

# Computing Science (CMPUT) 455

## Search, Knowledge, and Simulations

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# Today's Topics

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Solving  
Games with  
Minimax  
Search

Boolean  
Minimax  
Algorithm

## Today's Topics:

- Solving two-player games, TicTacToe example
- Winning strategy
- Concepts for game trees: OR nodes, AND nodes
- Minimax algorithm for the boolean (win/loss) case

# Coursework

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- Quiz 4
- Read Greenemeyer, 20 years after Deep Blue
- Activities 8
- Start Assignment 2 - Gomoku endgame solver

# Assignment 2 - Gomoku Endgame Solver

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- Assignment 2
- Specification published now
- Preview in class today
- Starter code = a sample solution to Assignment 1, legal random Gomoku player

# Python Sample Codes

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- Study TicTacToe solver now to prep for assignment 2
- See python code page

# Assignment 2 Preview

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- Goal: write a perfect solver for Gomoku endgames
- Assignment description:  
`https://webdocs.cs.ualberta.ca/~c455/assignments/a2.html`
- We will start talking about the concepts and algorithms in class today

# Assignment 2 Preview

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- You will be given a “clean” Gomoku random player (Assignment 1 sample solution) **after the Assignment 1 late submission deadline**
- You will also be given some sample code for solving TicTacToe  
See python sample code on  
`https://webdocs.cs.ualberta.ca/~c455/python/index.html#L8`
- Relevant code will be from lectures 8 (now), 9 and 10 (next week)

# Assignment 2 Preview

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Algorithm

- As before, we will have both public and private test cases
- Same presubmission and early feedback procedure as in assignment 1
- By default: same teams. Let Jingwei know of any changes to teams



# Solving Games with Minimax Search

# Solving Games

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Algorithm

- What does solving a game mean?
- Find the correct outcome of the game
  - With best play...
  - ...by **both** players.
- Different kinds of solving
  - Ultra-weakly solved: know the outcome, but have no concrete strategies
  - Weakly solved: contains a winning strategy starting from the initial state
  - Strongly solved: provide an algorithm that can win from any position of the game

# Solving Games

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Algorithm

- *How* to play if we have a win?
- Need a *winning strategy*
- Start with TicTacToe example

# Wins, Losses and Draws

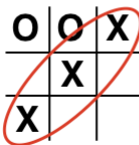
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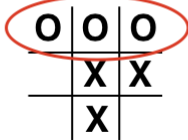
## Terminal states

Win (for X)



O	O	X
	X	
X		

Loss



O	O	O
	X	X
	X	

Draw

O	O	X
X	X	O
O	X	X

## Using search to find Win or Loss

X wins  
in one move

O	O	
	X	
X	X	O

O loses  
in two moves

O		
	X	
X	X	O

X wins  
in three moves

O		
X	X	O

# Winning Strategy - Depth 1

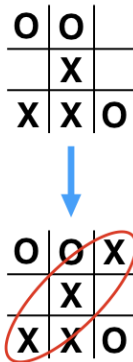
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## Winning strategy

