

# Bridging observations and numerical modelling of the ocean using machine learning

JULIEN BRAJARD, NERSC

## COLLABORATION:

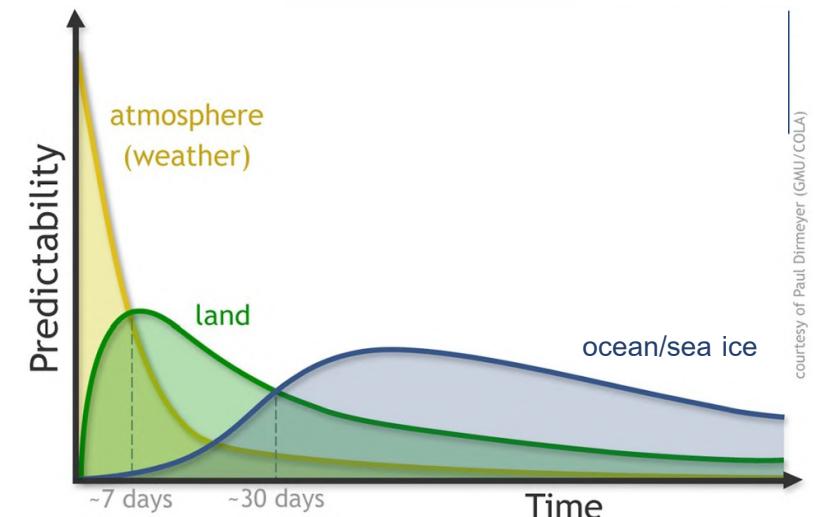
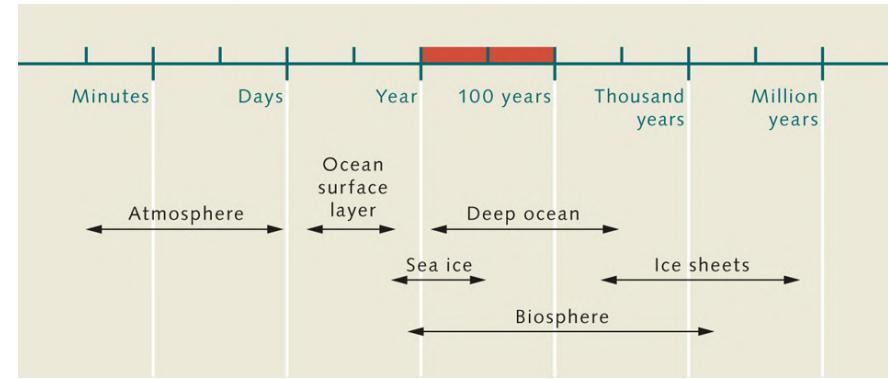
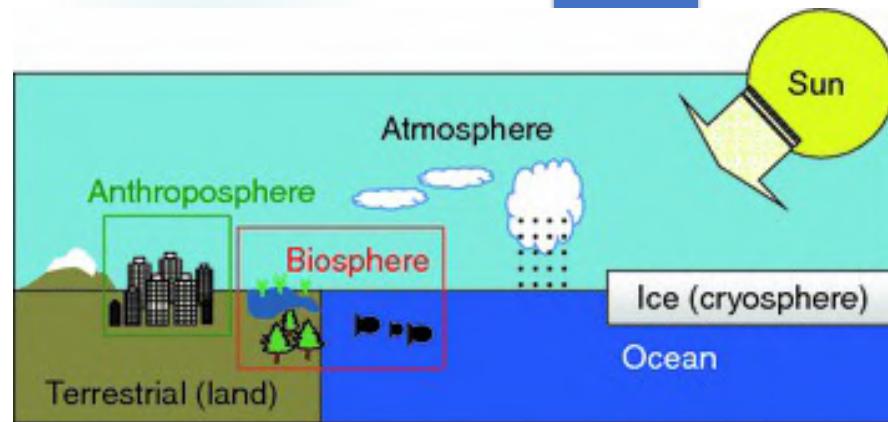
S. BARTELEMY (UIB), L. BERTINO (NERSC), M. BOCQUET (E. PONT), A. CARRASSI (U. READING), F. COUNILLON (NERSC), A. KOROSOV (NERSC), E. ÓLASON (NERSC)



<https://github.com/brajard/ai4good-mlocean>

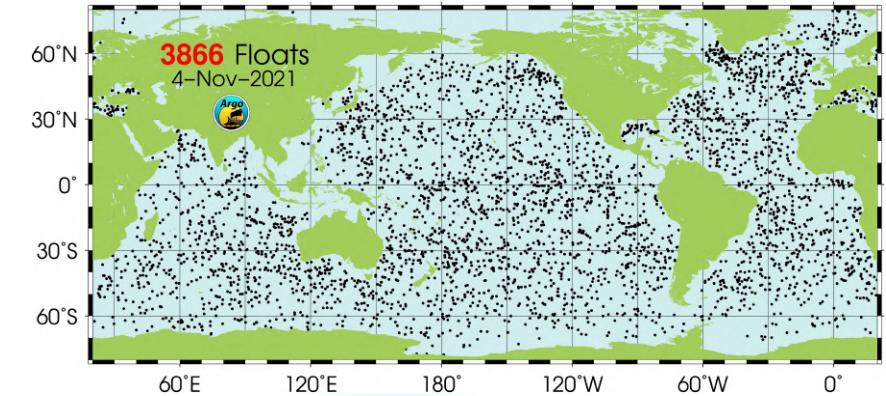
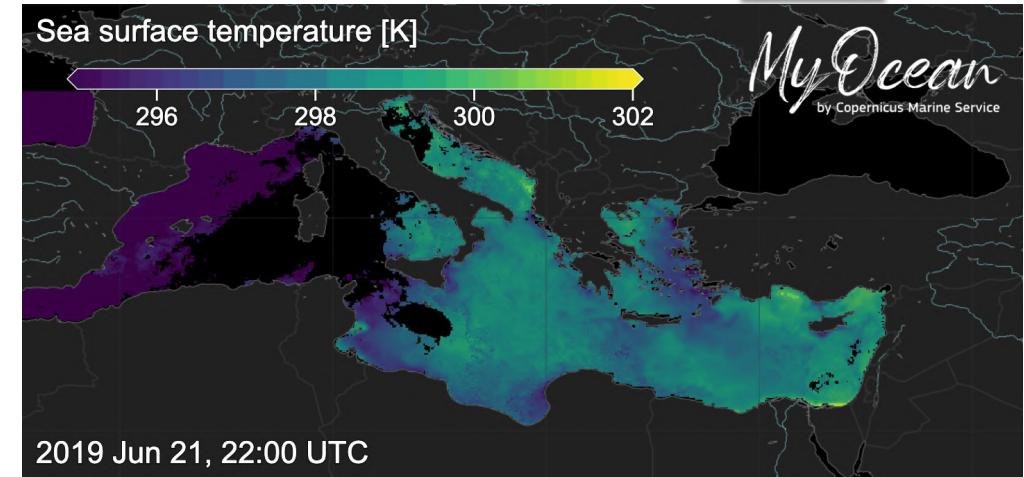
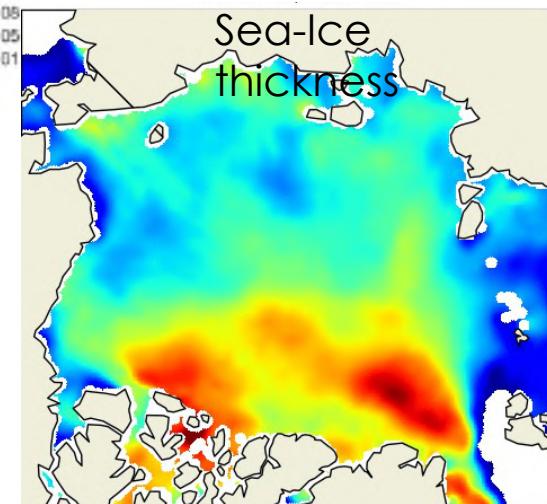
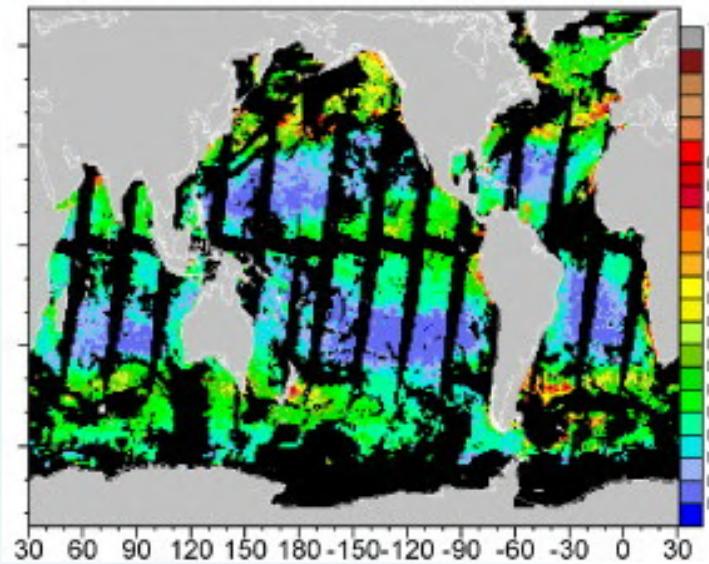
# Role of the ocean and the sea-ice in the climate system

- ▶ The ocean and the sea-ice (O+SI) are 2 components of the **climate system**.
- ▶ O+SI contain **large spatial and temporal scales** (>YEAR) that are particularly relevant for climate studies.
- ▶ O+SI are a **source of predictability** for the next decade.



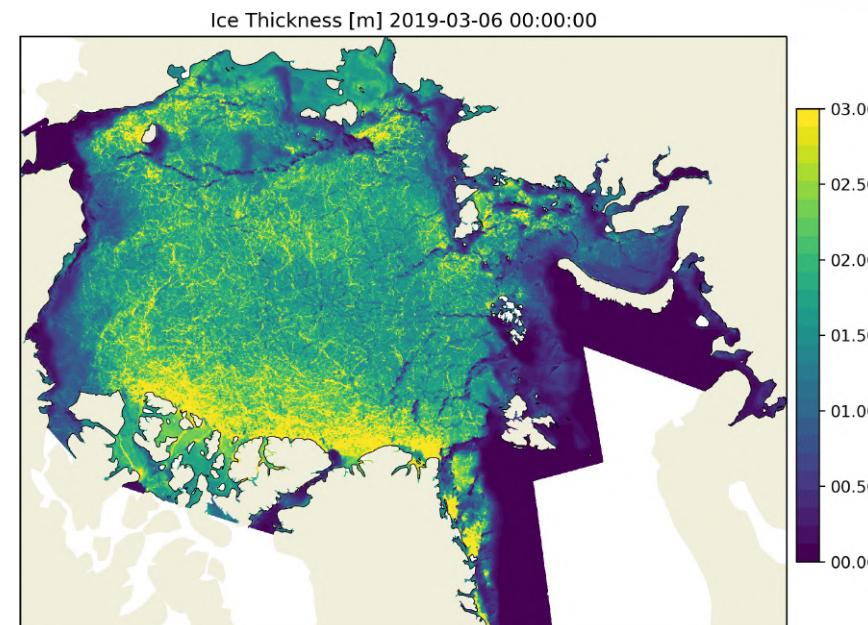
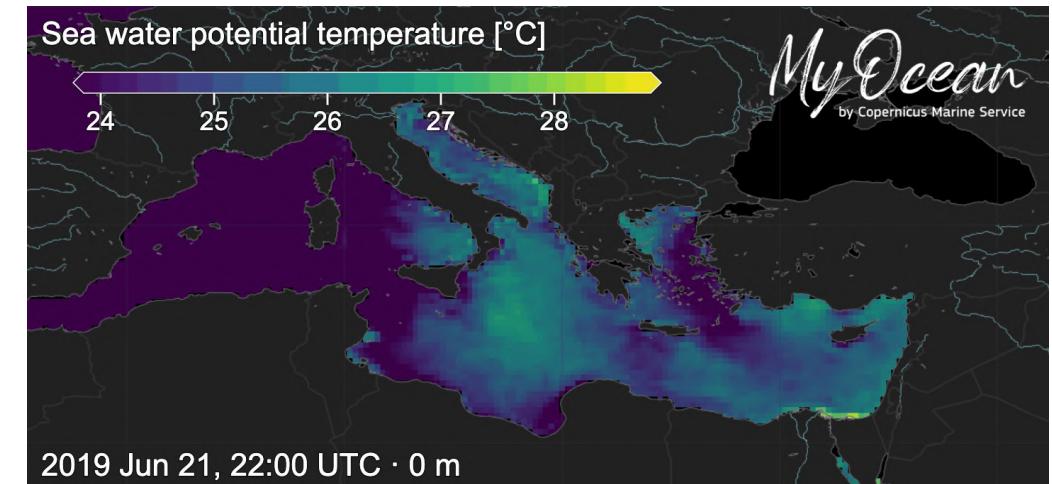
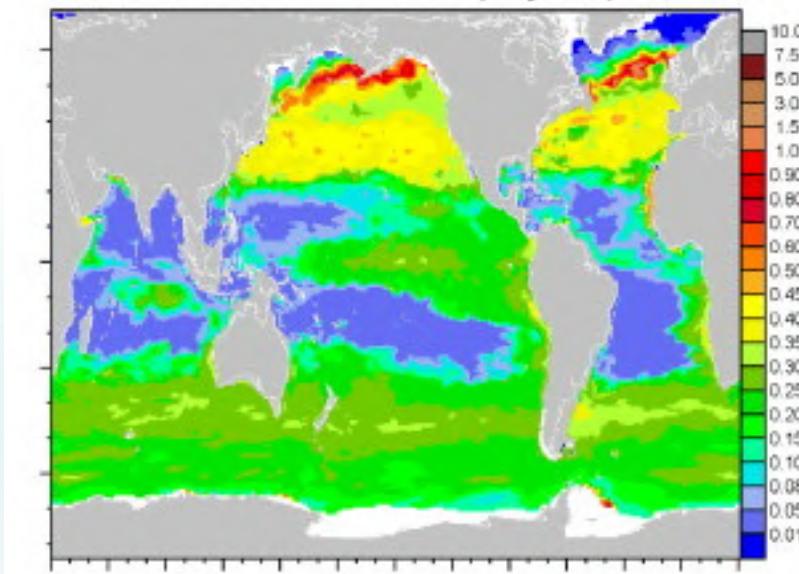
# First source of knowledge: observations

