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## Omega-3 fatty acids for the treatment of dementia (Review)

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### [Intervention Review]

## Omega-3 fatty acids for the treatment of dementia

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### **ABSTRACT**

### **Background**

Omega-3 polyunsaturated fatty acids (omega-3 PUFAs) from fish and plant sources are commonly considered as a promising non-medical alternative to improve brain functions and slow down the progression of dementia. This assumption is mostly based on findings of preclinical studies and epidemiological research. Resulting explanatory models aim at the role omega-3 PUFAs play in the development and integrity of the brain's neurons, their protective antioxidative effect on cell membranes and potential neurochemical mechanisms directly related to Alzheimer-specific pathology. Epidemiological research also found evidence of malnutrition in people with dementia. Considering this and the fact that omega-3 PUFA cannot be synthesised by humans, omega-3 PUFAs might be a promising treatment option for dementia.

### **Objectives**

To assess the efficacy and safety of omega-3 polyunsaturated fatty acid (PUFA) supplementation for the treatment of people with dementia.

### Search methods

We searched the Specialized Register of the Cochrane Dementia and Cognitive Improvement Group (ALOIS), MEDLINE, EMBASE, PsycINFO, CINAHL, ClinicalTrials.gov and the World Health Organization (WHO) portal/ICTRP on 10 December 2015. We contacted manufacturers of omega-3 supplements and scanned reference lists of landmark papers and included articles.

### **Selection criteria**

We included randomised controlled trials (RCTs) in which omega-3 PUFA in the form of supplements or enriched diets were administered to people with Alzheimer's disease (AD), vascular dementia (VaD), dementia with Lewy bodies (DLB), Parkinson's disease dementia (PDD) or frontotemporal dementia (FTD).

### **Data collection and analysis**

The primary outcome measures of interest were changes in global and specific cognitive functions, functional performance, dementia severity and adverse effects. Two review authors independently selected studies, extracted data and assessed the quality of trials according to the *Cochrane Handbook for Systematic Reviews of Interventions*. We rated the quality of the evidence using the GRADE approach. We received unpublished data from the trial authors and collected adverse effects information from the published articles. We conducted meta-analyses for available outcome measures at six months.



#### **Main results**

We included three comparable randomised, placebo-controlled trials investigating omega-3 PUFA supplements in 632 participants with mild to moderate AD over six, 12 and 18 months. We found no studies investigating other types of dementia. All trials were of high methodological quality. The overall quality of evidence for most of the outcomes was high.

There was no evidence of a benefit from omega-3 PUFAs on cognitive function when measured at six months with the Alzheimer's Disease Assessment Scale - Cognitive subscale (standardised mean difference (SMD) -0.02, 95% confidence interval (CI) -0.19 to 0.15; 566 participants; 3 studies; high quality evidence) or Mini-Mental State Examination (mean difference (MD) 0.18, 95% CI -1.05 to 1.41; 202 participants; 2 studies; high quality evidence) or on activities of daily living (SMD -0.02, 95% CI -0.19 to 0.16; 544 participants; 2 studies; high quality evidence). There was also no effect at six months of treatment on severity of dementia measured with the Clinical Dementia Rating - Sum of Boxes (MD -0.00, 95% CI -0.58 to 0.57; 542 participants; 2 studies; high quality evidence) or on quality of life measured with the Quality of Life Alzheimer's Disease scale (MD -0.10, 95% CI -1.28 to 1.08; 322 participants; 1 study; high quality evidence). There was no difference at six months on mental health measured with the Montgomery-Åsberg Depression Rating Scale (MD -0.10, 95% CI -0.74 to 0.54; 178 participants: 1 study; high quality of evidence) or the Neuropsychiatric Inventory (SMD 0.10, 95% CI -0.07 to 0.27; 543 participants; 2 studies; high quality of evidence). One very small study showed a benefit for omega-3 PUFAs in instrumental activities of daily living after 12 months of treatment (MD -3.50, 95% CI -4.30 to -2.70; 22 participants; moderate quality evidence). The included studies did not measure specific cognitive function. The studies did not report adverse events well. Two studies stated that all adverse events were mild and that they did not differ in overall frequency between omega-3 PUFA and placebo groups. Data from one study showed no difference between groups in frequency of any adverse event (risk ratio (RR) 1.02, 95% CI 0.95 to 1.10; 402 participants; 1 study; moderate quality evidence) or any serious adverse event (RR 1.05, 95% CI 0.78 to 1.41; 402 participants; 1 study; high quality evidence) at 18 mon

### **Authors' conclusions**

We found no convincing evidence for the efficacy of omega-3 PUFA supplements in the treatment of mild to moderate AD. This result was consistent for all outcomes relevant for people with dementia. Adverse effects of omega-3 PUFAs seemed to be low, but based on the evidence synthesised in this review, we cannot make a final statement on tolerability. The effects on other populations remain unclear.

### PLAIN LANGUAGE SUMMARY

### Omega-3 fatty acids for the treatment of dementia

### **Background**

Omega-3 polyunsaturated fatty acids (omega-3 PUFAs) are assumed to have a beneficial effect on the function of the brain. It has been suggested that they might improve or delay decline in memory and ability to carry out everyday tasks in people with dementia. In this review, we investigated randomised controlled trials (clinical studies where people are randomly put into one of two or more treatment groups) comparing omega-3 PUFAs, given in the form of supplements or enriched diets, with placebo (a pretend treatment) in people with the most common types of dementia.

### **Included trials**

We included three trials that investigated 632 people with Alzheimer's disease of mild to moderate severity. We found no trials on other types of dementia. In all trials participants took either placebo or omega-3 PUFA supplements. The quality of the trials was good. The participants were allocated to the groups randomly. The participants and most of the investigators did not know which treatment was given.

### Results

When we combined the results of the trials, we found that taking omega-3 PUFA supplements for six months had no effect on cognition (learning and understanding), everyday functioning, quality of life or mental health. One very small study observed that omega-3 PUFAs improved cognitively complex daily activities, such as shopping, when taken for a longer period of time. However, the quality of the evidence was only moderate, so this should be confirmed in further trials. Omega-3 PUFAs also had no effect on ratings of the overall severity of the illness. The trials did not report side effects very well, but none of the studies reported significant harmful effects on health.

## Conclusion

Altogether, the quality of the evidence was moderate or high for most of the effects that we measured, but we found no evidence for either benefit or harm from omega-3 PUFA supplements in people with mild to moderate Alzheimer's disease. The effects on people with other types of dementia remain unclear.

### SUMMARY OF FINDINGS

### Summary of findings for the main comparison. Omega-3 PUFA supplements compared to placebo for people with mild to moderate Alzheimer's disease

Omega-3 PUFA supplements compared to placebo for people with mild to moderate Alzheimer's disease

Patient or population: people with mild to moderate Alzheimer's disease

**Setting:** any setting

Intervention: omega-3 PUFA supplements

Comparison: placebo

Outcomes	Anticipated absolute effects*	(95% CI)	Relative ef-	No of partici- pants	Quality of the evidence	Comments
	Risk with placebo for mild to moderate Alzheimer's disease	Risk with omega-3 PUFAs for mild to moderate Alzheimer's disease	(95% CI)	(studies)	(GRADE)	
Any adverse event (com-	Study population		RR 1.02 - (0.95 to 1.10)	402 (1 RCT)	⊕⊕⊕⊝ Moderate <sup>1</sup>	-
bined: diar- rhoea, uri- nary tract	878 per 1000	896 per 1000 (834 to 966)	(0.33 to 1.10)	(1101)	Moderate	
infection, falls, dizzi-	Moderate					
ness, agitations) Assessed with: unclear Follow-up: mean 18 months	878 per 1000	896 per 1000 (834 to 966)				
Serious ad- verse events	Study population		RR 1.05 - (0.78 to 1.41)	402 (1 RCT)	⊕⊕⊕⊕ High	-
"Defined as events that result in death, hospitalization, prolongation of hospitalization, or are life	305 per 1000	320 per 1000 (238 to 430)	(	,,	0	

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threaten- ing (based on the judg- ment of the study physi- cian)" (Quinn 2010)						
QoL  Assessed with: QoL- AD scale rat- ed by partic- ipant Scale from 13 to 52 (higher = better) Follow-up: mean 18 months	The mean QoL was 40.02 scale points	The mean difference in QoL in the intervention group was 0.39 scale points fewer (1.79 fewer to 1.01 more)	-	269 (1 RCT)	⊕⊕⊕⊝ Moderate <sup>2</sup>	-
QoL Assessed with: QoL- AD scale rat- ed by partic- ipant Scale from 13 to 52 (higher = better) Follow-up: mean 6 months	The mean QoL was 39.86 scale points	The mean difference in QoL in the intervention group was 0.1 scale points fewer (1.28 fewer to 1.08 more)	-	332 (1 RCT)	⊕⊕⊕⊕ High	-
Mental health (depression) Assessed with: MADRS Scale from 0 to 30 (lower = better)	The mean depression (MADRS) score was 1.6 scale points	The mean difference in depression (MADRS) score in the intervention group was 0.1 scale points fewer (0.74 fewer to 0.54 more)	-	178 (1 RCT)	⊕⊕⊕⊕ HIGH	-

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Follow-up: mean 6 months						
Mental health	The mean difference in mental standard deviations more (0.07	health (NPI) score in the intervention group was 0.1 fewer to 0.27 more) <sup>7</sup>		543 (2 RCTs)	⊕⊕⊕ High	-
Assessed with: NPI						
Follow-up: mean 6 months						
Global cog- nitive func- tion Assessed with: ADAS- Cog (dif- ferent ver- sions) Follow-up: mean 6 months	The mean difference in global c was 0.02 standard deviations fe	ognitive function (ADAS-Cog) in the intervention group wer (0.19 fewer to 0.15 more) 4	-	566 (3 RCTs)	<del>ФФФ</del> Ф High	-
Global cog- nitive func- tion assessed with: MMSE scale Scale from 0 to 30 (higher = better) Follow-up: mean 6 months	The mean global cognitive function ranged from 20.4 to 22.4 scale points	The mean difference in global cognitive function (MMSE) in the intervention group was 0.18 scale points more (1.05 fewer to 1.41 more)	-	202 (2 RCTs)	⊕⊕⊕⊕ High	-
IADL Assessed with: OARS- IADL Scale from 0 to 14 (low- er = better)	The mean change in score for IADL was 4.2 scale points	The mean difference in the change in score for IADL in the intervention group was 3.5 scale points lower (4.3 lower to 2.7 lower)	-	22 (1 RCT)	⊕⊕⊕⊝ Moderate <sup>3</sup>	-

Follow-up: mean 12 months						
ADL Assessed with: DAD and AD- CS-ADL Follow-up: mean 6 months	The mean difference in ADL in t fewer (0.19 fewer to 0.16 more) <sup>1</sup>	he intervention group was 0.02 standard deviations	-	544 (2 RCTs)	⊕⊕⊕⊕ High	_
Overall dementia severity (cognition and func- tion com- bined) Assessed with: CDR- SOB Scale from 0 to 18 (low- er = better) Follow-up: mean 6 months	The mean overall dementia severity (CDR-SOB score) ranged from 6.5 to 6.75 scale points	The mean difference in overall dementia severity (CDR-SOB score) in the intervention group was 0 scale points (0.58 fewer to 0.57 more)	-	542 (2 RCTs)	⊕⊕⊕⊕ High	-
Memory - not mea- sured	See comment	See comment	Not estimable	-	-	Outcome was not measured

\*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

ADAS-Cog: Alzheimer's Disease Assessment Scale - Cognitive subscale; ADCS-ADL: Alzheimer's Disease Cooperative Study - Activities of Daily Living; ADL: activities of daily living; CDR-SOB: Clinical Dementia Rating - Sum of Boxes; CI: confidence interval; DAD: Disability Assessment for Dementia; IADL: instrumental activities of daily living; NPI: Neuropsychiatric Inventory; MADRS: Montgomery-Åsberg Depression Rating Scale; MMSE: Mini-Mental State Examination; OARS-IADL: Older Americans Resources and Services - Instrumental Activities of Daily Living; OR: odds ratio; PUFA: polyunsaturated fatty acid; QoL: quality of life; QoL-AD: Quality of Life Alzheimer's Disease; RCT: randomised controlled trial; RR: risk ratio; SMD: standardised mean difference.

### **GRADE Working Group grades of evidence**

**High quality:** We are very confident that the true effect lies close to that of the estimate of the effect

Moderate quality: We are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low quality: Our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect Very low quality: We have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect

- <sup>1</sup> Downgraded one level due to serious risk of bias: combined outcome (i.e. diarrhoea, falls, agitation) that includes outcomes of unclear measurement methods (i.e. dizziness).
- <sup>2</sup> Downgraded one level due to serious risk of bias: follow-up differed between groups: 63.0% (omega-3 PUFAs) and 72.6% (placebo).
- <sup>3</sup> Downgraded one level due to serious imprecision: wide CI; only 22 participants overall.
- <sup>4</sup> SMD presented in place of absolute values in the intervention and comparison groups as studies used the different scale versions.
- <sup>5</sup> SMD presented in place of absolute values in the interventions and comparison groups as studies used different scales to measure the same construct.



### BACKGROUND

### **Description of the condition**

The number of people living with dementia is increasing due to the ageing world population (United Nations 2013), with higher age being the major risk factor for the disease. In 2012, 35 million people were estimated to be affected worldwide. This number will double by 2030 resulting in high costs and considerable burden to individuals and societies (WHO 2012).

The term 'dementia' refers to a group of diseases that share a syndrome of typically chronic and progressive nature. The dementia syndrome involves disturbances of multiple higher cortical functions, such as memory, thinking, orientation, perception and behaviour, which are severe enough to affect the ability to perform everyday activities. Cognitive decline is often accompanied by deterioration in emotional control, social behaviour or motivation. The most common forms of dementia are Alzheimer's disease (AD) (60% to 70% of cases), vascular dementia (VaD), dementia with Lewy bodies (DLB), dementia in Parkinson's disease (PDD) and frontotemporal dementia (FTD).

The early stages of the disease are typically characterised by forgetfulness, communication problems and difficulties in carrying out complex activities. In the middle stage, the symptoms become more obvious and people gradually lose the ability to care for themselves without considerable support. In the late or severe stages of dementia, people are dependent on others for all care, and psychiatric and behavioural symptoms are increasingly common (WHO 2012).

Medical treatments for dementia are limited. Licensed medications are available only for dementia due to AD and PDD and these have only modest benefits for symptoms. Many people are interested in non-medical options to slow down cognitive decline. These include lifestyle modifications and the reduction of modifiable risk factors (WHO 2012). Data from Larson 2013 indicate that the incidence of dementia may be falling, which supports the theory that individual risk might be modifiable. Currently, regular physical exercise, sleep hygiene, mental training and a healthy diet are often recommended to maintain a good physical and cognitive condition (Barnard 2014). Furthermore, there is a growing body of research indicating that malnutrition, which is strongly associated with cognitive decline, is a common problem of people with dementia (Reuther 2013; Roque 2013; Vellas 2005). Dietary recommendations for people with AD aim at a healthy balanced diet containing vegetables, legumes, fruits and whole grains (Barnard 2014). It is hoped that nutritional interventions might be a reasonable approach to delay the progression of the disease.

### **Description of the intervention**

Omega-3 long-chain polyunsaturated fatty acids (omega-3 PUFAs) play a major role in human organs and their function. They are involved in inflammatory and immunological processes and hormonal regulation. Furthermore, they are a component of neuronal membranes and involved in the development and function of the brain (Su 2010).

The human body cannot synthesise omega-3 PUFAs. Therefore, they are classified as essential fatty acids. The most common omega-3 PUFAs are eicosapentaenoic acid (EPA, 20:5n-3), docosahexaenoic acid (DHA, 22:6n-3) and alpha-linolenic acid (ALA,

18:3n-3). Chemically, fatty acids chains consist of carbon atoms with a carboxylic end ('alpha') and methyl end ('omega'). The first number of the chemical name refers to the number of carbons in the carbon chain. It is followed by the number of double bonds and their position counting from the omega end of the chain (i.e. 'n-3' refers to the C=C double bond at position three).

Natural sources of EPA and DHA are algae, oily fish (e.g. salmon, mackerel, herring or sardines) and fish oils. In plants, the most common ALA is found in vegetable oils (e.g. canola, flax seed oil, soybean oil) and nuts (e.g. walnuts). Humans cannot synthesise ALA, but it can be partially metabolised into EPA and DHA (FAO 2010). Nutritional supplements containing oils rich in omega-3 PUFAs are also available. There is broad scientific consensus about the importance of food sources rich in omega-3 PUFAs to maintain healthy body function. However, the evidence on the supportive role of additional supplements is still insufficient (Campbell 2013; EFSA 2010; Hooper 2004). This applies in particular to the prevention of dementia (Sydenham 2012).

The human body has a limited storage capacity of PUFAs in adipose tissue, which implies their regular consumption (Arterburn 2006). Most guidelines recommend a daily intake of 250 to 1000 mg of EPA plus DHA to meet the requirements of a healthy diet in adults. An adequate intake of ALA is generally expressed as 'percentage of total dietary energy (E%)' (EFSA 2010) and usually defined to be 0.5E% to 1.0E% (Aranceta 2012; EFSA 2010). However dietary reference values and guideline recommendations vary across the world (Aranceta 2012; EFSA 2012). The optimal amounts for the prevention and treatment of chronic diseases are not well established (Micha 2014). Experts state that the recommended amounts of omega-3 PUFAs can be consumed as part of a balanced diet with a regular intake of fish (EFSA 2010). For example, an intake of 500 mg of EPA plus DHA can be achieved by consuming two portions (90 g) of oily fish per week (FAO 2010). Nevertheless, omega-3 PUFA supplements are among the most consumed of dietary supplements intended to improve or maintain overall health (Bailey 2013; Dickinson 2014). Even though current data show an overall increase of the consumption of polyunsaturated fats, people in most countries consume less than the recommended amount (EFSA 2012; Micha 2014). Supplements with combined doses of DHA and EPA up to 5 g/day, EPA alone up to 1.8 g/day or DHA up to 1 g/day for adults do not raise safety concerns of the European Food Safety Authority (EFSA 2012).

