

Part 7: Parallel Computation with POSIX Threads

Contents

[DOCUMENT NOT FINALIZED YET]

- Parallel Computation p.2
- POSIX Threads p.5
- Thread Basics p.7
- Creating, Joining, and Exiting PThreads p.12
- Thread Synchronization p.14
- Mutexes p.15
- Condition Variables p.22
- Producer/Consumer p.31
- Deadlocks and Livelocks p.40

Sources: <http://www.yolinux.com/TUTORIALS/LinuxTutorialPosixThreads.html>,
<https://computing.llnl.gov/tutorials/pthreads>, Wikipedia

Parallel Computation

In this age of multi-processor and multi-core computer architectures it is important to utilize the gained computational power by parallelizing programs

The programs we have seen thus far are **single-threaded** — there is only one flow of execution that manipulates data in memory and connected devices. By contrast, **multi-threaded** applications use multiple execution flows that are independently run on multiple CPUs or cores

Parallel computers can be roughly classified according to the level at which the hardware supports parallelism. This classification is broadly analogous to the distance between basic computing nodes:

Multi-Core Processors

A multi-core processor is a processor that includes multiple execution units (“cores”) on the same chip. A multi-core processor can issue multiple instructions per cycle from multiple instruction streams

Simultaneous multi-threading (of which Intel’s Hyper-

Threading is the best known) was an early form of pseudo-multi-coreism. A processor capable of simultaneous multi-threading has only one execution unit, but when that execution unit is idling (such as during a cache miss), it uses that execution unit to process a second thread. IBM's Cell microprocessor, designed for use in the Sony PlayStation 3, is another prominent multi-core processor

Symmetric Multiprocessing

A symmetric multiprocessor (SMP) is a computer system with multiple identical processors that share memory and communicate via a bus. Bus contention prevents bus architectures from scaling. As a result, SMPs generally do not comprise more than 32 processors

Distributed Computers

A distributed computer is a distributed memory computer system in which the processing elements are connected by a network. Distributed computers are highly scalable

A **cluster** is a group of loosely coupled computers that

work together closely, so that in some respects they can be regarded as a single computer. Clusters are composed of multiple standalone machines connected by a network. The vast majority of the fastest 500 supercomputers are clusters

In this course we will study the basics of multi-threaded programming. In particular, we will work with the POSIX thread library and discuss how single-threaded programs can be parallelized using threads, how threads can communicate with each other, and how we can protect data from being corrupted by multiple threads trying to access the data concurrently

POSIX Threads

The POSIX thread library is a standardized thread application program interface for C and C++

It allows one to spawn a new concurrent process flow. It is most effective on multi-processor or multi-core systems where the process flow can be scheduled to run on another processor thus gaining speed through parallel or distributed processing

Threads require less overhead than “forking” or spawning a new process because the system does not initialize a new system virtual memory space and environment for the process. While most effective on a multiprocessor system, gains are also found on uni-processor systems which exploit latency in I/O and other system functions which may halt process execution. E.g., One thread may execute while another is waiting for I/O or reading from main memory to finish

Parallel programming technologies such as MPI and PVM are used in a distributed computing environment while threads are limited to a single computer system

The purpose of using the POSIX, or any other thread library in your software is to execute software faster. All threads within a process share the same address space. A thread is spawned by defining a function and its arguments which will be processed in the thread

All running threads are executed simultaneously on all available CPU cores. Hundreds of threads may run at the same time, but if your system only has 4 cores, the operating system will schedule all threads to take turns, and at any given time only 4 threads will be active

Achieving perfect parallelization of your computation task means that your program runs k times faster on a k -core computer, compared to a single-threaded implementation

Some tasks such as matrix multiplication are very easy to parallelize. Conceptually, you just let each core compute a fraction of the entries of the result matrix. Other algorithms are harder to parallelize. For instance, it has been shown that even with n computation cores, one needs at least logarithmic time to add n numbers each consisting of n bits

Thread Basics

Thread operations include thread creation, termination, synchronization (join, blocking), scheduling, data management, and thread interaction

A thread does not maintain a list of created threads, nor does it know the thread that created it

All threads within a process share the following data (i.e., all items below have the same address in all threads):

- Code
- Most data (heap and global variables)
- Open files (descriptors)
- Signals and signal handlers
- Current working directory
- User and group id

Each thread has a unique:

- Thread ID
- Set of registers, including stack pointer
- Stack for local variables, return addresses
- Signal mask
- Priority
- Return value: `errno`

All pthread functions return 0 when successful

Example

```
#include <stdio>
#include <stdlib>
#include <pthread.h>

void *thread_func(void *ptr)
{
    const char *msg = (char *)ptr;
    printf("%s\n", msg);
    // return pointer to thread result, can't be pointing to
    // local variable
    return ptr;
}

int main()
{
    pthread_t t1, t2;
    const char *msg1 = "I am Thread 1";
    const char *msg2 = "I am Thread 2";
    void *ret1, *ret2;

    // Create independent threads each of which will
    // execute thread_func
    pthread_create(&t1, nullptr, thread_func, (void*)msg1);
    pthread_create(&t2, nullptr, thread_func, (void*)msg2);
```

Example (Continued)

```
// Wait till threads are complete before main
// continues. Unless we wait we run the risk of
// executing an exit which will terminate the
// process and all threads before the threads
// have completed. Thread results are stored in
// ret1 and ret2.
pthread_join(t1, &ret1);
pthread_join(t2, &ret2);

printf("Thread 1 returns: %s\n", (char*)ret1);
printf("Thread 2 returns: %s\n", (char*)ret2);
return 0;
}
```

Compile with: `g++ ex1.cpp -lpthread`

(`-lpthread` links with pthread library)

Run: `./a.out`

Output:

I am Thread 1

I am Thread 2

Thread 1 returns: I am Thread 1

Thread 2 returns: I am Thread 2

Details

In this example the same function is used in each thread. The arguments are different. The functions need not be the same

Threads terminate by explicitly calling `pthread_exit`, by letting the function return, or by a call to the function `exit` which will terminate the process including all threads

Threads should not be killed by other threads directly because this can possibly leak resources like memory, file descriptors, and mutexes. Instead, a kill-flag can be used which threads frequently check. If set by a master thread, threads can then clean up and exit voluntarily

```
bool kill_threads = false;

void *thread(void *data) {
    ...
    while (work_left) {
        if (kill_threads) {
            return retval; // exit thread voluntarily
        }
        ...
    }
}
```

Creating, Joining, and Exiting PThreads

```
int pthread_create(pthread_t *thread,  
                  const pthread_attr_t *attr,  
                  void *(*function)(void *),  
                  void *arg);
```

creates a new thread. Arguments:

- `thread` - pointer to thread id which will be set. (unsigned long int defined in `bits/pthreadtypes.h`)
- `attr` - set to 0 if default thread attributes are used (this is all we need in this course)
- `function` - pointer to the function to be threaded. It has a single argument, which points to data we want the thread to process
- `arg` - pointer to argument of function. Multiple arguments can be used by passing the address of a struct or class object

```
int pthread_join(pthread_t th, void **retval);
```

waits for termination of another thread. Arguments:

- `th` - The current thread is suspended until the thread identified by `th` terminates
- `retval` - If `retval` is not 0, the return value of `th` is stored in the location pointed to by `retval`

```
void pthread_exit(void *retval);
```

terminates the calling thread. Arguments:

`retval` - Return value of thread

This function kills the thread. The `pthread_exit` function never returns

Note: `*retval` must not point to local data because such data ceases to exist once the thread terminates. Return values can also be stored in the data structure whose address is passed on to `pthread_create`

Thread Synchronization

The threads library provides three synchronization mechanisms:

- **Mutexes** — Mutual exclusion lock: Block access to variables by other threads. This enforces exclusive access by a thread to a variable or set of variables
- **Joins** — Make a thread wait till others are complete (terminated)
- **Condition Variables** — Used for waiting until signalled to continue by another thread

Mutexes

Mutexes are used to prevent data inconsistencies due to operations by multiple threads upon the same memory area performed at the same time or to execute operations in a certain order

A **contention** or **race condition** can occur when two or more threads need to perform operations on the same memory area, but the results of computations depends on the order in which these operations are performed

Mutexes are used for serializing shared resources such as memory or files

Whenever a global resource can be accessed by more than one thread the resource should have a *mutex* associated with it. Mutexes are used to only allow one thread to enter so-called critical code regions that read or write to shared data. Mutexes can only be used to synchronize threads belonging to their parent process – they do not work between processes

