### Psychoinformatics & Neuroinformatics



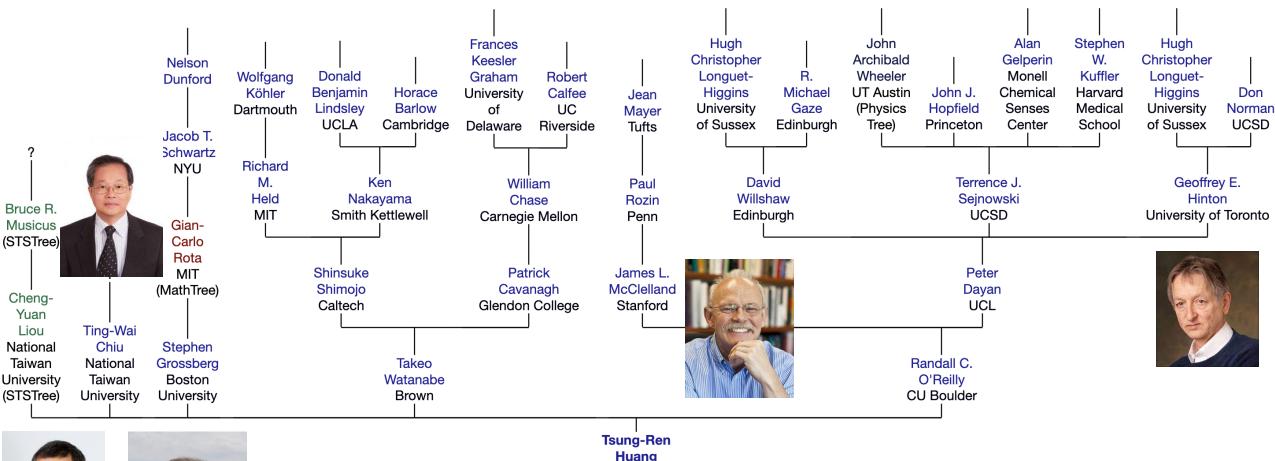
Week 11

Machine Learning (3/3)



by Tsung-Ren (Tren) Huang 黄從仁

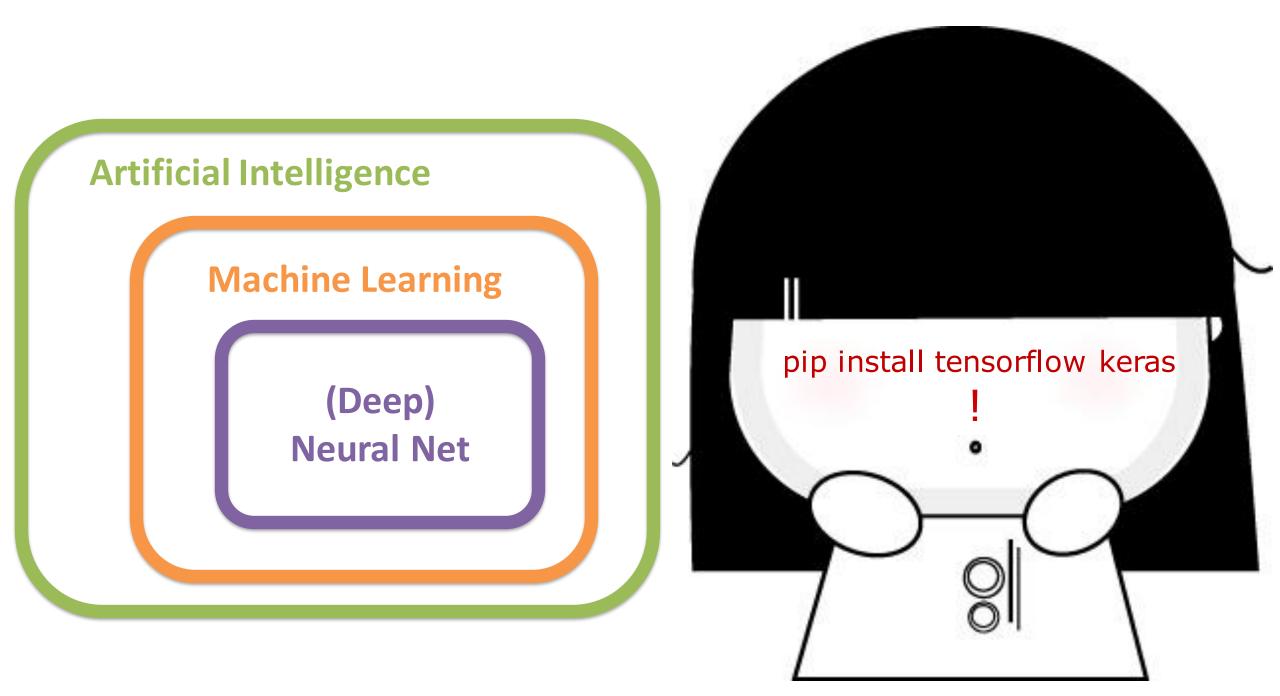
### Tren's Academic Tree







Huang **National Taiwan University** 

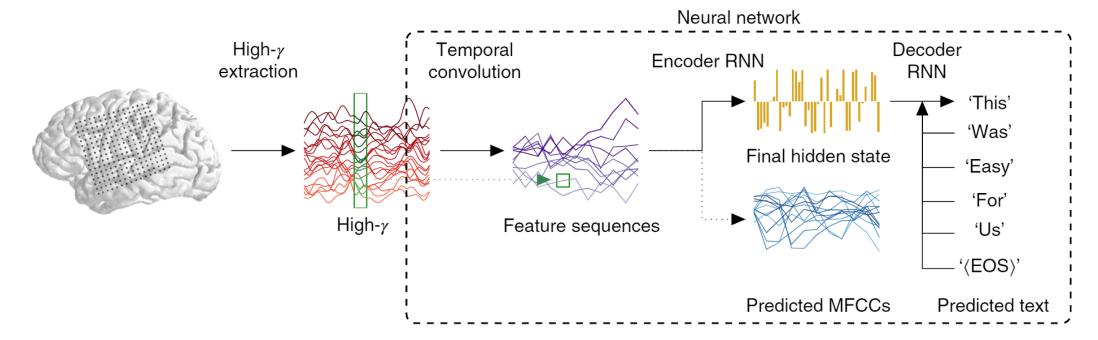


## Application of Deep Neural Nets (1/2)

Brain decoding using recurrent neural net

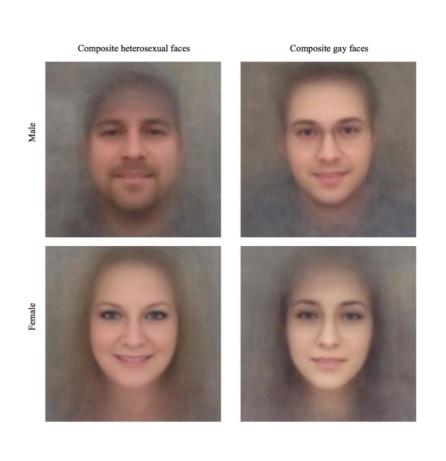
# Machine translation of cortical activity to text with an encoder-decoder framework

