

Gravitational Collapse in Anti-de Sitter Space

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PhD Thesis Defence

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University
of Manitoba



THE UNIVERSITY OF
WINNIPEG

- Gravitational Collapse
- Massive Scalars in AdS_5 [arXiv:1711.00454]
 - Phase Diagram & Islands of Stability
 - Classifying Phases
 - Spectra
 - Phases
- High-Temperature QP Solutions in AdS_4
 - The Two-Time Formalism (TTF)
 - Quasi-Periodic Solutions
 - Outstanding Questions
- Examining Instabilities Due to Driven Scalars in AdS [arXiv:1912.07143]
 - Time-dependent Boundary Conditions
 - Resonant Contributions
 - Special Values of Non-normalizable Frequencies
- Conclusions

Gravitational Collapse

- ▶ Numerical studies of gravitational collapse in Minkowski spacetime: horizon size = power law¹, mass gap
- ▶ AdS/CFT → thermal quench in gauge theory \Leftrightarrow formation of black hole in gravitational theory
- ▶ Massless scalar fields in AdS: unstable against generic initial data, no mass gap² \rightarrow c.f. Minkowski
- ▶ Stability for specific initial data below critical energy
- ▶ Perturbative theory for stable/nearly-stable solutions
- ▶ **Nonlinear theory:** continue with exploration of phase space³
- ▶ **Perturbative theory:** effects of truncation, space of solutions, evolution of nearly-stable solutions

¹Choptuik PRL70 9 (1993)

²Bizoń & Rostworowski [1104.3702]

³Deppe & Frey [1508.02709]

Outline

- ▶ Manuscript thesis

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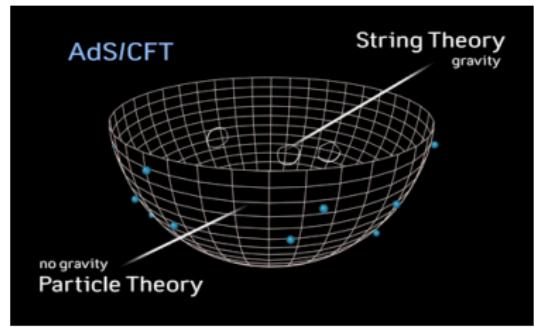
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Phase Diagram & Islands of Stability

- ▶ Classical gravity in AdS_{d+1} \Leftrightarrow CFT in d -dimensions⁴
- ▶ Scalar field in the bulk \Leftrightarrow expectation value of operator in the boundary
- ▶ Heating vacuum state
- ▶ Black hole in AdS \Leftrightarrow CFT in thermal equilibrium
- ▶ Stability against collapse \Leftrightarrow no thermalization



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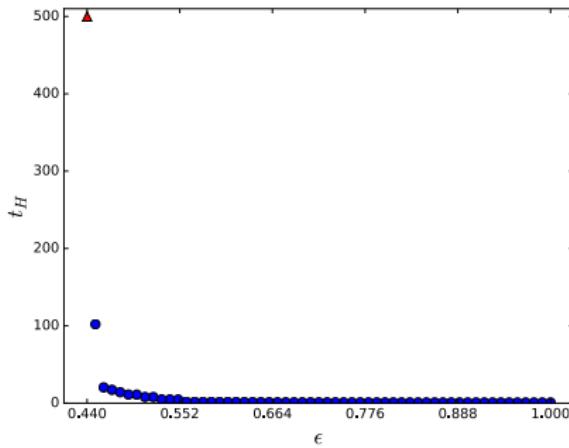
⁴Maldecena [hep-th/9711200]

Scalar Fields in Anti-de Sitter Spacetime

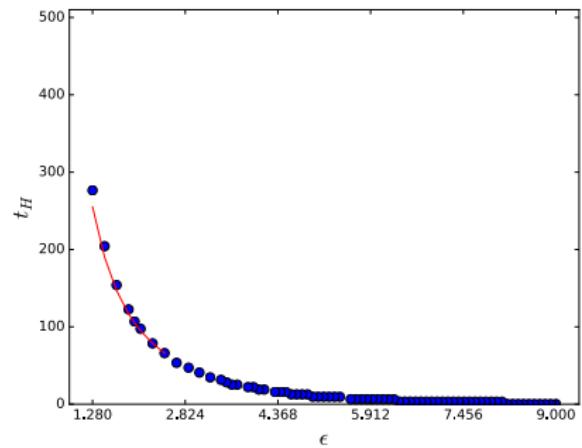
- ▶ Massless scalars: reflected off the boundary [multiple times ▷](#), or [collapse immediately ▷](#)
- ▶ Massive scalars: can be gravitationally [refocused ▷](#), or also [collapse immediately ▷](#)

Scalar Fields in Anti-de Sitter Spacetime

- ▶ Massless scalars: reflected off the boundary [multiple times ▷](#), or [collapse immediately ▷](#)
- ▶ Massive scalars: can be gravitationally [refocused ▷](#), or also [collapse immediately ▷](#)
- ▶ Classify as stable or unstable



$$\mu = 0, \sigma = 1.5$$



$$\mu = 0, \sigma = 0.25$$

Classifying Phases

- ▶ Minimally-coupled scalar field in AdS₅ (dual to 4D CFT)
- ▶ Spherical symmetry, Schwarzschild-like coordinates → $A(t, x)$, $\delta(t, x)$

$$ds^2 = \frac{\ell^2}{\cos^2(x/\ell)} \left(-Ae^{-2\delta} dt^2 + A^{-1} dx^2 + \sin^2(x/\ell) d\Omega^{d-1} \right)$$

