

HW#3.

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Problem 1.

(a). Since  $n$  is very large and  $k$  is fairly small, elements in array  $A$  are mostly sorted already. So its runtime is almost the best case of the algorithm. So, the overall runtime will take  $O(n)$  time, as PutInPlace will take constant time overall.

For MergeSort, it will still take  $O(n \log n)$  time, even though it is a almost sorted array. Because, mergesort will still continue dividing array into parts which will take  $O(\log n)$  time and then combine them ~~back~~ together which will take  $O(n \log n)$  time overall.

For HeapSort, it will still take  $O(n \log n)$  time, although  $A$  is almost sorted.

For each subtree, it will call the Max-Heapify to make the subtree a heap which will take  $O(\log n)$  time. There will be  $O(n)$  trees, so it will take  $O(n \log n)$  time overall.

For QuickSort, its runtime will be  $O(n^2)$ , for an almost sorted array  $A$ . Each time it will chose the pivot and the partition will be 1 and  $O(n)$ . Since it will take  $O(n)$  time for comparing  $O(n)$  keys to pivot, So, it will take  $O(n^2)$  time overall.

clearly, we can see InsertSort will take the least time  $O(n)$ .

(b)

For InsertSort,  $k$  elements were exchanged their positions arbitrarily. That means, it's possible that first few element are happened <sup>to be</sup> the largest elements of the whole array, which will take  $O(n)$  time in PutInPlace. Then it will take  $O(n^2)$  time overall in such case.

For MergeSort, it will still take  $O(n \log n)$  time. Because, MergeSort will still take  $O(\log n)$  time to divide array into parts then put them back together. Overall it will take  $O(n \log n)$  time.

For HeapSort, it will still take  $O(n \log n)$  time. For each position, Max-Heapify takes  $O(\log n)$  time, so, in total this is  $O(n \log n)$ .

For QuickSort, it will still take  $O(n^2)$  time.. For each pivot it chosen, it will take  $O(n)$  time to get its correct position. So, in total, this is  $O(n^2)$ .

But, we can know from the example, when comparing bad and good elements PutInplace will take  $O(n)$  time. while comparing good elements it will take  $O(1)$  time. Overall, InsertSort's runtime still depends on the positions that  $k$  elements stays.

However,  $k$  is fairly smaller than  $n$ , so even though when compare bad and good elements, it will take a constant time.

Overall, it will take InsertSort  $O(n)$  time.

InsertSort will take least time  $O(n)$ .

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problem 2.

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(a). Claim: for each  $n \geq 1$ ,  $M^n = \begin{bmatrix} a_{n-1} & a_n \\ a_n & a_{n+1} \end{bmatrix}$ ,  $M = \begin{bmatrix} 0 & 1 \\ 1 & 2 \end{bmatrix}$ , and  $a_0 = 0$ ,  $a_1 = 1$

for  $n \geq 2$ ,  $a_n = 2a_{n-1} + a_{n-2}$

Proof: by induction:

Base case:  $a_0 = 0$ ,  $a_1 = 1$ ,  $a_2 = 2a_1 + a_0 = 2 \times 1 + 0 = 2$ , and  $M = \begin{bmatrix} 0 & 1 \\ 1 & 2 \end{bmatrix} = \begin{bmatrix} a_0 & a_1 \\ a_1 & a_2 \end{bmatrix}$

which is true when  $n=1 \rightarrow$  true.

Inductive step: Fix  $n > 1$ . Assuming that  $M^n = \begin{bmatrix} a_{n-1} & a_n \\ a_n & a_{n+1} \end{bmatrix}$  holds the claim,

we need to show  $n+1$  also holds the claim:  $M^{n+1} = \begin{bmatrix} a_n & a_{n+1} \\ a_{n+1} & a_{n+2} \end{bmatrix}$

$$M^{n+1} = M^n \cdot M = \begin{bmatrix} a_{n-1} & a_n \\ a_n & a_{n+1} \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 2 \end{bmatrix}$$

$$= \begin{bmatrix} 0 \cdot a_{n-1} + a_n & a_n \cdot 0 + a_{n+1} \\ a_{n-1} + 2a_n & a_n + 2a_{n+1} \end{bmatrix}$$

$$= \begin{bmatrix} a_n & a_{n+1} \\ a_{n+1} & a_{n+2} \end{bmatrix} \text{ since } \begin{matrix} a_{n+1} = 2a_n + a_{n-1} \\ a_{n+2} = 2a_{n+1} + a_n \end{matrix}$$

So for  $n+1$  the claim also holds  $\rightarrow$  true.