Daily SeaWiFS Chlorophyll Apr 1 2001



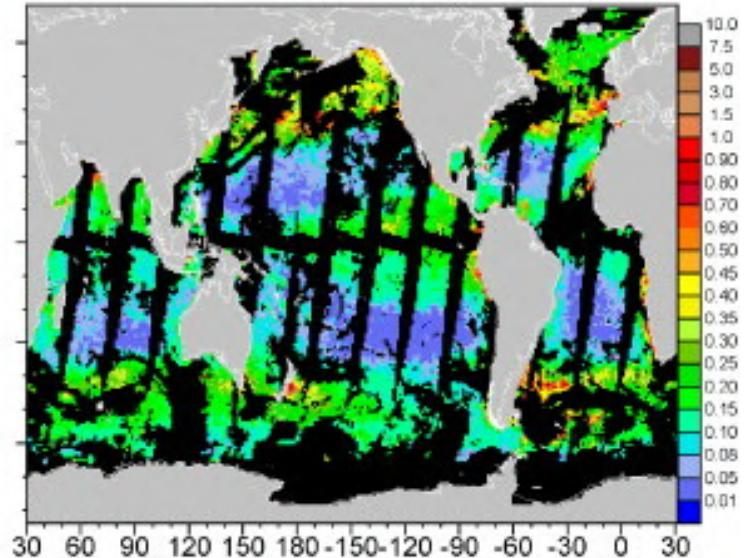
# First source of knowledge: numerical models

Free Run Model Chlorophyll Apr 1 200



# Comparison

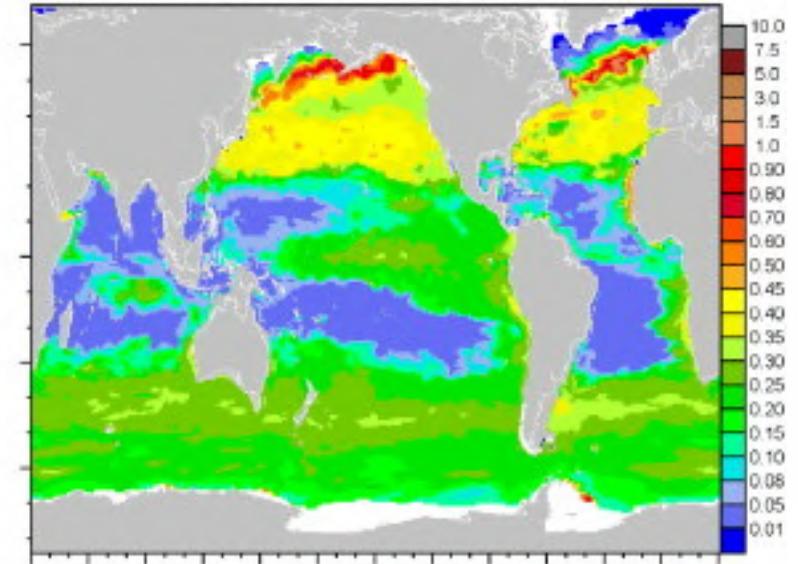
Daily SeaWiFS Chlorophyll Apr 1 2001



## Observations

- ▶ **Sparse**
- ▶ **Noisy**

Free Run Model Chlorophyll Apr 1 2000

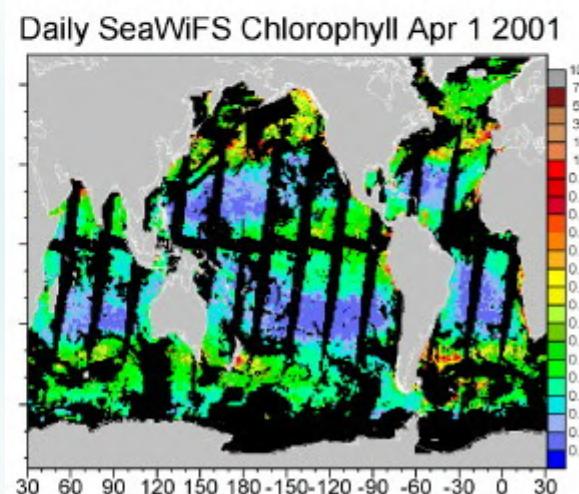


## Numerical model

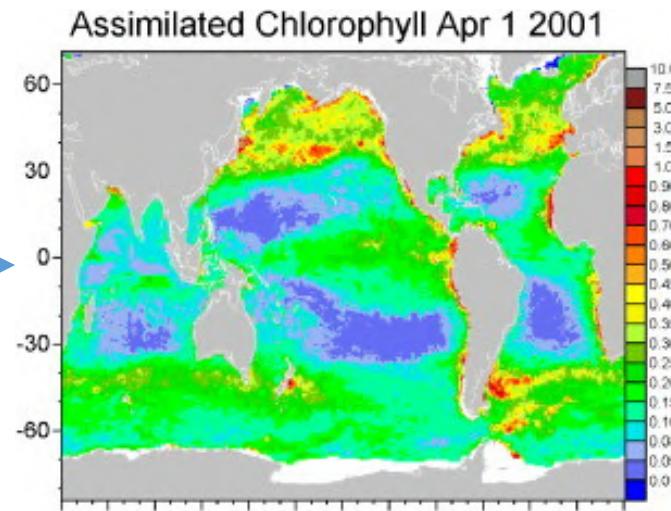
- **Biased**
- **Low-resolution** (for climate studies)
- Due to the chaotic nature, model will **diverge from the reality** after some time

# Merging model/observation: data assimilation

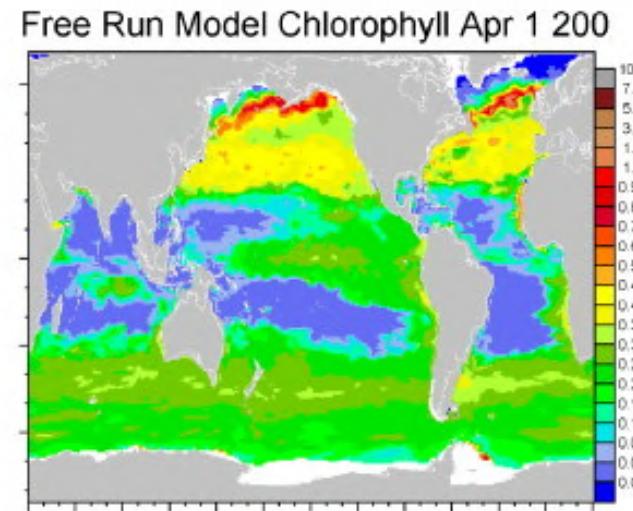
**“The very best way to make a forecast out of a numerical model and a set of observations.”**



