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Cortical correlates of the auditory frequency-following and onset responses: EEG and fMRI evidence

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**Cortical correlates of the auditory frequency-following and onset responses:
EEG and fMRI evidence**

Abbreviated title: Cortical correlates of the FFR and onset response

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30 **Abstract**

31 The frequency following response (FFR) is a measure of the brain's periodic sound encoding. It is of increasing importance
32 for studying the human auditory nervous system due to numerous associations with auditory cognition and dysfunction.
33 Although the FFR is widely interpreted as originating from brainstem nuclei, a recent study using magnetoencephalography
34 (MEG) suggested that there is also a right-lateralized contribution from the auditory cortex at the fundamental frequency
35 (Coffey et al., 2016c). Our objectives in the present work were to validate and better localize this result using a completely
36 different neuroimaging modality, and document the relationships between the FFR and the onset response, and cortical
37 activity. Using a combination of electroencephalography, fMRI, and diffusion-weighted imaging, we show that activity in
38 the right auditory cortex is related to individual differences in FFR-f₀ strength, a finding that was replicated with two
39 independent stimulus sets, with and without acoustic energy at the fundamental frequency. We demonstrate a dissociation
40 between this FFR-f₀-sensitive response in the right and an area in left auditory cortex that is sensitive to individual
41 differences in the timing of initial response to sound onset. Relationships to timing and their lateralization are supported by
42 parallels in the microstructure of the underlying white matter, implicating a mechanism involving neural conduction
43 efficiency. These data confirm that the FFR has a cortical contribution, and suggest ways in which auditory neuroscience
44 may be advanced by connecting early sound representation to measures of higher-level sound processing and cognitive
45 function.

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48 **Significance Statement**

49 The frequency following response (FFR) is an electroencephalograph signal that is used to explore how the auditory system
50 encodes temporal regularities in sound, and which is related to differences in auditory function between individuals. It is
51 known that brainstem nuclei contribute to the FFR, but recent findings of an additional cortical source are more
52 controversial. Here, we use functional MRI to validate and extend the prediction from magnetoencephalography data of a
53 right auditory cortex contribution to the FFR. We also demonstrate a dissociation between FFR-related cortical activity from
54 that related to the latency of the response to sound onset, which is found in left auditory cortex. The findings provide a
55 clearer picture of cortical processes for analysis of sound features.

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57

58 **Introduction**

59 The Frequency Following Response (FFR) is an auditory signal recorded using electroencephalography (EEG) which offers
60 a non-invasive view of behaviourally and clinically relevant individual differences in early sound processing (Krishnan,
61 2007; Skoe and Kraus, 2010; Kraus and White-Schwoch, 2015). Although the FFR itself is widely interpreted as having
62 subcortical sources (Chandrasekaran and Kraus, 2010), its strength is correlated with measures of cortical waves (Musacchia
63 et al., 2008), and it is known to be modulated by cortical processes such as learning (Musacchia et al., 2007; Krishnan et al.,
64 2008) and perhaps attention (Galbraith and Arroyo, 1993; Lehmann and Schönwiesner, 2014). Recent
65 magnetoencephalography (MEG) evidence suggests that in addition to generators in brainstem nuclei, there is a direct
66 contribution from the auditory cortex at the fundamental frequency (f_0) with a rightward bias (Coffey et al., 2016c).
67 However, MEG localization is indirect, relying on distributed source modeling to localize and separate cortical from
68 subcortical sources, an approach whose limitations are still being explored (Attal and Schwartz, 2013). Validation of cortical
69 involvement using more direct complementary methods is thus essential.

70 Features of the FFR vary between people, even within a neurologically normal young adult population (Hoormann et al.,
71 1992; Ruggles et al., 2012; Coffey et al., 2016a). These differences have been linked to musical (Musacchia et al., 2007;
72 Strait et al., 2009; Bidelman, 2013) and language (Wong et al., 2007) experience, and have been shown to be cognitively
73 and behaviourally relevant, for example in the perception of speech in noise (Ruggles et al., 2012), consonance and
74 dissonance (Bones et al., 2014), and in pitch perception bias (Coffey et al., 2016a). Similarly, the MEG FFR- f_0 signal
75 attributed to the right auditory cortex in our prior study was correlated with musical experience and fine frequency
76 discrimination ability (Coffey et al., 2016c). These inter-individual variations provide a means of testing the hypothesis of
77 an FFR- f_0 contributor in the auditory cortex via fMRI: if stronger FFR- f_0 encoding is partly indicative of greater phase-
78 locked neuronal activity in the right auditory cortex, then FFR- f_0 strength should be positively correlated with the
79 magnitude of the BOLD response in the same area due to the increased metabolic requirements of this neural population
80 (Magri et al., 2012). A related question concerns the generalizability of the MEG findings to other sounds. Our prior MEG
81 finding relied on a synthetic speech syllable that produces a clear, consistent onset response and FFR (Johnson et al., 2005a;
82 Skoe and Kraus, 2010). But the auditory system must also contend with sounds that include degraded or missing frequency
83 information; to this end we used both the speech syllable and a piano tone without acoustic energy at f_0 .

