

Fundamentals of Linear Elasticity

Introductory Course on Multiphysics Modelling

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1 Introduction

Two types of linearity

1. **Kinematic linearity** – strain-displacement relations are linear. This approach is valid if the **displacements are sufficiently small** (then higher order terms may be neglected).
2. **Material linearity** – constitutive behaviour of material is described by a linear relation.

In the **linear theory of elasticity**:

- both types of linearities are valid,
- therefore, all the **governing equations are linear** with respect to the unknown fields,
- all the fields are described in the (initial) **undeformed configuration**, (one cannot distinguished between the Euler and Lagrange descriptions),
- (as in all linear theories:) the **superposition principle** can be used.

2 Equations of motion

2.1 Cauchy stress tensor

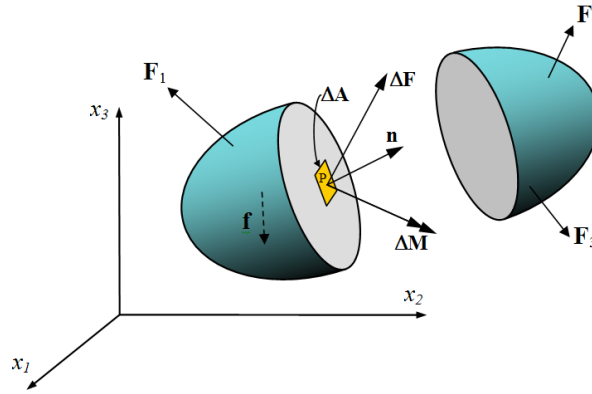


Figure 1: Stress vector in solid

Traction (or stress vector), \mathbf{t} $\left[\frac{\text{N}}{\text{m}^2} \right]$:

$$\mathbf{t} = \lim_{\Delta S \rightarrow 0} \frac{\Delta \mathbf{F}}{\Delta A} = \frac{d\mathbf{F}}{dS} \quad (1)$$

Here, $\Delta \mathbf{F}$ is the vector of resultant force acting of the (infinitesimal) area ΔA .

Cauchy's formula and tensor

$$\mathbf{t} = \boldsymbol{\sigma} \cdot \mathbf{n} \quad \text{or} \quad t_j = \sigma_{ij} n_i \quad (2)$$

Here, \mathbf{n} is the unit normal vector, $\boldsymbol{\sigma} \left[\frac{\text{N}}{\text{m}^2} \right]$ is the **Cauchy stress tensor**:

$$\boldsymbol{\sigma} \sim [\sigma_{ij}] = \begin{bmatrix} \mathbf{t}^{(1)} \\ \mathbf{t}^{(2)} \\ \mathbf{t}^{(3)} \end{bmatrix} = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix} \quad (3)$$

Surface tractions, or stresses acting on an internal datum plane, are decomposed into three mutually orthogonal components: a direct stress normal to the surface and two shear stresses tangential to the surface.

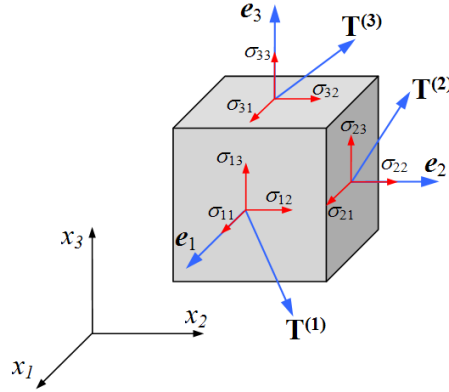


Figure 2: Interpretation of stress components

- **Direct stresses** (normal tractions, e.g., σ_{11}) – tend to change the volume of the material (hydrostatic pressure) and are resisted by the body's bulk modulus.
- **Shear stress** (tangential tractions, e.g., σ_{12} , σ_{13}) – tend to deform the material without changing its volume, and are resisted by the body's shear modulus.

2.2 Derivation from the Newton's second law

Principle of conservation of linear momentum

The time rate of **change of (linear) momentum** of particles equals the **net force** exerted on them:

$$\sum \frac{d(m \mathbf{v})}{dt} = \sum \mathbf{F}. \quad (4)$$

Here: m is the mass of particle, \mathbf{v} – its velocity, and \mathbf{F} is the net force acting on the particle.