Observations



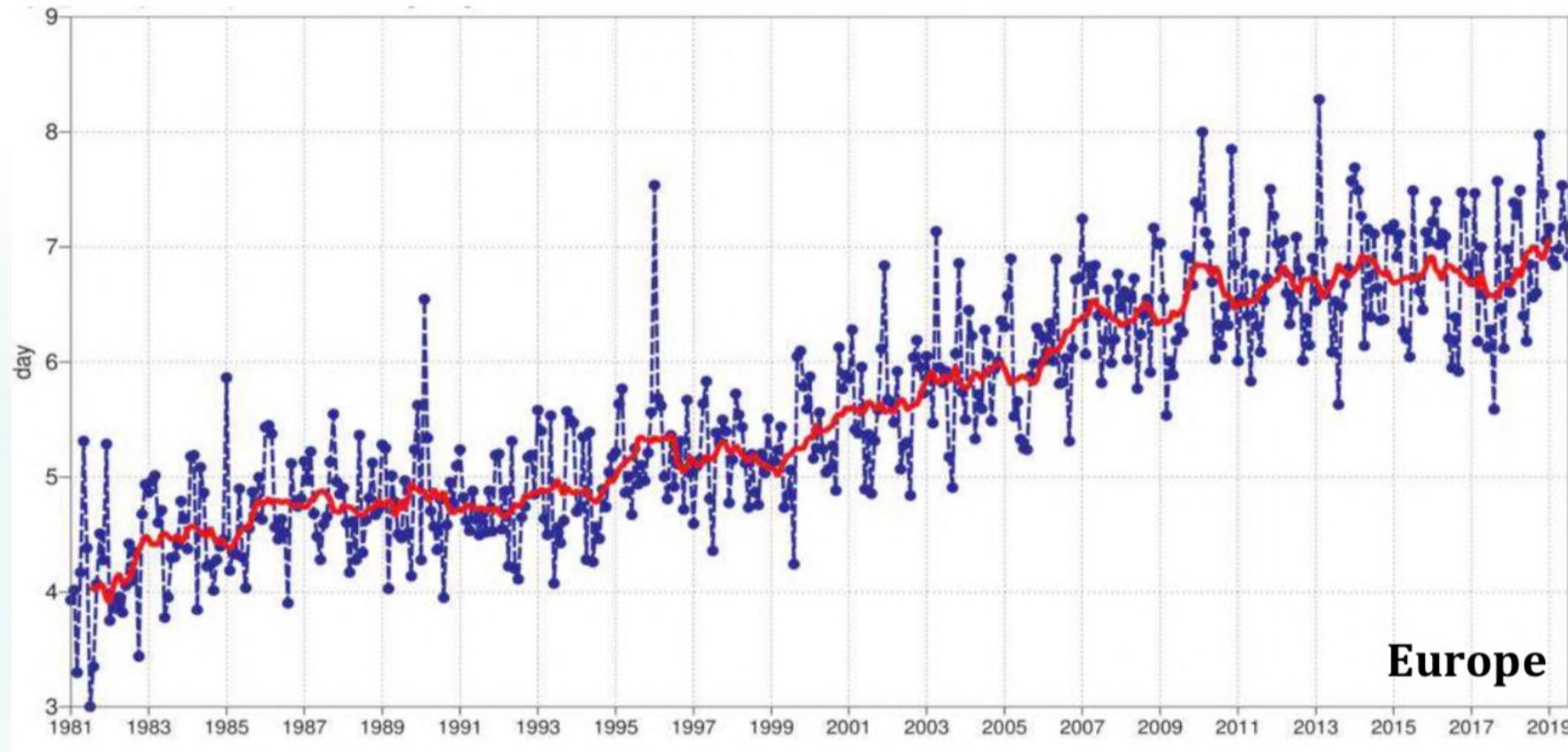
“Analysis” from  
data assimilation



Numerical model

# Some achievements of DA

Horizon of prediction (in days) of the weather from ECMWF



# What data assimilation does and does not?

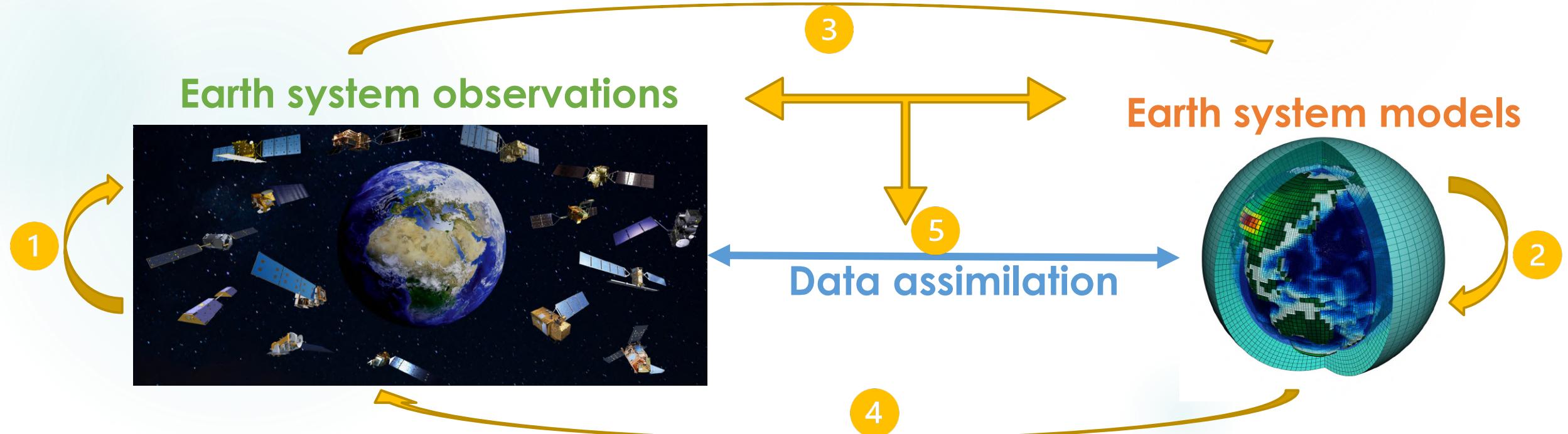
- ▶ Good at reconstructing **initial conditions** for forecast
- ▶ Handle **noisy and sparse observations**
- ▶ Give an accurate estimation of the **uncertainty**

## BUT:

- ▶ Does **not “learn”**. The model is not "smarter" from the observations
- ▶ Some information contained in the observations is **lost**. (e.g. if observations are at a higher resolution than the model).

# Machine learning to the rescue?

- 1 Learn from observations and apply to observations (**data-driven model, nowcasting, inference, interpolation, ...**)
- 2 Learn from model and apply to model (**emulators, improved parametrization, ...**)
- 3 Learn from observations and apply to model (**post-processing bias correction, ...**)
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- 5 Learn at the intersection between observation and model via data assimilation (**improved parametrization, extend data assimilation, ...**)



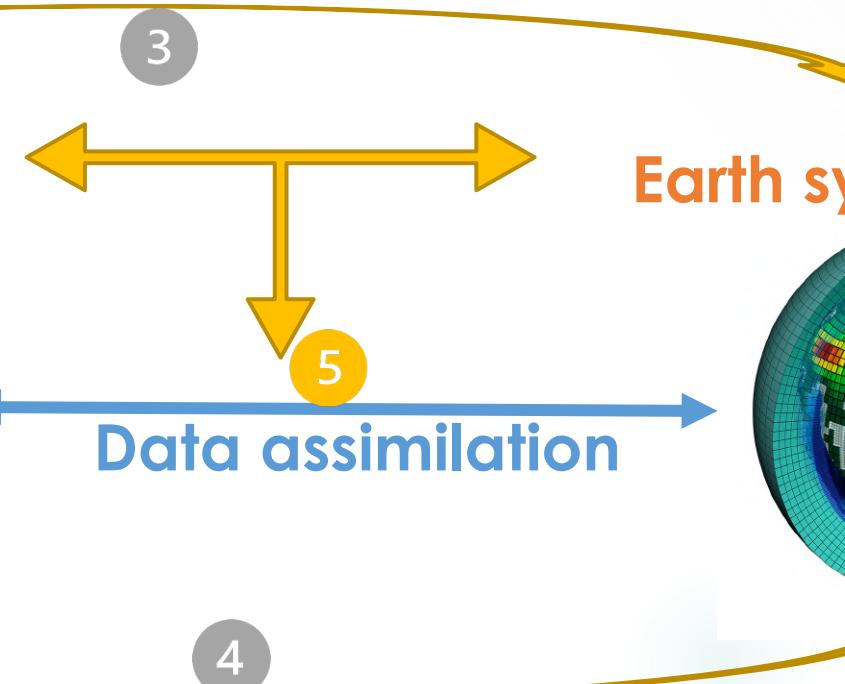
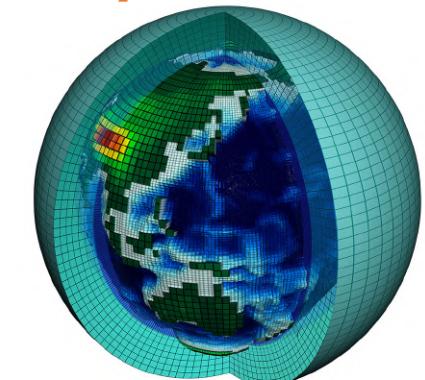
# Machine learning to the rescue?

10

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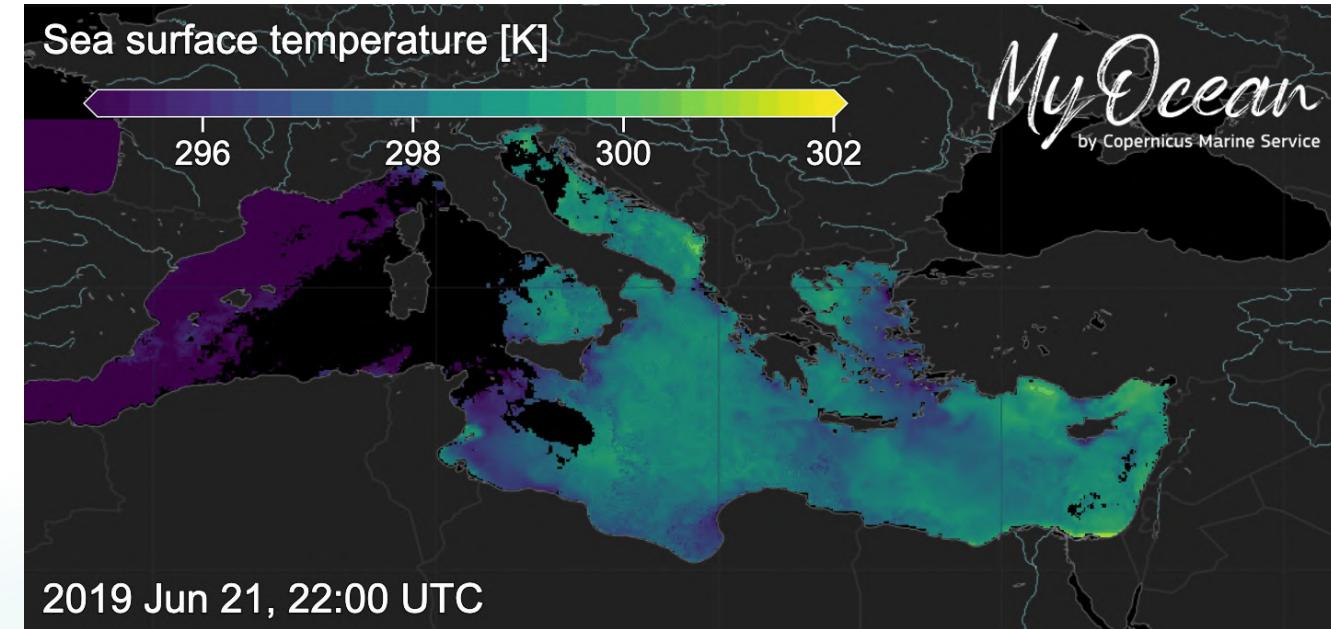


Earth system models

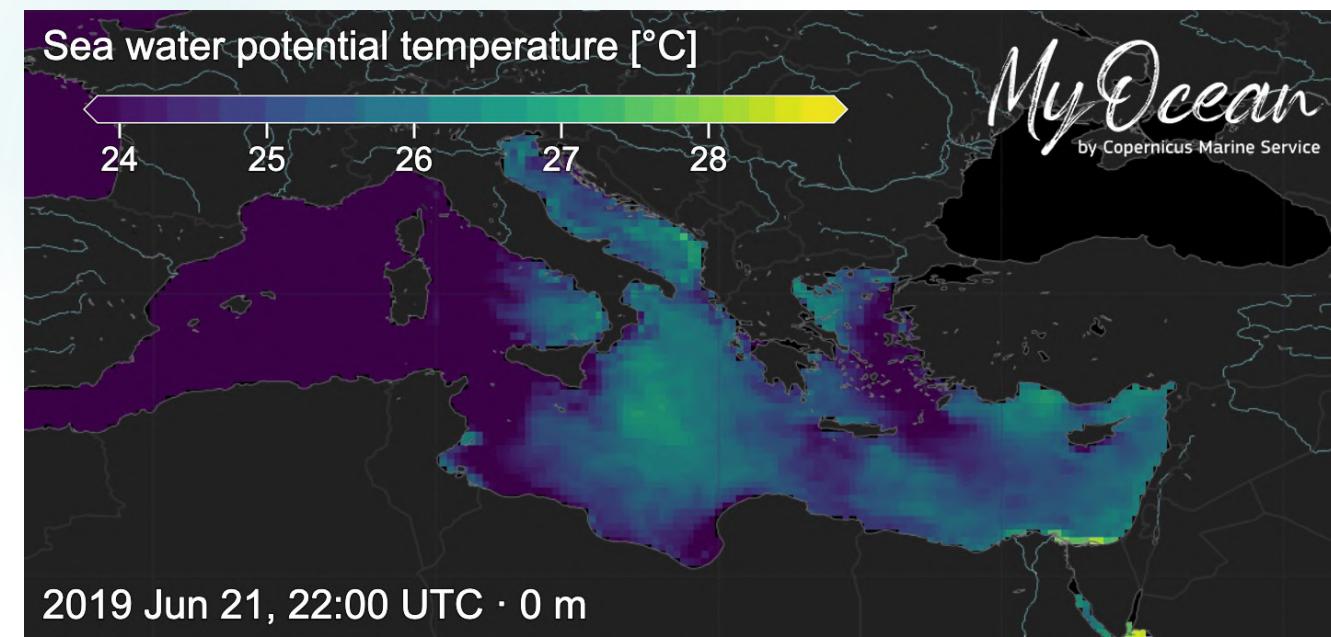


# First application: extend data assimilation

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Observation (high-resolution)

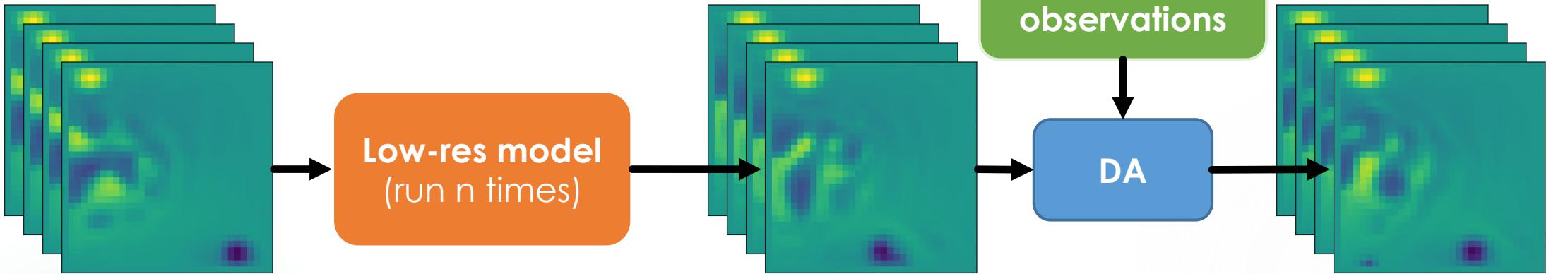


Model output (lower resolution)