84 As well as identifying FFR- f_0 – sensitive regions in the auditory cortex, it is useful to know if they can be dissociated from

85 areas sensitive to other measures of early sound encoding, such as the timing of the transient onset response to sound, as
86 suggested by behavioural dissociations (Johnson et al., 2005b; Kraus and Nicol, 2005; Skoe and Kraus, 2010). If this is the
87 case, we would expect measures of the onset response and the FFR to correlate with BOLD activity in different cortical
88 populations. To clarify timing-related results, we also obtained measures of white matter microstructure, which are related
89 to signal transmission speed (Wozniak and Lim, 2006).

90 In the present study, we measured neural responses to two periodic sounds using EEG and fMRI, and assessed the
91 relationships between measures of FFR-f₀ strength, onset latency, and fMRI activity. Our primary aim was to test the
92 hypothesis that individual differences in FFR-f₀ strength is correlated with the magnitude of fMRI response in the right
93 auditory cortex. We tested three additional hypotheses: that the FFR-f₀ BOLD relationship is robust to stimuli with and
94 without a fundamental; that an FFR-f₀-sensitive area can be dissociated from an onset latency-sensitive area; and that
95 timing-related results are correlated with the structure of the white matter directly underlying the auditory cortex.

96 **Materials and Methods**

97 **Participants.** We recruited 26 right-handed young adults divided into two groups: either musicians who practised at least
98 one instrument regularly (>1.5hrs per week), or non-musicians with minimal exposure to musical training. All subjects
99 reported having normal hearing and no neurological conditions and were compensated for their time. Normal or corrected-
100 to-normal vision (Snellen Eye Chart) and pure-tone thresholds from 250 to 16kHz were measured to confirm sensory
101 function (all but one subject had ≤ 20 dB HL pure-tone thresholds within the lower frequencies applicable to this study, 250
102 – 2,000 Hz; this subject was included as stimuli are presented well above threshold binaurally and the opposite ear had a
103 normal threshold). One subject was excluded due to a technical problem. The remaining 25 subjects (mean age: 25.8, SD:
104 5.0, 13 females) included 13 musicians and 12 non-musicians. Groups did not differ significantly in age (musicians mean:
105 25.2, SD = 5.6; non-musicians mean: 26.4, SD = 4.5; Wilcoxon rank sum test, two-tailed: $Z = 0.84$, $p = 0.40$) or sex (7
106 musicians and 6 non-musicians were female; Chi-square, two-tailed: $X^2(1,25) = 0.04$, $p = 0.85$). Data about musical history
107 were collected via an online survey (Montreal Music History Questionnaire; MMHQ (Coffey et al., 2011)). Musicians
108 reported an average of 10,300 hours (SD: 5,000) of vocal and instrumental practice and training; 2 non-musicians reported
109 ~400 hours of clarinet training as part of a school program, all others had no experience. The musicians varied in their
110 instrument and musical style (main instruments: 3 keyboard, 2 woodwind, 9 strings including 5 guitar; main styles: 8
111 classical, 4 pop/rock, 1 traditional/folk). All experimental procedures were approved by the Montreal Neurological Institute

112 Research Ethics Board.

113 **Study design.** Subjects participated in separate EEG and MRI recording sessions on different days (randomized order; 13
114 subjects experienced the fMRI session first), during which they listened to blocks of repeated speech syllables or piano
115 tones. Prior to the EEG session, subjects performed a set of computerized behavioural tasks (~30 mins), including fine
116 frequency discrimination (reported below) and several other measures of musicianship and auditory system function
117 (Nilsson, 1994; Foster and Zatorre, 2010) which relate to research questions that are not addressed here.

118 **Fine frequency discrimination assessment.** Fine frequency discrimination thresholds were measured using a two-interval
119 forced choice task and a 2-down 1-up rule to estimate the threshold at 79% correct point on the psychometric curve (Levitt,
120 1971). On each trial, two 250 ms pure sine tones were presented, separated by 600 ms of silence. In randomized order, one
121 of the two tones was a 500 Hz reference pitch, and the other was higher by a percentage that started at 7 and was reduced by
122 1.25 after two correct responses or increased by 1.25 after an incorrect response. The task stopped after 15 reversals, and the
123 geometric mean of the last 8 trials was recorded. The task was repeated 5 times, and the scores were averaged.

124 **Stimuli.** We used two stimuli, a 100ms speech syllable (/da/) with a fundamental frequency of 98Hz that has been used
125 extensively in previous studies as it elicits clear and replicable responses (Johnson et al., 2005a; Skoe and Kraus, 2010) (see
126 Figure 1a,b, top), and a piano tone with the same nominal fundamental frequency and stimulus duration, but that had very
127 little energy at the fundamental frequency (McGill University Master Samples database, Steinway piano G2 tone, right
128 channel; (Opolko and Wapnick, 2006); see Figure 1c,d, top). In order to ensure that harmonic distortions created by the
129 headphones did not reintroduce energy at the fundamental frequency (Norman-Haignere and McDermott, 2016), we
130 measured sound output from both sets of earphones (S14, Sensimetrics Corp.; ER2, Etymotic Research) using a KEMAR
131 Dummy-Head Microphone (GRAS, www.gras.dk), at the 80 dB SPL used in the experiment. Although the two earphones
132 yielded slightly different amplitudes for each harmonic component, we found no evidence that energy had been
133 reintroduced at the fundamental frequency.

