# Factorization in Deep Neural Networks



# Course organisation

#### Sessions

- Deep Learning and Transfer Learning,
- Data Augmentation and Self Supervised Learning,
- Quantization,
- Pruning,
- 5 Factorization,
- 6 Distillation,
- Embedded Software and Hardware for DL.
- Presentations for challenge.

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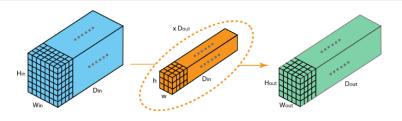
## Factorization of Convolutional Networks

## Why?

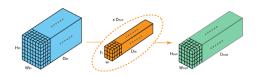
- Reduce memory footprint
- Reduce number of operations

#### How?

Modifying (decomposing, simplifying) convolutional filters structure



# General principle



## Complexity of 2D Convolutions

 $N_{ops} = H_{out} \cdot W_{out} \cdot h \cdot w \cdot D_{in} \cdot D_{out}$  with kernel size (h, w),  $D_{in}$  the number of input feature maps,  $D_{out}$  the number of output feature maps of height  $H_{out}$  and width  $W_{out}$ .

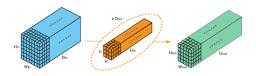
To reduce the number of parameters, we can

- Reduce the size of kernels
  - Reduce the number of feature maps

#### Different strategies

- Decompose kernels (Spatial separable convolutions)
- Depthwise Separable Convolutions
- Grouped Convolutions

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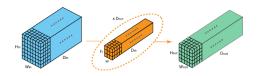
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#### Different strategies:

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## Decompose Kernels

## Spatially separable convolutions

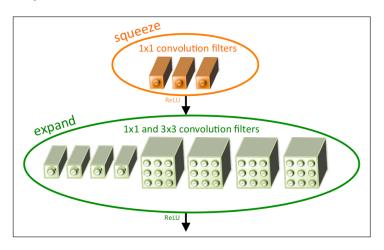
To simplify, assuming  $D_{in} = D_{out}$ , decompose (h, w) kernel by (h, 1) and (1, w):

 $N_{ops} = h \cdot 1 \cdot D_{in} + 1 \cdot w \cdot D_{in} = (h + w) \cdot D_{in}$  with kernel size (h, w),  $D_{in}$  input and out number of feature maps.

$$\begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \times [-1 & 0 & 1]$$

## Example: SqueezeNet

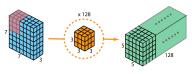
#### Introducing the Fire Module



landola et al. 2016, https://arxiv.org/abs/1602.07360

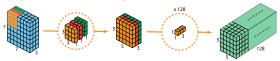
## Depthwise separable convolutions

Instead of learning parameters that recombine all input feature maps to compute each output feature map:



$$N_{mul}^{N} = H_{out} \cdot W_{out} \cdot h \cdot w \cdot D_{in} \cdot D_{out} = 5 \cdot 5 \cdot 3 \cdot 3 \cdot 3 \cdot 128 = 86400$$

One can separate the operations into two steps:



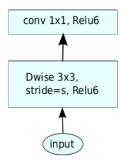
$$\begin{split} N_{mul}^D &= H_{out} \cdot W_{out} \cdot h \cdot w \cdot D_{in} \cdot 1 + H_{out} \cdot W_{out} \cdot 1 \cdot 1 \cdot D_{in} \cdot D_{out} \\ N_{mul}^D &= 5 \cdot 5 \cdot 3 \cdot 3 \cdot 3 \cdot 1 + 5 \cdot 5 \cdot 1 \cdot 1 \cdot 3 \cdot 128 = 10275 \\ N_{mul}^D &= \left(\frac{1}{D_{out}} + \frac{1}{h^2}\right) \cdot N_{mul}^N, h = w \end{split}$$

https://towardsdatascience.com/

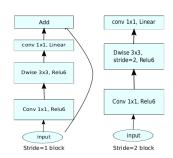
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## Example: MobileNet

#### MobileNetV1 (2017)



#### MobileNetV2 (2017)



https://arxiv.org/abs/1704.04861, https://arxiv.org/abs/1801.04381

## Example: MobileNet

Table 9. Smaller MobileNet Comparison to Popular Models

Model	ImageNet	Million	Million
	Accuracy	Mult-Adds	Parameters
0.50 MobileNet-160	60.2%	76	1.32
Squeezenet	57.5%	1700	1.25
AlexNet	57.2%	720	60

