of variational circuits, having access to the gradient of the cost function (with respect to the parameters) is beneficial. The individual measurements are probabilistic, but the expectation is a deterministic value whose gradient can be calculated. Often, this is possible exactly using the parameter shift rule, allowing for evaluating the gradient using the same circuit with changed parameters. For circuits containing gates whose derivatives are not as nice, a method of linear combination of unities can be used. This method requires an extended circuit including an ancillary qubit.

### **1.1.5 Pesah et al. (2021)**

The problem of barren plateaus plagues the optimisation of variational circuits and quantum neural network; for randomly initialised ansätze, the gradient of the cost function may exhibit exponentially small gradients, prohibiting gradient based optimisation. Under certain assumptions, it is shown that for quantum convolutional neural networks, the gradient of the cost function is no worse than polynomially small, such that the networks can be trainable.

### **1.1.6 Farhi et al. (2018)**

Quantum neural networks (QNNs) are simply an abstraction of parametrised quantum circuits with some sort of data encoding. As with classical neural networks or supervised learning in general, the parameters are optimised by minimising a cost function. For QNNs, the output can be a single designated read-out qubit, where the states are interpreted as classes in a binary classification problem. This was shown to indeed be feasible for handwritten digit recognition, using downsampled MNIST data. With the qubit count on current quantum devices and the

amount that can be easily simulated, the dimensionality of the data can not be much more than a dozen.

### **1.1.7 Abbas et al. (2021)**

Whether quantum neural networks have inherent advantages is still an open question. Using the Fisher information of models, the authors calculate the effective dimension as a measure of expressibility. For models comparable in their input, output and parameter count, the effective dimension of particular quantum neural networks can be significantly higher. This advantage is empirically shown to be useful with a particular model on real quantum hardware, showing convergence in fewer steps than a similarly specced classical network.

The importance of feature maps is remarked upon, affecting both the expressibility of the model and the risk of barren plateaus, which in turn determines trainability.

## Chapter 2

# Machine learning

2.1 Supervised learning

2.2 Optimisation

2.3 Bias-variance trade-off

2.4 Neural networks

2.4.1 Convolutional neural networks

2.4.2 Backpropagation

2.4.3 Universal approximation theorem

## Chapter 3

# Quantum computing

3.1 Quantum states

3.2 The qubit

3.3 Quantum circuits

3.4 Limitations of NISQ hardware



## Chapter 4

# Variational quantum algorithms

### 4.1 Ansätze

### 4.2 Optimisation

#### 4.2.1 Parameter-shift

#### 4.2.2 Barren plateaus

### 4.3 Applications

## Chapter 5

# Quantum machine learning

### 5.1 Data encoding

### 5.2 Quantum neural networks

#### 5.2.1 QNNs vs NNs

Fisher’s iris dataset is perhaps the most used dataset for classification in statistics, containing samples of three different species of iris flowers. For each species, there are 50 samples, each with four features: sepal length, sepal width, petal length, and petal width. Like in [1], only the two first species are considered, which happen to be linearly separable in the feature space.

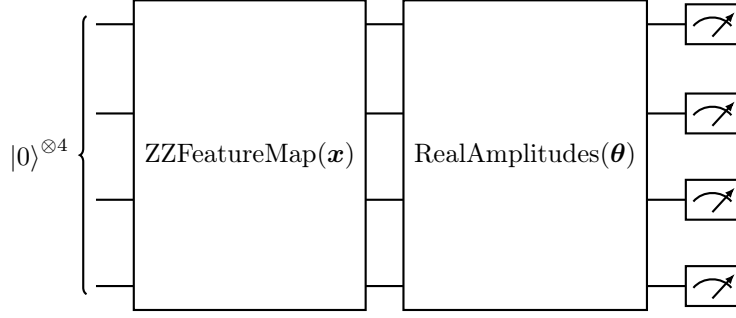
To compare the performance of the QNN and the NN, architectures suited for binary classification parametrised by exactly 8 parameters are used. The QNN structure is shown in fig. 5.1. The four dimensional input data  $\mathbf{x}$  is mapped to some quantum state  $|\psi_{\mathbf{x}}\rangle$  using the ZZFeatureMap with two repetitions, which is a second-order Pauli-Z evolution circuit. This feature map is essentially a mix of rotation around the Z axis parametrised by the input features or functions thereof and CNOT and Hadamard gates. The quantum state is then evolved by the RealAmplitudes ansatz. All four qubits are rotated in the Y direction by some parameter before CNOT-gates are applied pairwise, for a final parametrised rotation in the Y direction, for a total of 8 parameters. For details on the components, see Qiskit’s documentation [11] or the original paper [1]. The parity of the output 4-bit string is interpreted as the prediction, and with 100 shots used, the model gave a probability for each of the two iris classes. Both exact simulations and noisy simulations were performed, with the latter using noise modelled after the 27-qubit IBM Montreal architecture, the actual hardware used in the original paper.