(b). procedure getA(n)

F[1]  $\leftarrow$  0

F[2]  $\leftarrow$  1

F[3]  $\leftarrow$  1

F[4]  $\leftarrow$  2

if (n = 0) then

return 0

if (n = 1) then

return 1

power(F, n)

return F[2];

procedure power(F, n)

if (n > 1) then

power(F, n/2);

multiply(F, F);

if (n % 2 != 0) then

M[1]  $\leftarrow$  0

M[2]  $\leftarrow$  1

M[3]  $\leftarrow$  1

M[4]  $\leftarrow$  2

multiply(F, M)

procedure multiply (F, M)

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$$x \leftarrow F[1] * M[1] + F[2] * M[3]$$

$$y \leftarrow F[3] * M[1] + F[4] * M[3]$$

$$z \leftarrow F[1] * M[2] + F[2] * M[4]$$

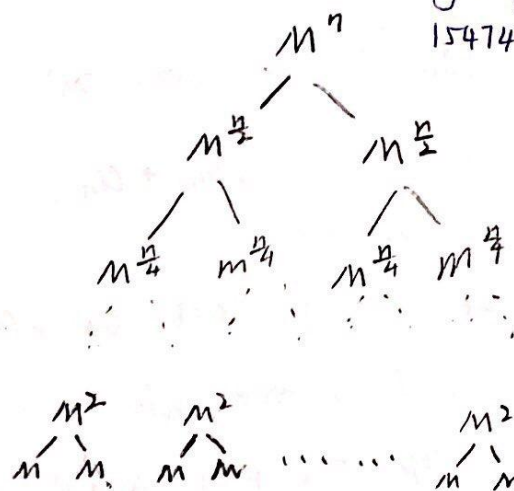
$$w \leftarrow F[3] * M[2] + F[4] * M[4]$$

$$F[1] \leftarrow x$$

$$F[2] \leftarrow y$$

$$F[3] \leftarrow z$$

$$F[4] \leftarrow w$$



$$T(n) = \underbrace{M \cdot M \cdot M \cdots M}_n = \overset{n}{\prod} M^1 \quad M = \begin{bmatrix} 0 & 1 \\ 1 & 2 \end{bmatrix}$$

So the height of tree is  $\log_2 n$  when  $n$  is power of 2.  $\rightarrow \in \mathcal{O}(\log n)$

the height of tree is  $\log_2 n + 1$  when  $n$  is not power of 2

$$T(n) = \begin{cases} \mathcal{O}(1) & 0 \leq n \leq 1 \\ T(\frac{n}{2}) + \mathcal{O}(1) & n \geq 2 \end{cases}$$

$$f(n) = \mathcal{O}(1) \in \mathcal{O}(n^{\log_2 1} \cdot \log^k n) = \mathcal{O}(\log^k n) \in \mathcal{O}(\log n)$$

for some  $k \geq 0$  by applying Master Theorem Method case II

so the runtime is  $\mathcal{O}(\log n)$

# Problem 3.

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(a). procedure multiply (M, v)

$M$  - is a  $n$ -dimensional array.  $n \times n$

$V, w$  are  $n$ -cell array

for ( $i$  from 1 to  $n$ )

$sum \leftarrow 0$

    for ( $j$  from 1 to  $n$ )

$sum \leftarrow sum + M[i][j] * V[j]$

$w[i] \leftarrow sum$

return  $w$ .

Runtime analysis - big O.

The for-loop iterates  $n$  times  $\rightarrow O(n)$  times

In each iteration we do constant amount of instructions + the for-loop.

The for-loop iterates at most  $O(n)$  times.

Overall runtime:  $O(n)(O(1) + O(n)) = O(n^2)$

Runtime analysis - big  $\Omega$ .

for every  $i$  the for-loop iterates  $n$  times  $\rightarrow O(n)$  times.

This means that for each  $i \in \{1, 2, \dots, n\}$  the for-loop iterates at least  $i > \frac{n}{2}$  times, and each iteration we take at least one action.

Overall runtime:  $\frac{n}{2} \cdot \frac{n}{2} \in \Omega(n^2)$

Conclusion: runtime is  $\Theta(n^2)$ .

