

Brad Coleman
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Skills:

- Extensive knowledge and application of Generally Accepted Accounting Principles (GAAP) and SEC reporting requirements.
- IPO Readiness and S-1 process
- Effective management of cross-functional teams
- Effective communication with executive management and audit committees.
- Design of effective internal controls and process improvements.

Professional Experience:

Marqeta, Inc. – Oakland, Ca (2/19 to 12/22)

Corporate Controller

- Overall responsibility for corporate accounting, tax and SEC financial reporting
- Scaled accounting, tax, and financial reporting infrastructure maturity
- Led IPO readiness for financial reporting and internal controls
- Executed accounting, tax, and reporting plan leading to successful IPO in June 2021, including leading the development of the S-1 offering documents.
- Precleared certain accounting policy elections with the SEC prior to IPO.
- Managed the 409A valuation and cheap stock process.
- Served as chairman of the disclosure committee.

Lending Club – San Francisco, CA (12/13 to 2/19)

Chief Audit Executive (10/17 to 2/19)

- Lead the internal audit function with direct reporting to Audit Committee
- Responsible for executing an annual internal audit plan including performing independent risk assessments of all functions, coordination and design of audits on a rotating basis, identification and assessment of audit issues identified, and process improvement recommendations.
- Oversaw the SOX program to support management's assertion on disclosure controls.
- Supported the joint audit plan with external auditors to gain cost efficiencies.

Senior Vice President – Principal Accounting Officer and Corporate Controller (12/13 to 8/17)

- Overall responsibility for corporate accounting, tax and SEC financial reporting
- Lead a team of 45 focusing on sound accounting processes, building cross function partnering, developing staff skill sets, and mentoring and motivating employees
- Drove accounting, reporting and due diligence activities with investment bankers and outside auditors on the company's initial public offering in December 2014.
- Implemented process improvements and controls to shorten the time to close allowing the company to report quarterly results early and file SEC reports prior to filing deadlines.
- Assessed and evaluated complex transactions for proper accounting and financial reporting. Advise business partners on deal/ contract structuring.
- Served as lead functional expert on due diligence activities associated with corporate development efforts including one closed business acquisition.
- Significant interaction with external auditors and the company's audit committee to communicate the accounting results, public financial reporting disclosures and internal control matters.
- Served as chairman of the disclosure committee.

Charles Schwab– San Francisco, CA (2/00 to 12/13)

Vice President, Finance / Controller of Schwab Bank (2006 – 2013)

Promoted four times within three separate functions including external SEC reporting, accounting policy and bank subsidiary accounting.

External SEC Reporting / Accounting Policy / Controller of Schwab Bank

- Ensured all SEC reports were filed timely and accurately.
- Solicited input from investor relations, legal counsel, CFO and enterprises to ensure that filings contained useful and meaningful disclosures on the company's strategy, results of operations, financial condition, and risk and capital management.
- Presented financial reports to the Audit Committee and discuss significant changes in the company's financial reporting.
- Participated as a member of the company's disclosure committee charged with ensuring compliance with Sarbanes-Oxley disclosure control framework.
- Implemented process improvements to ensure efficient and effective preparation of annual and quarterly filings.
- Ensured company's compliance with GAAP. Monitored and assessed new accounting standards relating to a wide range of subjects including software development, leases, securities valuation and impairment, acquisition accounting, restructuring efforts, derivative instruments, hedging activities, and stock option valuation and accounting.
- Advised treasury, corporate development, tax, corporate accounting and business units on the accounting and financial reporting implications of corporate development activities including acquisitions and divestitures and other proposed transactions.
- Oversaw the daily accounting operations for the bank. Managed the monthly financial close process and conducted the monthly close meeting.
- Maintained and documented effective internal controls to ensure accurate and timely financial reporting consistent with regulatory requirements.
- Managed the preparation of all required internal, external and regulatory reporting.
- Coordinated and supported the internal and external audits of the banking operations.

KPMG LLP – San Francisco, CA and Sydney, Australia (9/93 – 2/00)

Manager

Scheduled, planned and executed several engagements concurrently. Clients ranged in size from small closely held businesses to large multi-national corporations.

- Analyzed and reviewed financial statements to determine compliance with GAAP and SEC reporting requirements for Forms 10-K and 10-Q.
- Reviewed client accounting policies and procedures for adequacy of internal control and compliance with GAAP.
- Supervised professional staff on engagements and counseled staff during semi-annual performance evaluations.
- Participated as instructor for various training programs.
- International experience in Australia includes due diligence, audit, and accounting assistance engagements.

Education / Certification:

University of San Francisco, San Francisco, CA

Bachelor of Science in Business Administration with a concentration in accounting

Certified Public Accountant - license #81150(inactive)