Classifying Phases

- ▶ Minimally-coupled scalar field in AdS₅ (dual to 4D CFT)
- ▶ Spherical symmetry, Schwarzschild-like coordinates $\rightarrow A(t, x), \delta(t, x)$
- ▶ Einstein + Klein-Gordon \Rightarrow constraint equations
- ▶ Interior gauge $\delta(t, x = 0) = 0$, mass conservation at $x = \pi/2$, $\phi(t = 0, x) = 0$
- ▶ Canonical momentum $\Pi(t, x) = e^\delta A^{-1} \partial_t \phi$, define $\Phi(t, x) \equiv \partial_x \phi$
- ▶ Horizon formation when $A(t_H, x_H) \ll 1$

$$\partial_x \delta = -(\Pi^2 + \Phi^2) \sin(x) \cos(x)$$

$$\partial_x M = \frac{\tan^{d-1}(x)}{2} \left(A(\Pi^2 + \Phi^2) + \frac{\mu^2 \phi^2}{\cos^2(x)} \right)$$

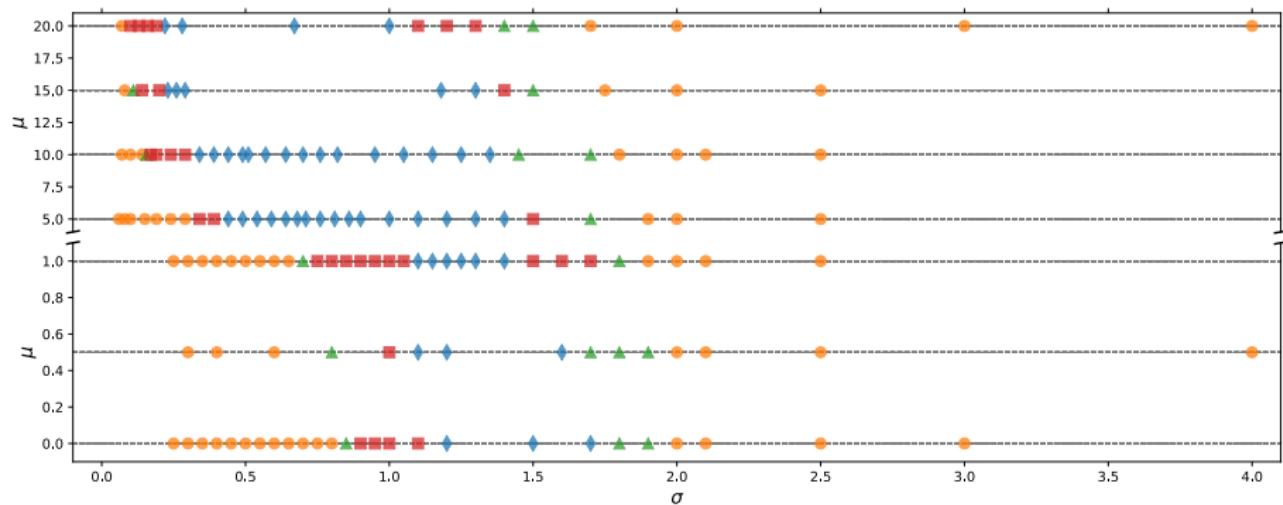
$$A = 1 - \frac{2M \sin^2(x)}{(d-1) \tan^d(x)}$$

$$\Pi(t=0, x) = \epsilon \exp \left(-\frac{\tan^2(x)}{\sigma^2} \right) \quad \text{Phase space: } \mu, \sigma$$