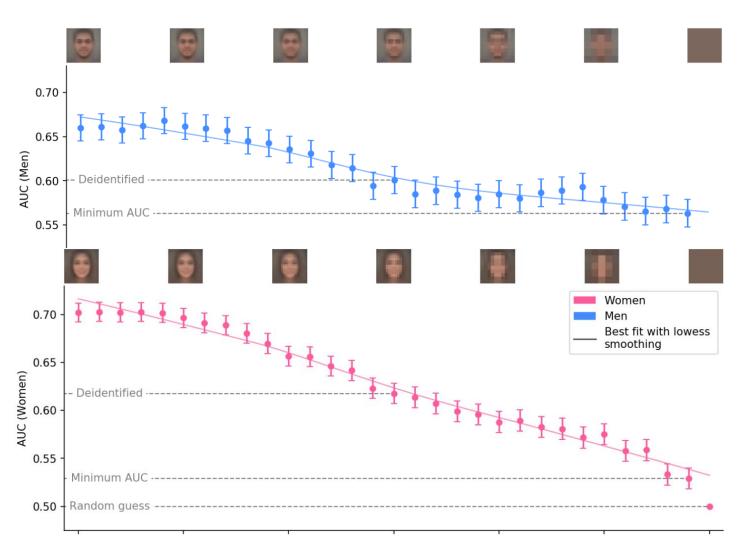
Joseph G. Makin<sup>1,2 ⋈</sup>, David A. Moses<sup>1,2</sup> and Edward F. Chang<sup>1,2 ⋈</sup>



## Application of Deep Neural Nets (2/2)

Predicting sexuality using convolutional neural net





### **Topics for today**

Computations of Neural Networks Why do neural nets work?

(Deep) Learning in Neural Networks How do neural nets learn?

Explainable AI
What do neural nets learn?



### **Topics for today**

Computations of Neural Networks Why do neural nets work?

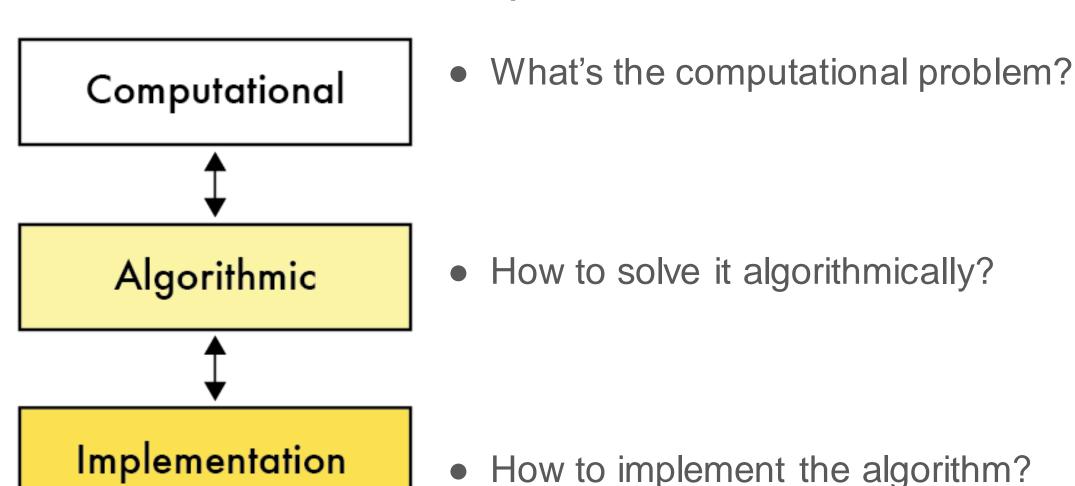
(Deep) Learning in Neural Networks How do neural nets learn?

Explainable AI & Causal ML What do neural nets learn?



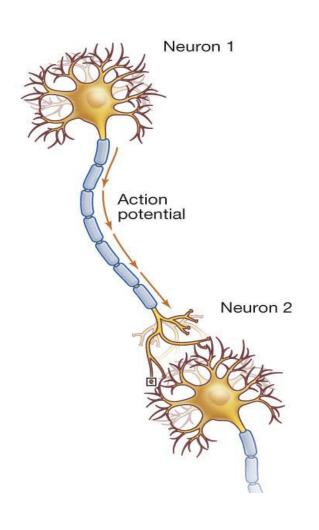
### **Analysis of a Cognitive System**

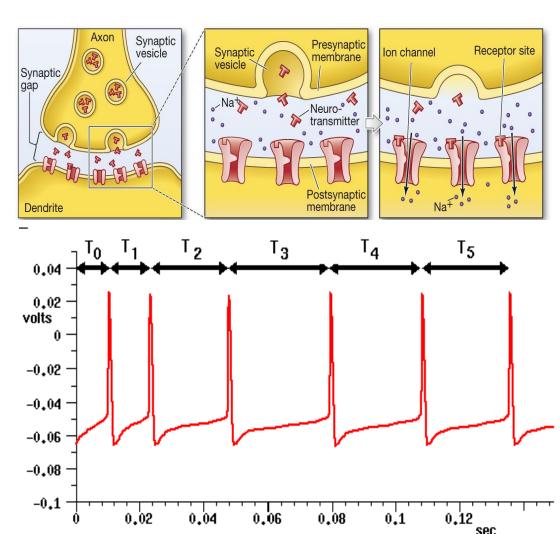
David Marr's 3 levels of analysis:



### Implementation (1/5): Possible States

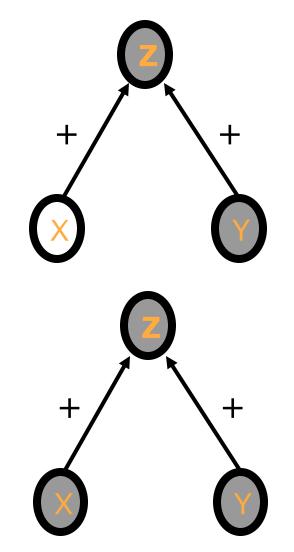
A neuron has two states: 0 (resting) vs. 1 (firing)





