



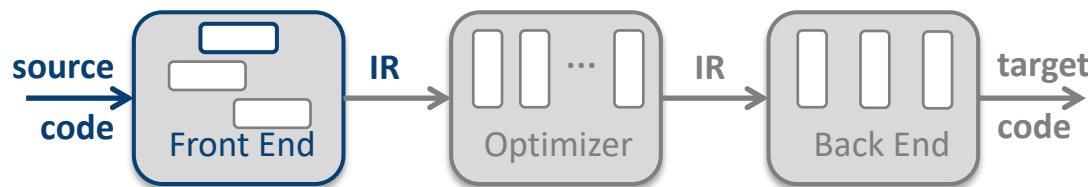
RICE

COMP 412
FALL 2018

Syntax Analysis, VII

The Canonical LR(1) Table Construction

Comp 412



Copyright 2018, Keith D. Cooper & Linda Torczon, all rights reserved.

Students enrolled in Comp 412 at Rice University have explicit permission to make copies of these materials for their personal use.

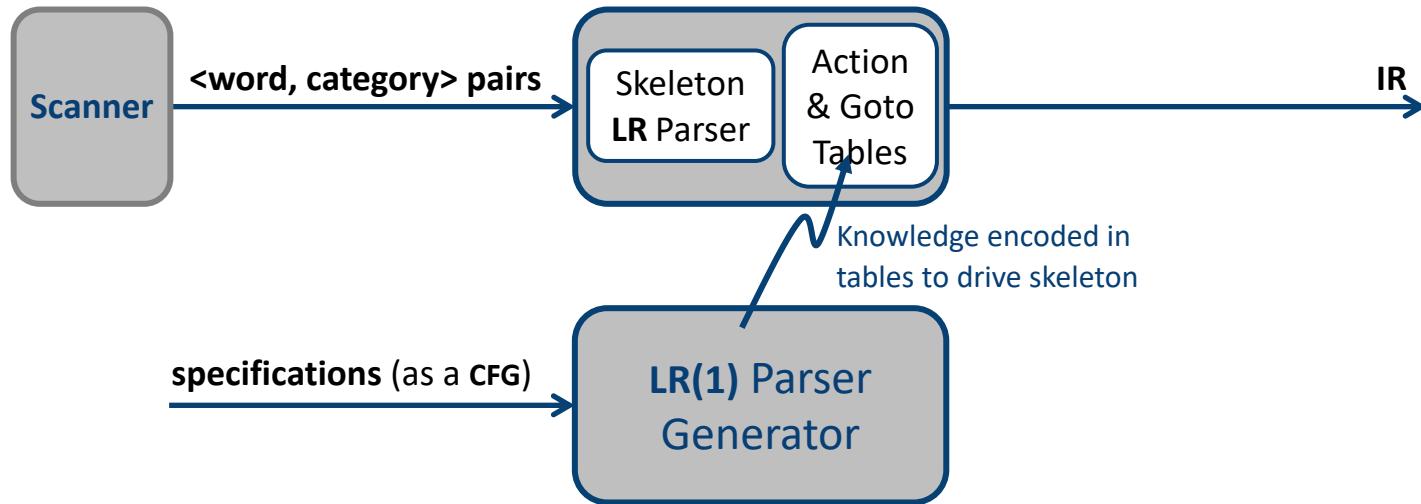
Faculty from other educational institutions may use these materials for nonprofit educational purposes, provided this copyright notice is preserved.

