# Pattern Recognition in Procedural Knowledge

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## Contents

1	Intr	roduction	2				
<b>2</b>	From exact to approximate knowledge						
	2.1	Decidability	4				
	2.2	Intensional equivalence	5				
	2.3	Computational equivalence	6				
	2.4	Observational equivalence	7				
	2.5	Approximate equivalence	8				
	2.6	Probabilistic equivalence	10				
	2.7	Morphisms	11				
3	From computation to graphs						
	3.1	Programs are graphs	13				
	3.2	Distributions are graphs	14				
	3.3	Knowledge is a graph	15				
	3.4	Algebraic graphs	16				
4	Fro	From code to procedural knowledge					
	4.1	Documentation alignment	18				
	4.2	Code search	19				
	4.3	Program synthesis	20				
	4.4	DSL generation	20				
5	Ack	nowledgements	<b>2</b> 1				
$\mathbf{A}$	Appendix						
	A.1	Equality notation	25				
	A.2	Probability notation	27				

#### 1 Introduction

Historically, most knowledge was stored as natural language. A growing portion is now *code* [1]. The majority of code is procedural knowledge, written by a human and intended to operate a machine. Though it shares many statistical properties in common with natural language [22], code has an unambiguous grammar and well-defined semantics [40]. We can use these properties to precisely reason about operational or procedural correctness.

Prior work explored differentiable programming [9]. Differentiability plays a key role in learning, but does not provide the necessary vocabulary to describe human knowledge. In order to capture human knowledge and begin to reason as humans do, programs must be able to express the concept of *uncertainty*. We propose a set of tools and techniques for reasoning about uncertainty in the form of *procedural knowledge*. In our work, we define procedural knowledge as a graph whose topology represents how to structure computation in order to achieve some desired programming task.

To reason about procedural knowledge, we must first define what it means for two procedures to be equal. Although equality is known to be undecidable in most languages, various equivalence tests and semi-decision procedures have been developed. For example, we could rewrite said procedures [3], compare them in various contexts [13], and simulate or execute them on various input data [7] so as to ascertain their exact relationship.

In practice, exact equality is too rigid to operationalize. A more useful theory would allow us to compare two similar procedures in the presence of naturally-arising stochasticity. What is the probability of observing local variations? How are those observations related? And how do local variations affect global behavior? In order to correctly pose these questions and begin to answer them, we must be able to reason probabilistically.

Graphs are a natural representation for both procedural knowledge [2] and probabilistic reasoning [39], both enabling a large class of useful data transformations and vastly simplifying their implementation on physical machinery. Depending on the author's perspective, it is possible to view the evaluation of these routines as either operating a stateful machine, reducing a symbolic expression or running a dynamical process on a dataflow graph.

In this work, we first define exact and approximate equality and cover some deterministic and probabilistic algorithms for deciding it (§ 2). We then describe a few graph representations for encoding approximate procedural knowledge (§ 3). Finally, we will discuss some opportunities for applying these ideas to search-based software engineering, in particular, documentation alignment, code search, synthesis and DSL generation (§ 4).

### 2 From exact to approximate knowledge

Reason is the source of all human knowledge. To understand and reason about knowledge, we must first understand how concepts are formed and related. To do so, we must start from first principles: how do humans distill concepts from unstructured data and match new data to prior concepts?

Data is a sea of smoothly varying quantities and continuous relations, but knowledge is a land of discrete structures and finite relations. To navigate these shores, we must distinguish what is *known* from what is *unknown*. How do we know what is known if the same knowledge can take many forms? We must be able to recognize when two forms are the same or alike.

Next to identity, one of the simplest relations is a binary relation known as equality. Equality is like identity for forms that may differ in superficial ways, but are the same in all but name and appearance. More precisely, we may care about equality modulo certain properties, like size, orientation or color. We can generalize this to form an equivalence relation  $\equiv$ , i.e. any binary relation which shares the following logical properties:

$$\begin{array}{ccc} \underline{a \equiv a} & \underline{a \equiv b} & \underline{a \equiv b} & \underline{b \equiv c} & \underline{a \equiv b} \\ \overline{b \equiv a} & \overline{a \equiv c} & \overline{f(a) \equiv f(b)} \end{array}$$
 
$$\begin{array}{ccc} \underline{a \equiv b} & \underline{f(a) \equiv f(b)} \\ \\ \underline{Identity} & \underline{Symmetry} & \underline{Transitivity} & \underline{Congruence} \\ \end{array}$$

Although it might seem trivial, deciding equivalence is often far from it. Depending on the objects and properties under comparison, equivalence can be undecidable (§ 2.1). In order to carve out decidable fragments, various definitions and decision procedures have been proposed, e.g. intensional (§ 2.2), computational (§ 2.3), or observational equivalence (§ 2.4).