Spectra

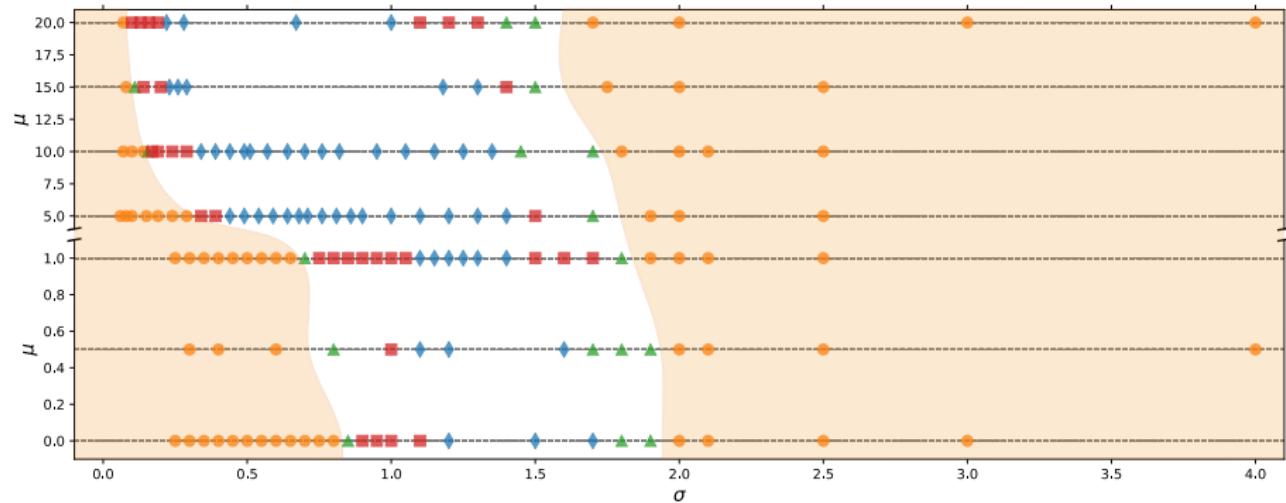


Phase Diagram



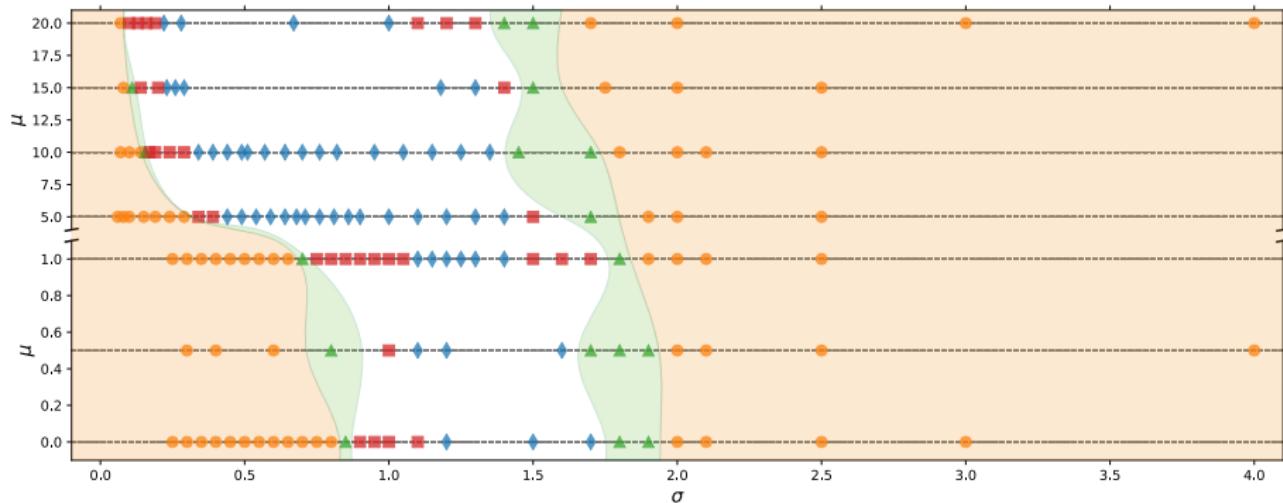
Phase Diagram

► Unstable



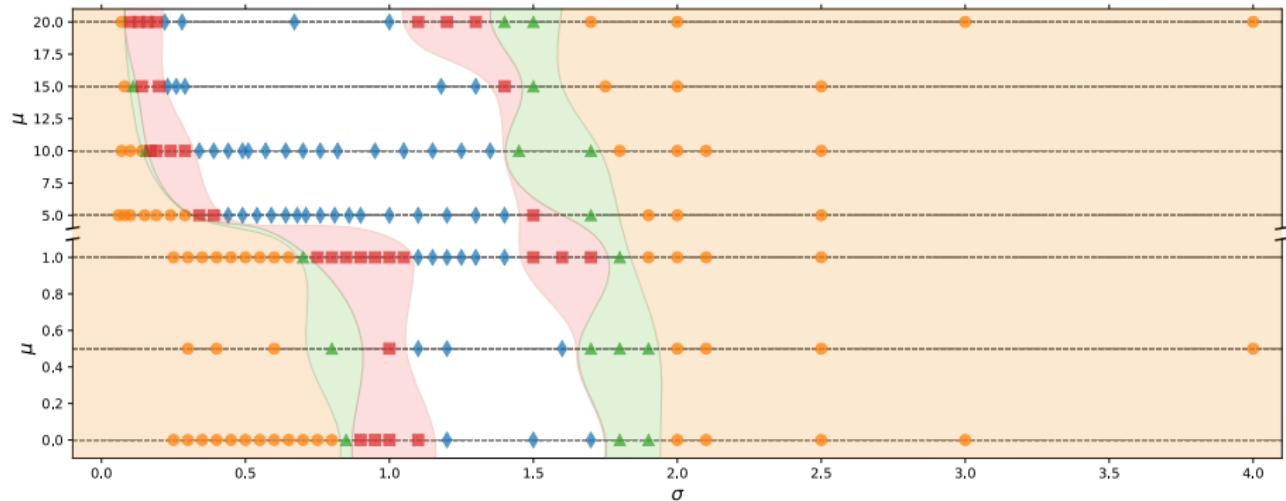
Phase Diagram

- Unstable, metastable,



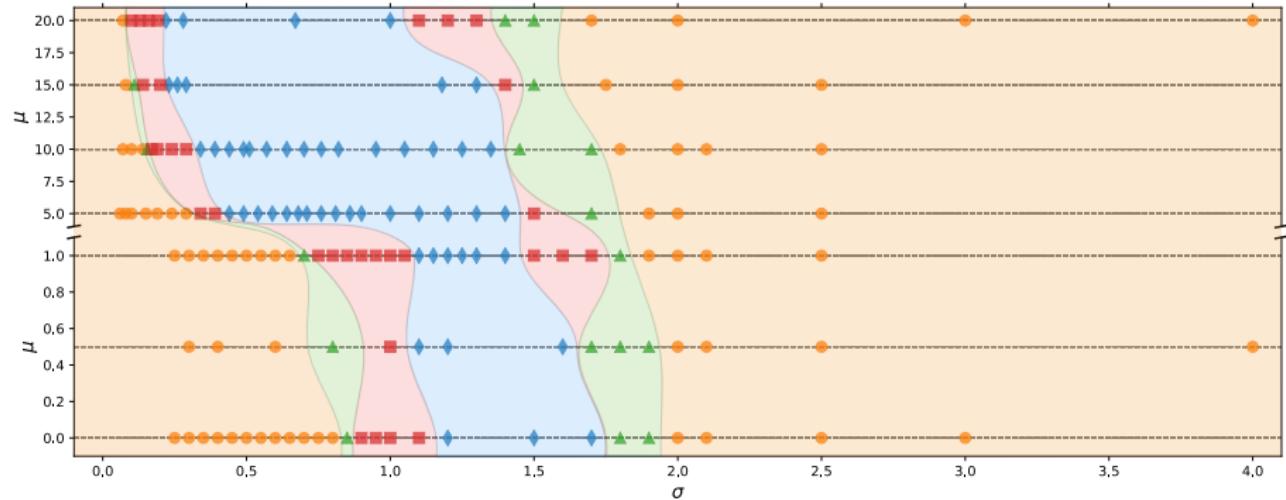
Phase Diagram

- Unstable, metastable, irregular,



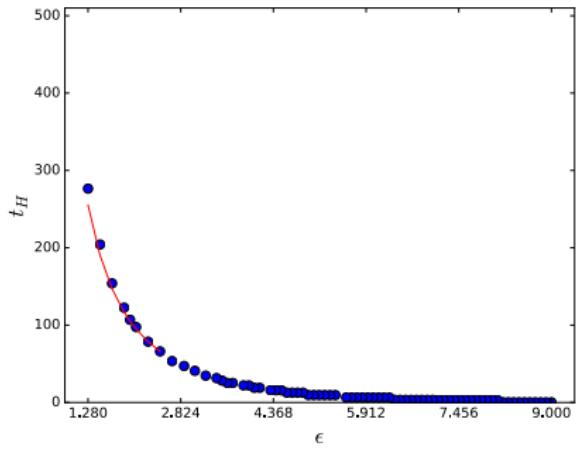
Phase Diagram