### How the intervention might work

Omega-3 PUFAs are involved in the structure and function of cell membrane phospholipid fractions in the brain (Cansev 2008), and are assumed to play an important role in cognitive processes. Several hypotheses have been presented to explain how the dietary intake of omega-3 PUFAs might influence the cognitive performance of people with dementia.

First, maintaining adequate levels of omega-3 PUFAs may support the development and integrity of the brain's neurons and enhance synaptic plasticity (Cansev 2008; Su 2010). Research shows a risk of malnutrition in people with dementia (Reuther 2013; Roque 2013; Vellas 2005), which indicates that vulnerable people in particular can benefit from additional administration of omega-3 PUFAs. Findings of decreased fatty acids in plasma within this population might support this idea (Lin 2012; Lopes da Silva 2013).



Second, omega-3 PUFAs have anti-oxidative and anti-inflammatory effects (Molfino 2014; Vedin 2012). Especially in the ageing brain, this characteristic may contribute to the protection of neurons and prevent cellular death.

Third, Morris and Tangne have argued in their review that the fatty acid composition of the diet is an important determinant of blood cholesterol, which in turn seems to play a role in the pathology of AD (Morris 2014). For example, apolipoprotein-E (ApoE) is involved in the transport of cholesterol and the ApoE- $\epsilon$ 4 allele is an important risk factor for AD (Morris 2014). Furthermore, there is growing evidence that serum cholesterol is strongly associated with the deposition of  $\beta$ -amyloid in the human brain (Reed 2014).

Finally, it has also been suggested that omega-3 PUFAs may be directly related to the decrease of AD-specific pathology (e.g. A $\beta$  levels) (Cole 2009; Su 2010). This hypothesis is supported to some extent by preclinical studies, and a wide range of models describing potential neurochemical mechanisms have been outlined (Murphy 2014; Su 2010).

### Why it is important to do this review

Omega-3 PUFAs have become increasingly important in several dietary recommendations. It is widely theorised that they slow cognitive decline in people with dementia. Considering the enormous impact of dementia on quality of life (QoL) and the limited treatment possibilities, a safe and effective dietary intervention would be of great interest to people with dementia. With this review, we aimed to assist them in their decision regarding dietary supplementation with omega-3 PUFAs.

### **OBJECTIVES**

To assess the efficacy and safety of omega-3 polyunsaturated fatty acid (PUFA) supplementation for the treatment of people with dementia.

### METHODS

### Criteria for considering studies for this review

### Types of studies

We included randomised controlled trials (RCTs). Since dementia is a progressive disease, we included only the data of the first period of cross-over randomised trials.

### **Types of participants**

We included people diagnosed with AD, VaD, DLB, PDD and FTD. The diagnosis of dementia should have been made in accordance with accepted guidelines, such as the Diagnostic and Statistical Manual of Mental Disorders (APA 1987; APA 1994; APA 2013), the International Classification of Diseases (ICD; WHO 1992; WHO 2010), the National Institute of Neurological and Communicative Disorders and Stroke (NINCDS)-Alzheimer's Disease and Related Disorders Association (ADRDA) Alzheimer's Criteria (McKhann 2011) or the National Institute of Neurological Disorders and Stroke and Association Internationale pour la Recherché et l'Enseignement en Neurosciences (NINDS-AIREN) Criteria for the Diagnosis of Vascular Dementia (Román 1993).

AD and VaD are the most common types of dementia (WHO 2012). Therefore, we intended to evaluate studies in which the

participants were diagnosed with dementia, even if the types of dementia were not specified. However, we found only studies investigating omega-3 PUFAs in people diagnosed with AD.

We considered any stages and severity of dementia. Participants may have been recruited from any setting.

#### Types of interventions

We evaluated the following interventions:

- Omega-3 PUFA capsules as a dietary supplement versus placebo. We considered a supplement as appropriate for inclusion if its main active ingredient was omega-3 PUFA;
- Diets enriched with omega-3 PUFAs in specific portions versus usual diet.

We considered any dosage of administration if the study participants received it on a regular basis (at least weekly) for at least 26 weeks.

We excluded studies that only investigated dietary advice. We also excluded trials that did not precisely specify the intake of omega-3 PUFA.

### Types of outcome measures

### **Primary outcomes**

- Changes in global and specific cognitive function measured by validated tools such as:
  - \* Alzheimer's Disease Assessment Scale Cognitive subscale (ADAS-Cog) (Rosen 1984);
  - \* Mini-Mental State Examination (MMSE) (Folstein 1975);
  - \* Rey Auditory Verbal Learning Test (RAVLT) (Schmidt 1996);
  - \* Wechsler Memory Scale (Wechsler 2010).
- Changes in functional outcomes (e.g. activities of daily living (ADL)) measured by validated tools such as:
  - Alzheimer's Disease Cooperative Study Activities of Daily Living (ADCS-ADL) (Galasko 1997);
  - \* Gottries-Brane-Steen-Skala, ADL subscale (GBS-ADL) (Bråne 2001).
- Overall dementia severity measured by validated tools such as:
  - Clinical Dementia Rating Sum of Boxes (CDR-SOB) (O'Bryant 2008),
  - \* Alzheimer's Disease Cooperative Study Clinical Global Impression of Change (CIBIC-Plus) (Schneider 1997).
- Adverse effects of the intervention such as:
  - gastrointestinal effects;
  - \* dermatological effects;
  - \* taste disturbance;
  - \* infection.

### Secondary outcomes

- Effect of omega-3 PUFAs on QoL.
- · Compliance with intervention.
- Symptoms associated with dementia (e.g. changes in mood, alterations in circadian rhythm).
- · Entry to institutional care.
- · Hospital admissions.
- Mortality.



We did not consider biomarker outcomes.

### Search methods for identification of studies

#### **Electronic searches**

We searched ALOIS (www.medicine.ox.ac.uk/alois) - the Cochrane Dementia and Cognitive Improvement Group's (CDCIG) specialised register on 10 December 2015.

The Trials Search Co-ordinator for the CDCIG maintains ALOIS, which contains studies that fall within the areas of dementia prevention, dementia treatment and management, and cognitive enhancement in healthy elderly populations. The studies are identified through:

- monthly searches of several major healthcare databases:
   MEDLINE, EMBASE, CINAHL, PsycINFO and Lilacs;
- monthly searches of several trial registers: ISRCTN; UMIN (Japan's Trial Register); the World Health Organization (WHO) portal (which covers ClinicalTrials.gov; ISRCTN; the Chinese Clinical Trials Register; the German Clinical Trials Register; the Iranian Registry of Clinical Trials and the Netherlands National Trials Register, and others);
- quarterly search of the Cochrane Central Register of Controlled Trials (CENTRAL);
- six-monthly searches of several grey literature sources: ISI Web of Knowledge Conference Proceedings; Index to Theses; Australasian Digital Theses.

To view a list of all sources searched for ALOIS see About ALOIS on the ALOIS website (www.medicine.ox.ac.uk/alois).

The 'methods used in reviews' section within the editorial information about the CDCIG shows details of the search strategies run in healthcare bibliographic databases that we use for the retrieval of reports of dementia, cognitive improvement and cognitive enhancement trials.

We ran additional searches in MEDLINE, EMBASE, PsycINFO, CINAHL, ClinicalTrials.gov and the WHO portal/ICTRP to ensure that the search was as comprehensive and as up-to-date as possible. Appendix 1 shows the search strategy.

### **Searching other resources**

We contacted the following manufacturers of omega-3 PUFA products and organisations for overlooked, unpublished and ongoing trials:

- Global Organization for EPA and DHA Omega-3s, USA;
- Arjuna Natural Extracts Limited, India;
- FMC Health and Nutrition Epax International, Norway;
- · Nordic Naturals, USA;
- DSM Nutritional Products, Netherlands;
- WHC Health Consulting, Belgium;
- Carlson Laboratories, USA;
- · OmegaVia, USA;
- Ocean Blue Professional, USA;
- Prevention Pharmaceuticals, USA;
- NeuroBioPharm Inc, USA.

We reviewed reference lists of included studies, trial registries and conference abstracts, and contacted authors of landmark papers for overlooked, unpublished and ongoing trials.

### **Data collection and analysis**

#### **Selection of studies**

We managed all references retrieved by the searches using EndNote (X5) (EndNote 2011). The Trials Search Co-ordinator of the CDCIG removed duplications of the same references. Afterwards, two review authors (MB and MH or MB and AF) independently examined titles and abstracts to identify eligible studies. If it was not clear whether a study was relevant, we made the decision based on the full text. Two review authors (MB and MH) evaluated full texts of relevant articles independently according to the eligibility criteria. They were not blinded to study data. We resolved disagreements by involving a third review author. We listed final decisions for the exclusion of articles that we retrieved in full text in the Characteristics of excluded studies table.

We planned to translate full texts that were not in English or German, and if necessary employ translation services. However, as all eligible studies were already presented in English this was not necessary. We linked multiple reports and conference abstracts of the same study together.

### **Data extraction and management**

Two review authors (MB and MH) independently read and extracted the data presented in the respective article. In case of discrepancies, we involved a third review author until we reached consensus.

We used an electronic data extraction form, including source, eligibility, methods, participants, interventions, comparators, outcomes, results and miscellaneous notes according to the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011a). In addition, we assessed details of funding source, declarations of interest of the primary investigators and methods used to control possible conflicts of interests. Two review authors pre-tested the form using the first two studies and adapted afterwards.

For continuous data, we extracted the mean value of the outcome measurement in each group (or, if this was not available, the mean change from baseline), the standard deviation (SD) and the number of participants used to measure the outcome for each group.

For dichotomous outcomes, we extracted the number of participants in each outcome group. If the data provided were insufficient, we attempted to obtain the omitted information from the authors of the report (see the section Dealing with missing data).

One review author (MB) entered the data into Review Manager 5 (RevMan 2014). A second review author (MH) checked the data for accuracy. We also extracted data from ongoing studies including study name, methods, participants, interventions, outcomes, starting date, contact information and notes.



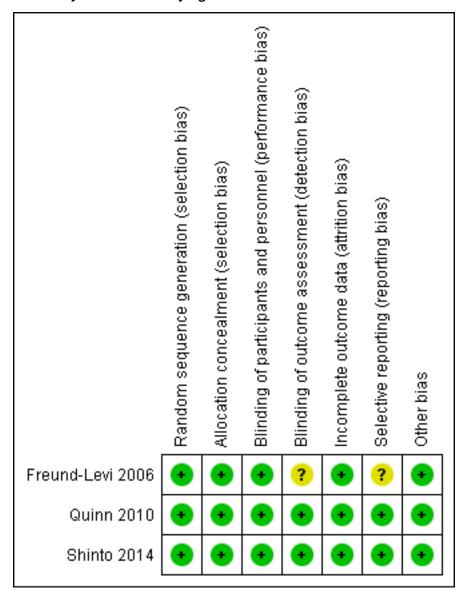
### Assessment of risk of bias in included studies

Two review authors independently assessed the risk of bias for each study, using the Cochrane tool for assessing risk of bias (Higgins 2011b). We resolved any disagreements by discussion.

We described the risk of bias of all included studies in the Characteristics of included studies table and narrative. In addition,

we provided an overall judgement of included studies by a 'Risk of bias' summary (see Figure 1). To prevent undue industry influence during the clinical trial process, we explicitly considered the appropriateness of all methods used. Therefore, we assessed additional criteria, which are presented in detail in Table 1. An overall rating on how these findings might have influenced the presented study results were considered as 'other bias' in the 'Risk of bias' tables.

Figure 1. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.



### Measures of treatment effect

We used mean differences (MD) or standardised mean differences (SMD) with 95% confidence intervals (CI) for continuous outcomes, and risk ratios (RR) with 95% CIs for the analysis of dichotomous outcomes.

To date, no ranges for commonly accepted minimal clinically important differences (MCID) exist for most of the scales used to measure outcomes in people with dementia (IQWIG 2013; Molnar

2009; Schrag 2012; US Preventive Task Force 2014; Vellas 2008). We intended to present the proportion of participants with changes in the scale measures of the primary outcomes (i.e. more or less than 4 scale points for ADAS-Cog) if data were available. However, considering the small insignificant effects, we did not request that data from the study authors.

Scales that are commonly used in dementia trials are often coded ordinally. We treated the data measured with scales comprising



of more than 10 categories as continuous variables assuming a normal distribution.

### Unit of analysis issues

The unit of analysis was the person with dementia. As defined in our protocol, we analysed only the first period of cross-over trials considering the progressive nature of dementia. We intended to use comparable time points (± one week) for all meta-analyses. Therefore, we conducted meta-analyses from six-month measurement data, which we were able to get from all trials.

### Dealing with missing data

We contacted trial authors requesting missing information or to clarify any remaining ambiguity. All authors replied to our queries. We received unpublished data from two trials (Quinn 2010; Shinto 2014), and were able to clarify most questions with all trial authors. However, we were not able to obtain data from the OmegAD trial concerning data to adverse effects from each group (Freund-Levi 2006). We considered this issue in the appraisal of the risk of bias.

None of the trials were able to assess the outcomes of all included participants. One trial used the last observation carried forward (LOCF) approach but did not publish the results, reasoning that the LOCF results did not differ from the per protocol analyses (Freund-Levi 2006).

Two trials used logistic regression models to predict missing data over time (Quinn 2010; Shinto 2014). Quinn 2010 also presented some sensitivity analysis with multiple imputations. We described these results additionally as reported by the trial authors.

We also considered missing data by conducting sensitivity analysis (see Sensitivity analysis).

### **Assessment of heterogeneity**

We evaluated clinical heterogeneity and statistical heterogeneity using Chi<sup>2</sup> and I<sup>2</sup> statistics.

### **Assessment of reporting biases**

We tried to minimise reporting bias by inclusion of published and unpublished trials. Therefore, we compared conference abstracts and registered trials with published data. According to the trials registries, we found two studies that were completed but not published and contacted the responsible organisation or the researcher for more information (see Description of studies). We found no further indication of unpublished trials. It was not reasonable to perform a funnel plot and Egger's test for asymmetry (Egger 1997), since we included only three trials.

### **Data synthesis**

We observed no considerable statistical heterogeneity and conducted fixed-effect meta-analyses to estimate an overall treatment effect. We performed all meta-analyses by using Review Manager 5 (RevMan 2014). We combined outcomes measured with the same scales, by presenting MDs. When different or modified scales were used to measure the same construct, we used the SMD for the meta-analysis. A precondition for this was that the same domains (i.e. global cognitive function) or subdomain (e.g. memory) were assessed.

Due to the progressive nature of dementia, we assumed that LOCF and per protocol analyses had a comparable distorting impact on the results. Therefore, we considered both in our meta-analyses.

### Subgroup analysis and investigation of heterogeneity

In the protocol for this review (Burckhardt 2015), we planned to conduct subgroup analyses of dementia subtype and stage, baseline nutritional status and dose of intervention. However, we included only three studies. All these included participants with mild to moderate AD. Analysing subgroups by the dosage was not reasonable either, because all study interventions were in a range of omega-3 PUFAs 1.75 to 2.3 g in total. One study conducted subgroup analysis on MMSE and CDR-SOB (Quinn 2010). However, they did not adjust their testing to multiple comparisons, which might bias the results. We presented the results briefly in the Effects of interventions section. None of the studies conducted subgroup analysis based on nutritional status. We investigated heterogeneity in terms of participants and omega-3 PUFA dosage. We presented the main baseline characteristics and interventions in Table 2.

### Sensitivity analysis

We were only able to conduct our meta-analyses by using means (or mean changes), which were observed (per protocol) or partially summarised over time (LOCF). Since dementia is a progressive disease, this might overestimate the effect in favour of omega-3 PUFAs. As pre-defined in our protocol, we conducted a sensitivity analysis using single imputation methods. We assumed that the mean and SD of the missing observations from both groups corresponded to those of the observed cases in the control group. For the ADAS-Cog, we combined the assumed group results with the observed data with R statistics by using the formula for combining groups presented in Chapter 7.7. of the Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2011a). Due to the clear results and the similarity of the trials, we did not consider it necessary to perform further sensitivity analyses including imputed data.

We further decided to perform sensitivity analyses pooling MMSE and ADAS-Cog results at the end of the treatment from all three trials, irrespective of study duration.

### Presentation of results - 'Summary of findings' tables

We used the GRADE approach to interpret the findings (Guyatt 2011), and presented them in 'Summary of findings' tables as recommended by Cochrane (Schünemann 2011). Together with our consumer group, we prioritised the above defined outcomes.

For that purpose, we conducted a small study involving people with early dementia, their relatives, nurses, and physicians of a geriatric ward. Data collection took place from May until November 2015 in the Department of Psychiatry, Psychotherapy and Psychosomatics of the University Hospital Halle (UKH). All participants were asked to take part in this survey anonymously. A simple questionnaire presented treatment outcomes in an understandable way. We asked the recipients to mark their subjective importance of each outcome on a 9-point Likert scale ranging from 1 (unimportant) to 9 (important).