(b). procedure product (M, v, s, n)

$w[1] \leftarrow M[1][1] * v[s] + M[1][2] * v[s+1]$

$w[2] \leftarrow M[2][1] * v[s] + M[2][2] * v[s+1]$

    if ( $n = 2$ ) then

        return  $w$ .

$M_{k1} \leftarrow \text{product}(M, v, s, \frac{n}{2})$

$M_{k2} \leftarrow \text{product}(M, v, \frac{n}{2}, \frac{n}{2})$

$w \leftarrow \text{add}(M_{k1}, M_{k2}, n)$

    return  $w$ .

procedure add (M<sub>k1</sub>, M<sub>k2</sub>, n)

    for ( $i$  from 1 to  $\frac{n}{2}$ )

$w[i] \leftarrow M_{k1}[i] + M_{k2}[i]$

    for ( $j$  from 1 to  $\frac{n}{2}$ )

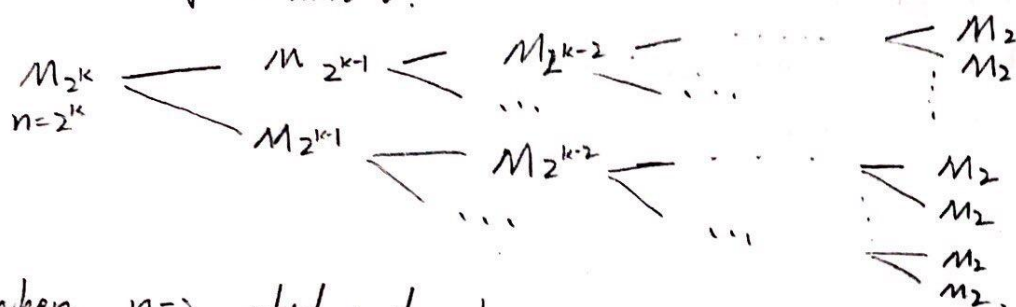
$w[j + \frac{n}{2}] \leftarrow M_{k1}[j] - 2 * M_{k2}[j]$

    return  $w$ .



claim: For any  $n \times n$  matrix  $M$ ,  $n$ -dimensional vector  $V$ ,  $\text{product}()$  returns the product of  $M$  with  $V$ .

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when  $n=2$  which is the base case, it will return a 2-dimensional vector  $w$  which is the product of  $M_{2 \times 2}$  with  $V \begin{bmatrix} x \\ y \end{bmatrix}$ .

when  $n > 2$ , divide the matrix into 4 parts,  $M_{2^k \times 2^k} \rightarrow M_{2^{k-1} \times 2^{k-1}}$  each smaller part  $M_k$  then continue dividing into parts, until reach the base case.

Then it will get the product of first small  $M_{2 \times 2}$  with  $V \begin{bmatrix} 1 \\ 2 \end{bmatrix}$  and the product of first small  $M_{2 \times 2}$  with  $V \begin{bmatrix} 3 \\ 4 \end{bmatrix}$ , then add them together to get the product of  $M_{2^2 \times 2^2}$  matrix with  $V \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}$  and then use the product to get the new product of  $M_{2^3 \times 2^3}$  matrix with  $V \begin{bmatrix} 1 \\ \vdots \\ 8 \end{bmatrix}$  until get the product of  $M_{n \times n} = M_{2^k \times 2^k}$  with  $V \begin{bmatrix} 1 \\ \vdots \\ n \end{bmatrix}$  which is  $w \begin{bmatrix} 1 \\ \vdots \\ n \end{bmatrix}$ .

of the tree

Since the height  $\checkmark$  is  $k = \log n$ , so we need  $k = \log n$  times  $n$ -dimensional vectors additions. Each two  $n$ -dimensional vectors takes  $O(n)$  time.

So, it will take  $(\log n) n \in O(n \log n)$  time.

# problem 4

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(a) procedure mergeHeap(A, B, n)  
 for  $i$  from 1 to  $n$   
    $merged[i] \leftarrow A[i]$   
 for  $j$  from 1 to  $n$   
    $merged[j+n] \leftarrow B[j]$   
 Build-Max-Heap( $merged$ ).