The classical neural network was a standard feed-forward model, with a 4-1-1-1-2 layered structure without biases, giving a total of 8 parameters. The activation functions were leaky ReLUs,

$$\text{LeakyReLU}(x) = \begin{cases} x & x \geq 0 \\ 0.01x & x < 0 \end{cases}, \quad (5.1)$$

and the output layer used a softmax activation function.

Both models were implemented using PyTorch, with the QNN being implemented using Qiskit’s PyTorch interface. Consequently, the models could be trained in the exact same manner, using the Adam optimiser with a learning rate of 0.1. The models were trained for 100 epochs, with the loss function being cross-entropy.



**Figure 5.1:** Structure of the QNN used for classification of the iris dataset. The first block maps the input data  $\mathbf{x}$  to the quantum state  $|\psi(\mathbf{x})\rangle$ . The second block is the variational circuit, parametrised by  $\boldsymbol{\theta}$ , a vector with eight components. Finally, all qubits are measured, where the parity is interpreted as the prediction.

For validation, 10-fold cross-validation was used. That is, the dataset was split into 10 equal parts or *folds*. Each fold was used as the validation set once, their accuracies being recorded during the training with the other nine folds. The mean accuracy over the 10 folds was used for the final performance metric, shown in fig. 5.2.

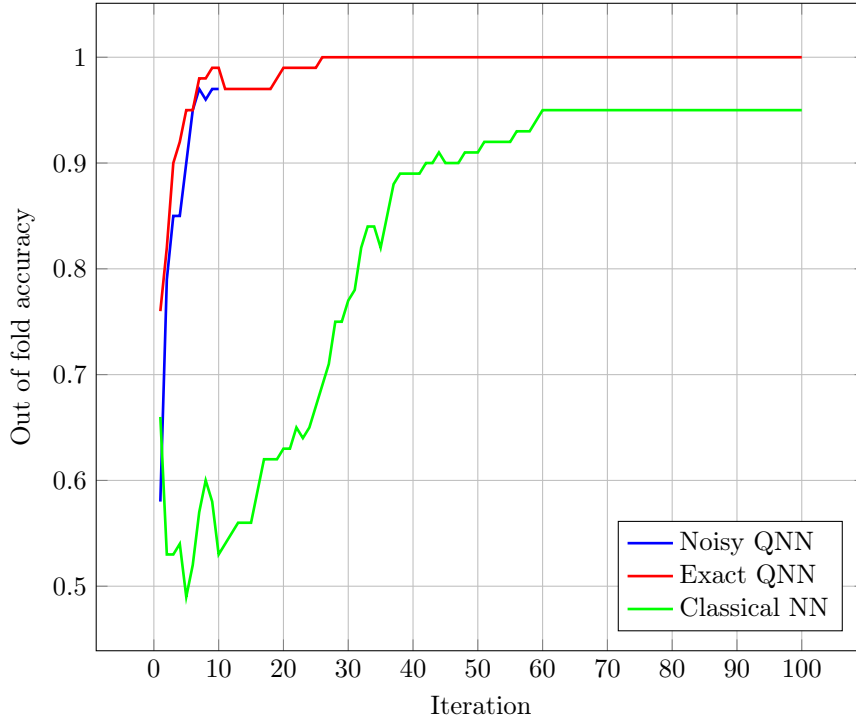
As in the original paper, the QNN converges much quicker and more consistently, with an out-of-fold accuracy of 100% for all ten folds. The NN, on the other hand, requires more iterations to converge and does not always do so. In some cases, the model diverges and only predicts one class, which is why the out-of-fold accuracy is not 100% for all folds.

