

Softcomputing report

Thermal pictures of human faces recognition by Kohonen Neural Network

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1 The problem

In the problem we are given a set of real thermal photos of human faces. Each picture is of the same size and consists of a unique person's face and possibly some other parts of person's head or body that were also included in the shot (e.g. hair, ears, neck, background). The goal is to recognize pictures of the same person even when it is not the same photo, but the same person in different situations, the could very easily happen in real life situations, e.g. the person's temperature has changed, the shot has been taken from a different angle, part of the face is hidden behind some object.

To accomplish this task test data has been found and adjusted, Kohonen's neural network has been implemented and parametrized accordingly to the test data and finally test have been run in order to investigate and analyse the suitability of such solution in real life situations.

2 Kohonen's neural network introduction

Artificial neural networks have been studied and helped in the development of information processing models based on or inspired by biological neural structures for a long time now. Not only can they provide solutions with improved performance when compared with traditional problem-solving methods, but also give a deeper understanding of the inner workings of living being's brains. Among various existing neural network architectures and learning algorithms, Kohonen's self-organizing map (SOM) is one of the most popular neural network models. Although the computational form of the SOM is very simple, numerous researchers have already examined the algorithm and many of its problems, nevertheless research in this area goes deeper and deeper – there are still many aspects to be exploited. Modeling and analyzing the mapping are important to understanding how the brain perceives, encodes, recognizes and processes the patterns it receives.

Developed for an associative memory model, Kohonen's network it is an unsupervised learning algorithm with a simple structure and computational form, and is motivated by the retina-cortex mapping [1]. Self-organization in general is a fundamental pattern recognition process, in which various pattern relationships among the stimuli and responses are learnt without the presence of a potentially biased or subjective external influence (i.e. a teacher). The Kohonen technique creates a network that stores information in such a way that any topological relationships within the training set may be maintained.

3 Learning and testing data sets

3.1 Learning data

The thermal images of human faces are a part of IRIS Thermal/Visible Face Database [2]. The part, which was used in the task consists of thermal images images of people from different perspectives taken at, what seems to be, nearly the same moment. Nine images of different people, which did not had eyeglasses during photo taking, have been chosen as the learning set. These images are taken straight from the front of the person. These images had been preprocessed by cutting a 128x160 rectangle from each, that represents the face and as little other things as possible.

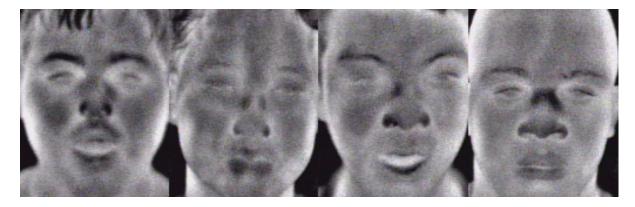


Figure 1: Four example faces taken from the learning data set

3.2 Test data

The test data was obtained using a few methods:

- the use of photos of the same people from different angles from the same database
- simple manually created changes to available faces using image processing tool
- brightening and darkening of image imitating respectively heating up and chilling down person's skin

4 Implementation

4.1 Environment

An application in Java was written implementing the Kohonen's neural network from scratch with tuneable parameters.

4.2 Initialization

The assumption is that the data has been preprocessed and each thermal photo is available as a grayscale image, in which each pixel corresponds to one value between 0 and 1. The initialization phase starts with normalization of the inputted learning data, so that the sum of it's pixel values equals one:

$$x_i^* = \frac{x_i}{\sqrt{\sum_a^n x_a^2}}$$

Then, the neural net is initialized. A two dimensional net, with parametrised side lengths. Each neuron in the nethas it's own weights assigned to each of the input image pixel values. The value of the weights is initialised between values -1 and 1 with uniform distribution.

4.3 Learning

The learning phase consists of multiple steps done in a loop, each on all of the inputted learning data, for a parametrized number of times. Each time winner neurons are found and then their, possibly with some neighbourhood neurons', weights are approprietly updated. The learning steps:

1. Update parameters

Two essential parameters are updated in this phase and later used to update network's weights:

- Learning rate

 Decreases linearly from it's maximum value to it's minimum value (parameters).
- Mexican hat parameter Increases linearly from it's minimum value to it's maximum value (parameters).
- 2. Shuffle learning data

The data set is shuffled so that the images are shown in random order each time.

