Excerpt: Catalan Numbers are Fun(ctional)!

Bradley Klee*

(Dated: September 28, 2019)

 $^{*\} bradklee@gmail.com$

A maximally permissive set of function compositions on one head F may be written as,

$$\mathbb{CATF}_n = \left\{ F(f_1, f_2, \dots, f_m) : f_k \in \mathbb{CATF}_{n_k - 1}, n = \sum_{1}^{m} n_k \right\}, \quad \mathbb{CATF}_0 = \{ F_0 \},$$

This set includes all function compositions with n+1 symbols drawn from $\{F, F_0\}$. Functions have a bilateral symmetry, which ultimately translates nicely into geometry. Using R for open function, and L for close function, and RL for F_0 , the set \mathbb{CATF} becomes instead,

$$\mathbb{CATF}'_n = \left\{ Rs_1 s_2 \dots s_m L : s_k \in \mathbb{CATF}'_{n_k - 1}, n = \sum_{1}^{m} n_k \right\}, \quad \mathbb{CATF}'_0 = \{RL\}.$$

Another, often preferable representation portrays the words of \mathbb{CATF}' as walks along an integer lattice. In this interpretation, the letters are also vectors in the complex plane, R = 1 + i and L = -1 + i. Partial summation of the vector sequence determines a path across a subset of the Gaussian integers. If the walk starts from $z_0 = -1 - i$ the next step goes to the origin $z_1 = 0$, and subsequent steps z_n have positive imaginary part, $\mathfrak{I}(z_n) > 0$. As every function opens before closing, points z_n also have positive real part, $\mathfrak{R}(z_n) > 0$.

A redefinition tuned to lattice walking,

$$\mathbb{CBCW}_{n} = \left\{ (s_{1}, s_{2}, \dots, s_{2n}) : s_{k} \in \{1 + i, -1 + i\}, (2n)i = \sum_{1}^{2n} s_{k} \right\},$$

$$\mathbb{CATW}_{n} = \left\{ (s_{1}, s_{2}, \dots, s_{2n}) \in \mathbb{CBCW}_{n} : 0 \geq \Re\left(\sum_{1}^{m} s_{k}\right), 1 \leq m \leq 2n \right\},$$

removes one superfluous pair of letters or vectors. Then a walk reaches location z_m after m steps away from the origin $z_0 = 0$. More importantly, the inclusion of \mathbb{CATW}_n in \mathbb{CBCW}_n almost immediately implies a good upper bound. The origin-returning condition requires n left steps, n right steps, and a count

$$|\mathbb{CBCW}_n| = \frac{(2n)!}{(n!)^2} = \binom{2n}{n}.$$

Every element of \mathbb{CBCW}_n has a reflected image, $1+i\longleftrightarrow -1+i$, which places an even tighter bound, $C_n=|\mathbb{CATW}_n|<\binom{2n}{n}/2$.