- Unstable, metastable, irregular, and stable initial data



Unstable Profiles

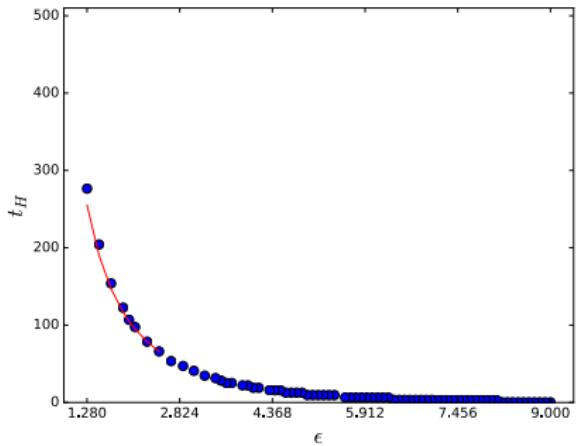
- ▶ Fit $t_H \approx a\epsilon^{-p} + b \rightarrow$ unstable when $p \approx 2$



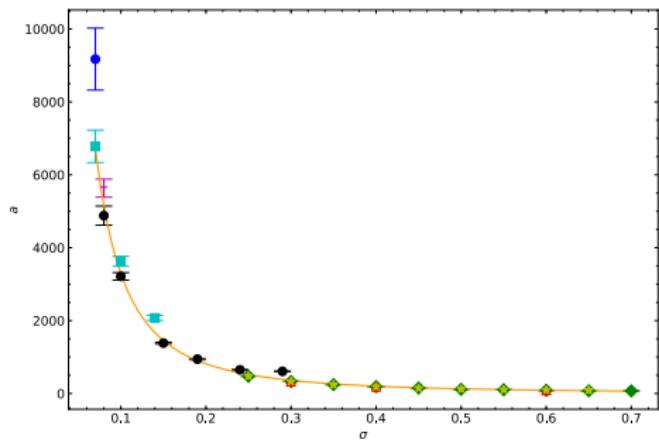
$$\mu = 5, \sigma = 0.25$$

Unstable Profiles

- ▶ Fit $t_H \approx a\epsilon^{-p} + b \rightarrow$ unstable when $p \approx 2$
- ▶ $\sigma < 1$: $a \sim \sigma^{-2}$, mass independent