Chapter 3 in Eac2e



Table-Driven LR Parsers

A table-driven LR(1) parser is a bottom-up shift-reduce parser

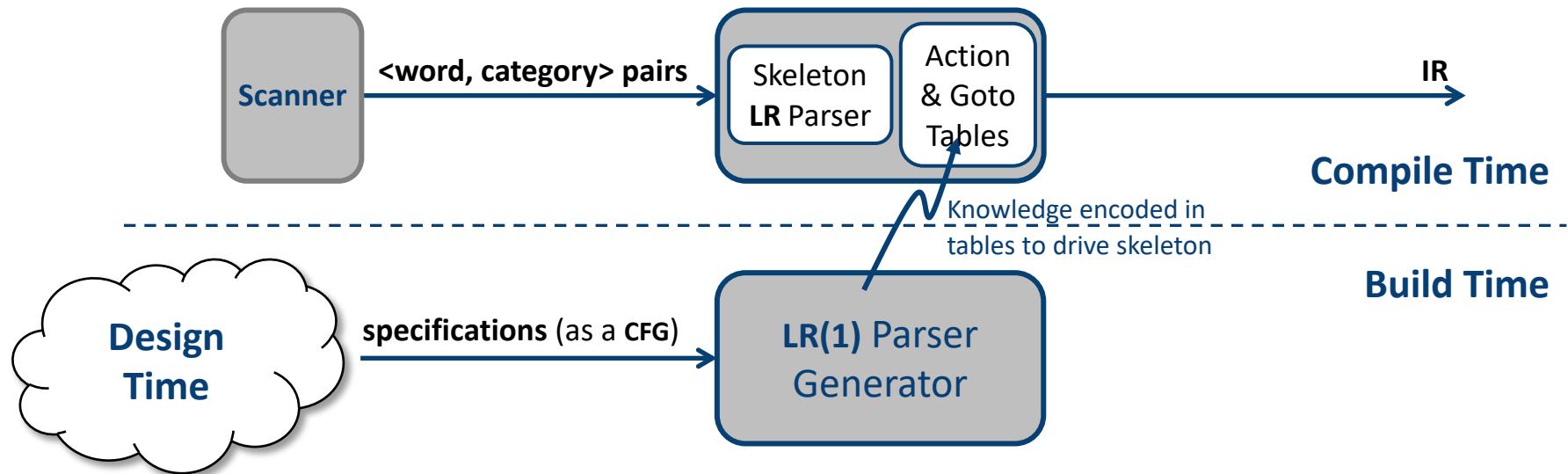


- Grammatical knowledge is encoded in two tables: *Action & Goto*
 - They encode the handle-finding automaton
 - They are constructed by an LR(1) parser generator
- Why two tables?
 - Reduce* needs more information & more complex information than *shift*
 - Goto* holds that extra information

Table-Driven LR Parsers



A table-driven LR(1) parser is a bottom-up shift-reduce parser



Back to the Meta Issue

- The compiler writer creates a grammar at **design time**
- The parser generator builds **ACTION** and **GOTO** tables at **build time**
- The compiler uses those tables to parse at **compile time**



Building LR(1) Tables

How do we generate the ACTION and GOTO tables?

- Use the grammar to build a model of the Control DFA
- Encode actions & transitions in ACTION & GOTO tables
- If construction succeeds, the grammar is **LR(1)**
 - “Succeeds” means defines each table entry uniquely

An operational definition

The Big Picture

- Model the state of the parser with **LR(1)** items
- Use two functions $goto(s, X)$ and $closure(s)$
 - $goto()$ is analogous to $move()$ in the subset construction
 - Given a partial state, $closure()$ adds all the items implied by the partial state
- Build up the states and transition functions of the DFA
- Use this information to fill in the ACTION and GOTO tables

grammar symbol, T or NT

fixed-point algorithm,
similar to the subset construction



LR(1) Table Construction

To understand the algorithms, we need to understand the data structure that they use: LR(1) items

An LR(1) item is a pair $[P, \delta]$, where

P is a production $A \rightarrow \beta$ with a • at some position in the RHS

δ is a single symbol lookahead

We call • the
“placeholder”

(symbol \cong word or EOF)

The LR(1) table construction algorithm models a configuration of the parser, or a state of the parser, as a set of LR(1) items

- Each item represents a specific production by which the parser might reduce in the future
- Each item's placeholder represents the progress toward that reduction

The construction ties builds up a set of configurations that model all the possible behaviors implied by the grammar

LR(1) Items

The *intermediate representation* of
the LR(1) table construction algorithm



An LR(1) item is a pair $[P, \delta]$, where

P is a production $A \rightarrow \beta$ with a \bullet at some position in the RHS

δ is a single symbol lookahead $(symbol \cong word \text{ or } EOF)$

The \bullet in an item indicates the position of the top of the stack

$[A \rightarrow \bullet \beta \gamma, a]$ means that the input seen so far is consistent with the use of $A \rightarrow \beta \gamma$ immediately after the symbol on top of the stack.
We call an item like this a possibility.

$[A \rightarrow \beta \bullet \gamma, a]$ means that the input seen so far is consistent with the use of $A \rightarrow \beta \gamma$ at this point in the parse, *and* that the parser has already recognized β (that is, β is on top of the stack).
We call an item like this a partially complete item.

$[A \rightarrow \beta \gamma \bullet, a]$ means that the parser has seen $\beta \gamma$, *and* that a lookahead symbol of a is consistent with reducing to A .
This item is complete.



LR(1) Items

The production $A \rightarrow \beta$, where $\beta = B_1 B_2 B_3$ with lookahead \underline{a} , can give rise to 4 items

$[A \rightarrow \bullet B_1 B_2 B_3, \underline{a}]$, $[A \rightarrow B_1 \bullet B_2 B_3, \underline{a}]$, $[A \rightarrow B_1 B_2 \bullet B_3, \underline{a}]$, & $[A \rightarrow B_1 B_2 B_3 \bullet, \underline{a}]$

The set of LR(1) items for a grammar is **finite**.

