



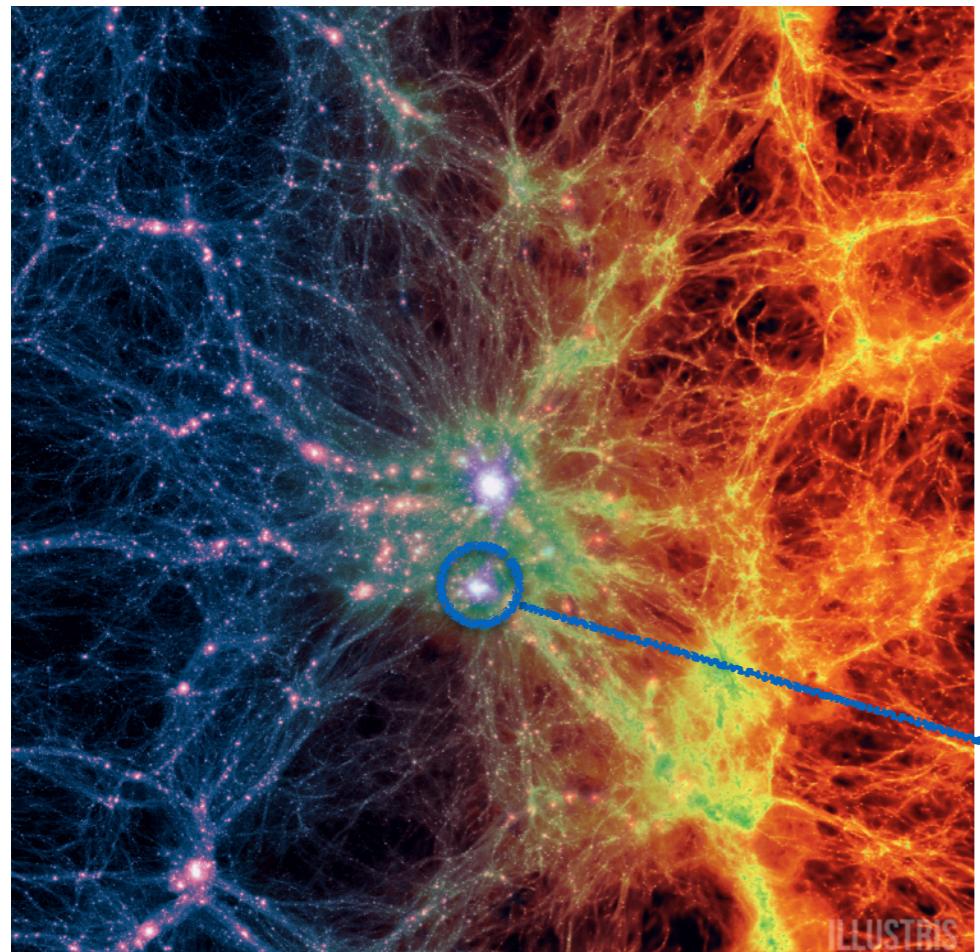
# Can the Dark Matter in the Universe be Black Holes?

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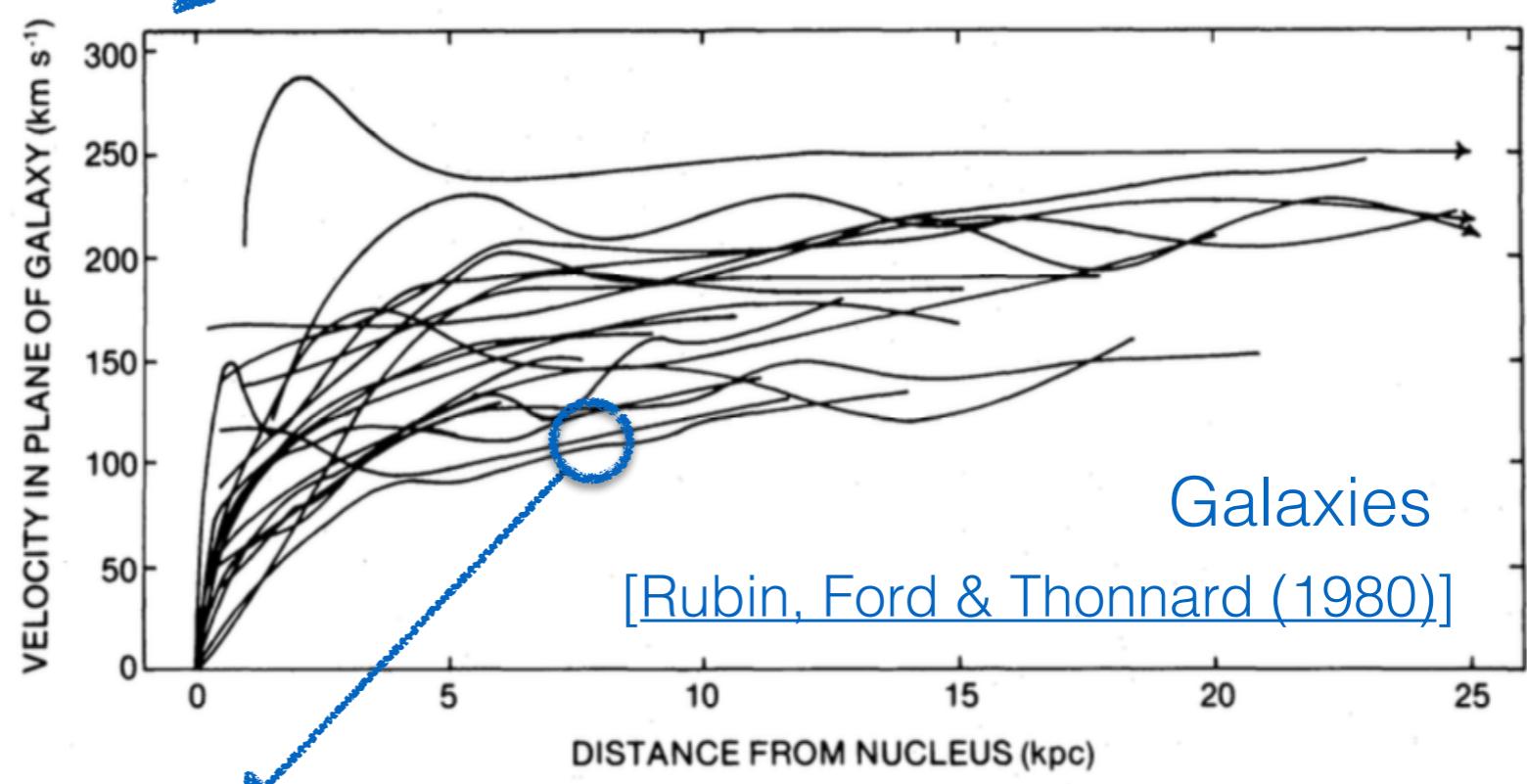
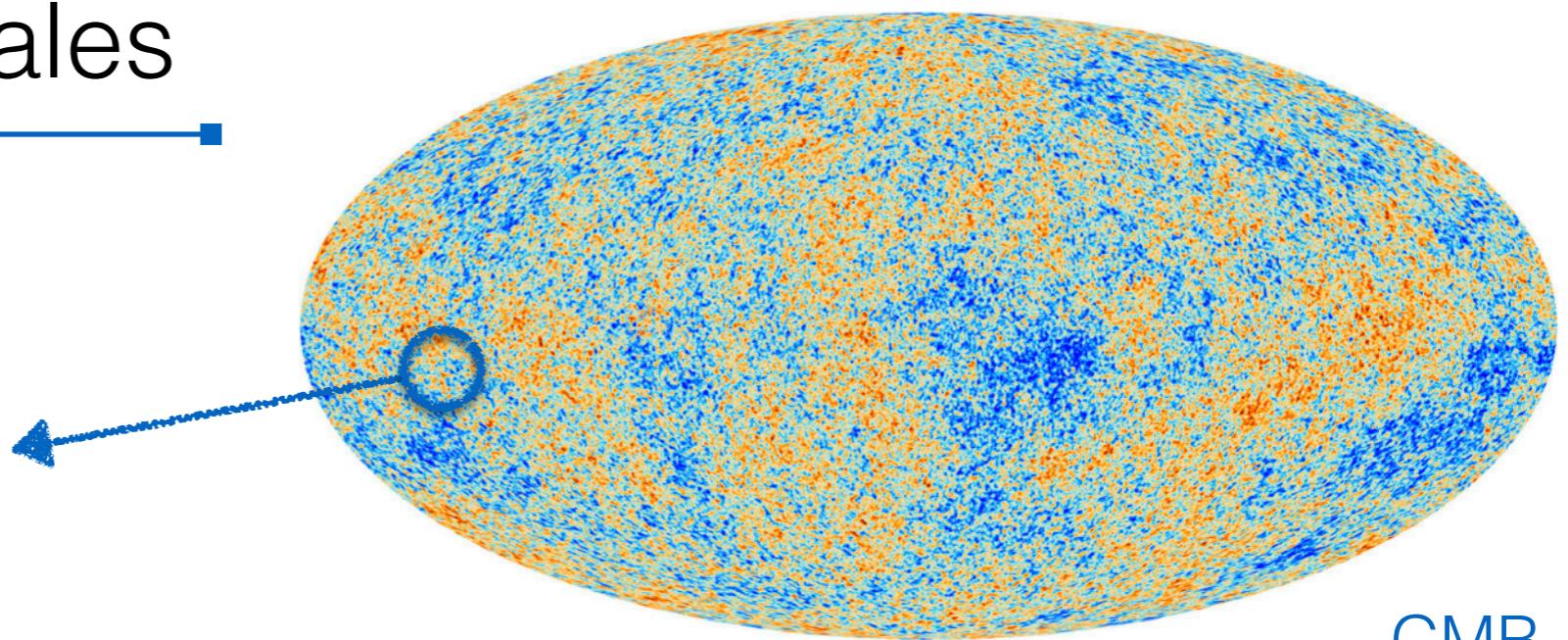
Bradley J Kavanagh  
Frontier Research Master Seminar, IFCA

31st May 2021

# Dark Matter on all scales



Galaxy clusters  
[Illustris, [1405.2921](#)]  
[[astro-ph/0006397](#)]



# Dark Matter at Earth

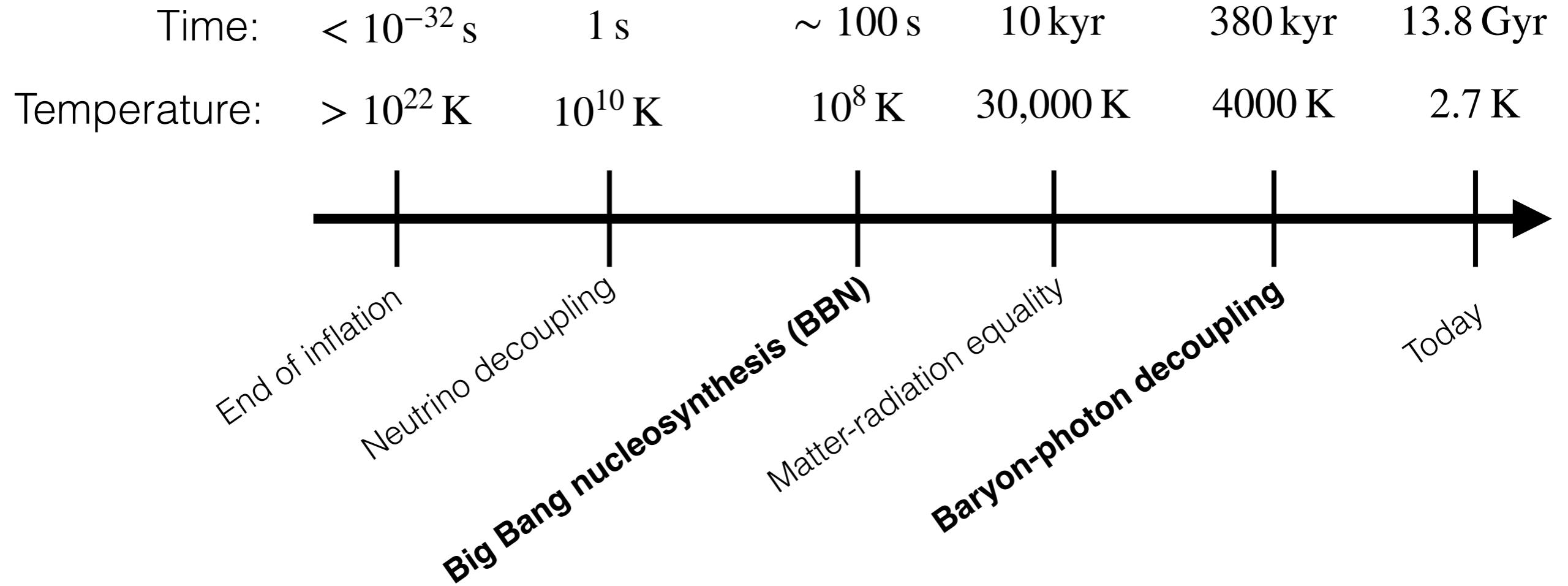
NOT TO SCALE



Global and local estimates of  
DM at Solar radius give:  $\rho_\chi \sim 0.2 - 0.8 \text{ GeV cm}^{-3}$

E.g. Iocco et al. [1502.03821],  
Garbari et al. [1206.0015],  
Read [1404.1938]

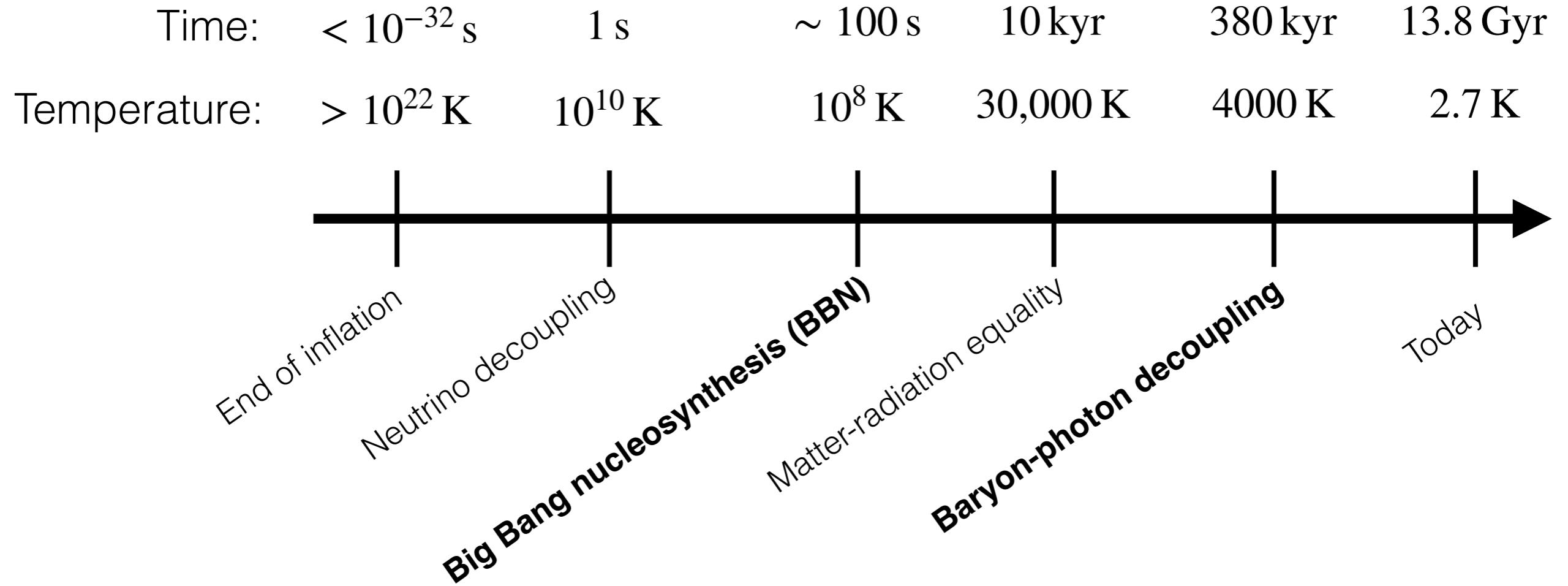
# 'Non-baryonic' DM



Dark Matter must (among other things):

- Not participate in BBN
- Decouple before baryons

# 'Non-baryonic' DM



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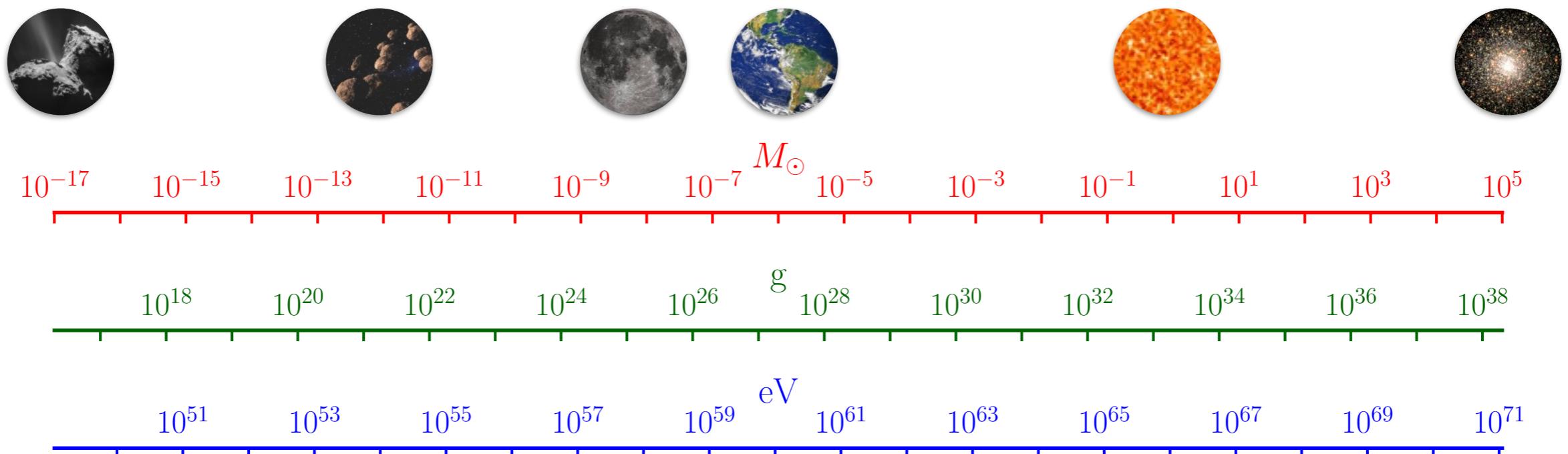
**Black Holes?**

# Primordial Black Holes

Primordial Black Holes (PBHs) could form in the early Universe ( $z \gg 10^8$ ) from large over-densities

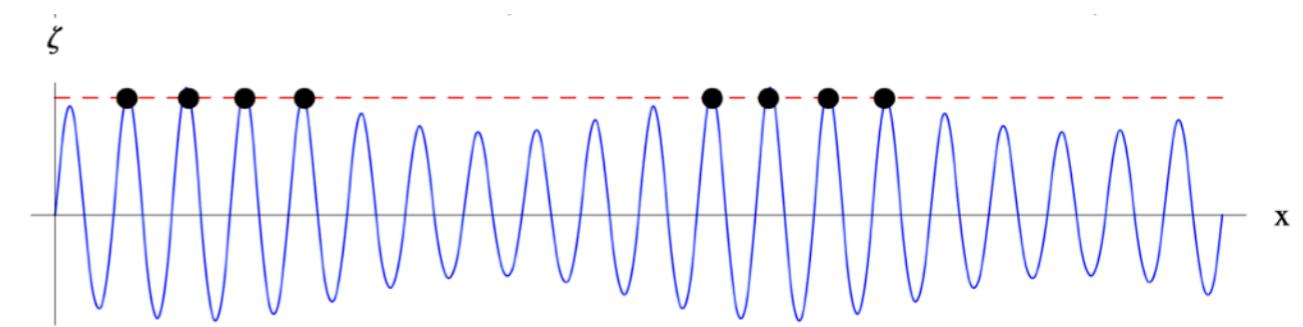
Mass roughly given by mass inside horizon at time of formation:

[Green & Liddle, [astro-ph/9901268](#)]

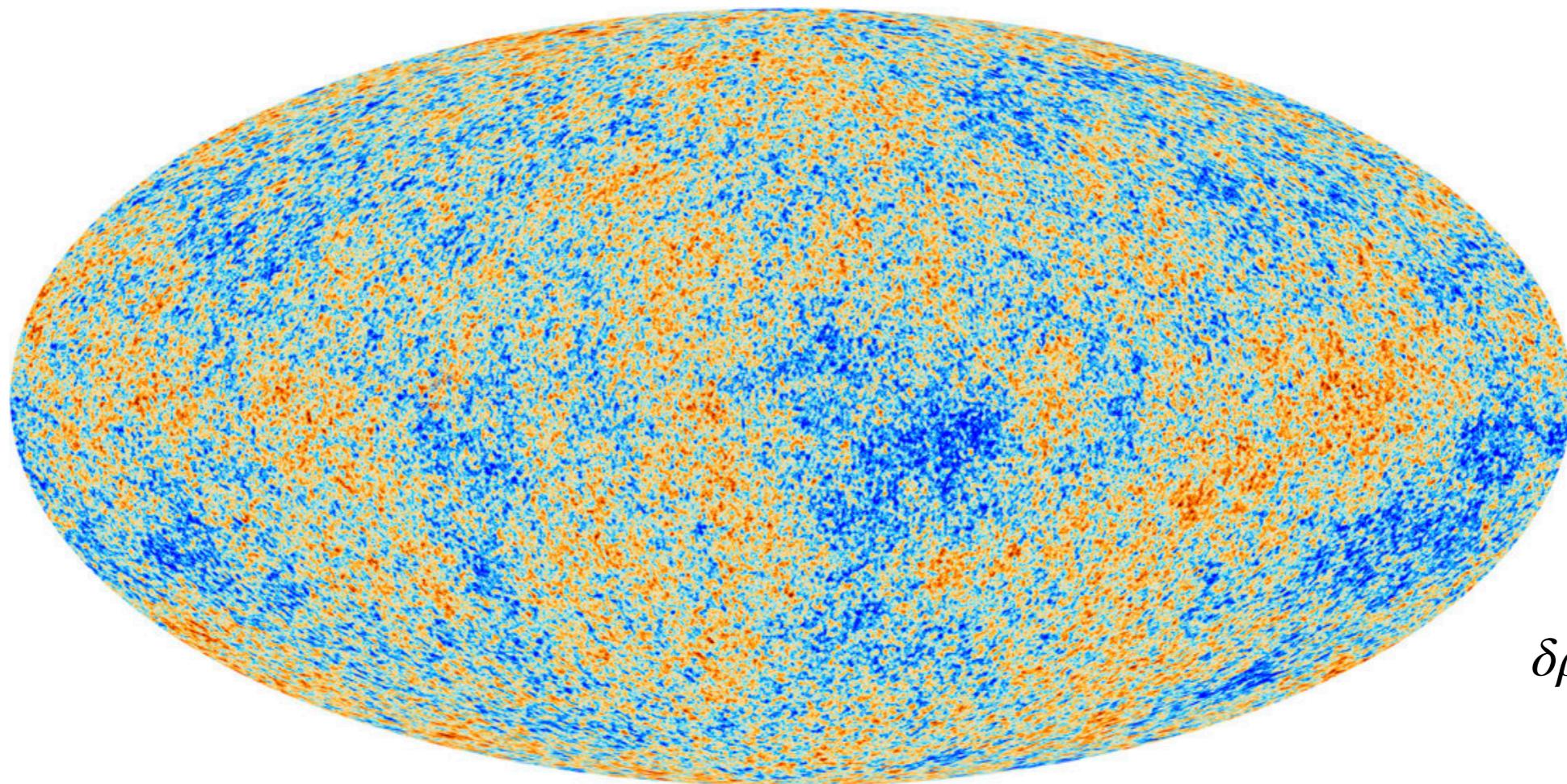


[Zel'dovich & Novikov (1967), Hawking (1971), Carr & Hawking (1974), Carr (1975)]

# Primordial fluctuations



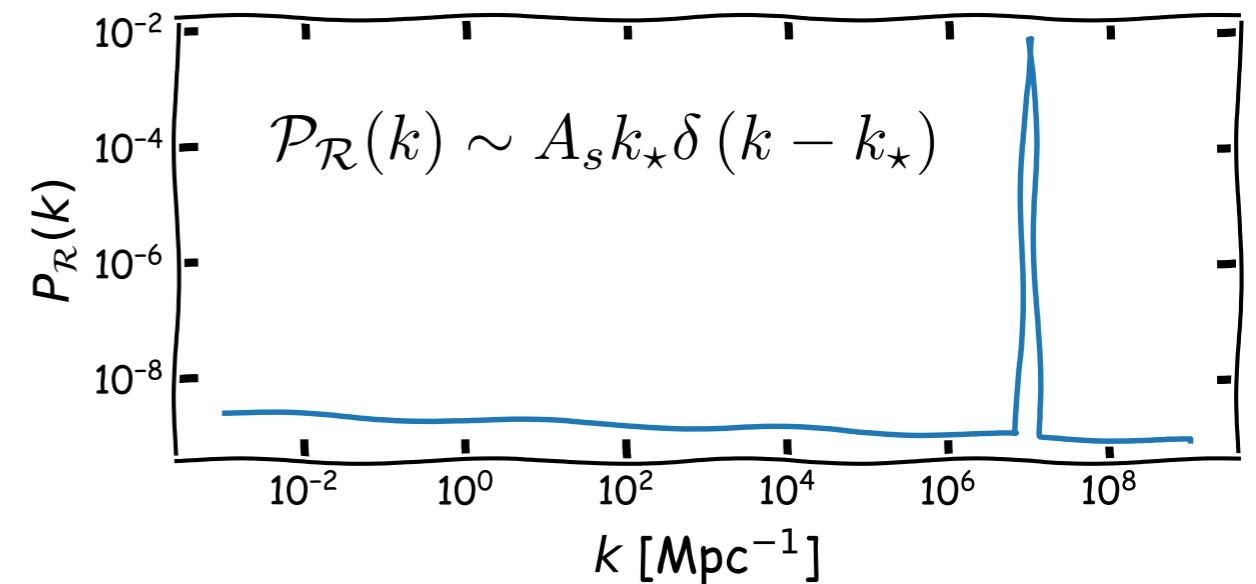
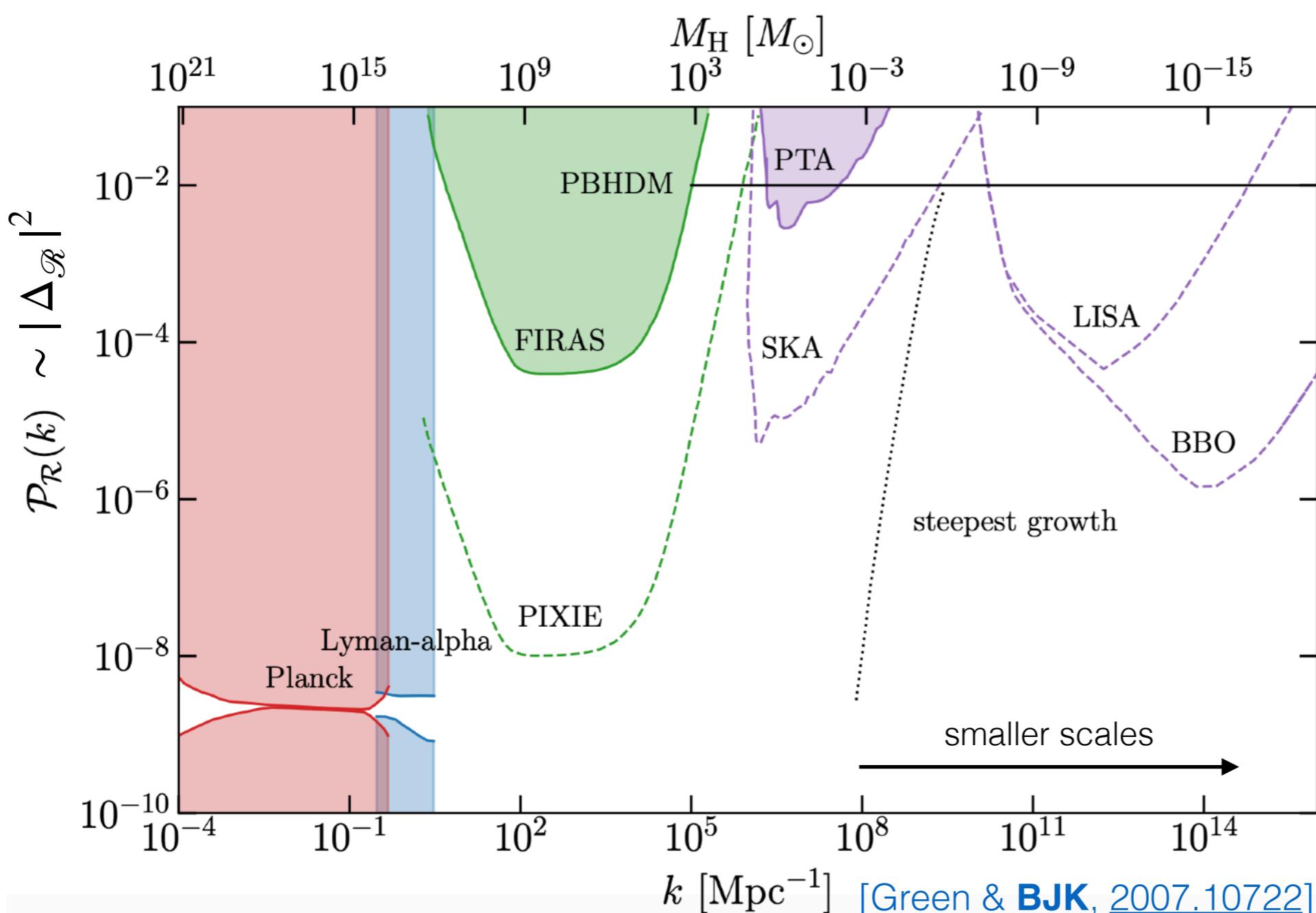
[Young & Byrnes, [1411.4620](#)]



$$\delta\rho/\rho \sim 10^{-5}$$

$$\lambda \sim 10 \text{ Mpc} \rightarrow k \sim 0.05 \text{ Mpc}^{-1} \rightarrow M_H \sim 10^{16} M_\odot$$