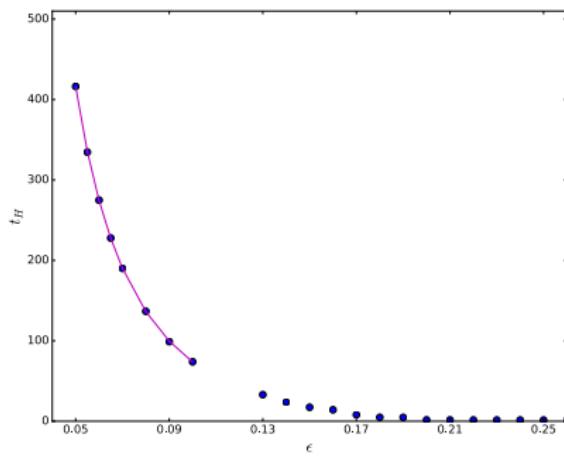


$$\mu = 5, \sigma = 0.25$$

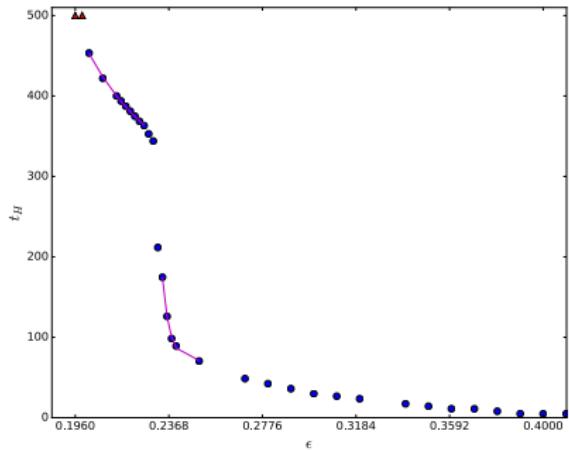


Metastable Profiles

- ▶ Scaling of $p > 2$



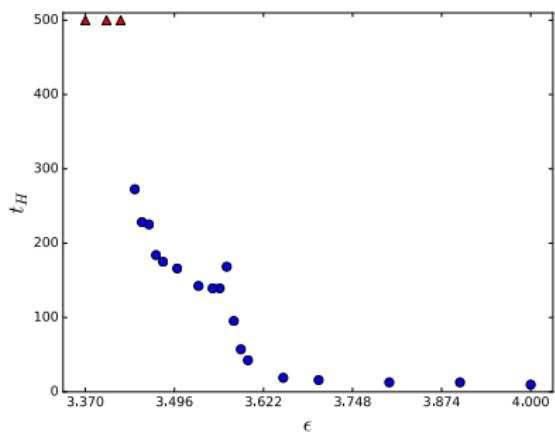
$$\mu = 5, \sigma = 1.7$$



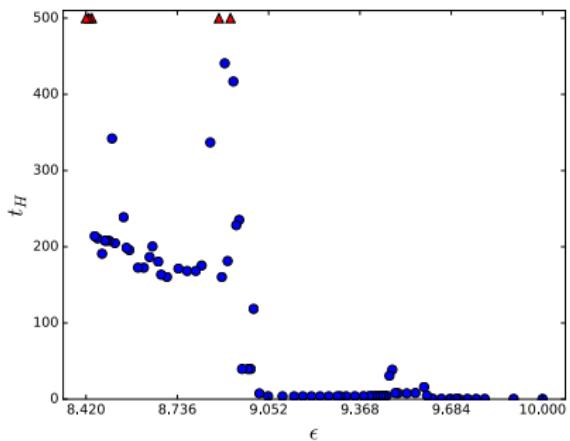
$$\mu = 0.5, \sigma = 1.7$$

Irregular Profiles

- ▶ No scaling



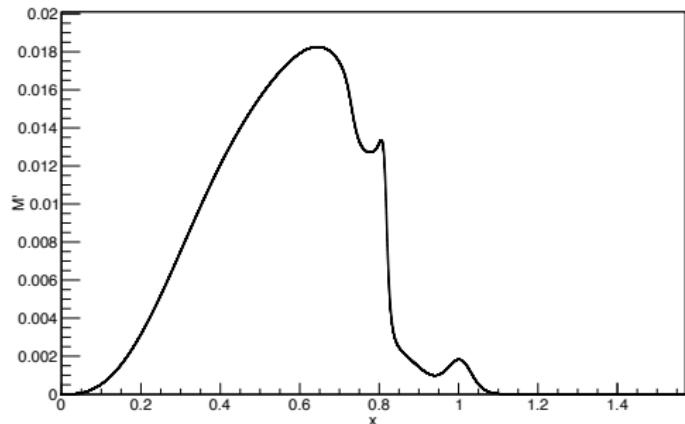
$$\mu = 5, \sigma = 0.34$$



$$\mu = 20, \sigma = 0.16$$

Irregular Profiles

- ▶ Evidence of chaotic behaviour → possible self-interaction
- ▶ Previous chaotic evolution only seen in thin-shell interactions⁵ in AdS, scalar collapse in Gauss-Bonnet gravity⁶



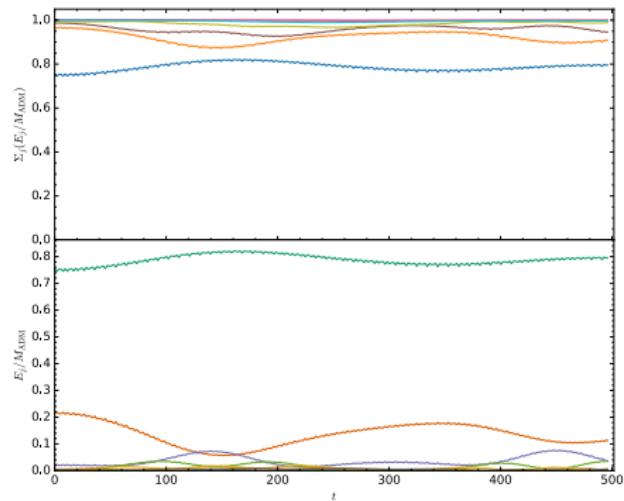
$$\mu = 5, \sigma = 0.34, \epsilon = 3.52, t = 137$$

⁵ Brito *et al.* [1602.03535]

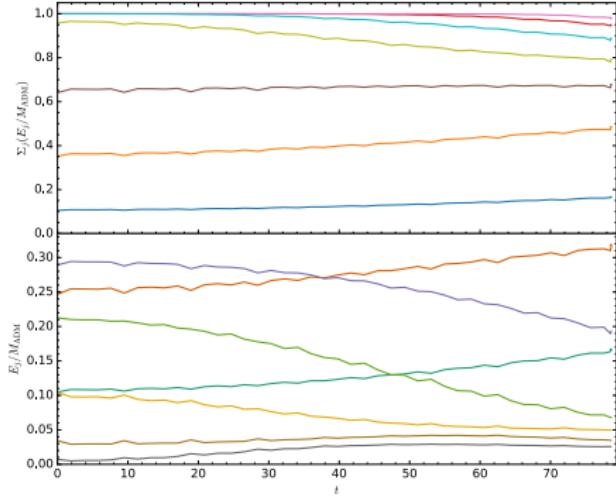
⁶ Deppe, Kolly, *et al.* [1608.05402]