What's the point of all these lookahead symbols?

- Carry them along to help choose the correct reduction
- Lookaheads are bookkeeping, unless item has \bullet at right end
 - Has no direct use in $[A \rightarrow \beta \bullet \gamma, \underline{a}]$
 - In $[A \rightarrow \beta \bullet, \underline{a}]$, a lookahead of \underline{a} implies a reduction by $A \rightarrow \beta$
 - For $\{ [A \rightarrow \beta \bullet, \underline{a}], [B \rightarrow \gamma \bullet \delta, \underline{b}] \}$, $\underline{a} \Rightarrow$ reduce to A ; $\text{FIRST}(\delta) \Rightarrow$ shift

\Rightarrow Limited right context is enough to pick the actions

$\underline{a} \in \text{FIRST}(\delta) \Rightarrow$ a conflict, not LR(1)



LR(1) Items

The set of LR(1) items for a grammar is finite

Consider the *SheepNoise* grammar

| | | | |
|---|-------------------|---------------|------------------------------|
| 0 | <i>Goal</i> | \rightarrow | <i>SheepNoise</i> |
| 1 | <i>SheepNoise</i> | \rightarrow | <i>SheepNoise</i> <u>baa</u> |
| 2 | | | <u>baa</u> |

It gives rise to 7 LR(1) items

| | Prod |
|---|------|
| $[Goal \rightarrow \bullet SheepNoise]$ | 0 |
| $[Goal \rightarrow SheepNoise \bullet]$ | |
| $[Goal \rightarrow \bullet SheepNoise \underline{baa}]$ | 1 |
| $[Goal \rightarrow SheepNoise \bullet \underline{baa}]$ | |
| $[Goal \rightarrow SheepNoise \underline{baa} \bullet]$ | |
| $[Goal \rightarrow \bullet \underline{baa}]$ | 2 |
| $[Goal \rightarrow \underline{baa} \bullet]$ | |

7 is finite. Why does this matter?

A configuration of the LR(1) parser is represented as a set of LR(1) items—the collection of productions by which the parser might reduce in the future, given the context seen so far, with the placeholder showing the progress in recognizing each production. The number of such states is, again, finite.

LR(1) Items: Why should you know this stuff?



That period is
the •

Debugging a grammar

- When you build an **LR(1)** parser, it is possible (likely) that the initial grammar is not **LR(1)**
- The tools will provide you with debugging output
- To the right is a sample of **bison's** output for the **if-then-else** grammar

```
goal      : stmt_list
stmt_list : stmt_list stmt
           | stmt
stmt     : IF EXPR THEN stmt
         | IF EXPR THEN stmt .
           | ELSE stmt
         | OTHER
```

state 10

4 stmt : IF EXPR THEN stmt .
5 | IF EXPR THEN stmt . ELSE stmt

ELSE shift, and go to state 11

ELSE [reduce using rule 4 (stmt)]
\$default reduce using rule 4 (stmt)

The state is described by its **LR(1)** items



LR(1) Table Construction

High-level overview

1 Build the Canonical Collection of Sets of LR(1) Items, I

- Begin in an appropriate state, s_0
 - [$S' \rightarrow \bullet S, EOF$], along with any equivalent items
 - Derive equivalent items as $\text{closure}(s_0)$
- Repeatedly compute, for each s_k , and each X , $\text{goto}(s_k, X)$
 - If the set is not already in the collection, add it
 - Record all the transitions created by $\text{goto}()$

This eventually reaches a fixed point

S is the start symbol. If needed, we add $S' \rightarrow S$ to create a unique goal production.

$\text{goto}(s_i, X)$ contains the set of LR(1) items that represent possible parser configurations if the parser recognizes an X while in state s_i .

2 Fill in the table from the Canonical Collection of Sets of LR(1) items

The sets in the canonical collection form the states of the Control DFA.

