ASSIGNMENT 4

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For the basis e_i , the components of T are: $\begin{bmatrix} 3 & 0 & -1 \\ 0 & 1 & 0 \\ -1 & 0 & 3 \end{bmatrix}$

1 Find the components of T^2 and T^3 for the e_i basis:

•
$$T^2 \Rightarrow {e-ee-e \brack T}[T] = \begin{bmatrix} 10 & 0 & -6 \\ 0 & 1 & 0 \\ -6 & 0 & 10 \end{bmatrix}$$

•
$$T^3 \Rightarrow [T][T][T] = \begin{bmatrix} 36 & 0 & -28 \\ 0 & 1 & 0 \\ -28 & 0 & 36 \end{bmatrix}$$

2 Find
$$I_T = tr(T), II_T = tr(T^2), III_T = tr(T^3)$$
:

- $I_T = 3 + 1 + 3 = 7$
- $II_T = 10 + 1 + 10 = 21$
- $III_T = 36 + 1 + 36 = 73$

3 Find the eigenvalues of T and the eigenvectors. Construct a principal basis (p_a) expressed in terms of e_i

- The eigen problem: $\mathbf{T} \cdot \mathbf{p} = \lambda \mathbf{p} \Rightarrow \stackrel{e-e}{[T]} \stackrel{e}{\{p\}} = \lambda \stackrel{e}{\{p\}}$ or $\begin{bmatrix} e^{-e} e \\ [T] \lambda [I] \end{bmatrix} \stackrel{e}{\{p\}} = \{0\}$
 - where:
 - $\lambda = eigenvalue$
 - $\{p\} = eigenvector$
 - a non trivial solution (non zero) for $\{p\}$ in $\begin{bmatrix} e^{-e} \\ T \end{bmatrix} \lambda[I] = \{0\}$ only exists if the determinant: $\det\left(\left[[T] \lambda[I]\right]\right) = 0$. The real values of λ that satisfy this are the eigenvalues of [T].
- the equation: $det\left(\left[[T] \lambda[I]\right]\right) = 0$; is titled the characteristic equation of $\left[[T] \lambda[I]\right]$
- a characteristic equation can be shown in terms of a polynomial function (via Leibniz' rule), therefore:

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- characteristic equation: $det([T] \lambda[I]) = 0$
- characteristic polynomial: $\lambda^3 I^*\lambda^2 II^*\lambda III^* = -P(\lambda) = 0$

- find the characteristic invariants of [T]:
 - characteristic equation: $det\left(\left[[T] \lambda[I]\right]\right) = \lambda^3 I^*\lambda^2 II^*\lambda III^* = -P(\lambda) = 0$ where a * indicates a **characteristic** invariant

$$-I_T^* = I_T = tr\left(\begin{bmatrix} e-e \\ [T] \end{bmatrix}\right) = 7$$

$$-\ II_{T}^{*} = \frac{1}{2}(II_{T} - I_{T}^{2}) = det \begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} + det \begin{bmatrix} T_{11} & T_{13} \\ T_{31} & T_{33} \end{bmatrix} + det \begin{bmatrix} T_{22} & T_{23} \\ T_{32} & T_{33} \end{bmatrix} = -14$$

$$-III_T^* = \frac{1}{6}(I_T^3 - 3I_TII_T + 2III_T) = det\binom{e-e}{[T]} = 8$$

- input invariants into the characteristic equation for $[T] \Rightarrow \lambda^3 7 * \lambda^2 + 14 * \lambda 8 = 0$
- solve the characteristic equation to obtain the three eigenvalues (roots of the cubic polynomial):

$$- (\lambda - 4)(\lambda - 1)(\lambda - 2) = 0$$

$$-\lambda_1 = 4 \qquad \lambda_2 = 2 \qquad \lambda_3 = 1$$

• determine the eigenvectors (one for each eigenvalue); assume one component of $\{p\} = 1$ and determine remaining two components. If this assumption results in a vectors that does not satisfy: $[T] - \lambda[I] = \{0\}$; then assume a value of 0 for the component of $\{p\}$.

$$\begin{bmatrix} [T] - \lambda[I] \end{bmatrix} \{p\} = \{0\} \Rightarrow \begin{bmatrix} 3 - \lambda_i & 0 & -1 \\ 0 & 1 - \lambda_i & 0 \\ -1 & 0 & 3 - \lambda_i \end{bmatrix} \begin{Bmatrix} p_{i,1} \\ p_{i,2} \\ p_{i,3} \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \\ 0 \end{Bmatrix}$$

- for
$$\lambda_1 = 4$$
: $\langle p_1 \rangle = \langle 1, 0, -1 \rangle$; Normalize $\Rightarrow \langle \frac{1}{\sqrt{2}}, 0, -\frac{1}{\sqrt{2}} \rangle$

- for
$$\lambda_2 = 2 : \langle p_2 \rangle = \langle 1, 0, 1 \rangle$$
; Normalize $\Rightarrow \langle \frac{1}{\sqrt{2}}, 0, \frac{1}{\sqrt{2}} \rangle$

- for $\lambda_3 = 1 : \langle p_3 \rangle = \langle p_1 \rangle \times \langle p_2 \rangle = \langle 0, -1, 0 \rangle$; only two of the vectors are independent, the third can be calculated from the two independent vectors to form an orthonormal set of vectors.