Energy Cascades

- ▶ Stable solutions: **direct** and **inverse** energy cascades



$\mu = 0, \sigma = 1.8, \epsilon = 0.13$
Stable



$\mu = 0, \sigma = 0.25, \epsilon = 2.28$
Unstable

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The Two-Time Formalism (TTF) I

- ▶ Perturbative description of massless scalar field collapse → expand $\phi(t, x)$, $A(t, x)$, $\delta(t, x)$ in powers of small amplitude, ϵ
- ▶ Consider TTF in AdS₄
- ▶ $\mathcal{O}(\epsilon)$: solution in terms of eigenfunctions of AdS, $e_j(x)$
- ▶ Truncate series to j_{max}
- ▶ Solutions must be robust as $j_{max} \rightarrow \infty$
- ▶ Develop numerical techniques for extending $j_{max} \gtrsim 100$ & quantify effects of truncation

$$\phi_1(t, x) = \sum_{j=0}^{\infty} A_j(t) \cos(\omega_j t + B_j(t)) e_j(x)$$

The Two-Time Formalism (TTF) II

- ▶ Integer eigenvalues $\omega_j = (2j + d) \rightarrow$ fully resonant spectrum
- ▶ $\mathcal{O}(\epsilon^2)$: backreaction on metric
- ▶ $\mathcal{O}(\epsilon^3)$: **source terms** for resonant contributions \rightarrow resummation techniques absorb remaining resonances into amplitude/phase⁷
- ▶ Energy exchange between modes through slowly varying amplitude $A_j(\tau)$ and phase $B_j(\tau)$ to resist collapse⁸
- ▶ Define slow time $\tau \equiv \epsilon^2 t$

$$-2\omega_l \frac{dA_l(\tau)}{d\tau} = \sum_{i \neq l} \sum_{j \neq l}^{l \leq i+j} f_1(A_i, A_j, A_{i+j-l}, B_i, B_j, B_{i+j-l})$$

$$-2\omega_l A_l \frac{dB_l(\tau)}{d\tau} = \sum_{i \neq l} \sum_{j \neq l}^{l \leq i+j} f_2(A_i, A_j, A_{i+j-l}, B_i, B_j, B_{i+j-l})$$

⁷Craps *et al.* [1407.6273]

⁸Balasubramanian *et al.* [1403.6471]

Quasi-Periodic Solutions

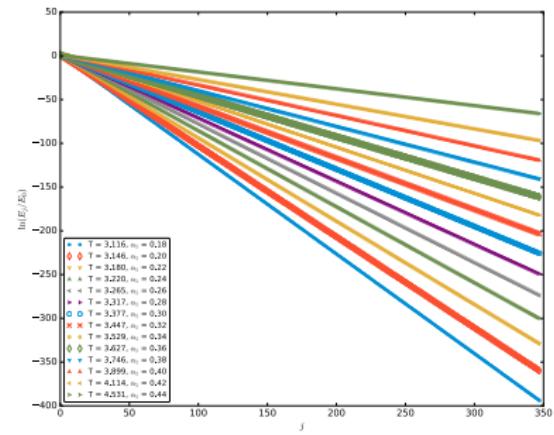
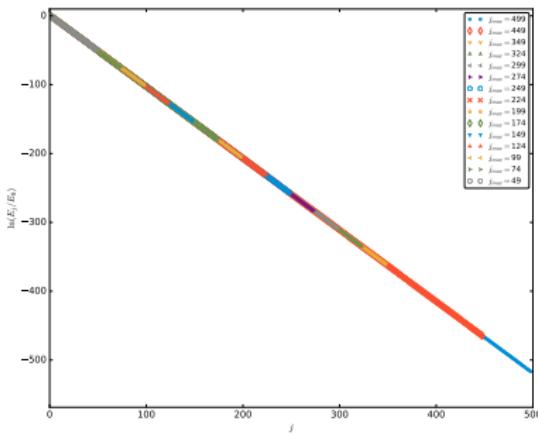
- ▶ Quasi-periodic⁹ ansatz $A_j = \alpha_j e^{i\beta_j \tau} \rightarrow$ TTF equations become time-independent when $\beta_j = \beta_0 + j(\beta_1 - \beta_0)$
- ▶ $\alpha_j > \alpha_{j+1} \forall j$
- ▶ Scaling symmetry (full **and** TTF theory) $A(\tau) \rightarrow \epsilon A(\tau/\epsilon^2)$ allows for $\alpha_0 = 1 \rightarrow$ families of solutions in α_1
- ▶ Origin of collapse time $t_H \approx a\epsilon^{-p} + b$ in nonlinear profiles
- ▶ Solve QP equation with Newton-Raphson \rightarrow seed equation $\alpha_j \propto e^{-j}$ for low j_{max}
- ▶ T_i, R_{ij}, S_{ijkl} calculated numerically

$$2\omega_l \alpha_l \beta_l = T_l \alpha_l^3 + \sum_{i \neq l} R_{il} \alpha_i^2 \alpha_l + \sum_{i \neq l} \sum_{j \neq l}^{l \leq i+j} S_{ij(i+j-l)l} \alpha_i \alpha_j \alpha_{i+j-l}$$

⁹Green et al. [1507.08261]

Quasi-Periodic Solutions

- ▶ TTF: conserved quantities¹⁰ $E, N \rightarrow$ classify solutions by $T \equiv E/N$
 - ▶ Solutions found for $3 \geq T \gtrsim 4.6$
 - ▶ Able to extend existing solutions from $j_{max} \sim 100$ to $j_{max} = 500$
 - ▶ Robust in $j_{max} \rightarrow \infty$ limit