The construction traces the DFA's transitions



LR(1) Table Construction

High-level overview

1 Build the Canonical Collection of Sets of LR(1) Items, I

- a Begin in an appropriate state, s_0
 - ◆ $[S' \rightarrow \bullet S, \text{EOF}]$, along with any equivalent items
 - ◆ Derive equivalent items as $\text{closure}(s_0)$
- b Repeatedly compute, for each s_k , and each X , $\text{goto}(s_k, X)$
 - ◆ If the set is not already in the collection, add it
 - ◆ Record all the transitions created by $\text{goto}()$

This eventually reaches a fixed point

2 Fill in the table from the Canonical Collection of Sets of LR(1) items

Let's build the tables for the left-recursive *SheepNoise* grammar (S' is *Goal*)

| | | | |
|---|-------------------|---------------|-----------------------|
| 0 | <i>Goal</i> | \rightarrow | <i>SheepNoise</i> |
| 1 | <i>SheepNoise</i> | \rightarrow | <i>SheepNoise baa</i> |
| 2 | | | <u><i>baa</i></u> |



Computing Closures

Closure(s) adds all the *possibilities* for the items already in s

- Any item $[A \rightarrow \beta \bullet B\delta, \underline{a}]$ where $B \in NT$ implies $[B \rightarrow \bullet \tau, x]$ for each production that has B on the *lhs*, and each $x \in \text{FIRST}(\delta\underline{a})$
- Since $\beta B\delta$ is valid, any way to derive $\beta B\delta$ is valid, too

The Algorithm

Closure(s)

```
while (  $s$  is still changing )
     $\forall$  items  $[A \rightarrow \beta \bullet B\delta, \underline{a}] \in s$ 
        lookahead  $\leftarrow \text{FIRST}(\delta\underline{a})$  //  $\delta$  might be  $\varepsilon$ 
         $\forall$  productions  $B \rightarrow \tau \in P$ 
             $\forall \underline{b} \in \text{lookahead}$ 
                if  $[B \rightarrow \bullet \tau, \underline{b}] \notin s$ 
                    then  $s \leftarrow s \cup \{ [B \rightarrow \bullet \tau, \underline{b}] \}$ 
```

- Classic fixed-point method
- Halts because $s \subset I$, the set of all items (*finite*)
- Worklist version is faster
- **Closure** “fills out” a state s

Generate new lookahead.
See note on p. 128

Computing Closures

128 CHAPTER 3 Parsers

Generating Closures is the place where a human is most likely to make a mistake

- With everything going on in the construction, it is easy to lose track of δa and the fact that it refers to the item, not the current production

Closure(s)

```
while (  $s$  is still changing )
     $\forall$  items  $[A \rightarrow \beta \bullet B\delta, a] \in s$ 
         $\forall$  productions  $B \rightarrow \tau \in P$ 
             $\forall b \in \text{FIRST}(\delta a)$  //  $\delta$  might be  $\epsilon$ 
                if  $[B \rightarrow \bullet \tau, b] \notin s$ 
                    then  $s \leftarrow s \cup \{ [B \rightarrow \bullet \tau, b] \}$ 
```

In our experience, this use of FIRST(δa) is the point in the process where a human is most likely to make a mistake.

- The lookahead computation is a great example of why these table constructions should be done by computers, not human beings

```
closure( $s$ )
    while ( $s$  is still changing)
        for each item  $[A \rightarrow \beta \bullet B\delta, a] \in s$ 
            for each production  $B \rightarrow \tau \in P$ 
                for each symbol  $b \in \text{FIRST}(\delta a)$ 
                     $s \leftarrow s \cup \{ [B \rightarrow \bullet \tau, b] \}$ 
    return  $s$ 
```

■ FIGURE 3.20 The closure Procedure.

production's right-hand side ($C\delta$) and final symbol.

To build the items for a production $C \rightarrow \gamma$ before γ and adds the appropriate lookahead can appear as the initial symbol in δa . FIRST(δ). If $\epsilon \in \text{FIRST}(\delta)$, it also includes algorithm represents this extension of the δ is ϵ , this devolves into $\text{FIRST}(a) = \{ a \}$.

This is the left-recursive SheepNoise; EaC2e shows the right-recursive version.



Example From SheepNoise

Initial step builds the item [$Goal \rightarrow \bullet SheepNoise, EOF$] and takes its $Closure()$

$Closure([Goal \rightarrow \bullet SheepNoise, EOF])$

| Item | Source |
|--|---|
| $[Goal \rightarrow \bullet SheepNoise, EOF]$ | Original item |
| $[SheepNoise \rightarrow \bullet SheepNoise baa, EOF]$ | ITER 1, PR 1, δ_a is <u>EOF</u> |
| $[SheepNoise \rightarrow \bullet baa, EOF]$ | ITER 1, PR 2, δ_a is <u>EOF</u> |
| $[SheepNoise \rightarrow \bullet SheepNoise baa, baa]$ | ITER 2, PR 1, δ_a is <u>baa</u> <u>EOF</u> |
| $[SheepNoise \rightarrow \bullet baa, baa]$ | ITER 2, PR 2, δ_a is <u>baa</u> <u>EOF</u> |

| Symbol | FIRST |
|-------------------|----------------|
| <i>Goal</i> | { <u>baa</u> } |
| <i>SheepNoise</i> | { <u>baa</u> } |
| <u>baa</u> | { <u>baa</u> } |
| <u>EOF</u> | { <u>EOF</u> } |