## Implementation (2/5): Addition

Suppose the neuron Z has a low threshold

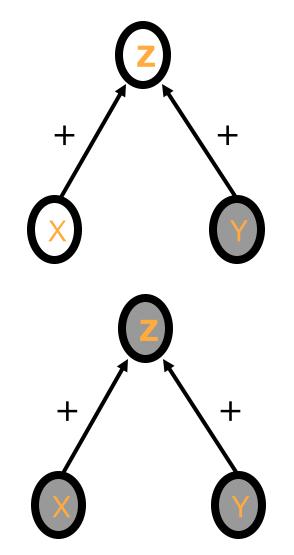


X	Y	Z
0	0	0
0	1	1
1	0	1
1	1	1
7 V I V (OD)		

$$Z=X+Y$$
 (OR)

## Implementation (3/5): Multiplication

Suppose the neuron Z has a high threshold

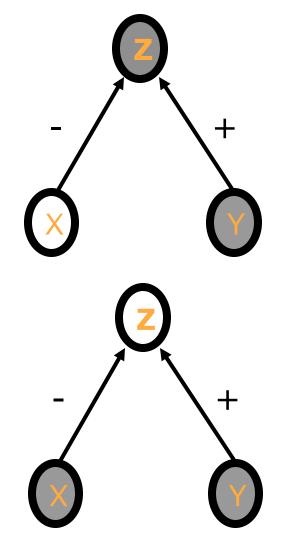


X	Y	Z
0	0	0
0	1	0
1	0	0
1	1	1
7_V*V (AND)		

$$Z = X * Y (AND)$$

### Implementation (4/5): Division

From excitatory to **inhibitory** connections:

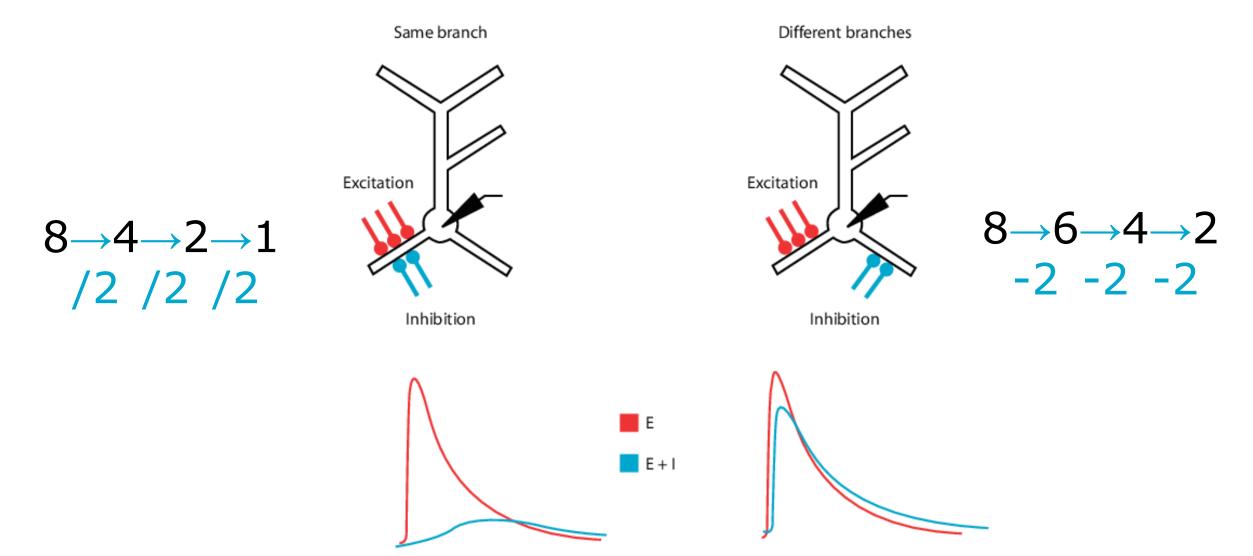


X	Z
0	1
1	0

$$Z=1-X$$
 (NOT)

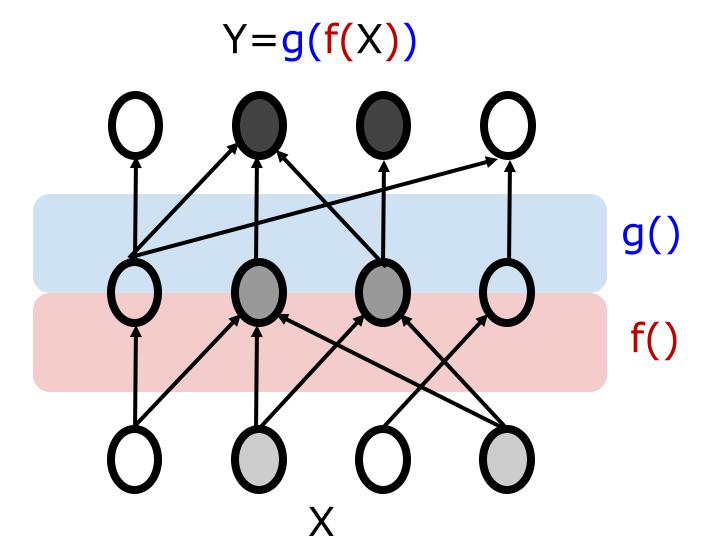
## Implementation (5/5): Division

Inhibitions can lead to **subtraction** or **division**:



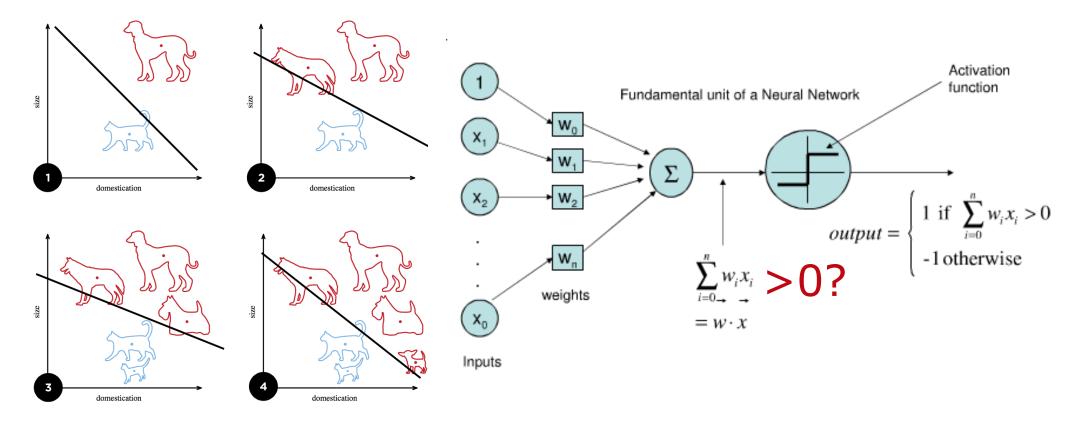
### **Algorithm: Arithmetic Combinations**