$$p_1 = \frac{1}{\sqrt{2}}e_1 - \frac{1}{\sqrt{2}}e_3$$
• the principal basis: p_a in terms of e_i :
$$p_a \Rightarrow p_2 = \frac{1}{\sqrt{2}}e_1 + \frac{1}{\sqrt{2}}e_3$$

$$p_3 = -1e_2$$

4 Find the components of T, T^2 and T^3 in the p_a basis and calculate respective invariants:

$$\bullet \ [a] = \begin{bmatrix} \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & -1 & 0 \end{bmatrix}$$

$$\bullet \ \, \boldsymbol{T} \Rightarrow \boldsymbol{\bar{[T]}} = \boldsymbol{\bar{[a]}} \, \boldsymbol{\bar{[T]}} \, \boldsymbol{\bar{[a]}} = \begin{bmatrix} 4 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

•
$$T^2 \Rightarrow [T][T] = \begin{bmatrix} 16 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

•
$$T^3 \Rightarrow {p-pp-pp-p \choose T}[T][T] = \begin{bmatrix} 64 & 0 & 0 \\ 0 & 8 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

•
$$I_T = 4 + 2 + 1 = 7$$

•
$$II_T = 16 + 4 + 1 = 21$$

• $III_T = 64 + 8 + 1 = 73$... same as before, just like they should be.

5 Set up the transformation matrix between p_a and e_i

$$\bullet \ \ \begin{bmatrix} e^{-p} \\ [a] \end{bmatrix} = tr \begin{pmatrix} p^{-e} \\ [a] \end{pmatrix} = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & -1 \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \end{bmatrix}$$

•
$$T \Rightarrow \begin{bmatrix} e-e \\ T \end{bmatrix} = \begin{bmatrix} e-pp-pp-e \\ [a] \end{bmatrix} \begin{bmatrix} a \end{bmatrix} = \begin{bmatrix} 3 & 0 & -1 \\ 0 & 1 & 0 \\ -1 & 0 & 3 \end{bmatrix}$$

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(a) Obtain the values of the invariants in the principal basis \hat{I}_T , \hat{II}_T , \hat{III}_T

•
$$\hat{I_T} = tr \begin{pmatrix} p-p \\ [T] \end{pmatrix} = 7$$

•
$$I\hat{I}_T = \frac{1}{2}(II_T - I_T^2) = -14$$

•
$$III_T = \frac{1}{6}(I_T^3 - 3I_TII_T + 2III_T) = det\binom{p-p}{T} = 8$$

(b) Show that the Cayley-Hamilton theorem holds using components in the e_i system.

•
$$T^2 \Rightarrow \begin{bmatrix} e-ee-e \\ T \end{bmatrix} \begin{bmatrix} T \end{bmatrix} = \begin{bmatrix} 10 & 0 & -6 \\ 0 & 1 & 0 \\ -6 & 0 & 10 \end{bmatrix}$$

•
$$T^3 \Rightarrow {e-ee-ee-e \brack T}[T][T] = \begin{bmatrix} 36 & 0 & -28 \\ 0 & 1 & 0 \\ -28 & 0 & 36 \end{bmatrix}$$

• characteristic invariants: $I_T^* = 7, II_T^* = -14, III_T^* = 8$

ullet Cayley-Hamilton Theorem for the e_i system, or in any system (which is why it may be written in direct notation):

$$T^3 - I_T^* T^2 - II_T^* - III_T^* I = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

(c) With the use of components in either system, show that $\hat{III}_T = det(T)$

•
$$\frac{1}{6} \left[I_T^3 - 3I_T I I_T + 2II I_T \right] = \frac{1}{6} \left[7^3 - 3 * 7 * 21 + 2 * 73 \right] = 8$$

•
$$det([T]) = 8$$

7 Find the components of the tensor $T^{1/2}$ in the e_i system, i.e., find $T_{ij}^{1/2}T_{jk}^{1/2}$:

• from the spectral theorem, when a tensor of eigenvectors and a diagonal of eigenvalues are formed:

$$- \{ \boldsymbol{p_1}, \boldsymbol{p_2}, \boldsymbol{p_3} \} \Rightarrow \stackrel{e-e}{[P]} \Rightarrow P_{ij} = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0\\ 0 & 0 & -1\\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \end{bmatrix}$$

$$- \{ \lambda_1, \lambda_2, \lambda_3 \}[I] = [\Lambda] = \begin{bmatrix} 4 & 0 & 0\\ 0 & 2 & 0\\ 0 & 0 & 1 \end{bmatrix}$$

$$\bullet \ T_{ik} = T_{ij}^{\frac{1}{2}} T_{jk}^{\frac{1}{2}} \Rightarrow [P]^{-1} [\Lambda][P] = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & -1 \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \end{bmatrix} \begin{bmatrix} 4 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & -1 & 0 \end{bmatrix}$$

8 Find the components of T^{-1} in the e_i system:

•
$$T^{-1} = \left(T^2 - \hat{I_T}T - I\hat{I_T}I\right) / \left(I\hat{II_T}\right) \Rightarrow \frac{[T]^{cf}}{\det([T])}$$

•
$$T^{-1} \Rightarrow \begin{pmatrix} \begin{bmatrix} 10 & 0 & -6 \\ 0 & 1 & 0 \\ -6 & 0 & 10 \end{bmatrix} - 7 \begin{bmatrix} 3 & 0 & -1 \\ 0 & 1 & 0 \\ -1 & 0 & 3 \end{bmatrix} + 14 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \end{pmatrix} / 8 = \begin{bmatrix} 3/8 & 0 & 1/8 \\ 0 & 1 & 0 \\ 1/8 & 0 & 3/8 \end{bmatrix}$$

•
$$[T]^{-1} = \begin{bmatrix} p-e & e-e & e-p \\ [a] & [T]^{-1} & [a] \end{bmatrix} = \begin{bmatrix} 0.25 & 0 & 0 \\ 0 & 0.5 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$