So, S_0 is

{ $[Goal \rightarrow \bullet SheepNoise, EOF]$, $[SheepNoise \rightarrow \bullet SheepNoise baa, EOF]$,
 $[SheepNoise \rightarrow \bullet baa, EOF]$, $[SheepNoise \rightarrow \bullet SheepNoise baa, baa]$,
 $[SheepNoise \rightarrow \bullet baa, baa]$ }

| | | | | |
|---|-------------------|---------------|-------------------|------------|
| 0 | <i>Goal</i> | \rightarrow | <i>SheepNoise</i> | |
| 1 | <i>SheepNoise</i> | \rightarrow | <i>SheepNoise</i> | <u>baa</u> |
| 2 | | | <u>baa</u> | 13 |



Computing Gotos

Goto(s,x) computes the state that the parser would reach if it recognized an x while in state s

- $\text{Goto}(\{[A \rightarrow \beta \bullet X\delta, a]\}, X)$ produces $\{[A \rightarrow \beta X \bullet \delta, a]\}$ *(obviously)*
- It finds all such items & uses *Closure()* to fill out the state

The Algorithm

```
Goto( s, X )
    new  $\leftarrow \emptyset$ 
     $\forall$  items  $[A \rightarrow \beta \bullet X\delta, a] \in s$ 
        new  $\leftarrow$  new  $\cup \{[A \rightarrow \beta X \bullet \delta, a]\}$ 
    return Closure( new )
```

- $\text{Goto}()$ models a transition in the automaton
- Straightforward computation
- $\text{Goto}()$ is not a fixed-point method (but it calls *Closure()*)

Goto in this construction is analogous to **Move** in the subset construction.



Example from SheepNoise

Assume that S_0 is

{ [Goal → • SheepNoise, EOF], [SheepNoise → • SheepNoise baa, EOF],
[SheepNoise → • baa, EOF], [SheepNoise → • SheepNoise baa, baa],
[SheepNoise → • baa, baa] }

From earlier slide

Goto(S_0 , baa)

- Loop produces

| Item | Source |
|---------------------------|-----------------|
| [SheepNoise → baa •, EOF] | Item 3 in s_0 |
| [SheepNoise → baa •, baa] | Item 5 in s_0 |

- **Closure** adds nothing since • is at end of rhs in each item

In the construction, this produces s_2

{ [SheepNoise → baa •, {EOF, baa}] }

New, but *obvious*, notation for two distinct items

[SheepNoise → baa •, EOF] & [SheepNoise → baa •, baa]

| | | | |
|---|------------|---|----------------|
| 0 | Goal | → | SheepNoise |
| 1 | SheepNoise | → | SheepNoise baa |
| 2 | | | baa |

Building the Canonical Collection



Start from $s_0 = \text{Closure}([S' \rightarrow \bullet S, \underline{\text{EOF}}])$

Repeatedly construct new states, until all are found

The Algorithm

```
 $s_0 \leftarrow \text{Closure}(\{[S' \rightarrow \bullet S, \underline{\text{EOF}}]\})$ 
 $S \leftarrow \{s_0\}$ 
 $k \leftarrow 1$ 
while ( $S$  is still changing)
   $\forall s_j \in S \text{ and } \forall x \in (T \cup NT)$ 
     $s_k \leftarrow \text{Goto}(s_j, x)$ 
    record  $s_j \rightarrow s_k$  on  $x$ 
    if  $s_k \notin S$  then
       $S \leftarrow S \cup \{s_k\}$ 
       $k \leftarrow k + 1$ 
```

- Fixed-point computation
- Loop adds to S (*monotone*)
- $S \subseteq 2^{\text{ITEMS}}$, so S is finite
- *Worklist version is faster because it avoids duplicated effort*

This membership / equality test requires careful and/or clever implementation.