### 5.2.2 Quantum convolutional neural networks

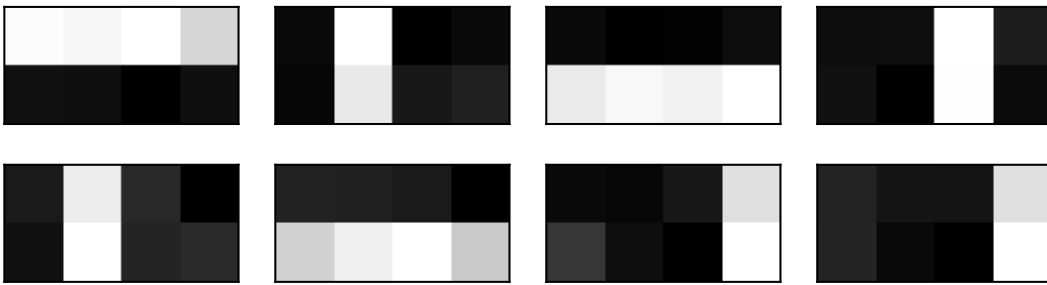
Quantum convolutional neural networks work similarly to classical convolutional networks. Through iterated convolution and pooling layers where only 'near' features affect each other, the total amount of parameters is reduced relative to fully connected networks, and the CNN tends to learn more local features. This makes them well suited for image classification, where the significant features are often spatially correlated. The reduced amount of parameters also makes them suitable for quantum hardware, and they have shown desirable properties with regard to avoiding barren plateaus [8].

To implement a quantum CNN, Qiskit's online tutorials were closely followed [4]. Being limited to few qubits, images with resolution  $2 \times 4$  were generated, containing either vertical or horizontal lines with some Gaussian noise. Figure 5.3 shows examples thereof. The task of the QCNN was to classify the images as either vertical or horizontal lines.

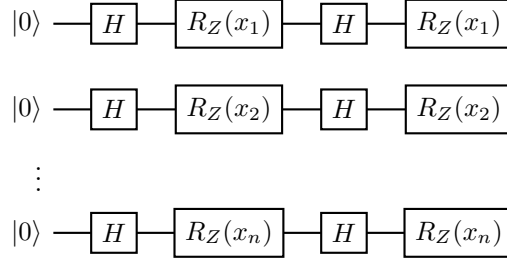
First, data is encoded using Qiskit's ZFeatureMap; each of the nine pixels of the image is mapped to a qubit through two repetitions of the Hadamard gate and Z-rotations parametrised by the pixel value being applied, in circuit notation:



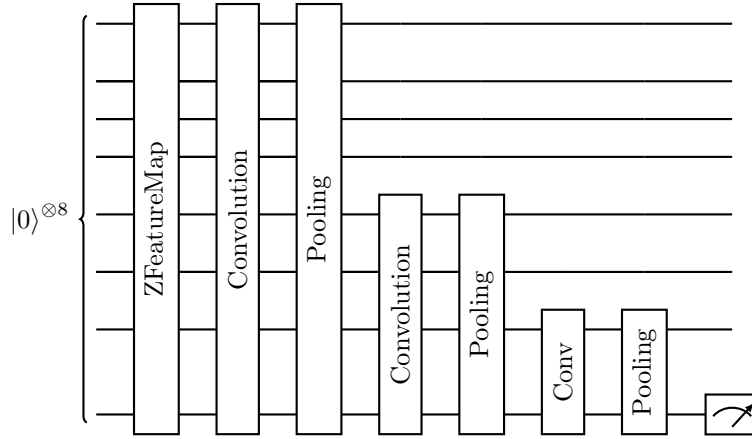
**Figure 5.2:** Mean accuracy during training for the iris dataset using 10-fold cross validation. All models have 8 parameters and are trained using the Adam optimiser with a learning rate of 0.1, using cross-entropy as the loss function. Due to the computational cost, the noisy (simulated IBM Montreal backend) QNN was only trained for 10 epochs.



**Figure 5.3:** Data for the QCNN. With a total of 64 training images and 16 for testing, they form balanced dataset of  $2 \times 4$  pixels, with either a vertical or horizontal line encoded as 1 and  $-1$ . The images are generated with some Gaussian noise.