# Motivation and method

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## The ensemble Kalman filter (low-resolution):



① Low-res initial conditions

② Low-res forecast

④ Low-res corrected  
forecast (analysis)

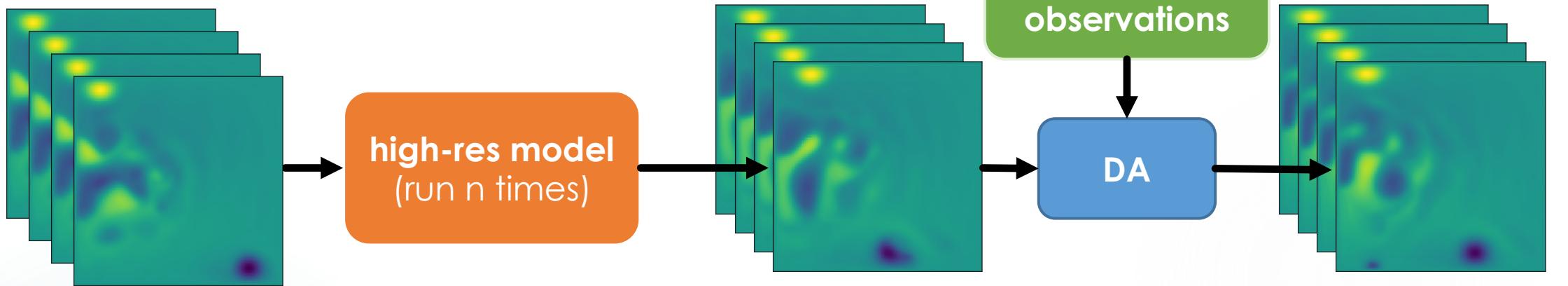
|                    | EnKF low-res      |
|--------------------|-------------------|
| Computational cost | Low ✓             |
| Ensemble size      | Big ✓             |
| Observation error  | High ✗            |
| High-res processes | Poorly resolved ✗ |

# Motivation and method

③

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## The ensemble Kalman filter (high-resolution):



① High-res initial conditions

② High-res forecast

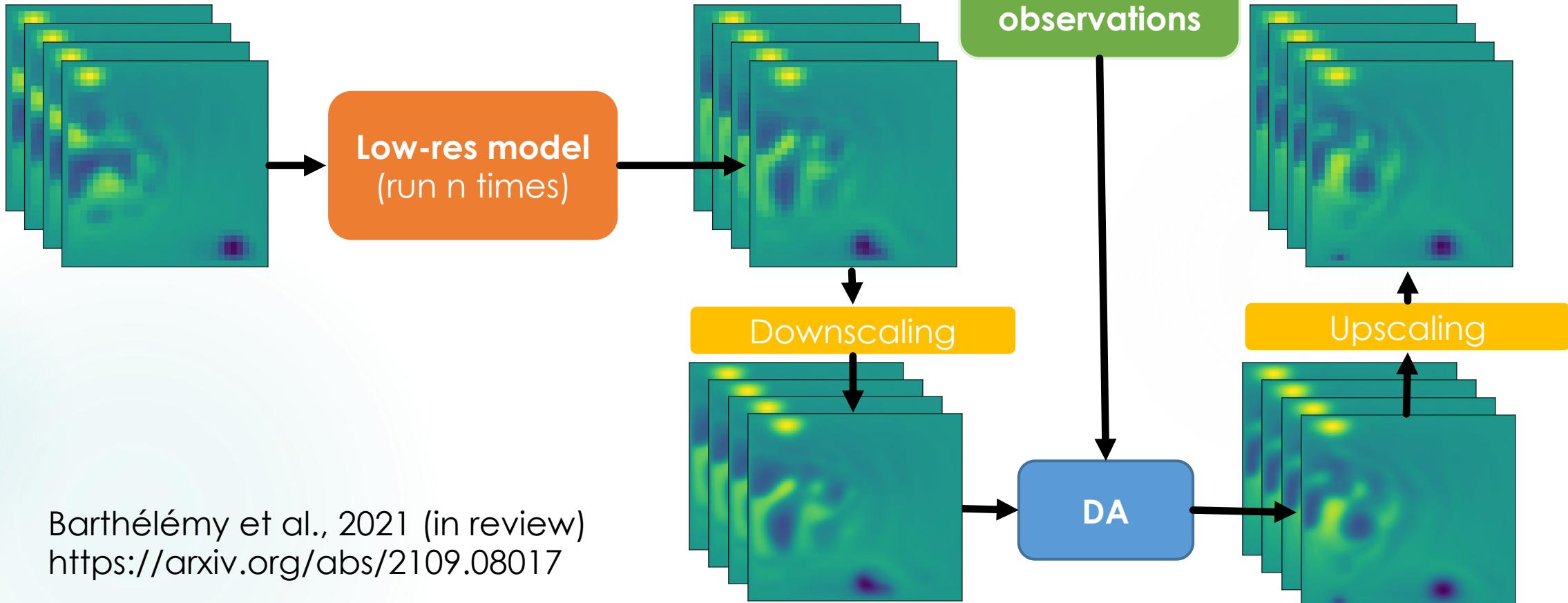
④ High-res corrected  
forecast (analysis)

|                    | EnKF low-res      | EnKF high-res |
|--------------------|-------------------|---------------|
| Computational cost | Low ✓             | High ✗        |
| Ensemble size      | Big ✓             | Small ✗       |
| Observation error  | High ✗            | Low ✓         |
| High-res processes | Poorly resolved ✗ | Resolved ✓    |

# Motivation and method

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## Super-resolution data assimilation (SRDA)



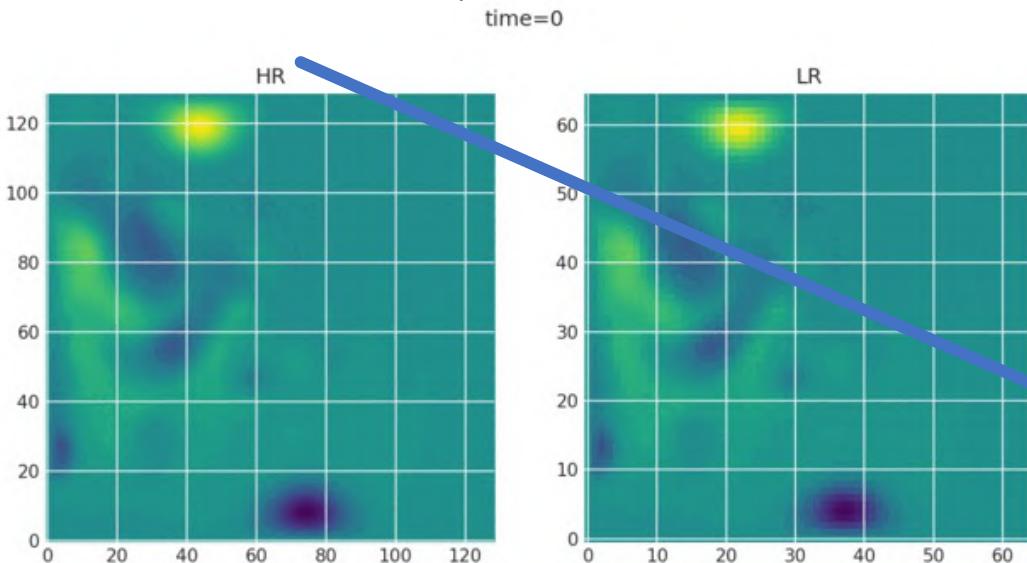
Barthélémy et al., 2021 (in review)  
<https://arxiv.org/abs/2109.08017>

|                    | EnKF low-res    | EnKF high-res | SRDA     |
|--------------------|-----------------|---------------|----------|
| Computational cost | Low             | High          | Low      |
| Ensemble size      | Big             | Small         | Big      |
| Observation error  | High            | Low           | Low      |
| High-res processes | Poorly resolved | Resolved      | Emulated |

# Setup a numerical experiment

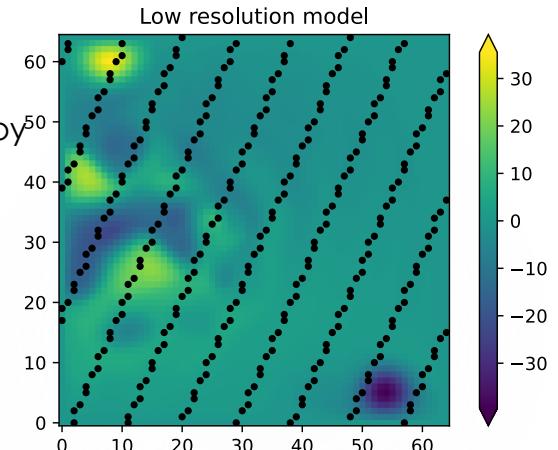
## The model

A quasi-geostrophic model (represents the motion of the ocean surface)



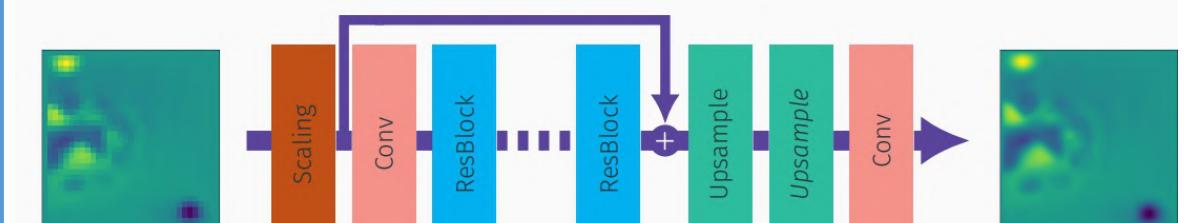
## The observations

- ▶ **Synthetic observations:** Produce by high-resolution model (we know the expected result!)
- ▶ Mimic satellite altimeter observations
- ▶ **Sparse** and **noisy**



## Downscaling

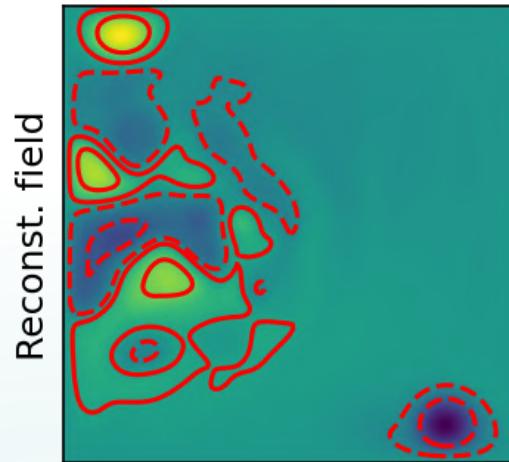
- ▶ A simple cubic spline **interpolation**
- ▶ A **neural network** trained using a free high-res simulation



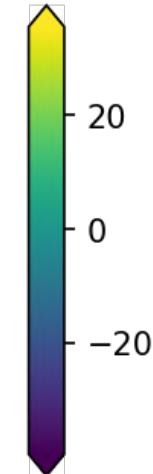
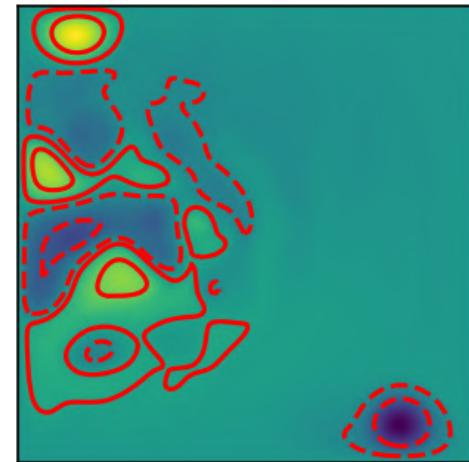
# Downscaling performance