Example from SheepNoise

Starts with S_0

$S_0 : \{ [Goal \rightarrow \bullet \ SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \bullet \ SheepNoise \ baa, \underline{EOF}],$
 $[SheepNoise \rightarrow \bullet \ baa, \underline{EOF}], [SheepNoise \rightarrow \bullet \ SheepNoise \ baa, \underline{baa}],$
 $[SheepNoise \rightarrow \bullet \ baa, \underline{baa}] \}$

Iteration 1 computes

$S_1 = \text{Goto}(S_0, \text{SheepNoise}) =$
 $\{ [Goal \rightarrow \text{SheepNoise } \bullet, \underline{EOF}], [\text{SheepNoise} \rightarrow \text{SheepNoise } \bullet \ baa, \underline{EOF}],$
 $[\text{SheepNoise} \rightarrow \text{SheepNoise } \bullet \ baa, \underline{baa}] \}$

$S_2 = \text{Goto}(S_0, \underline{baa}) = \{ [\text{SheepNoise} \rightarrow \underline{baa} \bullet, \underline{EOF}],$
 $[\text{SheepNoise} \rightarrow \underline{baa} \bullet, \underline{baa}] \}$

Nothing more to compute,
since \bullet is at the end of every
item in S_3 .

Iteration 2 computes

$S_3 = \text{Goto}(S_1, \underline{baa}) = \{ [\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{baa} \bullet, \underline{EOF}],$
 $[\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{baa} \bullet, \underline{baa}] \}$

| | | | |
|---|---------------------|---------------|--------------------------------------|
| 0 | $Goal$ | \rightarrow | SheepNoise |
| 1 | SheepNoise | \rightarrow | $\text{SheepNoise } \underline{baa}$ |
| 2 | | | \underline{baa} |



Example from SheepNoise

$S_0 : \{ [Goal \rightarrow \bullet SheepNoise, EOF], [SheepNoise \rightarrow \bullet SheepNoise baa, EOF],$
 $[SheepNoise \rightarrow \bullet baa, EOF], [SheepNoise \rightarrow \bullet SheepNoise baa, baa],$
 $[SheepNoise \rightarrow \bullet baa, baa] \}$

$S_1 = Goto(S_0, SheepNoise) =$
 $\{ [Goal \rightarrow SheepNoise \bullet, EOF], [SheepNoise \rightarrow SheepNoise \bullet baa, EOF],$
 $[SheepNoise \rightarrow SheepNoise \bullet baa, baa] \}$

$S_2 = Goto(S_0, baa) = \{ [SheepNoise \rightarrow baa \bullet, EOF], [SheepNoise \rightarrow baa \bullet, baa] \}$

$S_3 = Goto(S_1, baa) = \{ [SheepNoise \rightarrow SheepNoise baa \bullet, EOF],$
 $[SheepNoise \rightarrow SheepNoise baa \bullet, baa] \}$

| | | | |
|---|-------------------|---------------|-----------------------|
| 0 | <i>Goal</i> | \rightarrow | <i>SheepNoise</i> |
| 1 | <i>SheepNoise</i> | \rightarrow | <i>SheepNoise baa</i> |
| 2 | | | <u>baa</u> |

| State | <i>SN</i> | <u>baa</u> |
|----------------------|----------------------|----------------------|
| <i>S₀</i> | <i>S₁</i> | <i>S₂</i> |
| <i>S₁</i> | — | <i>S₃</i> |
| <i>S₂</i> | — | — |
| <i>S₃</i> | — | — |

Goto Relationships



Filling in the ACTION and GOTO Tables

The Table Construction Algorithm

x is the state number

```
forall set  $S_x \in S$ 
  forall item  $i \in S_x$ 
    if  $i$  is  $[A \rightarrow \beta \bullet \underline{a}\delta, b]$  and  $\text{goto}(S_x, a) = S_k$ ,  $a \in T$ 
      then  $\text{ACTION}[x, a] \leftarrow \text{"shift } k"$ 
    else if  $i$  is  $[S' \rightarrow S \bullet, \text{EOF}]$ 
      then  $\text{ACTION}[x, \text{EOF}] \leftarrow \text{"accept"}$ 
    else if  $i$  is  $[A \rightarrow \beta \bullet, a]$ 
      then  $\text{ACTION}[x, a] \leftarrow \text{"reduce } A \rightarrow \beta"$ 
  forall  $n \in NT$ 
    if  $\text{goto}(S_x, n) = S_k$ 
      then  $\text{GOTO}[x, n] \leftarrow k$ 
```

- before $T \Rightarrow \text{shift}$
- have $\text{Goal} \Rightarrow \text{accept}$
- at end $\Rightarrow \text{reduce}$

Many items generate no table entry

- Placeholder before a NT does not generate an **ACTION** table entry
- **Closure()** instantiates $\text{FIRST}(X)$ directly for $[A \rightarrow \beta \bullet X\delta, a]$



Example from SheepNoise

$S_0 : \{ [Goal \rightarrow \bullet \text{SheepNoise}, \underline{\text{EOF}}], [\text{SheepNoise} \rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{EOF}}],$
[SheepNoise \rightarrow $\bullet \underline{\text{baa}}, \underline{\text{EOF}}$], [SheepNoise \rightarrow $\bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{baa}}$],
[SheepNoise \rightarrow $\bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_1 = \text{Goto}(S_0, \text{SheepNoise}) =$