Solving Games with Minimax Search

Boolean Minimax Algorithm

**X wins in one move**



- X can win in one move
- Winning strategy just contains that move

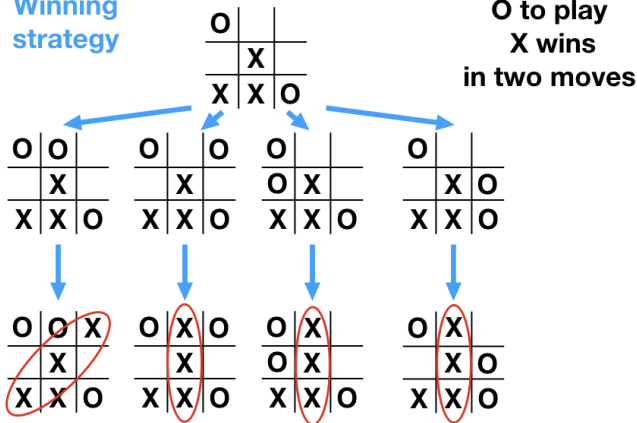
# Winning Strategy - Depth 2

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Minimax  
Algorithm

Winning  
strategy



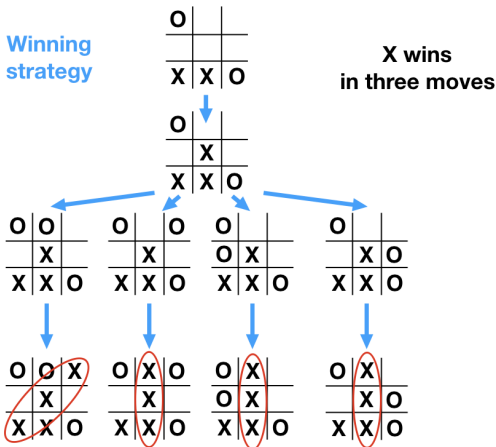
- Winning strategy:
  - d=1: include **all opponent moves**
  - d=2: one winning move for us in each branch → we win

# Winning Strategy - Depth 3

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Algorithm



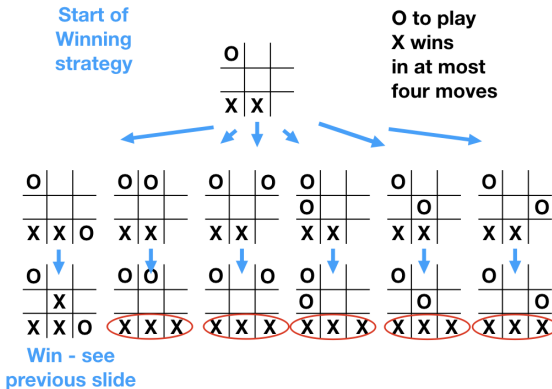
- d=1: One move for us
- d=2: one branch for each possible opponent reply
- d=3: one winning move in each branch → we win

# Winning Strategy - Depth 4

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- $d=1$ : all six opponent moves  
 $d=2$ : one move for us,  
leads to a known winning position



# Winning Strategies - Depth 5 Examples

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Start of  
Winning  
strategies

O		
X		



O		
X	X	

Win - see  
previous slide

X wins  
in five moves

O		
	X	



O		
X	X	

- Two examples
- $d=1$ : One move in each example, both lead to the same winning position (from previous slide)

# How a Winning Strategy Looks Like

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Algorithm

- In a game, if it is our turn, we have a choice
- Play move1 or move2 or...
  - It is enough to know **one** winning move
- If it is the opponent's move,  
we need to win against *all* their moves
- Win against move1 and move2 and ...
  - We need to include *all* their moves in our strategy

# Winning Strategy as a Tree (or DAG)

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- Consequence: the winning strategy is a tree (or DAG)
- The winning strategy includes:
  - One move when it's our turn
  - All moves when it's the opponent's turn
- The tree (or DAG) of a winning strategy is much smaller than the whole state space
- It can still be very large
- It branches at every *second* level
  - Branch only when it's the loser's turn

# Proving a Win

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- To prove a win we need to find a winning strategy
- Usually, we do not store it
  - We just use search to prove a win (see later)
- Usually, we build a strategy top-down from the root
- Conceptually, we can also build a strategy bottom-up from the end
- First question:
  - What are winning terminal positions?
  - The rules of the game give the answer

# Evaluation of Terminal Positions

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Algorithm

Game over, what's the result?

Different Types of evaluation:

- Simplest case: binary (or boolean) evaluation, win-loss
  - Examples: Coin toss, Go with non-integer komi
- Popular case: win-draw-loss
  - Examples: TicTacToe, chess, checkers
  - Go with integer komi
- More general case: games with score
  - Examples: win by 5 points
  - Win \$10.000.000

# Tic Tac Toe Sample Code

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Algorithm

- `tic_tac_toe.py` has board representation, rules
- Similar to `Go1`, board stored in 1-d array of size 9
- Status codes  
    `EMPTY = 0`, `BLACK = 1` for 'X', `WHITE = 2` for 'O'
- Useful functions `legalMoves`, `endOfGame`, `play`,  
    `undoMove`, ...