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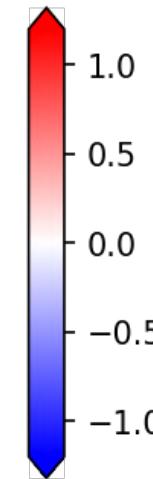
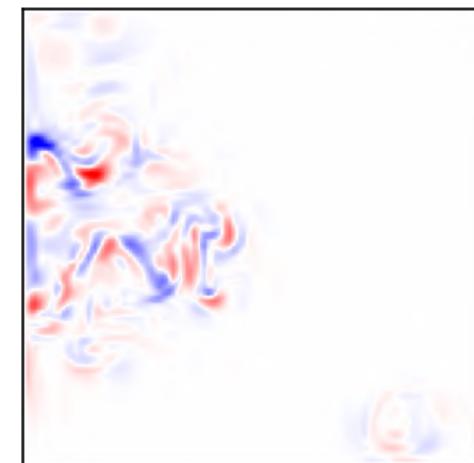
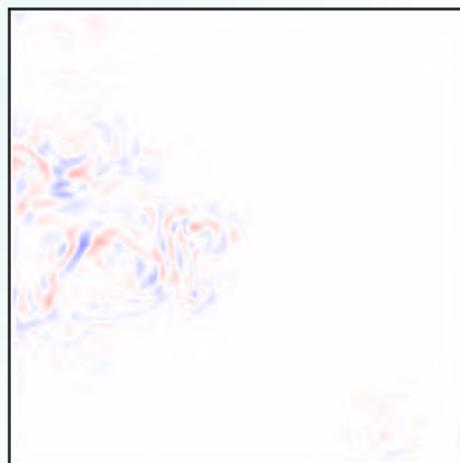
Neural network



Simple interpolation

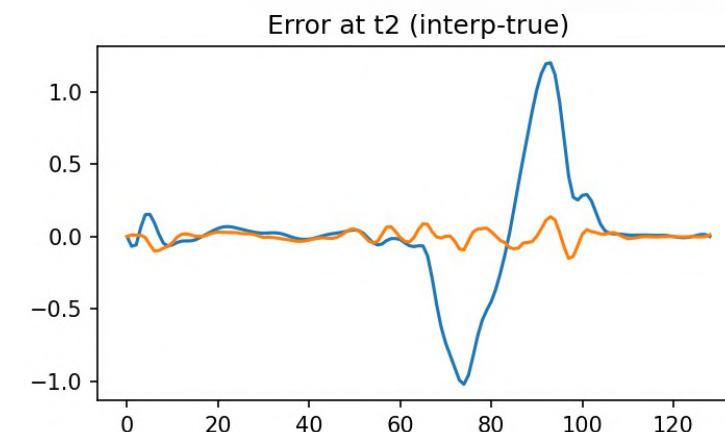
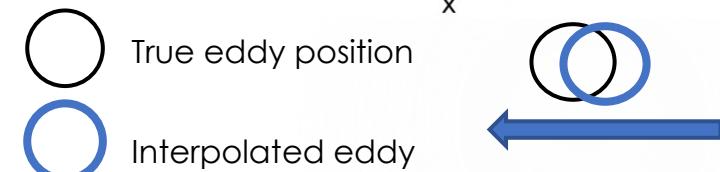
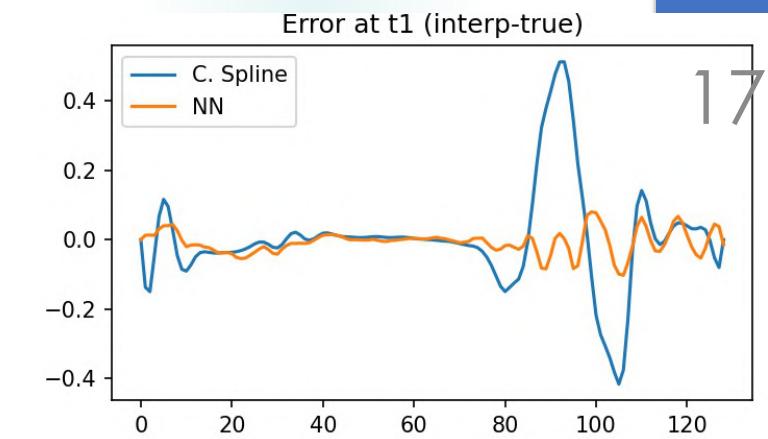
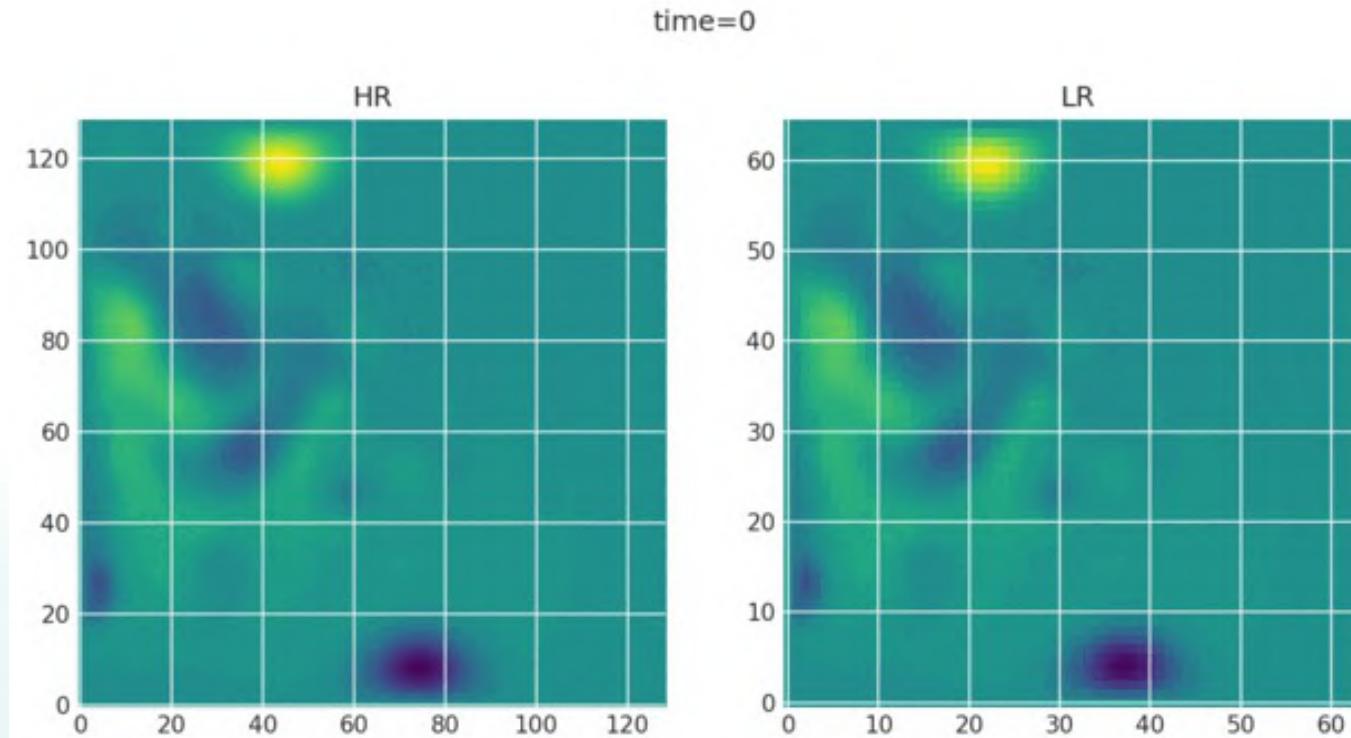


Reconst. minus Truth



Red contours: true high-resolution field

# Correction of model error

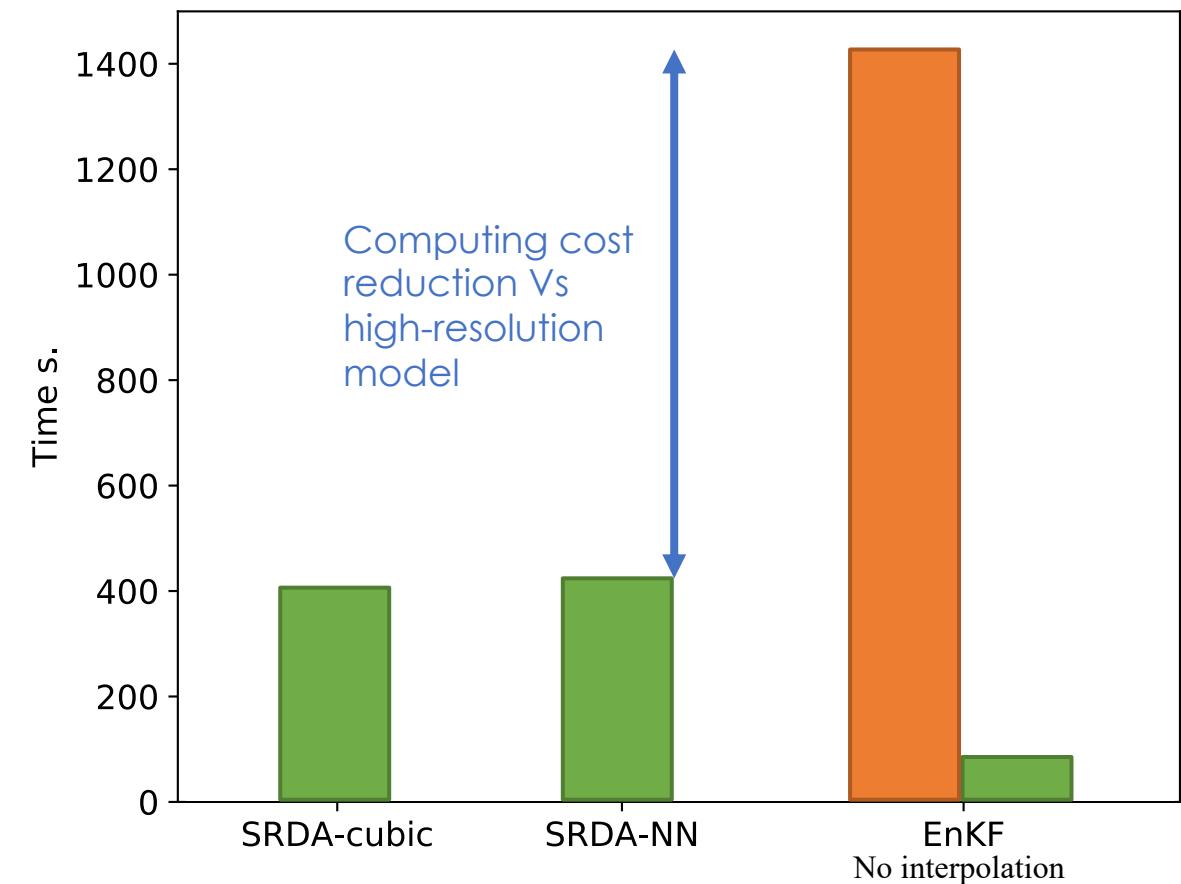
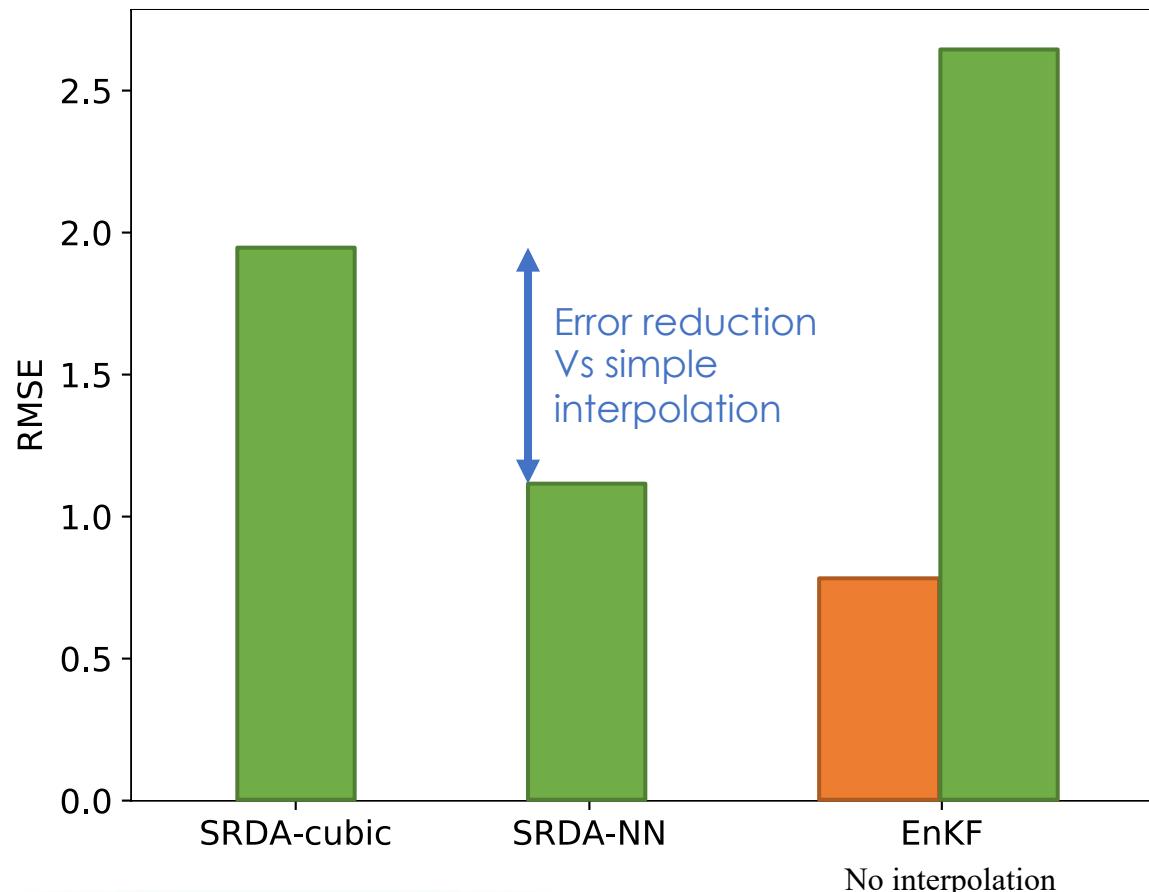