{ [Goal \rightarrow SheepNoise $\bullet, \underline{\text{EOF}}$], [SheepNoise \rightarrow SheepNoise $\bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{EOF}}$],
[SheepNoise \rightarrow SheepNoise $\bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_2 = \text{Goto}(S_0, \underline{\text{baa}})$

$\leftarrow \{ [\text{SheepNoise} \rightarrow \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[SheepNoise $\rightarrow \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }

• before $T \Rightarrow \text{shift } k$

$S_3 = \text{Goto}(S_1, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[SheepNoise $\rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }

so, ACTION[$s_0, \underline{\text{baa}}$] is
“shift S_2 ” (clause 1)
(items define same entry)



Example from SheepNoise

$S_0 : \{ [Goal \rightarrow \bullet \text{SheepNoise}, \underline{\text{EOF}}], [\text{SheepNoise} \rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{EOF}}],$
[SheepNoise $\rightarrow \bullet \underline{\text{baa}}, \underline{\text{EOF}}$], [SheepNoise $\rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{baa}}$],
[SheepNoise $\rightarrow \bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_1 = \text{Goto}(S_0, \text{SheepNoise}) =$

{ [Goal $\rightarrow \text{SheepNoise } \bullet, \underline{\text{EOF}}$], [SheepNoise $\rightarrow \text{SheepNoise } \bullet \text{baa}, \underline{\text{EOF}}$],
[SheepNoise $\rightarrow \text{SheepNoise } \bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_2 = \text{Goto}(S_0, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[SheepNoise $\rightarrow \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }

so, ACTION[S₁, baa] is
“shift S₃” (clause 1)

$S_3 = \text{Goto}(S_1, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[SheepNoise $\rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }



Example from SheepNoise

$S_0 : \{ [Goal \rightarrow \bullet \text{SheepNoise}, \underline{\text{EOF}}], [\text{SheepNoise} \rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{EOF}}],$
[$\text{SheepNoise} \rightarrow \bullet \underline{\text{baa}}, \underline{\text{EOF}}$], [$\text{SheepNoise} \rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{baa}}$],
[$\text{SheepNoise} \rightarrow \bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_1 = \text{Goto}(S_0, \text{SheepNoise}) =$

{ [$\text{Goal} \rightarrow \text{SheepNoise } \bullet, \underline{\text{EOF}}$], [$\text{SheepNoise} \rightarrow \text{SheepNoise } \bullet \text{baa}, \underline{\text{EOF}}$],
[$\text{SheepNoise} \rightarrow \text{SheepNoise } \bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_2 = \text{Goto}(S_0, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[$\text{SheepNoise} \rightarrow \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }

so, ACTION[S_1, EOF] is
“accept” (clause 2)

$S_3 = \text{Goto}(S_1, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[$\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }



Example from SheepNoise

$S_0 : \{ [Goal \rightarrow \bullet \text{SheepNoise}, \underline{\text{EOF}}], [\text{SheepNoise} \rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{EOF}}],$
[$\text{SheepNoise} \rightarrow \bullet \underline{\text{baa}}, \underline{\text{EOF}}$], [$\text{SheepNoise} \rightarrow \bullet \text{SheepNoise } \underline{\text{baa}}, \underline{\text{baa}}$],
[$\text{SheepNoise} \rightarrow \bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_1 = \text{Goto}(S_0, \text{SheepNoise}) =$
{ [Goal $\rightarrow \text{SheepNoise } \bullet, \underline{\text{EOF}}$], [$\text{SheepNoise} \rightarrow \text{SheepNoise } \bullet \text{baa}, \underline{\text{EOF}}$],
[$\text{SheepNoise} \rightarrow \text{SheepNoise } \bullet \underline{\text{baa}}, \underline{\text{baa}}$] }

$S_2 = \text{Goto}(S_0, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[$\text{SheepNoise} \rightarrow \underline{\text{baa}} \bullet, \underline{\text{baa}}$]

so, ACTION[S₂,EOF] is “reduce 2”
(clause 3) (baa, too)

$S_3 = \text{Goto}(S_1, \underline{\text{baa}}) = \{ [\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{EOF}}],$
[$\text{SheepNoise} \rightarrow \text{SheepNoise } \underline{\text{baa}} \bullet, \underline{\text{baa}}$] }

ACTION[S₃,EOF] is “reduce 1”
(clause 3) (baa, too)