Board indexing:

0	1	2
3	4	5
6	7	8

# AND/OR Tree

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Algorithm

- In a game tree:
- Position where it is our turn:  
*OR node*
- Position where it is the opponent's turn:  
*AND node*
- Alternating play
  - Each move from an OR node leads to an AND node
  - Each move from an AND node leads to an OR node

# Leaf Nodes

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Algorithm

- *Leaf nodes are terminal states* of the game
- Game is over
- Can determine the result from the rules
- Examples:
  - Count the score in Go → winner
  - TicTacToe 3-in-a-row → win
  - TicTacToe board full, no 3-in-a-row → draw



# Winning in an OR Node

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Algorithm

- Our turn
- Finding one winning move is enough
- OR node  $n$
- Children  $c_1, \dots, c_k$
- $\text{win}(n) = \text{win}(c_1) \text{ or } \text{win}(c_2) \text{ or } \dots \text{ or } \text{win}(c_k)$
- Shortcut evaluation:  
can stop at the *first* child that is a win
- We can play that move to win from  $n$
- Best case for search: the first child  $c_1$  is a win

# Winning in an AND Node

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Algorithm

- Opponent's turn
- We win only if we win after *all* opponent moves
- AND node  $n$
- Children  $c_1, \dots, c_k$
- $\text{win}(n) = \text{win}(c_1) \text{ and } \text{win}(c_2) \text{ and } \dots \text{ and } \text{win}(c_k)$
- Shortcut evaluation:  
can stop at the *first* child that is a loss
- The opponent can play that move to make us lose from  $n$
- Best case for search: the first child  $c_1$  is a loss

# What if the State Space is a DAG?

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Minimax  
Algorithm

- Exactly the same concepts work in DAG
- Difference in practice:
- We can store and share wins and losses computed earlier
- Different paths to reach the same node
- Only prove a win (or loss) for a node once, then remember
- Example earlier: two dept five wins by moving to the same win-in-4 position
- Prove once, use for two different lines

# Re-using proofs in a DAG

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- Main technique to store states and results:
- *Hash table*
- Also called *transposition table*
- How to use in search: details later

# Boolean Minimax Algorithm

# Minimax Algorithm - Boolean Version - OR Node

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Minimax  
Algorithm

- Each player tries to win.  
Zero-sum - opponent's win is my loss
- OR node: If I have *at least one* winning move,  
I can win (by playing that move)
- If all my moves lose, I lose.

```
// Basic Minimax with boolean outcomes
bool MinimaxBooleanOR(GameState state)
    if (state.IsTerminal())
        return state.StaticallyEvaluate()
    foreach successor s of state
        if (MinimaxBooleanAND(s))
            return true
    return false
```

# Minimax Algorithm - Boolean Version - AND Node

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Boolean  
Minimax  
Algorithm

- AND node: All opponent moves need to win for me
- If any of their moves lose me the game, I lose.

```
// Basic Minimax with boolean outcomes
bool MinimaxBooleanAND(GameState state)
    if (state.IsTerminal())
        return state.StaticallyEvaluate()
    foreach successor s of state
        if (NOT MinimaxBooleanOR(s))
            return false
    return true
```

# Minimax Algorithm - Boolean Version (2)

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Solving  
Games with  
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Search

Boolean  
Minimax  
Algorithm

- Less abstract pseudocode showing execute, undo move
- Python3 code `boolean_minimax.py`

```
// Minimax, boolean outcomes, execute/undo
bool MinimaxBooleanOR(GameState state)
    if (state.IsTerminal())
        return state.StaticallyEvaluate()
    foreach legal move m from state
        state.Execute(m)
        bool isWin = MinimaxBooleanAND(state)
        state.Undo()
        if (isWin)
            return true
    return false
```



# Boolean Minimax Algorithm - AND Node

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Solving  
Games with  
Minimax  
Search

Boolean  
Minimax  
Algorithm

- Less abstract version showing execute, undo move

```
// Minimax, boolean outcomes, execute/undo
bool MinimaxBooleanAND(GameState state)
    if (state.IsTerminal())
        return state.StaticallyEvaluate()
    foreach legal move m from state
        state.Execute(m)
        bool isWin = MinimaxBooleanOR(state)
        state.Undo()
        if (NOT isWin)
            return false
    return true
```

# Negamax Algorithm - Main Idea

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Search

Boolean  
Minimax  
Algorithm

- All evaluation in `StaticallyEvaluate()`, `MinimaxBooleanOR(s)` and `MinimaxBooleanAND(s)` is from a *fixed* player's point of view
- We can also evaluate from the point of view of the *current* player
- $\Rightarrow$  Negamax formulation of minimax search
- Current player changes with each move - negate result of recursive call
- My win is your loss, my loss is your win