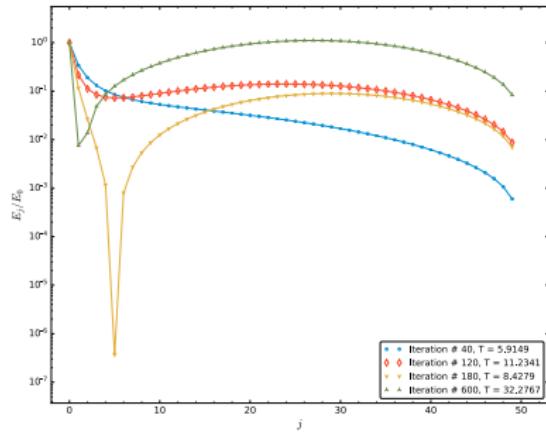
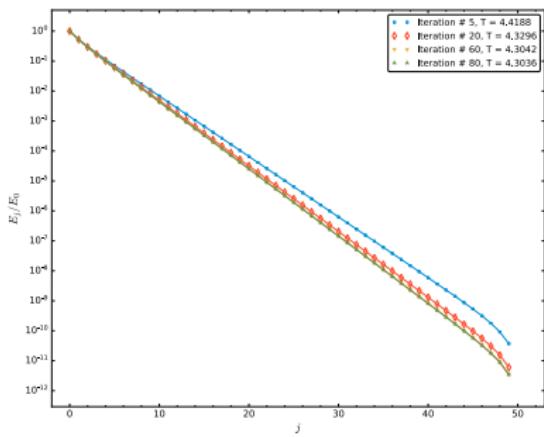


BC, Deppe, & Frey: In progress

¹⁰Craps et al. [1412.3249]

High-Temperature Solutions

- ▶ Perturb by $\delta E \rightarrow$ new solutions have energy $E + \delta E$, N, and $T + \delta T$
- ▶ Repeat process to $T_{max} = (2j_{max} + d)$
- ▶ Vary projection frequency back to solution plane \rightarrow threshold temperature T_{th}



Cownden, Deppe, & Frey: In progress

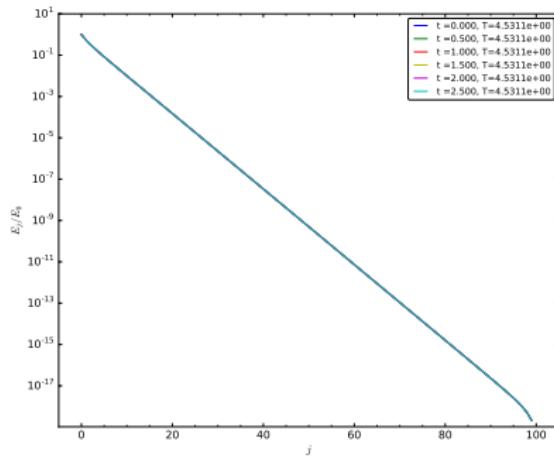
- ▶ If $T > T_{th}$, not robust in $j_{max} \rightarrow \infty$
- ▶ $T_{th} \ll T_{max}$

Evolving TTF Solutions

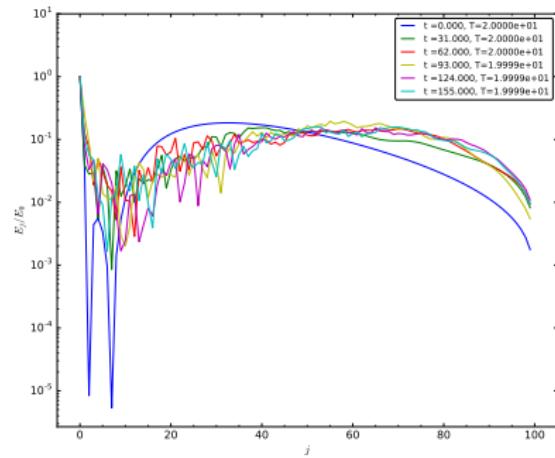
► Evolution of:

low-temperature QP ▷

high-temperature QP ▷



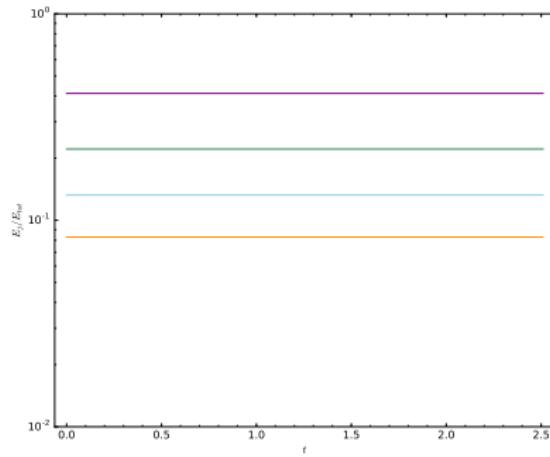
Low-temperature



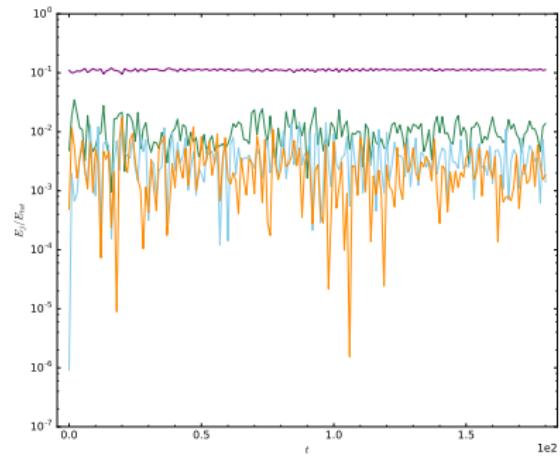
High-temperature

Evolving TTF Solutions

- ▶ Evolution of: low-temperature QP ▷ high-temperature QP ▷
- ▶ Energy in $j = 0, 1, 2, 3$ modes (purple, green, blue, orange)