Example

```
// without mutex

int counter = 0;

void increment() // possible machine code:
{
    // 1. load counter into register
    counter++;    // 2. increment register
}                // 3. store register to counter
```

```
// with mutex guarding the critical section

pthread_mutex_t counter_mutex = PTHREAD_MUTEX_INITIALIZER;
int counter = 0;

void increment()
{
    pthread_mutex_lock(&counter_mutex);
    counter++;
    pthread_mutex_unlock(&counter_mutex);
}
```


Data Inconsistency Example

Suppose `counter=0` and two threads are calling `increment` at virtually the same time. Also suppose that memory accesses are serialized, i.e. only one CPU core can actually access memory at any given time

Still, without locking, `counter` can be 1 or 2 after finishing both calls. How can this happen?

Thread 1	Thread 2

1. Load to Reg. (0)	
	1. Load to Reg. (0)
2. Incr. Reg. (1)	
	2. Incr. Reg. (1)
3. Store Reg. (1)	
	3. Store Reg. (1)

Result: `counter = 1`

Good Case

Thread 1	Thread 2

1. Load to Reg. (0)	
2. Incr. Reg. (1)	doing something
3. Store Reg. (1)	else
	1. Load to Reg. (1)
doing something	2. Incr. Reg. (2)
else	3. Store Reg. (2)

Result: counter = 2, as expected!

To avoid data corruption or inconsistencies when threads share data we need to ensure that at any given time at most one thread is in the critical section, which in this case is the `counter++` instruction

Using mutexes accomplishes this goal. When locking a mutex, the runtime system checks whether another thread already locked it. If so, the current thread is suspended and added to a queue of waiting threads

When unlocking a mutex, a waiting thread is signalled to continue and enter the critical section. In this case the mutex stays locked. If no thread is waiting the mutex is unlocked

This way, at most one thread executes code in the critical section between `pthread_mutex_lock()` and `pthread_mutex_unlock()`

Thread 1	Thread 2

lock mutex	do something
1. Load to Reg. (0)	lock mutex
2. Incr. Reg. (1)	wait
3. Store Reg. (1)	wait
unlock mutex	continue/still locked
do something	1. Load to Reg. (1)
else	2. Incr. Reg. (2)
	3. Store Reg. (2)
	unlock mutex

Result: counter = 2

Complete Example

```
#include <stdio>
#include <stdlib>
#include <pthread.h>

pthread_mutex_t counter_mutex = PTHREAD_MUTEX_INITIALIZER;
int counter = 0;

void *increment(void*)
{
    pthread_mutex_lock(&counter_mutex);
    counter++;
    printf("Counter value: %d\n", counter);
    pthread_mutex_unlock(&counter_mutex);
    return nullptr;
}

int main()
{
    const int TN = 10; // thread number
    pthread_t *threads[TN] = new pthread_t[TN];

    // create TN threads
    for (int i=0; i < TN; ++i) {
        pthread_create(&threads[i], nullptr,
                      increment, nullptr);
    }
}
```

Complete Example (Continued)

```
// wait until all threads are complete before
// main continues
for (int i=0; i < TN; ++i) {
    pthread_join(threads[i], nullptr);
}
delete [] threads;
return 0;
}
```

Compile with `g++ ex2.cpp -lpthread`

Run: `./a.out`

Output:

Counter value: 1

Counter value: 2

...

Counter value: 10

Everytime, because counter is protected by a mutex

Condition Variables

Condition variables provide yet another way for threads to synchronize. While mutexes implement synchronization by controlling thread access to data, condition variables allow threads to synchronize based upon the actual value of data

Without condition variables, the programmer would need to have threads continually polling (possibly in a critical section), to check if the condition is met. This can be very resource consuming because the thread would be continuously busy. Using condition variables is a way to achieve the same goal without polling

A condition variable is always used in conjunction with a mutex lock

A representative sequence for using condition variables is shown below:

Main Thread

- Declare and initialize global variables that require synchronization (such as "count")
- Declare and initialize a condition variable
- Declare and initialize the associated mutex
- Create threads 1 and 2 to do work
- Join and continue

Thread 1

- Do work up to the point where a certain condition must hold (such as "count" reaching a specified value)
- Lock associated mutex and check condition
- If condition doesn't hold call `pthread_cond_wait()` to perform a blocking wait for signal from thread 2. Note that a call to `pthread_cond_wait()` unlocks the associated mutex variable so that it can be used by thread 2
- When signalled, wake up. Mutex is locked. Do some work
- Explicitly unlock mutex
- Continue and return when done