We collected 37 questionnaires from 14 people with dementia, 12 relatives and 11 staff members. However, in most cases the treatment goals were rated high and, therefore, resulted



in a reduced variance in item scores. People with dementia, relatives and staff did not differ significantly in their evaluation, which is surely caused by the low sample size and the small variance within the ratings. In the total sample, low adverse effects of medication were rated most important, followed by enhancement of QoL, balanced state of mind, enhancement of general cognition, enhancement of memory and enhancement of instrumental activities of daily living (IADL). Enhancement of self care (ADL) was rated least important in the total sample as well as with people with dementia and relatives (see Table 3).

We imported data of the meta-analyses by using the GRADEpro GDT to create 'Summary of findings' tables. These included for each outcome: the estimate of the treatment effect, the quantity of supporting evidence and the quality of that evidence assessed using the GRADE approach (Guyatt 2011). Two review authors (MB and GL) used the recommended approach to downgrade the evidence from 'high quality' by one level for serious (or by two for very serious) study limitations (risk of bias), indirectness of evidence, serious inconsistency, imprecision of effect estimates or potential publication bias.

We included the outcomes in the 'Summary of findings' tables in the order that our consumer group prioritised them (Table 3). We did not ask for global measurements that included cognition

and function. However, cognition and function were both rated as critical treatment goals. Therefore, we included results of the CDR-SOB as well.

### RESULTS

### **Description of studies**

### Results of the search

The electronic searches from March and December 2015 retrieved 3064 results. After de-duplication by Anna Noel-Storr, Trials Search Coordinator of the CDCIG, two review authors (MB and MH or MB and AF) independently assessed the remaining 2331 references for relevance. We identified one further reference by scanning the reference lists of landmark papers and included studies. We received no information for further published or unpublished studies by experts or manufacturers. We discarded 2299 references that were not relevant. Two review authors (MB and MH) independently assessed 31 articles and conference abstracts for eligibility. Seven articles and two registered trials did not meet our inclusion criteria (see Characteristics of excluded studies table). We included 24 articles referring to three trials (Freund-Levi 2006; Quinn 2010; Shinto 2014). The selection process is presented in the PRISMA statement (Liberati 2009) (see Figure 2).



Figure 2. Study flow diagram.

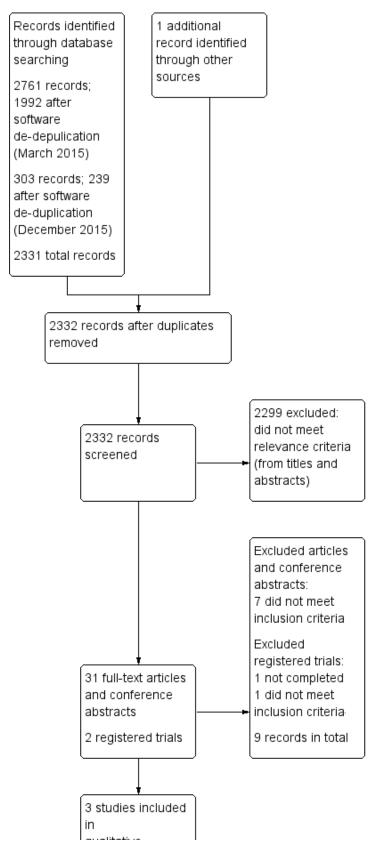
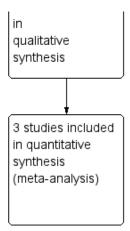




Figure 2. (Continued)



### **Included studies**

Three trials met the inclusion criteria for this review (Freund-Levi 2006; Quinn 2010; Shinto 2014), and 632 participants were randomised in total. Clinically, the included studies were comparable with respect to the participants (mild to moderate AD) and dosage of the intervention (EPA plus DHA between 1750 and 2300 mg/day). The mean values of nutritional parameters presented in the studies, indicated no malnutrition, lack of DHA or other relevant baseline characteristics (Table 2). The trials had a considerable variation in duration. For most of the primary and secondary outcomes, the trial authors sent us the results from six months' follow-up, which we combined in meta-analyses. Statistically, we observed no relevant heterogeneity by using Chi<sup>2</sup> and I<sup>2</sup> statistics.

The largest trial investigated omega-3 PUFAs in a parallel-group design over a study period of 18 months with the primary aim of cognitive and functional outcomes (Quinn 2010). It was sponsored by the Alzheimer's Disease Cooperative Study (ADCS) in co-operation with the National Institute of Aging (NIA) and DSM Nutritional Products. DSM Nutritional Products is a leading supplier of nutritional supplements. The trial is also referred to as the ADCS-NIA trial. Dr. Joseph Quinn provided some unpublished data (Table 4).

The second largest trial, named the OmegAD study, was a crossover design trial of 12 months' duration sponsored by the Karolinska University Hospital in Sweden (Freund-Levi 2006). The primary aim was to test efficacy of omega-3 PUFAs on cognition. We included the results of the first period after a follow-up of six months.

The third trial was a small pilot study with a three-arm parallel design (Shinto 2014). Its primary aim was to evaluate the effects of omega-3 PUFAs alone or in combination with alpha lipoic acid on oxidative stress parameters. We included the study's secondary, but patient-relevant, outcomes on the comparison of omega-3 PUFA versus placebo. Dr. Lynne Shinto provided unpublished six months data (Table 5), which we used for the meta-analyses. The trial lasted 12 months and was sponsored by the Oregon Health and Science University in the USA and conducted in collaboration with NIA and the National Center for Complementary and Integrative Health (NCCIH).

In addition to the Characteristics of included studies table, we presented an overview of the main baseline characteristics, interventions and outcomes of all three studies in Table 2.

We did not identify any trials investigating omega-3 PUFAs in people with other types of dementia. We also found no trials investigating diets enriched with omega-3 PUFAs.

### **Participants**

The number of randomised men and women ranged from 26 to 402 with a range of mean age from 73.5 to 76 years. All trials were restricted to people with AD diagnosed with established criteria according to the Diagnostic and Statistical Manual of Mental Disorders (DSM-IV: Freund-Levi 2006) or NINCDS-ADRDA criteria (Quinn 2010; Shinto 2014). The severity of the disease was mild to moderate ranging from an MMSE of 23.6 (Freund-Levi 2006) down to 20.66 (Quinn 2010), and the majority of the participants received a stable dose of cholinesterase inhibitors or memantine. All trials defined pre-study intake of omega-3 supplements as an exclusion criterion. The ADCS-NIA trial also excluded participants who consumed on average DHA more than 200 mg/day in the form of food (Quinn 2010).

Two of the trials took place in the USA (Quinn 2010; Shinto 2014), and one in Sweden (Freund-Levi 2006). All three trial were conducted in outpatient care. The baseline data showed no indication of poor nutrition. The mean body mass index ranged from 24 (SD 3) (Freund-Levi 2006) to 26 (SD 4) (Quinn 2010). The baseline data from the blood samples indicated a sufficient intake of omega-3 PUFAs. Table 2 presents the most relevant baseline characteristics of all three trials in detail.

### Interventions

All participants received omega-3 PUFAs as 1 g capsules containing various amounts of omega-3 PUFAs versus placebo. In the OmegAD trial, participants consumed the highest dose of omega-3 PUFAs with a combination of DHA 1.7 g and EPA 0.6 g (derived from fish oil) provided in four capsules per day (Freund-Levi 2006). The capsules further contained vitamin E (tocopherol) 4 mg as a preservative. In the ADCS-NIA trial, two capsules of an algal-derived DHA were provided daily (Quinn 2010). This vegetarian source of omega-3 PUFAs contained no EPA but approximately 45% to 55% of DHA by weight. This means that the participants received a daily dose of around DHA 900 to 1100 mg daily. In the trial of Shinto 2014,



participants were recommended to ingest three lemon-flavoured fish oil concentrate capsules with food. The daily dose contained DHA 675 mg and EPA 975 mg. All trials analysed blood samples for serum fatty acid levels, which increased significantly compared to unchanged levels in placebo groups; this can be interpreted as good compliance for the intervention.

### **Outcome measures**

The trials used the following outcome measures. Table 2 summarises their use in the included studies. For a better interpretation, we presented related estimates of clinical important changes as identified in the literature. Appropriate methods for defining valid estimates of MCIDs are not yet fully developed and for scales, covering different constructs (i.e. global severity scales), almost impossible to determine (Molnar 2009). Furthermore, what is estimated to be a clinically important difference depends on the population (i.e. severity of dementia) and contextual characteristics (i.e. ratio of adverse effects and efficacy) and might vary from different points of view (i.e. researcher or participant) (Revicki 2008). This also applies to the following presented estimates of clinical important changes. They were developed with varying methods and address different circumstances and disease severity. Therefore, they should be considered with caution.

### Global and specific cognitive function cognitive function measures

- Mini-Mental State Examination (MMSE) evaluates severity and progression of cognitive impairment in the five areas of orientation, immediate recall, attention and calculation, delayed recall, and language (Folstein 1975). The test score ranges from 0 to 30 with higher scores representing better cognitive function. The severity of cognitive impairment is usually classified by MMSE score points such as 20/21 to 26/27 as mild, 10 to 19/20 as moderate, and less than 10 as severe impaired (Hulstaert 2009). MCIDs of 1.4 to 3.7 score points are commonly estimated (corresponds to the estimates of Burback 1999; Hensel 2007; Qaseem 2008).
- The Alzheimer's Disease Assessment Scale Cognitive subscale (ADAS-Cog) comprises spoken language ability, comprehension of spoken language, recall of test instructions, word finding difficulty, following commands, naming objects, construction drawing, ideational praxis, orientation, word recall and word recognition. The score ranges from 0 to 70, with a higher score indicating a greater impairment (Rosen 1984). MCID is mainly estimated between 2 and 4 score points (Huntley 2015; Molnar 2009; Schrag 2012; Vellas 2008). The OmegAD trial used an extended version of the ADAS-Cog (scale range 0 to 85) (Mohs 1997).
- None of the studies presented specific cognitive function measures (i.e. memory).

### Functional outcome measures (e.g. activities of daily living)

 The Alzheimer's Disease Cooperative Study - Activities of Daily Living (ADCS-ADL) was specifically designed as part of a comprehensive test battery to assess ADL living in people with AD in clinical trials (Galasko 1997). It consists of 23 criteria comprising simple everyday skills and complex activities, which are rated based on an interview with an informant who knows the affected study participant well. The range is from 0 to 78 with a higher score indicating a lower interference. Data on MCID for ADCS-ADL are limited. One study group defined a threshold of

- a 2 point score change as meaningful in an RCT investigating vitamin E and memantine in mild to moderate AD (Dysken 2014).
- The Disability Assessment for Dementia (DAD) evaluates the
  performance of daily function in community-dwelling people
  with dementia based on carer information (Gelinas 1999).
  The instrument evaluates initiation, planning and execution
  of simple and complex activities. A final score is formed by a
  percentage of all questions rated positive, indicating that the
  study participant is able to perform the respective task without
  help. Therefore, lower scores indicate more dysfunction. We
  found no estimates of a meaningful change.
- The Older Americans Resources and Services Activities of Daily Living (OARS-ADL) Questionnaire (Fillenbaum 1975; George 1985) is a part of a multidimensional functional assessment (Fillenbaum 1981). According to Dr. Shinto (personal communication), the pilot trial used a modified version with score ranges from 0 to 27 for ADL and 0 to 14 for IADL (Shinto 2014). A lower score indicates a better function. We found no estimates of a meaningful change.

### Overall dementia severity measures

 The Clinical Dementia Rating - Sum of Boxes (CDR-SOB) is a semistructured interview of people with dementia and informants for the assessment of cognition (memory, orientation, judgement/ problem solving) and function (community affairs, home/ hobbies, personal care) (O'Bryant 2008). The CDR-SOB total score ranges from 0 to 18 with scores around 3 to 15.5 indicating mild to moderate dementia (O'Bryant 2008). A Clinical Dementia Rating - Global score can be derived from the box scores. We found no estimates of a meaningful change.

### Measures of symptoms associated with dementia

- The Montgomery-Åsberg Depression Rating Scale (MADRS) is a
  measure of mental health and was particularly developed to
  assess change secondary to treatment of depressive symptoms
  (Montgomery 1979). The scale encompasses 10 symptoms
  associated with depression (i.e. sadness or tension), the
  seriousness of which are rated by a clinician based on
  observation or reporting after an interview. The total score
  ranges from 0 to 60, higher scores indicating more severe
  symptoms. The cut-off point for mild depression is usually at 13
  points (Müller-Thomsen 2005). MCID estimates range from 1 to 2
  points in people with depressive symptoms (Duru 2008).
- The 10-item Neuropsychiatric Inventory (NPI) evaluates neuropsychiatric disturbance common in dementia associated with mental health: delusions, hallucinations, dysphoria, anxiety, agitation/aggression, euphoria, disinhibition, irritability/lability, apathy and aberrant motor activity (Cummings 1994). Scores range from 0 (normal) to 120 (severely disturbed). The 12-item extension also assesses night-time behavioural disturbances, appetite and eating abnormalities (score range 0 to 144) (Cummings 1997). The information is obtained from a person familiar with the patient's behaviour. A change of 4 to 8 points is suggested to be clinically meaningful (Cummings 2015; Howard 2011).

## Measures of quality of life

 The Quality of Life Alzheimer's Disease scale (QoL-AD) exists in two versions both for people with AD (self reported) and their informal carers (proxy reported). QoL is assessed in 13 items, covering physical health, energy, mood, memory, living



and financial situation, relationships to life partner, family and friends, the ability to perform household and leisure activities, and judgements of one's self and life as a whole. The total score ranges from 13 to 52 points with a higher score reflecting a better QoL (Logsdon 2002). We found no estimates of an MCID.

### **Adverse events**

Safety and tolerability was a secondary outcome in Freund-Levi 2006 but it was not reported in detail which parameters were assessed and how they were measured. The two other trials did not name adverse events explicitly as an outcome but presented the most reported adverse events. They were either reported by the study participants or partners (Shinto 2014), or it was not clear how they were assessed (Freund-Levi 2006; Quinn 2010). Serious adverse events, as normally assessed by data and safety monitoring, were in the ADCS-NIA trial defined as "...events that result in death, hospitalization, prolongation of hospitalization, or are life threatening (based on the judgment of the study physician)" (Quinn 2010).

Some secondary outcomes as defined in the protocol of this review (Burckhardt 2015), such as compliance with intervention, entry to institutional care, hospital admissions and mortality, were not assessed explicitly as outcomes in any of the trials. We considered the themes in the adverse events and tolerability section.

Quinn 2010 published score changes from baseline adjusted for baseline MMSE. If five or fewer items were missing on ADAS-Cog, those items where imputed based on LOCF methods, on a per item, per participant basis. Missing score measures over time were predicted by linear mixed-effects (LME) regression models. In a sensitivity analysis, they used analysis of covariance (ANCOVA) methods using multiple imputation methods. Dr. Quinn provided us with unpublished total mean values and QoL data, which were used in the meta-analyses.

Shinto 2014 also published score changes analysed with LME model. They adjusted for age and education. Dr. Shinto sent us total mean values from six months' follow-up, which we used for the meta-analyses.

Freund-Levi 2006 presented data as observed by presenting MDs. They also performed an LOCF analysis and stated that the results were comparable.

### **Excluded studies**

We excluded eight publications and two registered trials and presented the reasons in the Characteristics of excluded studies table. The main reasons for exclusion were a duration of intervention of less than 26 weeks (Chiu 2008), inclusion of participants other than people with dementia (Hashimoto 2012; Mahmoudi 2014), or different study design (Terano 1999). According to the trials registries, we found two studies that were completed but not published. We then contacted the responsible organisation or the researcher. The North East London NHS Foundation Trust (UK) wrote to us, that their trial was not completed due to nonsignificant results and low numbers recruited (Carter 2006). The sponsor (NeuroBioPharm Inc) of the other registered trial informed us that the company was "unable to share any information" with us at this time (NCT00867828). However, with a planned duration of treatment of 24 weeks, the trial does most appear to fulfil our inclusion criteria.

### Risk of bias in included studies

Overall, we judged the quality of the trials as high (Figure 1). There were only a few uncertainties (see Characteristics of included studies table), which we do not think have an important influence on the overall results.

### Allocation

All trial authors provided details of adequate sequence generation describing computer-generated schemes (Freund-Levi 2006 (reported in Faxen-Irving 2009); Quinn 2010; Shinto 2014).

### **Blinding**

All three trials used adequate blinding methods for participants by using placebo capsules with an identical appearance. Capsules were usually swallowed whole, therefore, we did not judge it as bias that only one trial team made efforts to match the fish-like smell of omega-3 PUFAs in their placebo capsules (Shinto 2014).

The reports of Quinn 2010 and Shinto 2014 indicated that outcome assessors were blinded during the whole study duration. However, it was not clear in the OmegAD study (Freund-Levi 2006) if blinding was maintained long enough to blind the outcome assessor.

### Incomplete outcome data

Quinn 2010 and Shinto 2014 presented numbers and reasons for participants who withdrew or dropped out. Numbers and reasons were similar in intervention and control groups. They included missing data by LMEs models.

In the OmegAD trial, an intention-to-treat (ITT) analysis on the basis of LOCF was carried out but not published, reasoning that there were no differences to the per-protocol analysis (Freund-Levi 2006).

### **Selective reporting**

Overall, we judged the bias for selective reporting as low. The trials analysed and presented all primary outcomes as described in the published trial protocols. We received data to further outcomes as requested. Secondary outcomes and subgroup analysis of Freund-Levi 2006 and Quinn 2010 were not congruent in detail with the published trial protocols, but there was no indication of favourable reporting of outcomes relevant to this review. However, there were some inconsistencies with reporting of adverse events. Freund-Levi 2006 presented the reasons for participants leaving the study but not in regard to their group affiliation. We were not able to get this information by mail contact with Dr. Freund-Levy. Even though these incidents were low in numbers, it cannot be excluded that this might favour omega-3 PUFAs.