A neural network=A series of continuous transformations



### **Computational Problem: Recognition**

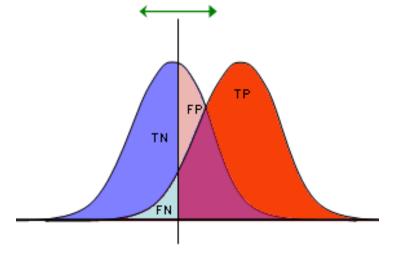
Here is a dog detector where W encodes a dog template:

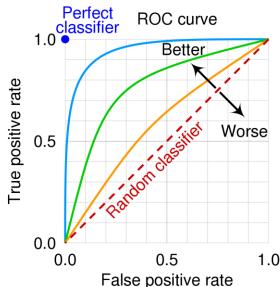


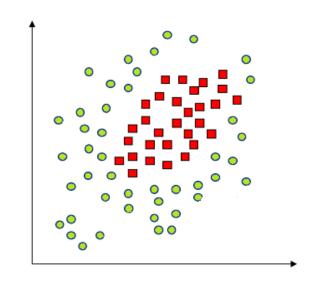
Decision Boundary:  $w_2X_2 = -w_1X_1 - w_0 \Rightarrow w_2X_2 + w_1X_1 + w_01 = 0$  $(w_2, w_1, w_0) \cdot (X_2, X_1, 1) = 0$ 

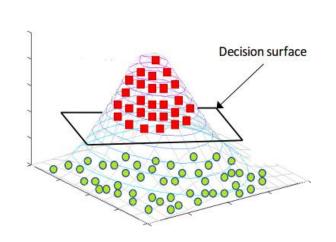
### Revisiting the ROC curve

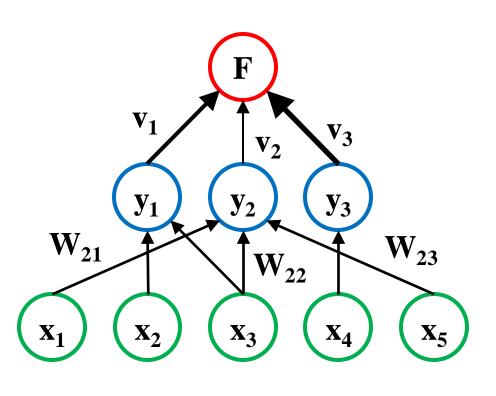
A ML can transform features to solve its problem





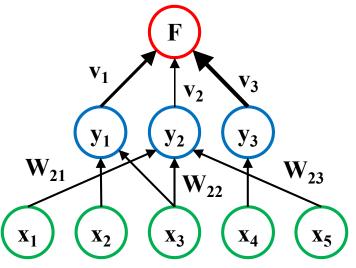




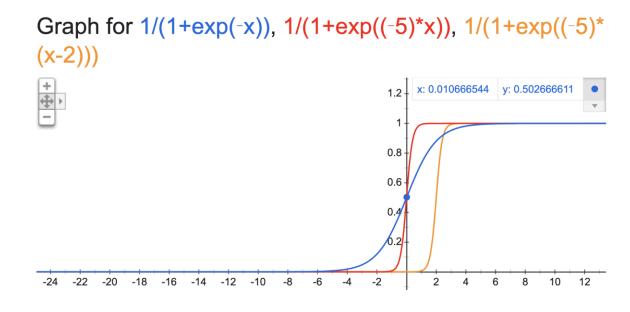


### **Universal Approximation Theorem**

A 3-layer net can approximate any continuous function



$$oldsymbol{F(\mathbf{x})} = \sum_{i=1}^{N} v_i oldsymbol{arphi} \left( w_i^T | \mathbf{x_i} - b_i 
ight) oldsymbol{y_i}$$



as an approximate realization of the function f where f is independent of  $\varphi$ ; that is,

$$|F(x) - f(x)| < \varepsilon$$

for all  $x \in I_m$  . In other words, functions of the form F(x) are dense in  $C(I_m)$  .

A 3-layer net, <u>Taylor Series</u>, & <u>Fourier Transform</u> are special cases of <u>Generalized Additive</u> <u>Models</u>!

### **Topics for today**

Computations of Neural Networks Why do neural nets work?

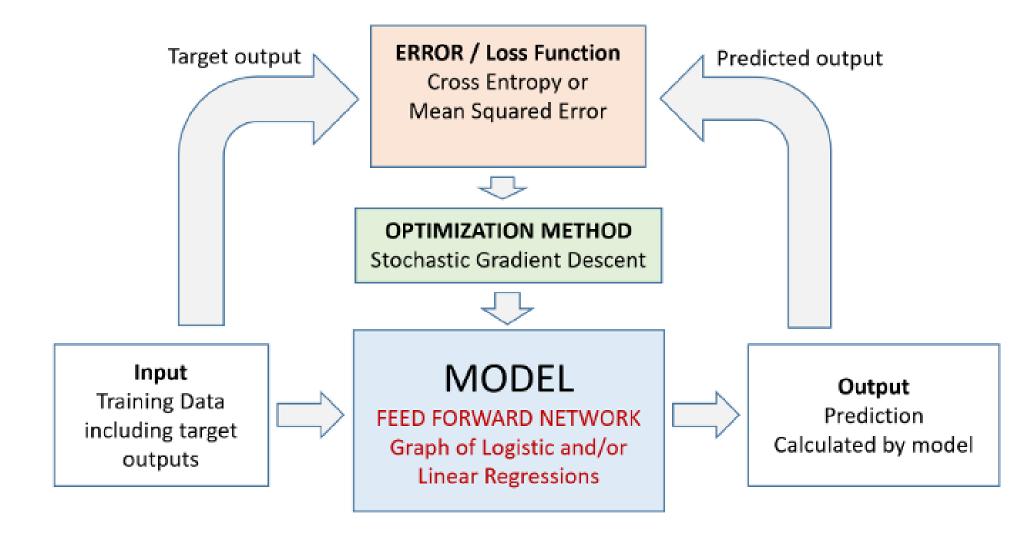
(Deep) Learning in Neural Networks How do neural nets learn?