134 **fMRI data acquisition.** The stimulation paradigm took into account the constraints of each of the imaging modalities such
135 that almost identical versions could be presented during the independent EEG and BOLD fMRI recording sessions. Each
136 interval between scans, defined as a block, comprised a series of 20 stimuli of the same type (inter-stimulus interval: ~200
137 ms, jittered by 0-10 ms, randomized) as well as silent breaks (Figure 2), which were included to reduce the effects of
138 repetition suppression and enhancement that can differ between people (Chandrasekaran et al., 2012). Stimuli were

139 presented binaurally at $80 \text{ dB} \pm 1 \text{ dB SPL}$, using a custom-written script (Presentation, Neurobehavioral Systems, Albany,
140 CA, USA), using MRI-compatible headphones (S14, Sensimetrics Corp.) via foam inserts placed inside the ear canal.
141 Auditory stimulation was timed so as to maximize the hemodynamic response during fMRI recording to sound during the
142 subsequent acquisition (i.e. $\sim 5\text{-}7$ sec after the onset of the stimulus block), but its exact timing was jittered (0-1s,
143 randomized) so as to reduce confounds with periodic sources of noise and of top-down expectations. Speech or Piano tone
144 blocks were presented pseudorandomly, along with Relative Silence baseline blocks (for a total of 120 syllable volumes,
145 120 tone volumes, and 90 baseline volumes). Subjects were asked to listen actively for oddball stimuli (80% normal
146 amplitude) and indicate via button press (right index and middle finger) during the scan following stimulation if one had
147 occurred or not. Oddballs were present in 30% of the blocks and replaced one of the last 4 stimuli in a block. To control for
148 preparatory motor activity associated with button pressing, baseline volumes included a single stimulus \square 1-2 seconds from
149 the end of the block to which subjects responded during the scan with a button press. Nine subjects experienced a slight
150 experimental variation in which the single stimulus was presented ~ 4 seconds from the end of the block; this difference was
151 controlled for in each GLM model.

152 fMRI data were acquired using EPI whole head coverage on a Siemens 3 Tesla scanner with a 32-channel head coil
153 (Siemens Trio, Erlangen, Germany) at the McConnell Brain Imaging Center at the Montreal Neurological Institute using a
154 sparse sampling fMRI paradigm (Belin et al., 1999; Hall et al., 1999); which avoids confounding the BOLD signal of
155 interest with effects due to loud noise from gradient switching (voxel size 3.4 mm^3 , 42 slices, TE 49 ms, TR $\sim 10210 \text{ ms}$). We
156 implemented a cardiac gating procedure such that each scan was triggered by the cardiac cycle following the stimulation
157 block (Guimaraes et al., 1998) in order to address research questions that are not reported here. This resulted in an average
158 block length difference as compared with EEG of $\sim 500 \text{ ms}$, and total fMRI scan time was approximately 1hr (3 runs of 19
159 mins each). To reduce subject fatigue, anatomical MRI scans were acquired between fMRI runs, during which subjects were
160 instructed to lie still and rest.

161 **fMRI analysis.** fMRI data were analysed using FSL software (fMRIB, Oxford, UK) (Smith et al., 2004; Jenkinson et al.,
162 2012). Images were motion-corrected, b0 unwarped and registered to the T1-weighted anatomical image using boundary-
163 based registration (Greve and Fischl, 2009), and spatially smoothed (5mm FWHM). Each subjects' anatomical image was
164 registered to MNI 2mm standard space (12-parameter linear transformation). For 6 subjects, gradient field maps had not
165 been acquired; these were substituted by an average of the other 19 subjects' gradient field maps in standard space,
166 transformed to native space (12-parameter linear transformation). Task-related BOLD responses of each run were analyzed

167 within GLM (FEAT; (Beckmann et al., 2003)), including 3 conditions (Relative Silence, Speech, Piano). For each scan,
168 contrast images were computed for Speech > Relative Silence and Piano > Relative Silence, and three runs per subject were
169 combined in a fixed-effects model. Within and between-group analyses were performed using random effects models in
170 MNI space (FLAME 1 in FSL; the automatic outlier deweighting option was selected). In order to test the specific
171 hypotheses of interest and to localize areas of sensitivity to FFR-f0 strength within the auditory cortex, a bilateral auditory
172 cortex region of interest (ROI) was defined using the Harvard-Oxford cortical and subcortical structural atlases
173 implemented in FSL: regions with a probability greater or equal to 0.3 of being identified as Heschl's gyrus (HG) or planum
174 temporale (PT) were included, and the resulting ROI was dilated by two voxels to ensure that the central peaks of the
175 cortical signal generators found in previous work (Coffey et al., 2016c) were well within the ROI.

176 To evaluate the main research questions, we entered the FFR-f0 and wave A latency values into a whole-sample GLM
177 model, separately for the Speech vs. Relative Silence and Piano vs. Relative Silence contrast (the minor difference in the
178 Silent blocks described above was entered as a covariate of no interest). For multiple comparisons correction for each
179 research question, we applied voxel-wise correction as implemented in FEAT (Gaussian Random Field-theory-based, p
180 <0.05 one-tailed within the bilateral AC region of interest; statistical maps thresholded above $Z = 2.3$ are presented in
181 Figures 3 and 4 to clearly show the pattern of results; the position and number of significant voxels are reported in the text).
182 To gain additional evidence that the brain area identified as being sensitive to FFR-f0 strength in the Speech condition was
183 also related to FFR-f0 strength in the Piano condition, we ran a conjunction analysis in which the Piano condition regression
184 analysis was masked by the significant result from the speech regression analysis. For further analysis of relationships to
185 fine frequency discrimination threshold and musicianship, we extracted a measure of BOLD activity (mean percent change
186 of parameter estimate) from the small cortical areas that were found to be significantly related to FFR-f0 strength, in each
187 contrast.