# Making a PBH (1)

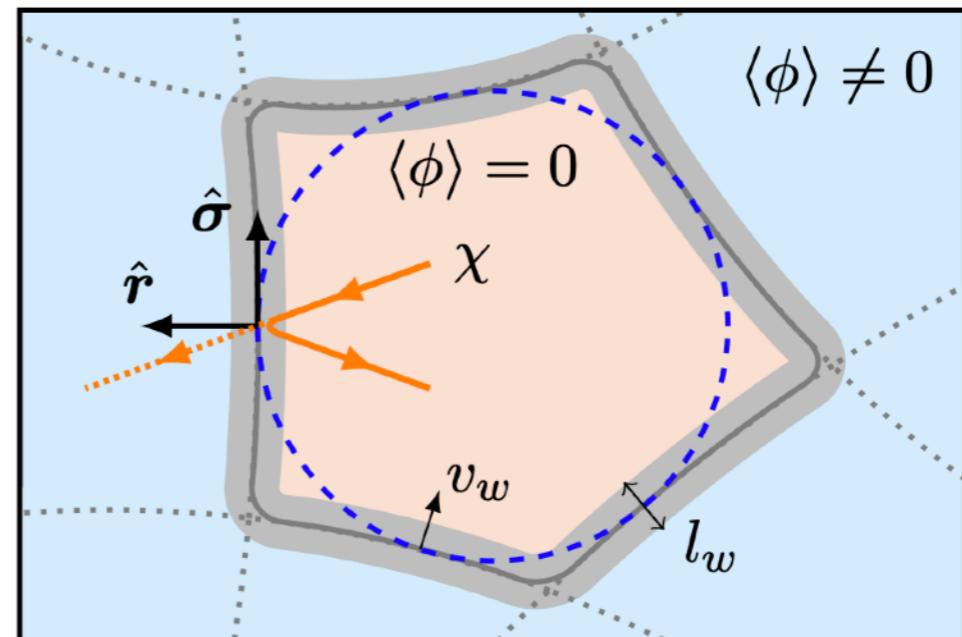


# Making a PBH (2)

Early Universe Phase Transitions:

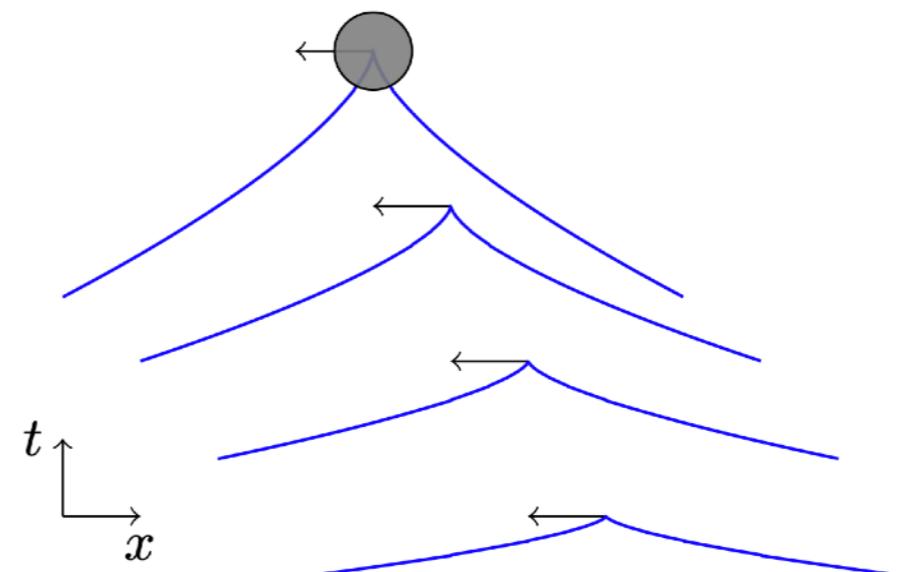
[e.g. [Hawking, Moss & Stewart \(1982\)](#);  
[La & Steinhardt \(1989\)](#)]

[Baker et al., [2105.07481](#)]



Collapse of Cosmic Strings:

[e.g. [Hawking \(1987\)](#),  
[Polnarev & Zembowicz \(1991\)](#), [gr-qc/9509012](#)]



[Jenkins & Sakellariadou, [2006.16249](#)]

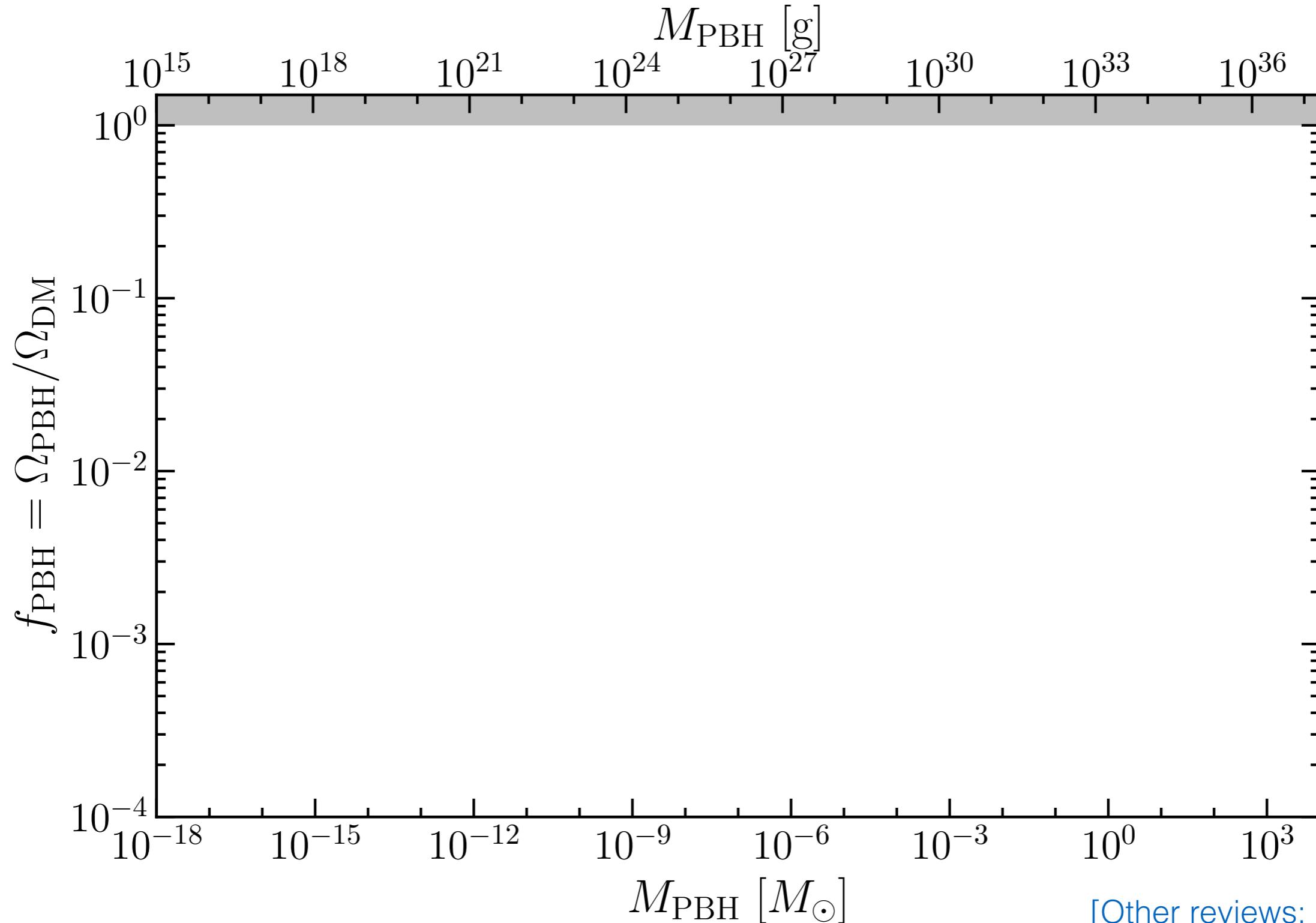
PBHs would be a sign of New Physics and a probe of the early universe.

[Green, [1403.1198](#); Sasaki et al, [1801.05235](#)]

# PBH Constraints

[Green & **BJK**, 2007.10722]

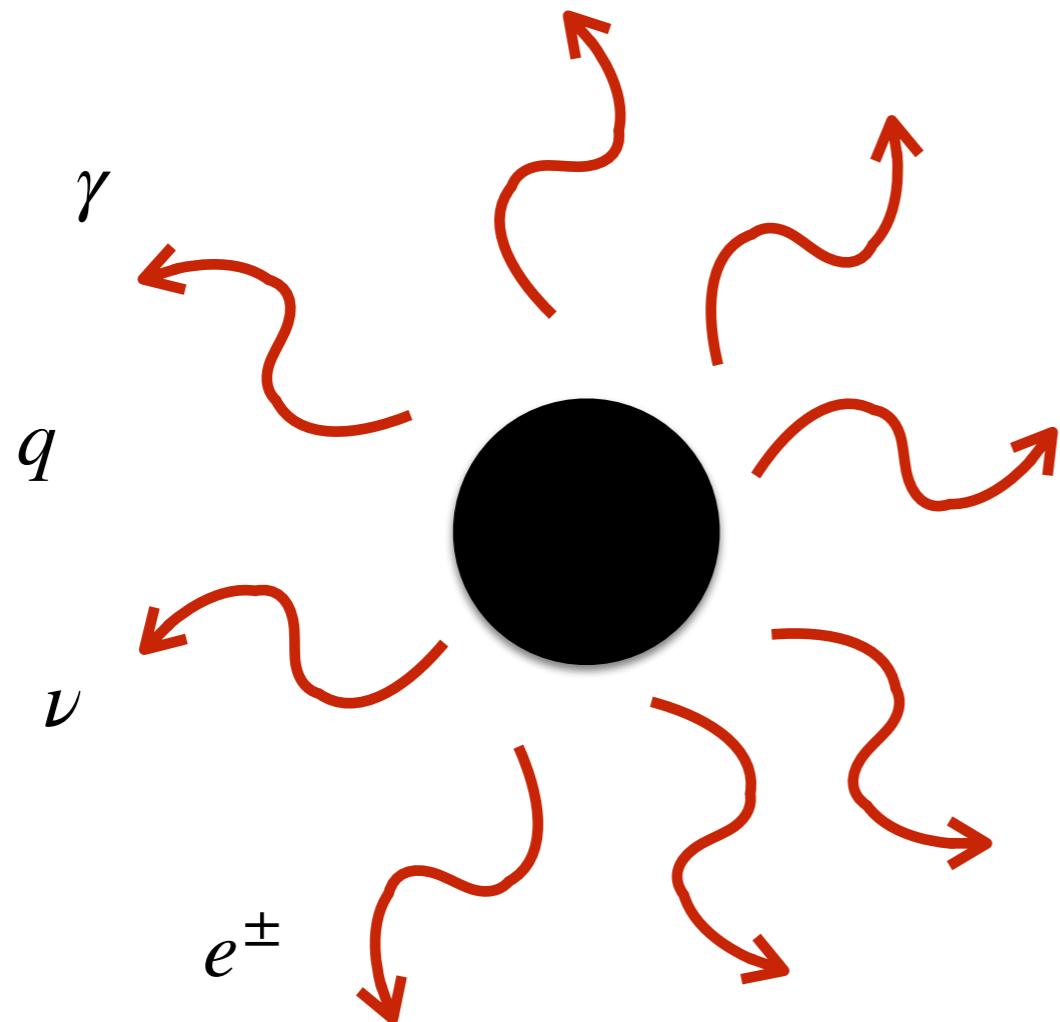
[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



[Other reviews: [1801.05235](#),  
[2002.12778](#), [2006.02838](#)]

# Hawking Radiation

Black holes *radiate* with a temperature depending on the mass:



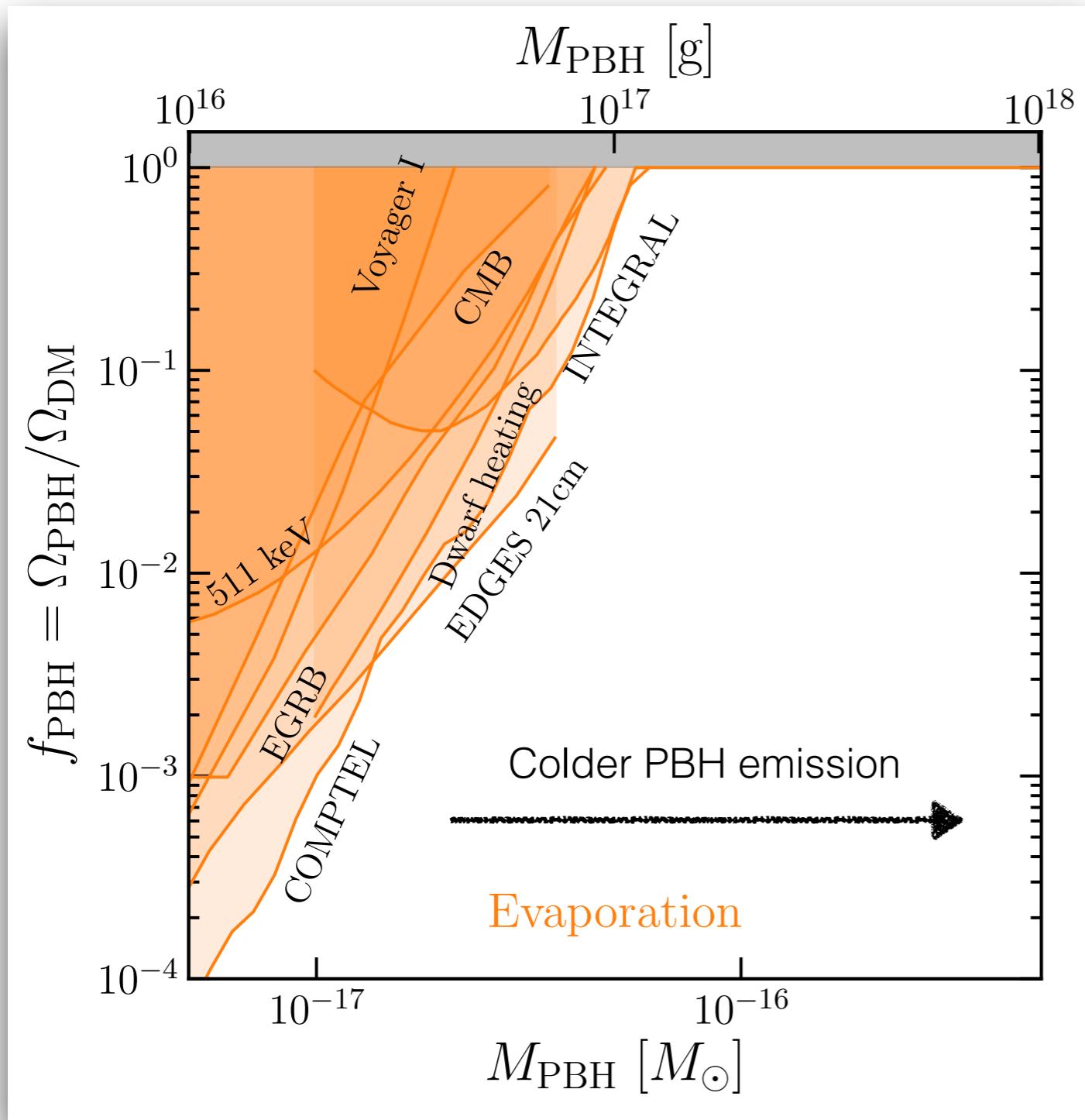
$$T_H = \frac{\hbar c^3}{8\pi G k_B M}$$
$$\approx 1 \text{ MeV} \left( \frac{10^{16} \text{ g}}{M_{\text{PBH}}} \right)$$

BHs lose mass and eventually evaporate with a lifetime:

$$\tau(M) \simeq 200 \tau_U \left( \frac{M}{10^{15} \text{ g}} \right)^3$$

[Hawking, [Nature \(1974\)](#);  
Carr & Hawking, [MNRAS \(1974\)](#);  
Arbey & Auffinger, [1905.04268](#)]

# PBH Evaporation



$$T_{\text{H}} = \frac{\hbar c^3}{8\pi G k_{\text{B}} M}$$
$$\approx 1 \text{ MeV} \left( \frac{10^{16} \text{ g}}{M_{\text{PBH}}} \right)$$

$$\tau(M) \simeq 200 \tau_U \left( \frac{M}{10^{15} \text{ g}} \right)^3$$

Many interesting constraints from  
'old' experiments, e.g.

Voyager I

[Boudaud & Cirelli, [1807.03075](#)]

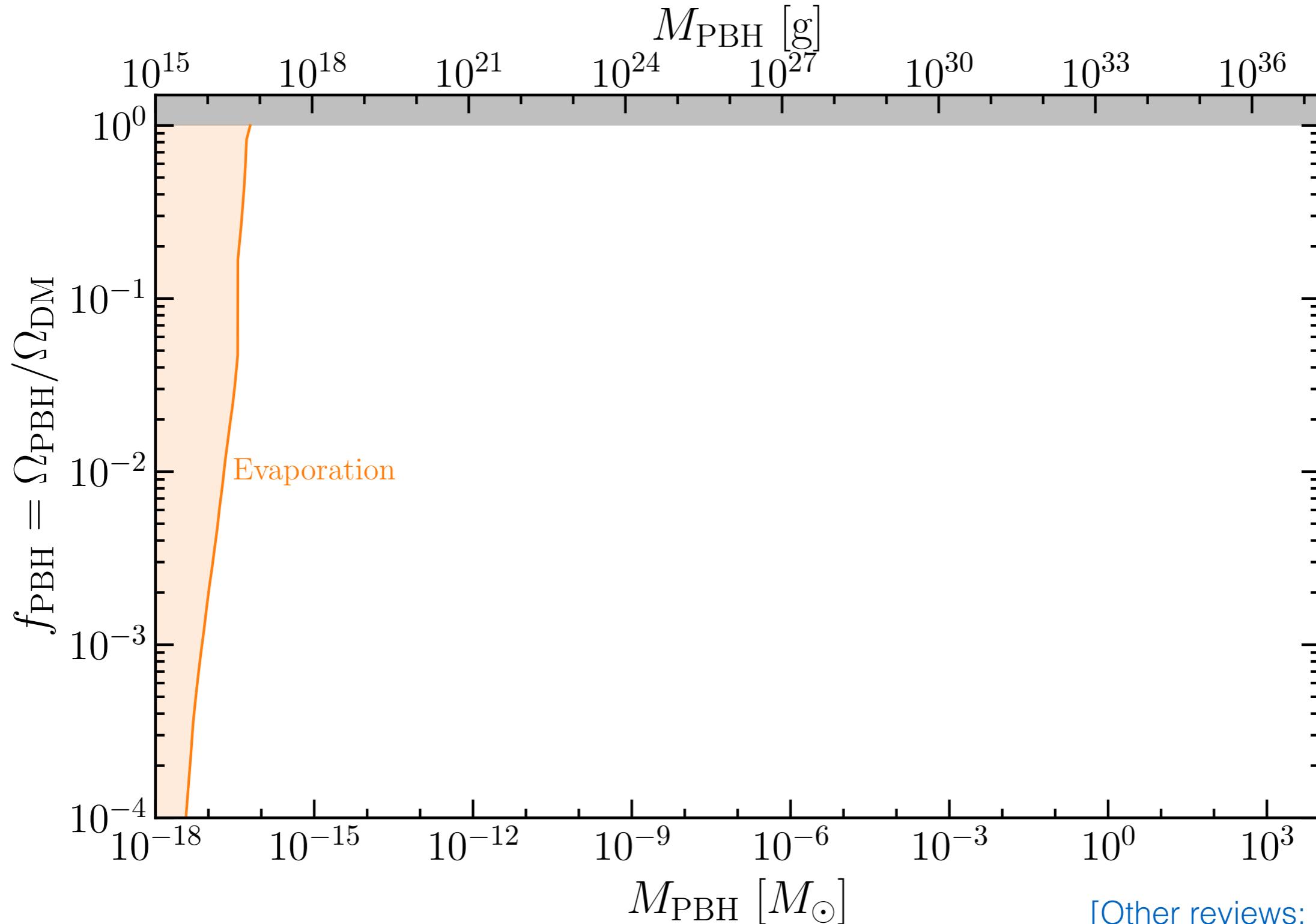
COMPTEL

[Coogan et al., [2010.04797](#)]

# PBH Constraints

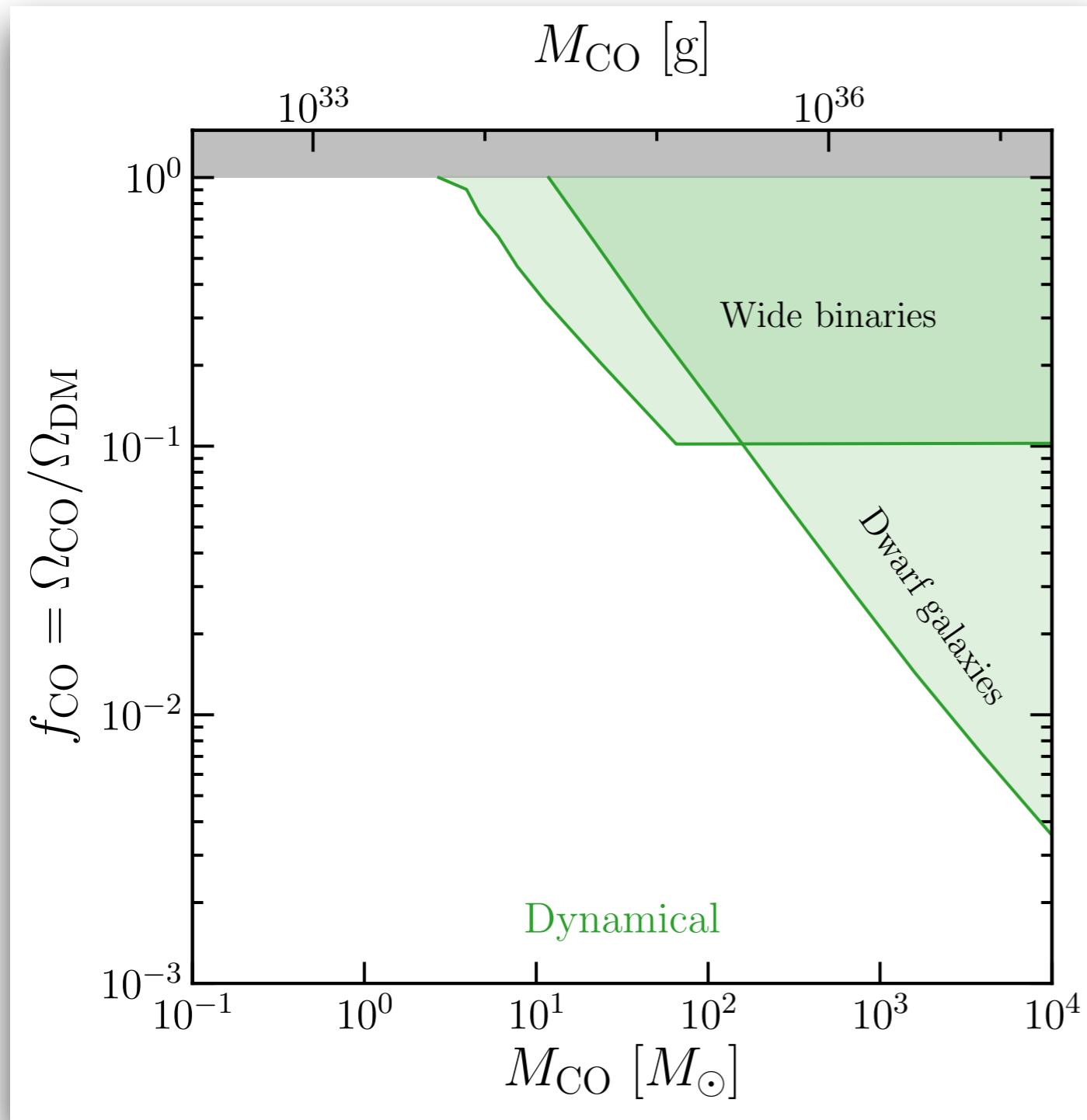
[Green & **BJK**, 2007.10722]

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



[Other reviews: [1801.05235](#),  
[2002.12778](#), [2006.02838](#)]

# Dynamical Constraints



Heavy PBHs can ‘inject’ energy and disrupt bound systems

Wide binary constraints should improve with *Gaia*

[Price-Whelan, Oh & Spergel, [1709.03532](#)]

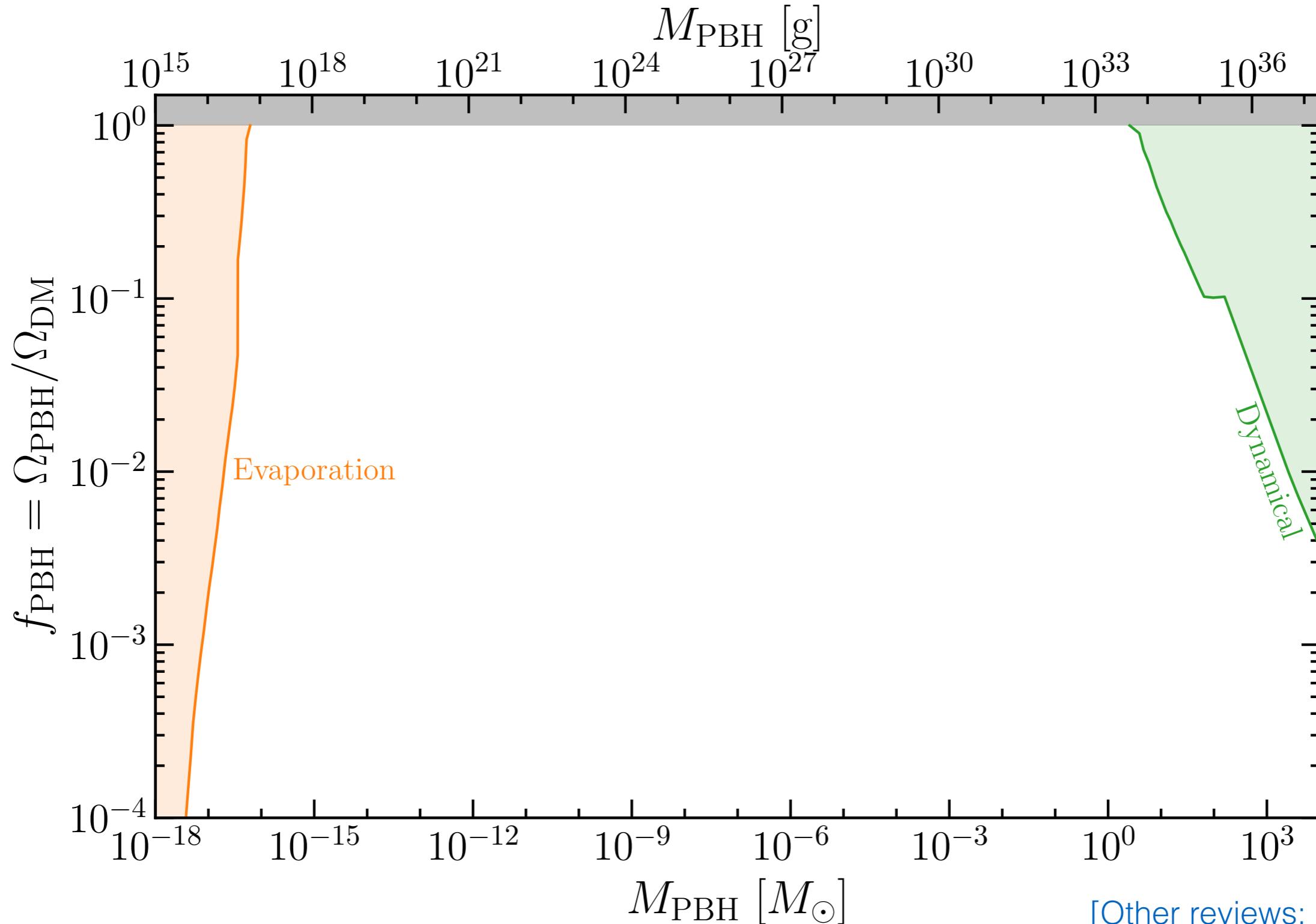
Dwarf galaxy constraints weakening somewhat with improved analysis (e.g. Fokker-Planck)