For an arbitral (sub)domain Ω of a solid continuum of density $\rho \left[\frac{\text{kg}}{\text{m}^3} \right]$ subject to body forces (per unit volume) $\mathbf{f} \left[\frac{\text{N}}{\text{m}^3} \right]$ and surface forces (per unit area) $\mathbf{t} \left[\frac{\text{N}}{\text{m}^2} \right]$ acting on the boundary Γ the principle of conservation of linear momentum reads:

$$\int_{\Omega} \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} d\Omega = \int_{\Omega} \mathbf{f} d\Omega + \int_{\Gamma} \mathbf{t} d\Gamma \quad (5)$$

where \mathbf{u} [m] is the displacement vector.

Using the **Cauchy's formula** and the **divergence theorem**

$$\int_{\Gamma} \mathbf{t} d\Gamma = \int_{\Gamma} \boldsymbol{\sigma} \cdot \mathbf{n} d\Gamma = \int_{\Omega} \nabla \cdot \boldsymbol{\sigma} d\Omega \quad (6)$$

leads to the following equations.

Global and local equations of motion

$$\int_{\Omega} \left(\nabla \cdot \boldsymbol{\sigma} + \mathbf{f} - \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} \right) d\Omega = \mathbf{0} \quad \rightarrow \quad \nabla \cdot \boldsymbol{\sigma} + \mathbf{f} = \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} \quad \text{or} \quad \sigma_{ji|j} + f_i = \rho \ddot{u}_i. \quad (7)$$

The local form yields since the global one is true for *any* subdomain Ω .

2.3 Symmetry of stress tensor

Principle of conservation of angular momentum

The time rate of **change of the total moment of momentum** for a system of particles is equal to the vector **sum of the moments of external forces** acting on them:

$$\sum \frac{d(m \mathbf{v} \times \mathbf{x})}{dt} = \sum \mathbf{F} \times \mathbf{x}. \quad (8)$$

For continuum, in the absence of body couples (i.e., volume-dependent couples), the principle leads to **the symmetry of stress tensor**, that is,

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}^T \quad \text{or} \quad \sigma_{ij} = \sigma_{ji}. \quad (9)$$

Thus, only six (of nine) stress components are independent:

$$\boldsymbol{\sigma} \sim [\sigma_{ij}] = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ & \sigma_{22} & \sigma_{23} \\ \text{sym.} & & \sigma_{33} \end{bmatrix}. \quad (10)$$

3 Kinematic relations

3.1 Strain measure and tensor (for small displacements)

Longitudinal strain (global and local):

$$\varepsilon = \frac{L' - L}{L}, \quad \varepsilon(x) = \frac{dx' - dx}{dx} = \frac{du}{dx}. \quad (11)$$

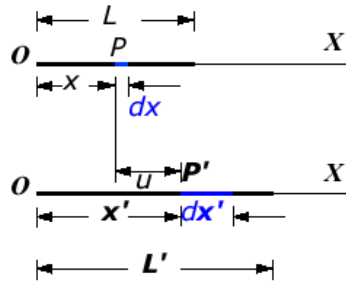


Figure 3: Longitudinal strain measure

Strain tensor

$$\boldsymbol{\varepsilon} = \text{sym}(\nabla \mathbf{u}) = \frac{1}{2} (\nabla \mathbf{u} + \nabla \mathbf{u}^T) \quad (12)$$

$$\varepsilon_{ij} = \frac{1}{2} (u_{i|j} + u_{j|i}) \quad (13)$$

$$\boldsymbol{\varepsilon} \sim [\varepsilon_{ij}] = \begin{bmatrix} \varepsilon_{11} & \varepsilon_{12} & \varepsilon_{13} \\ & \varepsilon_{22} & \varepsilon_{23} \\ \text{sym.} & & \varepsilon_{33} \end{bmatrix} \quad (14)$$