Thread 2

- Do work
- Lock associated mutex
- Change the value of the global variable that thread 1 is waiting upon
- Check value of the global thread 1 wait variable. If it fulfills the desired condition, signal thread 1
- Unlock mutex
- Continue and return when done

Using Condition Variables

This example code (`cond.cpp`) demonstrates the use of condition variables we just described. The main function creates three threads. Two of the threads perform work and update a “count” variable. The third thread waits until the count variable reaches a specified value

Output:

```
inc_count: thread 1, count = 1, unlocking mutex
Starting watch_count: thread 0
inc_count: thread 2, count = 2, unlocking mutex
inc_count: thread 1, count = 3, unlocking mutex
inc_count: thread 2, count = 4, unlocking mutex
inc_count: thread 1, count = 5, unlocking mutex
inc_count: thread 2, count = 6, unlocking mutex
inc_count: thread 1, count = 7, unlocking mutex
inc_count: thread 2, count = 8, unlocking mutex
inc_count: thread 1, count = 9, unlocking mutex
inc_count: thread 2, count = 10, unlocking mutex
inc_count: thread 1, count = 11, unlocking mutex
inc_count: thread 2, count = 12 Threshold reached.
inc_count: thread 2, count = 12, unlocking mutex
watch_count: thread 0 signal received.
watch_count: thread 0 count now = 137.
inc_count: thread 1, count = 138, unlocking mutex
inc_count: thread 2, count = 139, unlocking mutex
inc_count: thread 1, count = 140, unlocking mutex
inc_count: thread 2, count = 141, unlocking mutex
inc_count: thread 1, count = 142, unlocking mutex
inc_count: thread 2, count = 143, unlocking mutex
inc_count: thread 1, count = 144, unlocking mutex
inc_count: thread 2, count = 145, unlocking mutex
Main(): Waited on 3 threads. Done.
```



```
#include <stdio>
#include <stdlib>
#include <unistd.h>
#include <pthread.h>

const int NUM_THREADS = 3;
const int NUM_INC = 10;    // how often inc_count increments
const int COUNT_LIMIT = 12; // when to wake up watch_count
int count = 0;
pthread_mutex_t count_mutex;
pthread_cond_t count_cond;

// increment counter a few times
// wake up watch_count thread when reaching COUNT_LIMIT
void *inc_count(void *t)
{
    int my_id = *(int*)t;

    for (int i=0; i < NUM_INC; ++i) {
        pthread_mutex_lock(&count_mutex);
        count++;

        // check the value of count and signal waiting thread when
        // condition is reached. This occurs while mutex is locked
        if (count == COUNT_LIMIT) {
            pthread_cond_signal(&count_cond);
            printf("inc_count: thread %d, count = %d  Threshold reached.\n",
                   my_id, count);
        }
        printf("inc_count: thread %d, count = %d, unlocking mutex\n",
               my_id, count);
        pthread_mutex_unlock(&count_mutex);

        // do some "work" so threads can alternate on mutex lock
        sleep(1);
    }
    return nullptr;
}
```

```
// wait until signalled, then add 125
void *watch_count(void *t)
{
    int my_id = *(int*)t;
    printf("Starting watch_count: thread %d\n", my_id);

    /*
     * Lock mutex and wait for signal. pthread_cond_wait will unlock
     * mutex while it waits. Also, if COUNT_LIMIT is reached before
     * this function is run by the waiting thread, the loop will be
     * skipped to prevent pthread_cond_wait from never returning
     */

    pthread_mutex_lock(&count_mutex);
    while (count < COUNT_LIMIT) {
        pthread_cond_wait(&count_cond, &count_mutex);
        // check whether we actually received a signal
        // (wait function can return due to other reasons, see man page)
        if (count >= COUNT_LIMIT) {
            printf("watch_count: thread %d signal received.\n", my_id);
            count += 125;
            printf("watch_count: thread %d count now = %d.\n", my_id, count);
        }
    }
    pthread_mutex_unlock(&count_mutex);
    return 0;
}
```

```
int main ()
{
    pthread_t *threads = new pthread_t[NUM_THREADS];
    int *ids = new int[NUM_THREADS];

    // initialize mutex and condition variable objects
    pthread_mutex_init(&count_mutex, 0);
    pthread_cond_init(&count_cond, 0);

    // create threads

    // watch
    ids[0] = 0;
    pthread_create(&threads[0], nullptr, watch_count, (void *)&ids[0]);

    // increment
    for (int i=1; i < NUM_THREADS; ++i) {
        ids[i] = i;
        pthread_create(&threads[i], nullptr, inc_count, (void *)&ids[i]);
    }

    // wait for all threads to complete
    for (int i=0; i < NUM_THREADS; ++i) {
        pthread_join(threads[i], nullptr);
    }
    printf("Main(): Waited on %d threads. Done.\n", NUM_THREADS);

    // clean up and exit
    pthread_mutex_destroy(&count_mutex);
    pthread_cond_destroy(&count_cond);
    delete [] threads;
    delete [] ids;
    return 0;
}
```