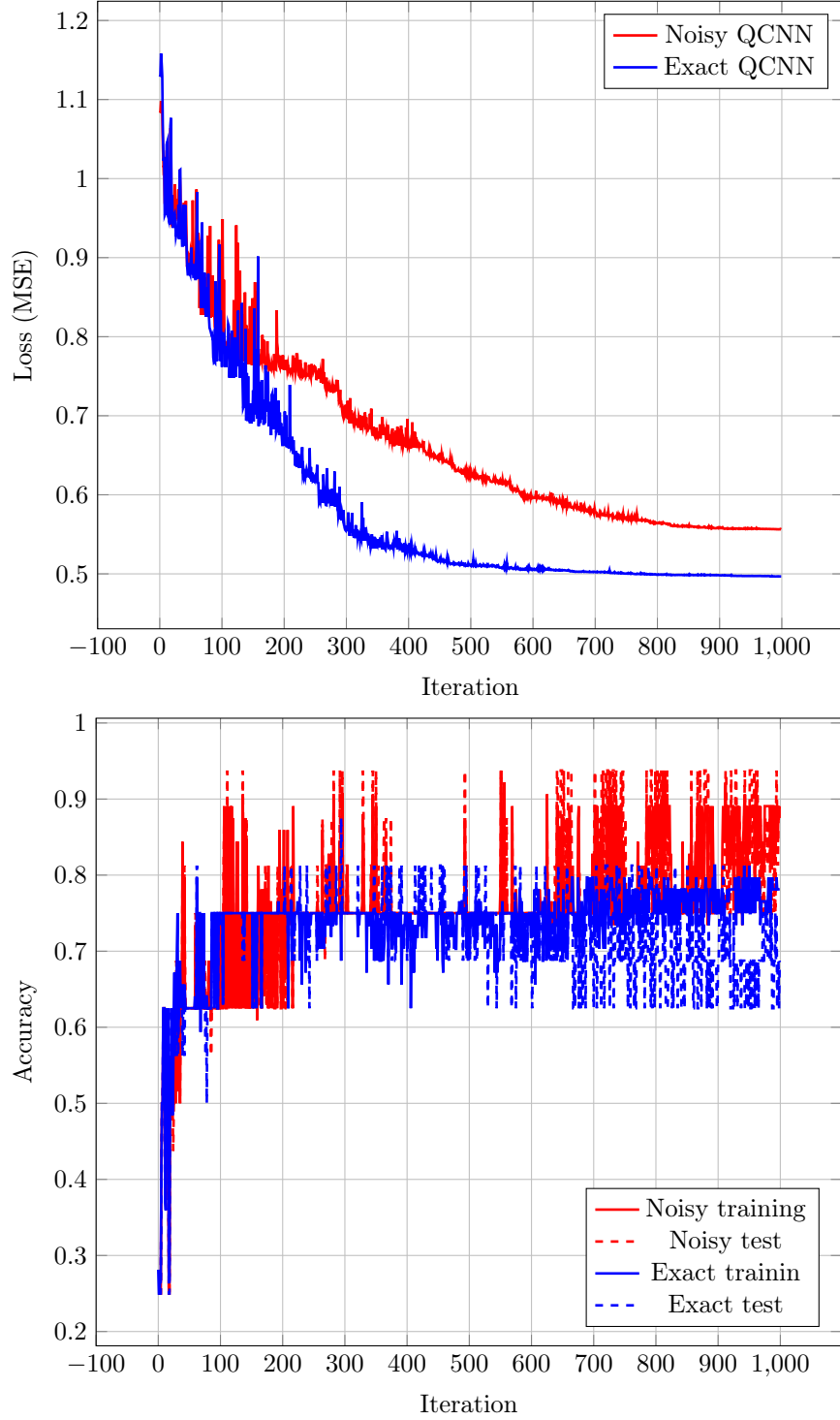


The convolution layers act with pairwise parametrised rotations of neighbouring qubits, also wrapping around, entangling the first and last qubits through various CNOT gates and both parametrised and fixed Z and Y rotations. Thereafter, pooling layers halve the active qubit counts by parametrised rotations and CNOT gates. For the final layer, the sole remaining qubit is measured, and the result is interpreted as the prediction. In total, the circuit appears as



As in Qiskit's guide, training was done using the COBYLA optimiser<sup>1</sup> which does not use gradients. The accuracies and loss (mean square error) during training is shown in fig. 5.4. Like in section 5.2.1, noise is modelled after the IBM Montreal hardware. The networks were trained for 1000 epochs, and while neither reached full accuracy, the losses shrunk, indicating at least increased certainty in the predictions. Interestingly, the noisy simulation appears to yield better predictions, despite suffering from higher losses during training.

<sup>1</sup>Constrained Optimisation BY Linear Approximation.



**Figure 5.4:** Training of the QCNN. The first plot shows the loss (mean square error) during training, while the second plot shows the accuracy on the training and test sets. The red curves are for the noisy model, while the blue curves are for the exact model. The dashed curves are for the test set.

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