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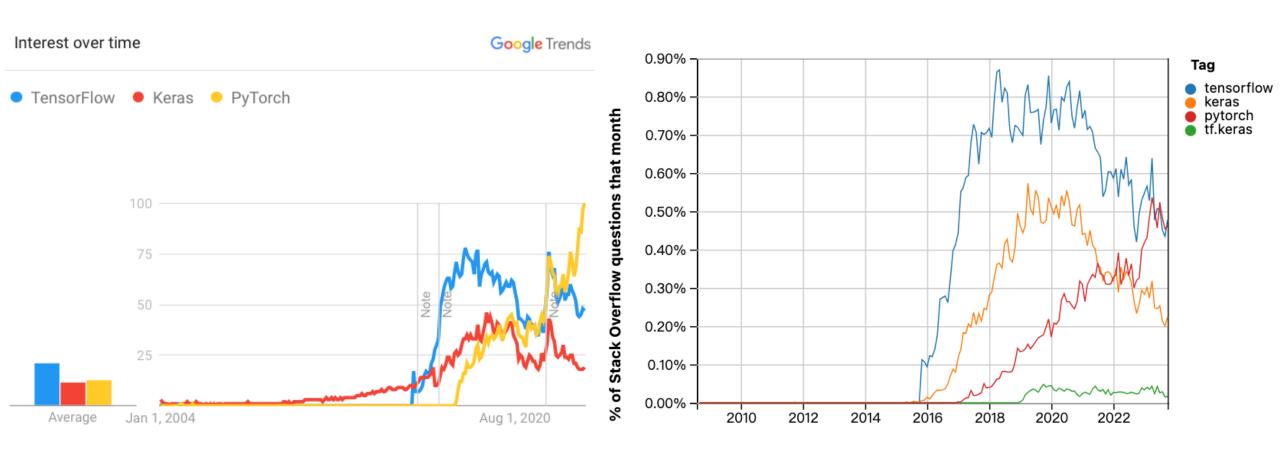
## **Workflow of Supervised Learning**

Adjusting model parameters to minimize prediction errors



### Model (1/2): Frameworks

Keras is the easiest framework to build neural nets



### Model (2/2): Keras Cheatsheet

Cross Entropy or Mean Squared Error



### OPTIMIZATION METHOD

Stochastic Gradient Descent



### MODEL

Graph of Logistic and/or Linear Regressions

### **Python For Data Science** *Cheat Sheet*

### Keras

Learn Python for data science Interactively at www.DataCamp.com



### Keras

Keras is a powerful and easy-to-use deep learning library for Theano and TensorFlow that provides a high-level neural networks API to develop and evaluate deep learning models.

### A Basic Example

### Data

### Also see NumPy, Pandas & Scikit-Learn

Your data needs to be stored as NumPy arrays or as a list of NumPy arrays. Ideally, you split the data in training and test sets, for which you can also resort to the train test split module of sklearn.cross validation.

### Keras Data Sets

### Other

```
>>> from urllib.request import urlopen
>>> data = np.loadtxt(urlopen("http://archive.ics.uci.edu/
nl/machine-learning-databases/pima-indians-diabetes/
pima-indians-diabetes.data"), delimiter=",")
>>> X = data[:,0:8]
>>> y = data[:,8]
```

### Preprocessing

### Sequence Padding

>>> from keras.preprocessing import sequence
>>> x\_train4 = sequence.pad\_sequences(x\_train4,maxlen=80)
>>> x\_test4 = sequence.pad\_sequences(x\_test4,maxlen=80)

### One-Hot Encoding

```
>>> from keras.utils import to categorical
>>> Y train = to categorical(y_train, num classes)
>>> Y_test = to categorical(y_test, num classes)
>>> Y train3 = to categorical(y_test3, num classes)
>>> Y train3 = to categorical(y_test3, num classes)
```

### Model Architecture

```
Sequential Model
>>> from keras.models import Sequential
>>> model = Sequential()
>>> model2 = Sequential()
>>> model3 = Sequential()
```

### Multilayer Perceptron (MLP)

### inary Classification

```
>>> model.add(Dense(1,kernel_initializer='uniform',activation='sigmoid'))
Multi-Class Classification
>>> from keras.layers import Dropout
>>> model.add(Dense(512,activation='relu',input_shape=(784,)))
>>> model.add(Dropout(0.2))
>>> model.add(Dropout(0.2))
>>> model.add(Dropout(0.2))
>>> model.add(Dropout(0.2))
>>> model.add(Dropout(0.2))
>>> model.add(Dense(512,activation='relu'))
>>> model.add(Dense(10,activation='softmax'))
```

### Regression

>>> model.add(Dense(64,activation='relu',input\_dim=train\_data.shape[1]))
>>> model.add(Dense(1))

>> from keras.layers import Activation, Conv2D, MaxPooling2D, Flatten

### Convolutional Neural Network (CNN)

```
>> model2.add(Conv2D(32,(3,3),padding='same',input_shape=x_train.shape[1:]))
>> model2.add(Activation('relu'))
>> mode12.add(Conv2D(32,(3,3)))
>> model2.add(Activation('relu'))
>> model2.add(MaxPooling2D(pool size=(2,2)))
>> model2.add(Dropout(0.25))
>> model2.add(Conv2D(64,(3,3), padding='same'))
>> model2.add(Activation('relu'))
>> model2.add(Conv2D(64,(3, 3)))
>> model2.add(Activation('relu'))
>> model2.add(MaxPooling2D(pool size=(2,2)))
>> model2.add(Dropout(0.25))
>> model2.add(Flatten())
>> model2.add(Dense(512))
>> model2.add(Activation('relu'))
>> model2.add(Dropout(0.5))
```

### >>> model2.add(Dense(num\_classes)) >>> model2.add(Activation('softmax')) Recurrent Neural Network (RNN)

```
>>> from keras.klayers import Embedding,LSTM
>>> model3.add(Embedding(20000,128))
>>> model3.add(LSTM(128,dropout=0.2,recurrent_dropout=0.2))
>>> model3.add(Dense(1,activation='sigmoid'))
```