Condition Variable Details

Functions for creating and destroying condition variables:

```
int pthread_cond_destroy(pthread_cond_t *cond);  
int pthread_cond_init(pthread_cond_t *cond,  
                      const pthread_condattr_t *attr);
```

Condition variables must be defined with type `pthread_cond_t`, and must be initialized before they can be used. There are two ways to initialize a condition variable:

1. Statically, when it is defined. For example:

```
pthread_cond_t myconvar = PTHREAD_COND_INITIALIZER;
```

2. Dynamically, with the `pthread_cond_init()` function. The ID of the created condition variable is returned to the calling thread through the condition parameter. This method permits setting condition variable object attributes, `attr`. We ignore attributes in this course, and pass on 0 instead

`pthread_cond_destroy()` should be used to free a condition variable that is no longer needed

Functions for waiting and signalling on condition variables:

```
int pthread_cond_wait(pthread_cond_t *cond,  
                      pthread_mutex_t *mutex);  
int pthread_cond_broadcast(pthread_cond_t *cond);  
int pthread_cond_signal(pthread_cond_t *cond);
```

`pthread_cond_wait()` blocks the calling thread until the specified condition is signalled. This function should be called while mutex is locked, and it will automatically release mutex while it waits. After a signal is received and the thread is awakened, mutex will be automatically locked for use by the thread. The programmer is then responsible for unlocking mutex at the end of the critical section

The `pthread_cond_signal()` function is used to signal (or wake up) another thread which is waiting on the condition variable. It must be called after mutex is locked. The mutex must be unlocked afterwards, for `pthread_cond_wait()` to complete

The `pthread_cond_broadcast()` function should be used instead of `pthread_cond_signal()` if more than one thread is in a blocking wait state. All waiting threads will be woken up

It is a logical error to call `pthread_cond_signal()` before calling `pthread_cond_wait()`, because in this case the signal will not be received — it's lost

Proper locking and unlocking of the associated mutex variable is essential when using these functions. For example:

- Failing to lock the mutex before calling `pthread_cond_wait()` may cause it NOT to block
- Failing to unlock the mutex after calling `pthread_cond_signal()` may not allow a matching `pthread_cond_wait()` function to complete (it will remain blocked)

Producer/Consumer

When the processing time for individual work items varies or work items become available only one after another, using a producer/consumer (or writer/reader) threading framework can improve CPU utilization