## Error of the low-res. model

- ▶ Eddy propagation are **too slow** in the low-res model
- ▶ The neural network has **learnt this displacement error.**

# Performance of SRDA

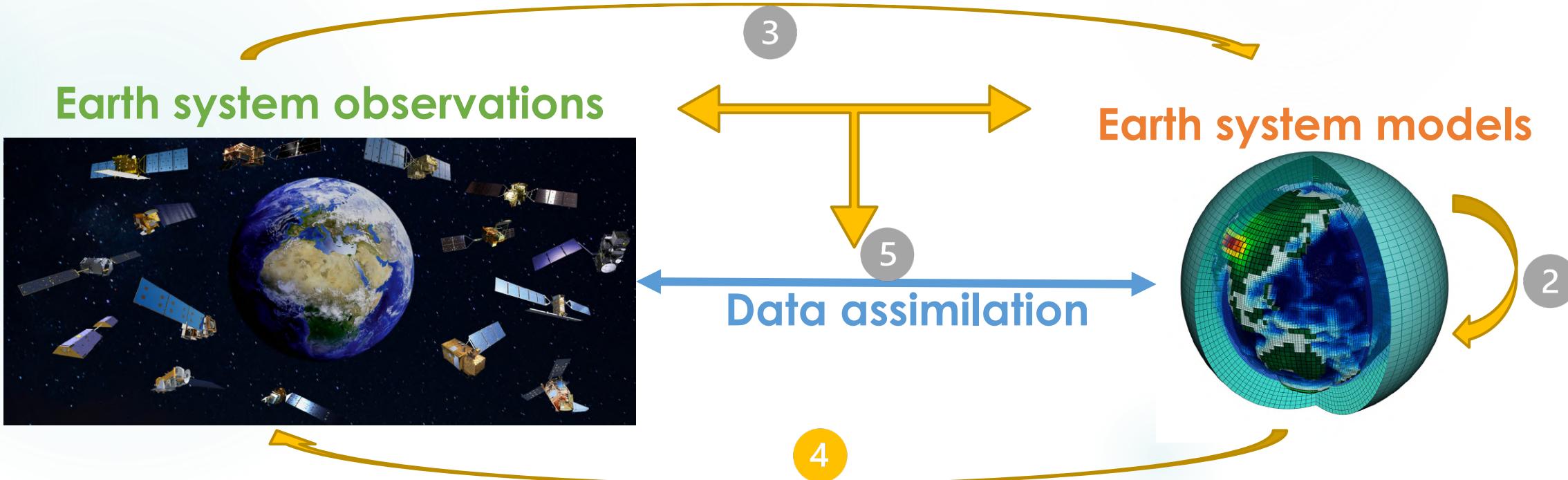
- Using a high-resolution model
- Using a low-resolution model



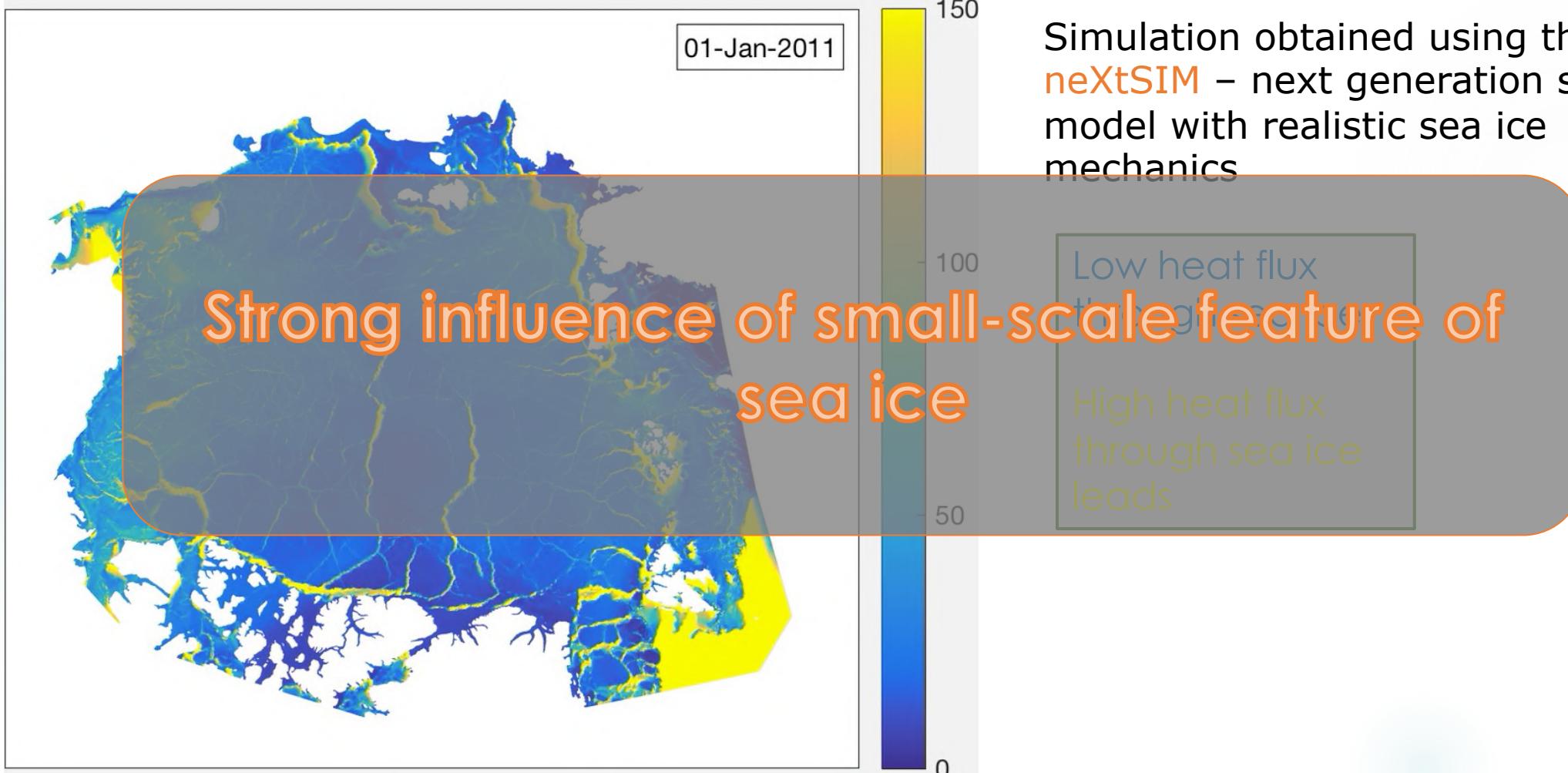
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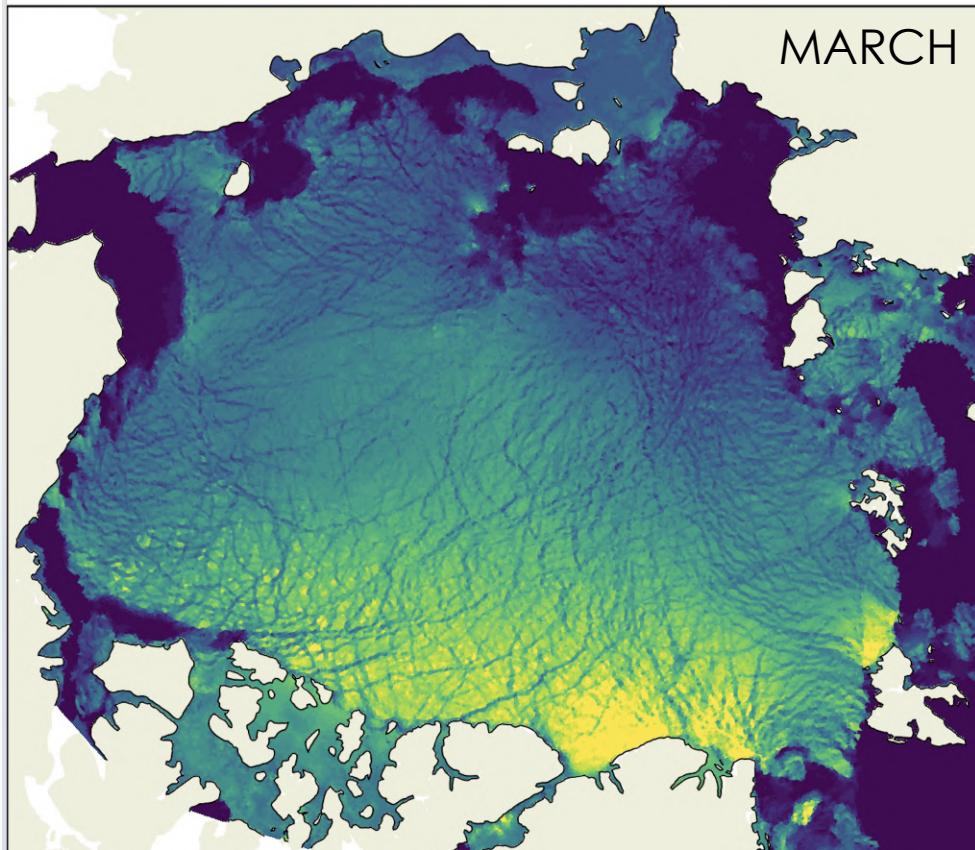