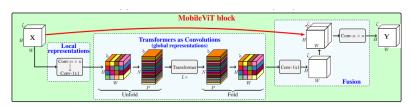
Table 10. MobileNet for Stanford Dogs

Model	Top-1	Million	Million
	Accuracy	Mult-Adds	Parameters
Inception V3 [18]	84%	5000	23.2
1.0 MobileNet-224	83.3%	569	3.3
0.75 MobileNet-224	81.9%	325	1.9
1.0 MobileNet-192	81.9%	418	3.3
0.75 MobileNet-192	80.5%	239	1.9

https://arxiv.org/abs/1704.04861,https://arxiv.org/abs/1801.04381

# More recent examples: MobileVit (2022) and VMamba (2024)

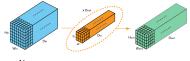
- MobileVit, a Light weight Vision Transformer https://arxiv.org/pdf/2110.02178.pdf
- VMamba, another efficient alternative to Vision Transformer https://arxiv.org/pdf/2401.10166.pdf



## **Grouped Convolutions**

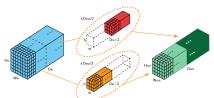
Instead of learning parameters that recombine all input feature maps to compute each output

feature map:



$$N_{mul}^{N} = H_{out} \cdot W_{out} \cdot h \cdot w \cdot D_{in} \cdot D_{out}$$

One can divide the kernels into multiple groups:



$$\begin{aligned} N_{mul}^G &= H_{out} \cdot W_{out} \cdot h \cdot w \cdot \frac{D_{in}}{2} \cdot \frac{D_{out}}{2} + H_{out} \cdot W_{out} \cdot h \cdot w \cdot \frac{D_{in}}{2} \cdot \frac{D_{out}}{2} \\ N_{mul}^G &= \frac{N_{mul}^N}{2} \end{aligned}$$

https://towardsdatascience.com/

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## Examples

#### AlexNet filters



#### ResNeXt block

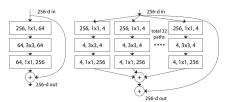


Figure 1. **Left**: A block of ResNet [14]. **Right**: A block of ResNeXt with cardinality = 32, with roughly the same complexity. A layer is shown as (# in channels, filter size, # out channels).

 $\verb|https://arxiv.org/abs/1704.04861|, \verb|https://arxiv.org/abs/1801.04381|, \verb|https://arxiv.org/abs/1611.05431|, \verb|https:/$ 

# Combining Factorization with other Techniques: Attention based Pruning

#### Introducing Shift Attention Layer

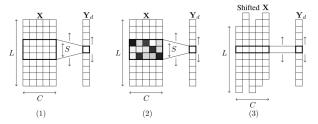


Figure 1: Overview of the proposed method: we depict here the computation for a single output feature map d, considering a 1d convolution and its associated shift version. Panel (1) represents a standard convolutional operation: the weight filter  $W_{d,\cdot\cdot}$  containing SC weights is moved along the spatial dimension (L) of the input to produce each output in  $\mathbf{Y}_d$ . In panel (2), we depict the attention tensor  $\mathbf{A}$  on top of the weight filter: the darker the cell, the most important the corresponding weight has been identified to be. At the end of the training process,  $\mathbf{A}$  should contain only binary values with a single 1 per slice  $\mathbf{A}_{d,c,\cdot}$ . In panel (3), we depict the corresponding obtained shift layer: for each slice along the input feature maps (C), the cell with the highest attention is kept and the others are disregarded. As a consequence, the initial convolution with a kernel size S has been replaced by a convolution with a kernel size S has been replaced by a convolution with a kernel size S has been trained on the shift bayer introduced in Wu et al. [2017], but here the shifts have been trained instead of being arbitrarily predetermined.

# Combining Factorization with other Techniques: Attention based Pruning

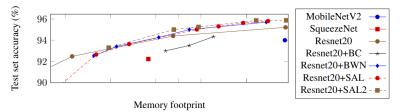
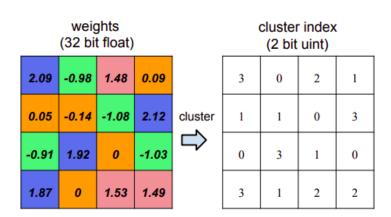


Figure 7: Evolution of accuracy when applying compression methods on different DNN architectures trained on CIFAR10.