### Other potential sources of bias

Omega-3 suppliers provided all study drugs. Shinto 2014 reported having no further conflicts of interest.

A company producing omega-3 PUFAs partly funded the OmegAD trial (Freund-Levi 2006). An omega-3 supplier was also involved as a collaborator in the ADCS-NIA trial (Quinn 2010). Both trial authors reported that industry was involved in study design and the submission of the publication. Apart from that, they reported only minor conflicts of interest. However, authors of both trials explicitly state that industry was not involved in collection and analysis of study data, which, in our view, is the most vulnerable



part of a trial (Freund-Levi 2006; Quinn 2010). Considering this and the transparent reporting of all pre-defined results, we do not judge the reported co-operation with industry as a relevant source of bias. However, a possible influence of industry in presenting results cannot be ruled out with certainty.

We do not regard any imbalance of baseline data as relevant for the outcomes of this review. Furthermore, none of the studies stopped earlier than planned in the published protocol.

### **Effects of interventions**

See: Summary of findings for the main comparison Omega-3 PUFA supplements compared to placebo for people with mild to moderate Alzheimer's disease

There was no therapeutic benefit for all outcomes in people with mild to moderate AD. This result was irrespective of the omega-3 PUFAs dose, which was between 1.75 and 2.3 g/day. For the meta-analyses that we conducted for this review, we used published per-protocol data from the OmegAD trial (Freund-Levi 2006), and unpublished data that we received from Dr. Quinn (Quinn 2010) and Dr. Shinto (Shinto 2014).

None of the trials observed any significant effect on any of the outcomes relevant for this review. Therefore, we have largely refrained from presenting all of the effect measures and CIs separately. This especially applies to the pilot trial of Shinto 2014, which did not have enough power to detect a difference in any outcomes relevant to people with AD. We presented results of all outcomes separately.

## Changes in global and specific cognitive function (primary outcomes)

Freund-Levi 2006, Quinn 2010, and Shinto 2014 assessed the cognitive function with MMSE and ADAS-Cog.

There was no evidence of a benefit for omega-3 PUFAs compared to placebo in any of the studies. A meta-analysis based on Freund-Levi 2006 and Shinto 2014 showed no effect on cognition when measured with MMSE at six months (MD 0.18, 95% CI -1.05 to 1.41; 202 participants; 2 studies; I<sup>2</sup> = 0%). We graded the quality of evidence across the studies as high (Summary of findings for the main comparison). Figure 3 shows the meta-analysis (Analysis 1.1).

Figure 3. Forest plot of comparison: Omega-3 PUFAs versus placebo for mild to moderate Alzheimer's disease. Analysis 1.1 Mini-Mental State Examination (MMSE; 6 months' follow-up, PP analysis).

	Omeg	ja-3 Pl	JFA	PI	acebo			Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
Freund-Levi 2006 (1)	22.8	4.38	91	22.4	4.52	87	88.3%	0.40 [-0.91, 1.71]	<del>-                                      </del>
Shinto 2014 (2)	18.9	4.4	12	20.4	4.6	12	11.7%	-1.50 [-5.10, 2.10] <del></del>	•
Total (95% CI)			103			99	100.0%	0.18 [-1.05, 1.41]	
Heterogeneity: Chi <sup>2</sup> = 0.94, df = 1 (P = 0.33); I <sup>2</sup> = 0%									
Test for overall effect: Z	= 0.28 (I	P = 0.7	8)						-2 -1 U 1 2 Favours placebo Favours omega-3 PUFA

### <u>Footnotes</u>

(1) MMSE score range 0-30 (higher = better); PP

(2) MMSE score range 0-30 (higher = better); unpublished data PP

Quinn 2010 assessed cognition with MMSE at a follow-up of 18 months in an ANCOVA analysis that showed no difference between groups (P value = 0.88). This was consistent with Shinto 2014 where there was no difference (P value = 0.80) at 12 months in an LME model when adjusted for age and education level.

This result also applied for cognition measured with ADAS-Cog. We performed a meta-analysis of six months' data of all trials, which revealed no significant benefit for omega-3 PUFAs (SMD -0.02, 95% CI -0.19 to 0.15; 566 participants; 3 studies; I<sup>2</sup> = 0%) (Analysis 1.2). We judged the quality of evidence across the studies as high (Summary of findings for the main comparison).

In the ADCS trial, there was no significant difference observed at 18 months' follow-up when missing data were considered with an LME

model (P value = 0.41) adjusted for baseline MMSE or in an ANCOVA with data after multiple imputation (P value = 0.99; unpublished data) (Quinn 2010).

None of the included trials assessed specific cognitive functions.

# Changes in functional outcome measures (e.g. activities of daily living (primary outcome))

A meta-analysis with functional measures on DAD (Freund-Levi 2006) and ADCS-ADL (Quinn 2010) showed no difference at six months (SMD -0.02, 95% CI -0.19 to 0.16; 544 participants; 2 studies;  $I^2 = 23\%$ ) (Analysis 1.3; Figure 4). We rated the quality of evidence across the studies as high (Summary of findings for the main comparison).



Figure 4. Forest plot of comparison: Omega-3 PUFAs versus placebo for mild to moderate Alzheimer's disease. Published and unpublished. Analysis 1.3 Activities of daily living (6 months' follow-up, PP analysis). ADCS-ADL: Alzheimer's Disease Cooperative Study - Activities of Daily Living; DAD: Disability Assessment for Dementia.

	Ome	ga-3 PU	FAs	P	lacebo			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
Freund-Levi 2006 (1)	31.8	10.22	91	30.5	10.71	87	33.6%	0.12 [-0.17, 0.42]	<del>-</del>
Quinn 2010 (2)	55.5	14.94	219	56.8	15.43	147	66.4%	-0.09 [-0.29, 0.12]	-
Total (95% CI)			310			234	100.0%	-0.02 [-0.19, 0.16]	<b>•</b>
Heterogeneity: Chi² = 1 Test for overall effect: 2		,		= 23%					-2 -1 0 1 2 Favours placebo Favours omega-3 PUFAs

<u>Footnotes</u>

(1) DAD score range 0-46 (higher = better)

(2) ADCS-ADL score range 0-78 (higher = better); unpublished PP data

Considering missing data in LME models, this result was consistent when ADL was measured on a modified version of the OARS at 12 months (P value = 0.82) (Shinto 2014), or on the ADCS-ADL at 18 months (P value = 0.38) (Quinn 2010).

Shinto 2014 observed a significant difference for IADL measured on the OARS-IADL subscale in favour for omega-3 PUFAs at 12 months (MD -3.50, 95% CI -4.30 to -2.70; 22 participants) (Analysis 1.4). When missing data were considered in an LME model adjusting for age and education at 12 months, the result remained positive in favour for omega-3 PUFAs (P value < 0.01) (Shinto 2014). Although the difference was significant, the outcome was only presented by one very small study. We downgraded the quality of evidence to moderate because of a wide CI and a very low number of participants (Summary of findings for the main comparison).

### Overall dementia severity (primary outcome)

A meta-analysis including measures of CDR-SOB from two studies revealed no significant difference between omega-3 PUFAs and placebo at six months (MD -0.00, 95% CI -0.58 to 0.57; 542 participants; 2 studies;  $I^2 = 0\%$ ) (Analysis 1.5) (Freund-Levi 2006; Quinn 2010). We graded the quality of evidence across the studies as high. The result was consistent in an LME model at 18 months (P value = 0.68) (Quinn 2010).

### Adverse effects (primary outcome)

The European Medicines Agency (EMA) recommends an ontreatment follow-up of at least 12 months to demonstrate long-term safety (EMA 2014). Shinto 2014 and Quinn 2010 fulfilled these requirements by implementing a treatment duration of 12 (Shinto 2014) and 18 (Quinn 2010) months. Freund-Levi 2006 did not report adverse events in detail.

Two of the three included studies described the intervention as well tolerated and with only mild adverse events (Freund-Levi 2006; Shinto 2014). In the study of Shinto 2014, adverse events such as cold or influenza (omega-3 PUFAs: 2/13; placebo: 2/13), loose stools (omega-3 PUFAs: 2/13; placebo: 3/13), dizziness (omega-3 PUFAs: 1/13; placebo: 2/13) or falls (omega-3 PUFAs: 1/13: placebo: 2/13) were similar between treatment and placebo group. Serious adverse events (omega-3 PUFAs: 1/13 (cardiac arrest); placebo: 1/13 (complications after a urinary tract infection)) were not considered to be related to omega-3 PUFAs (Shinto 2014).

Freund-Levi 2006 did not report adverse events or serious adverse events for each group. They described only the drop-out rate

as evenly distributed between the groups without unbundling the reasons. Reasons for overall group drop-outs related to adverse events were diarrhoea (nine drop-outs), dysphagia owing to the size of the capsules (nine drop-outs) and new serious somatic disease (10 drop-outs). We obtained no further detailed information regarding the distribution of these events to the groups by contacting Dr. Freund-Levy by mail.

Quinn 2010 described adverse events at 18 months' follow-up as diarrhoea (omega-3 PUFAs: 7.6%; placebo: 6.1%), urinary tract infections (omega-3 PUFAs: 9.7%; placebo: 7.3%), falls (omega-3 PUFAs: 17.6%; placebo: 20.1%), dizziness (omega-3 PUFAs: 5.0%; placebo: 5.5%) and agitation (omega-3 PUFAs: 10.1%; placebo: 7.3%). Almost every participant had an adverse event when these outcomes were combined (omega-3 PUFAs: 89.9%; placebo: 87.8%) (RR 1.02, 95% CI 0.95 to 1.10; 402 participants; 1 study). The distribution of "any adverse events" was similar between the treatment and the placebo group (Analysis 1.8).

Serious adverse events were infrequent and the differences between the groups did not reach statistical significance (at the 5% level). Participants in the omega-3 PUFAs group were more than twice as likely to die (omega-3 PUFAs: 4.6%; placebo: 2.4%) or to develop a deep venous thrombosis or pulmonary embolus (omega-3 PUFAs: 3.4%; placebo: 1.2%). Hospitalisation was a further reported serious adverse event (omega-3 PUFAs: 28.2%; placebo: 26.2%). Considering all serious adverse events (death, hospitalisation, prolongation of hospitalisation and lifethreatening incidents) together, there was no difference between groups (RR 1.05, 95% CI 0.78 to 1.41; 402 participants; 1 study) (Analysis 1.9). We graded the quality of evidence for serious adverse events as high as we do not assume measurement errors for the included outcomes as likely. We downgraded the quality of evidence for the outcome 'any adverse events' for measurement uncertainties because the outcome was an accumulation of partial subjective outcomes (i.e. dizziness) and it was not clear how they were measured (Summary of findings for the main comparison).

Compliance was not explicitly reported in any of the trials and can be merely assumed by DHA levels presented in all three trials showing significant increases in the interventions groups but not in the placebo groups (Freund-Levi 2006; Quinn 2010; Shinto 2014).

### Symptoms associated with dementia (secondary outcome)

Mental health was depicted within the trials as depressive symptoms (MADRS) and neuropsychiatric disturbances (NPI). The



ADCS trial used a 12-item version of the NPI (Quinn 2010), and the OmegAD trial used an extended version (Freund-Levi 2006). The meta-analysis of both trials results revealed no difference at six months (SMD 0.10, 95% CI -0.07 to 0.27; 543 participants; 2 studies;  $I^2 = 0\%$ ) (Analysis 1.6). We judged the quality of evidence across the studies as high (Summary of findings for the main comparison). Considering missing data in an LME model, there was no difference observed in the ADCS trial at 18 months (P value = 0.11) (Quinn 2010).

Only Freund-Levi 2006 measured the severity of depressive episodes using the MADRS. However, the means of both groups were very low indicating no relevant depressive symptoms. There was no significant difference between groups (MD -0.10, 95% CI -0.74 to 0.54; 178 participants) (Analysis 1.7). The quality of evidence was high (Summary of findings for the main comparison).

### Quality of life (secondary outcome)

The ADCS trial assessed QoL using participant-reported and proxy-reported by partners or carers QoL-AD. Dr. Quinn provided us with unpublished data from both. In this trial, there was no difference when QoL was assessed by participants at six months (MD -0.10, 95% CI -1.28 to 1.08; 332 participants) (Analysis 1.10) (Quinn 2010). We judged the quality of the evidence at six months' follow-up as high. There was a difference in favour for placebo when QoL was assessed using informant-rated scores at six months (MD -1.76, 95% CI -3.04 to -0.48; 331 participants) (Analysis 1.11). Both results remained similar at 18 months (see Analysis 1.12 and Analysis 1.13). We downgraded the quality of evidence for QoL rated by participants at 18 months because of substantial group differences in the follow-up (Summary of findings for the main comparison).

We judged the rating of QoL by the participants themselves as more trustworthy than a proxy measurement. Therefore, we do not present the proxy measure of QoL in Summary of findings for the main comparison.

Considering missing data in LME models, there was no difference at 18 months, whether QoL was participant-rated (P value = 0.66) or informant-rated (P value = 0.41) (Quinn 2010).

### Effects on subgroups

The data were not sufficient to perform our pre-defined subgroup analyses by dementia stage and nutrition status.

Quinn 2010 conducted several subgroup ITT analyses (LME) of a more exploratory character (no adjustment for multiple testing and reduced power to detect a difference). There were no differences for any outcomes in subgroups based on higher and lower baseline MMSE scores (cut-off: 21 score points) and CDR (cut-off: 0.5, 1.0 and 2.0 score points). Further subgroup analyses reported in Quinn 2010 and Freund-Levi 2006 were not pre-defined in our protocol, therefore we have not included them in our review.

### Sensitivity analysis

We assumed that the means and SDs of the outcomes for missing participants in both groups corresponded with the values for observed cases in the control group. We imputed missing six-month values for ADAS-Cog in all three trials (Freund-Levi 2006; Quinn 2010; Shinto 2014). The meta-analysis based on this assumption showed no difference between the groups on ADAS-Cog (SMD -0.02, 95% CI -0.18 to 0.13; 632 participants; 3 studies; I<sup>2</sup> = 0%) (Analysis 1.14) (see Figure 5).

Figure 5. Forest plot of comparison: Omega-3 PUFA versus placebo for mild to moderate Alzheimer's disease. Published and unpublished. Sensitivity analysis 1.15 Alzheimer's Disease Assessment Scale - Cognitive subscale (ADAS-Cog; 6 months' follow-up, imputed means for missing data. Assumption: values of missing data = values of control group). LOCF: last observation carried forward; PP: per protocol.

	Ome	ga-3 PU	FAs	Р	lacebo			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
Quinn 2010 (1)	26.55	11.02	238	26.73	10.67	164	62.8%	-0.02 [-0.22, 0.18]	<del></del>
Freund-Levi 2006 (2)	27.78	11.11	103	28.3	10.9	101	33.0%	-0.05 [-0.32, 0.23]	<del></del>
Shinto 2014 (3)	33.67	9.2	13	33.1	6.13	13	4.2%	0.07 [-0.70, 0.84]	-
Total (95% CI)			354			278	100.0%	-0.02 [-0.18, 0.13]	•
Heterogeneity: Chi <sup>2</sup> = 0.09, df = 2 (P = 0.96); $I$ <sup>2</sup> = 0% Test for overall effect: $Z$ = 0.29 (P = 0.78)									-1 -0.5 0 0.5 1 Favours omega-3 PUFAs Favours placebo

### <u>Footnotes</u>

- (1) ADAS-Cog, unpublished PP data
- (2) ADAS-Cog extended version (score range 0-85)
- (3) ADAS-Cog; unpublished LOCF data

We also combined MMSE and ADAS-Cog results at endpoint from all three trials, irrespective of study duration. In these analyses, there was no significant difference between groups for MMSE (MD 0.55, 95% CI -0.31 to 1.40; 464 participants; 3 studies;  $I^2 = 0\%$ ) (Analysis

1.15) or ADAS-Cog (SMD -0.03, 95% CI -0.20 to 0.15; 504 participants; 3 studies;  $I^2 = 0\%$ ) (Analysis 1.16). Figure 6 shows the sensitivity analysis for MMSE.



Figure 6. Forest plot of comparison: Omega-3 versus placebo for mild to moderate Alzheimer's disease. Published and unpublished. Sensitivity analysis 1.19 Mini-Mental State Examination (MMSE; 6, 12 and 18 months' follow-up, per protocol (PP) analysis).

	Omeg	a-3 PU	FAs	Pl	acebo			Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
Quinn 2010 (1)	-3.43	4.97	152	-4.12	4.81	112	51.8%	0.69 [-0.50, 1.88]	<del>-   •</del>
Shinto 2014 (2)	-4.3	4.31	11	-4.6	4.64	11	5.2%	0.30 [-3.44, 4.04]	
Freund-Levi 2006 (3)	22.8	4.38	91	22.4	4.52	87	42.9%	0.40 [-0.91, 1.71]	<del>-   •</del>
Total (95% CI)			254			210	100.0%	0.55 [-0.31, 1.40]	-
Heterogeneity: Chi² = 0 Test for overall effect: 2	•			= 0%					-4 -2 0 2 4 Favours placebo Favours omega-3 PUFAs

#### <u>Footnotes</u>

- (1) 18 months' follow-up; PP data (participants who completed and ingested at least 80% of study medication), mean changes
- (2) 12 months' follow-up, PP data, change scores
- (3) 6 months' follow-up; PP data, means

#### DISCUSSION

### **Summary of main results**

The review included three studies involving 632 participants with AD.