# Challenge: accurately represent the sea-air interaction in polar

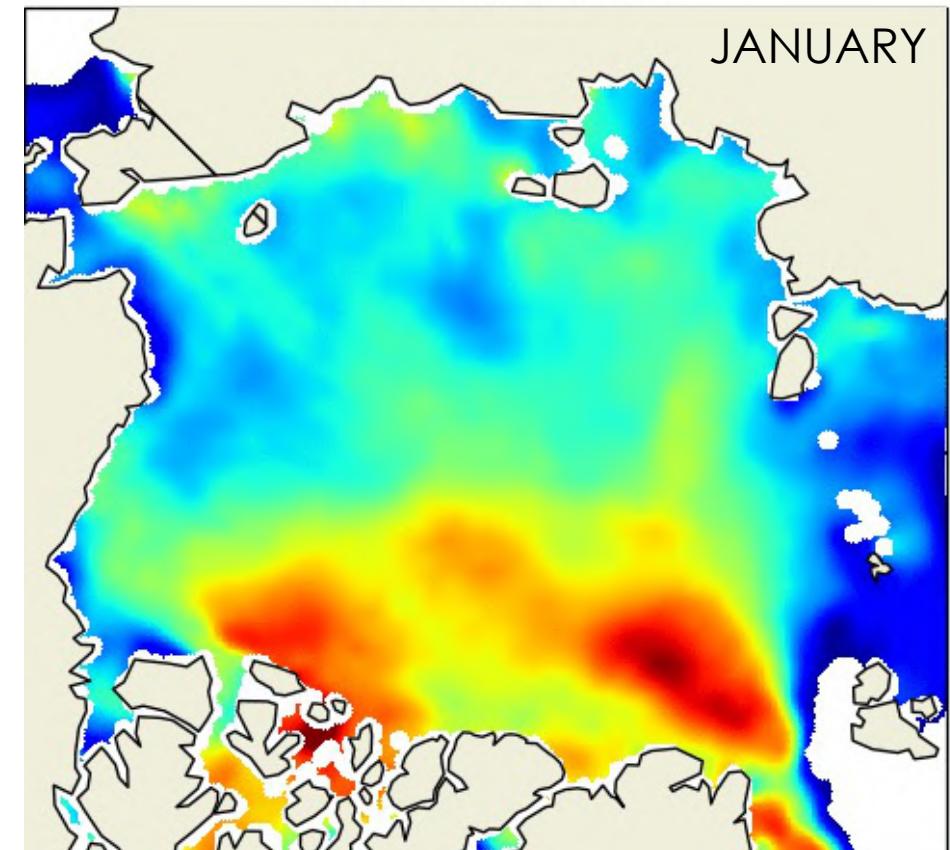


## Sea-ice thickness

**neXtSIM (model output)**

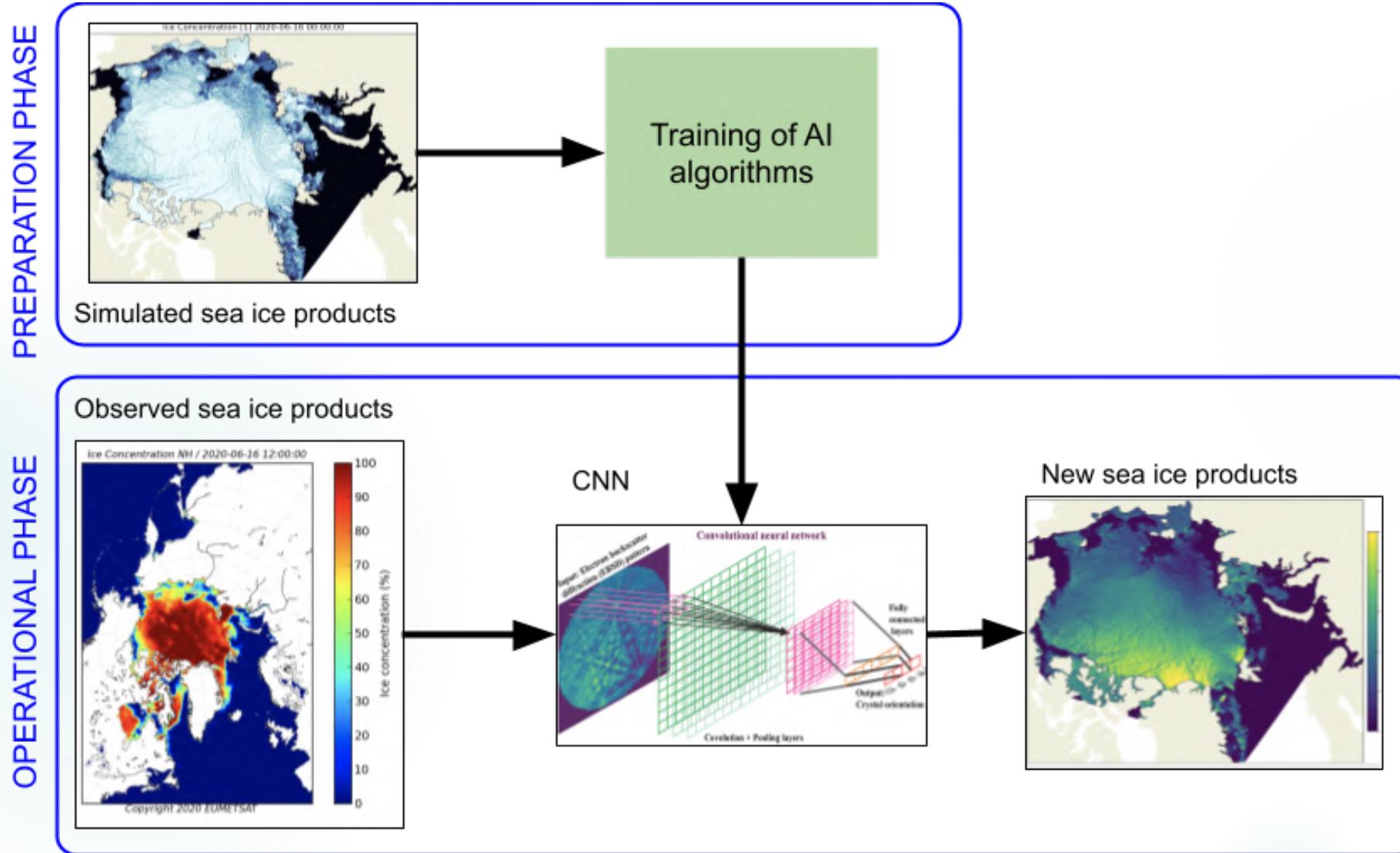


**CS2SMOS (satellite product)**

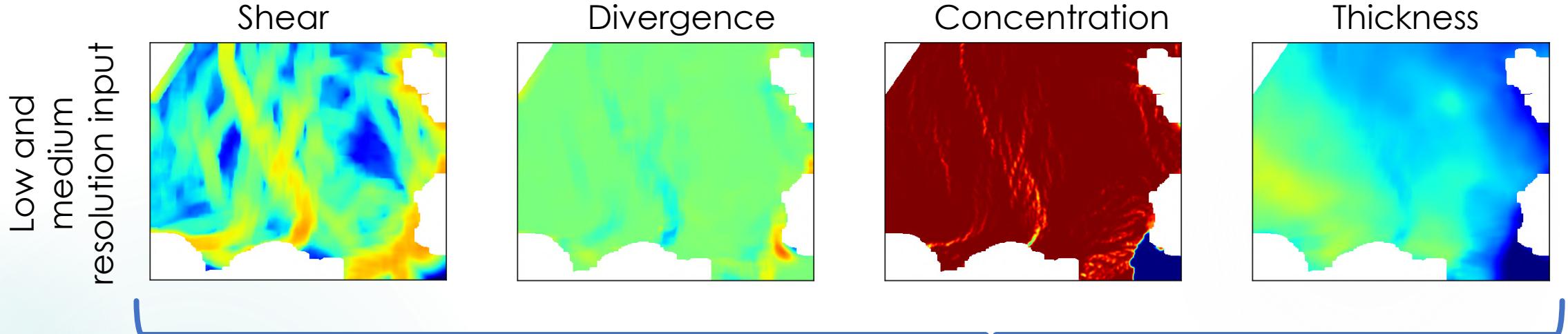


Resolve the cracks  
resolution?

# General scheme

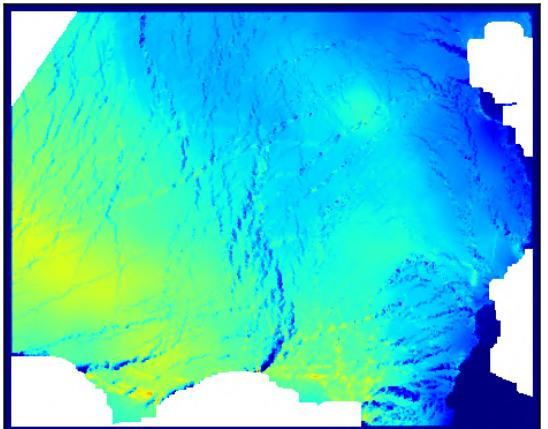
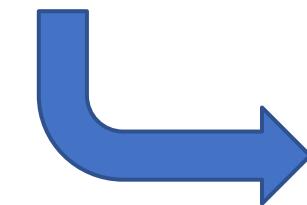


# Super resolution of ice thickness

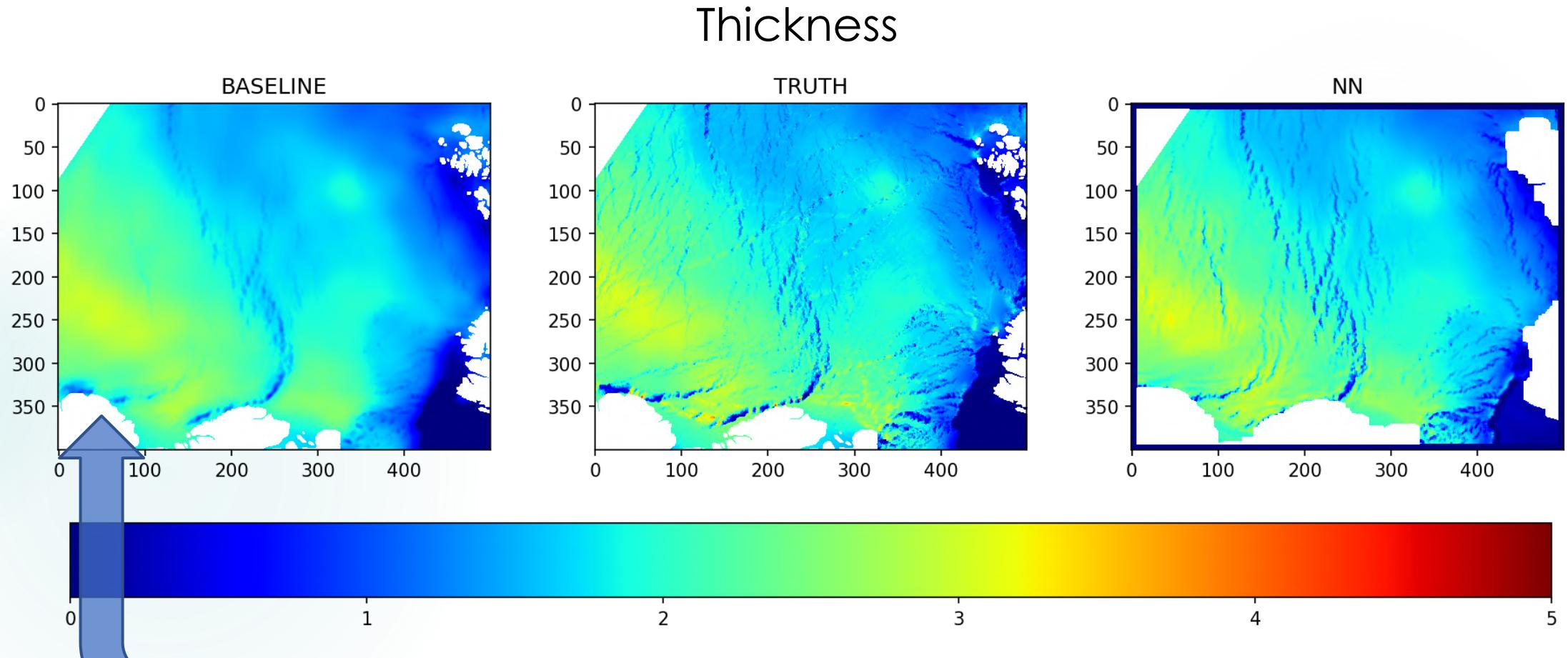


- Model outputs are smoothed to mimic satellite actual resolution.
- Deformation alters concentration and thickness
- Use this to get higher resolution thickness from a low resolution source