[E.g. Zhu et al., [1710.05032](#), Stegmann et al., [1910.04793](#) ]

# PBH Constraints

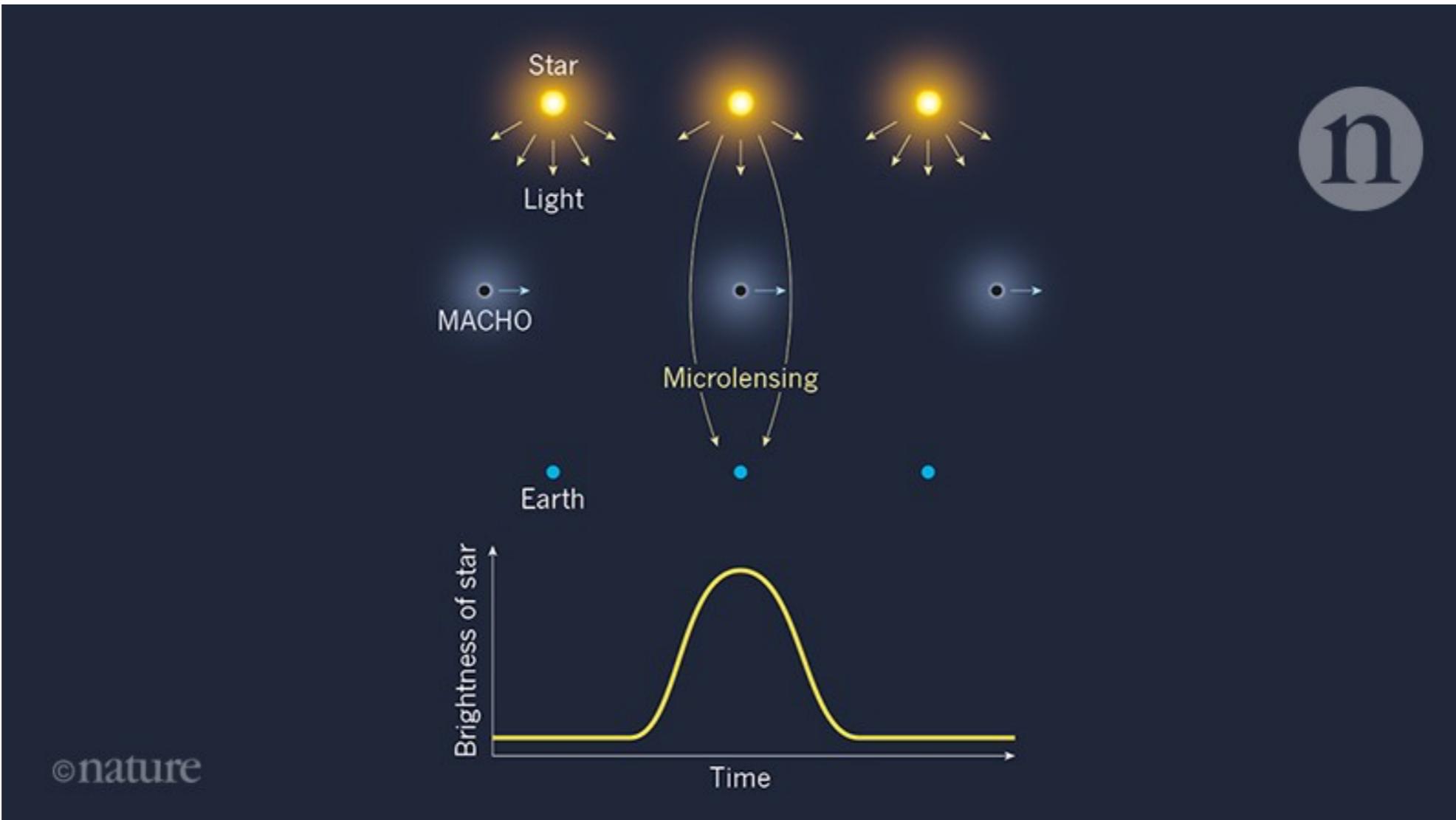
[Green & **BJK**, 2007.10722]

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



[Other reviews: [1801.05235](#),  
[2002.12778](#), [2006.02838](#)]

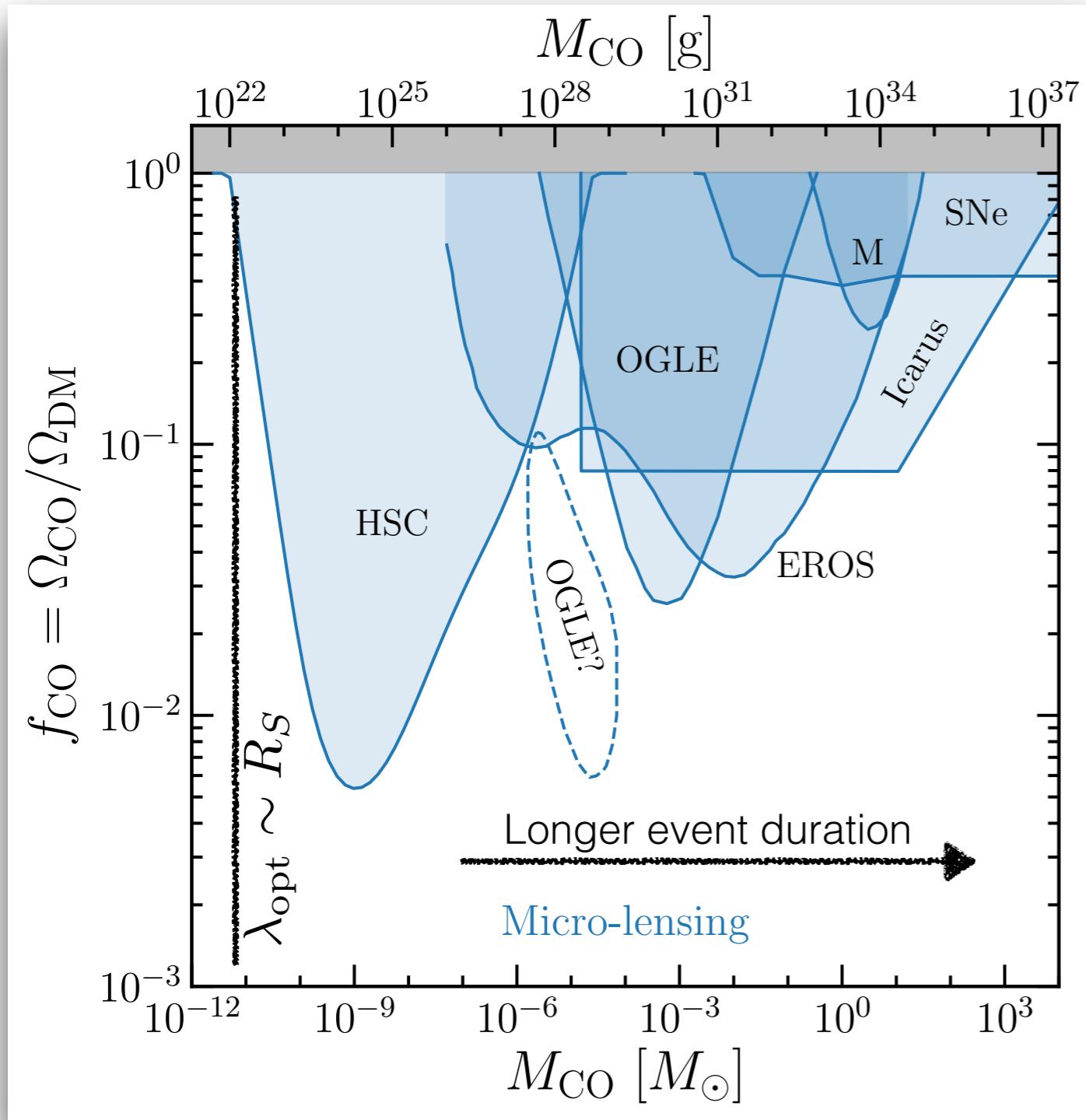
# Micro-lensing



$$t_E \simeq 44 \text{ days} \left( \frac{M}{M_\odot} \right)^{1/2} \left( \frac{d_L d_{LS}/d_S}{4\text{kpc}} \right)^{1/2}$$

# Micro-lensing constraints

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Recently updated at the low mass end

[Smyth et al., [1910.01285](#),  
Croon et al., [2007.12697](#)]

Hint from 6 ultra-short events?  
( $t \sim 0.1\text{-}0.3$  days)

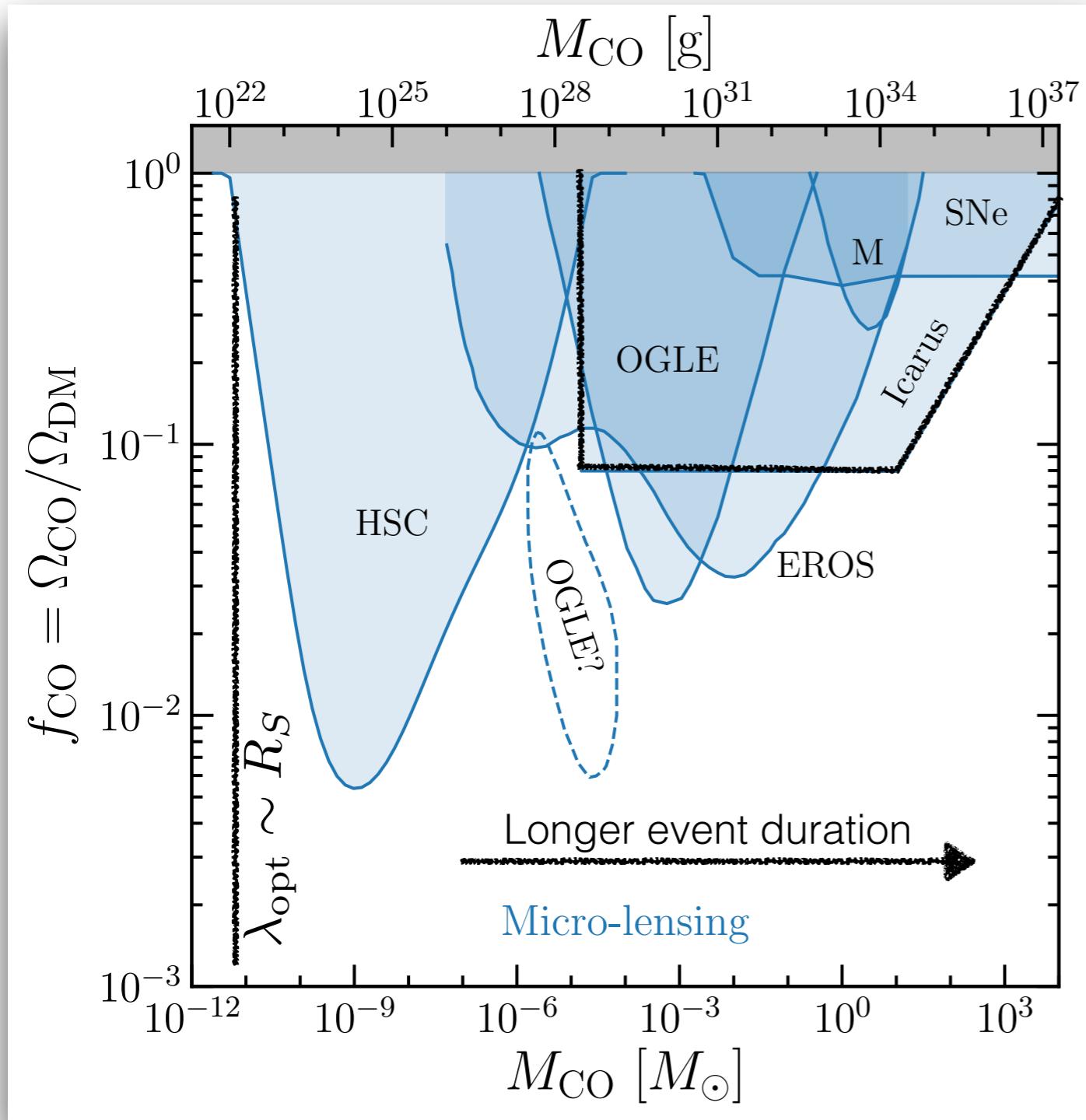
[OGLE, [1901.07120](#)]

ICARUS! A star at  $z \sim 1.5$

[Kelly et al., [1706.10279](#),  
Oguri et al., [1710.00148](#)]

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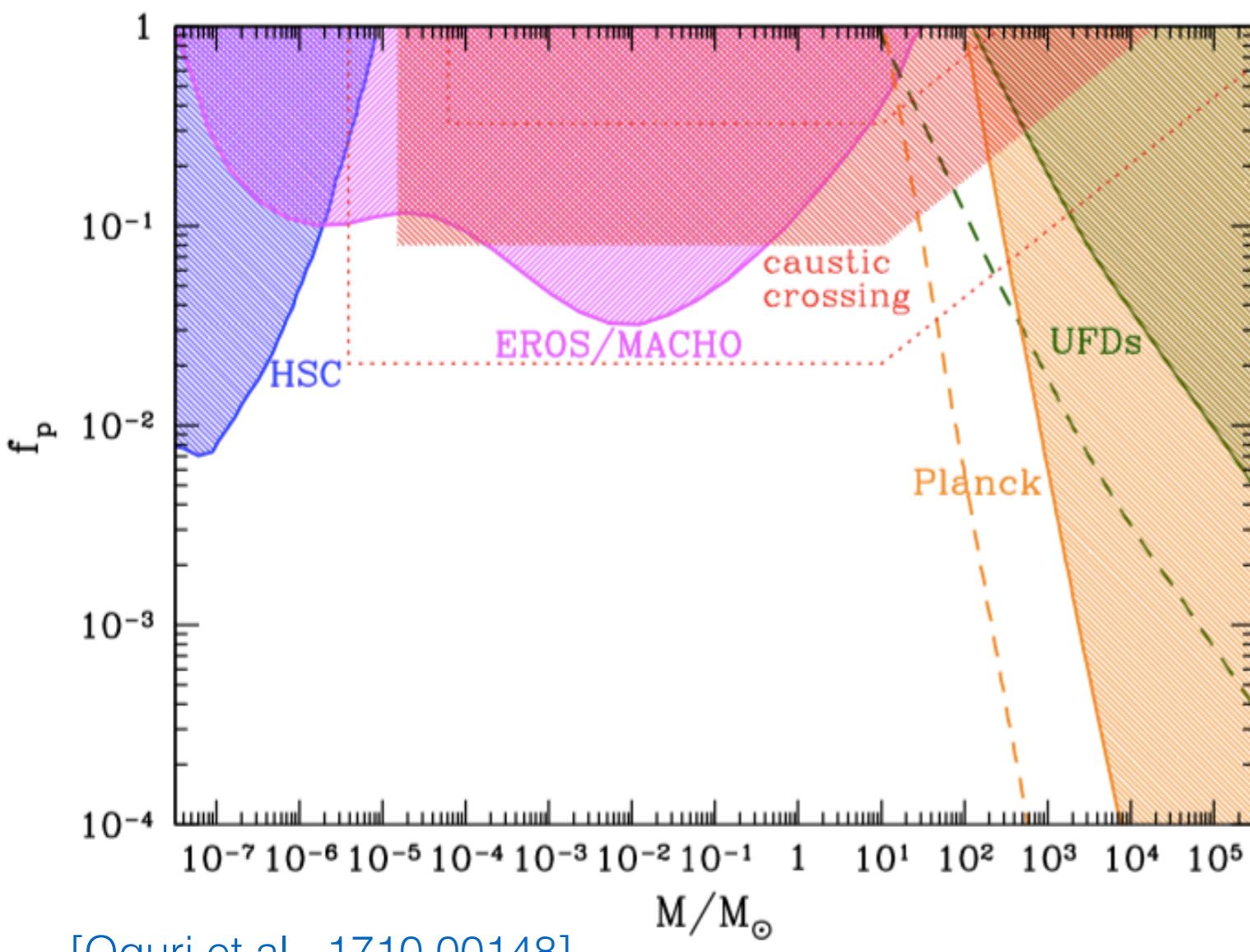
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Oguri et al., [1710.00148](#)]

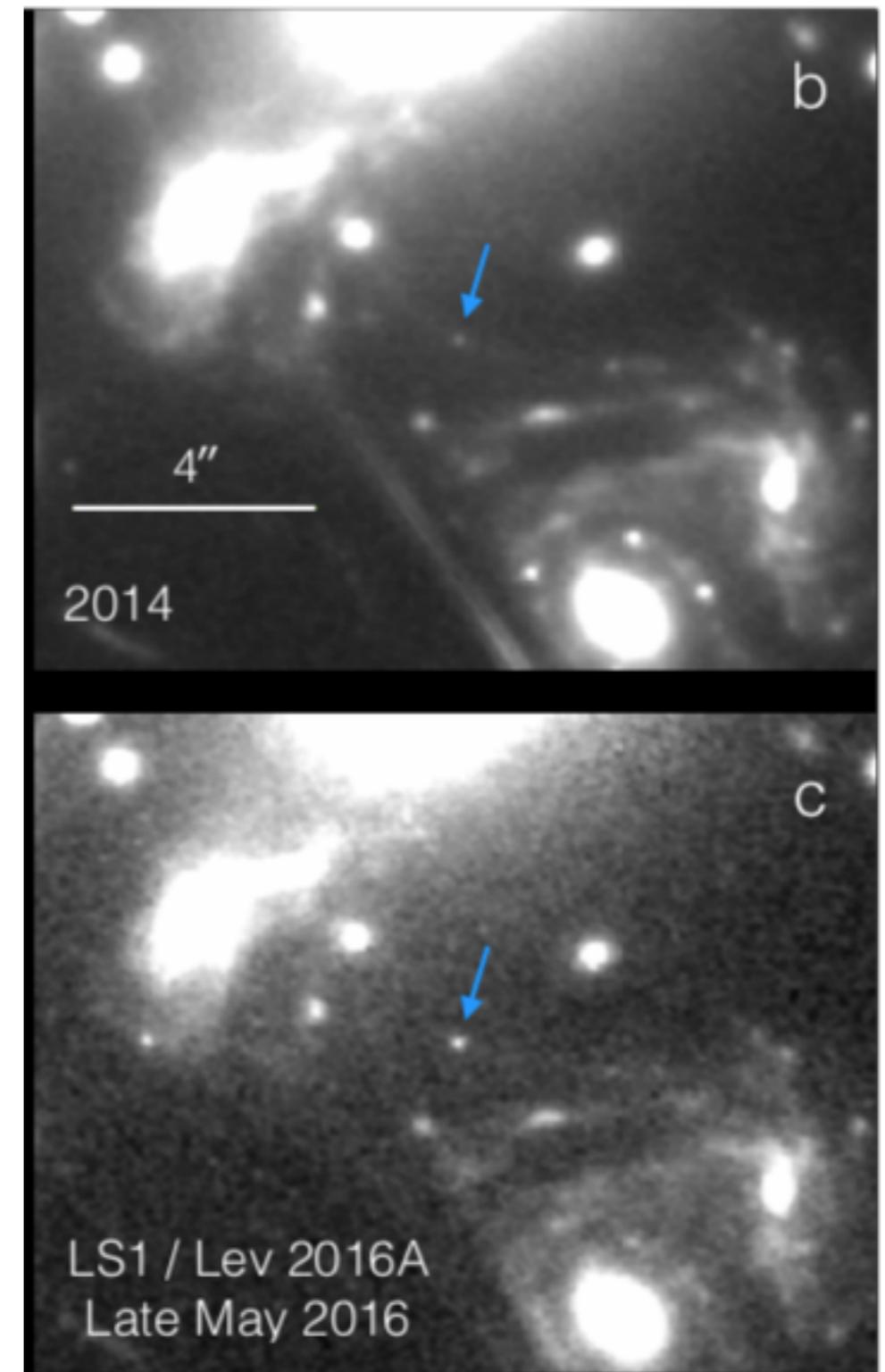
# Icarus!

Star at  $z \sim 1.49$ , magnified by  $>2000\times$

(PBH) substructure in the galaxy-cluster lens  
would reduce the magnification



[Oguri et al., [1710.00148](#)]

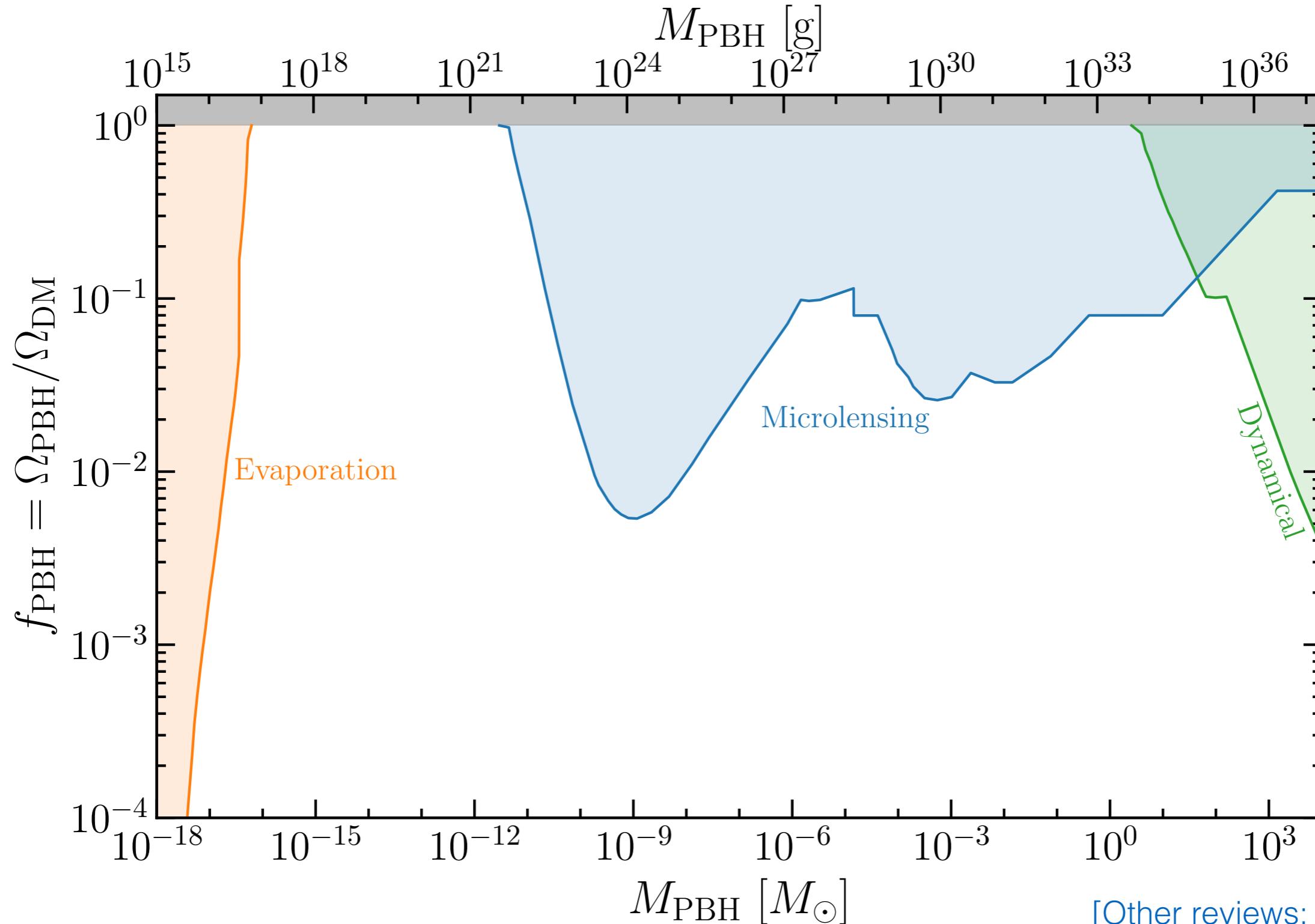


[Kelly et al., [1706.10279](#)]

# PBH Constraints

[Green & BJK, 2007.10722]

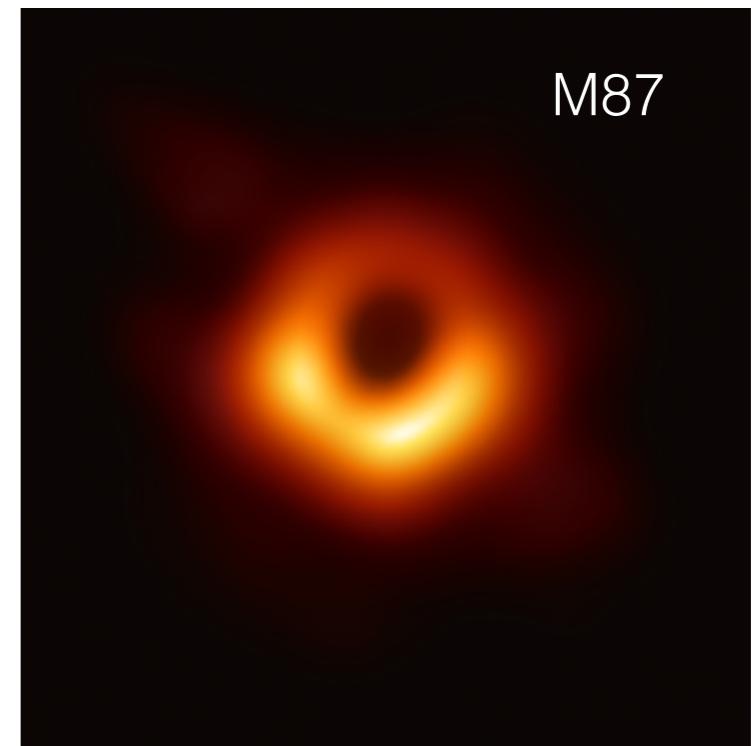
[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



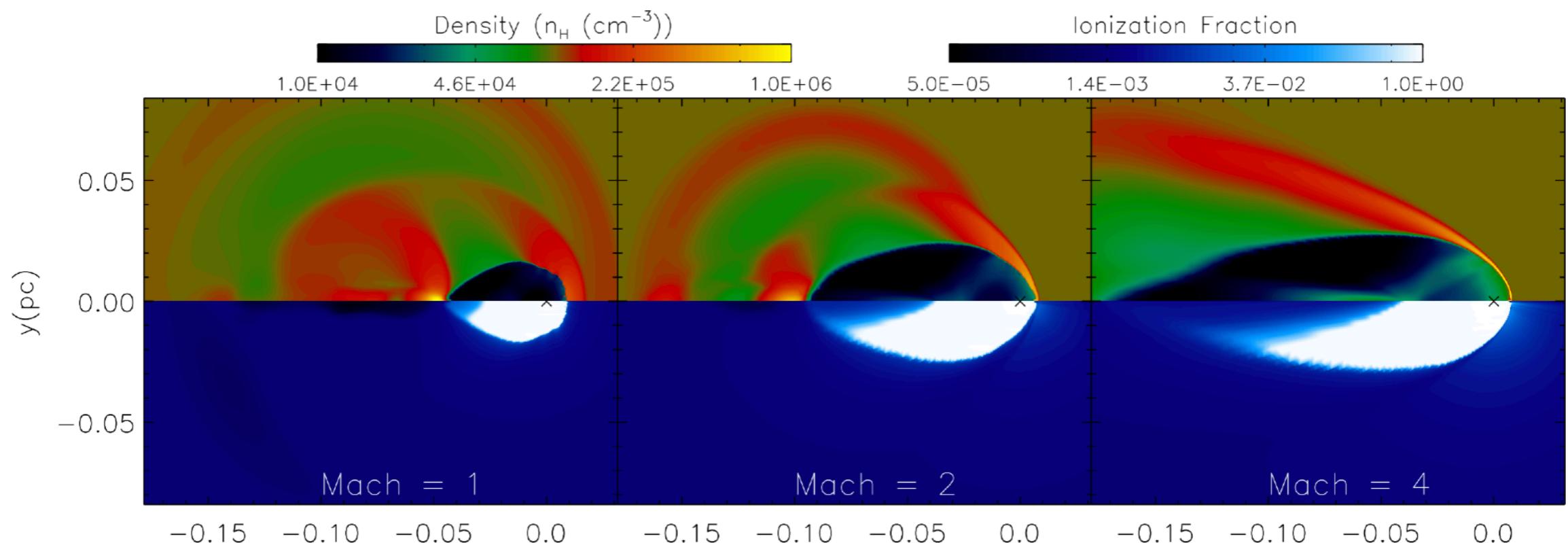
[Other reviews: [1801.05235](#),  
[2002.12778](#), [2006.02838](#)]