### Also see NumPy & Scikit-Lear

### Train and Test Sets

### Standardization/Normalization

```
>>> from sklearn.preprocessing import StandardScaler
>>> scaler = StandardScaler().fit(x train2)
>>> standardized X = scaler.transform(x train2)
>>> standardized_X test = scaler.transform(x_test2)
```

### Inspect Model

### Compile Model

```
MLP: Binary Classification
```

### Recurrent Neural Network

### **Model Training**

```
>>> model3.fit(x train4,
y train4,
batch size=32,
epochs=15,
verbose=1,
validation data=(x test4,y test4))
```

### **Evaluate Your Model's Performance**

```
>>> score = model3.evaluate(x test,
y_test,
batch size=32)
```

### Prediction

```
>>> model3.predict(x_test4, batch_size=32)
>>> model3.predict classes(x test4,batch size=32)
```

### Save/Reload Models

```
>>> from keras.models import load model
>>> model3.save('model_file.h5')
>>> my model = load model('my model.h5')
```

### **Model Fine-tuning**

### Optimization Parameters

### Early Stopping

```
>>> from keras.callbacks import EarlyStopping

>>> early stopping monitor = EarlyStopping(patience=2)

>>> model3.fit(x train4,
 y train4,
 batch size=32,
 epochs=15,
 validation data=(x test4,y test4),
 callbacks=[early stopping monitor])
```

### DataCamp

DataCamp



### **Error/Loss Functions**

### Adjusting model parameters to minimize prediction errors

ERROR / Loss Function Cross Entropy or Mean Squared Error



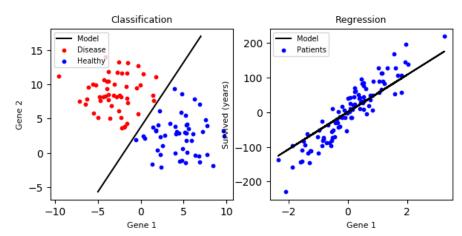
### **OPTIMIZATION METHOD**

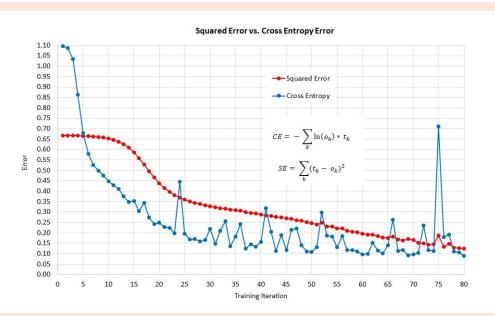
Stochastic Gradient Descent

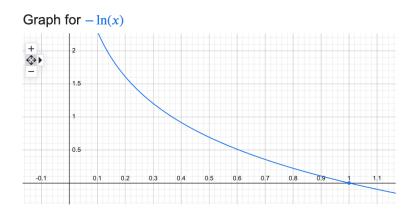


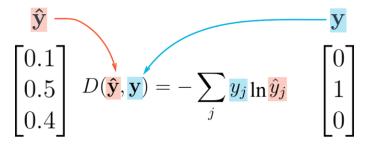
### MODEL

FEED FORWARD NETWORK
Graph of Logistic and/or
Linear Regressions









When  $Y_{pred} = [0.1, 1, 0.4]$ , CE=-ln(1)=0 but  $MSE = \sqrt{0.1^2 + 0.4^2} \neq 0$ 

## Optimization (1/2): Gradient Descent

Using iterative methods to minimize error/loss functions

**ERROR / Loss Function** 

Cross Entropy or Mean Squared Error



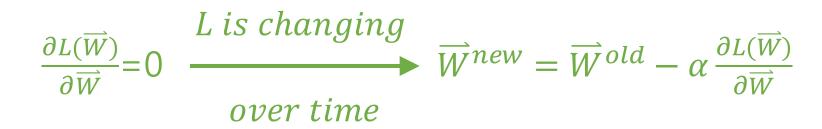
### OPTIMIZATION METHOD

Stochastic Gradient Descent

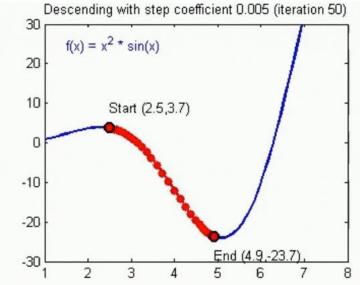


### MODEL

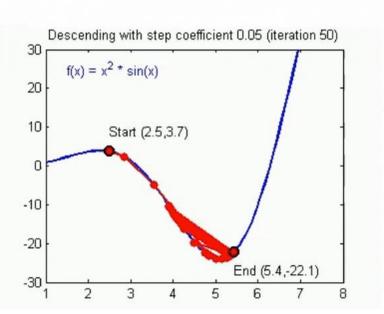
FEED FORWARD NETWORK
Graph of Logistic and/or
Linear Regressions



