

# Complexity

## Definitions

We say  $Y \in \mathbf{P}$  if

- 1)  $Y$  has a polynomial time **solution**.

We say  $Y \in \mathbf{NP}$  if

- 1)  $Y$  has a polynomial time **checker**.

We say  $Y \in \mathbf{NP-Complete}$  if it as hard as any other problem in NP.

- 1)  $Y \in \mathbf{NP}$
- 2)  $\forall X \in \mathbf{NP}, X \leq_p Y$

## Theorems

$\mathbf{P} \subseteq \mathbf{NP}$ .

Let  $X$  be a problem.

If  $Y \in \mathbf{NP-Complete}$  and  $Y \leq_p X$  then  $X \in \mathbf{NP-Complete}$ .

Let  $X, Y, Z$  be problems.

If  $X \leq_p Y$ , and  $Y \leq_p Z$ , then  $X \leq_p Z$ .

## NP Complete Problems

**3-SAT** -  $F = (x_1 \vee x_2 \vee \overline{x_3}) \wedge \dots \wedge (x_{n-2} \vee x_{n-1} \vee x_n)$

Given a formula,  $F$ , of and's of  $k$  clauses,  $c_1, / \dots, c_k$ , or's of 3 literals, is the formula satisfiable?

**Vertex Cover** -  $S \subseteq V$  if  $\forall (u, v) \in E$ ,  $u \in S$  or  $v \in S$ .

Given a graph, what is the minimal subset of nodes such that every edge is encapsured?

**Independent Set** -  $S \subseteq V$  if  $\forall u, v \in V$ ,  $u, v$  are not connected.

Given a graph, what is the max subset of nodes such that each component is disjoint?

**Hitting Set** -  $H \subseteq A$  such that  $H \cap b_i \neq \emptyset$ . Given a set  $A$  and  $B$ , a set of subsets of  $A$ , what is the minimum set  $H$  such that  $H$  and  $b_i \in B$  have a similar element?

**Clique** -  $S \subseteq V$  such that  $\forall u, v \in S$ ,  $u, v$  are connected.

Given a graph, what is the max size subgraph such that all nodes are connected in the subgraph.

**3-Color** - Given a graph,  $G$ , is it possible to color the nodes in a way such that no incident edge has nodes of the same color?

## NP Complete Reductions

**Clique  $\iff$  Independent Set**

Given a graph  $G$ , if we find the maximum independent set,  $S$ , of  $G^*$ , the graph with the complement of edges, then  $S$  is the max clique of  $G$ , and vice-versa.

By definition of independent set,  $S$  has no similar edges, so in  $G$ ,  $S$  will have edges going to every other node in  $S$ .

**Vertex Cover  $\iff$  Independent Set**

Given a graph  $G$ , if we find the maximum independent set,  $S$ , of  $G$ , the minimum vertex cover of  $G$  is  $V - S$ , and vice-versa.

**3-SAT  $\leq_p$  Independent Set**

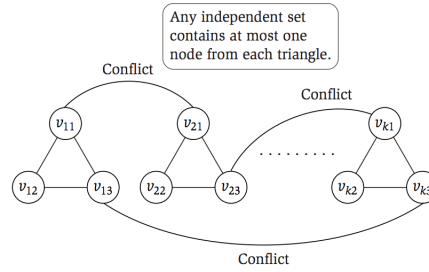
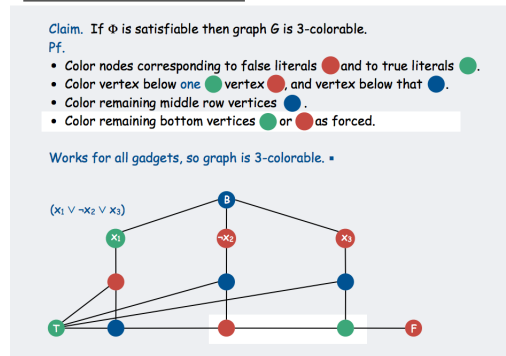


Figure 8.3 The reduction from 3-SAT to Independent Set.

**3-Sat  $\leq_p$  3-Color**



## PSPACE

### Definitions

We say  $Y \in \mathbf{PSPACE}$  if

- 1)  $Y$  has a polynomial space solution.

### Theorems

3-SAT  $\in \mathbf{PSPACE}$ , if we use a binary counter of length  $n$ , where  $n$  is the number of literals.

$\mathbf{NP} \subseteq \mathbf{PSPACE}$ , since we can reduce all problems in NP to 3-SAT, and 3-SAT  $\in \mathbf{PSPACE}$ .