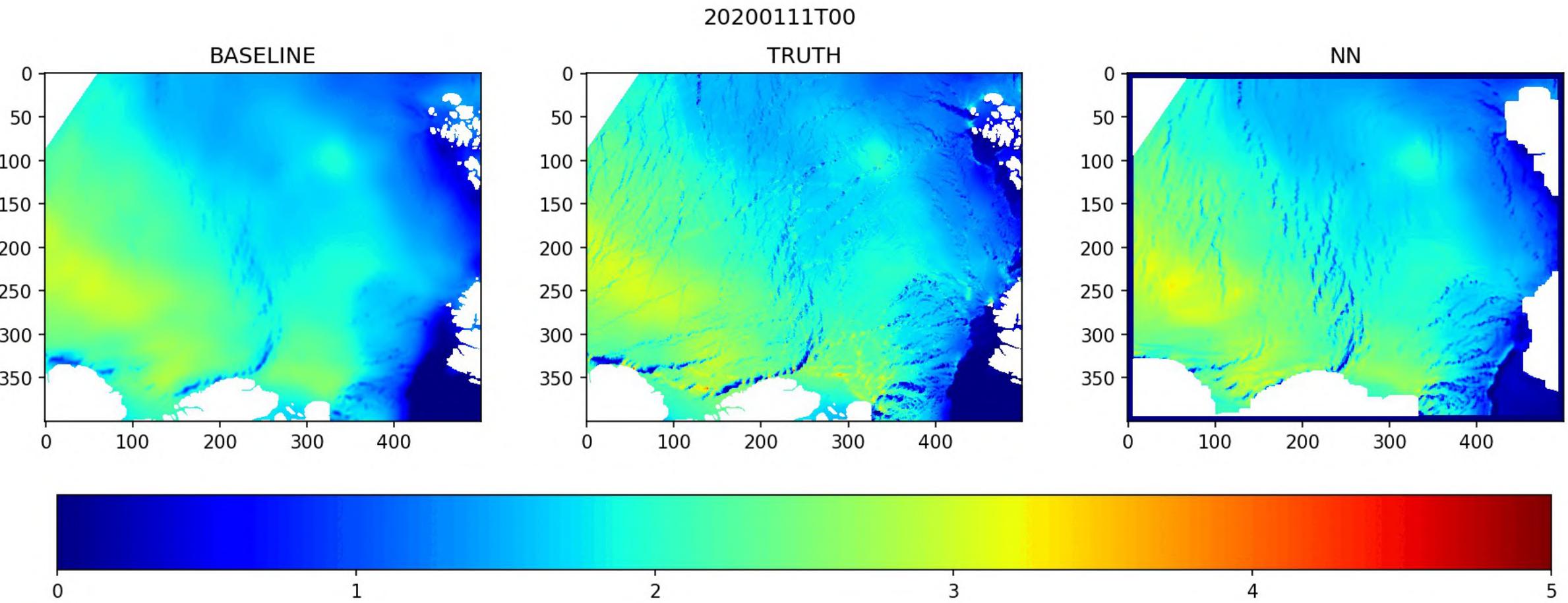
Neural Network



# NN vs. a simple baseline

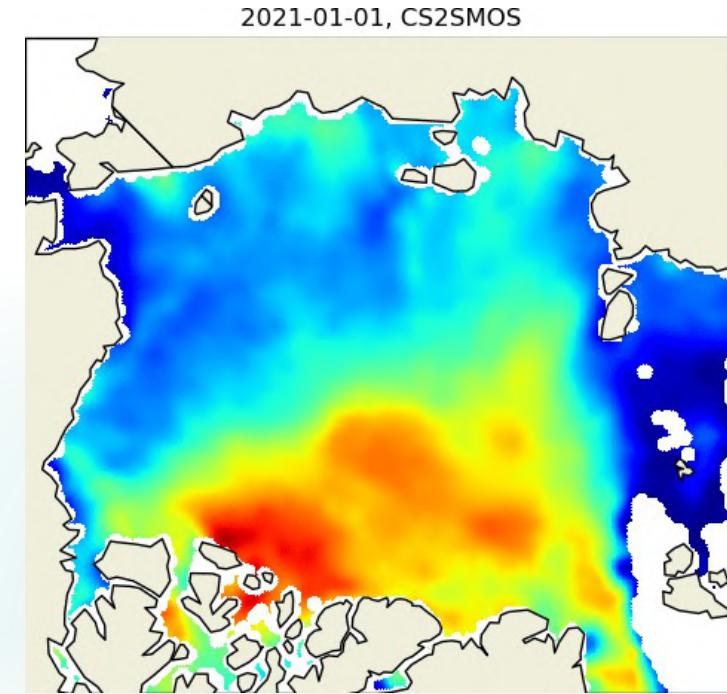


# CNN applied to model outputs

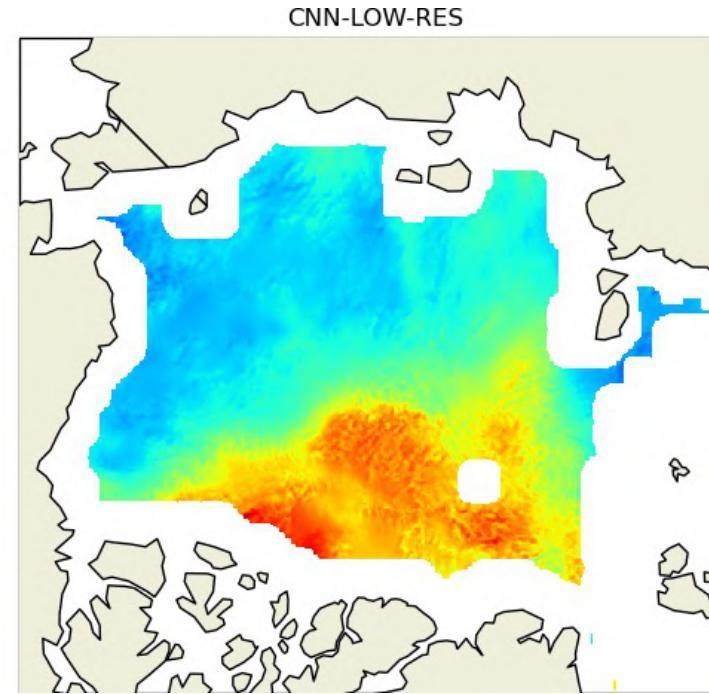


# CNN applied to satellite observations

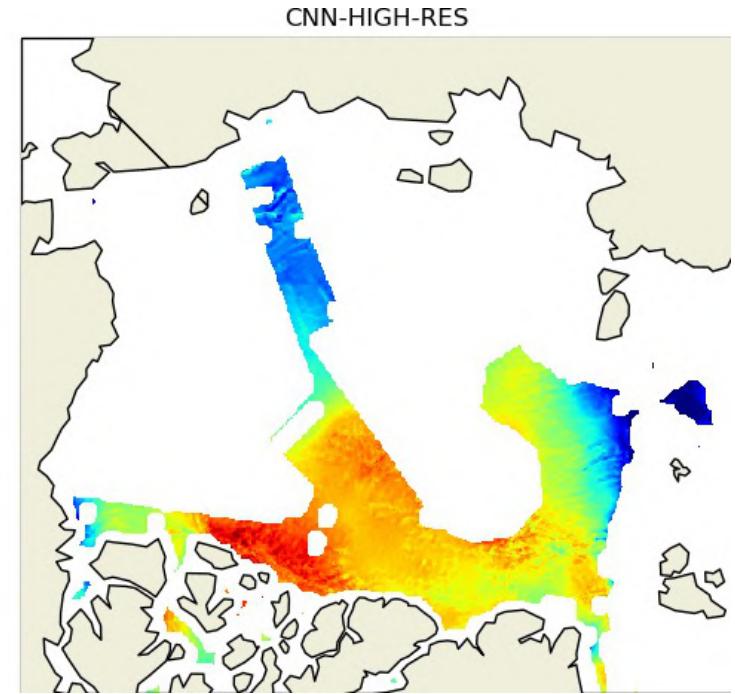
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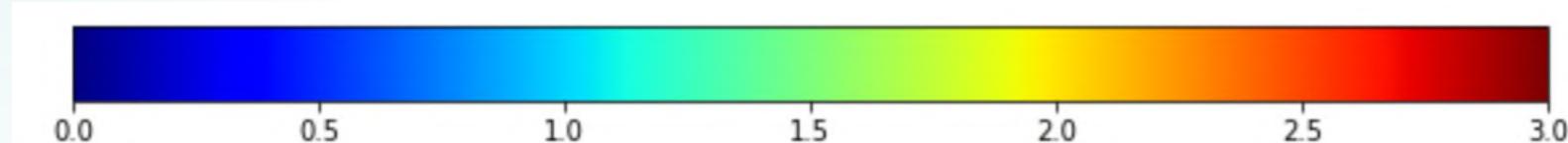
Input CS2SMOS



CNN for PMW ice drift



CNN for SAR ice drift



# Conclusions

- Machine learning can **complement** model and observations to improve our understanding and the prediction of the ocean/sea-ice system.
- In particular, it can be very efficient to **enhance under-represented scales** in model and observations.
- More application in the review article: “Bridging observation, theory and numerical simulation of the ocean using Machine Learning”.

<https://doi.org/10.1088/1748-9326/ac0eb0>



IOP Publishing *Environ. Res. Lett.* **16** (2021) 073008 <https://doi.org/10.1088/1748-9326/ac0eb0>

**ENVIRONMENTAL RESEARCH LETTERS**

**CrossMark**

**TOPICAL REVIEW**

Bridging observations, theory and numerical simulation of the ocean using machine learning

**MAIKE SONNEWALD**<sup>1,2,3,9,\*</sup>, **REDOUANE LGUENSAT**<sup>4,5</sup>, **DANIEL C JONES**<sup>6</sup>, **PETER D DUEBEN**<sup>7</sup>, **JULIEN BRAJARD**<sup>5,8</sup> and **V BALAJI**<sup>1,2,4</sup>

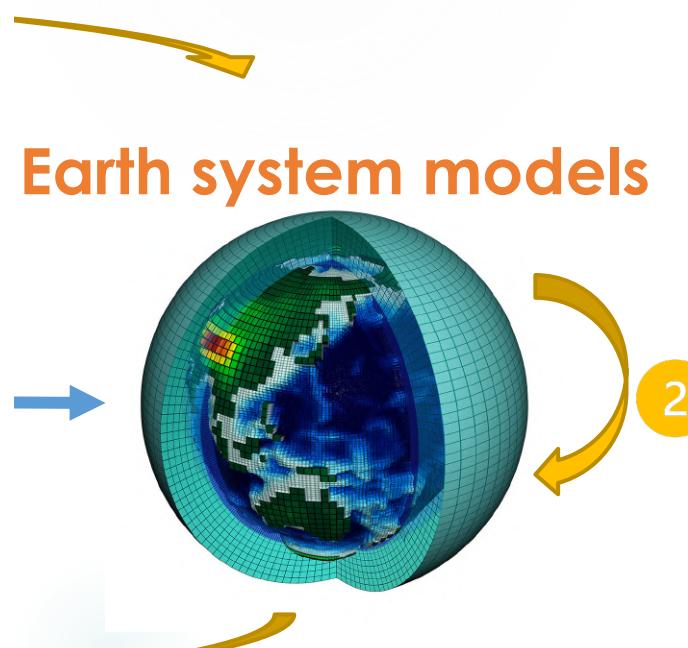
<sup>1</sup> Princeton University, Program in Atmospheric and Oceanic Sciences, Princeton, NJ 08540, United States of America  
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<sup>9</sup> Present address: Princeton University, Program in Atmospheric and Oceanic Sciences, 300 Forrestal Rd., Princeton, NJ 08540, United States of America

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**Keywords:** ocean science, physical oceanography, observations, theory, modelling, supervised machine learning, unsupervised machine learning

**Abstract**



# Do you want to contribute?

- ▶ Session Data assimilation and machine learning at the Living Planet Symposium (Bonn, May 2022)
  - ▶ Deadline 26 November 2021
  - ▶ <https://lps22.esa.int/>
- ▶ Session Machine learning for Earth system science at EGU (Vienna, April 2022)
  - ▶ Deadline 12 January 2022
  - ▶ <https://www.egu22.eu>
- ▶ New journal: Environmental data science (Cambridge University press)
  - ▶ <https://www.cambridge.org/core/journals/environmental-data-science>
- ▶ We are hiring: Postdoc in Machine learning and data assimilation improve sea-ice reconstruction
  - ▶ Deadline 15 December 2021
  - ▶ <https://www.jobbnorge.no/en/available-jobs/job/211076/vacancy-post-doctoral-position>

Contact me: [julien.brajard@nersc.no](mailto:julien.brajard@nersc.no)

<https://github.com/brajard/ai4good-mlocean>



# Credits

- ▶ Slide 2 (i) Gettelman and Rood, 2016 (ii) Meincke und Latif, 1995 (iii) Dirmeyer
- ▶ Slide 3 (i) CMEMS (ii) Gregg 2007, JMS (iii) CS2SMOS (iv) ARGO
- ▶ Slide 4 (i) CMEMS (ii) Gregg 2007, JMS (iii) NERSC
- ▶ Slide 5 Gregg 2007, JMS
- ▶ Slide 6 Gregg 2007, JMS
- ▶ Slide 7 ECMWF
- ▶ Slide 11 CMEMS