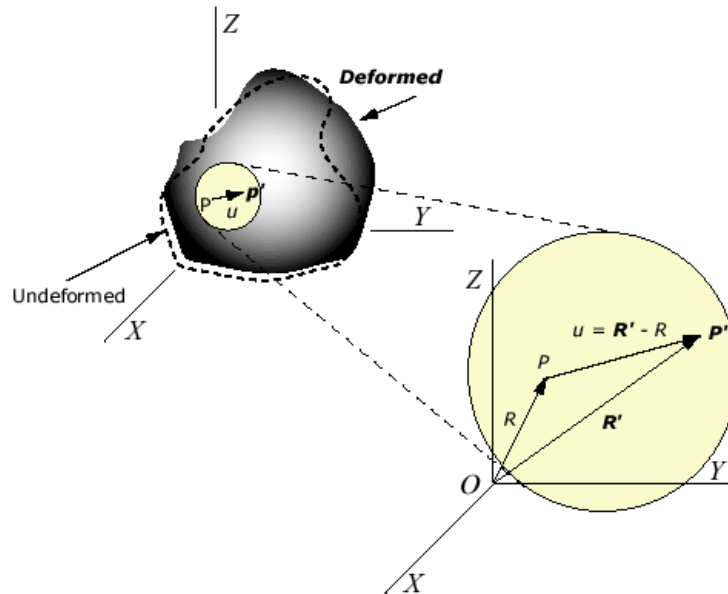


Figure 4: Displacement and deformation (in 3D)

3.2 Strain compatibility equations

- In the strain-displacement relationships, there are 6 strain measures but only 3 independent displacements.
- If ε_{ij} are given as functions of \mathbf{x} , they **cannot be arbitrary**: they should have a relationship such that the 6 strain-displacement equations are **compatible**.

2D case:

$$u_{1|1} = \varepsilon_{11}, \quad u_{2|2} = \varepsilon_{22}, \quad u_{1|2} + u_{2|1} = 2\varepsilon_{12}, \quad (15)$$

Here, ε_{11} , ε_{22} , ε_{12} must satisfy the following **compatibility equation**:

$$\varepsilon_{11|22} + \varepsilon_{22|11} = 2\varepsilon_{12|12} \quad (16)$$

3D case:

$$\varepsilon_{ij|kl} + \varepsilon_{kl|ij} = \varepsilon_{lj|ki} + \varepsilon_{ki|lj} \quad (17)$$

Of these 81 equations only 6 are different (i.e., linearly independent).

The strain **compatibility equations are satisfied** automatically when the strains are computed from a displacement field.

4 Constitutive equations: Hooke's Law

4.1 Original version

Original formulation of Hooke's Law (1660)

Robert Hooke (1635-1703) first presented his law in the form of a Latin anagram

$$\text{CEIINOSSITTUV} = \text{UT TENSIO, SIC VIS}$$

which translates to “*as is the extension, so is the force*” or in contemporary language “*extension is directly proportional to force*”.

The classical (1-dimensional) Hooke’s Law describes the linear variation of tension with extension in an elastic spring:

$$F = k u \quad \text{or} \quad \sigma = E \varepsilon. \quad (18)$$

Here:

- F is the **force** acting on the spring, whereas σ is the **tension**,
- k is the **spring constant**, whereas E is the **Young’s modulus**,
- u is the displacement (of the spring end), and ε is the **extension** (elongation).

4.2 Generalized formulation

Generalized Hooke’s Law

$$\sigma = \mathbf{C} : \varepsilon \quad \text{or} \quad \varepsilon = \mathbf{S} : \sigma \quad \text{where} \quad \mathbf{S} = \mathbf{C}^{-1}. \quad (19)$$

Here: • \mathbf{C} [N/m²] is the (fourth-order) **elasticity tensor**,

- \mathbf{S} [m²/N] is the **compliance tensor** (inverse of \mathbf{C}).

GHL in index notation:

$$\sigma_{ij} = C_{ijkl} \varepsilon_{kl} \quad \text{or} \quad \varepsilon_{ij} = S_{ijkl} \sigma_{kl}. \quad (20)$$

Symmetries of elastic tensor

$$C_{ijkl} = C_{klij}, \quad C_{ijkl} = C_{jikl}, \quad C_{ijkl} = C_{ijlk}. \quad (21)$$

- The first symmetry is valid for the so-called **hyperelastic** materials (for which the stress-strain relationship derives from a strain energy density function).
- Thus, at most, only **21** material constants out of 81 components are independent.