### Convergence

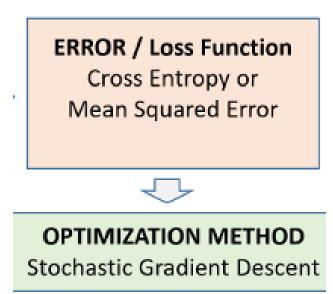


### **Divergence**



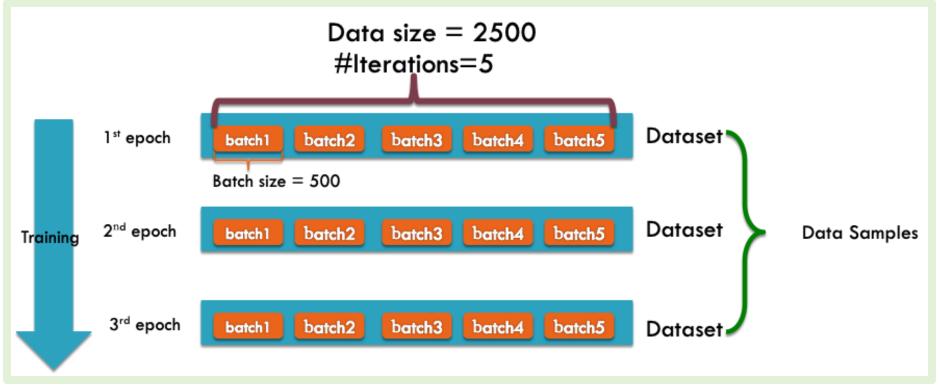
### Optimization (2/2): Repetitions

1 batch/iteration = 1 adjustment of model parameters





FEED FORWARD NETWORK
Graph of Logistic and/or
Linear Regressions

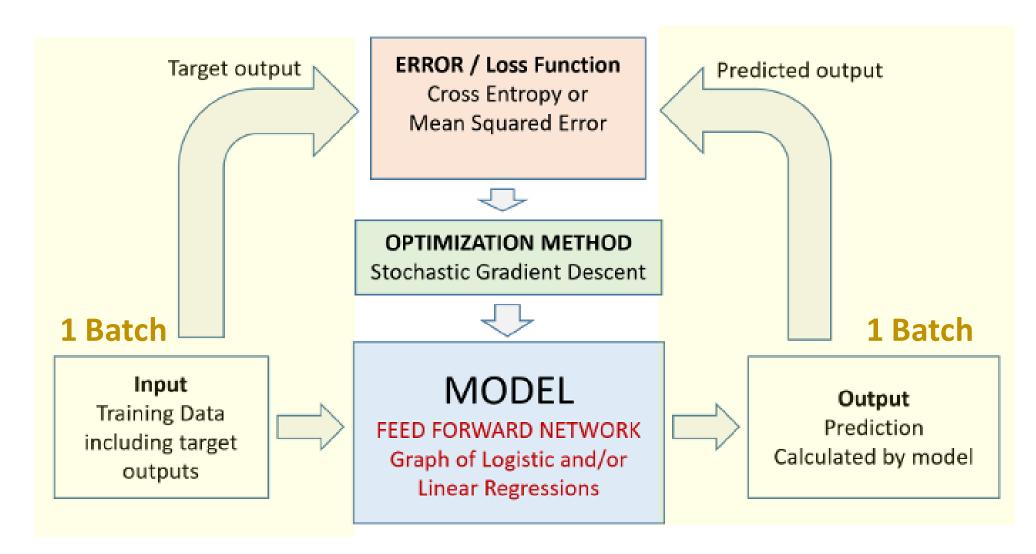


In each batch, L is different:

$$\overrightarrow{W}^{new} = \overrightarrow{W}^{old} - \alpha \frac{\partial L(\overrightarrow{W})}{\partial \overrightarrow{W}}$$

## **Workflow of Supervised Learning**

Adjusting model parameters to minimize prediction errors



### **Topics for today**

Computations of Neural Networks Why do neural nets work?

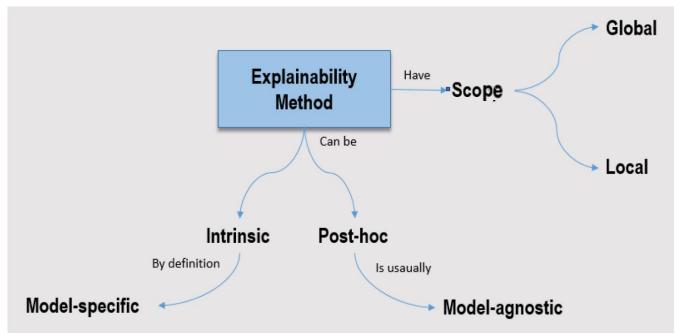
(Deep) Learning in Neural Networks How do neural nets learn?

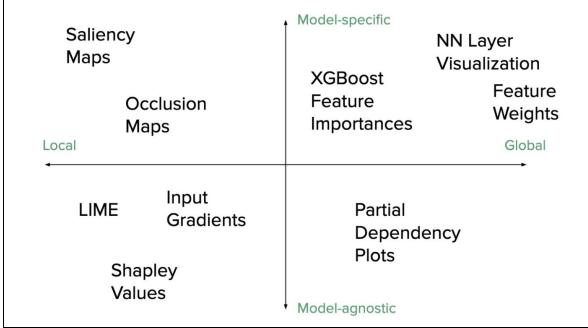
Explainable AI & Causal ML What do neural nets learn?



### eXplainable AI (XAI) methods

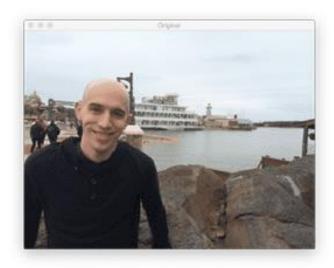
Model-agnostic: Models are accessible but not trainable

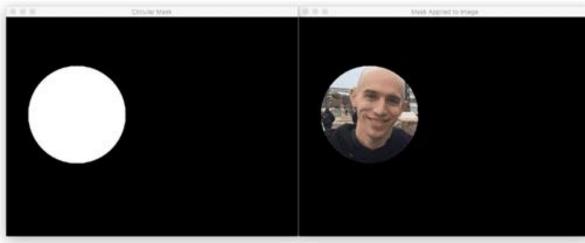




### Cognitivist XAI: Model-specific

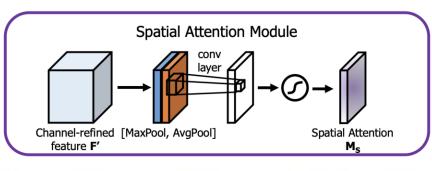
For example, simply adding a learnable attention layer