# Black Hole Accretion

$$\dot{M} \equiv 4\pi (GM_{\text{BH}})^2 \rho (v^2 + c_s^2)^{-3/2}$$



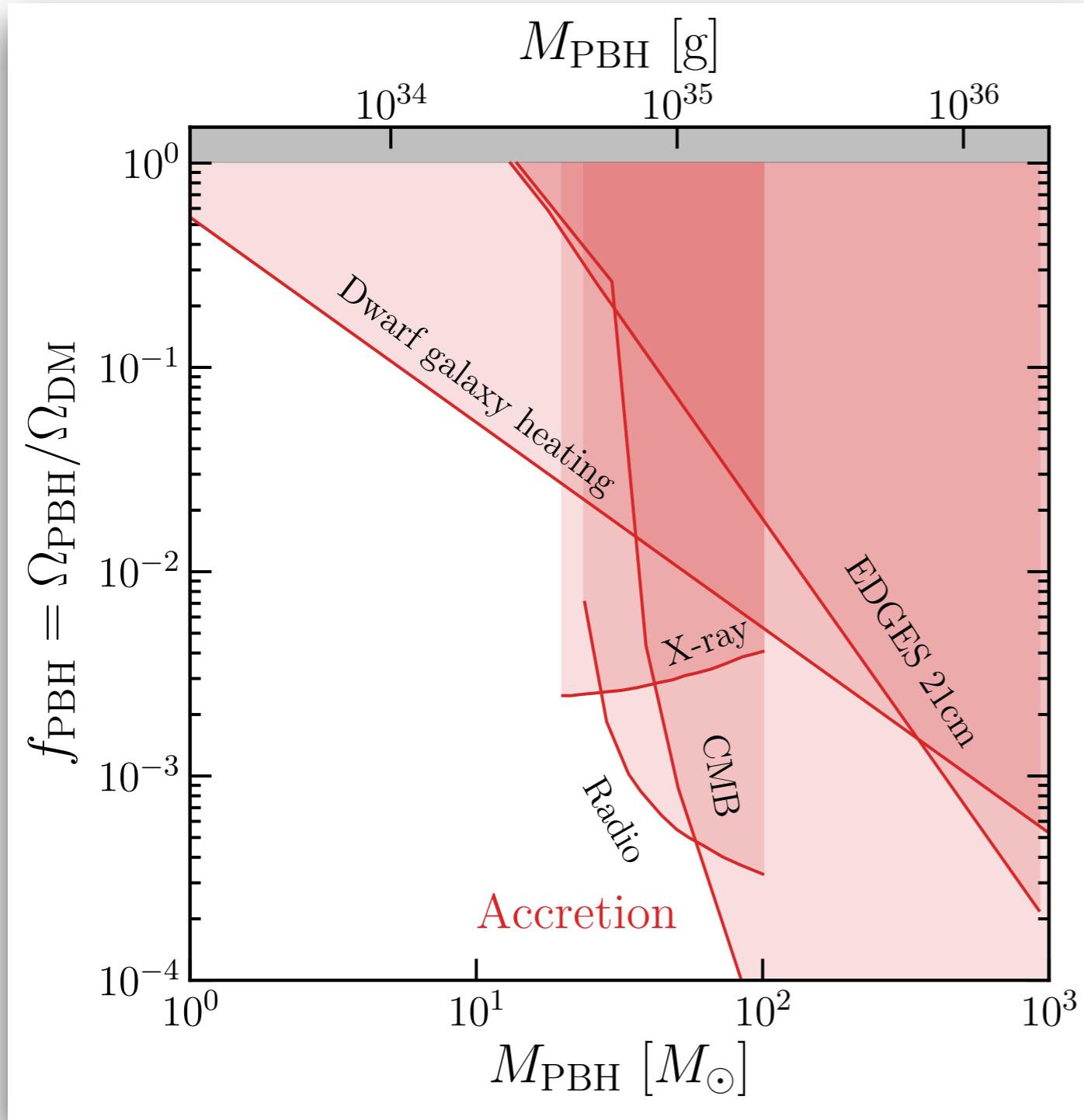
[Event Horizon Telescope, [1906.11241](#)]



[Park & Ricotti, [1211.0542](#)]

# PBH Accretion

Emission due to accretion can be relevant at early and late times



Large uncertainties due to accretion model

[E.g. Manshanden et al., [1812.07967](#)]

CMB bounds now on solid ground (and getting stronger)

[Serpico et al., [2002.10771](#)]

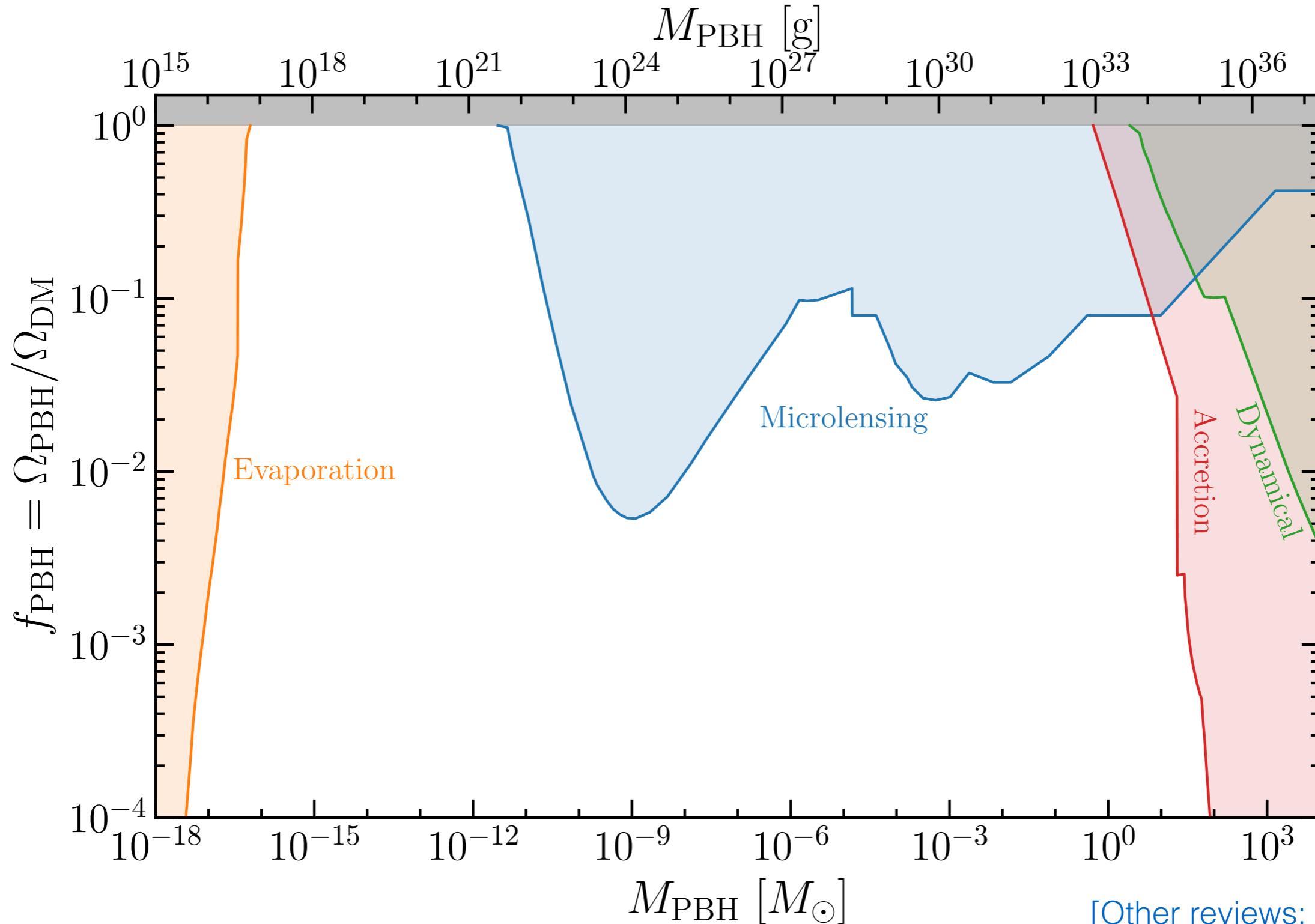
New bounds from gas heating in Leo T dwarf

[Lu et al., [2007.02213](#)]

# PBH Constraints

[Green & BJK, 2007.10722]

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



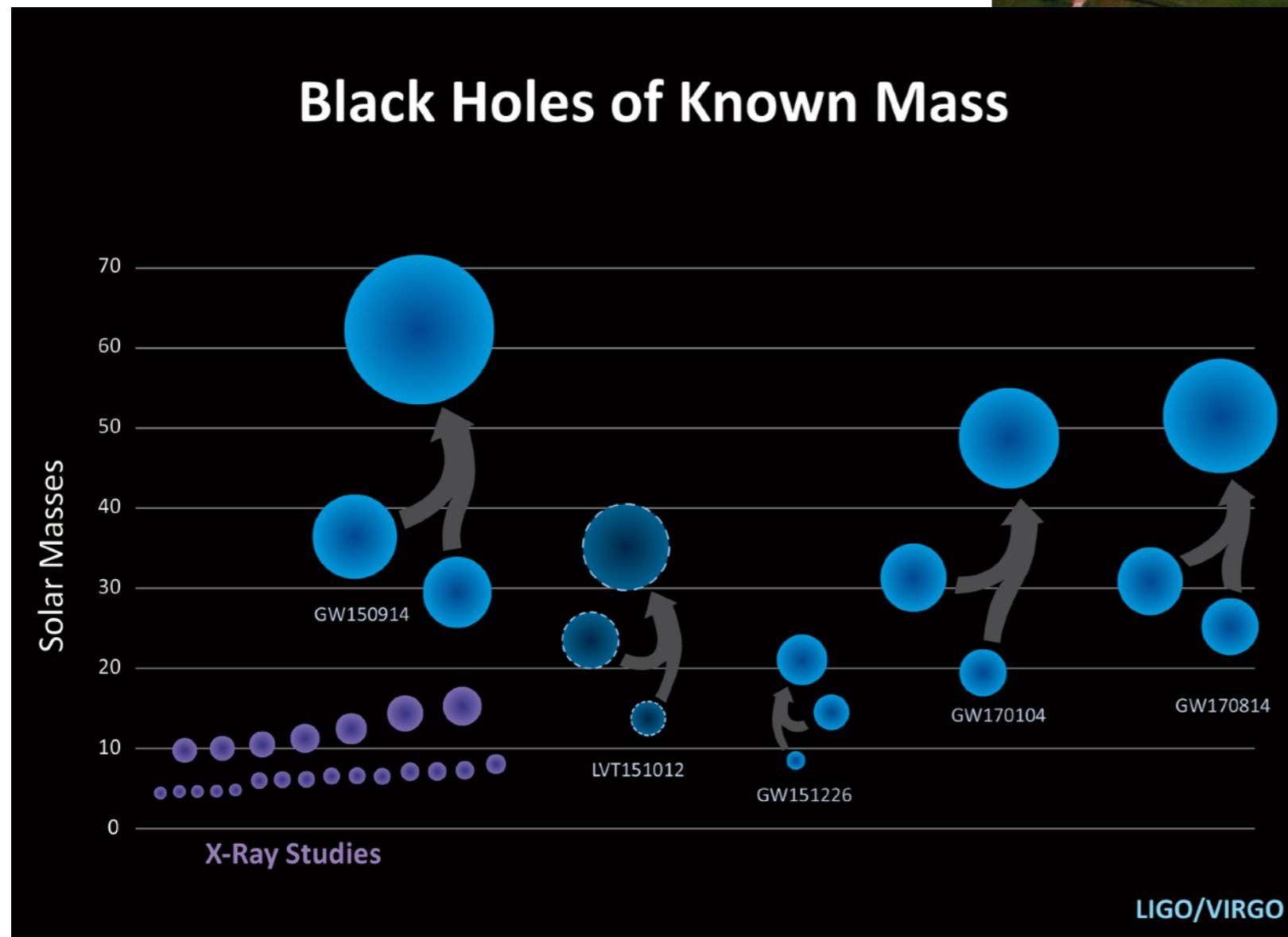
[Other reviews: [1801.05235](https://arxiv.org/abs/1801.05235),  
[2002.12778](https://arxiv.org/abs/2002.12778), [2006.02838](https://arxiv.org/abs/0606.02838)]

# LIGO/Virgo Mergers

LIGO/Caltech/Sonoma State (Aurore Simonnet)



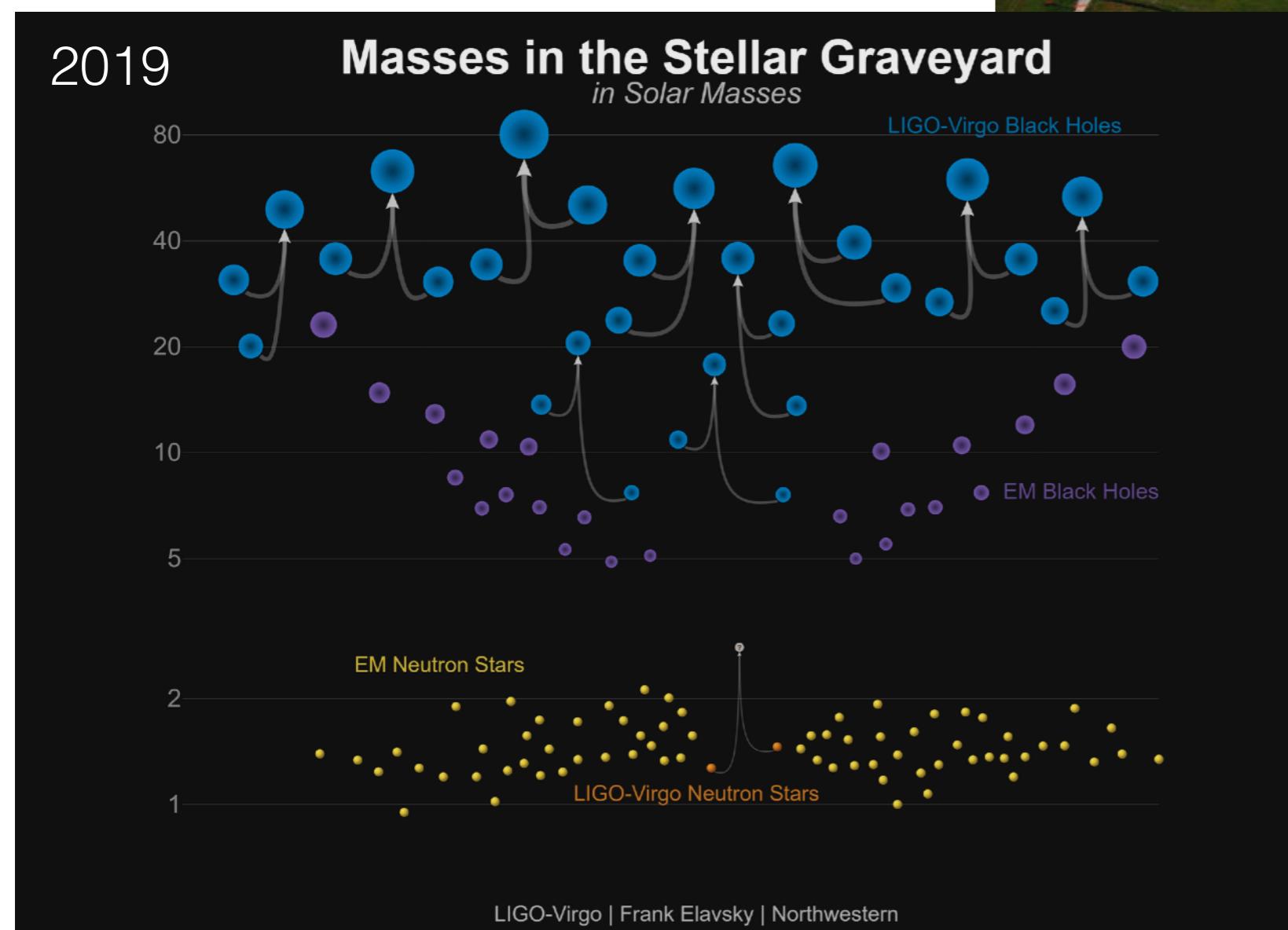
## Black Holes of Known Mass



The Virgo collaboration/CCO 1.0

# LIGO/Virgo Mergers

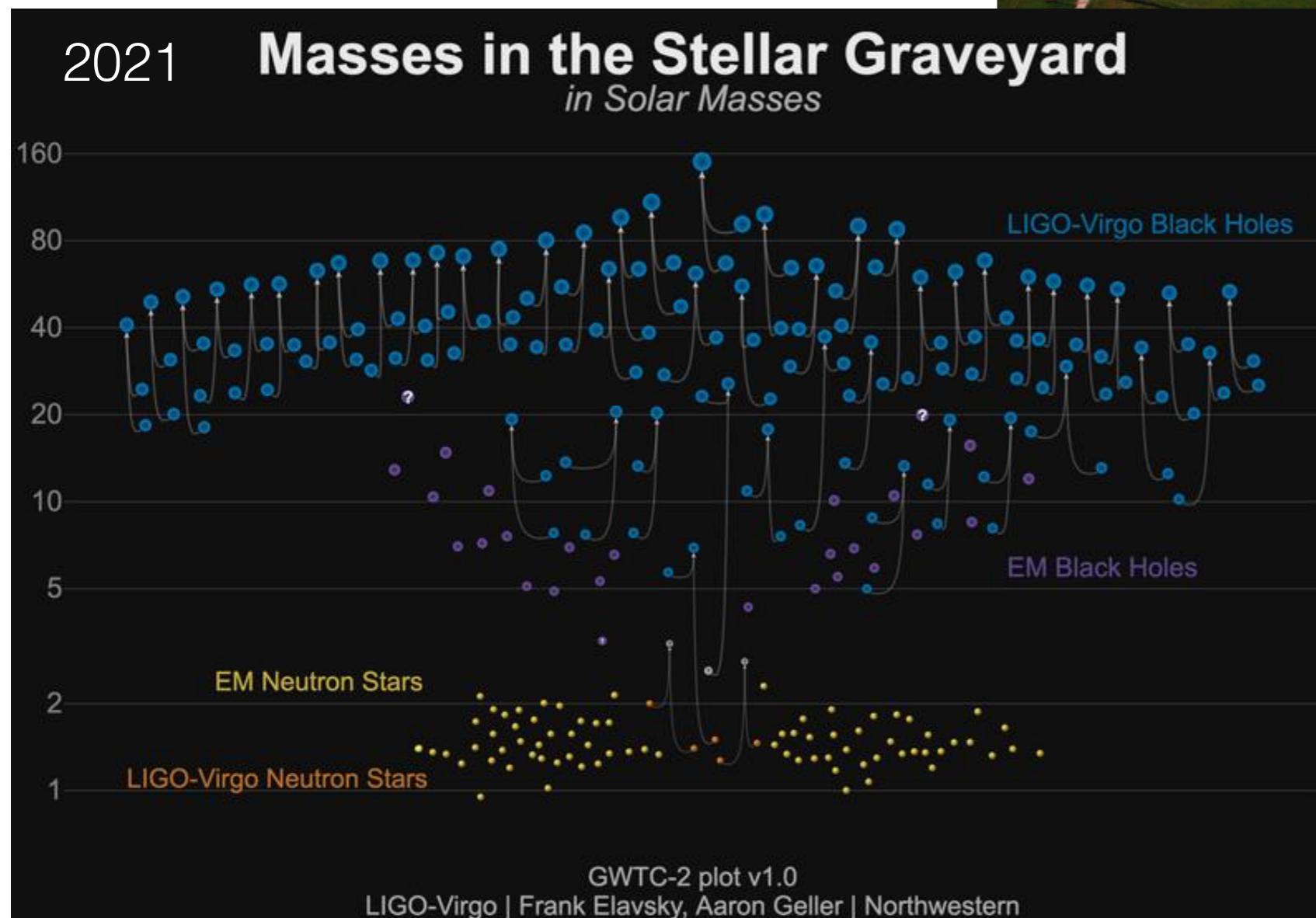
LIGO/Virgo/Northwestern Univ. (Frank Elavsky)



The Virgo collaboration/CCO 1.0

# LIGO/Virgo Mergers

LIGO/Virgo/Northwestern Univ.  
(Frank Elavsky, Aaron Geller)

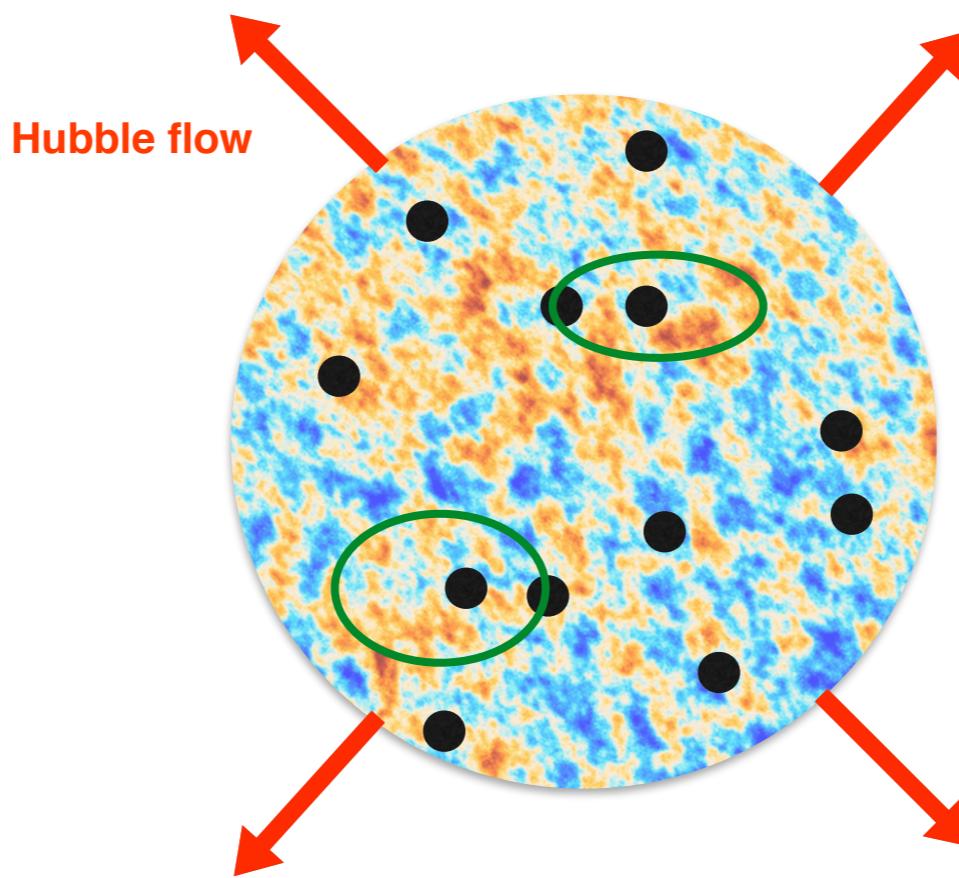


The Virgo collaboration/CCO 1.0

# PBH binaries

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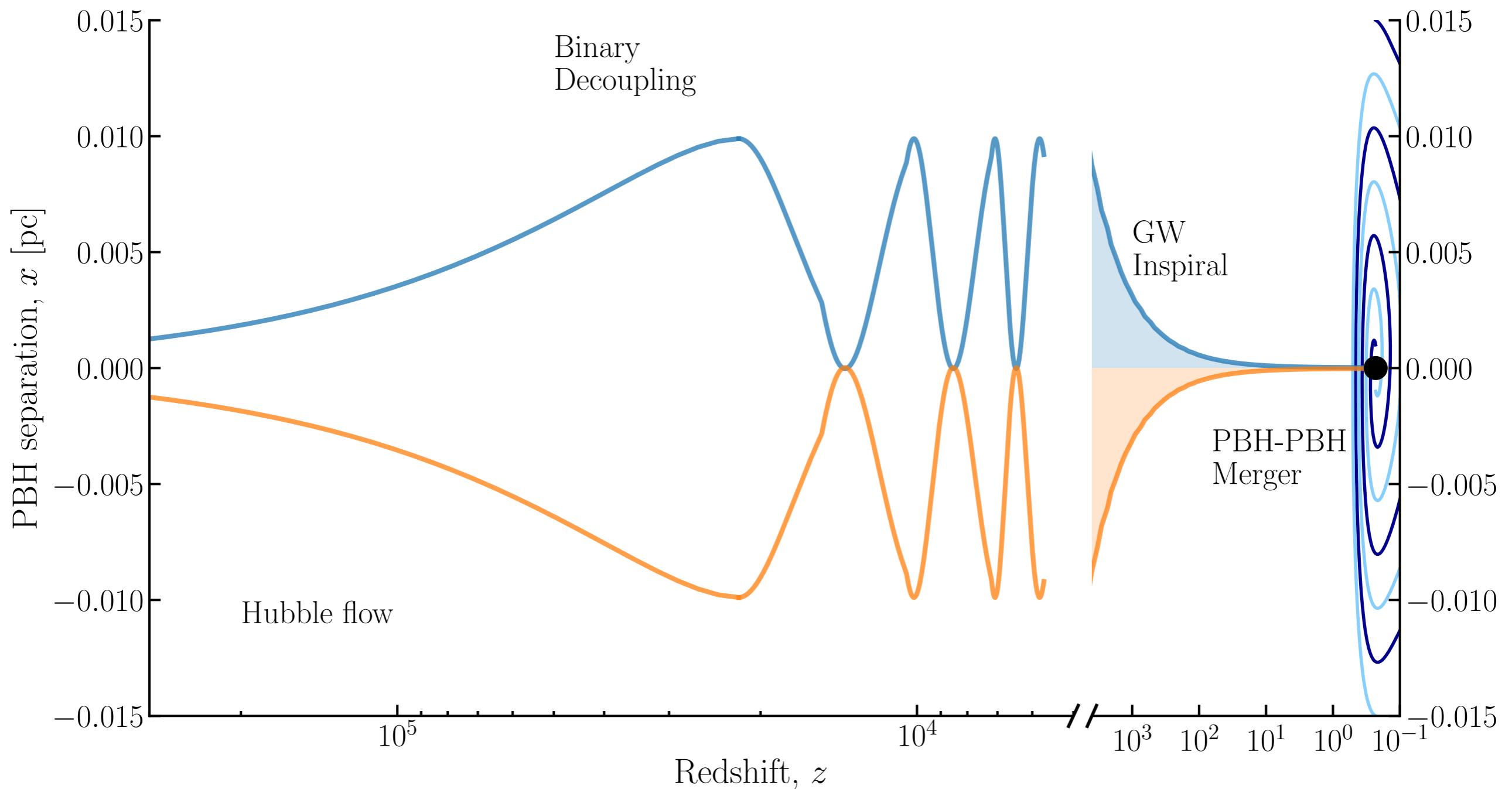
PBHs which are close enough to each other in the early Universe may form binaries!