Linear isotropy

$$\sigma = 2\mu \varepsilon + \lambda (\text{tr } \varepsilon) \mathbf{I} \quad \text{or} \quad \sigma_{ij} = 2\mu \varepsilon_{ij} + \lambda \varepsilon_{kk} \delta_{ij} \quad (22)$$

Here, the so-called **Lamé coefficients** are used (related to the **Young’s modulus** E and **Poisson’s ratio** ν):

- the **shear modulus** $\mu = \frac{E}{2(1+\nu)}$,
- the **dilatational constant** $\lambda = \frac{\nu E}{(1+\nu)(1-2\nu)}$.

4.3 Voigt-Kelvin notation

Rule of change of subscripts

$$11 \rightarrow 1, \quad 22 \rightarrow 2, \quad 33 \rightarrow 3, \quad 23 \rightarrow 4, \quad 13 \rightarrow 5, \quad 12 \rightarrow 6. \quad (23)$$

Anisotropy (21 independent material constants)

$$\begin{Bmatrix} \sigma_1 = \sigma_{11} \\ \sigma_2 = \sigma_{22} \\ \sigma_3 = \sigma_{33} \\ \sigma_4 = \sigma_{23} \\ \sigma_5 = \sigma_{13} \\ \sigma_6 = \sigma_{12} \end{Bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ & & C_{33} & C_{34} & C_{35} & C_{36} \\ & & & C_{44} & C_{45} & C_{46} \\ & \text{sym.} & & & C_{55} & C_{56} \\ & & & & & C_{66} \end{bmatrix} \begin{Bmatrix} \varepsilon_1 = \varepsilon_{11} \\ \varepsilon_2 = \varepsilon_{22} \\ \varepsilon_3 = \varepsilon_{33} \\ \varepsilon_4 = (\varepsilon_{23} \text{ or } \gamma_{23} = 2\varepsilon_{23}) \\ \varepsilon_5 = (\varepsilon_{13} \text{ or } \gamma_{13} = 2\varepsilon_{13}) \\ \varepsilon_6 = (\varepsilon_{12} \text{ or } \gamma_{12} = 2\varepsilon_{12}) \end{Bmatrix} \quad (24)$$

Orthotropy (9 nonzero independent material constants)

$$\begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \end{Bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ & C_{22} & C_{23} & 0 & 0 & 0 \\ & & C_{33} & 0 & 0 & 0 \\ & & & C_{44} & 0 & 0 \\ & \text{sym.} & & & C_{55} & 0 \\ & & & & & C_{66} \end{bmatrix} \begin{Bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ 2\varepsilon_{23} \\ 2\varepsilon_{13} \\ 2\varepsilon_{12} \end{Bmatrix} \quad (25)$$

Note that there is no interaction between the normal stresses and the shear strains.

Transversal isotropy (5 independent out of 9 nonzero components)

$$\begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \end{Bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ & C_{11} & C_{13} & 0 & 0 & 0 \\ & & C_{33} & 0 & 0 & 0 \\ & & & C_{44} & 0 & 0 \\ & \text{sym.} & & & C_{44} & 0 \\ & & & & & \frac{C_{11}-C_{12}}{2} \end{bmatrix} \begin{Bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ 2\varepsilon_{23} \\ 2\varepsilon_{13} \\ 2\varepsilon_{12} \end{Bmatrix} \quad (26)$$

Note that:

$$C_{22} = C_{11}, \quad C_{23} = C_{13}, \quad C_{55} = C_{44}, \quad C_{66} = \frac{C_{11} - C_{12}}{2}. \quad (27)$$

Isotropy (2 independent material constants)

$$\begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{13} \\ \sigma_{12} \end{Bmatrix} = \frac{E}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\nu & \nu & \nu & 0 & 0 & 0 \\ & 1-\nu & \nu & 0 & 0 & 0 \\ & & 1-\nu & 0 & 0 & 0 \\ & & & 1-2\nu & 0 & 0 \\ & \text{sym.} & & & 1-2\nu & 0 \\ & & & & & 1-2\nu \end{bmatrix} \begin{Bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ \varepsilon_{23} \\ \varepsilon_{13} \\ \varepsilon_{12} \end{Bmatrix} \quad (28)$$

4.4 Thermoelastic constitutive relations

- **Temperature changes** in the elastic body **cause thermal expansion** of the material, even though the variation of elastic constants with temperature is neglected.
- When the strains, geometric changes, and temperature **variations are sufficiently small** all governing equations are linear and **superposition of mechanical and thermal effects** is possible.