Since the runtime of Build-Max-Heap( $A$ ) is  $O(n)$  for building an array  $A$  with  $n$  elements

So, the runtime of mergeHeap( $A, B, n$ ) is  $O(2n) + O(2n) \in O(n)$

(runtime copying  $2n$  elements to a new array + runtime of Build-Max-Heap)  $\in O(n)$

(b) procedure getMedian(A, S, B, n) \*\*  $A, B$  are two <sup>sorted</sup> arrays with  $n$  elements  
\*\*  $S$  is the start index of  $A$ , and  $S=1$  when 'getMedian()' first called.

if ( $n=1$ ) then  
   return  $\frac{A[S] + B[S]}{2}$

if ( $n=2$ ) then  
   return  $\frac{\max(A[S], B[S]) + \min(A[S+1], B[S+1])}{2}$

$m_1 \leftarrow \text{median}(A, S, n)$

$m_2 \leftarrow \text{median}(B, 1, n)$

if ( $m_1 = m_2$ ) then  
   return  $\frac{m_1 + m_2}{2}$

if ( $m_1 < m_2$ ) then  
   if ( $n \% 2 = 0$ ) then  
     return  $\text{getMedian}(A, \frac{n}{2}, B, \frac{n}{2})$   
   return  $\text{getMedian}(A, \frac{n}{2} + 1, B, \frac{n}{2})$

if ( $n \% 2 \neq 0$ ) then  
   return  $\text{getMedian}(B, \frac{n}{2}, A, \frac{n}{2})$   
 return  $\text{getMedian}(B, \frac{n}{2} + 1, A, \frac{n}{2})$

procedure Median (A, S, n)

if  $(n \% 2 = 0)$  then

return  $\frac{A[S + \frac{n}{2} - 1] + A[S + \frac{n}{2}]}{2}$

return  $A[S + \frac{n}{2}]$

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(C). procedure printHeap (A, i, x)

if  $(A[i] \geq x)$  then

print  $(A[i])$

$lc \leftarrow \text{leftchild}(i)$

$rc \leftarrow \text{rightchild}(i)$

if  $(lc \leq \text{heapsize}(A))$  then

printHeap  $(A, lc, x)$

if  $(rc \leq \text{heapsize}(A))$  then

printHeap  $(A, rc, x)$

claim: For any heap A with numbers, printHeap ( ) will print all elements in A that are greater than or equal to x.

proof. By induction.

Base case: when  $A[i]$  is a leaf (which is a node without children),

case I:  $A[i] \geq x$  then it will print  $A[i]$  itself.

case II:  $A[i] < x$  then it will do nothing

Inductive step: Assuming printHeap  $(A, i, x)$  holds the claim, we need to show the printHeap  $(A, j, x)$

parent of  $A[i]$  and  $A[j] \rightarrow A[k]$  also holds the claim,

case I: If  $A[k] \geq x$  then print  $A[k]$ .

case II: If  $A[k] < x$  then do not print  $A[k]$ .

Then  $lc \leftarrow \text{leftchild}(k) \Rightarrow i \rightarrow$  call the function printHeap  $(A, i, x)$

$rc \leftarrow \text{rightchild}(k) \Rightarrow j \rightarrow$  call the function printHeap  $(A, j, x)$

Then it will print all children (including) of  $A[k]$  that are greater than or equal to x.

So it will print all elements in heap A that are greater or equal to x.



$$T(k) = T(lc) + T(rc) + O(1)$$

lc, rc prints the elements greater or equal to  $x$  in  $\text{heap}(lc)$  and  $\text{heap}(rc)$ .

$$\therefore k_{lc} + k_{rc} + 1 \leq k_{\text{total}}$$

$$\begin{aligned} T(k) &= T(lc) + T(rc) + O(1) \leq 2 \max\{k_{lc}, k_{rc}\} + O(1) \\ &\leq 2k + O(1) \rightarrow T(k) \in O(k) \end{aligned}$$

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Fix some  $c=10$ ,  $n_0=10$   $\forall n \geq 10$ , we have

$$T(k) = T(lc) + T(rc) + O(1) \leq 2k + O(1) \leq 10k$$

$$\text{So, } T(k) \in O(k)$$

(d). procedure smallest(A, n, k)

```
Build-Min-Heap(A, n)
for i from 1 to k
    getmin(A)
return A[1]
```

procedure Build-Min-Heap(A, n)

```
heapsize(A)  $\leftarrow$  n
for i  $\leftarrow$   $\lfloor \frac{n}{2} \rfloor$  down to 1
    do Min-Heapify(A, i)
```