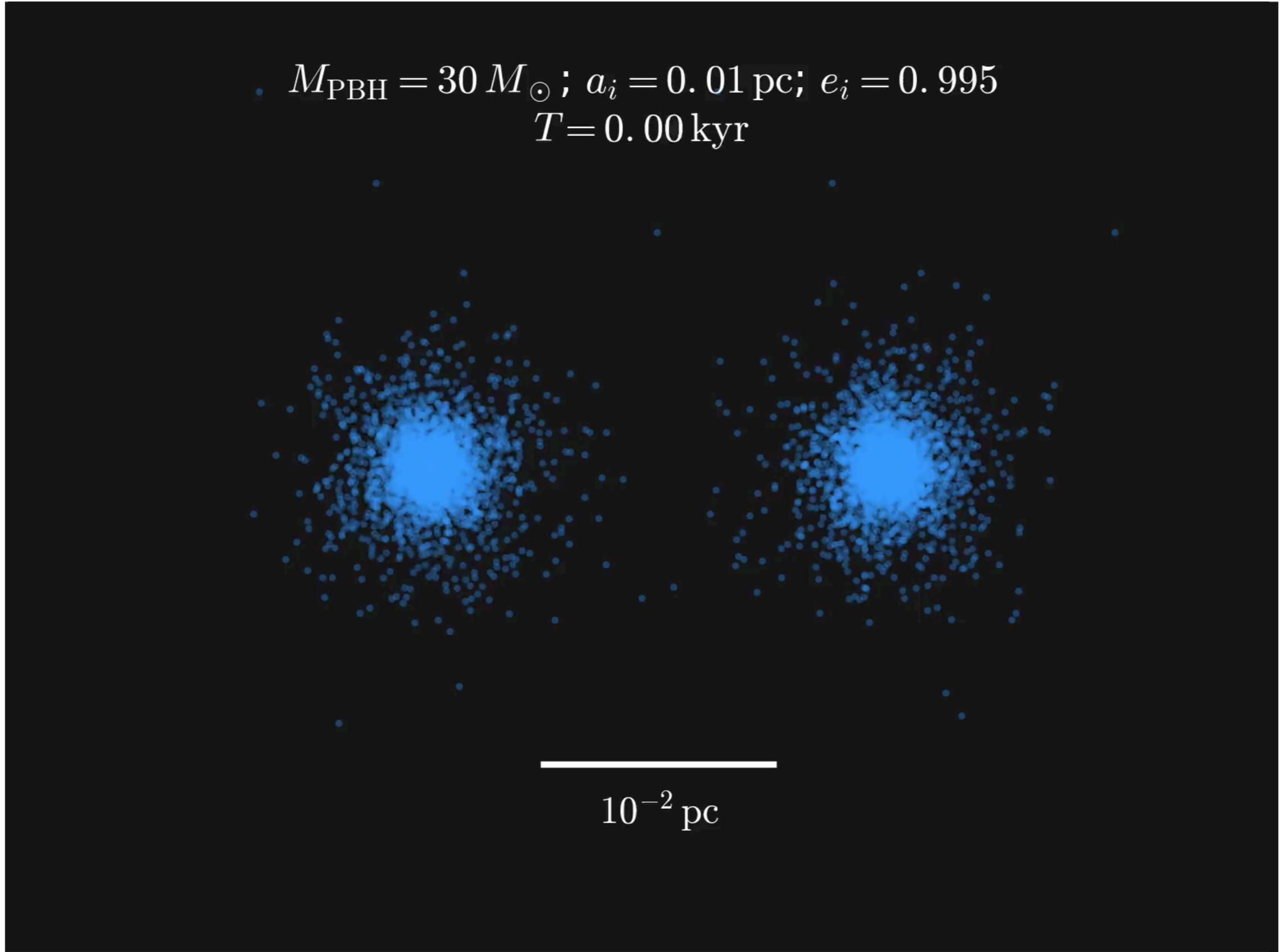
[Nakamura et al, [astro-ph/9708060](#),  
Sasaki et al, [1603.08338](#)]

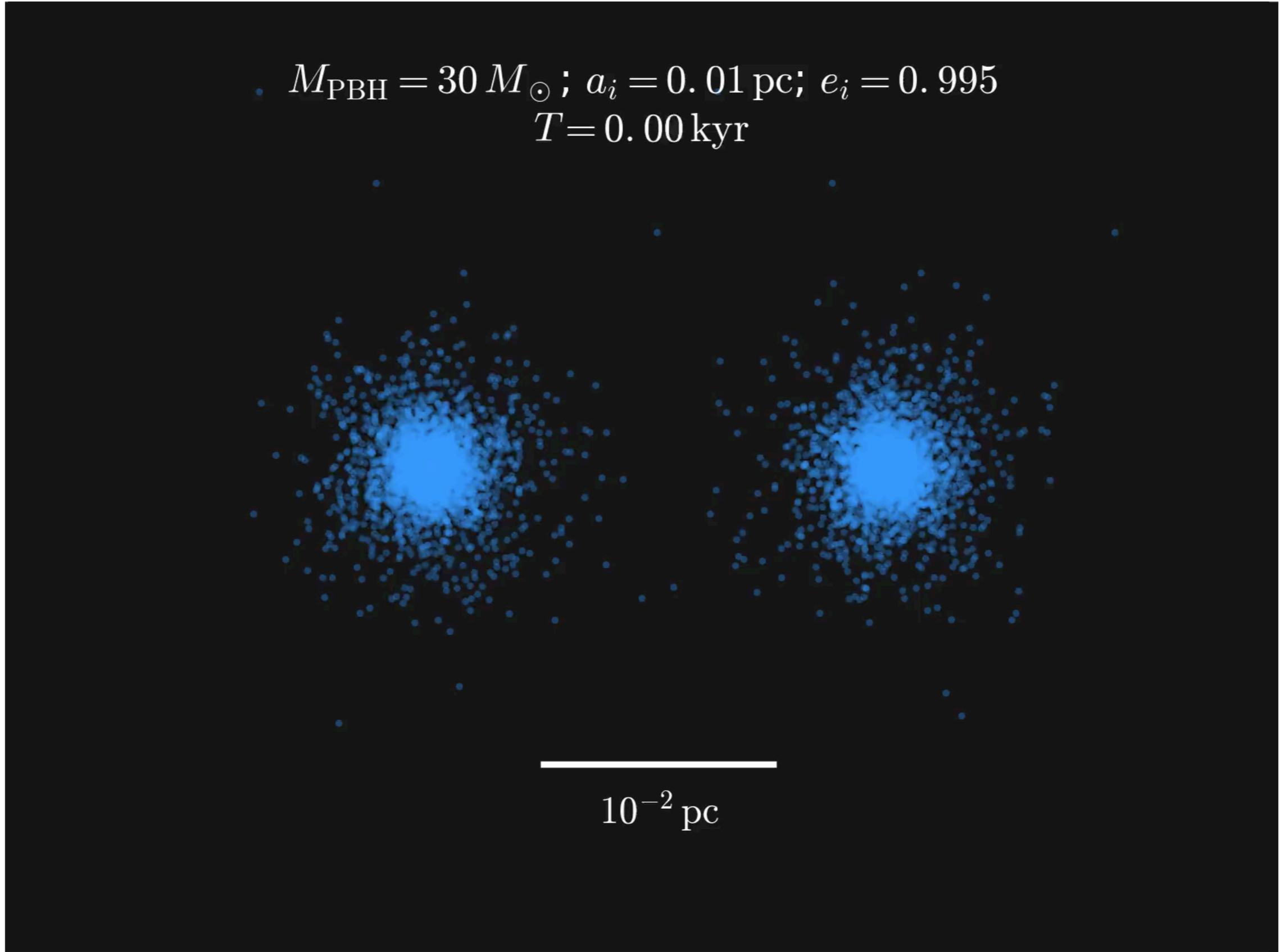
# Life of a PBH binary

$$a_i = 0.01 \text{ pc}$$
$$e_i = 0.995$$



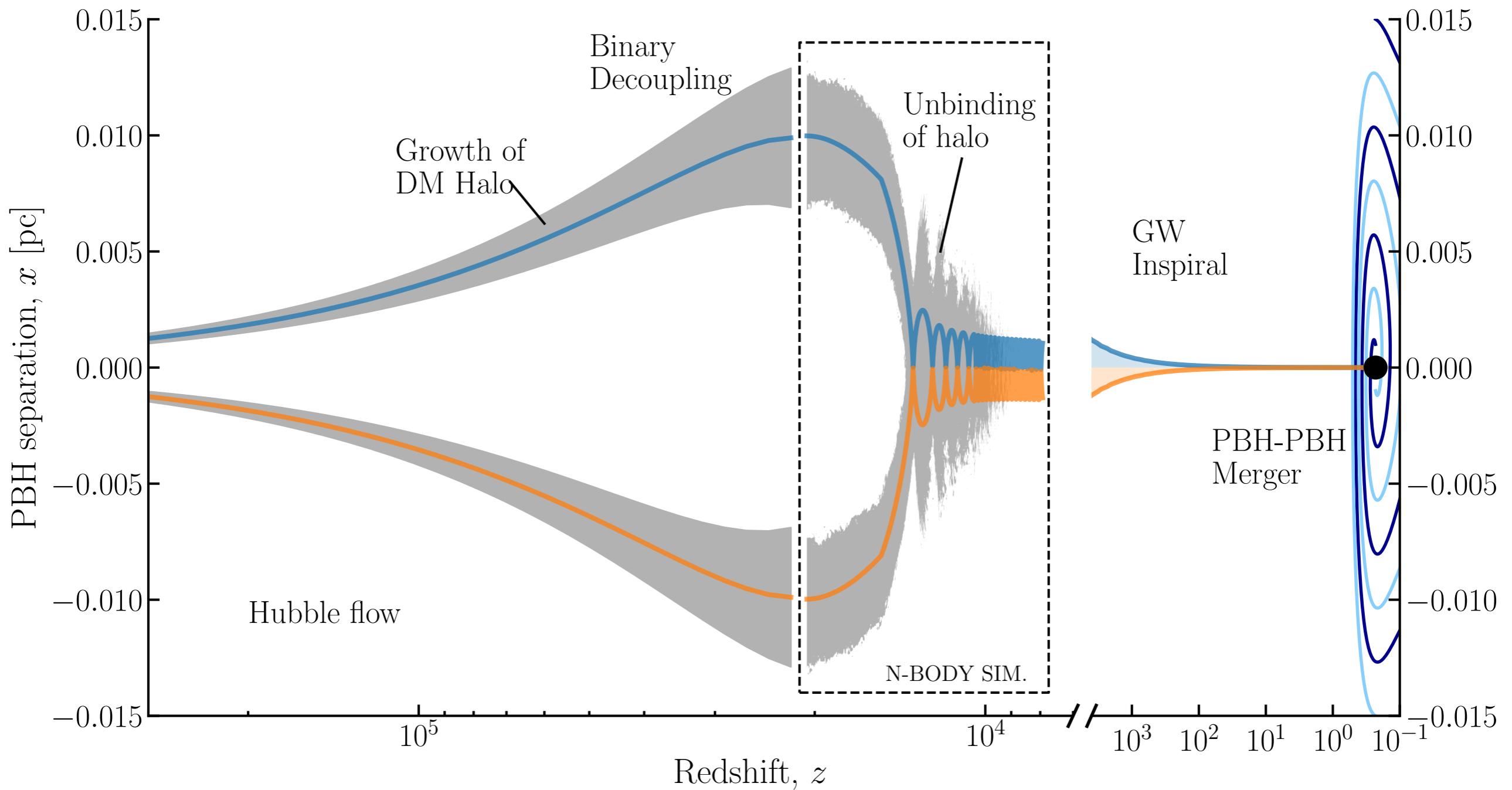
[Ali-Haïmoud et al., [1709.06576](#),  
BJK, Gaggero & Bertone, [1805.09034](#)]





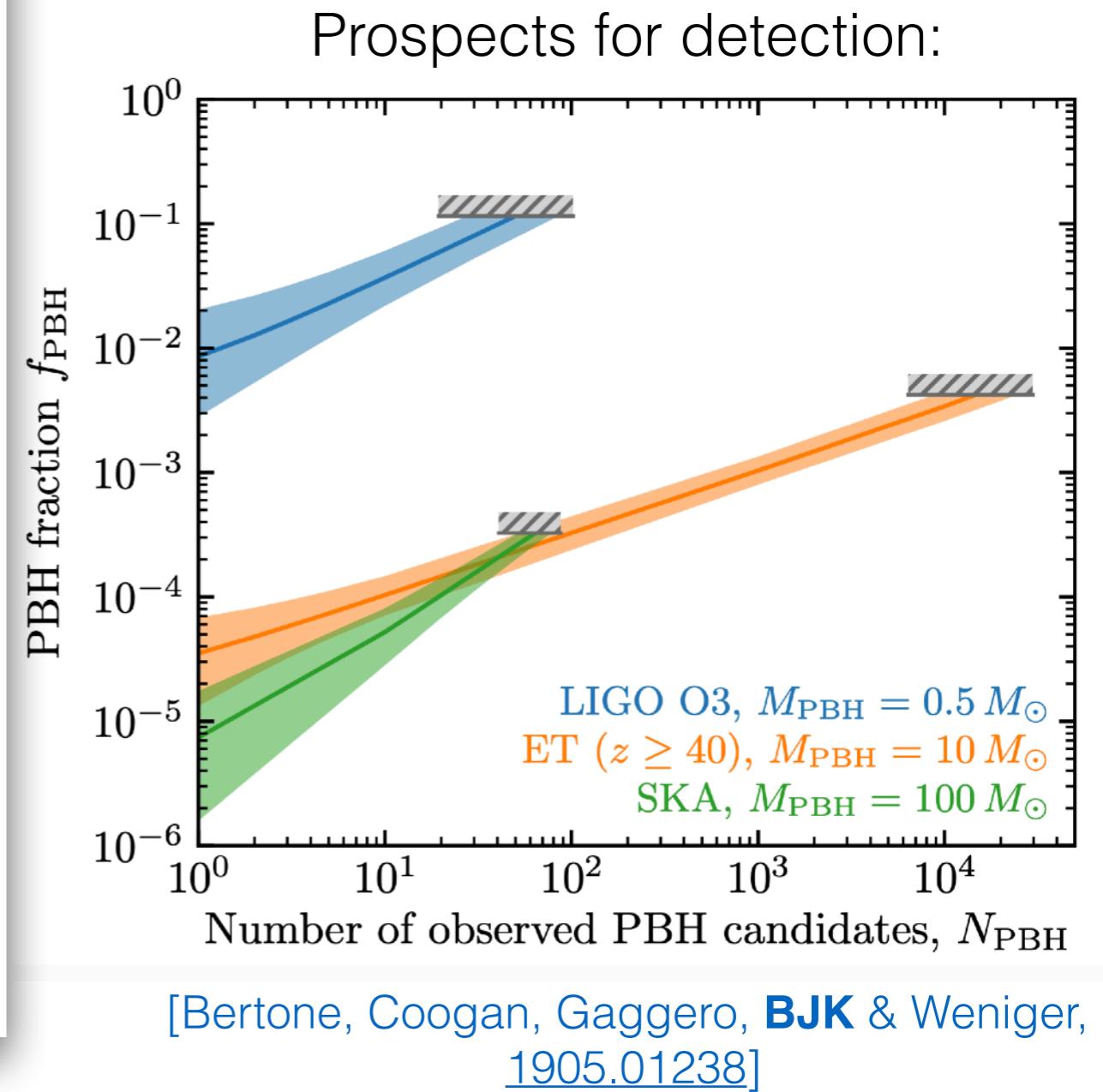
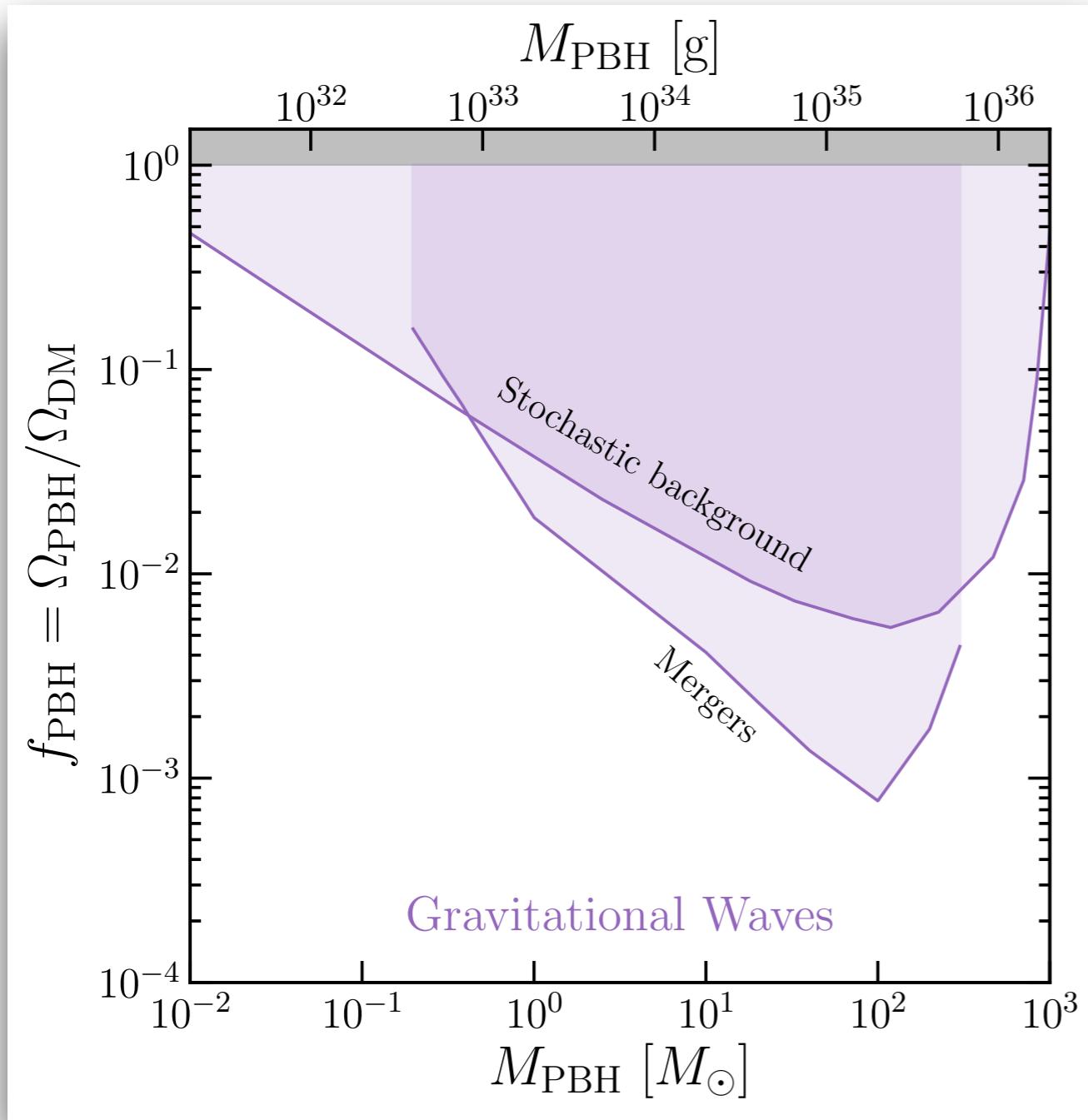
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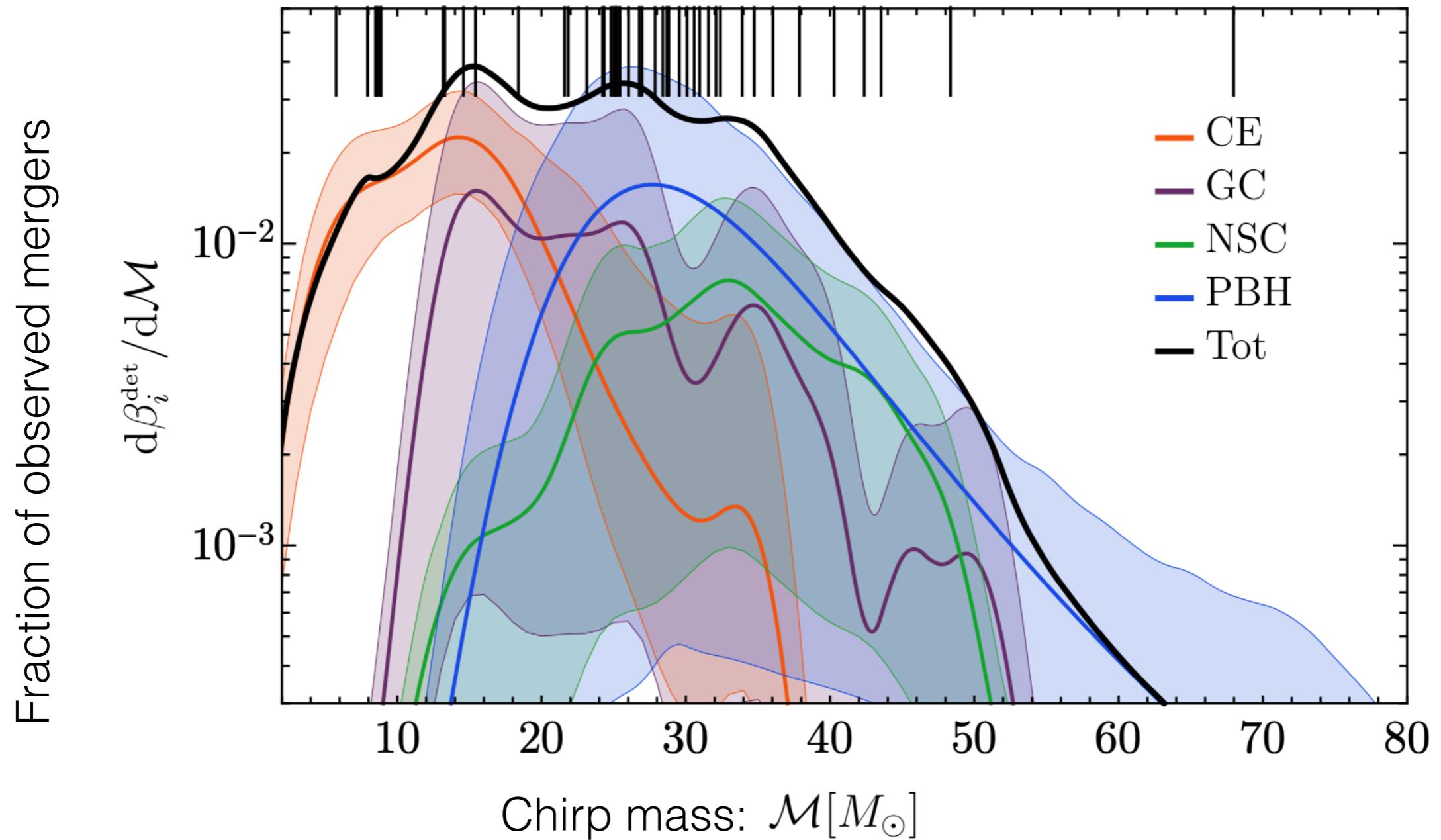
# Gravitational Waves



# A hint of a signal?

[Franciolini et al., [2105.03349](#)]

[See also Hütsi et al., [2012.02786](#)]



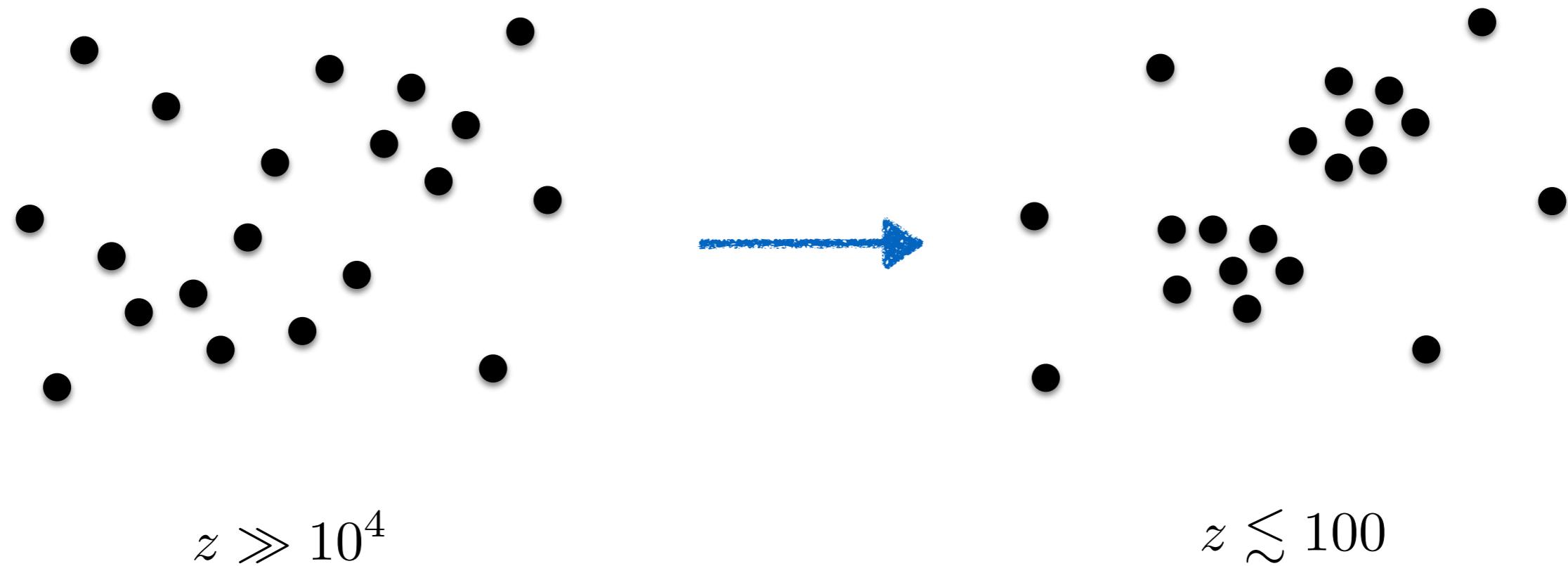
Fraction of observed mergers due to PBHs (?):  $0.27^{+0.28}_{-0.24}$



Would imply  $f_{\text{PBH}} \sim 6 \times 10^{-4} \dots$

# PBH Clustering

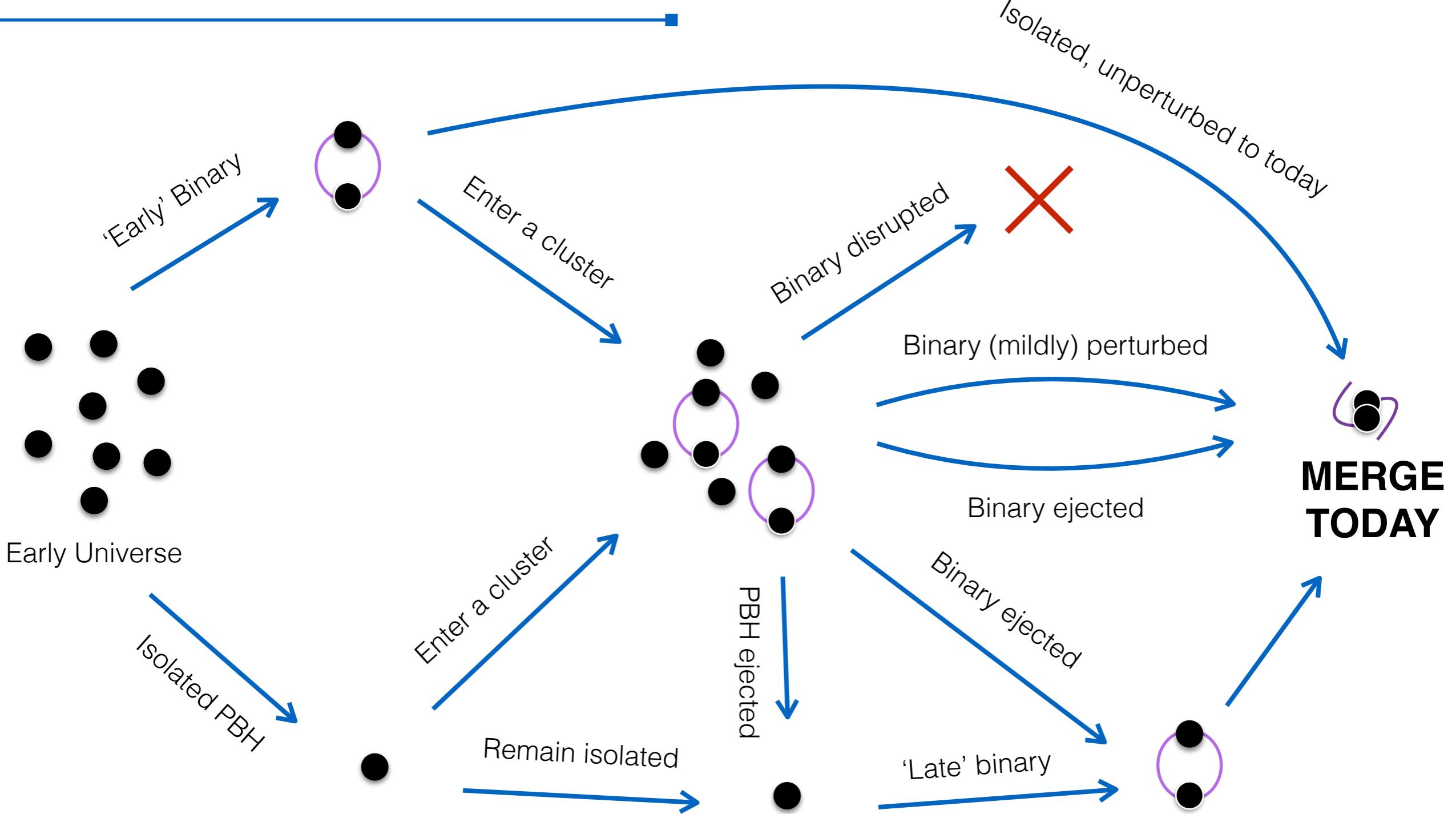
Even a distribution of PBHs which is initially Poisson distributed will cluster at late times



A cluster of  $N$  PBHs is expected to form around  $z_c \sim z_{\text{eq}} f_{\text{PBH}} / \sqrt{N}$

[Chisholm - [astro-ph/0509141](#), [1110.4402](#); Inman & Ali-Haïmoud, [1907.08129](#)]

# Paths to PBH Mergers



+ particle DM halos?

[[BJK, Gaggero & Bertone, 1805.09034](#)]

+ baryonic accretion?