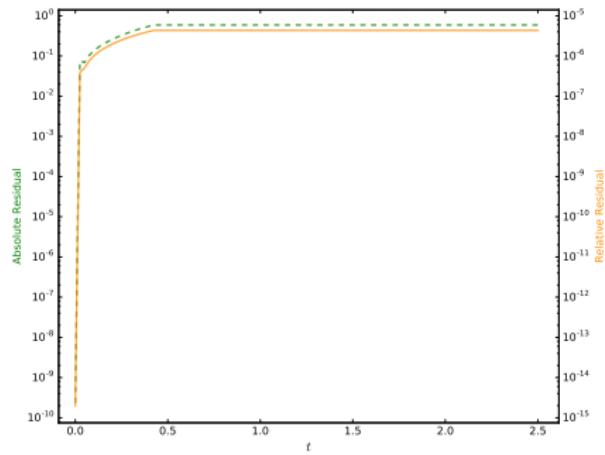
Low-temperature



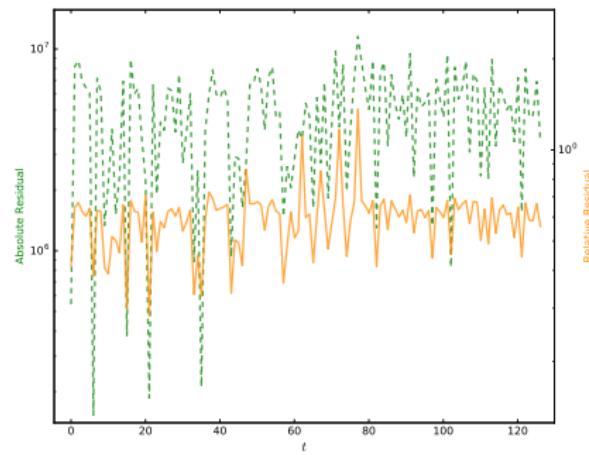
High-temperature

Evolving TTF Solutions

- ▶ Evolution of: low-temperature QP ▶ high-temperature QP ▶
- ▶ Energy in $j = 0, 1, 2, 3$ modes (purple, green, blue, orange)
- ▶ Residuals of QP equation



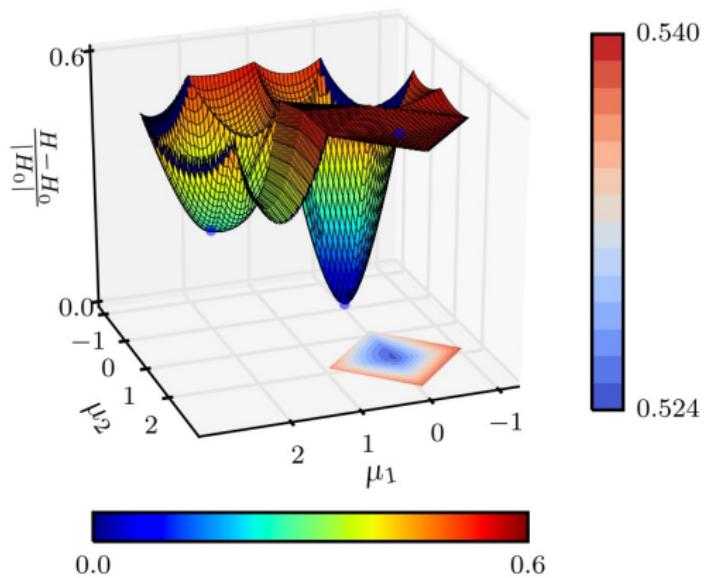
Low-temperature



High-temperature

Outstanding Questions

- ▶ Physical interpretation for T_{th} ?
- ▶ Is $|T_{QP} - T_{th}|$ a function of AdS parameters? What does this mean for boundary CFT?
- ▶ Compare perturbative evolution to full numerical → establish absolute timescale for approximation
- ▶ Use high-temperature QP solutions as initial data in nonlinear evolution → metastable/irregular? What is mechanism of collapse?



Green et al. [1507.08261]

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Time-dependent Boundary Conditions

Resonant Contributions

Special Values of Non-normalizable Frequencies

Conclusions

- ▶ Collapse of scalar field in AdS \Leftrightarrow thermalization of dual CFT
- ▶ **Nonlinear theory:** “islands of stability”, metastable & irregular phases, chaotic behaviour from self-interaction
- ▶ Weakly turbulent energy cascade to short length scales \rightarrow TTF for inverse cascades
- ▶ **Perturbative theory:** QP solutions robust in $j_{max} \rightarrow \infty$, high-T solutions are not; space of stable solutions is restricted by T_{th}
- ▶ **Next steps**
 - ▶ Physical interpretation of T_{th}
 - ▶ Ways to construct robust QP solutions with $T > T_{th}$
- ▶ **Future:** develop theory for massive TTF \rightarrow less symmetry in equations \therefore fewer cancelations of resonant terms; TTF in AdS₅; time-dependent boundary conditions

Thanks

- ▶ Supervisor: Andrew Frey (University of Winnipeg)
- ▶ PhD Committee: (), (), (), ()
- ▶ Co-authors: Nils Deppe (Cornell), Brayden Yarish (junior collaborator)
- ▶ University of Winnipeg and University of Manitoba

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- ▶ B. Craps, O. Evnin, and J. Vanhof, *Renormalization, averaging, conservation laws and AdS (in)stability*, J. High Energ. Phys. 1501 (2015) 108, [1412.3249].