3. Find winners

For each image a winner has to be found amongst the neurons, that create the neural net. Each neuron can be the winner for only one image in the loop rotation. In case a neuron is already a winner for another image, the it is ignored, and the second best fit is declared a winner. Winner neuron is the one that is the closest to the the input image vector. The proximity between a neuron and image is defined as the euclidean distance between neuron's weights and image vector values:

$$D = \sqrt{\sum_{j=1}^{N} (x_j - w_j)^2}, where$$
$$x - input image$$
$$w - neuron's weights$$

4. Apply Grossberg Rule

For each winner neuron from previous step is used to scan the whole net accordingly updating the weights of those neurons, based on the proximity to the winner neuron:

$$w_{ij}^* = w_{ij} + \eta h(i^w, j^w, i, j)(x - w_{ij}), where$$

$$i, j - neuron's \ coordinates$$

$$w - neuron's \ weights$$

$$\eta - learning \ rate$$

$$x - input \ image$$

$$h(i^w, j^w, i, j) = \begin{cases} 1 & \text{if } r = 0 \\ \frac{\sin(ar)}{ar} & \text{if } r \in (0, \frac{\pi}{a}) \\ 0 & \text{otherwise} \end{cases}$$

r - euclidean distance between neurons of coordinates (i,j) and winner neuron (i^w,j^w)

 $a - mexican \ hat \ parameter$

4.4 Retrieval

After learning phase the network is ready to give us output for a new input image. The return value for each neuron is calculated:

$$y = \sum_{j=0}^{N} x_j w_j$$

Neurons with the higher output values give us better response to the stimuli. Two input images with the best responses in similar neurons are recognized as similar images.

4.5 GUI

A graphical user interface has been created to easily change change some parameters of network, visually represent the output of neural network on a given stimuli and to brighten or darken the input image simulating a change in temperature. Using the GUI user can:

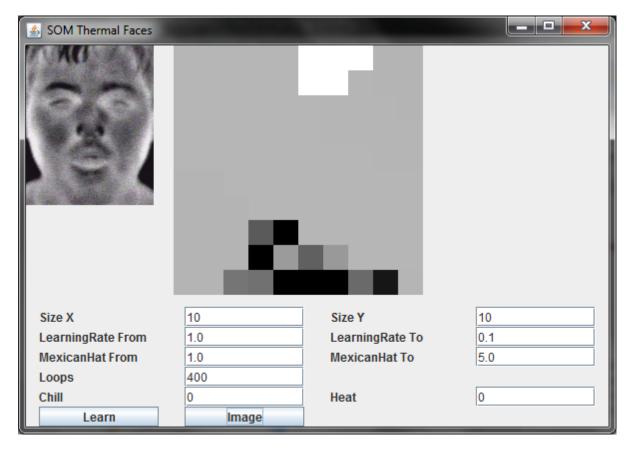


Figure 2: Graphical User Interface

- set the size of the network
- set the range of learning rate
- set the range of mexican hat parameter
- set the number of loops in learning phase
- start learning phase with chosen parameters
- set the percentage of chilling down or heating up the image
- choose image to stimulate created network
- see the chosen input image after optional chilling or heating its temperature
- see the visual representation of the neural network, where each neuron is shown as a square with color ranging from black (smallest response) to white (biggest response)

5 Results analysis

5.1 No change

Lorem ipsum

5.2 Temperature

5.2.1 Global

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5.2.2 Partial

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5.3 Head rotation

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5.4 Hidden faces

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5.5 Mixed changes

5.5.1 Winter hat and increased temperature

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5.5.2 Rotated with increased temperature

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6 Final remarks

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References

- [1] Kohonen T (1982) Self-organised formation of topologically correct feature map. Biological Cybernetics, 43: 56–69.
- $[2] \ \ Besma \ Abidi \ \textit{IRIS Thermal/Visible Face Database} \ \ \text{http://vcipl-okstate.org/pbvs/bench/index.html} \ \ 2015/12/12$