There was no convincing benefit of omega-3 PUFAs for our predefined primary outcomes of cognition, function or dementia severity, or for any other outcomes within the scope of this review, regardless of the dose of omega-3 PUFAs or the duration of intake. There was a numerical advantage of omega-3 PUFAs for cognition but even the upper boundaries of the related CIs were nearly always below published estimates of an MCID. Our results on the safety and adverse effects of dietary omega-3 PUFAs were consistent with previous findings and assumptions (EFSA 2012; Eritsland 2000; FAO 2010; Sydenham 2012). There were adverse events in the study population, but these occurred equally in the treatment and the placebo groups.

### Overall completeness and applicability of evidence

All trials assessed relevant endpoints to evaluate therapeutic efficacy in people with dementia. The larger studies addressed cognitive function, ADL and global severity of dementia together (Freund-Levi 2006; Quinn 2010), as recommended by an expert group of the European Medicines Agency (EMA 2014). However, the pilot study of Shinto 2014 addressed a surrogate parameter as the primary endpoint and the study was not designed to test efficacy in the secondary endpoints relevant for this review.

All trials included participants with diagnoses of AD of mild to moderate severity and tested an appropriate dose (according to EFSA 2010; EFSA 2012). We found no trial investigating omega-3 PUFAs in other dosages, or type or stage of dementia. Therefore, we cannot draw conclusions on people with VaD, DLB, PDD or FTD, or more severe forms of AD. Mean values of nutritional parameters presented in the studies indicated no malnutrition or lack of DHA at baseline and none of the trials investigated relevant subgroups. Therefore, we cannot rule out that trial participants with poorer baseline nutritional status may benefit more from the intervention.

### Quality of the evidence

All three studies were RCTs. By using the GRADE approach, we rated the overall quality of evidence for most outcomes as high. By the GRADE definition, this means, "we are very confident that the true effect lies close to that of the estimate of the effect" (Schünemann 2013). However, Freund-Levi 2006 did not report if blinding was maintained long enough to blind the outcome assessor but we assumed that this was a reporting issue and judged that the possible impact on the pooled outcomes was small when combined with the larger ADCS trial (Quinn 2010). All uncertainties regarding data that arose during the review process were resolved when we contacted the study authors.

### Potential biases in the review process

More than one-quarter of all randomised participants discontinued study participation. To date, there is no optimal method to address missing data in trials on dementia. Due to the progressive course of the disease, both ITT based on the LOCF data and per-protocol analysis based on data assessed on completers of the trials are questionable, if not inappropriate, analysis methods (EMA 2014). We addressed this potential bias in a six-month sensitivity analysis, imputing missing data on the assumption of similarity to the data of the control group and the results remained similar. However, it is conceivable that this assumption is violated by the possibility that participants who discontinued the studies could have had even worse results than the control groups.

# Agreements and disagreements with other studies or reviews

This review is in line with several other Cochrane systematic reviews investigating the effect of omega-3 PUFAs in the prevention or therapy of neurological diseases (Dennis 2013; Irving 2006; Montgomery 2008, Sydenham 2012), where there was no evidence from RCTs for the effectiveness of omega-3 PUFAs. This applies also for a range of other diseases affecting people of advanced age (Campbell 2013; Hartweg 2008; Hooper 2004; Lawrenson 2015).

### **AUTHORS' CONCLUSIONS**

### Implications for practice

We found no convincing evidence for efficacy of omega-3 polyunsaturated fatty acids (omega-3 PUFA) supplements in the treatment of mild to moderate Alzheimer's disease (AD). This result was based on high quality evidence and was consistent across all of the outcomes relevant for people with AD. It is possible that omega-3 PUFAs improve instrumental activities of daily living, such



as more complex activities (i.e. shopping), when taken for a longer period of time, but this has to be confirmed in further trials. Adverse effects of omega-3 PUFAs seem to be uncommon, but based on the evidence synthesised in this review, we cannot make a definite statement on the tolerability of omega-3 PUFA supplements.

The effects on other populations of people with dementia remain unclear.

### Implications for research

Based on consistent results from high quality evidence, we do not believe any further studies investigating the same treatment regimen in people with mild to moderate AD would yield any other results related to cognition and basic function. However, it remains unclear if people with other types of dementia or differing levels of severity of dementia would benefit from omega-3 PUFAs. This applies in particular for people with a docosahexaenoic acid (DHA) deficit. Therefore, future trials should provide pre-specified subgroup analyses for people with malnutrition or low DHA levels.

Based on current discussions (EMA 2014; Vellas 2008), it may prove favourable to assess cognition with outcome measures more sensitive to change versus the regular scales (e.g. Harrison 2007). It can also be hypothesised that changes in instrumental activities of daily living (i.e. doing finances) are more likely to be detected in early stages of dementia. Therefore, future trials should also consider using measures for instrumental activities of daily living.

More emphasis should be placed on statistical issues because the proportion of missing data in trials investigating dementia can be high. Simple methods such as last observation carried forward are seemingly attractive for longitudinal designs, but often cause bias due to several shortcomings. Possibly the most obvious and severe being that it ignores the progressive course of dementia disease (Molnar 2008). Mixed models for repeated measures and

slope-based analyses can also overestimate the effect (EMA 2014). Both models do not account for the possibility of a less favourable course for people discontinuing the study. In a European Medicines Agency (EMA) discussion paper, several alternative choices of analyses and sensitivity analyses were suggested to accompany the primary analysis (EMA 2014). Such additional calculations can be useful to interpret the data, provided that the assumptions and methods for imputed data are described and the assumed effect and variability measures are presented. Following the suggestion of Molnar 2009, it might further support interpretability and decision making, if minimal clinically important differences of outcome measures are determined as a complementary part in randomised controlled trials investigating omega-3 PUFAs for dementia. It can be reasonably assumed that for many people affected by cognitive decline, the trade-off between effectiveness, adverse effects and costs of nutritional supplements differs from that of drugs prescribed for dementia.

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\* Indicates the major publication for the study

### CHARACTERISTICS OF STUDIES

### **Characteristics of included studies** [ordered by study ID]

Methods	Randomised, double-blind, placebo-controlled trial; cross-over design (second sequence not included in this review); trial duration from December 2000 to March 2004)		
Participants	Country: Sweden		
	Diagnosis: AD		
	Follow-up (first sequence): 6 months		
	Inclusion criteria: AD according to <i>Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition</i> ( $DSM-IV$ ) criteria; MMSE-15 score 15-30 points, person living in his or her own home; treatment with a stable dose of acetylcholinesterase inhibitors for $\geq 3$ months before the start of the study; and plan to continue acetylcholine esterase inhibitors for the duration of the study		
	Exclusion criteria: people were excluded if treated with non-steroidal anti-inflammatory drugs (low-dose aspirin (acetylsalicylic acid) was accepted), omega-3 preparations or anticoagulant agents; alcohol abuse; had a concomitant serious disease or did not have a carer		
	Total number of participants: 204 (103 in omega-3 group, 101 in placebo group)		
	Per-protocol population: 178 (91 in omega-3 group, 87 in placebo group)		
	Baseline characteristics:		
	<ul> <li>age, mean (SD) years: omega-3 PUFA 73.2 (8.99); placebo 73.74 (8.62)</li> <li>female sex: omega-3 PUFA 59%; placebo 48%</li> <li>total years of formal education, mean (SD): not reported</li> <li>MMSE, mean (SD): omega-3 PUFA 23.6 (3.85); placebo 23.2 (3.76) (per-protocol population)</li> <li>plasma DHA, mean (SD) %*: omega-3 PUFA 3.1 (1.3); placebo 3.2 (1.2) (per-protocol population) (da extracted from Faxen-Irving 2009)</li> <li>plasma EPA, mean (SD) %*: omega-3 PUFA 1.8 (0.9); placebo 1.8 (0.8) (per-protocol population) (da extracted from Faxen-Irving 2009)</li> <li>number of participants with antidepressant drugs (%): omega-3 PUFA 46 (45%); placebo 36 (36%)</li> <li>body mass index, kg/m² (SD): omega-3 PUFA 24.72 (3.04); placebo 24.01 (3.01)</li> <li>use of cholinesterase inhibitors: omega-3 PUFA 100%; placebo 100%</li> <li>use of memantine: not reported</li> <li>*relative amount in percentage of all fatty acids analysed in total plasma</li> </ul>		
Interventions	Intervention 1: omega-3 PUFA capsules 1 g containing DHA 430 mg and EPA 150 mg and vitamin E 4 n 4 capsules/day, total daily dose of DHA 1.7 g and EPA 0.6 g		
	Intervention 2: placebo containing isocaloric placebo oil (corn oil 1 g, including linoleic acid 0.6 g) and vitamin E 4 mg		
	Treatment duration (first part of cross-over trial): 6 months		
Outcomes	Primary:		
	<ul> <li>cognitive function measured with ADAS-Cog mean difference at 6 months</li> <li>cognition measured with MMSE mean difference at 6 months</li> </ul>		
	Secondary:		



### Freund-Levi 2006 (Continued)

- overall dementia severity measured with CDR-SOB, mean difference at 6 months
- · overall dementia severity measured with CDR, mean difference at 6 months (not used for this review)
- neuropsychiatric symptoms measured with NPI, mean difference at 6 months
- ADL measured with DAD scale, mean difference at 6 months
- · MADRS, mean difference at 6 months
- safety and tolerability

Data of second part of cross-over trial, carer burden, anthropometry and biochemical outcomes, and blood pressure not included in this review

Notes

Authors stated: "In the intention-to-treat analyses, the last observation was carried forward to the subsequent registration. Since no differences in outcomes between the two methods were found, we have chosen to show these data using the per-protocol mode"

### Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Faxen Irving, 2009: "Patients were randomized in blocks of four, using sealed envelopes and according to a computerized table of random numbers, to receive four 1 g capsules daily, each containing 430 mg DHA and 150mg EPA [] or an isocaloric placebo oil (containing 1 g of corn oil, including 0.6 g of linoleic acid)" p. 12
Allocation concealment (selection bias)	Low risk	Faxen Irving, 2009: "Patients were randomized in blocks of four, using sealed envelopes and according to a computerized table of random numbers, to receive four 1 g capsules daily, each containing 430 mg DHA and 150mg EPA [] or an isocaloric placebo oil (containing 1 g of corn oil, including 0.6 g of linoleic acid)" p. 12
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Capsules filled with either verum or placebo
Blinding of outcome as- sessment (detection bias) All outcomes	Unclear risk	Study described as 'double blind' but authors did not describe how long blinding was maintained or if outcome assessors were blinded too. Overestimation of effects possible
Incomplete outcome data (attrition bias) All outcomes	Low risk	Drop-outs equal in both groups  Both ITT using LOCF and per-protocol analyses performed, no significant dif-
		ferences detected when analysed with the 2 methods
Selective reporting (reporting bias)	Unclear risk	Data to both primary outcomes described as in study protocol planned. Relevant adverse effects mentioned but not described which group. This might favour omega. However, authors reported, "the Omega 3 fatty acid preparation was well tolerated and safe" and drop-outs are equally distributed
Other bias	Low risk	The OmegAD study was initially partly funded by Pronova Biocare A/S, Lysaker, Norway. Industry was involved in planning phase and the decision of submitting the publication, not in collection, analysis or interpretation of data

### **Quinn 2010**

Methods	Randomised, double-blind, placebo-controlled trial: trial duration from February 2007 to May 2009
Mcthods	nandomisea, adable bina, placebo controllea trial, trial adration nomine conduity 2001 to may 2005



### Quinn 2010 (Continued)

### **Participants**

Country: USA

Diagnosis: probable AD

Follow-up: 18 months

Inclusion criteria: probable AD (according to trial protocol mild to moderate AD, aged ≥ 50 years and neuroimaging consistent with the diagnosis of AD at some time after the onset of the memory decline), MMSE 14-26, medically stable, mean consumption of DHA ≤ 200 mg/day (assessed by a brief 7-item food frequency questionnaire), no consumption of DHA or omega-3 fatty acid supplements

Exclusion criteria: intake of central anticholinergic effects or sedatives or people who received investigational treatment for AD

Stable use (≥ 3 months) of cholinesterase inhibitors or memantine was permitted

Total number of participants: 402

ITT population: 402 (238 in omega-3 PUFA group, 164 in placebo group)

### Baseline characteristics:

- age (SD) years: omega-3 PUFA 76 (9.3); placebo: 76 (7.8)
- female sex: omega-3 PUFA 47.1%; placebo: 59.8%
- total years of formal education, mean (SD): omega-3 PUFA 14 (2.9); placebo 14 (2.7)
- MMSE, mean (SD): omega-3 PUFA 20.9 (3.6); placebo 20.3 (3.7)
- plasma DHA, mean (SD) in %\*: omega-3 PUFA 3.18 (1.21); placebo 3.13 (0.96)
- plasma EPA: not reported
- body mass index, kg/m<sup>2</sup> (SD): omega-3 PUFA 26 (4); placebo 26 (4)
- use of cholinesterase inhibitors: omega-3 PUFA 87.4%; placebo 83.5%
- use of memantine: omega-3 PUFA 58.4%; placebo 63.4%

\*relative amount in percentage of all fatty acids analysed in total plasma

### Interventions

Intervention 1: algal-derived DHA capsules, 1 g twice per day, total daily dose DHA approximately 900-1100 mg. Martek Biosciences, Columbia, Maryland, Algal DHA contains approximately 45-55% of DHA by weight and does not contain EPA

Intervention 2: placebo capsules (made up of corn or soy oil), identical in appearance

Treatment duration: 18 months

### Outcomes

### Primary:

- · cognitive function measured with ADAS-Cog, rate of change from baseline to 18 months
- · overall dementia severity measured with CDR-SOB, rate of mean change from baseline to 18 months

### Secondary:

- ADL living measured with ADCS-ADL, rate of change from baseline to 18 months
- Dementia-related behavioural symptoms measured with NPI, rate of change from baseline to 18 months
- Cognition measured with MMSE, rate of change from baseline to 18 months
- Quality of life measured with Alzheimer's Disease scale (unpublished results)
- Results of a sub-population who participated in studies of brain imaging and cerebrospinal fluid not included in this review
- Adverse events reported but not assessed as outcome

### Notes

Disproportionate enrolment in groups (60% omega, 40% placebo) was intended to enhance recruitment



### Quinn 2010 (Continued)

Statistical analysis by linear mixed-effects model with baseline MMSE score as covariate. Unpublished 6-month results provided by personal communication by Dr. Quinn (Table 4)

### Risk of bias

Nisk of Dius				
Bias	Authors' judgement	Support for judgement		
Random sequence generation (selection bias)	Low risk	"Randomization was achieved with a centralized interactive voice response system, using a block design with a block size of 5 (3 in the DHA group and 2 in the placebo group)" p. 3		
Allocation concealment (selection bias)	Low risk	"Randomization was achieved with a centralized interactive voice response system, using a block design with a block size of 5 (3 in the DHA group and 2 in the placebo group)" p. 3		
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Placebo identical in appearance. "When asked to guess treatment assignment for each participant at the final study visit, the majority of study partners (48.5%), study coordinators (50%), and site physicians (59.2%) responded "do not know" p. 7		
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Placebo identical in appearance. "When asked to guess treatment assignment for each participant at the final study visit, the majority of study partners (48.5%), study coordinators (50%), and site physicians (59.2%) responded "do not know" p. 7		
Incomplete outcome data (attrition bias) All outcomes	Low risk	After 6 months approximately 10% drop-outs in both groups. Reasons for drop-outs at 18 months described. Missing data additionally considered by mixed-effects models		
		Comment: higher drop-outs and unequal distribution at 18 months considered in GRADE as limitations for quality of life outcomes. Distribution of drop-outs similar for all other outcomes used in this review		
Selective reporting (reporting bias)	Low risk	Primary outcomes and secondary outcomes that were defined in study protocol were assessed and reported. Quality of life measures not published but provided by Dr. Quinn (personal communication)		
Other bias	Low risk	2 employees of a DHA manufacturer were involved in the planning, conducting and reporting of the trial, 1 of them also in analysis and interpretation of data. Authors explicitly stated that, "Martek employees did not participate in the statistical analysis and did not have access to the data prior to the completion of data." 2 authors named as co-inventors on a patent for DHA for the treatment of AD in apolipoprotein E $\epsilon$ 4-negative people but have waived personal rights to royalties related to this patent		
		The study was otherwise supervised: "The National Institute of aging (NIA) approved the study design, its representatives participated in meetings of the steering committee of the Alzheimer's Disease Cooperative Study []" p. 9		
		No relevant baseline imbalance and free of early stopping		

### Shinto 2014

Methods

3-arm (omega-3; placebo, parallel group, alpha lipoic acid) placebo-controlled, double-blind, randomised controlled trial



Shinto 2014 (Continued)

The arm with alpha lipoic acid was not included in this review; trial duration from April 2004 to December 2009.