Uncoupled thermoelasticity (theory of thermal stresses)

Usually, the above assumptions are satisfied and the thermo-mechanical problem (involving heat transfer) can be dealt as follows:

1. the heat equations are uncoupled from the (elastic) mechanical equations and are solved first,
2. the computed temperature field is used as data (“thermal loads”) for the mechanical problem.

If the thermoelastic dissipation significantly influence the thermal field the **fully coupled theory of thermoelasticity** must be applied, where the coupled heat and mechanical equations are solved simultaneously.

GHL with linear thermal terms (thermal stresses)

$$\boldsymbol{\sigma} = \mathbf{C} : [\boldsymbol{\varepsilon} - \boldsymbol{\alpha} \Delta T] = \mathbf{C} : \boldsymbol{\varepsilon} - \underbrace{\mathbf{C} : \boldsymbol{\alpha} \Delta T}_{\text{thermal stress}} \quad \text{or} \quad \boldsymbol{\varepsilon} = \mathbf{S} : \boldsymbol{\sigma} + \underbrace{\boldsymbol{\alpha} \Delta T}_{\text{thermal strain}}, \quad (29)$$

or in index notation

$$\sigma_{ij} = C_{ijkl} [\varepsilon_{kl} - \alpha_{kl} \Delta T] \quad \text{or} \quad \varepsilon_{ij} = S_{ijkl} \sigma_{kl} + \alpha_{ij} \Delta T. \quad (30)$$

Here, ΔT [K] is the temperature difference (from the reference temperature of the undeformed body), whereas the tensor $\boldsymbol{\alpha}$ [K⁻¹] groups **linear coefficients of thermal expansion**

$$\boldsymbol{\alpha} \sim [\alpha_{ij}] = \begin{bmatrix} \alpha_{11} & 0 & 0 \\ 0 & \alpha_{22} & 0 \\ 0 & 0 & \alpha_{33} \end{bmatrix}. \quad (31)$$

For **isotropic materials**: $\alpha_{11} = \alpha_{22} = \alpha_{33} \equiv \alpha$, i.e., $\boldsymbol{\alpha} = \alpha \mathbf{I}$ or $\alpha_{ij} = \alpha \delta_{ij}$.

5 Problem of linear elasticity

5.1 Initial-Boundary-Value Problem

General IBVP of elastodynamics

Find **15** unknown fields: u_i (**3** displacements), ε_{ij} (**6** strains), and σ_{ij} (**6** stresses) – satisfying:

- **3** equations of motion: $\sigma_{ij|j} + f_i = \rho \ddot{u}_i$,

- **6** strain-displacement relations: $\varepsilon_{ij} = \frac{1}{2}(u_{i|j} + u_{j|i})$,
- **6** stress-strain laws: $\sigma_{ij} = C_{ijkl} \varepsilon_{kl}$,

with the **initial conditions** (at $t = t_0$):

$$u_i(\mathbf{x}, t_0) = u_i^0(\mathbf{x}) \quad \text{and} \quad \dot{u}_i(\mathbf{x}, t_0) = v_i^0(\mathbf{x}) \quad \text{in } \Omega, \quad (32)$$

and subject to the **boundary conditions**:

$$u_i(\mathbf{x}, t) = \hat{u}_i(\mathbf{x}, t) \quad \text{on } \Gamma_u, \quad \sigma_{ij}(\mathbf{x}, t) n_j = \hat{t}_i(\mathbf{x}, t) \quad \text{on } \Gamma_t, \quad (33)$$

$$\sigma_{ij}(\mathbf{x}, t) n_j = \hat{t}_i + h(\hat{u}_i - u_i) \quad \text{on } \Gamma_h, \quad (34)$$

where $\Gamma_u \cup \Gamma_t \cup \Gamma_h = \Gamma$, and $\Gamma_u \cap \Gamma_t = \emptyset$, $\Gamma_u \cap \Gamma_h = \emptyset$, $\Gamma_t \cap \Gamma_h = \emptyset$.