188 **EEG acquisition.** Because the EEG version of the paradigm did not include baseline silent blocks nor cardiac gating, the
189 total recording time was 45 minutes. This was split into three parts, between which short breaks were given. During the
190 recording, subjects sat comfortably in a magnetically shielded room. A Biosemi active electrode system (ActiveTwo)
191 sampled at 16kHz was used to record EEG from position Cz, with two earlobe references, and grounds placed on the
192 forehead above the right eyebrow. Stimuli were presented using a custom-written script (Presentation (Neurobehavioral
193 Systems, Albany, CA, USA), delivered binaurally via insert earphones (ER2, Etymotic Research, www.etymotic.com). Each
194 stimulus was presented 2400 times, at alternating polarities to enable cancelling of the cochlear microphonic (Skoe and

195 Kraus, 2010). We recorded stimulus onset markers from the stimulus computer along with the EEG data via parallel port.
196 Subjects were asked to keep their bodies and eyes relaxed and still during recordings, and were provided with a small
197 picture affixed to the wall as a reminder.

198 **EEG analysis.** Data analysis was performed using the EEGLAB toolbox (v13.5.4b (Delorme and Makeig, 2004)), the
199 ERPLAB plugin (v5.0.0.0), and custom MatLab scripts (MATLAB 7.12.0, The MathWorks Inc., Natick, MA, 2000; RRID:
200 SCR_001622). Each recording was band-pass filtered (80-2000Hz; Butterworth 4th order, zero-phase, as implemented in
201 EEGLAB; RRID: SCR_007292), epoched (-50 to 200ms around the onset marker), and DC correction was applied to the
202 baseline period. Fifteen percent of epochs having the greatest amplitude were discarded for each subject; this served to
203 remove the majority of epochs contaminated by myogenic activity (confirmed by inspection), yet retain equal numbers of
204 epochs per subject for the computation of phase-locking value (PLV): a measure of FFR strength that is highly correlated
205 with spectral amplitude but that is more statistically sensitive (Zhu et al., 2013). For each subject and stimulus type, a set of
206 400 epochs from the total pool (2040) was selected randomly with replacement. Each epoch was trimmed to the FFR period
207 (20-110ms after sound onset), windowed (5 ms raised cosine ramp), zero-padded to 1 s to allow for a 1 Hz frequency
208 resolution, and the phase of each epoch was calculated by discrete Fourier transform. The PLV for each epoch was
209 computed by normalizing the complex discrete Fourier transform by its own magnitude and averaging across 1000
210 iterations. Mean f0 strength was taken to be the mean PLV at f0 (peak +/- 2 Hz), for each subject and stimulus (see
211 'Appendix: analysis methods' item 5, in (Zhu et al., 2013) for formulae).

212 To obtain onset latency, epochs were averaged together by polarity to correct for any effect of the cochlear microphonic (i.e.
213 negative, positive; (Wever and Bray, 1930)) and summed to form the time domain average. To select an onset peak for
214 analysis, we generated a grand average for each stimulus across all subjects, and compared individual waveforms with it, as
215 suggested in (Skoe and Kraus, 2010); we selected wave A for further analysis for replicability across subjects. An
216 experienced rater who was blind to subject identity and group selected wave A peak latencies for each subject and condition
217 by visual inspection. These were confirmed by a custom automatic algorithm (Spearman's correlation between the manually
218 and automatically selected wave A latency for the speech stimulus: $r_s = 0.98$, $p < 0.001$; piano stimulus: $r_s = 0.85$, $p < 0.001$).
219 Manually selected latencies were deemed to be similar yet were preferred, as it was sometimes necessary for the less clear
220 piano onset to select between two local peaks.

221 Distributions of FFR-derived measures frequently fail tests of normality, as is the case here: we performed Kolmogorov-

222 Smirnov tests on the FFR-f0 and wave A latency for each condition, and in each case rejected the hypothesis of a normal
223 distribution ($p < 0.05$). Non-parametric statistics were therefore used unless otherwise specified. We compared FFR-f0 and
224 wave A latency across musicians and nonmusicians using one-tailed Wilcoxon rank sum tests, and assessed correlations
225 between start age and total practice hours, and FFR-f0 strength and wave A latency using Spearman's rho; r_s .

226 **Anatomical data.** Between the first and second functional imaging run, we recorded whole-head anatomical T1-weighted
227 images (MPRAGE, voxel size 1mm3). FreeSurfer was used to automatically segment each brain (Fischl et al., 2002; RRID:
228 SCR_001847). Between the second and third run, we recorded diffusion-weighted images (DWI; 99 directions, voxel size
229 2.0mm^3 , 72 slices, TE 88 ms, TR 9340 ms, $b = 1000\text{s/mm}^2$). Diffusion-weighted images were corrected for eddy current
230 distortions, brains were extracted from unweighted images, and a diffusion tensor model was fit using FSL's 'dtifit' function
231 to obtain voxelwise maps of the diffusion parameters (fractional anisotropy, mean diffusivity, and axial diffusivity; RRID:
232 SCR_002823). Radial diffusivity was calculated as the mean of the second and third eigenvalues of the diffusion tensor.
233 Regions of interest below the grey matter that were identified as Heschl's gyrus and sulcus by FreeSurfer segmentation
234 (Destrieux et al., 2010)) were created for each hemisphere by transforming surface labels from each participant's native
235 space into their diffusion-weighted volume space, projecting the labels to a depth of 2mm (parallel to the cortical surface),
236 and visually confirming that voxels lay in white matter for each participant; these masks are used to address questions of
237 lateralization and relationships to fMRI and EEG results in white matter that is most directly related to the auditory cortex
238 (Shiell and Zatorre, 2016). Transformation matrices were calculated between DWI space and structural space (T1-weighted
239 image, FLIRT, 6 degrees of freedom) and to a 1mm FA template (FMRIB58_FA_1mm, FLIRT, 12 degrees of freedom),
240 concatenated, and their inverses used to transform individual Heschl's gyrus and sulcus masks to diffusion space to extract
241 diffusion measures.