### **Participants**

Country: USA

Diagnosis: probable AD (NINCDS-ADRDA criteria)

Follow-up: 12 months

Inclusion criteria: probable AD; aged ≥ 55 years, MMSE score 15-26, Clinical Dementia Rating Scale 0.5-1.0, not depressed (Center for Epidemiological Studies of Depression Score < 4.0)

Exclusion criteria: non-AD dementia; residence at long-term care facility at screening visit; history of clinically significant stroke; health conditions such as cancer (prostate cancer Gleason grade < 3 and non-metastatic cancers were acceptable), liver disease, history of ventricular fibrillation or ventricular tachycardia, major psychiatric disorder, major central nervous system diseases (e.g. brain tumour, seizure disorder); taking lipid-lowering medication; hyperlipidaemia (triglycerides > 500 mg/dL, lowdensity lipoprotein > 160 mg/dL, total cholesterol > 240 mg/dL); fish oil or cod liver oil supplementation within 30 days of enrolment; >  $1 \times 6 \text{ ounce}$  (150 g) serving per week of fish or seafood within 30 days of enrolment; lipoic acid supplementation within 30 days of enrolment; taking systemic corticosteroids, neuroleptics, antiparkinsonian agents or narcotic analgesics

Acetylcholinesterase inhibitors, memantine, vitamin E and ginkgo biloba were allowed if stable for 4 months prior to study enrolment

Total number of participants: 39 (13 in omega-3 PUFA group, 13 in alpha lipoic acid group, 13 in place-bo group)

Per-protocol population: 34 (11 in omega-3 PUFA group, 12 in alpha lipoic acid group, 11 in placebo group)

### Baseline characteristics:

- age (SD) years: omega-3 PUFA 75.9 (8.1); placebo 75.2 (10.8)
- female sex: omega-3 PUFA 62%; placebo 54% (based on data provided by Dr. Shinto)
- college or greater: omega-3 PUFA 39%; placebo 54%
- MMSE, mean (SD): omega-3 PUFA 20.7 (2.7); placebo 22.2 (3.1)
- DHA in % of total in red blood cell membranes (SD): omega-3 PUFA 5.1 (1.3); placebo 4.4 (1.0)
- EPA in % of total in red blood cell membranes (SD): omega-PUFA 0.6 (0.2); placebo 0.6 (0.1)
- body mass index, kg/m<sup>2</sup> (SD): omega-3 PUFA 26.2 (4.5); placebo 23.8 (3.1)
- use of cholinesterase inhibitors or memantine: omega-3 PUFA 92%; placebo 77%
- use of memantine, number (%): omega-3 PUFA 139 (58.4%); placebo 104 (63.4%)

### Interventions

Intervention 1: 1 placebo tablet (replacing alpha lipoic acid) in the morning, 2 placebo capsules (replacing omega-3 PUFA) in the morning and 1 in the afternoon with food. Placebo for omega-3 contained soybean oil with 5% fish oil and lemon flavour

Intervention 2: omega-3 PUFA capsules (fish oil concentrate in the triglyceride form at 3 g/day, daily dose of DHA 675 mg and EPA 975 mg, flavoured with lemon), 2 capsules in the morning with food, 1 capsule in the evening with food. 1 placebo tablet (replacing alpha lipoic acid) was additionally given in the morning

Intervention 3: alpha lipoic acid 600 mg/day in 1 tablet and 2 omega-3 capsules in the morning with food, 1 omega-3 capsule in the afternoon with food (daily dose of DHA 675 mg and EPA 975 mg)

Treatment duration: 12 months

Only data of intervention 2 (omega-3 PUFA) and intervention 1 (placebo) was included in this review

Outcomes

Primary:



#### Shinto 2014 (Continued)

• lipid oxidation measured as change in urine peripheral F2-isoprostane levels (adjusted for creatinine) from baseline to 12 months

#### Secondary:

- cognitive function measured with ADAS-Cog, change from baseline to 12 months
- cognitive function measured with MMSE, change from baseline to 12 months
- OARS-ADL/OARS-IADL Questionnaire, change from baseline to 12 months (according to personal information from Dr. Shinto; in Shinto 2014 an other scale is cited)

The primary outcome of the study was not included in this review (surrogate outcome). Adverse effects reported, but not assessed as outcome

#### Notes

Study registration number on ClincalTrials.gov, NCT00090402

The research was supported by the National Institutes of Health/National Institute of Aging (NIH/NIA) R21AG023805, NIH/NIA AG08017 and NIH General Clinical Research Grant M01RR00334. Nordic Natural, Watsonville, CA, USA, supplied the fish oil and placebo oil. There was no visible influence by industry in the planning phase, conducting phase or analysing process

Statistical analysis by linear mixed-effects model adjusted for age and education

#### Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	"Participants were randomised by a computer generated scheme that was stratified by smoking status (current smoker versus nonsmoker) []" p. 3
Allocation concealment (selection bias)	Low risk	"Participants were randomized by a computer generated scheme that was stratified by smoking status (current smoker versus nonsmoker) as this would have the greatest impact on the primary outcome" p. 3
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	"The study assessed the maintenance of blinding over 12 months by asking the participant's study partner, the participant, and all research staff involved in administering outcome measures about knowledge of group assignment at 12 months" p. 4
		"When asked about treatment assignment at the end of the study, the majority reported no knowledge of treatment assignment: research staff (100%), AD participant (84%), participant study partner (81%)" p. 5
Blinding of outcome assessment (detection bias) All outcomes	Low risk	The study assessed the maintenance of blinding over 12 months by "asking [] all research staff involved in administering outcome measures about knowledge of group assignment at 12 months" p. 4
		"When asked about treatment assignment at the end of the study, the majority reported no knowledge of treatment assignment: research staff (100%), AD participant (84%), participant study partner (81%)" p. 5
Incomplete outcome data (attrition bias) All outcomes	Low risk	Drop-outs equally distributed in omega-3 (1 death, 1 moved) and placebo group (1 death, 1 discarded). Missing data considered by mixed-effects models
Selective reporting (reporting bias)	Low risk	Outcomes were congruent with trials protocol
Other bias	Low risk	Small baseline imbalance but we did not judge it relevant for this review



Shinto 2014 (Continued)

Second author was named in Quinn 2010 as co-inventor on a patent for DHA for the treatment of AD but waived rights to royalties related to this patent

AD: Alzheimer's disease; ADAS-Cog: Alzheimer's Disease Assessment Scale - Cognitive subscale; ADCS-ADL: Alzheimer's Disease Cooperative Study - Activities of Daily Living; ADL: activities of daily living; ADRDA: Alzheimer's Disease and Related Disorders Association; CDR-SOB: Clinical Dementia Rating - Sum of Boxes; DAD: Disability Assessment for Dementia; DHA: docosahexaenoic acid; EPA: eicosapentaenoic acid; IADL: instrumental activities of daily living; ITT: intention to treat; LOCF: last observation carried forward; MADRS: Montgomery-Åsberg Depression rating scale; MMSE: Mini-Mental State Examination; NINCDS: National Institute of Neurological and Communicative Disorders and Stroke; NPI: Neuropsychiatric Inventory; OARS-ADL: Older Americans Resources - Activities of Daily Living; OARS-IADL: Older Americans Resources and Services - Instrumental Activities of Daily Living; SD: standard deviation.

### **Characteristics of excluded studies** [ordered by study ID]

Study	Reason for exclusion
Carter 2006	According to information of the North East London NHS Foundation Trust (UK), the trial was not completed due to non-significant results and low numbers recruited
Chiu 2008	RCT investigated omega-3 fatty acids on people with mild cognitive impairment and AD but duration of intervention was only 24 weeks
Corrigan 1991	RCT investigated omega-6 fatty acids
Hashimoto 2011	Conference abstract. Refers to the trial published in Hashimoto 2012
Hashimoto 2012	RCT investigating omega-3 PUFAs in healthy participants. Excluded "[] neurological disorder that could produce cognitive deterioration, including AD []"
Mahmoudi 2014	Included "normal cognitive elderly accompanied by mild to moderate cognitive impaired participants." No diagnose of dementia
NCT00867828	According to CinicalTrials.gov registry, the treatment duration was planned for 24 weeks. The study was completed at 1 January 2011. The responsible company NeuroBioPharm Inc. announced that it was not possible to share any information on the trial
Terano 1999	Not an RCT

AD: Alzheimer's disease; PUFA: polyunsaturated fatty acid; RCT: randomised controlled trial.

## DATA AND ANALYSES

#### Comparison 1. Omega-3 PUFAs versus placebo

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1 Mini-Mental State Examination (MMSE; 6 months' follow-up, per protocol (PP) analysis)	2	202	Mean Difference (IV, Fixed, 95% CI)	0.18 [-1.05, 1.41]
2 Alzheimer's Disease Assessment Scale - Cognitive subscale (ADAS-Cog; 6 months' follow-up, PP analysis)	3	566	Std. Mean Difference (IV, Fixed, 95% CI)	-0.02 [-0.19, 0.15]

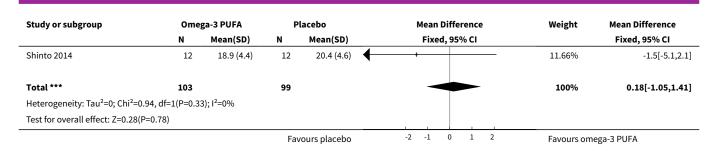


Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
3 Activities of daily living (6 months' follow-up, PP analysis)	2	544	Std. Mean Difference (IV, Fixed, 95% CI)	-0.02 [-0.19, 0.16]
4 Older Americans Resources and Services - Instrumental Activities of Daily Living (OARS-IADL) change scores (12 months' follow-up, PP analysis)	1		Mean Difference (IV, Fixed, 95% CI)	Totals not se- lected
5 Clinical Dementia Rating - Sum of Boxes (CDR-SOB; 6 months' follow-up, PP analysis)	2	542	Mean Difference (IV, Fixed, 95% CI)	-0.00 [-0.58, 0.57]
6 Neuropsychiatric Inventory (NPI; 6 months' follow-up, PP analysis)	2	543	Std. Mean Difference (IV, Fixed, 95% CI)	0.10 [-0.07, 0.27]
7 Montgomery-Åsberg Depression rating scale (MADRS; 6 months' follow-up, PP analysis)	1		Mean Difference (IV, Fixed, 95% CI)	Totals not se- lected
8 Adverse events (18 months' follow-up, intention-to- treat (ITT) analysis))	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not se- lected
9 Any serious adverse events (18 months' follow-up, ITT analysis)	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not se- lected
10 Quality of Life Alzheimer's Disease scale (QoL-AD; 6 months' follow-up, PP analysis, participant rated)	1		Mean Difference (IV, Fixed, 95% CI)	Totals not se- lected
11 QoL-AD scale (6 months' follow-up, PP analysis, informant rated)	1		Mean Difference (IV, Fixed, 95% CI)	Totals not se- lected
12 QoL-AD scale (18 months' follow-up, PP analysis, informant rated)	1		Mean Difference (IV, Fixed, 95% CI)	Totals not se- lected
13 QoL-AD scale (18 months' follow-up, PP analysis, participant rated)	1		Mean Difference (IV, Fixed, 95% CI)	Totals not se- lected
14 Sensitivity analysis ADAS-Cog (6 months' follow-up, imputed means for missing data. Assumption: values of missing data = values of control group)	3	632	Std. Mean Difference (IV, Fixed, 95% CI)	-0.02 [-0.18, 0.13]
15 Sensitivity analysis MMSE (6, 12 and 18 months' follow-up, PP analysis)	3	464	Mean Difference (IV, Fixed, 95% CI)	0.55 [-0.31, 1.40]
16 Sensitivity analysis ADAS-Cog (6 and 18 months' follow-up)	3	504	Std. Mean Difference (IV, Fixed, 95% CI)	-0.03 [-0.20, 0.15]

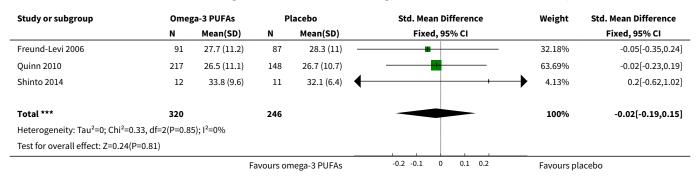
## Analysis 1.1. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 1 Mini-Mental State Examination (MMSE; 6 months' follow-up, per protocol (PP) analysis).

Study or subgroup	Ome	ga-3 PUFA	Placebo		Mean Difference	Weight	Mean Difference
	N	Mean(SD)	N	Mean(SD)	Fixed, 95% CI		Fixed, 95% CI
Freund-Levi 2006	91	22.8 (4.4)	87	22.4 (4.5)	<del>-   -   -   -   -   -   -   -   -   -  </del>	88.34%	0.4[-0.91,1.71]
			Fav	ours placebo	-2 -1 0 1 2	Favours om	ega-3 PUFA





Analysis 1.2. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 2 Alzheimer's Disease Assessment Scale - Cognitive subscale (ADAS-Cog; 6 months' follow-up, PP analysis).



Analysis 1.3. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 3 Activities of daily living (6 months' follow-up, PP analysis).

Study or subgroup	Omeg	Omega-3 PUFAs		Placebo		Std. Mean Difference				Weight	Std. Mean Difference	
	N	Mean(SD)	N	Mean(SD)		Fix	ed, 95%	CI			Fixed, 95% CI	
Freund-Levi 2006	91	31.8 (10.2)	87	30.5 (10.7)			-			33.56%	0.12[-0.17,0.42]	
Quinn 2010	219	55.5 (14.9)	147	56.8 (15.4)			#			66.44%	-0.09[-0.29,0.12]	
Total ***	310		234				•			100%	-0.02[-0.19,0.16]	
Heterogeneity: Tau <sup>2</sup> =0; Chi <sup>2</sup> =	1.29, df=1(P=0.2	6); I <sup>2</sup> =22.69%										
Test for overall effect: Z=0.18	(P=0.86)							1				
			Fav	ours placebo	-2	-1	0	1	2	Favours or	nega-3 PUFAs	

Analysis 1.4. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 4 Older Americans Resources and Services - Instrumental Activities of Daily Living (OARS-IADL) change scores (12 months' follow-up, PP analysis).

Study or subgroup	Ome	Omega-3 PUFAs		Placebo		Mean Difference				Mean Difference	
	N	Mean(SD)	N	Mean(SD)		Fi	xed, 95%	CI		Fixed, 95% CI	
Shinto 2014	11	0.7 (1)	11	11 4.2 (0.9)					-3.5[-4.3,-2.7]		
			Favou	irs omega-3 PUFAs	-4	-2	0	2	4	Favours placebo	



# Analysis 1.5. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 5 Clinical Dementia Rating - Sum of Boxes (CDR-SOB; 6 months' follow-up, PP analysis).

Study or subgroup		ga-3 PUFAs	Р	Placebo		Mean Difference			Weight	Mean Difference
	N	Mean(SD)	N	Mean(SD)		Fix	ed, 95% CI			Fixed, 95% CI
Freund-Levi 2006	91	6.2 (3.7)	87	6.5 (3.8)			-		27.34%	-0.3[-1.4,0.8]
Quinn 2010	216	6.9 (3.3)	148	6.8 (3.2)		-	-		72.66%	0.11[-0.56,0.78]
Total ***	307		235			-	•		100%	-0[-0.58,0.57]
Heterogeneity: Tau <sup>2</sup> =0; Chi <sup>2</sup> =	0.39, df=1(P=0.5	3); I <sup>2</sup> =0%								
Test for overall effect: Z=0.01	(P=0.99)									
		F	avours or	nega-3 PUFAs	-2	-1	0 1	2	Favours placeb	0

## Analysis 1.6. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 6 Neuropsychiatric Inventory (NPI; 6 months' follow-up, PP analysis).

Study or subgroup	Omeg	Omega-3 PUFAs		lacebo	Std. Mean Difference	Weight	Std. Mean Difference
	N	Mean(SD)	N Mean(SD)		Fixed, 95% CI		Fixed, 95% CI
Freund-Levi 2006	91	16.6 (12.9)	87	16 (15)		33.72%	0.04[-0.25,0.34]
Quinn 2010	219	11.2 (12.5)	146	9.6 (10.8)	<del></del>	66.28%	0.13[-0.08,0.34]
Total ***	310		233			100%	0.1[-0.07,0.27]
Heterogeneity: Tau <sup>2</sup> =0; Chi <sup>2</sup> =	0.25, df=1(P=0.6	2); I <sup>2</sup> =0%					
Test for overall effect: Z=1.19	(P=0.24)						
		F	avours or	nega-3 PUFAs	-0.5 -0.25 0 0.25 0.5	Favours pl	acebo

## Analysis 1.7. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 7 Montgomery-Åsberg Depression rating scale (MADRS; 6 months' follow-up, PP analysis).

Study or subgroup	Omega-3 PUFAs		Placebo			Mean Difference				Mean Difference	
	N	Mean(SD)	N	Mean(SD)		Fixed, 95% CI			Fixed, 95% CI		
Freund-Levi 2006	91	1.5 (2.2)	87	1.6 (2.1)				-0.1[-0.74,0.54]			
			Favou	rs omega-3 PUFAs	-2	-1	0	1	2	Favours placebo	

# Analysis 1.8. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 8 Adverse events (18 months' follow-up, intention-to-treat (ITT) analysis)).

Study or subgroup	Omega-3 PUFAs	Placebo	Risk Ratio	Risk Ratio	
	n/N	n/N	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI	
Quinn 2010	214/238	144/164		1.02[0.95,1.1]	
		Favours omega-3 PUFAs	1	Favours placebo	



# Analysis 1.9. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 9 Any serious adverse events (18 months' follow-up, ITT analysis).

Study or subgroup	Omega-3 PUFAs	Placebo	Risk Ratio	Risk Ratio
	n/N	n/N	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Quinn 2010	76/238	50/164		1.05[0.78,1.41]
		Favours omega-3 PUFAs	1	Favours placeho

# Analysis 1.10. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 10 Quality of Life Alzheimer's Disease scale (QoL-AD; 6 months' follow-up, PP analysis, participant rated).

Study or subgroup	Omega-3 PUFAs		Placebo		Me	an Differe	Mean Difference			
	N	Mean(SD)	N	Mean(SD) Fixed		Fixed, 95% CI			Fixed, 95% CI	
Quinn 2010	199	39.8 (5.3)	133	39.9 (5.4)					-0.1[-1.28,1.08]	
				Favours placebo		-2	0	2	4	Favours omega-3 PUFAs

# Analysis 1.11. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 11 QoL-AD scale (6 months' follow-up, PP analysis, informant rated).