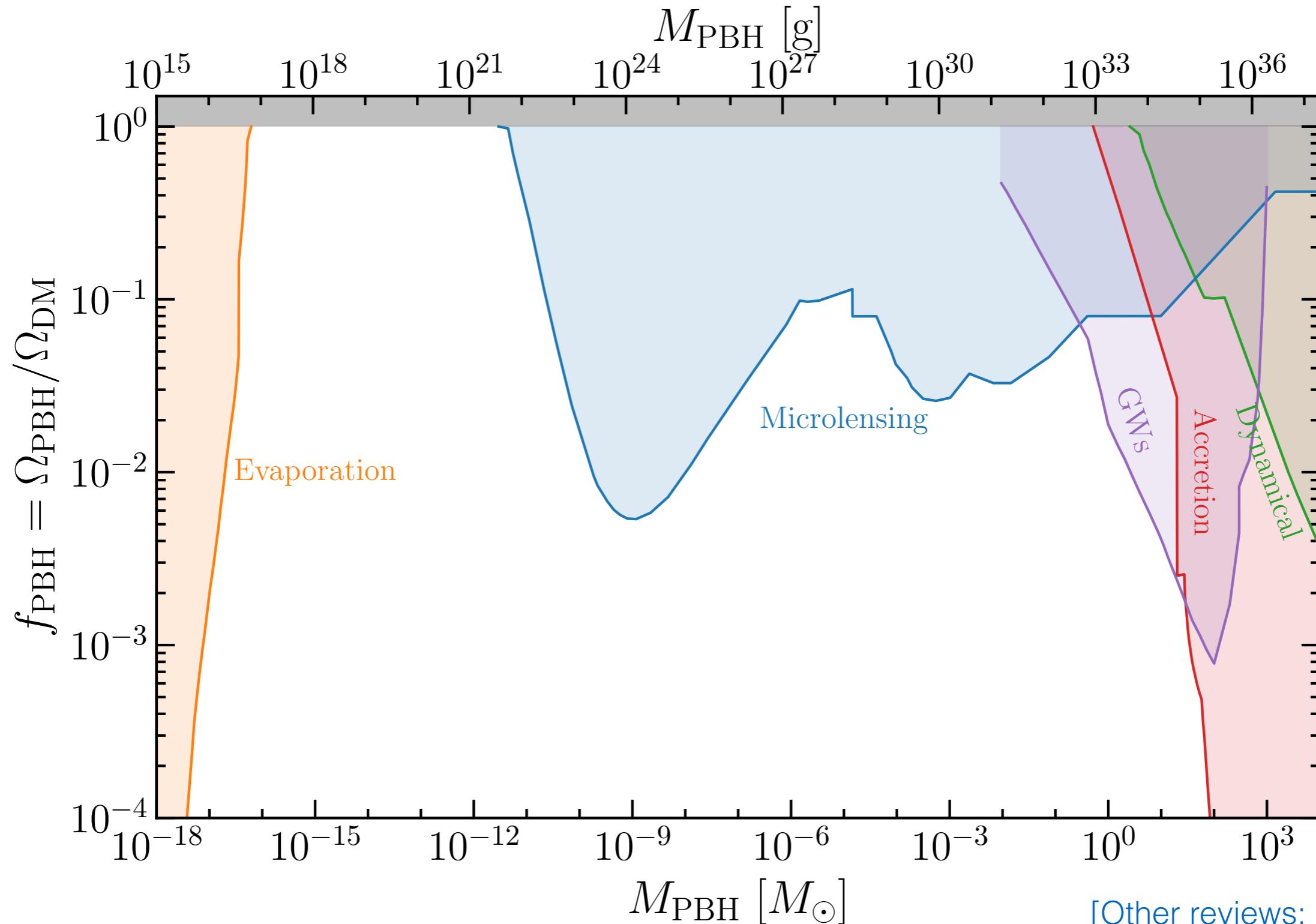
[[De Luca et al., 2003.12589](#)]

[[Raidal+, 1812.01930](#); [Vaskonen & Veermäe, 1908.09752](#);  
[Atal+, 2007.07212](#); [De Luca+, 2009.04731](#) and others...]

# PBH Constraints

[Green & BJK, 2007.10722]

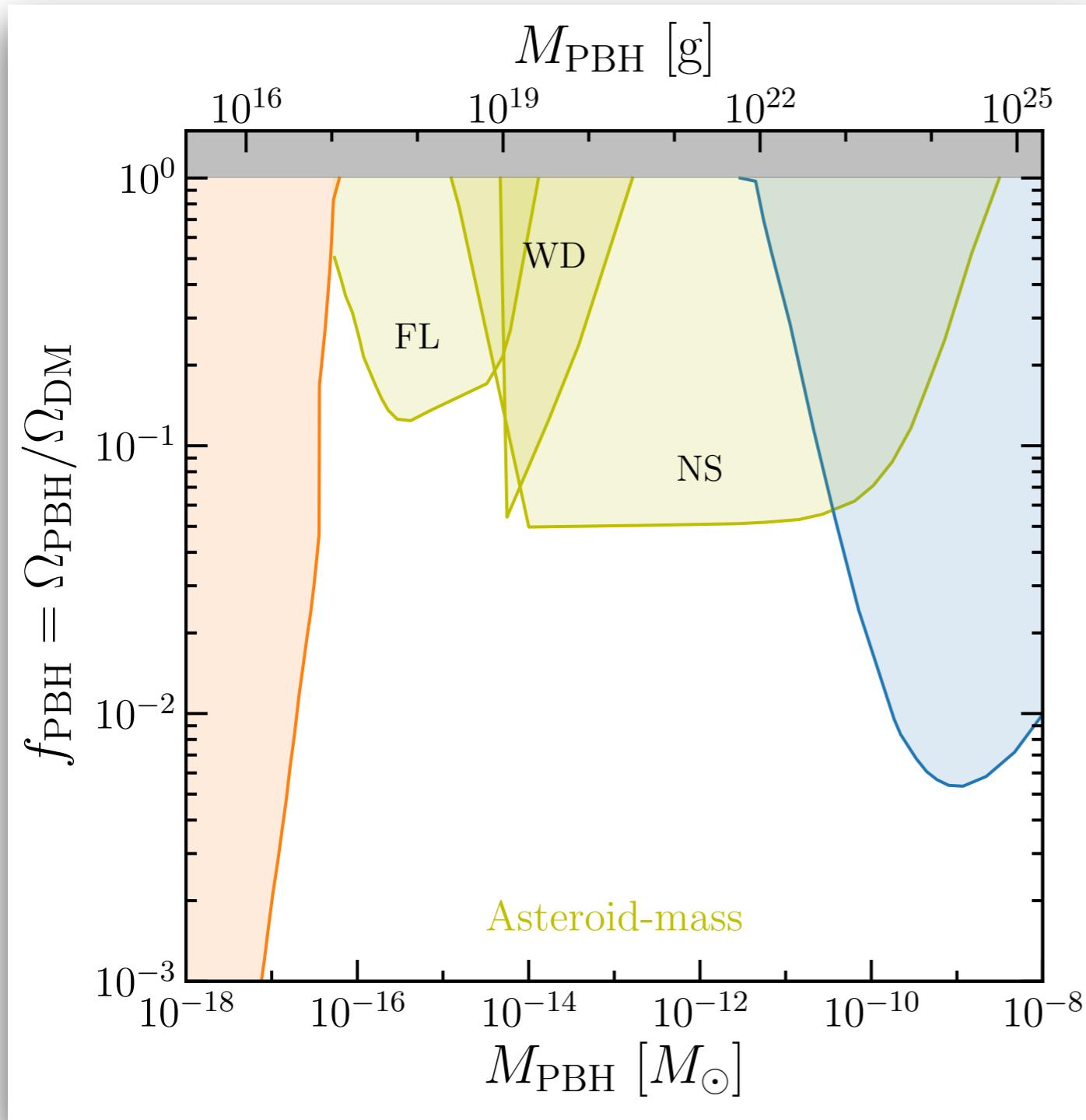
[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



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[2002.12778](https://arxiv.org/abs/2002.12778), [2006.02838](https://arxiv.org/abs/0606.02838)]

# Asteroid-mass PBHs

A few years ago this region was well-constrained



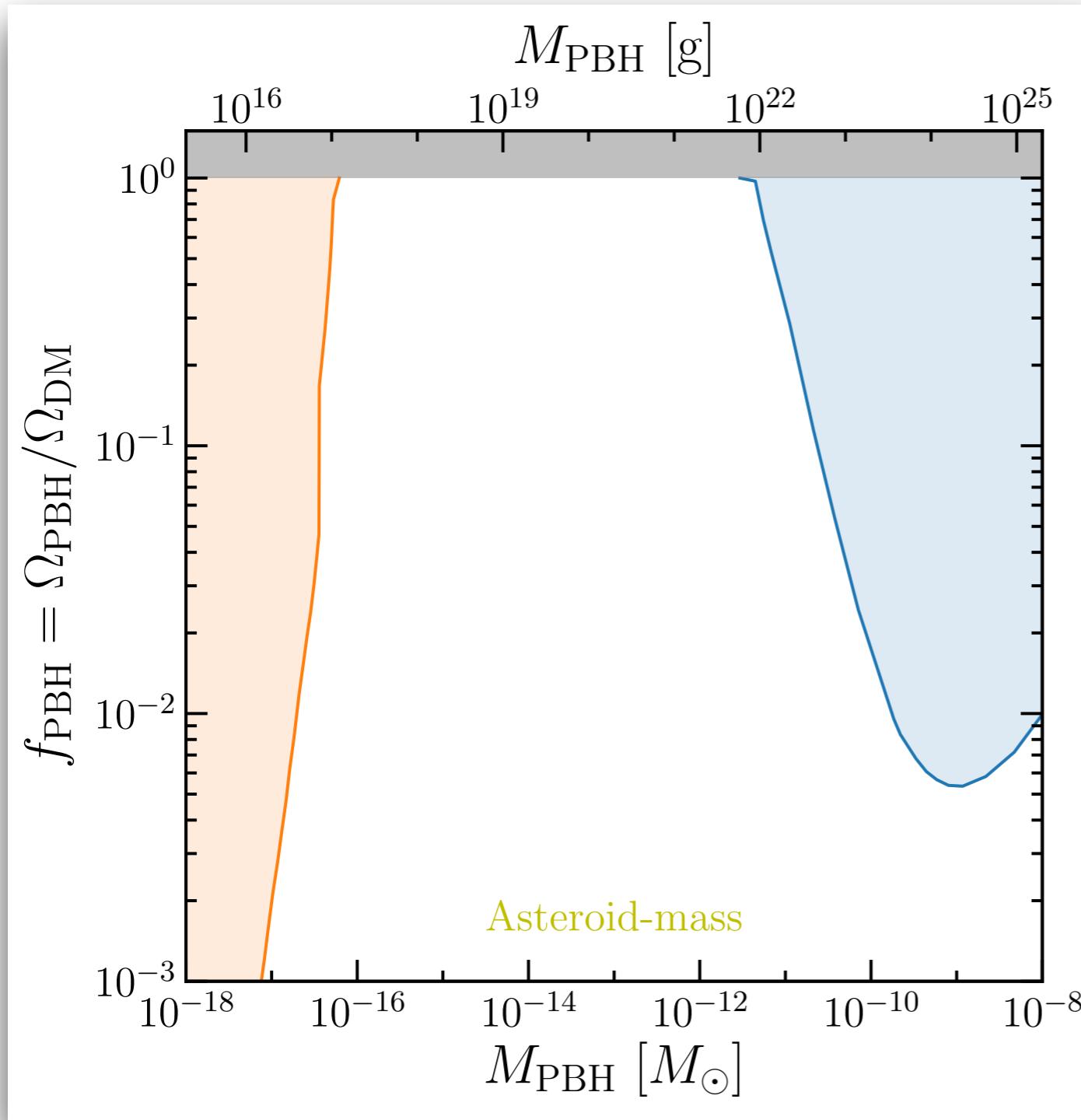
'Femtolensing' of  
Gamma Ray Bursts?  
[Barnacka et al., [1204.2056](#)]

PBH capture in compact objects  
(White Dwarfs/Neutron Stars)

[E.g. Graham et al., [1505.04444](#),  
Capela et al., [1301.4984](#)]

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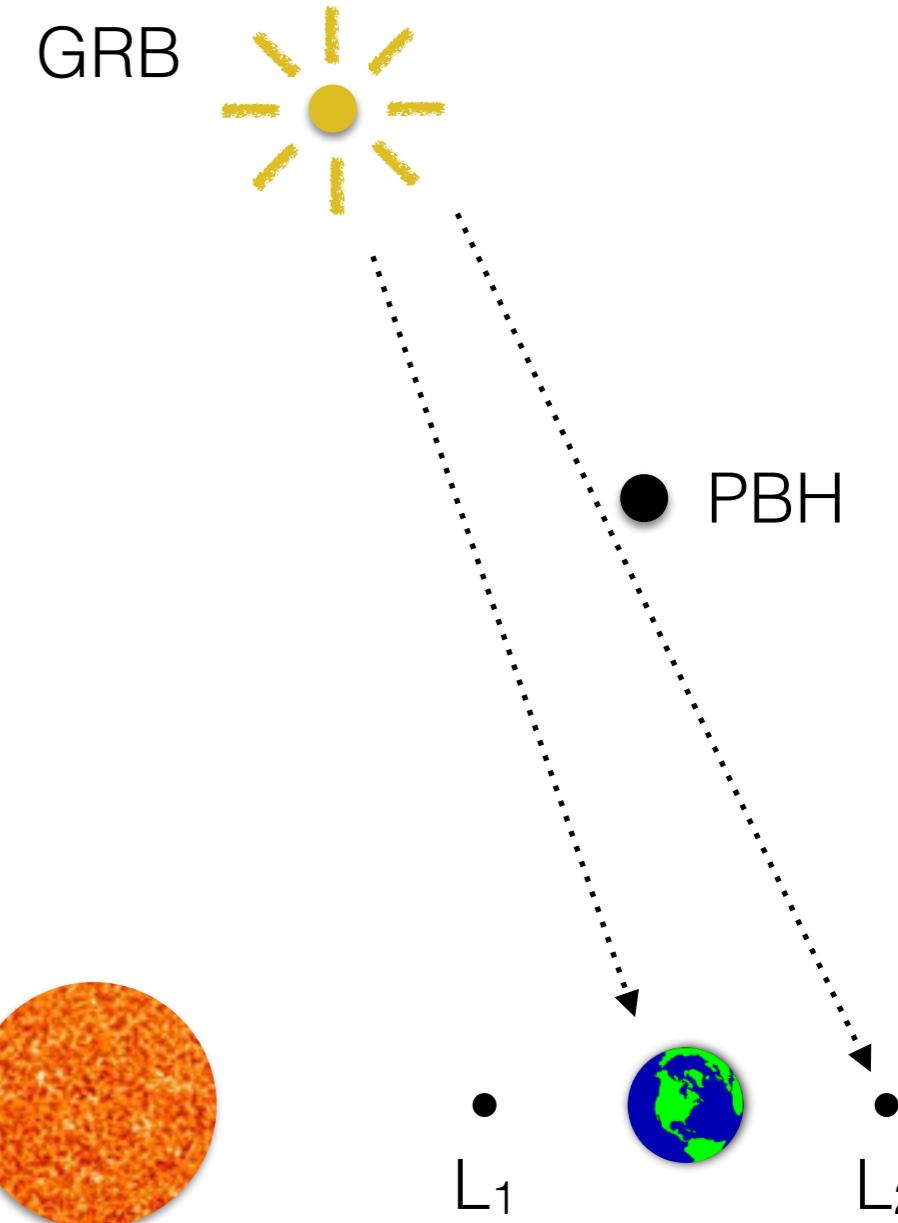
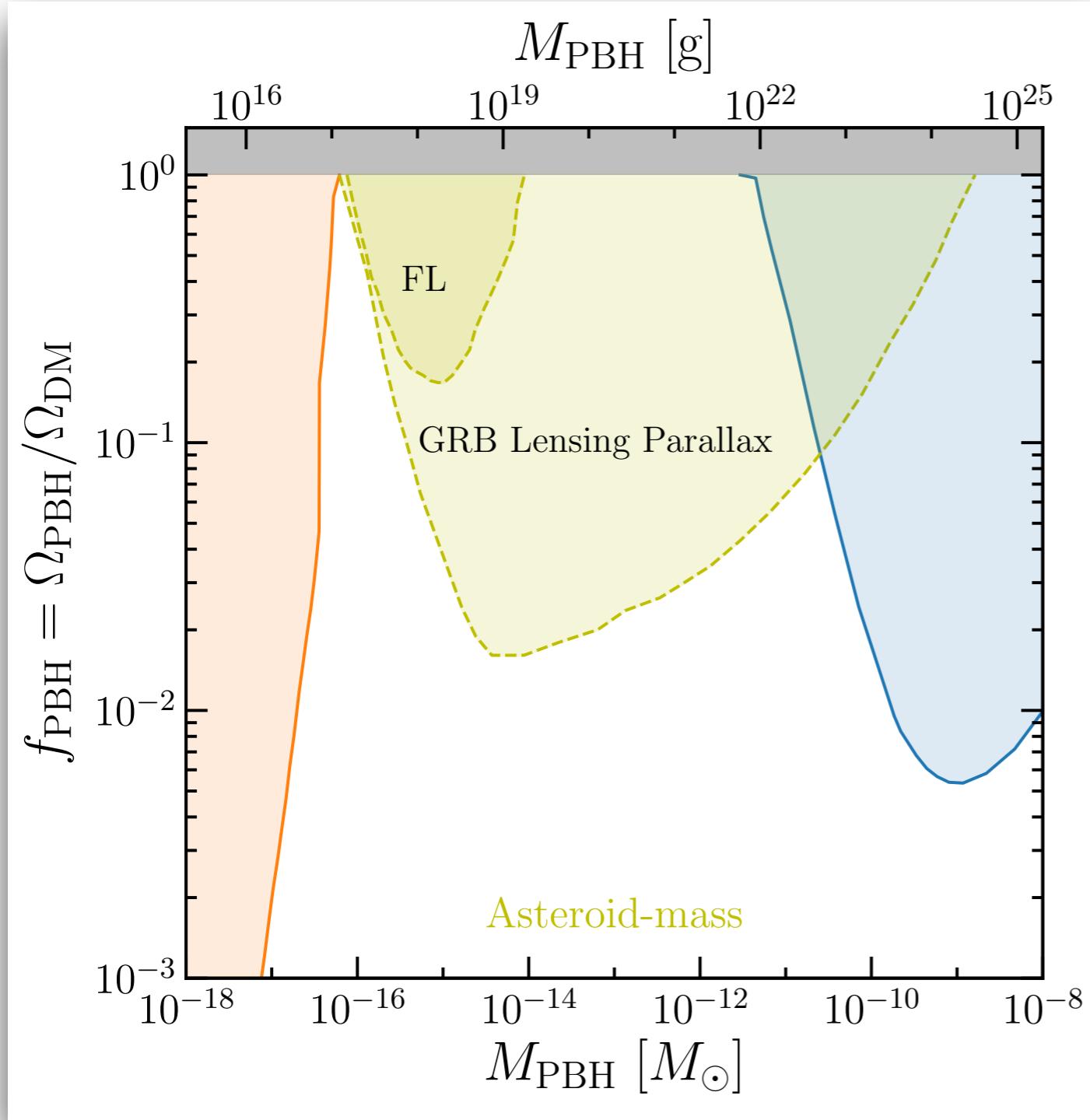
[Katz et al., [1807.11495](#)]

PBH capture in compact objects  
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[E.g. Graham et al., [1505.04444](#),  
Capela et al., [1301.4984](#)]

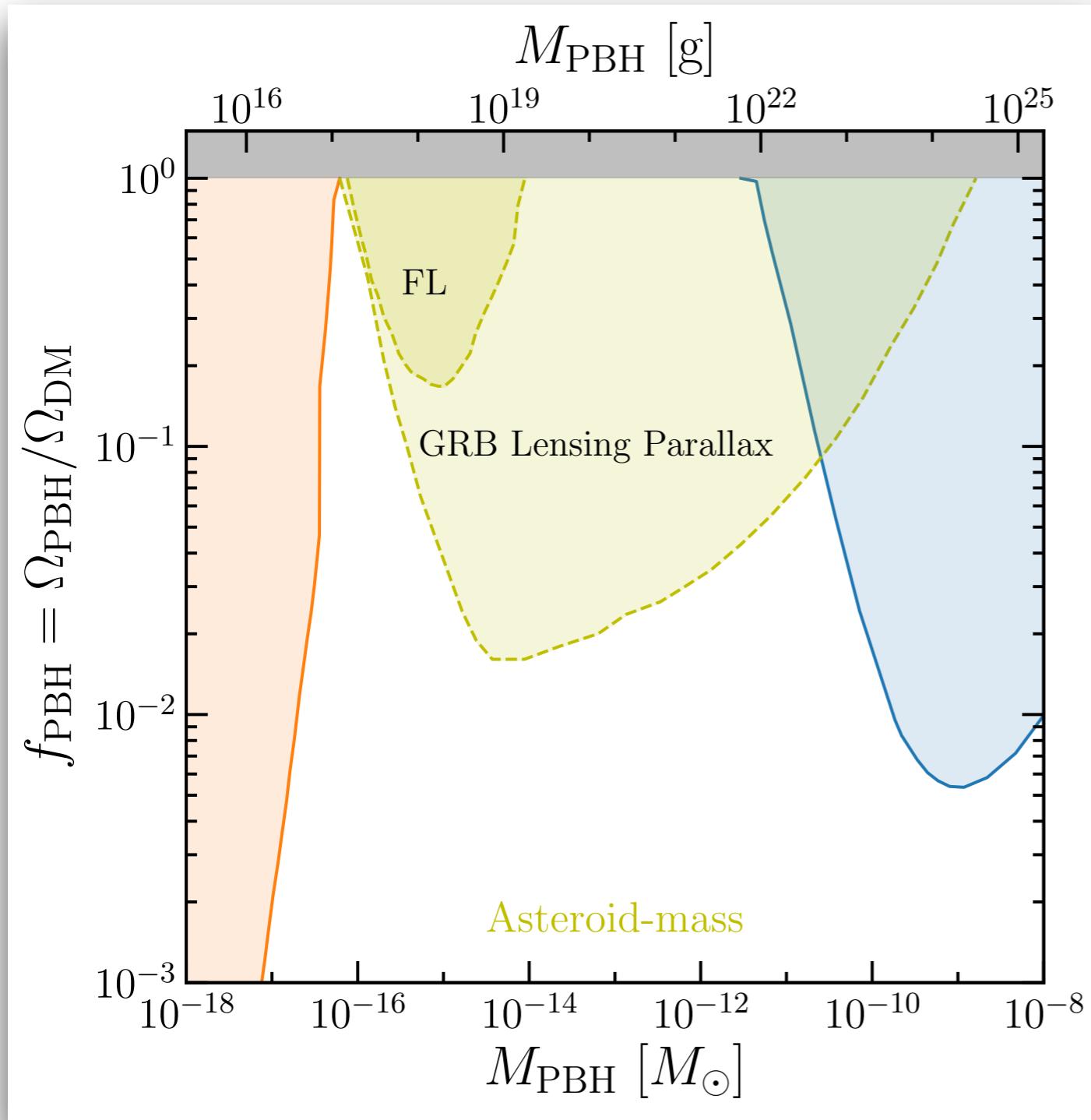
[Montero-Camacho et al., [1906.05950](#)]

# Asteroid-mass PBHs



[Nemiroff & Gould, [astro-ph/9505019](#),  
Jung & Kim, [1908.00078](#)]

# Asteroid-mass PBHs



Craters on the moon?

[Yalinewich & Caplan, [2104.00033](#)]

Disruption of the Kuiper belt?

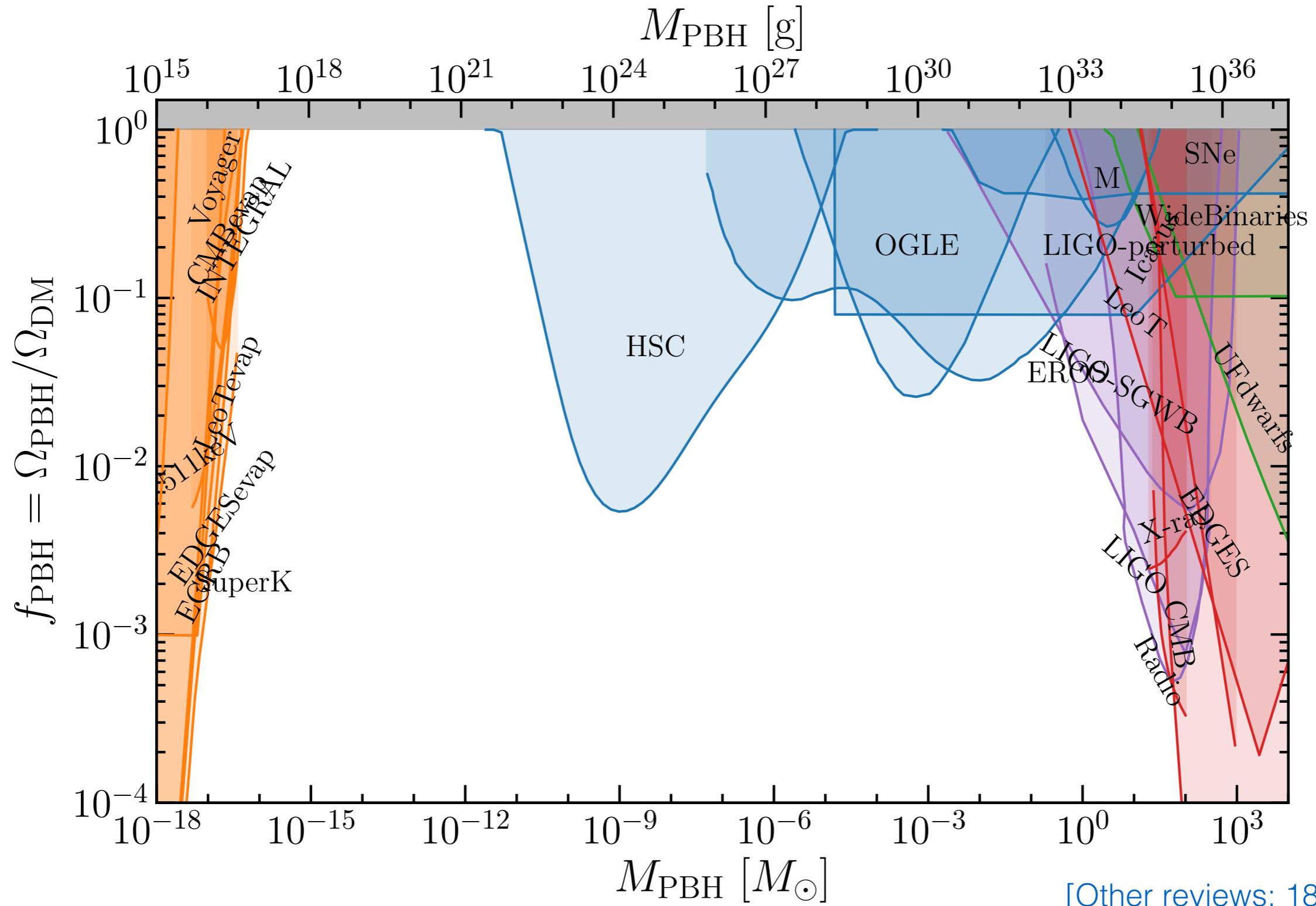
[Siraj & Loeb, [2103.04995](#)]

Time for some new ideas...