A possible implementation spawns writer threads that generate work items and add them to a queue (a dynamic first-in-first-out data structure), from which reader threads remove work items and process them

```
#include <stdio>
#include <stdlib>
#include <cassert>
#include <pthread.h>
#include "Queue.h"

pthread_mutex_t mutex;
pthread_cond_t item_arrived_cond;
pthread_cond_t item_read_cond;

// data guarded by mutex
Queue queue(100); // contains list of work items
bool kill_all;    // true => ask readers to quit
int items_to_be_processed; // how many items in total
int items_processed; // number of processed items
```

```
// writer thread code that produces work items
void *writer(void *job)
{
    // how many items to create?
    int num_items = *(int*)job;

    for (int i=0; i < num_items; ++i) {
        pthread_mutex_lock(&mutex);
        // wait until there is space in queue
        while (queue.full()) {
            pthread_cond_wait(&item_read_cond, &mutex);
        }
        queue.add(i);
        items_to_be_processed++;
        // signal to one waiting reader that work
        // has arrived
        pthread_cond_signal(&item_arrived_cond);
        pthread_mutex_unlock(&mutex);
    }
    return 0;
}
```



```
// reader thread code that consumes work items
// and processes them
void *reader(void *)
{
    for (;;) {
        pthread_mutex_lock(&mutex);
        while (queue.empty() && !kill_all) {
            // wait here when queue is empty and
            // termination not requested
            pthread_cond_wait(&item_arrived_cond,
                              &mutex);
        }

        if (kill_all) {
            // work done - quit thread
            pthread_mutex_unlock(&mutex);
            break;
        }

        // retrieve one work item
        int work = queue.remove();

        // let others access the queue
        pthread_mutex_unlock(&mutex);
    }
}
```

```
// process work item
{
    // be busy for a while ...
    volatile int a = work;
    // volatile: a not loaded into register
    // and compiler can't remove the following
    // useless code
    for (int i=0; i < 1000000; ++i) {
        a += i*3 + i*i;
    }
    // in production code, the result would
    // now be stored somewhere ...
}

// signal to a waiting writer that item
// has been processed
pthread_mutex_lock(&mutex);
items_processed++;
pthread_cond_signal(&item_read_cond);
pthread_mutex_unlock(&mutex);
}

return 0;
}
```

```
int main(int argc, char *argv[])
{
    if (argc != 4) {
        fprintf(stderr, "call: %s #writers #readers #items\n",
                    argv[0]);
        exit(10);
    }

    int num_writers = 2;
    int num_readers = 8;
    int num_items    = 1000;
    num_writers = atoi(argv[1]);
    num_readers = atoi(argv[2]);
    num_items    = atoi(argv[3]);
    printf("writers:%d, readers:%d items:%d\n",
           num_writers, num_readers, num_items);
    pthread_cond_init(&item_arrived_cond, nullptr);
    pthread_cond_init(&item_read_cond, nullptr);
    pthread_t *writers = new pthread_t[num_writers]
    pthread_t *readers = new pthread_t[num_readers];
    int *jobs = new int[num_writers];

    queue.reset();
    items_processed = items_to_be_processed = 0;
    kill_all = false;

    // spawn reader threads
    for (int i=0; i < num_readers; ++i) {
        pthread_create(&readers[i], nullptr, reader, nullptr);
    }
```

```
// spawn writer threads
int items_per_writer = num_items / num_writers;
int rem = num_items % num_writers;
for (int i=0; i < num_writers; ++i) {
    jobs[i] = items_per_writer;
    if (rem-- > 0) { // distribute remaining items
        jobs[i]++;
    }
    pthread_create(&writers[i], nullptr, writer, &jobs[i]);
}

// wait for all writers to finish
for (int i=0; i < num_writers; ++i) {
    pthread_join(writers[i], nullptr);
}

// wait for all readers to finish their work
for (;;) {
    pthread_mutex_lock(&mutex);
    if (items_processed == items_to_be_processed) {
        // done
        pthread_mutex_unlock(&mutex);
        break;
    }
    // wait for one reader to read item
    pthread_cond_wait(&item_read_cond, &mutex);
    pthread_mutex_unlock(&mutex);
}
```

```
// readers are now waiting for new work, but we are done
// so we ask them to quit
printf("terminate readers\n");

// wake up readers to make them see that kill_all
// has changed
pthread_mutex_lock(&mutex);
kill_all = true;
pthread_cond_broadcast(&item_arrived_cond);
pthread_mutex_unlock(&mutex);

// wait for all reader threads
for (int i=0; i < num_readers; ++i) {
    pthread_join(readers[i], nullptr);
}

printf("processed: %d, to_be_processed: %d\n",
       items_processed, items_to_be_processed);

// clean up
pthread_cond_destroy(&item_arrived_cond);
pthread_cond_destroy(&item_read_cond);
delete [] writers;
delete [] readers;
delete [] jobs;
return 0;
}
```

```
// simple integer queue data structure supporting
//
// constructor(capacity) allocates capacity elements
// reset()                empties queue
// add(x)                 adds element x at tail
// remove()               removes and returns head element
// empty()                true iff empty
// full()                 true iff full

class Queue
{
public:
    // initializes empty queue with maximal c elements
    Queue(int c) {
        capacity = c;
        data = new int[capacity];
        reset();
    }

    ~Queue() { delete [] data; }

    // empties queue
    void reset() { head = tail = n = 0; }

    // return true iff queue is empty
    bool empty() { return n == 0; }

    // return true iff queue is full
    bool full() { return n >= capacity; }
```

```
// add element to queue (at tail)
// pre-condition: not full
void add(int x) {
    assert(!full());
    data[tail++] = x;
    if (tail >= capacity) {
        tail = 0;
    }
    n++;
}

// remove and return head element
// pre-condition: not empty
int remove() {
    assert(!empty());
    int x = data[head++];
    if (head >= capacity) {
        head = 0;
    }
    --n;
    return x;
}

private:
    int capacity;    // maximum number of elements
    int *data;       // pointer to element array
    int head, tail;  // current remove/add locations
    int n;           // actual number of elements stored
};
```