Study or subgroup	Ome	Omega-3 PUFAs F		Placebo	Mean Difference	Mean Difference	
	N	Mean(SD)	N	Mean(SD)	Fixed, 95% CI	Fixed, 95% CI	
Quinn 2010	195	34.6 (5.8)	136	36.3 (5.8)		-1.76[-3.04,-0.48]	
				Favours placebo	-5 -2.5 0 2.5 5	Favours omega-3 PUFAs	

# Analysis 1.12. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 12 QoL-AD scale (18 months' follow-up, PP analysis, informant rated).

Study or subgroup	Ome	Omega-3 PUFAs Placebo		Placebo	Mean Difference	Mean Difference	
	N	Mean(SD)	N	Mean(SD)	Fixed, 95% CI	Fixed, 95% CI	
Quinn 2010	162	33.4 (6)	120	34.9 (6.3)		-1.49[-2.94,-0.04]	
				Favours placebo	-5 -2.5 0 2.5 5	Favours omega-3 PUFAs	

# Analysis 1.13. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 13 QoL-AD scale (18 months' follow-up, PP analysis, participant rated).

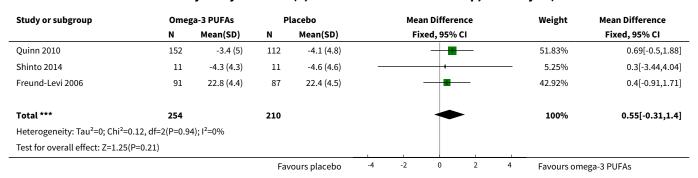
Study or subgroup	Ome	Omega-3 PUFAs		Placebo		Mea	n Differ	ence		Mean Difference
	N	Mean(SD)	N	N Mean(SD)		Fix	ed, 95%	Fixed, 95% CI		
Quinn 2010	150	39.6 (5.5)	119	40 (6.1)				-0.39[-1.79,1.01]		
				Favours placebo	-5	-2.5	0	2.5	5	Favours omega-3 PUFAs



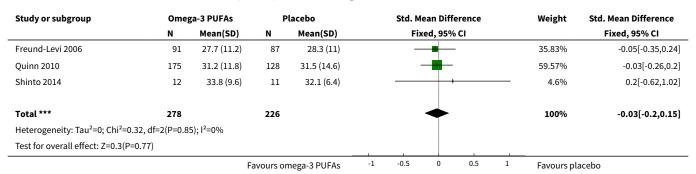
## Analysis 1.14. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 14 Sensitivity analysis ADAS-Cog (6 months' follow-up, imputed means for missing data. Assumption: values of missing data = values of control group).

Study or subgroup	or subgroup Omega-3 PUFAs Placebo		lacebo	Std. Mean Difference				Weight	Std. Mean Difference	
	N	Mean(SD)	N	Mean(SD)		Fix	ed, 95% CI			Fixed, 95% CI
Quinn 2010	238	26.6 (11)	164	26.7 (10.7)			<del>-</del>		62.81%	-0.02[-0.22,0.18]
Freund-Levi 2006	103	27.8 (11.1)	101	28.3 (10.9)		_	-		32.98%	-0.05[-0.32,0.23]
Shinto 2014	13	33.7 (9.2)	13	33.1 (6.1)			+	_	4.2%	0.07[-0.7,0.84]
Total ***	354		278				•		100%	-0.02[-0.18,0.13]
Heterogeneity: Tau <sup>2</sup> =0; Chi <sup>2</sup> =	0.09, df=2(P=0.9	6); I <sup>2</sup> =0%								
Test for overall effect: Z=0.29	(P=0.78)									
		F	avours or	nega-3 PUFAs	-1	-0.5	0 0.5	1	Favours plac	ebo

## Analysis 1.15. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 15 Sensitivity analysis MMSE (6, 12 and 18 months' follow-up, PP analysis).



Analysis 1.16. Comparison 1 Omega-3 PUFAs versus placebo, Outcome 16 Sensitivity analysis ADAS-Cog (6 and 18 months' follow-up).



### **ADDITIONAL TABLES**



Study	Before- hand published primary outcomes present- ed?	Planning phase and funding: role of industry	Conducting phase: role of industry	Analysing process: role of industry	Reporting process: role of industry	Overall judgement
Fre- und-Levi 2006	Yes	"The OmegAD study was funded in part by Pronova Biocare A/S, Lysaker, Norway. This company was represented in the trial steering committee for study design and the decision to submit for publication, and provided the EPAX1050TG and placebo preparations; however, the company was not involved in collection, analyses, or interpretation of the data" p. 1408	The funding company provided the intervention and placebo preparations.  "[] the company was not involved in collection, analyses, or interpretation of the data" p. 1408	"[] the company was not involved in collection, analyses, or interpretation of the data" p. 1408	The funding company was in involved in the decision to submit for publication. It was not part of the author team.  1 author has received travel grants from Pronova Biocare A/S	Low  Rationale: data collection, analysis, presentation and interpretation seem not to be influenced by the manufacturer itself or other undue interests
Quinn 2010	Yes	2 employees of Martek Biosciences (manufacturers of DHA and inventor of a patent for DHA for treatment of AD) were involved in study concept and design	2 employees of Martek Biosciences were involved in administrative, technical or material support	"Martek employees participated in design of the study and in revision of the manuscript ("Irish Endocrine Society 34th Annual Meeting,") The statistical analysis was conducted by the Alzheimer's Disease Cooperative Study Data Core. Martek employees did not participate in the statistical analysis and did not have access to the data prior to the completion of data analysis" p. 9	2 Martek employees were involved in the critical revision of the manuscript for important intellectual content.  2 other authors were (since 2010) co-inventors on a patent for DHA for the treatment of AD, which was filed in 2009; both waived personal rights to royalties related to this patent. Both were involved in study design and concept, supervision and acquisition of data.  1 was additionally involved in administrative, technical or material support. 1	Rationale: some trial authors disclosed industry financial ties or employment in detail. However, we received all data that we had asked for and the results of the primary endpoints were reported as planned in the trials registration form. The study was otherwise accompanied by external experts and the statistical analysis seemed to be conducted independently from manufactures employees



## Table 1. Methods used to control bias resulting from conflict of interest (Continued)

drafted the manuscript the other was involved in its critical revision

No other authors reported disclosures.

The study design was "approved by an oversight committee of the National Institute on Aging. Representatives from the National Institute on Aging participated in meetings of the steering committee of the Alzheimer's Disease Cooperative Study during the course of the trial" p. 9

Shinto 2014  Yes None described Nordic Natural, Watsonville, CA, USA, supplied the fish oil and placebo oil, and Meda Pharma, Bad Homburg  None described 2 from 11 authors disclosed fees for consultancy or lectures 1 author was also involved in the ADCS-NIA trial. He stated in the related article (Quinn 2010) that he was coinventor on a patent for DHA for the treatment of AD but waived personal rights to royalties related to this patent		, 8		•		
	Yes	None described	ural, Wat- sonville, CA, USA, supplied the fish oil and placebo oil, and Meda Pharma, Bad	None described	thors disclosed fees for consultancy or lectures  1 author was also involved in the ADCS-NIA trial. He stated in the related article (Quinn 2010) that he was coinventor on a patent for DHA for the treatment of AD but waived personal rights to royalties relat-	Rationale: the study was well reported, we received all data requested and we judged the financial ties to the manufac-

AD: Alzheimer's disease; ADCS-NIA: Alzheimer's Disease Cooperative Study - National Institute on Aging; DHA: docosahexaenoic acid.

Table 2. Baseline characteristics of participants and main interventions of included studies

Study	Num- ber ran- domised	Diagnosis and severity of disease	Mean age (SD) (years)	Mean MMSE (SD)	Mean BMI (SD)	Use of AD medicine	Daily omega-3 dose / treatment duration	Outcomes relevant to this review
Fre-	Total 204	AD	73.47	23.41 (3.8)	24.37	100% cholinesterase in-	DHA 1.7 g + EPA 0.6 g	ADAS-Cog
und-Levi 2006	IG 103	mild to moder-	(8.79)	(PP popu-	(3.04)	hibitors	26 weeks	MMSE
	CG 101	ate		lation)		Memantine not reported		CDR-SOB
								NPI
								DAD
								MADRS
								Safety and tolerability
Quinn	402	AD	76 (8.71)	20.66	26 (4.0)	85.8% cholinesterase in-	DHA 900-1100 mg	ADAS-Cog
2010	IG 238	mild to moder-		(3.65)		hibitors	18 months	CDR-SOB
	CG 164	ate				60.4% memantine		MMSE
								ADCS-ADL
								QoL
								NPI
Shinto	26	AD	75.55	21.45	25 (3.98)	84.61% cholinesterase	675 mg DHA	ADAS-Cog
2014	IG 13	mild to moder-	(9.36)	(2.95)		inhibitors or memantine	+ 975 mg EPA	MMSE
	CG 13	ate					12 months	OARS-ADL
								OARS-IADL

AD: Alzheimer's disease; ADAS-Cog: Alzheimer's Disease Assessment Scale - Cognitive subscale; ADCS-ADL: Alzheimer's Disease Cooperative Study - Activities of Daily Living; BMI: body mass index; CDR-SOB: Clinical Dementia Rating - Sum of Boxes; CG: control group; DAD: Disability Assessment for Dementia; DHA: docosahexaenoic acid; EPA: eicosapentaenoic acid; IG: intervention group; MADRS: Montgomery-Åsberg Depression rating scale; MMSE: Mini-Mental State Examination; NPI: Neuropsychiatric Inventory; OARS-ADL: Older Americans Resources and Services - Activities of Daily Living; OARS-IADL: Older Americans Resources and Services - Instrumental Activities of Daily Living; PP: per protocol; QoL: quality of life; SD: standard deviation.

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Omega-3	
fatty	
acids f	٠
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treatm	•
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3 fatty acids for the treatment of dementia (Review	
(Revie	
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Outcome (measurement in trials)	People with AD (n = 14)		Relatives (n =	Relatives (n = 12)		Staff members (n = 11)			Importance — for deci-
	Mean (SD)	Range	Mean (SD)	Range	Mean (SD)	Range	Mean (SD)	Range	sion-making
Adverse effects of medication (number of adverse events; num- ber of serious adverse events)	8.71 (8.71)	1	7.75 (1.42)	4	7.91 (2.43)	4	8.16 (1.59)	1	Critical
Quality of life (QoL-AD)	7.57 (2.07)	5	8.33 (1.23)	1	8.09 (1.14)	1	7.97 (1.57)	2	Critical
Mental health (MADRS; NPI)	7.79 (1.63)	4	8.00 (0.63)	3	8.00 (1.10)	3	7.92 (1.20)	3	Critical
General cognition (ADAS-Cog; MMSE)	8.21 (1.37)	2	8.08 (1.00)	2	7.36 (2.42)	6	7.92 (1.66)	4	Critical
Memory (not measured)	7.86 (1.61)	3	7.08 (1.62)	5	7.00 (2.53)	7	7.35 (1.92)	5	Critical
Complex activities of daily living (i.e. shopping) (OARS-IADL)	7.14 (1.96)	6	6.82 (1.40)	6	8.09 (1.45)	2	7.33 (1.69)	6	Critical
Simple activities of daily living (i.e. dressing) (ADCS-ADL; DAD)	6.71 (3.17)	7	6.00 (2.73)	7	7.82 (1.60)	5	6.81 (2.68)	7	Critical
Combined cognition and function (CDR-SOB)	-	-	-	-	-	-	-	-	Critical

ADAS-Cog: Alzheimer's Disease Assessment Scale - Cognitive subscale; ADCS-ADL: Alzheimer's Disease Cooperative Study - Activities of Daily Living; CDR-SOB: Clinical Dementia Rating - Sum of Boxes; DAD: Disability Assessment for Dementia; MADRS: Montgomery-Åsberg Depression rating scale; MMSE: Mini-Mental State Examination; n: number of participants; NPI: Neuropsychiatric Inventory; OARS-IADL: Older Americans Resources and Services - Instrumental Activities of Daily Living; QoL-AD: Quality of Life Alzheimer's Disease; SD: standard deviation.

Table 4. Unpublished data from the ADCS trials (total scores, provided via personal communication)

Measure- ment	Baseline		6 months' follow-u	ıp	18 months' fo	18 months' follow-up		
	Placebo mean (SD)	Omega-3 PUFA mean (SD)	Placebo	Omega-3 PUFA	Placebo	Omega-3 PU- FA	fects mod- el at 18	
		(30)	mean (SD)	mean (SD)	mean (SD)	mean (SD)	months	
ADAS-Cog <sup>a</sup>	23.96 (9.21)	23.77 (8.87)	26.73 (10.7)	26.53 (11.07)	31.53 (14.57)	31.17 (14.76)	-	

40.43 (5.38)

n = 150

QoL-AD par-

ticipant rat-

ed

	n = 162	n = 236	n = 148	n = 217	n = 128	n = 175	
ADCS-ADL	59.68 (12.9)	60.12 (12.32)	56.8	55.55	-	-	-
	n= 164	n = 238	(15.43)	(14.94)			
	11– 104	11 – 230	n = 147	n = 219			
CDR-SOB	5.77	5.61 (2.62)	6.75	6.86	-	-	-
	(2.61)	n = 238	(3.16)	(3.3)			
	n = 164	11 – 230	n = 148	n = 216			
NPI	9.15 (10.83)	8.92 (10.37)	9.58	11.17	-	-	-
	n = 164	n = 238	(10.8)	(12.47)			
			n = 146	n = 219			
QoL-AD in- formant rat-	36.96 (6.13)	36.45 (5.78)	36.31 (5.82)	34.55 (5.84)	34.91 (6.3)	33.42 (5.95)	P value =
	n = 151	n = 220	n = 136	n = 195	n = 120	n = 162	0.41

ADAS-Cog: Alzheimer's Disease Assessment Scale - Cognitive subscale; ADCS-ADL: Alzheimer's Disease Cooperative Study - Activities of Daily Living; CDR-SOB: Clinical Dementia Rating - Sum of Boxes; n: number of participants; NPI: Neuropsychiatric Inventory; PUFA: polyunsaturated fatty acid; QoL-AD: Quality of Life Alzheimer's Disease; SD: standard deviation.

39.76 (5.33)

n = 199

39.63 (5.45)

n = 150

40.02 (6.09)

n = 119

P value =

0.66

39.86 (5.41)

n = 133

<sup>a</sup>For ADAS-Cog missing items imputed with last observation carried forward; missing total scores not imputed.

40.0 (4.84)

n = 222



Table 5. Unpublished data as provided via personal communication by Dr. Shinto

Measurement	6 months' follow-up		
	Placebo mean (SD) n = 11	Omega mean (SD) n = 12	
ADAS-Cog	32.10 (6.4)	33.8 (9.6)	
MMSE	20.4 (4.6)	18.9 (4.4)	

ADAS-Cog: Alzheimer's Disease Assessment Scale - Cognitive subscale; MMSE: Mini-Mental State Examination; n: number of participants; SD: standard deviation.

### APPENDICES

## Appendix 1. Sources searched and search strategies

Source	Search strategy	Hits retrieved		
1. ALOIS	[Omega OR "fatty acid*" OR PUFA OR EPA OR DHA OR ALA "alpha linolenic acid*" OR "do-	March 2015: 70		
(www.medi- cine.ox.ac.uk/alois) but searched via the offline CRS	cosahexaenoic acid*" OR "docosapentanoic acid*" OR "eicosapentaenoic acid*"] AND Study Aim: Treatment Dementia AND Study design: RCT OR CCT	December 2015: 2		
(last searched 10 December 2015)				
2. MEDLINE In-	1. dement*.mp.	March 2015: 863		
process and oth- er non-indexed	2. alzheimer*.mp. December 76 3. ((cognit* adj3 impair*) or mci).mp.			
citations and MEDLINE 1946-				
present (OvidSP) (last searched 10	4. (memory adj3 (impair* or insufficien* or episode or complain*)).mp.			
December 2015)	5. ("functional impair*" or MFI).ab.			
	6. cognit* declin*.mp.			
	7. ("cognitive impairment no dementia" or CIND).mp.			
	8. exp Dementia, Vascular/			
	9. "vascular dementia".mp.			
	10. exp Lewy Bodies/			
	11. ("lewy* bod*" or DLB).mp.			
	12. (AAMI or AACD).mp.			
	13. 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 or 12			
	14. exp "fatty acids"/			
	15. "fatty acids, omega 3"/			
	16. ("fatty acid*" or fats or omega-3).mp.			



- 17. (PUFA\* or polyunsaturated).mp.
- 18. (EPA or "eicosapentaenoic acid\*").mp.
- 19. (ALA or "alpha linolenic acid\*").mp.
- 20. (DHA or "docosahexaenoic acid\*").mp.
- 21. (DPA or "docosapentanoic acid\*").mp.
- 22. n-3-fatty-acid\*.mp.
- 23. ("flaxseed oil" or "linseed oil" or "fish oil\*" or "salmon oil" or "cod liver oil" or "mackerel oil" or "tuna\* oil" or "tuna fish oil" or "blackcurrant oil" or "canola oil" or "rapeseed oil" or "mustard oil\*" or "walnut oil" or "wheat germ oil" or "dental oil\*").mp.
- 24. 21 or 17 or 20 or 15 or 14 or 22 or 18 or 23 or 16 or 19
- 25. 24 and 13
- 26. randomized controlled trial.pt.
- 27. Controlled clinical trial.pt.
- 28. randomi?ed.ti.
- 29. randomi?ed.ab.
- 30. placebo.ab.
- 31. drug therapy.fs.
- 32. randomly.ab.
- 33. trial.ab.
- 34. groups.ab.
- 35. "meta analys\*".ab.
- 36. 35 or 27 or 33 or 32 or 28 or 26 or 34 or 30 or 29 or 31
- 37. (animals not (humans and animals)).sh.
- 38. 36 not 37
- 39. 38 and 25
- 3. EMBASE
- 1. exp dementia/

March 2015: 889

December 2015: 128

ber 09 (OvidSP)
(last searched 10
December 2015)

1974-2015 Decem-

- 2. Lewy body/
- 3. delirium/
- 4. Wernicke encephalopathy/
- 5. cognitive defect/
- 6. dement\*.mp.
- 7. alzheimer\*.mp.
- 8. (lewy\* adj2 bod\*).mp.
- 9. deliri\*.mp.
- 10. (chronic adj2 cerebrovascular).mp.