# PBH Constraints

[Green & BJK, 2007.10722]

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]

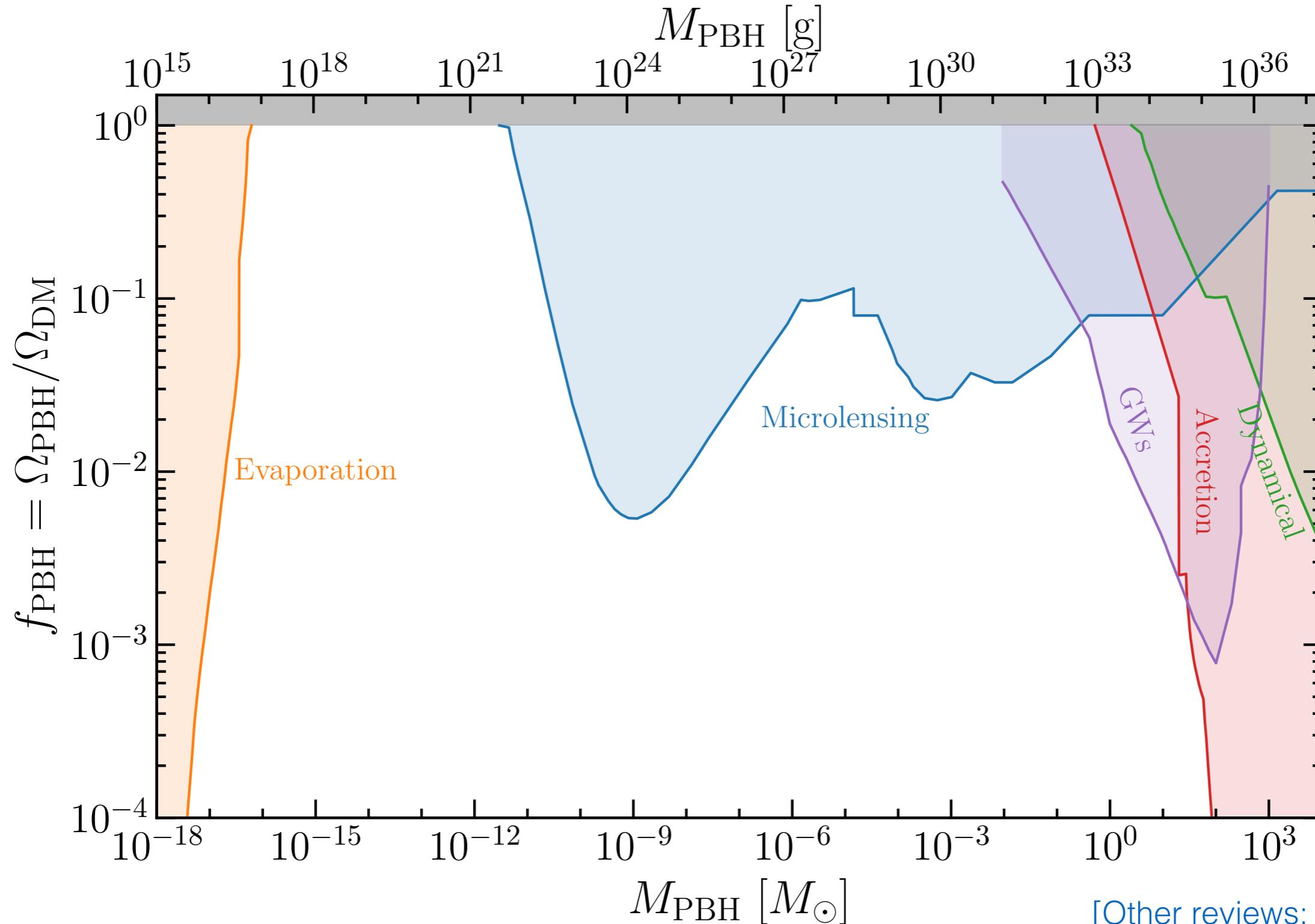


[Other reviews: [1801.05235](https://arxiv.org/abs/1801.05235),  
[2002.12778](https://arxiv.org/abs/2002.12778), [2006.02838](https://arxiv.org/abs/0606.02838)]

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[Green & BJK, 2007.10722]

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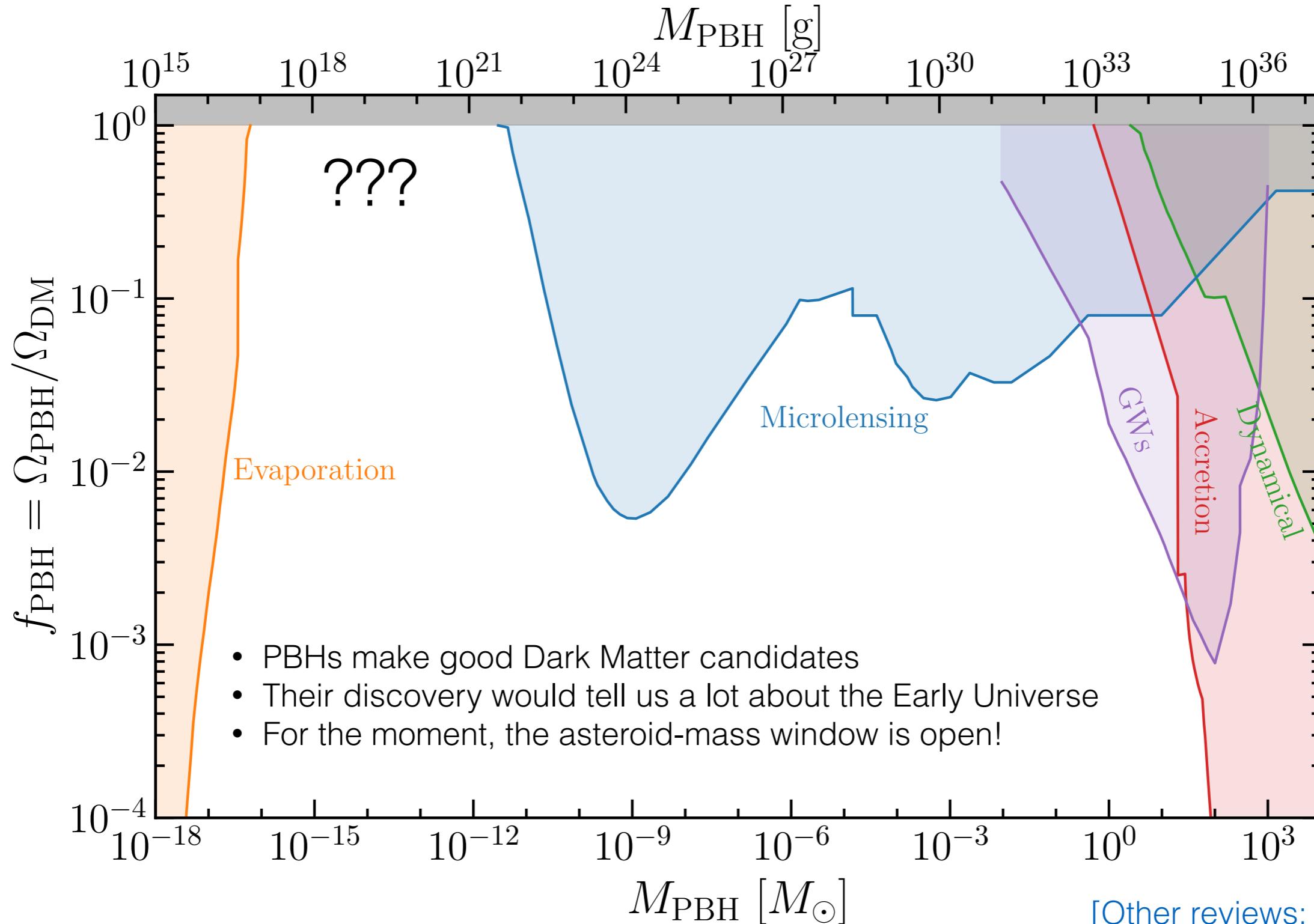


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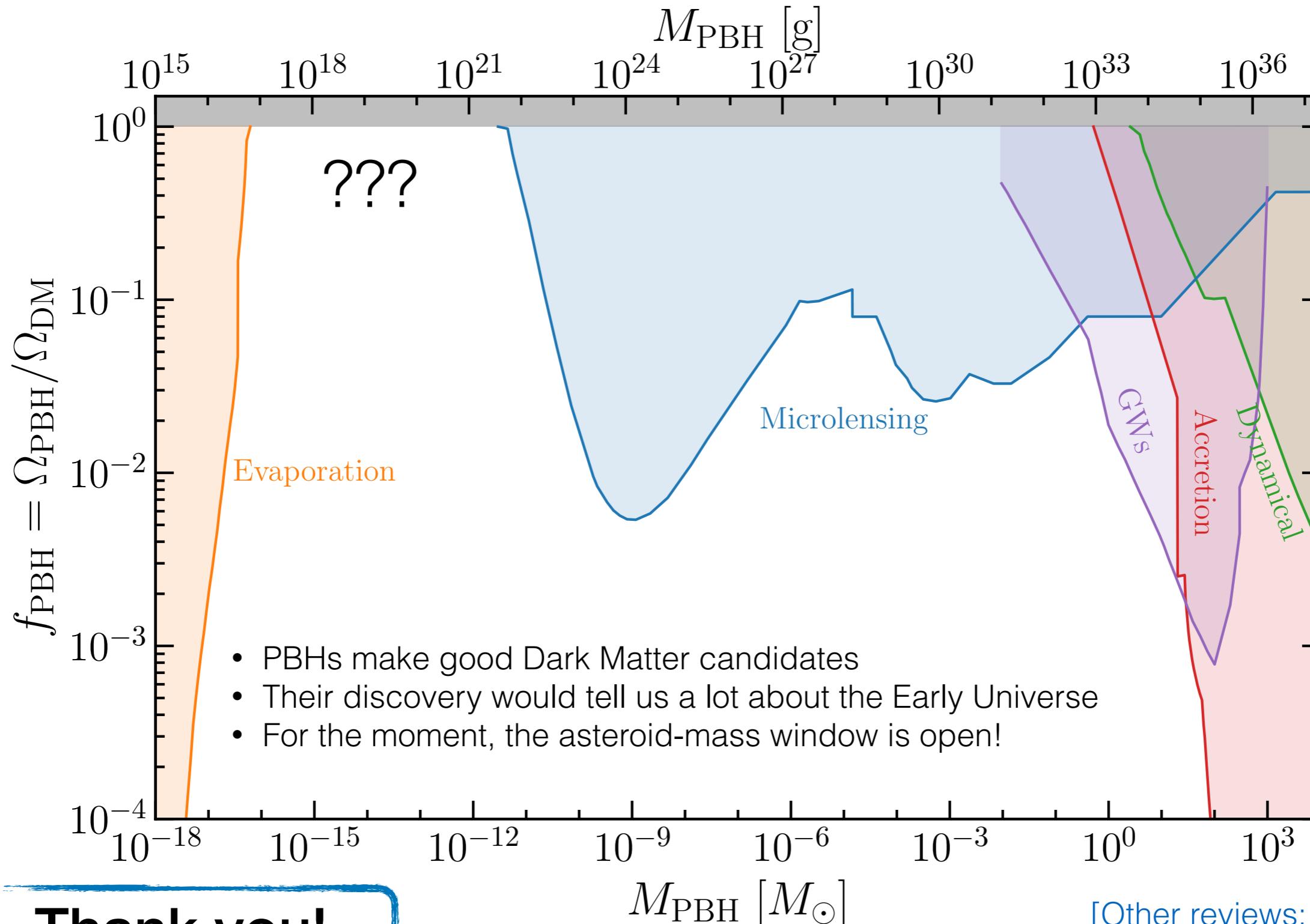
- PBHs make good Dark Matter candidates
- Their discovery would tell us a lot about the Early Universe
- For the moment, the asteroid-mass window is open!

[Other reviews: [1801.05235](https://arxiv.org/abs/1801.05235),  
[2002.12778](https://arxiv.org/abs/2002.12778), [2006.02838](https://arxiv.org/abs/0606.02838)]

# PBH Constraints

[Green & BJK, 2007.10722]

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]

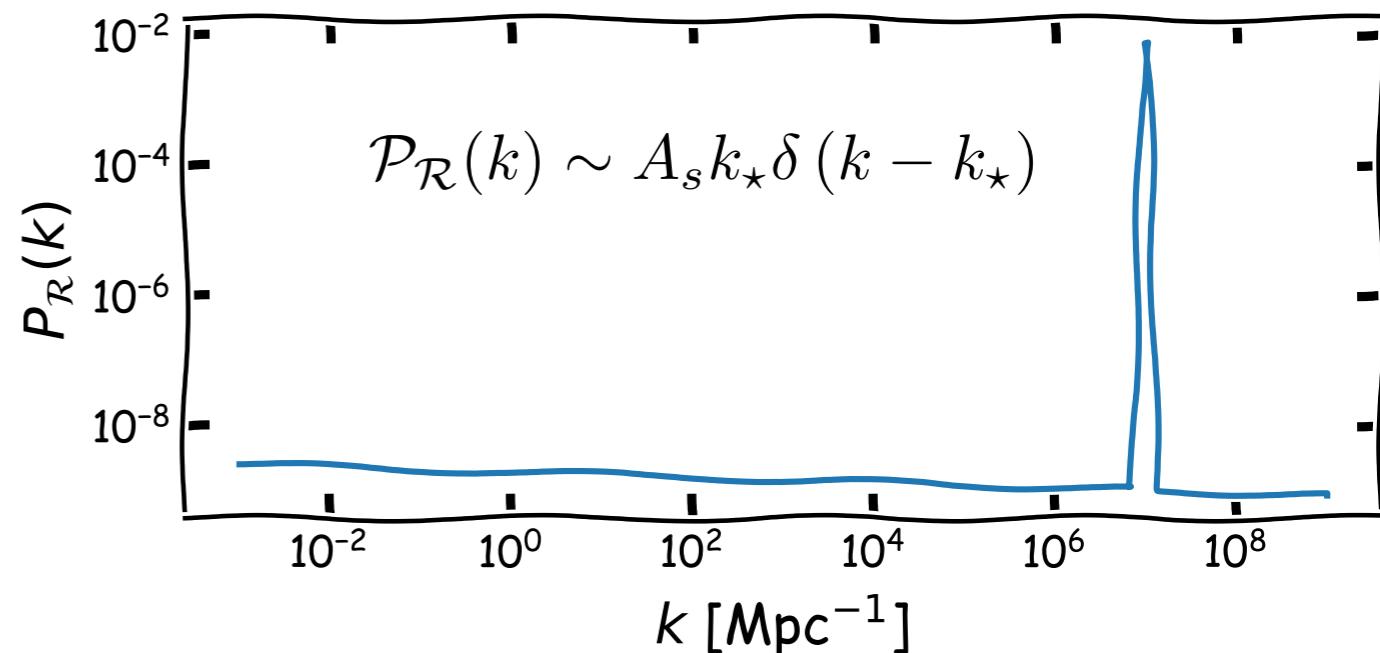


Thank you!

# Backup Slides

# GWs from PBH formation

PBHs may be formed from enhanced primordial scalar perturbations



At second order, these scalar perturbations can source tensor perturbations, leading to stochastic Gravitational waves



Scalar-induced Gravitational Waves (**SIGWs**)

For perturbations on a scale  $k_*$ ,  $M_{\text{PBH}} \simeq 1.4 \times 10^{13} M_\odot \left( \frac{k_*}{\text{Mpc}^{-1}} \right)^{-2}$

The typical GW frequency scales as  $f_{\text{GW}}^{\text{peak}} \sim k_*$ , giving:

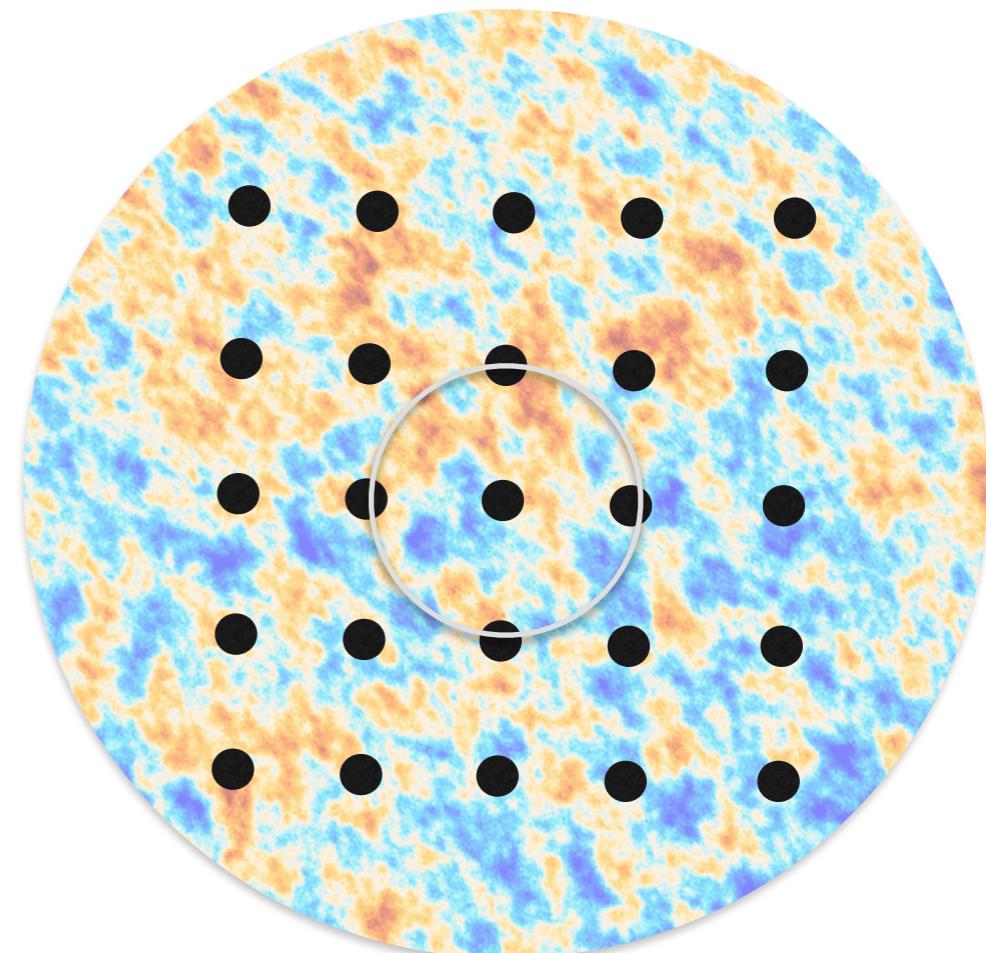
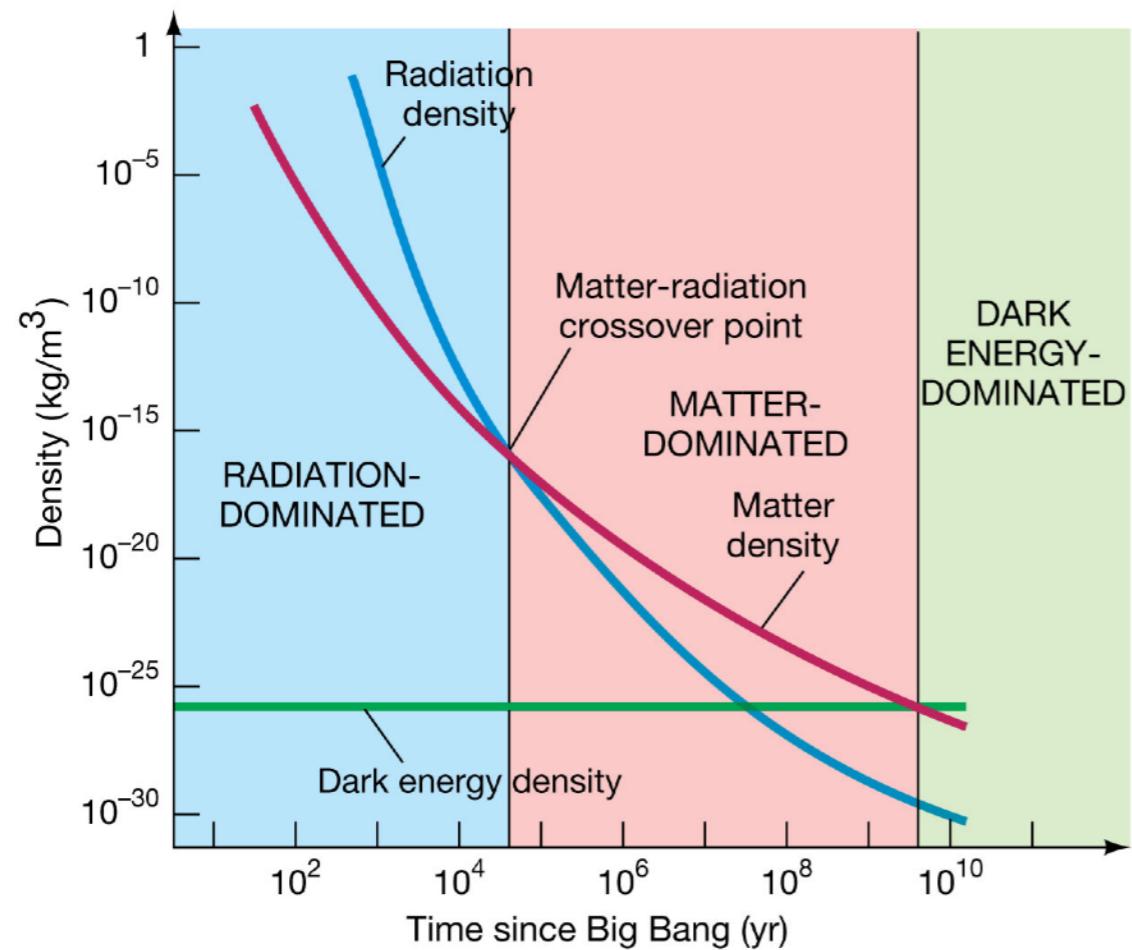
$$f_{\text{GW}}^{\text{peak}} = 3 \times 10^{-9} \left( \frac{M_{\text{PBH}}}{M_\odot} \right)^{-1/2} \text{Hz}$$

[[astro-ph/0407611](#), [0812.4339](#), [1012.4697](#)]

[See recent NanoGRAV results...]

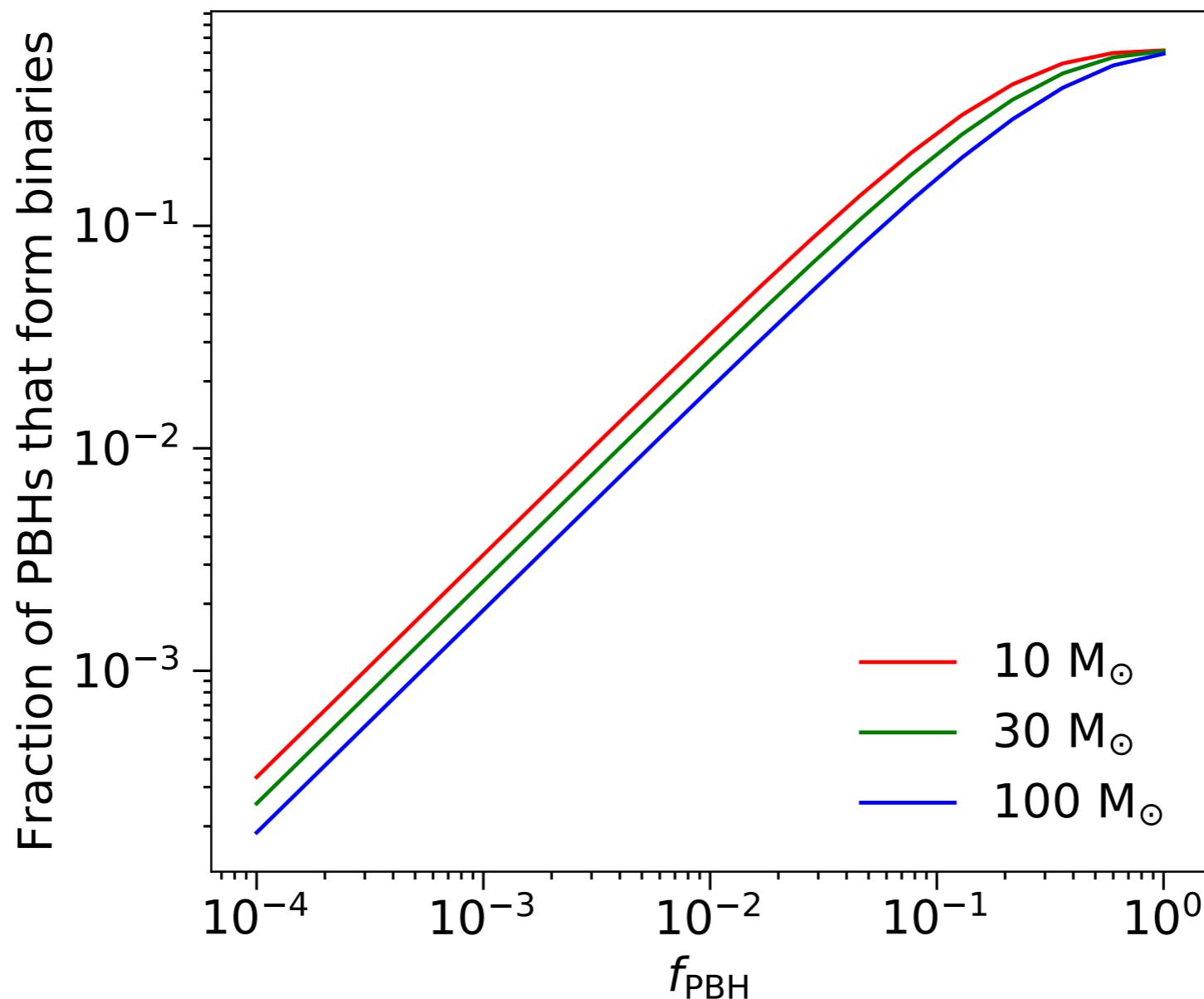
# Early Universe Binaries

If  $f \sim 1$ , the relative density of PBHs *equals* the background radiation density at matter-radiation equality. All PBHs form binaries...



# Early Universe Binaries

If  $f \sim 1$ , the relative density of PBHs *equals* the background radiation density at matter-radiation equality. All PBHs form binaries...



As  $f$  decreases, only ‘nearby’ pairs form binaries.

# PBH Binary Population

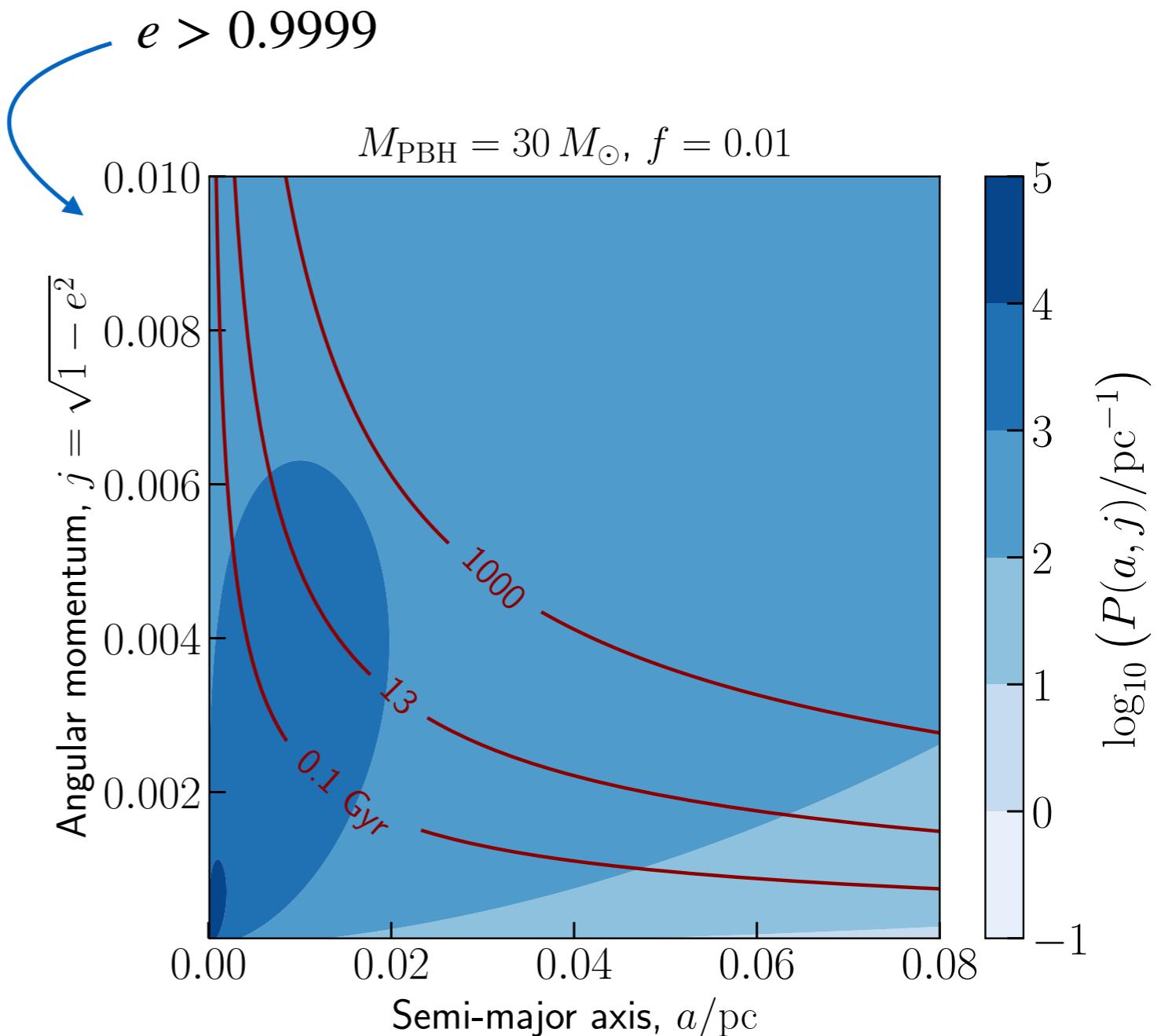
Randomly distributed  
(unclustered) PBHs

Angular momentum set by  
torques from smooth density  
perturbations and *all other PBHs*