5.2 Displacement formulation of elastodynamics

Anisotropic case:

$$\sigma_{ij} = C_{ijkl} \varepsilon_{kl} = C_{ijkl} \frac{1}{2}(u_{k|l} + u_{l|k}) = C_{ijkl} u_{k|l} \quad (\text{since } C_{ijkl} = C_{ijlk}) \quad (35)$$

Displacement formulation of elastodynamics

$$(C_{ijkl} u_{k|l})_{|j} + f_i = \rho \ddot{u}_i \quad \text{or} \quad \nabla \cdot (\mathbf{C} : \nabla \mathbf{u}) + \mathbf{f} = \rho \ddot{\mathbf{u}} \quad (36)$$

Isotropic case:

$$\sigma_{ij} = 2\mu \varepsilon_{ij} + \lambda \varepsilon_{kk} \delta_{ij} = \mu(u_{i|j} + u_{j|i}) + \lambda u_{k|k} \delta_{ij} \quad (37)$$

Navier's equations for isotropic elasticity

For homogenous material (i.e., $\mu = \text{const.}$, $\lambda = \text{const.}$):

$$\mu u_{i|jj} + (\mu + \lambda) u_{j|ji} + f_i = \rho \ddot{u}_i \quad \text{or} \quad \mu \Delta \mathbf{u} + (\mu + \lambda) \nabla(\nabla \cdot \mathbf{u}) + \mathbf{f} = \rho \ddot{\mathbf{u}} \quad (38)$$

Boundary conditions:

Dirichlet:

$$u_i = \hat{u}_i \quad \text{on } \Gamma_u,$$

Neumann:

$$t_i = \hat{t}_i \quad \text{on } \Gamma_t,$$

Robin:

$$t_i = \hat{t}_i + h(\hat{u}_i - u_i) \quad \text{on } \Gamma_h,$$

$$t_i = \sigma_{ij} n_j = \begin{cases} C_{ijkl} u_{k|l} n_j & \text{-- for anisotropic materials,} \\ \mu(u_{i|j} + u_{j|i}) n_j + \lambda u_{k|k} n_i & \text{-- for isotropic materials.} \end{cases}$$

6 Principle of virtual work

Definition 1 (Admissible displacements). **Admissible displacements** (or configuration) of a mechanical system are any displacements (configuration) that satisfy the *geometric constraints* of the system. The **geometric constraints** are:

- geometric (essential) boundary conditions,
- kinematic relations (strain-displacement equations and compatibility equations).

Of all (kinematically) admissible configurations only one corresponds to the equilibrium configuration under the applied loads (it is the one that also satisfies Newton's second law).

Definition 2. [Virtual displacements] **Virtual displacements** are any displacements that describe small (infinitesimal) *variations* of the true configurations. They satisfy the *homogeneous* form of the specified *geometric boundary conditions*.

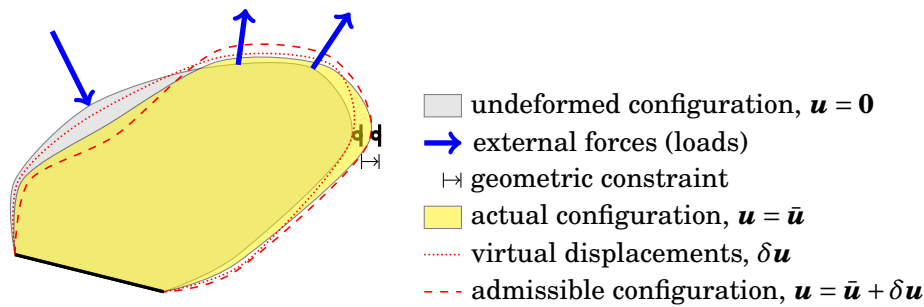


Figure 5: Actual and admissible configurations, virtual displacements

Definition 3 (Virtual work). **Virtual work** is the work done by the actual forces through the virtual displacement of the actual configuration. The virtual work in a deformable body consists of two parts:

1. the **internal virtual work** done by internal forces (stresses),
2. the **external virtual work** done by external forces (i.e., loads).

Theorem 4 (Principle of virtual work). *A continuous body is in equilibrium if and only if the virtual work of all forces, internal and external, acting on the body is zero in a virtual displacement:*

$$\delta W = \delta W_{int} + \delta W_{ext} = 0.$$