The unknown differences,

$$\Delta_n = \frac{1}{2} \binom{2n}{n} - C_n,$$

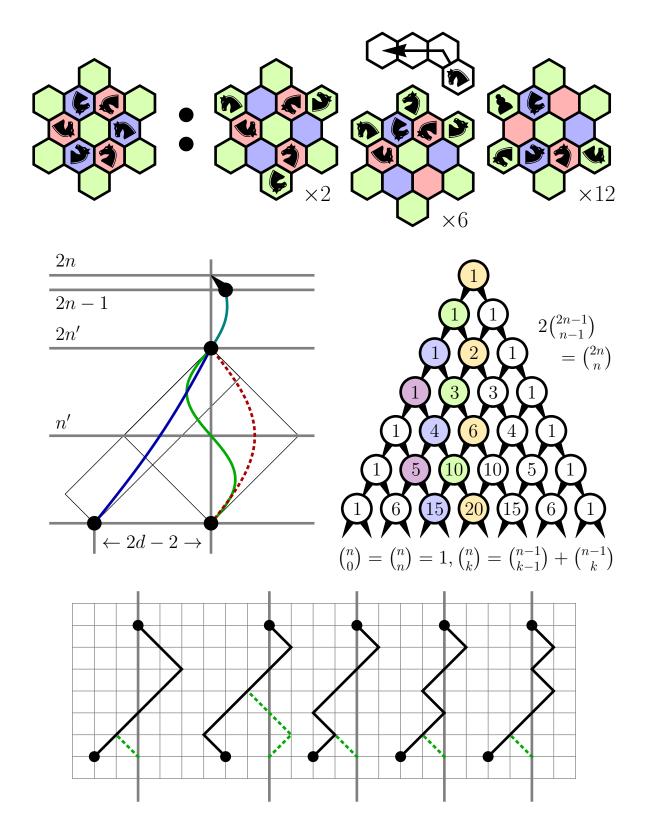


FIG. 1. Up top, diagram of a game for finding that six choose three equals twenty; on the left, a template for mapping between lattice walks across the axis $\Re(z) = 0$; on the right, a few rows from Pascal's triangle of binomial coefficients; and on bottom, a drawing of \mathbb{DIFFW}_3 with d = 2.

propose to count exactly half of the worldlines going between the first and second quadrants during the odyssey from $z_0 = 0$ to $z_{2n} = (2n)i$. Such walks start and end on the dividing line between quadrants one and two. This fact adds to difficulty. We make an Ansatz,

$$\mathbb{DIFFW}_n = \left\{ (s_1, s_2, \dots, s_{2n-1}) : s_k \in \{1 + i, -1 + i\}, 2d - 1 + (2n - 1)i = \sum_{1}^{2n-1} s_k \right\},\,$$

that moves laterally by 2d-1 steps. From an origin $z_0 = 2-2d$, every \mathbb{DIFFW}_n walk moves right by n+d-1 steps, left by n-d steps, and finally reaches $z_{2n} = (2n)i$ after one extra left step, $s_{2n} = -1 + i$. All such paths have the desired property of crossing the axis $\Re(z) = 0$. The hypothesis is that an integer d exists to satisfy

$$\Delta_n = |\mathbb{DIFFW}_n| = \binom{2n-1}{n-d}.$$

There is hope to prove this identity, if only a bijective function exists from \mathbb{DIFFW}_n to a half of $\mathbb{LRW}_n = \mathbb{CBCW}_n/(\mathbb{CATW}_n \cup \mathbb{CATW}_n)$, with \mathbb{CATW}_n the reflected image of \mathbb{CATW}_n across axis $\Re(z) = 0$.

Walks that cross the central axis potentially do so numerous times. Let us assume that walks from \mathbb{DIFFW}_n and \mathbb{LRW}_n agree after $z_{2n'} = (2n')i$, and that $\Re(z_m) \geq 0$ when $2n' \leq m \leq 2n$. A bijection between walk sets then requires that,

$$\binom{2n'}{n'-d+1} = \binom{2n'}{n'} - C_{n'},$$

i.e. that all paths from $\mathbb{CBCW}_{n'}/\mathbb{CATW}_{n'}$ have an image in the subset of \mathbb{DIFFW}_n through $z_{2n'} = (2n')i$. Rewriting $n' \to n$, produces a second equation in the three unknowns. Eliminating C_n and Δ_n , we are left with

$$\binom{2n}{n-d+1} = \frac{1}{2} \binom{2n}{n} + \binom{2n-1}{n-d}.$$

Is there an integer d that satisfies this equality regardless of n? If yes, then the hypothesis holds true and also determines a count of \mathbb{CATW}_n . The conjectured identity already appears strikingly similar to the addition rule for Pascal's wonderful triangle of binomial coefficients. Indeed it is exactly the same for d = 2! A few more manipulations produce a final count of the Catalan numbers,

$$C_n = |\mathbb{CATW}_n| = \binom{2n-1}{n-1} - \binom{2n-1}{n-2} = \frac{1}{n+1} \binom{2n}{n}.$$

At last, if one needs an explicit bijection between walks, there are a few distinct, equally valid recipes for partial reflection across the line $\Re(z) = -1$.