242 To address research questions about possible differences in the microstructure of white matter underlying regions of the
243 auditory cortex that were found to be sensitive to onset timing, we first evaluated correlations between onset latency in the
244 Speech condition and two measures of white matter microstructure, fractional anisotropy (FA) and mean diffusivity (MD),
245 in each white matter region of interest (corrected for multiple comparisons, $\alpha = 0.05/4$). To better understand the mean
246 diffusivity result, we also assessed correlations between onset latency in the Speech condition and sub-components of mean
247 diffusivity: axial diffusivity (AD) and radial diffusivity (RD). To assess the lateralization of the observed mean diffusivity
248 finding, we statistically compared the correlations in each auditory cortex using Fisher's r-to-Z transformation (Steiger,

249 1980). Finally, we predicted a negative correlation between BOLD response and MD values in the left auditory cortex based
250 on the BOLD-onset and onset-MD correlations, and tested this relationship for statistical significance using Spearman's rho
251 (one-tailed).

252 **Results**

253 **Attention control**

254 Subjects correctly identified most of the blocks as either containing oddball (quieter) stimuli or not during both sessions
255 (EEG mean accuracy = 85.3%, SD = 11.2; fMRI mean accuracy = 90.9%, SD = 8.7); this served to confirm that subjects
256 were attending to the stimuli.

257 **Regression of FFR-f0 with BOLD fMRI data**

258 **Speech condition.** In the Speech > Relative Silence contrast, FFR-f0 strength was significantly correlated with BOLD
259 signal in the right (but not left) posterior auditory cortex / planum temporale (Fig. 3a,b; the group of significant voxels has a
260 volume of 128 mm³ and is centred at: x = 60, y = -34, z = 14 mm; 2mm MNI152 space; Z = 3.99). Musicians showed
261 significantly stronger BOLD responses than non-musicians within the region identified as being significantly sensitive to
262 FFR-f0 strength (Wilcoxon rank sum test, one-tailed: Z = 2.15, p = 0.016; musician mean: 0.53% change of parameter
263 estimate (SD = 0.50); non-musician mean: 0.16% (SD = 0.47)), although the between-group differences in FFR-f0 strength
264 did not reach significance (Z = 0.24, p = 0.4; musician mean PLV: 0.14 (SD = 0.06); non-musician mean PLV: 0.12 (SD =
265 0.04).

266 **Piano condition.** In the Piano > Relative Silence contrast, FFR-f0 was significantly correlated with BOLD signal in the
267 right AC region (the group of significant voxels has a volume of 112 mm³ and is centred at: x = 52, y = -34, z = 12 mm;
268 2mm MNI152 space; Z = 4.10; Fig. 3). The conjunction analysis revealed that the majority of the region identified as
269 sensitive to FFR-f0 in the Speech condition was also significantly related to FFR-f0 in the Piano condition (i.e. 112 mm³
270 out of 128 mm³). As in the Speech condition, musicians showed significantly stronger BOLD responses than non-musicians
271 within the region identified as being significantly sensitive to FFR-f0 strength (Wilcoxon rank sum test: Z = 2.15, p = 0.016;
272 musician mean: 0.37% (SD = 0.54); non-musician mean: 0.07% (SD = 0.44)). The between-group differences in FFR-f0
273 strength did not reach significance, although a trend was suggested (Z = 1.50, p = 0.067; musician mean PLV: 0.09 (SD =
274 0.04); non-musician mean PLV: 0.07 (SD = 0.02).

275 In addition to the right AC area, several voxels within the left hemisphere ROI at the extreme anterior end were found to be
276 significantly related to FFR-f0 strength. This region does not overlap with the left auditory cortex FFR-f0 generator derived
277 from the MEG, nor does it appear to be in homologous regions the the right auditory cortex finding, but for completeness we
278 explored this finding by inspecting the statistical maps from each condition in the vicinity of the ROI borders. The left
279 anterior group of significant voxels was located in the posterior division of the superior temporal sulcus (centre: $x = -66$, $y =$
280 18 , $z = -2$ mm; 2mm MNI152 standard brain; $Z = 4.1$). A similar group of significant voxels was also present in the Speech
281 vs. Relative Silence condition (maximum: $x = -58$, $y = -16$, $z = -4$ mm, $Z = 2.73$). One additional group was found in the left
282 posterior parietal operculum, outside of the ROI (Piano > Relative Silence condition: $x = -46$, $y = -40$, $z = 24$ mm; $Z = 2.78$;
283 Speech > Relative Silence condition: $x = -48$, $y = -40$, $z = 26$ mm; $Z = 3.52$). Significant voxels did not appear in the right
284 hemisphere homologue structures in either condition, nor did there appear to be other f0-sensitive areas near the right
285 hemisphere ROI borders.