Hacene et al. 2019, https://arxiv.org/abs/1905.12300

# Combining Factorization with other Techniques: using clustering to share kernel weights



from https://arxiv.org/abs/1510.00149

#### Lab Session

## Factorizing a CNNs using Pytorch

- Read carefully the documentation of conv2d (https://pytorch.org/docs/stable/generated/torch.nn.Conv2d.html#conv2d) and play with the parameters in channels, out channels and groups to implement factorised convolutions
- Have a look at the MobileNet implementation for CIFAR10 (https://github.com/kuangliu/pytorch-cifar/blob/master/models/mobilenet.py)

## Work for your Long Project

- If you haven't done it yet, familiarise yourself with the score calculation (https://github.com/brain-bzh/ efficient-deep-learning/blob/master/lab4.md# part-2--combining-all-techniques-on-cifar10)
- Combine/test different strategies to improve your score!

- Overview of unsupervised learning
  - Clustering
  - Decomposition using Sparse Dictionary Learning

#### Goal

Discover patterns/structure in X,

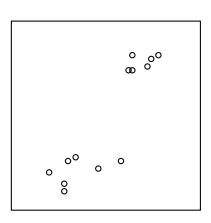
- Unsupervised = no expert, no labels,
- Two main approaches:
  - Clustering = find a partition of X in K subsets.
  - Decomposition using K vectors.
- Applications :
  - Quantization
  - Visualization....



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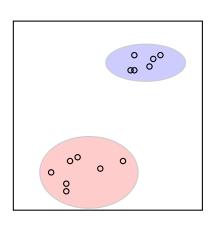
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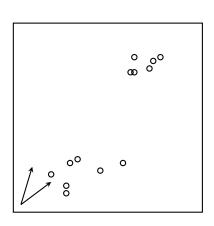
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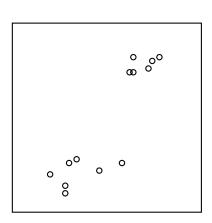
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# Example: clustering using $L_2$ norm

An example to perform clustering is to rely on distances to centroids. We define K cluster centroids  $\Omega_k, \forall k \in [1..K]$ 

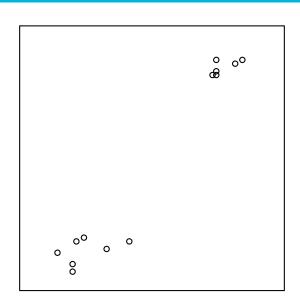
#### **Definitions**

We denote  $q: \mathbb{R}^d \to [1..K]$  a function that associates a vector **x** with the index of (one of) its closest centroid  $q(\mathbf{x})$ . Formally:

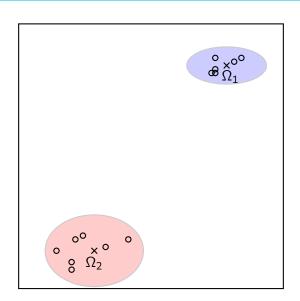
- $\forall k \in [1..K], \Omega_k \in \mathbb{R}^d$
- $\forall \mathbf{x} \in X, \forall j \in [1..K], \|\mathbf{x} \Omega_{q(\mathbf{x})}\|_2 \le \|\mathbf{x} \Omega_j\|_2$
- Error  $E(q) \triangleq \sum_{\mathbf{x} \in X} \|\mathbf{x} \Omega_{q(\mathbf{x})}\|_2$

cluster k

# Example: clustering using $L_2$ norm



# Example: clustering using $L_2$ norm



# Clustering using $L_2$ norm

## **Quantizing MNIST**

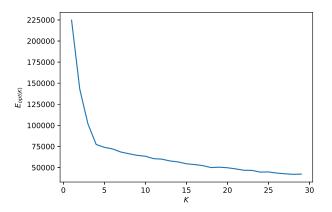
- Replace **x** by  $\Omega_{k(\mathbf{x})}$
- Compression factor  $\kappa = 1 K/N$



# Clustering using $L_2$ norm

## Choosing K

- Finding a compromise between error and compression,
- Simple practical method : "elbow".



# Sparse Dictionary Learning

#### **Definitions**

Dictionary learning solves the following matrix factorization problem:

- The set X is considered as a matrix  $X \in \mathcal{M}_{N \times d}(\mathbb{R})$ ,
- We consider decompositions using a dictionary  $V \in \mathcal{M}_{K \times d}(\mathbb{R})$  and a code  $U \in \mathcal{M}_{N \times k}(\mathbb{R})$ , with the lines of V being with norm 1,
- Error  $E(U, V) \triangleq ||X UV||_2 + \alpha ||U||_1$
- Training: find  $U^*$ ,  $V^*$  that minimizes  $E(U^*, V^*)$
- lpha is a sparsity control parameter that enforces codes with soft ( $\ell_1$ ) sparsity