Close, eccentric binaries  
merge today:

$$t_{\text{merge}} = \frac{3 c^5}{170 G_N^3} \frac{a^4 j^7}{M_{\text{PBH}}^3}$$

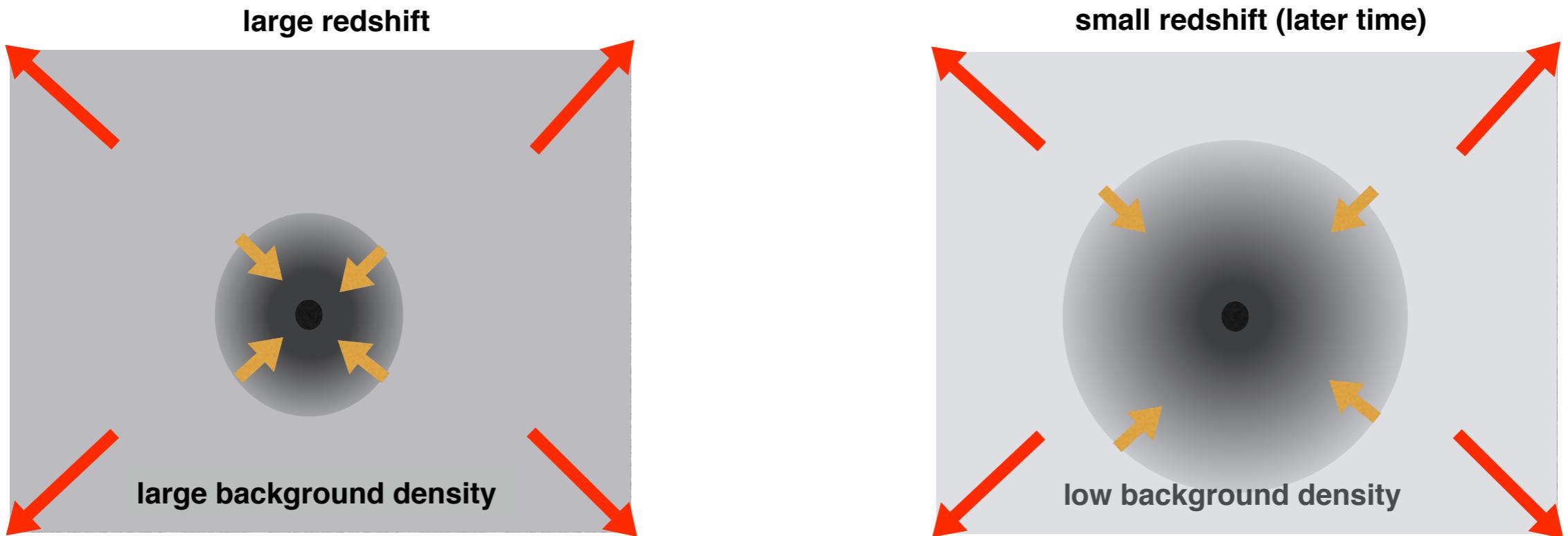
$$j = \sqrt{1 - e^2}$$



[Ali-Haïmoud et al., 1709.06576,  
**BJK**, Gaggero & Bertone, 1805.09034]

# Dark Dresses

PBHs seed the formation of 'local' DM halos:



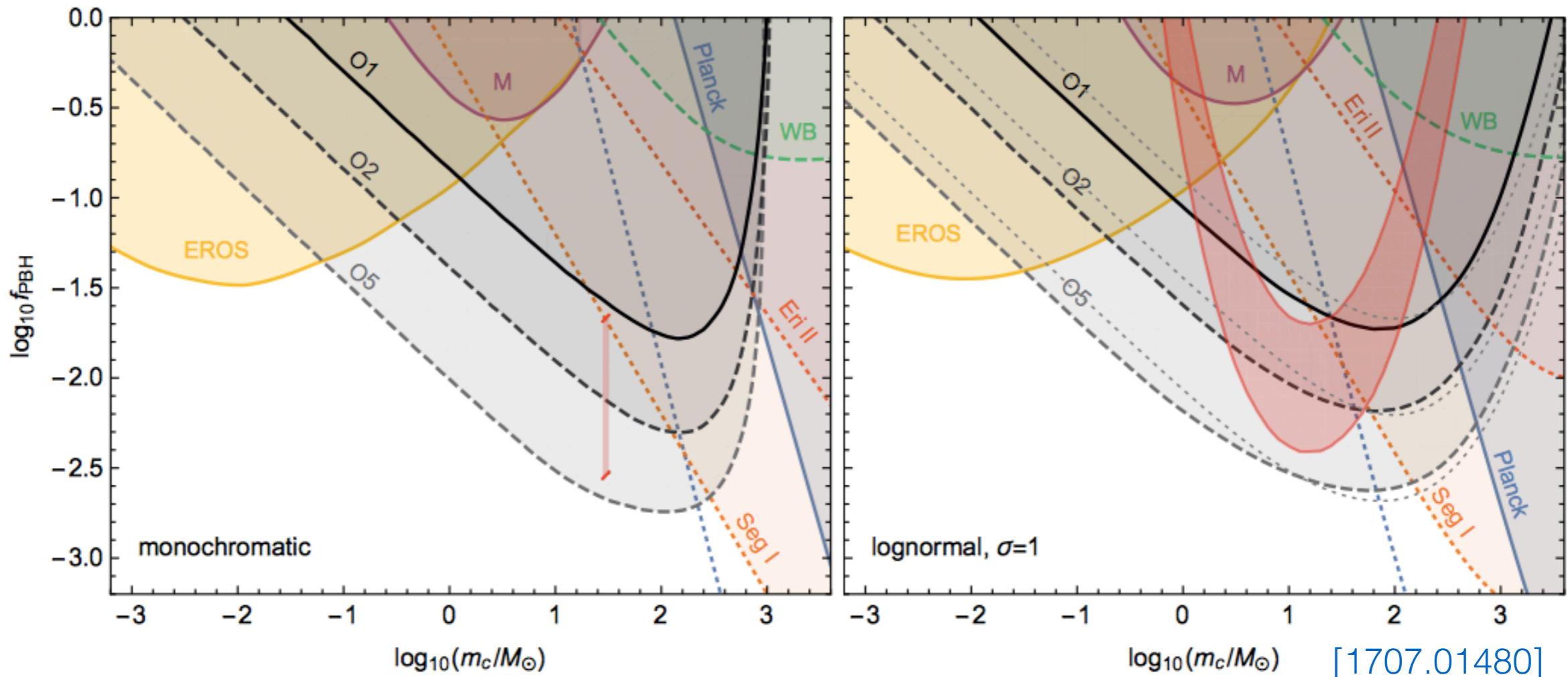
$$R_{\text{tr}}(z) = 0.0063 \left( \frac{M_{\text{PBH}}}{M_{\odot}} \right) \left( \frac{1 + z_{\text{eq}}}{1 + z} \right) \text{ pc}$$

$$\rho(r) \propto r^{-3/2}$$

By matter-radiation equality,  $M_{\text{halo}} \sim M_{\text{PBH}}$

# Extended mass functions

LIGO O1 Limit

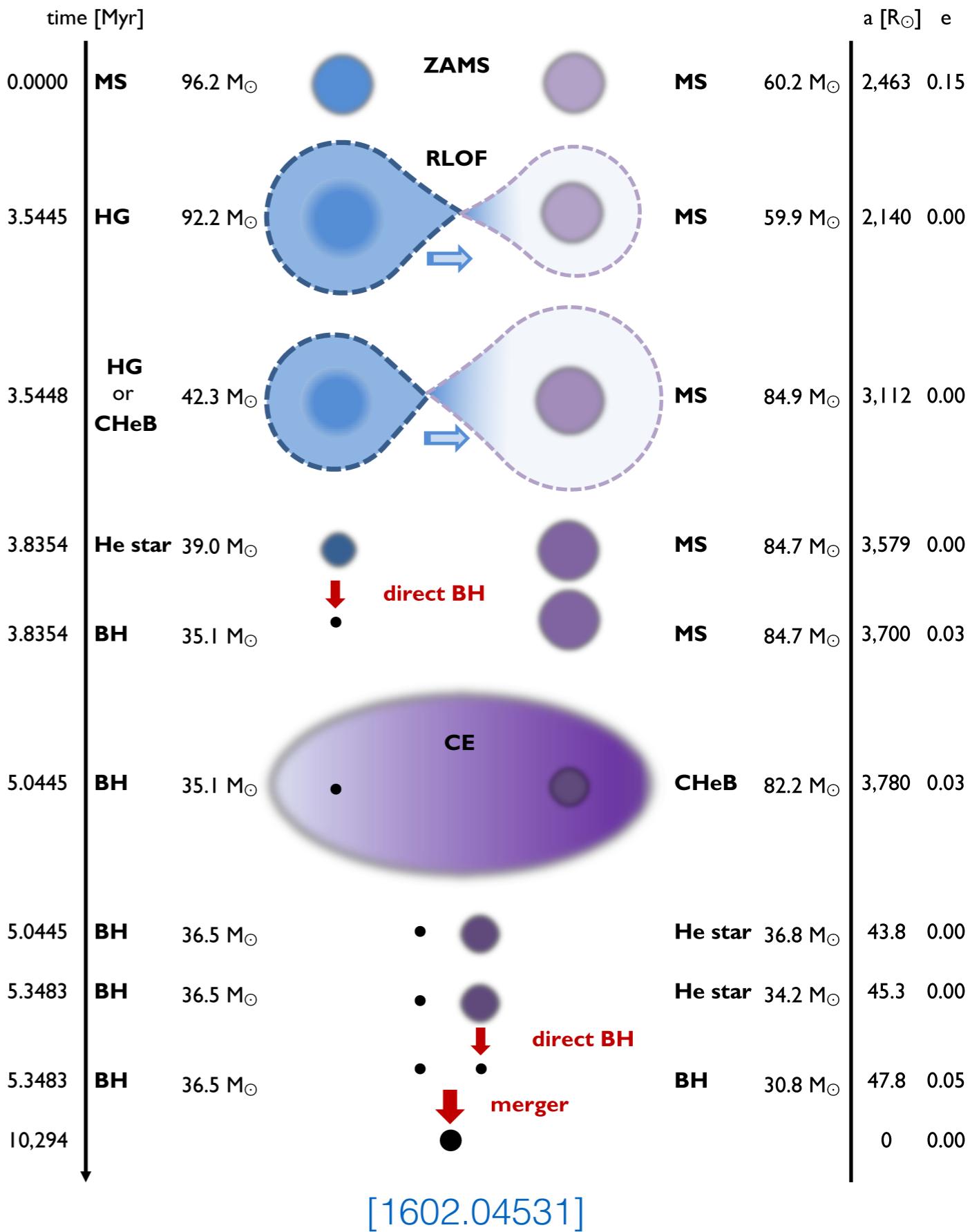


“Old” merger rate calculation à la Sasaki et al.,  
but picture doesn’t change too much...

[See also 1801.10327]

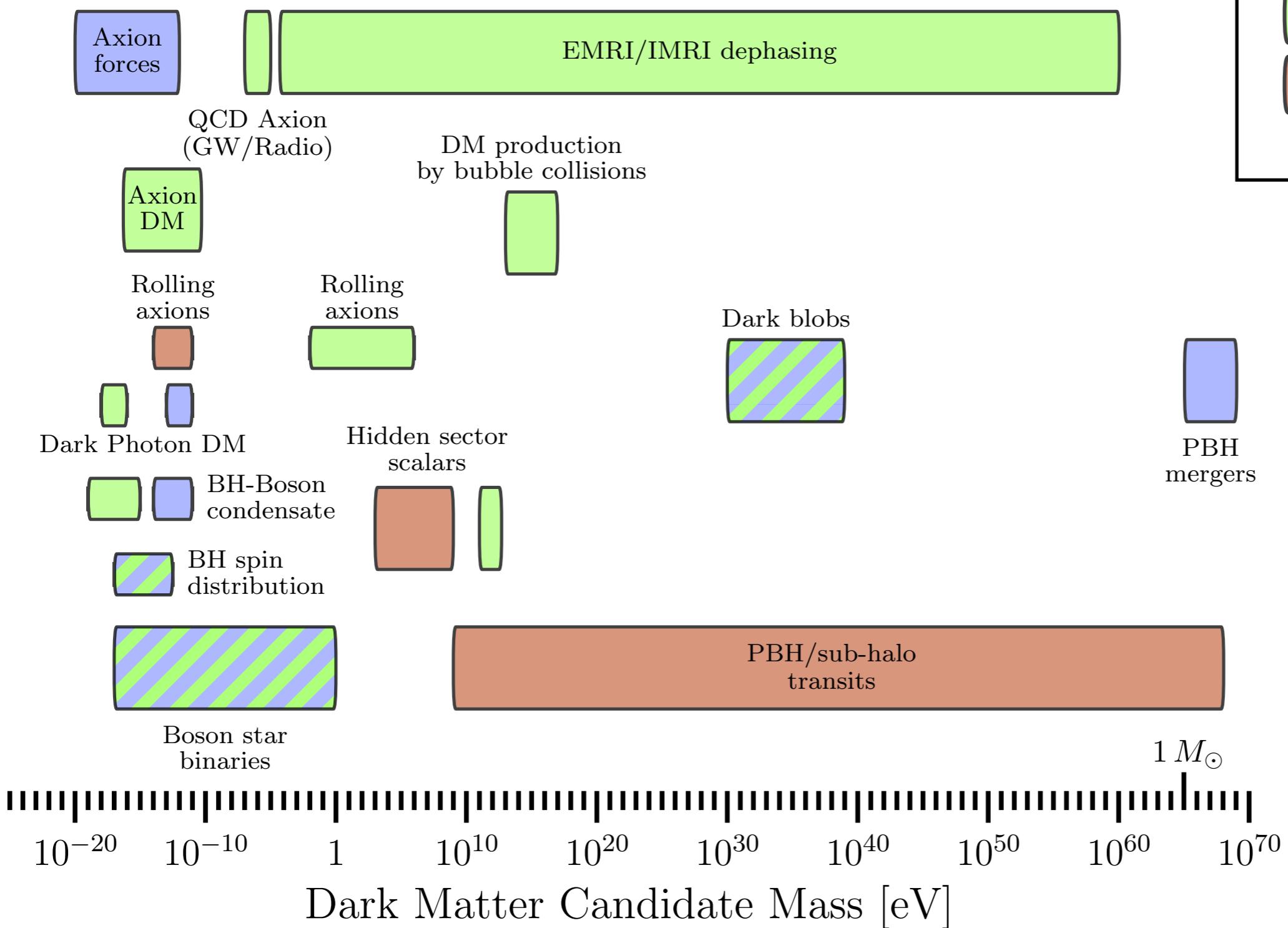
# Astrophysical BH binaries

Astrophysical BH binaries could be formed dynamically, or through e.g. common envelope evolution:



[Banerjee, 1611.09357,  
LIGO-Virgo, 1602.03846,  
Elbert et al., 1703.02551,  
Stevenson et al., 1704.01352,  
and many others...]

# GW Probes of DM



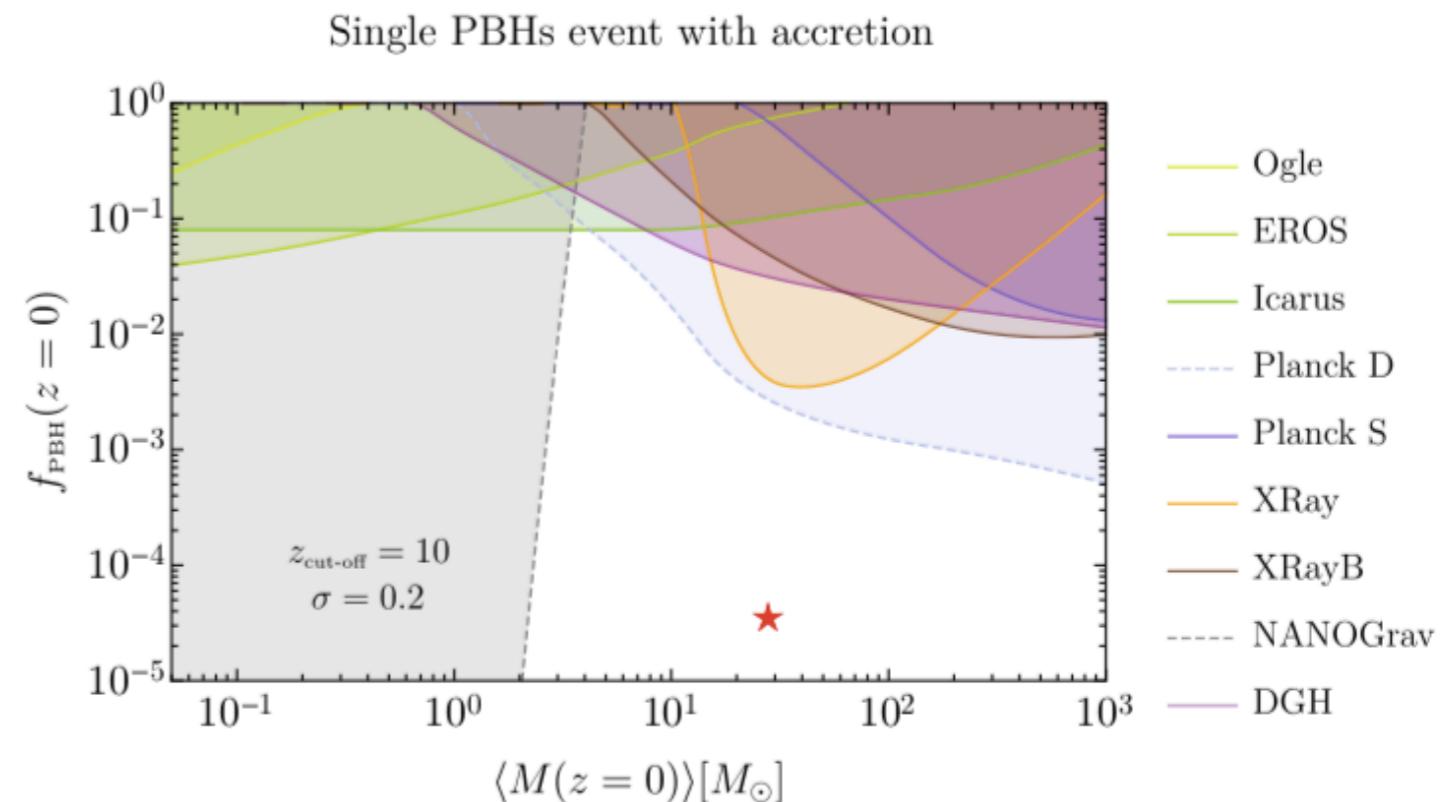
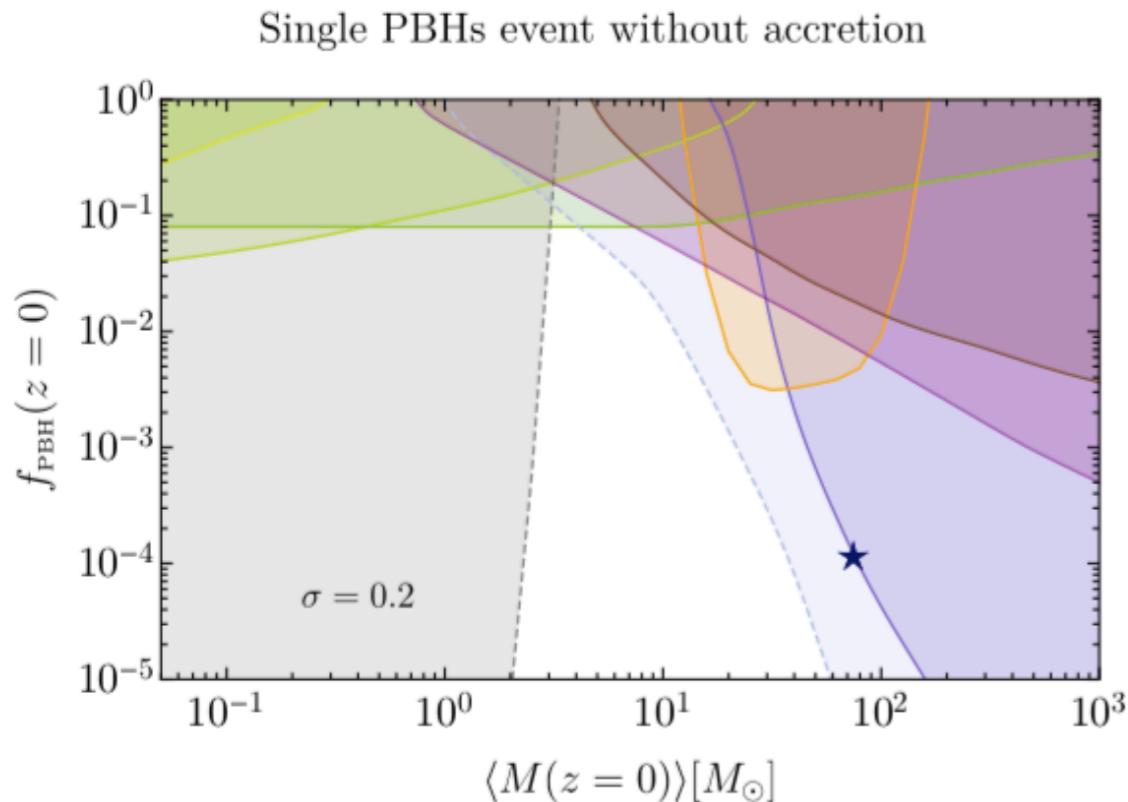
[Bertone, Croon, Amin, Boddy, **BJK**, Mack, Natarajan, Opferkuch, Schutz, Takhistov, Weniger, Yu, SciPost Phys. Core 3, 007 (2020), [1907.10610](https://doi.org/10.21468/SciPostPhysCore.1907.10610)]

# GW190521

TABLE I. Parameters of GW190521 according to the NRSur7dq4 waveform model. We quote median values with 90% credible intervals that include statistical errors.

Parameter	
Primary mass	$85^{+21}_{-14} M_{\odot}$
Secondary mass	$66^{+17}_{-18} M_{\odot}$
Primary spin magnitude	$0.69^{+0.27}_{-0.62}$
Secondary spin magnitude	$0.73^{+0.24}_{-0.64}$
Total mass	$150^{+29}_{-17} M_{\odot}$

[LVC (GW190521), arXiv:2009.01075]

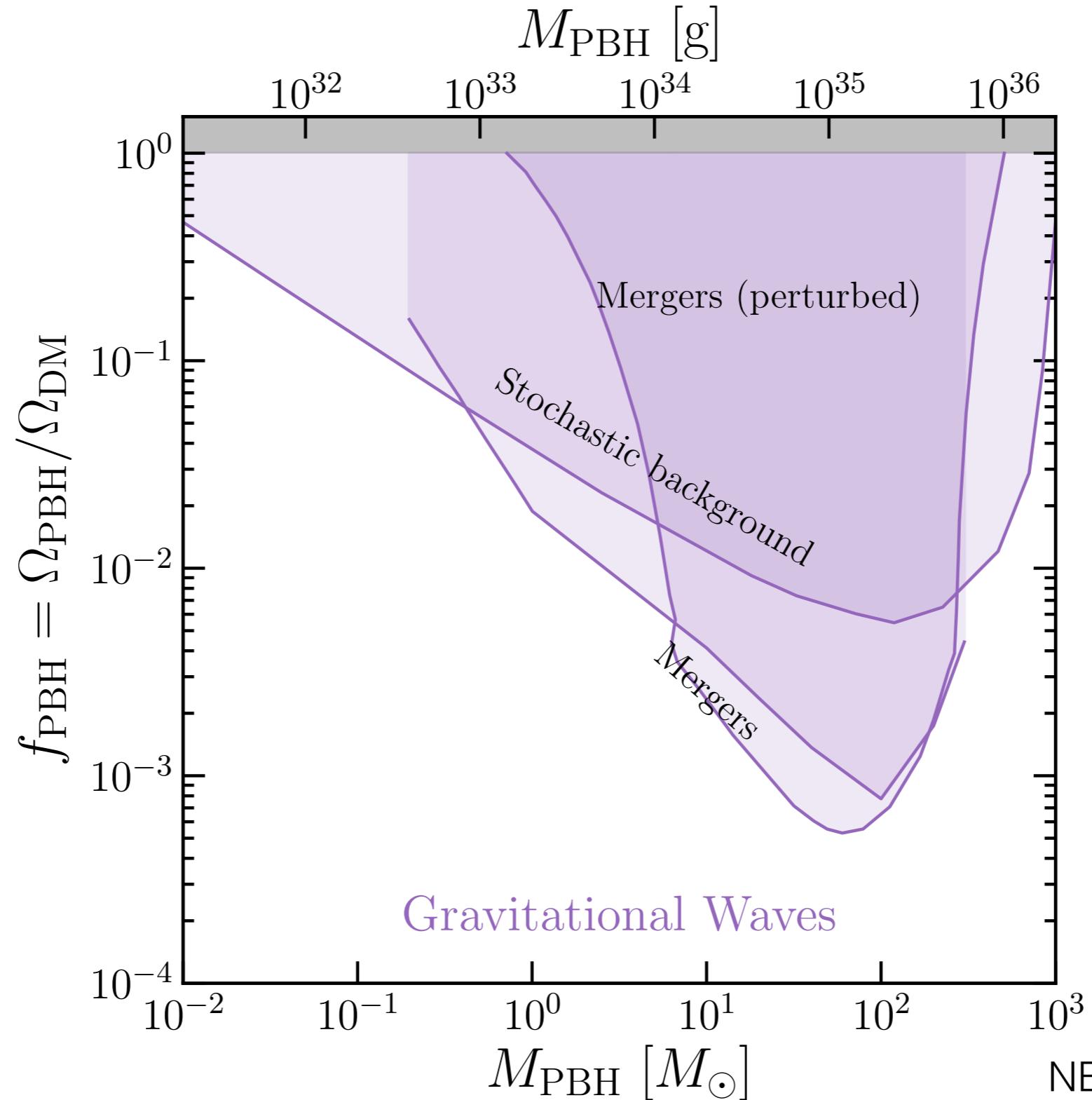


[De Luca et al., arXiv:2009.01728]

# GW Bounds

[Green & **BJK**, 2007.10722]

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



NB: very conservative and  
constantly changing!

# Inflation and PBHs

A simple model would be a ‘plateau’ in the inflaton potential:

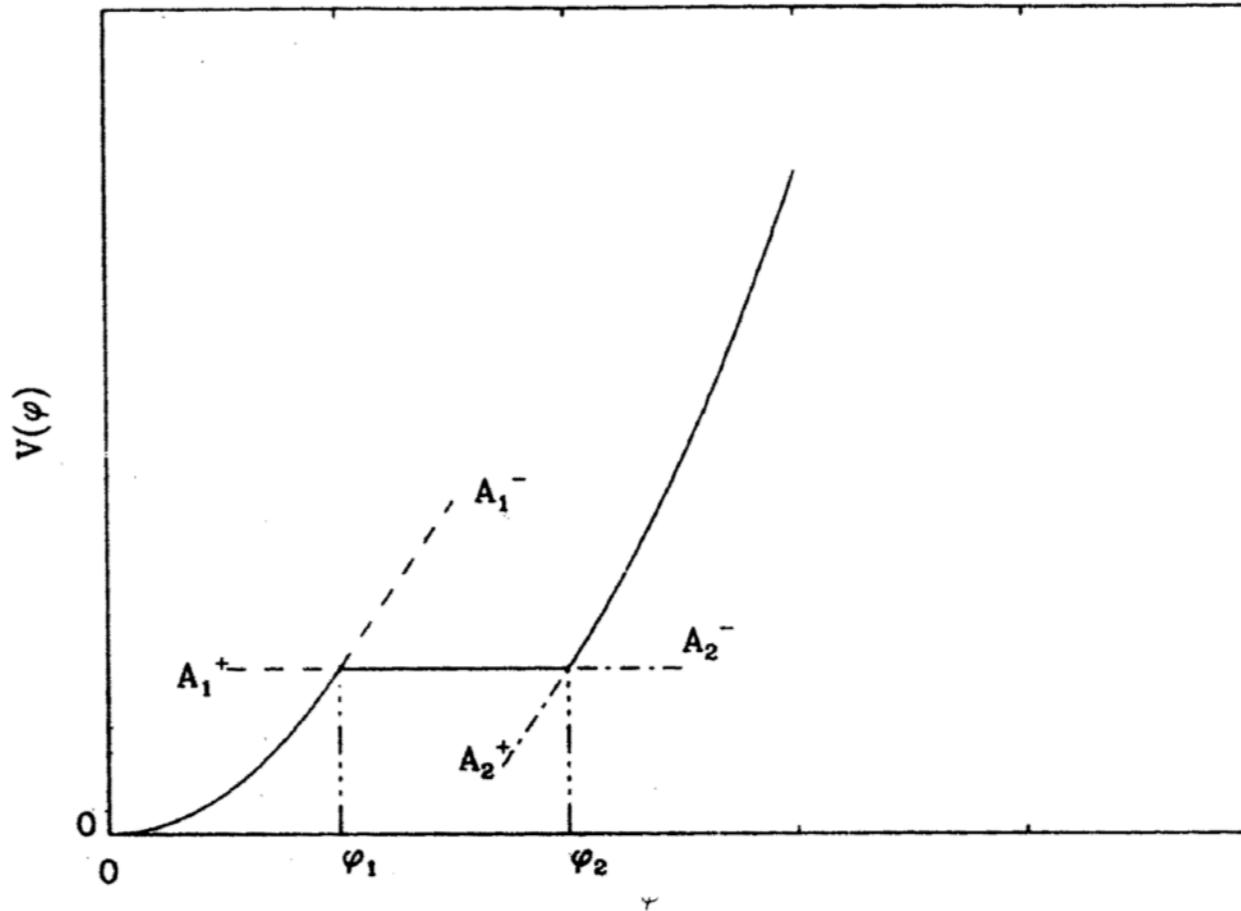


FIG. 1. Schematic representation of the potential  $V(\varphi)$  of the scalar field  $\varphi$  (inflaton). The potential has a plateau in the range  $\varphi_1 < \varphi < \varphi_2$  and is of the power-law type outside of this range. The breaks of the potential are smoothed out in small ranges  $\Delta\varphi_1 \ll \varphi_1$  and  $\Delta\varphi_2 \ll \varphi_2$  around  $\varphi_1$  and  $\varphi_2$  correspondingly.

[Ivanov et al., PRD (1994)]