286 **Regression of onset latency with BOLD fMRI data**

287 *Speech condition.* In the Speech > Relative Silence contrast, longer wave A latencies were correlated with greater BOLD
288 signal in the left (but not right) Heschl's sulcus (Fig. 4; the group of significant voxels has a volume of 40 mm³ and is
289 centred at: $x = -42$, $y = -32$, $z = 6$ mm; 2mm MNI152 space; $Z = 4.29$; Fig. 5 a,c). BOLD signal was not significantly related
290 to shorter latencies, which are considered to index better functioning, in any regions. We did not observe a difference
291 between musicians and non-musicians in BOLD response within the area sensitive to wave A latency (Wilcoxon rank sum
292 test: $Z = -0.73$, $p = 0.46$), nor in the wave A latency values ($Z = -0.41$, $p = 0.34$).

293 *Piano condition.* No areas were significantly related to piano wave A onset latency in the Piano > Relative Silence contrast.
294 Although a sub-threshold peak was observed within the area sensitive to latency in the Speech condition ($x = -42$, $y = -32$, z
295 $= 10$; $Z = 2.35$; 2mm MNI152; see the conjunction (green) in Fig. 4 for location), we carry out secondary analyses relating to
296 onset latency only in the significant Speech condition.

297

298 **Onset latency and microstructure of white matter underlying auditory cortex**

299 Onset latency in the Speech condition was significantly correlated with average MD values within the white matter ROI
300 underlying Heschl's gyrus and sulcus in the left hemisphere (two-tailed, corrected for multiple comparisons, $\alpha = 0.05/4$;
301 $r_s = -0.56$, $p = 0.004$; Fig. 5a), but not in the right hemisphere ($r_s = -0.25$, $p = 0.22$; Fig. 5c). The correlation between onset

302 latency and MD was significantly greater in the left than the right hemisphere (Fisher's r-to-z transformation, one-tailed, $Z =$
303 1.765 , $p = 0.039$). Significant relationships between onset latency and mean FA were not observed in the left hemisphere
304 ROI ($r_s = -0.19$, $p = 0.36$), nor right hemisphere ROI ($r_s = -0.10$, $p = 0.62$).

305 Both axial diffusivity and radial diffusivity showed similar patterns in their relationships to onset latency as did mean
306 diffusivity in the left hemisphere (AD vs. onset: $r_s = -0.62$, $p = 0.0008$; RD vs. onset latency: $r_s = -0.45$, $p = 0.024$), and no
307 significant relationship in both cases in the right hemisphere (AD vs. onset: $r_s = -0.24$, $p = 0.25$; RD vs. onset latency: $r_s = -$
308 0.22 , $p = 0.29$).

309 If a greater BOLD response and lower MD are both indices of neural conduction inefficiency, then we would predict a
310 negative correlation between MD under left Heschl's gyrus and BOLD response in the overlying grey matter. This is in fact
311 the case ($r_s = -0.42$, $p = 0.019$). Musicians did not differ significantly from non-musicians in MD on either side (reported
312 values are two-tailed; left: $Z = 0$, $p = 1.0$; right: $Z = 0.14$, $p = 0.89$).

313

314 **Fine frequency discrimination**

315 *Assessment of fine frequency discrimination skills.* The mean fine frequency discrimination threshold (FF) was 1.40%
316 overall, ($SD = 1.45$). Musicians had lower fine frequency discrimination thresholds than non-musicians as expected
317 (musician mean: 0.58%, $SD = 0.37$; non-musician mean: 2.29%, $SD = 1.66$; $Z = 3.40$, $p < 0.001$). The BOLD signal
318 strength extracted from the FFR-f0 sensitive region was not significantly correlated with fine frequency discrimination
319 (reported p-values are one-tailed; Speech condition: $r_s = -0.18$, $p = 0.19$; Piano condition: $r_s = -0.13$, $p = 0.27$) and nor was
320 frequency discrimination and BOLD signal significantly related within the FFR-f0-sensitive auditory cortex regions (Speech
321 condition: $r_s = -0.31$, $p = 0.06$; Piano condition: $r_s = -0.24$, $p = 0.12$).

322

323 **Discussion**

324 Our results demonstrate that hemodynamic activity in the right posterior auditory cortex is sensitive to FFR-f0 strength, a
325 finding that was replicated in two separate stimulus sets with and without energy at the fundamental frequency, and which
326 conforms to predictions arising from our prior MEG study (Coffey et al., 2016c). The right-lateralized FFR-f0-sensitive
327 region was dissociable from a left-lateralized region in Heschl's sulcus that was sensitive to the latency of the onset

328 response. This finding was further supported by a significant relationship between onset latency and the microstructure of
329 the white matter immediately underlying primary auditory areas in the left (but not right) hemisphere, and a significant
330 correlation between BOLD response in the onset-sensitive region and mean diffusivity in underlying white matter. A
331 lateralization of the relationship between onset timing and white matter microstructure is supported by a direct comparison
332 of correlation strength.