Q-SAT  $\in \mathbf{PSPACE}$ , since we only have to keep one bit of information per quantifier as we recur and backtrack through the quantifiers.

## Turing Machines

### Definitions

A **turing machine** is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$

A **configuration** is a string. For example,  $0q_01$ , shows that the head is on 1, has written 0, and is in the state  $q_0$ .

$Q$  - the set of **states**.

$\Sigma$  - the **input alphabet**.

$\Gamma$  - the **tape alphabet**, where  $u \in \Gamma$  and  $\Sigma \subset \Gamma$ .

$\delta$  -  $Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ , the **transition function**.

The set of strings a machine,  $M$ , accepts is called the **language** of  $M$ . We say a language is **recognizable** if some Turing machine accepts, rejects, or loops. We say a language is **decidable** if some Turing machine halts.

### Theorems

Decidable  $\implies$  recognizable, but recognizable does not necessarily imply decidable.

The **Halting Problem** is undecidable.

Assume for the sake of contradiction we have a machine,  $H$ , that is a decider on the inputs of any arbitrary Turing machine, and any arbitrary string. Let  $D$  be a machine that takes what  $H$  outputs and negates it. If we feed  $D$  into itself, we reach a contradiction.

## Approximations

### Load Balancing

Given  $m$  machines with  $n$  jobs,  $t_1, \dots, t_n$ , what is the minimum makespan?

We can use a greedy solution where we find the machine with the minimum load and add the job to it.

This solution is bounded by  $T \leq 2T^*$ .

- 1)  $T^* \geq \max\{t_i\}$

- 2)  $T^* \geq \frac{1}{m} \sum_{i=1}^n t_i = \frac{1}{m} W$

Consider the machine with the makespan before the last job. The machine has a load of  $T - t_j$ , and since it was the minimum of the rest of the  $m$  machines, we know that the total load was  $m(T - t_j) \leq W$ , the total time of all jobs. So  $T - t_j \leq \frac{W}{m}$  and by 1) and 2),  $T \leq 2T^*$ .

If we sort the jobs by descending times, the solution is bounded by  $T \leq 1.5T^*$ .

If there are more jobs than machines, then one machine will have at least 2 jobs. Since the jobs are sorted descending, then  $T^* \geq 2t_{m+1}$ , and then we have the inequality  $\frac{T^*}{2} \geq t_{m+1}$ . Now that we have this, we can do a similar proof to the first and we see that  $T \leq 1.5T^*$ .

## Homework Problems

### Truck Scheduling

Given a set of weights,  $w_1, \dots, w_n$ , and a value  $K$ , what is the minimum number of trucks needed? If we use a greedy algorithm adds trucks as needed, we will use  $T \leq 2T^*$  trucks. Let  $W$  be the sum of the weights.  $T^* \geq \frac{W}{K}$  since each truck holds at most  $K$ . Consider the result from greedy, in the form  $2q + 1$  trucks. If we split this into consecutive groups of two, we see that each pair has a weight of at least  $K$ . Since we have  $q + 1$  pairs,  $K(q + 1) \leq W$  and we

see  $q + 1 \leq \frac{W}{K}$ , so  $T^* \geq q + 1$ , and by our assumption  $T = 2q + 1$  which is less than a factor of 2 greater.

### Odd Length Undecidable Language

Find a language that decides if a string is of odd length, but also is undecidable itself. Consider the language  $A_{TM\text{odd}}$ . Since the input for  $A_{TM}$  is  $\langle M, w \rangle$ , a Turing machine and input string, we can let  $A_{TM\text{odd}} = \{ \langle M, w \rangle \mid \langle M, w \rangle \in A_{TM} \}$ . We can see the length of the string is odd, but since  $A_{TM}$  is undecidable,  $A_{TM\text{odd}}$  is undecidable.

### 3-SAT $\leq_p$ 3-Color

Suppose there is a satisfying assignment for 3-SAT. We color  $\bar{G}$  with base, true, false. If  $x_i = 1$ , color  $v_i$  with true, and  $\bar{v}_i$  with false. The opposite for  $x_i = 0$ . When we extend this coloring into the subgraph, we see we have a three coloring.

Suppose there is a 3-color of  $\bar{G}$ . Each node  $v_i$  is assigned with a true color or false color. We set the corresponding  $x_i$  appropriately. We claim that in each 3-SAT clause, at least one term has a truth value = 1. If this was not true, then all the three of the corresponding nodes has the false color and therefore no but no clause subgraph is such. Contradiction.