- 11. ("organic brain disease" or "organic brain syndrome").mp.
- 12. "supranuclear palsy".mp.
- 13. ("normal pressure hydrocephalus" and "shunt\*").mp.
- 14. "benign senescent forgetfulness".mp.
- 15. (cerebr\* adj2 deteriorat\*).mp.
- 16. (cerebral\* adj2 insufficient\*).mp.
- 17. (pick\* adj2 disease).mp.
- 18. CADASIL.mp.
- 19. "cognit\* impair\*".mp.
- 20. exp mild cognitive impairment/
- 21. MCI.ti,ab.
- 22. ACMI.ti,ab.
- 23. ARCD.ti,ab.
- 24. SMC.ti,ab.
- 25. CIND.ti,ab.
- 26. BSF.ti,ab.
- 27. AAMI.ti,ab.
- 28. MD.ti,ab.
- 29. LCD.ti,ab.
- 30. QD.ti,ab.
- 31. AACD.ti,ab.
- 32. MNCD.ti,ab.
- 33. MCD.ti,ab.
- 34. ("N-MCI" or "A-MCI" or "M-MCI").ti,ab.
- 35. ((cognit\* or memory or cerebr\* or mental\*) adj3 (declin\* or impair\* or los\* or deteriorat\* or degenerat\* or complain\* or disturb\* or disorder\*)).ti,ab.
- 36. "preclinical AD".mp.
- 37. "pre-clinical AD".mp.
- 38. ("preclinical alzheimer\*" or "pre-clinical alzheimer\*").mp.
- 39. (aMCI or MCIa).ti,ab.
- 40. ("CDR 0.5" or "clinical dementia rating scale 0.5").ti,ab.
- 41. ("GDS 3" or "stage 3 GDS").ti,ab.
- 42. ("global deterioration scale" and "stage 3").mp.
- 43. "Benign senescent forgetfulness".ti,ab.
- 44. "mild neurocognit\* disorder\*".ti,ab.



- 45. (prodrom\* adj2 dement\*).ti,ab.
- 46. "age-related symptom\*".mp.
- 47. (episodic adj2 memory).mp.
- 48. ("pre-clinical dementia" or "preclinical dementia").mp.
- 49. or/1-48
- 50. ("omega 3" or "fatty acid\*" or "n-3-fatty-acid\*").ti,ab.
- 51. (PUFA\* or polyunsaturated).ti,ab.
- 52. (EPA or "eicosapentaenoic acid\*").ti,ab.
- 53. (ALA or "alpha linolenic acid\*").ti,ab.
- 54. (DHA or "docosahexaenoic acid\*").ti,ab.
- 55. (DPA or "docosapentanoic acid\*").ti,ab.
- 56. ("flaxseed oil" or "linseed oil" or "fish oil\*" or "salmon oil" or "cod liver oil" or "mackerel oil" or "tuna\* oil" or "tuna fish oil" or "blackcurrant oil" or "canola oil" or "rapeseed oil" or "mustard oil\*" or "walnut oil" or "wheat germ oil" or "dental oil\*").ti,ab.
- 57. exp \*fatty acid/
- 58. or/50-57
- 59.49 and 58
- 60. randomized controlled trial/
- 61. controlled clinical trial/
- 62. randomly.ab.
- 63. placebo.ab.
- 64. groups.ab.
- 65. randomi?ed.ti,ab.
- 66. trial.ab.
- 67. "double-blind\*".ti,ab.
- 68. or/60-67
- 69.59 and 68

4.	PsycINFO	

1. exp Dementia/

March 2015: 175

1806-December week 2 2015 (OvidSP)

2. exp Delirium/

December 2015:

19

(last searched 10 December 2015)

- 3. exp Huntingtons Disease/ 4. exp Kluver Bucy Syndrome/
- 5. exp Wernickes Syndrome/
- 6. exp Cognitive Impairment/
- 7. dement\*.mp.
- 8. alzheimer\*.mp.



- 9. (lewy\* adj2 bod\*).mp.
- 10. deliri\*.mp.
- 11. (chronic adj2 cerebrovascular).mp.
- 12. ("organic brain disease" or "organic brain syndrome").mp.
- 13. "supranuclear palsy".mp.
- 14. ("normal pressure hydrocephalus" and "shunt\*").mp.
- 15. "benign senescent forgetfulness".mp.
- 16. (cerebr\* adj2 deteriorat\*).mp.
- 17. (cerebral\* adj2 insufficient\*).mp.
- 18. (pick\* adj2 disease).mp.
- 19. (creutzfeldt or jcd or cjd).mp.
- 20. huntington\*.mp.
- 21. binswanger\*.mp.
- 22. korsako\*.mp.
- 23. ("parkinson\* disease dementia" or PDD or "parkinson\* dementia").mp.
- 24. "cognit\* impair\*".mp.
- 25. MCI.ti,ab.
- 26. ACMI.ti,ab.
- 27. ARCD.ti,ab.
- 28. SMC.ti,ab.
- 29. CIND.ti,ab.
- 30. BSF.ti,ab.
- 31. AAMI.ti,ab.
- 32. MD.ti,ab.
- 33. LCD.ti,ab.
- 34. QD.ti,ab.
- 35. AACD.ti,ab.
- 36. MNCD.ti,ab.
- 37. MCD.ti,ab.
- 38. ("N-MCI" or "A-MCI" or "M-MCI").ti,ab.
- 39. ((cognit\* or memory or cerebr\* or mental\*) adj3 (declin\* or impair\* or los\* or deteriorat\* or degenerat\* or complain\* or disturb\* or disorder\*)).ti,ab.
- 40. "preclinical AD".mp.
- 41. "pre-clinical AD".mp.
- 42. ("preclinical alzheimer\*" or "pre-clinical alzheimer\*").mp.



- 43. (aMCI or MCIa).ti,ab.
- 44. ("CDR 0.5" or "clinical dementia rating scale 0.5").ti,ab.
- 45. ("GDS 3" or "stage 3 GDS").ti,ab.
- 46. ("global deterioration scale" and "stage 3").mp.
- 47. "Benign senescent forgetfulness".ti,ab.
- 48. "mild neurocognit\* disorder\*".ti,ab.
- 49. (prodrom\* adj2 dement\*).ti,ab.
- 50. "age-related symptom\*".mp.
- 51. (episodic adj2 memory).mp.
- 52. ("pre-clinical dementia" or "preclinical dementia").mp.
- 53. or/1-52
- 54. exp Fatty Acids/
- 55. ("fatty acid\*" or fats or omega-3).mp.
- 56. ("flaxseed oil" or "linseed oil" or "fish oil\*" or "salmon oil" or "cod liver oil" or "mackerel oil" or "tuna\* oil" or "tuna fish oil" or "blackcurrant oil" or "canola oil" or "rapeseed oil" or "mustard oil\*" or "walnut oil" or "wheat germ oil" or "dental oil\*").mp.
- 57. n-3-fatty-acid\*.mp.
- 58. (PUFA\* or polyunsaturated).mp.
- 59. (EPA or "eicosapentaenoic acid\*").mp.
- 60. (ALA or "alpha linolenic acid\*").mp.
- 61. (DHA or "docosahexaenoic acid\*").mp.
- 62. (DPA or "docosapentanoic acid\*").mp.
- 63. or/54-62
- 64. 53 and 63
- 65. random\*.ti,ab.
- 66. placebo.ti,ab.
- 67. trial.mp.
- 68. ("double-blind\*" or "single-blind\*").ti,ab.
- 69. groups.ab.
- 70. crossover.ti,ab.
- 71. "cross-over".ti,ab.
- 72. or/65-71
- 73.64 and 72

5. CINAHL (EBSCOhost) S1 (MH "Dementia+")

March 2015: 68

S2 (MH "Delirium") or (MH "Delirium, Dementia, Amnestic, Cognitive Disorders")



(Continued)
(last searched 10

December 2015)

S3 (MH "Wernicke's Encephalopathy")

December 2015:

S4 TX dement\*

S5 TX alzheimer\*

S6 TX lewy\* N2 bod\*

S7 TX deliri\*

S8 TX chronic N2 cerebrovascular

S9 TX "organic brain disease" or "organic brain syndrome"

S10 TX "normal pressure hydrocephalus" and "shunt\*"

S11 TX "benign senescent forgetfulness"

S12 TX cerebr\* N2 deteriorat\*

S13 TX cerebral\* N2 insufficient\*

S14 TX pick\* N2 disease

S15 TX creutzfeldt or jcd or cjd

S16 TX huntington\*

S17 TX binswanger\*

S18 TX korsako\*

S19 TX MCI OR CIND OR AAMI OR AACD

S20 TX "cognit\* impair\*"

S21 (MH "Cognition Disorders")

S22 TX "pre-clinical alzheimer\*" OR "pre-clinical AD"

S23 TX "N-MCI" OR "A-MCI" OR "M-MCI"

S24 TX aMCI OR nMCI OR mMCI

S25 S1 or S2 or S3 or S4 or S5 or S6 or S7 or S8 or S9 or S10 or S11 or S12 or S13 or S14 or S15 or S16 or S17 or S18 or S19 or S20 or S21 or S22 or S23 or S24

S26 (MH "Fatty Acids, Omega 3") OR (MM "alpha-Linolenic Acid") OR (MM "Docosahexaenoic Acids") OR (MM "Eicosapentaenoic Acid")

S27 (MH "Linseed Oil") OR (MH "Margarine") OR (MH "Olive Oil") OR (MH "Peanut Oil") OR (MH "Rapeseed Oil") OR (MH "Safflower Oil") OR (MH "Sesame Oil") OR (MH "Soybean Oil")

S28 TX "fatty acid\*" OR fats OR "omega-3"

S29 TX "flaxseed oil" OR "linseed oil" OR "fish oil\*" OR "salmon oil" OR "cod liver oil" OR "mackerel oil" OR "tuna\* oil" OR "tuna fish oil" OR "blackcurrant oil" OR "canola oil" OR "rapeseed oil" OR "mustard oil\*" OR "walnut oil" OR "wheat germ oil" OR "dental oil\*"

S30 TX n-3-fatty-acid\*

S31 TX PUFA\* OR polyunsaturated

S32 TX EPA OR "eicosapentaenoic acid\*"

S33 TX ALA OR "alpha linolenic acid\*"

March 2015: 513

December 2015:

March 2015: 7

December 2015: 0

March 2015: 159

December 2015:

18



(Continued)

S34 TX DHA OR "docosahexaenoic acid\*"

S35 TX DPA OR "docosapentanoic acid\*"

S36 S26 or S27 or S28 or S29 or S30 or S31 or S32 or S33 or S34 or S35

S37 S25 and S36

S38 AB random\*

S39 TI random\*

S40 TI placebo\*

S41 AB placebo\*

S42 AB trial

S43 (MH "Clinical Trials") OR (MH "Randomized Controlled Trials")

S44 AB groups

S45 AB "double-blind\*"

S46 S38 or S39 or S40 or S41 or S42 or S43 or S44 or S45

S47 S37 and S46

6. ISI Web of Knowledge - all databases (includes: Web of Science (1945present); BIOSIS Previews (1926present); MEDLINE (1950-present); Journal Citation Reports) (dement\* OR alzheimer\* OR "lewy bod\*" OR DLB OR "vascular cognitive impairment\*" OR FTD OF FTLD OR "cerebrovascular insufficienc\*") *AND* TOPIC: (omega OR "fatty acid\*" OR ALA OR "alpha linolenic acid\*" OR PUFA\* or polyunsaturated OR EPA or "eicosapentaenoic acid\*" OR "flaxseed oil" OR "linseed oil" OR "fish oil\*" OR "salmon oil" OR "cod liver oil" OR "mackerel oil" OR "tuna\* oil" OR "tuna fish oil" OR "blackcurrant oil" OR "canola oil" OR "rapeseed oil" OR "mustard oil\*" OR "walnut oil" OR "wheat germ oil" OR "dental oil\*") *AND* TOPIC: (randomly OR randomised OR randomized OR placebo OR "double-blind\*" OR trial OR RCT OR CCT)

Timespan: All years

Search language=Auto

(last searched 10 December 2015)

7. LILACS (BIREME)

Omega-3 OR PUFA OR polyunsaturated OR EPA OR DHA OR "poli-insaturados" OR "ômega-3" [Words] and dementia OR demencia OR alzheimer OR alzheimers [Words]

(last searched 10 December 2015)

8. The Cochrane

Controlled Trials

(CENTRAL) (2015

Issue 11 of 12)

Central Register of

#1 MeSH descriptor: [Dementia] explode all trees

#2 MeSH descriptor: [Delirium] this term only

#3 MeSH descriptor: [Wernicke Encephalopathy] this term only

(last searched 10 December 2015)

#4 MeSH descriptor: [Delirium, Dementia, Amnestic, Cognitive Disorders] this term only

#5 dement\*

#6 alzheimer\*

#7 "lewy\* bod\*"

#8 deliri\*

#9 "chronic cerebrovascular"



(Continued) #10 "organic brain disease" or "organic brain syndrome" #11 "normal pressure hydrocephalus" and "shunt\*" #12 "benign senescent forgetfulness" #13 "cerebr\* deteriorat\*" #14 "cerebral\* insufficient\*" #15 "pick\* disease" #16 creutzfeldt or jcd or cjd #17 huntington\* #18 binswanger\* #19 korsako\* #20 #1 or #2 or #3 or #4 or #5 or #6 or #7 or #8 or #9 or #10 or #11 or #12 or #13 or #14 or #15 or #16 or #17 or #18 or #19 #21 MCI or "cognit\* impair\*" or AAMI or "memory impair\*" or "cognit\* declin\*" or AACD #22 #20 or #21 in Trials #23 "omega 3" or "fatty acid\*" or PUFA or EPA or ALA or DHA or DPA #24 "eicosapentaenoic acid\*" or "alpha linolenic acid\*" or "docosahexaenoic acid\*" or "docosapentanoic acid\*" #25 "n-3-fatty-acid\*" or polyunsaturated or "flaxseed oil" or "linseed oil" or "fish oil\*" or "salmon oil" or "cod liver oil" or "mackerel oil" or "tuna\* oil" or "tuna fish oil" or "blackcurrant oil" or "canola oil" or "rapeseed oil" or "mustard oil\*" or "walnut oil" or "wheat germ oil" or "dental oil\*" #26 MeSH descriptor: [Fatty Acids] this term only #27 #23 or #24 or #25 or #26 in Trials #28 #22 and #27 in Trials 9. Clinicaltrial-(dementia OR alzheimer OR alzheimers OR alzheimer's OR lewy) AND (omega OR PUFA OR March 2015: 14 s.gov (www.clini-EPA OR DHA OR "fatty acid" OR "fatty acids" OR polyunsaturated) December 2015: 5 caltrials.gov) (last searched 10 December 2015) 10. ICTRP Search (dementia OR alzheimer OR alzheimers OR alzheimer's OR lewy) AND (omega OR PUFA OR March 2015: 3 EPA OR DHA OR "fatty acid" OR "fatty acids" OR polyunsaturated) Portal (app-December 2015: 0 s.who.int/trialsearch) [includes: Australian New Zealand Clinical Trials Registry; ClinicalTrilas.gov; ISRCTN; Chinese Clinical Trial Registry; Clinical Trials Registry - India; Clinical Research Informa-



(Continued)
tion Service - Republic of Korea;
German Clinical
Trials Register;
Iranian Registry
of Clinical Trials;
Japan Primary
Registries Network; Pan African
Clinical Trial Registry; Sri Lanka
Clinical Trials Registry; The Nether-

(last searched 10 December 2015)

lands National Trial Register]

TOTAL before de-duplication	March 2015: 2761
	December 2015: 303
TOTAL after software de-duplication	March 2015: 1992
	December 2015: 239

#### **CONTRIBUTIONS OF AUTHORS**

MB: correspondence; project management, drafting review versions; selection of randomised controlled trials (RCTs); extraction of data; assessing risk of bias; data entry, data analysis; GRADE; interpretation of data/analyses.

MH: selection of RCTs; extraction of data; assessing risk of bias data entry, data analysis; interpretation of data/analyses.

AF: selection of RCTs (update), adverse events section, interpretation of data/analyses.

GL: GRADE; interpretation of data/analyses.

TW: description of condition chapter; prioritisation of outcomes study.

SW: description of condition chapter; prioritisation of outcomes study.

TW and SW provided the description of the condition, which MB, MH, AF and GL complemented and commented on.

MB wrote the remaining sections of the review, which were complemented and commented by all authors.

## **DECLARATIONS OF INTEREST**

None known.

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#### DIFFERENCES BETWEEN PROTOCOL AND REVIEW

For a better interpretation of the results, we intended to present the proportion of people with changes in the scale measures of the primary outcomes. However, considering the small insignificant effects, we did not request that data from the study authors. Instead, we provided minimal clinically important differences extracted from the literature.

#### INDEX TERMS

### **Medical Subject Headings (MeSH)**

Alzheimer Disease [\*drug therapy]; Cognition [drug effects]; Fatty Acids, Omega-3 [\*therapeutic use]; Randomized Controlled Trials as Topic

### MeSH check words

Humans