# Negamax Algorithm - Boolean Version

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Search

Boolean  
Minimax  
Algorithm

```
// Negamax, boolean outcomes
bool NegamaxBoolean(GameState state)
    if (state.IsTerminal())
        return state.StaticallyEvaluate()
        // CHANGE: evaluate from toPlay's
        // point of view
    foreach legal move m from state
        state.Execute(m)
        bool isWin = NOT NegamaxBoolean(state)
        state.Undo()
        if (isWin)
            return true
    return false
```

# Python Implementation and Solve TicTacToe

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Boolean  
Minimax  
Algorithm

- Boolean negamax solver `boolean_negamax.py`
- Use to solve TicTacToe:  
`boolean_negamax_test_tictactoe.py`
- Main question: how to handle draws?
- Boolean solver only deals with two outcomes
- We can choose whether draws should count for Black or White
  - In TicTacToe code: function `setDrawWinner`
- More on this topic next class

# Boolean Minimax - Discussion

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Search

Boolean  
Minimax  
Algorithm

- Basic recursive algorithm
- Runtime depends on:
  - depth of search
  - width (branching factor)
  - **move ordering** - stops when first winning move found
- Easy modification to compute *all* winning moves
  - Add a top-level loop which does not stop at the first win
- Questions: best-case, worst-case performance?

# Boolean Minimax - Discussion (2)

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Search

Boolean  
Minimax  
Algorithm

- Boolean case is simpler special case of minimax search
- Efficient pruning - stops as soon as win is found
- Important tool used in more advanced algorithms later
- What is the runtime? Depends on *move ordering*
- Simple model: uniform tree, *depth  $d$* , *branching factor  $b$*
- What is best case, worst case?

# Boolean Minimax - Efficiency

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Algorithm

- Best case: about  $b^{d/2}$ , first move causes cutoff at each level
- *Cutoff* = early return from function because we found a move that works
  - Exact calculation for best case - a little later
- Worst case: about  $b^d$ , no move causes cutoff
- Exact number: Visits all nodes in the tree, count as before:

$$1 + b + b^2 + \dots + b^d = (b^{d+1} - 1)/(b - 1)$$

# Proof Tree

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Search

Boolean  
Minimax  
Algorithm

- A winning strategy for a player
- Dual concept: disproof tree - proves that we lose, cannot win
- A subset of a game tree
- Gives us a winning move in each position we may encounter (as long as we follow the strategy...)
- Covers all possible opponent replies at each point when it's their turn



# Definition of Proof Tree

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Algorithm

- Subtree  $P$  of game tree  $G$  is a proof tree iff:
- $P$  contains the root of  $G$
- All leaf nodes of  $P$  are wins
- If interior AND node is in  $P$ , then:  
*all its children are in  $P$*
- If interior OR node is on  $P$ , then:  
*at least one child is in  $P$*

# Comments on Proof Tree

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Algorithm

- Exactly the same definitions work on DAG, even on arbitrary graph
- Another name for proof tree: solution tree
- Efficiency: want to find a *minimal* or at least a small proof tree

# Size of Proof Tree

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Minimax  
Algorithm

- Scenario: uniform  $(b, d)$  tree, OR node at root, we win
- How many nodes at each level?
- Level 0: 1 node (root)
- Level 1:  $\geq 1$  nodes (at least one child...), best case 1
- Level 2:  $\geq b$  nodes (all children of level 1 nodes), best case  $b$
- General pattern for best case:  $1, 1, b, b, b^2, b^2, b^3, b^3, \dots$
- Activities: Find formulas for size of proof trees in the best case

# Best Case For Boolean Minimax Search

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Minimax  
Algorithm

- Search is most efficient if it looks only at the proof tree
- This means, at OR nodes we only look at a winning move
  - We never look at a non-winning move first
- In practice, that's usually impossible - too hard.
- Good *move ordering* is crucial for efficient search
  - Compare with heuristic in treasure hunt example, Lecture 7
- We can use good *move ordering heuristics*, or techniques based on successively deeper searches
- More later

# Summary of Solving Games

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Solving  
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Minimax  
Search

Boolean  
Minimax  
Algorithm

- Concepts: winning strategy, AND/OR trees
- Solving OR nodes, AND nodes
- Boolean Minimax and Negamax
- Efficient pruning of tree - stop at first winning move
- Good move ordering finds that first move faster