procedure Min-Heapify(A, i)

```
lc  $\leftarrow$  leftchild(i)
rc  $\leftarrow$  rightchild(i)
min  $\leftarrow$  i
if (lc  $\leq$  heapsize(A) and A[lc] < A[min]) then
    min  $\leftarrow$  lc
if (rc  $\leq$  heapsize(A) and A[rc] < A[min]) then
    min  $\leftarrow$  rc
if (min  $\neq$  i) then
    exchange A[i]  $\leftrightarrow$  A[min]
    Min-Heapify(A, min)
```

procedure getmin(A)

```
min  $\leftarrow$  A[1]
if (heapsize(A) > 1) then
    A[1]  $\leftarrow$  A[heapsize(A)]
    Min-Heapify(1)
heapsize(A)  $\leftarrow$  heapsize(A) - 1
```

1. 1st build up a min-Heap of  $n$  elements which take  $O(n)$  time.
  2. 2nd. continue getting the smallest elements of the heap for  $k$  times  
Each time call  $\text{getmin}()$  to get the smallest element with decreasing the size of the heap  $A$  by 1.
    - ①. get the root of the heap which is the smallest element of size  $n$ .
    - ②. put the (last) element at the 1st position to replace the root.
    - ③. Use  $\text{min-Heapify}()$  to get the new  $\approx$  smallest element.
    - ④. decrease the size of heap.  $\rightarrow$  new root is the new smallest element.
- It will take  $O(\log n)$  time

3. So, after  $\text{getmin}()$  was called for  $k$  times, the ~~newest~~ latest root will be the  $k$ -th smallest element in the array.

Overall; it will take  $O(n) + O(k) O(\log(n)) = O(n + k \log n)$  time

The algorithm is still linear in  $n$  only when  $k \log n \in O(n)$

$\exists c > 0, n_0 = |V| \geq 1$ . We have

$$k \log n \leq c \cdot n \rightarrow k \leq \frac{c \cdot n}{\log n} \text{ for some } c > 0.$$

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So,  $k$  is upperbounded by  $\frac{c \cdot n}{\log n}$  for some  $c > 0$ .

(e) procedure getsize(T)

① if (T = nil) then

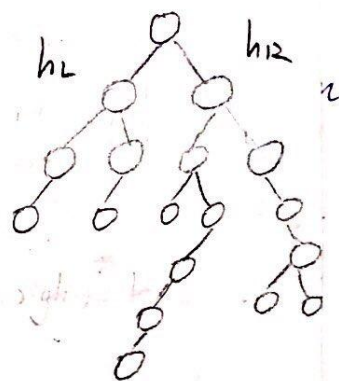
return nil.

○ if (T.root.left = nil and T.root.right = nil) then  
T.size  $\leftarrow$  1

○ if (T.root.left  $\neq$  nil and T.root.right = nil) then  
T.size  $\leftarrow$  T.root.left.size + 1

○ if (T.root.right  $\neq$  nil and T.root.left = nil) then  
T.size  $\leftarrow$  T.root.right.size + 1

○ if (T.root.right  $\neq$  nil and T.root.left  $\neq$  nil) then  
T.size  $\leftarrow$  T.root.left.size + T.root.right.size + 1



$h_L$  - the height of left subtree  
 $h_R$  - the height of right subtree

So, it will take  $T(h_L) + T(h_R)$  time

$$T(h_L) + T(h_R) \leq 2 \max\{h_L, h_R\} \in O(2h) \text{ time} \in O(h)$$

Overall, it will take  $O(h)$  time in total.

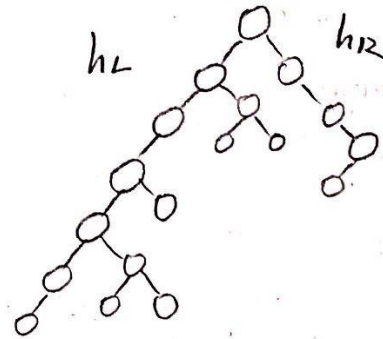
②

proecture quantile (T)

```
if (T = nil) then
    return nil
```

if (T.root.left == nil) then

T. quantile  $\leftarrow 0$

$$T.\text{quantile} \leftarrow T.\text{root}, \text{left}, \text{quantile} + 1$$


The runtime takes  $O(h_L) + O(h)$  time.  $h_L$  - the height of left subtree  
Since, it goes directly to the left, only.

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