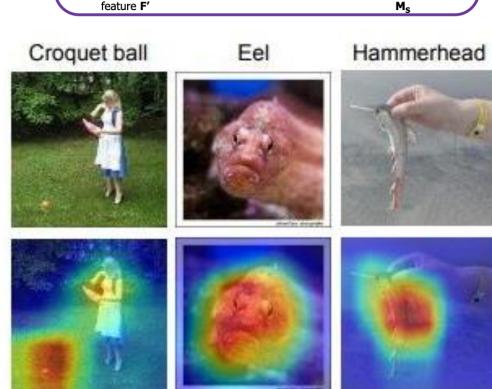




Input image

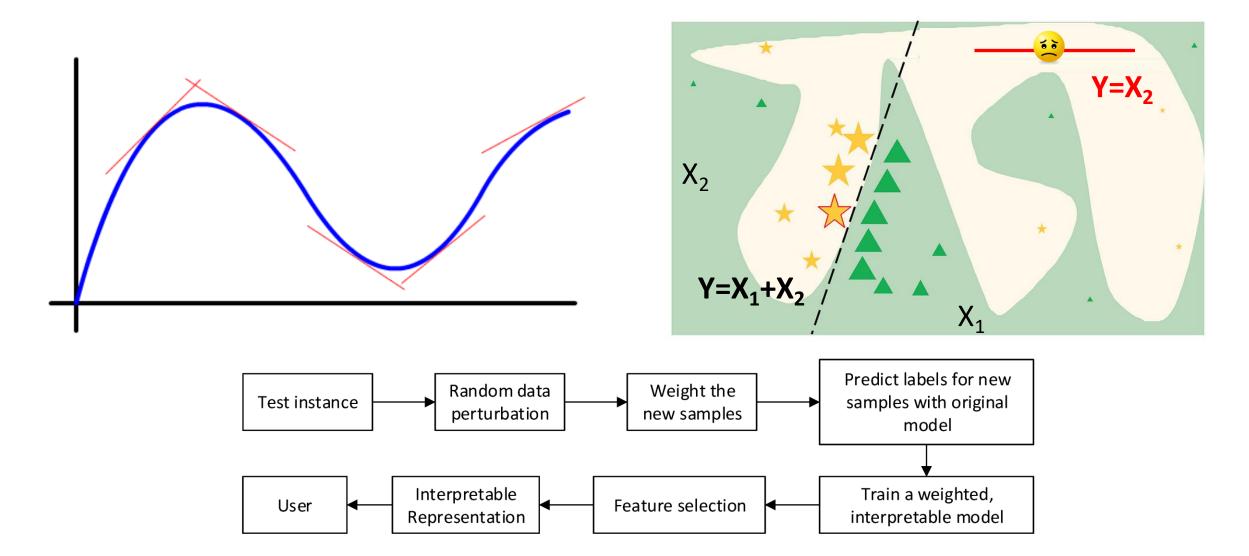
ResNet50





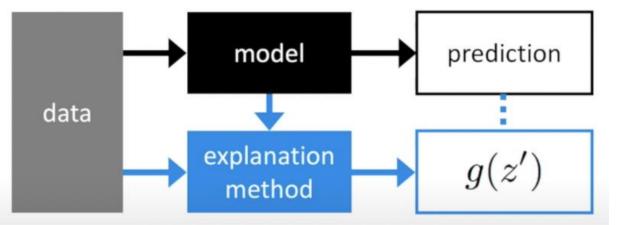
### Behaviorist XAI: Model-agnostic (1/2)

LIME=Local Interpretable Model-Agnostic Explanation

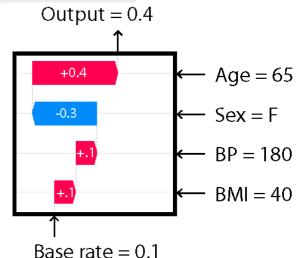


## Behaviorist XAI: Model-agnostic (2/2)

LIME is a special case of SHapley Additive exPlanations (SHAP) , which estimate feature importance better than feature lesion.



$$g(z') = \phi_0 + \sum_{i=1}^{M} \phi_i z_i'$$



Ground Truth:  $Y=X_1+X_2+X_1X_2$ 

Intact:  $Y=ModelA(X_1,X_2)$ 

Lesioned: Y=ModelB(X<sub>2</sub>)=X<sub>2</sub>

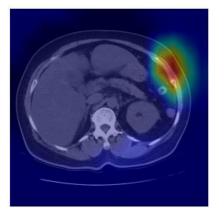
Intact-Lesioned=X<sub>1</sub>+X<sub>1</sub>X<sub>2</sub>

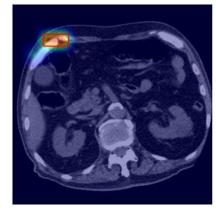
But this difference is NOT solely contributed by X<sub>1</sub>!

### Causal Machine Learning: Causality > Al

XAI may reveal that your AI is not learning real causality

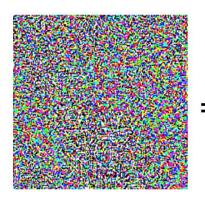
An "accurate" classifier of bile duct stone (膽管結石):







Panda (60% confidence)



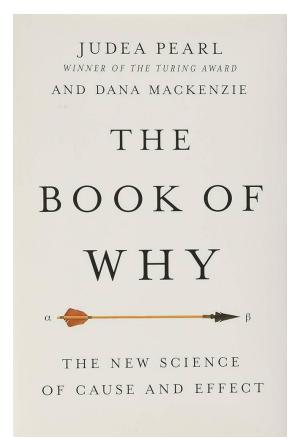
Adversarial Perturbation



Gibbon

### So what?

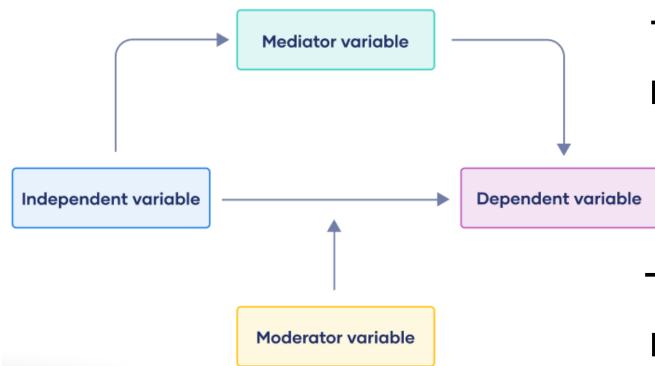
Your model can't generalize to (99% confidence) other datasets.



### Causal ML: Al→ Causality (1/2)

The feature importance of X decreases after M added:

$$Y=f(X) \rightarrow Y=f(X, M)=\beta_X X+\beta_M M+\beta_0$$



The linear function **f** can be replaced by a nonlinear ML!

The linear function **g** can be replaced by a nonlinear ML!

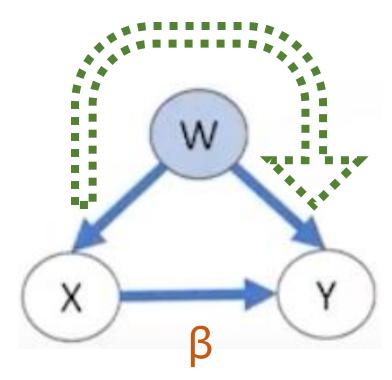
More accurate predictions after M added:

$$Y=g(X) \rightarrow Y=g(X, M)=\beta_X X+\beta_M M+\beta_{MX} MX+\beta_0$$

## Causal ML: Al→ Causality (2/2)

Double ML uses ML to factor out confounding associations:





$$Y=f(X, W)$$

Step 1:

$$Y=g(W)+Y_R$$
  
 $X=h(W)+X_R$ 

Step 2:

$$Y_R = \beta X_R + \varepsilon$$

### **Topics for today**

Computations of Neural Networks Why do neural nets work?

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