Code available in `lec-week13-code`

Deadlocks and Livelocks

A **deadlock** is a situation which occurs when a process or thread enters a waiting state because a resource requested by it is being held by another waiting process, which in turn is waiting for another resource. If a process is unable to change its state indefinitely because the resources requested by it are being used by another waiting process, then the system is said to be in a deadlock

As an example, suppose a computer has three CD drives and three processes. Each of the three processes holds one of the drives. If each process now requests another drive, the three processes will be in a deadlock. Each process will be waiting for the “CD drive released” event, which can only be caused by one of the other waiting processes. Thus, it results in a circular chain

A **livelock** is similar to a deadlock, except that the states of the processes involved in the livelock constantly change with regard to one another, none progressing. Livelock is a special case of resource starvation; the general definition only states that a specific

process is not progressing

A real-world example of livelock occurs when two people meet in a narrow corridor, and each tries to be polite by moving aside to let the other pass, but they end up swaying from side to side without making any progress because they both repeatedly move the same way at the same time

Livelock is a risk with some algorithms that detect and recover from a deadlock. If more than one process takes action, the deadlock detection algorithm can be repeatedly triggered. This can be avoided by ensuring that only one process (chosen randomly or by priority) takes action

Deadlock Example

```
#include <pthread.h>
pthread_mutex_t mutex1 = PTHREAD_MUTEX_INITIALIZER;
pthread_mutex_t mutex2 = PTHREAD_MUTEX_INITIALIZER;

void *simple_thread(void *)
{
    pthread_mutex_lock(&mutex2);    // lock mutex2
    pthread_mutex_lock(&mutex1);    // lock mutex1
    pthread_mutex_unlock(&mutex1);  // unlock mutex1
    pthread_mutex_unlock(&mutex2);  // unlock mutex2
    return 0;
}

int main()
{
    pthread_t tid;

    // create a thread
    pthread_create(&tid, nullptr, &simple_thread, nullptr);

    pthread_mutex_lock(&mutex1);    // lock mutex1
    pthread_mutex_lock(&mutex2);    // lock mutex2
    pthread_mutex_unlock(&mutex2);  // unlock mutex2
    pthread_mutex_unlock(&mutex1);  // unlock mutex1

    // wait for thread to finish
    pthread_join(tid, nullptr);
}
```

In this example, two threads lock two mutexes in different orders

This creates a deadlock because both threads will be successful acquiring their first respective mutexes, but then block on the second call, where both will wait for the other thread to unlock the mutex — which will never happen

This situation could have been prevented by locking mutexes **in the same order**:

```
void *simple_thread(void *)
{
    pthread_mutex_lock(&mutex1);    // lock mutex1
    pthread_mutex_lock(&mutex2);    // lock mutex2
    ...

int main()
{
    ...
    pthread_mutex_lock(&mutex1);    // lock mutex1
    pthread_mutex_lock(&mutex2);    // lock mutex2
    ...
```

Now one of the threads will block on the first call, and proceed once the other thread is done!

In general, deadlocks can be prevented by imposing an order on mutexes and only locking mutexes in that order

Only rarely will mutexes be locked in consecutive lines of code, and debugging deadlocks may therefore be much harder in more complex projects

Unlike “silent” data corruption which may happen in data race situations, deadlocks manifest themselves explicitly: the program just freezes. But of course, like data races, deadlocks may not happen in any particular program test run because thread scheduling depends on external factors such as other programs running at the same time

The valgrind tool [helgrind](#) can help identify the lines of code which may potentially cause deadlocks, e.g. by running

```
valgrind --tool=helgrind a.out
```

Your program should be compiled with `-g` to give valgrind access to source code information. Try it with the deadlock example mentioned earlier!