333 *Relationship between BOLD-fMRI and FFR-f0*

334 Our primary aim was to adduce evidence in favour of a cortical source for the FFR (Musacchia et al., 2008; Coffey et al.,
335 2016c), to which end we tested the hypothesis that the FFR-f0 strength is correlated with fMRI signal in the right auditory
336 cortex. We reasoned that if inter-individual variations in FFR-f0 strength reflect differences in the coherence or number of
337 phase-locked neurons within this population, these variations should be paralleled by differences in localized metabolic
338 requirements that would manifest as an FFR-f0 sensitive area in the fMRI signal. This hypothesis was supported, and
339 further corroborates preliminary reports of an FFR-like signal measured intracranially from the auditory cortex (Bellier et
340 al., 2014). Together with previous MEG work (Coffey et al., 2016c), our data suggest that findings based on the FFR-f0
341 should not be assumed to have purely brainstem origins. Because these findings are in agreement with the conclusion based
342 on MEG data that there is a cortical component to the FFR, it also supports the use of the new MEG-FFR method to observe
343 the sources of the more commonly used scalp-recorded EEG-FFR.

344 That two independent stimuli result in overlapping areas of FFR-f0 sensitivity, whether f0 energy is present in the auditory
345 signal or not, suggests that the sound representation within this region may be involved in computation of pitch at an
346 abstract level. Missing fundamental stimuli are known to produce FFRs with energy at the fundamental frequency (Smith et
347 al., 1978; Galbraith, 1994), and inter-individual variability in f0 strength is related to inter-individual variability and
348 conscious control of missing fundamental perception, though not in a linear manner (Coffey et al., 2016b). Together, these
349 results raise the possibility that top-down task modulation and perhaps experience-related modulation of FFR-f0 strength
350 observed previously (e.g. (Musacchia et al., 2007; Lehmann and Schönwiesner, 2014)) could be occurring at the level of the
351 auditory cortex, although it does not rule out the possibility that the strength of sub-cortical FFR-f0 components are also
352 modulated concurrently. The right auditory cortex has been implicated previously in missing fundamental pitch computation
353 (Schneider and Wengenroth, 2009): patients with right temporal-lobe excisions that include the right lateral auditory cortex
354 have difficulty perceiving the missing fundamental (Zatorre, 1988), and asymmetry in grey matter volume in lateral

355 Heschl's gyrus is related to pitch perception bias (Patel and Balaban, 2001; Schneider et al., 2005). While the FFR-f0 is
356 likely not a direct representation of pitch (Gockel et al., 2011), our results further connect the FFR's pitch-bearing
357 information to processes taking place in auditory cortex regions that represent pitch in an invariant fashion (Penagos, 2004;
358 Bendor and Wang, 2006; Norman-Haignere et al., 2013).

359 *Relationship between BOLD-fMRI and onset response latency*

360 The onset response and the FFR-f0 may be represented in different auditory streams (Kraus and Nicol, 2005), as each
361 measure co-varies with distinct behavioural and clinical measures (Kraus and Nicol, 2005; Skoe and Kraus, 2010); we
362 therefore wanted to test for a dissociation in the cortical areas sensitive to each measure. However, the mechanistic basis for
363 predicting a greater fMRI signal with a greater amplitude (as in the FFR-f0 analysis) does not hold true for latencies; we do
364 not expect shorter onset latencies to necessarily relate to a larger population of neurons firing and therefore greater
365 metabolic requirements that would be reflected in the BOLD signal, nor could onset-related sensitivity be directly related to
366 the generation of the onset response, which occurs in the brainstem before sufficient time has elapsed for neural
367 transmission to the cortex (Parkkonen et al., 2009). We therefore tested both positive and negative relationships. We found
368 only a significant negative relationship: greater BOLD responses are related to longer latencies in left auditory cortex.

369 In order to confirm this result and partly inform a mechanistic explanation, we investigated the microstructure of white
370 matter in regions of interest directly underlying Heschl's gyrus and sulcus. In a study of the relations between task-related
371 BOLD signal in human grey matter and measures of white matter microstructure, Burzynska et al. reported that greater
372 microstructural integrity of major white matter tracts was negatively related to BOLD signal, which was interpreted as
373 better quality of structural connections allowing for more efficient use of cortical resources (Burzynska et al., 2013). If a
374 similar mechanism is at work here, we would expect that the BOLD sensitivity to onset latency should be paralleled by a
375 relationship between WM microstructure and onset latency, and this relationship should also show a left lateralization. We
376 confirmed these relationships in the mean diffusivity measure (corroborated in radial and axial diffusivity sub-components)
377 but not the fractional anisotropy measure. FA is a measure of relative degree of sphericity vs. linearity of the diffusion
378 tensor, which may not be as relevant a measure in white matter underlying GM as in major white matter tracts, due to the
379 presence of association fibres. Although the nature of the observed structural sensitivity to onset latency in the white matter
380 at the cellular level cannot be ascertained from diffusion-weighted data, the direction of the observed relationships between
381 onset latency, BOLD signal, and diffusivity suggests that lower mean diffusivity in white matter and lower BOLD response

382 in overlying areas are associated with greater neural conduction efficiency within the ascending white matter pathways that
383 carry the onset signal to the cortex. Further work is needed to confirm the white matter finding reported here and to clarify
384 whether it reflects more extensive white matter differences throughout the ascending auditory pathway, as would be
385 predicted by the relationship to the timing of the subcortically-generated onset response.