Building the Goto Table

$S_0 : \{ [Goal \rightarrow \bullet SheepNoise, EOF], [SheepNoise \rightarrow \bullet SheepNoise baa, EOF], [SheepNoise \rightarrow \bullet baa, EOF], [SheepNoise \rightarrow \bullet SheepNoise baa, baa], [SheepNoise \rightarrow \bullet baa, baa] \}$

$S_1 = Goto(S_0, SheepNoise) =$

$\{ [Goal \rightarrow SheepNoise \bullet, EOF], [SheepNoise \rightarrow SheepNoise \bullet baa, EOF], [SheepNoise \rightarrow SheepNoise \bullet baa, baa] \}$

$S_2 = Goto(S_0, baa) = \{ [SheepNoise \rightarrow baa \bullet, EOF], [SheepNoise \rightarrow baa \bullet, baa] \}$

$S_3 = Goto(S_1, baa) = \{ [SheepNoise \rightarrow SheepNoise baa \bullet, EOF], [SheepNoise \rightarrow SheepNoise baa \bullet, baa] \}$

The Goto table holds just the entries for nonterminal symbols.
(the baa column went into Action)

| State | SN | baa |
|-------|-------|-------|
| S_0 | S_1 | S_2 |
| S_1 | — | S_3 |
| S_2 | — | — |
| S_3 | — | — |

Goto Relationships



ACTION & GOTO Tables

Here are the tables for the left-recursive *SheepNoise* grammar

The tables

| ACTION TABLE | | |
|--------------|-----------------|-----------------|
| State | EOF | <u>baa</u> |
| 0 | — | <i>shift 2</i> |
| 1 | <i>accept</i> | <i>shift 3</i> |
| 2 | <i>reduce 2</i> | <i>reduce 2</i> |
| 3 | <i>reduce 1</i> | <i>reduce 1</i> |

| GOTO TABLE | |
|------------|-------------------|
| State | <i>SheepNoise</i> |
| 0 | 1 |
| 1 | 0 |
| 2 | 0 |
| 3 | 0 |

The grammar

| | | | |
|---|-------------------|---|------------------------------|
| 0 | <i>Goal</i> | → | <i>SheepNoise</i> |
| 1 | <i>SheepNoise</i> | → | <i>SheepNoise</i> <u>baa</u> |
| 2 | | | <u>baa</u> |

Remember, this is the left-recursive SheepNoise; EaC2e shows the right-recursive version.

What can go wrong?

The **if-then-else** grammar is worked as an example in EaC2e



What if a set s contains $[A \rightarrow \beta \bullet a\gamma, b]$ and $[B \rightarrow \beta \bullet, a]$?

- First item generates “shift”, second generates “reduce”
- Both define $\text{ACTION}[s,a]$ — cannot do both actions
- This is a fundamental ambiguity, called a **shift/reduce error or conflict**
- Modify the grammar to eliminate it *(if-then-else)*
- Shifting will often resolve it correctly

What if a set s contains $[A \rightarrow \gamma \bullet, a]$ and $[B \rightarrow \gamma \bullet, a]$?

- Each generates “reduce”, but with a different production
- Both define $\text{ACTION}[s,a]$ — cannot do both reductions
- This is a fundamental ambiguity, called a **reduce/reduce error or conflict**
- Modify the grammar to eliminate it *(PL/I's overloading of (...))*

In either case, the grammar is not LR(1)

Implementing the Construction

Building the Canonical Collection



Start from $s_0 = \text{closure}([S' \rightarrow \bullet S, \text{EOF}])$

Repeatedly construct new states, until

The algorithm

```
 $s_0 \leftarrow \text{closure}([S' \rightarrow \bullet S, \text{EOF}])$ 
 $S \leftarrow \{ s_0 \}$ 
 $k \leftarrow 1$ 

while ( $S$  is still changing)
     $\forall s_j \in S \text{ and } \forall x \in (T \cup NT)$ 
         $s_k \leftarrow \text{goto}(s_j, x)$ 
        record  $s_j \rightarrow s_k$  on  $x$ 
        if  $s_k \notin S$  then
             $S \leftarrow S \cup \{ s_k \}$ 
             $k \leftarrow k + 1$ 
```

Remember this comment about implementing the equality test at the bottom of the algorithm to build the Canonical Collection of Sets of LR(1) Items?

- Only need to compare core items — the rest will follow
- Represent items as a triple (R,P,L)
 - R is the rule or production
 - P is the position of the placeholder
 - L is the lookahead symbol
- Order items, then
 1. Compare set cardinalities
 2. Compare (in order) by R, P, L

This membership / equality test requires careful and/or clever implementation.

STOP