386 *Relative lateralization*

387 We found a right-lateralized relationship between BOLD signal and FFR-f₀, and a left-lateralized relationship between
388 BOLD signal and onset latency (which was supported by a lateralization in underlying white-matter structure). Our results
389 are in agreement with previous evidence of a relative specialization of the right AC for aspects of pitch and tonal processing
390 (Zatorre, 1988; Zatorre and Belin, 2001; Patterson et al., 2002; Hyde et al., 2008; Mathys et al., 2010; Albouy et al., 2013;
391 Herholz et al., 2015; Matsushita et al., 2015; Cha et al., 2016). There is also experimental evidence for a complementary left
392 AC specialization for aspects of temporal resolution (reviewed in (Zatorre et al., 2002; Poeppel, 2003; Wong et al., 2008)),
393 although the interpretation of such findings and how they relate to linguistic processes is controversial (Scott and
394 McGettigan, 2013). Nonetheless, the pattern of results reported here, particularly that onset response timing is related to
395 both BOLD response in primary auditory cortex grey matter and in the structural properties of underlying white matter in
396 the left but not right hemisphere, does favour the proposal of a relative specialization for enhanced temporal resolution in
397 the left auditory cortex. Further work is needed to determine where in lower levels of the auditory system this lateralization
398 first emerges.

399 *Relationship to training and behaviour*

400 We found that BOLD signal was significantly greater in musicians for both stimuli within the FFR-f₀-sensitive area, in
401 accord with several prior studies (Pantev and Herholz, 2011), and likely reflecting enhanced processing of pitch
402 information. We found significant effects of musician training in the fMRI data. Although the FFR-f₀ effects do not reach
403 significance, differences have not been consistently observed in similar sample sizes (Musacchia et al., 2007; Wong et al.,
404 2007; Lee et al., 2009; Strait et al., 2012), possibly because they may be eclipsed by large inter-individual variations (Coffey
405 et al., 2016b). Previous work also showed clearer behavioural relationships to FFR-f₀ components that had been separated
406 by their source using MEG than to the FFR-f₀ strength measured with EEG (Coffey et al., 2016c); it is therefore possible
407 that the compound nature of the EEG signal obscures behavioural relationships of interest here.

408

409 **Conclusion**

410 Our results validate and extend the prediction from magnetoencephalography data of a right auditory cortex contribution to
411 the FFR and show a dissociation in early cortical auditory regions of the FFR-f0 and onset timing, providing further
412 evidence that the auditory cortex is both functionally and structurally lateralized. The finding that inter-individual
413 differences in FFR strength and onset latency in a population of normal-hearing young adults have cortical correlates
414 supports the idea that these measures represent variations in input quality to different higher-level cortical functions and
415 processing streams, which in turn influences perception and behaviour.

416

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559

560

561

562 **Figure legends**

563

564 **Figure 1. Auditory stimuli and averaged EEG responses.** a) and b) show the speech stimulus
565 (syllable: /da/, 98 Hz fundamental frequency) in the time and frequency domain (top) and the
566 corresponding averaged responses isolated from the EEG recordings (bottom). c) and d) show the tone
567 stimulus (piano 'G2', 98 Hz fundamental frequency) in the time and frequency domain (top) and the
568 EEG responses (bottom). The prestimulus baseline (-50 to 0ms) and the frequency-following response
569 (FFR) periods (20 to 110ms after sound onset) are marked in grey and blue, respectively.

570

571

572 **Figure 2. Auditory stimulation paradigm.** Each stimulation block consisted of twenty repetitions of
573 the same stimulus (either speech or piano), which was situated within a period of silence and jittered to
574 minimize physiological confounds (see Methods for details). The same design was used for the EEG
575 and fMRI recording sessions. In 30% of blocks, a quieter stimulus was presented in place of one of the
576 last 4 stimuli (indicated in red). Subjects were asked to indicate whether there had been an oddball after
577 each block, in order to control for attention.

578

579

580 **Figure 3. Areas within the auditory cortex that are sensitive to FFR-f0 strength.** a) Coronal,
581 sagittal, and horizontal brain slices showing statistical maps where BOLD signal was related to FFR-f0
582 strength for each stimulus set; greater FFR strength was related to higher BOLD signal in the right
583 planum temporale (Speech: orange; Piano: blue). Overlapping regions are indicated in maroon.
584 Bilateral regions of interest encompassing the auditory cortex bilaterally are delineated in pink. b) A
585 horizontal slice showing the location of FFR-f0 sensitive cortex in both conditions (maroon) in relation
586 to the previous result of a right auditory cortex contribution to the FFR-f0, from MEG (Coffey et al.,
587 2016c); note that fMRI and MEG differ in their spatial resolution.

588

589

590 **Figure 4. Areas within the auditory cortex that are sensitive to onset latency.** Horizontal, sagittal,
591 and coronal slices showing statistical maps where BOLD activity was correlated with the latency of the
592 onset response in the Speech condition (red). Shorter latencies were related to lower BOLD signal in
593 left Heschl's sulcus. No significant areas were found in the Piano condition, though a sub-threshold
594 region was observed to overlap with the Speech result ($Z = 2.35$; visible in green).

595

596

597 **Figure 5. White matter microstructure is related to onset latency.** a) Left hemisphere mean
598 diffusivity values within anatomically defined regions of interest show a significant correlation: shorter
599 latencies were related to greater mean diffusivity values. b) White matter regions of interest underlying
600 auditory cortex on a single example subject overlaid on a T1-weighted anatomical image, for
601 illustrative purposes. c) Similar analyses on the right side did not show any significant correlation. This
602 analysis was carried out only in the Speech condition, as onset latencies to the natural piano tone were
603 more variable (see Methods and Results **for details**).

604