More generally, we have something called a *metric*, which like equality, takes two objects, but instead returns a numerical score. There are a huge number of metrics with various desirable properties (§ 2.5). Then we have *kernels*, which are metrics with some additional useful properties, e.g. closure under linear combination. Finally, we have probability kernels and metrics, which are distance metrics on probability measures (§ 2.6).

We can also define equivalence on other kinds of structures, such as strings or graphs, called a morphism, e.g. graph isomorphism (§ 2.7).

#### 2.1 Decidability

To determine if two procedures are equal, we need a decision procedure. We list several high-level approaches for deciding exact and approximate equality in the deterministic and probabilistic setting in the table below:

	Deterministic	Probabilistic
Exact	Type Checking	Variable Elimination
Exact	Model Checking	Probabilistic Circuits
Approximate	Software Testing	Monte Carlo Methods
Approximate	Dynamic Analysis	Bayesian Networks

It is seldom the case that two semantically equal expressions are trivially equal: we must first perform some computation to establish their equality. In the exact setting, this procedure might be summarized as follows:

- 1. Rewrite: Either enumerate a set of equivalent expressions, or reduce the proposition into normal form if possible, then,
- 2. Compare: Perform a computationally trivial (e.g.  $\mathcal{O}(n)$ ) comparison.

Unfortunately, exact equality is known to be undecidable in first [16] and higher order theories [17]. We know there can be no machine which accepts every equality and rejects every disequality in a universal language [48]. By extension, any nontrivial property of partial functions is undecidable [42].

Tractability may be related to, but is not contingent upon decidability. When decidable, equality may be intractable in practice, and languages where equality is undecidable may have decidable fragments. But even when exact equality is intractable, we may be able to construct a probabilistic decision procedure (PDP) or semidecision procedure (SDP) terminating for all practical purposes. The latter approaches fall into two broad categories:

- 1. Execute: Evaluate the program by running it on a small set of inputs
- 2. Sample: Build a probabilistic model and sample from its posterior

In the following section, we will introduce a few compatible theories corresponding to intensional and extensional equality, then build on those definitions to include recent approaches to exact and approximate equality in the deterministic and probabilistic setting. In so doing, we will see there is a delicate tradeoff between complexity, sensitivity and specificity.

#### 2.2 Intensional equivalence

Let  $\Omega \subseteq \mathcal{F} \times \mathcal{F}$  be a relation on representable functions which are closed under composition. We say two representations  $f, g \in \mathcal{X} \to \mathcal{Y}$  are intensionally equal under  $\Omega$  if we can establish that  $g \in \Omega^n(f)$  for some  $n \in \mathbb{N}$ .

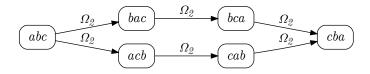
$$\frac{f,g:\mathcal{X}\to\mathcal{Y}\in\Gamma_g^0}{\Gamma_g^0\vdash\{f\},\{(f,f)\}}\text{ Init} \quad \frac{\Gamma_g^n\vdash E\subseteq\mathcal{F},G\subseteq E\times E}{\Gamma_g^{n+1}\vdash\bigcup_{\substack{e\in E\\\omega\in\Omega}}e'\leftarrow e[\omega_1\to\omega_2],(e,e')}\text{ Sub}$$

$$\frac{\Gamma_g^n \vdash E, G \qquad g \in E}{\Gamma_g^n \vdash f \equiv_{\Omega} g \text{ by } G^{-n}(g)} \text{ Eq } \quad \frac{\Gamma_g^n \vdash E \qquad \Gamma_g^{n+1} \vdash E \qquad g \notin E}{\Gamma_g^{n+1} \vdash f \not\equiv_{\Omega} g} \text{ NEQ}$$

For example, suppose we are given  $f : \{a, b, c\} \mapsto abc, g : \{a, b, c\} \mapsto cba$  and  $\Omega := \{(\sigma, \sigma), (\sigma\tau, \tau\sigma)\}$ . Indeed, Eq[f, g] can be established as follows:

$$\frac{f := abc, \ g := cba \in \Gamma_g^0}{\Gamma_g^0 \ \vdash \ \{abc\}, \{(abc, abc)\}} \text{ Init}} \\ \frac{\Gamma_g^0 \ \vdash \ \{abc\}, \{(abc, abc)\}}{\Gamma_g^1 \ \vdash \ \{..., bac, acb\}, \{..., (abc, bac), (abc, acb)\}} \text{ Sub}}{\Gamma_g^2 \ \vdash \ \{..., bca, cab\}, \{..., (bac, bac), (acb, acb), (bac, bca), (acb, cab)\}} \\ \frac{\Gamma_g^3 \ \vdash \ \{..., \mathbf{cba}\}, \{..., (bca, bca), (cab, cab), (cab, \mathbf{cba})\}}{\Gamma_g^3 \ \vdash \ f \equiv_{\Omega} g \text{ by } G^{-3}(g := cba) = \{f := abc\}} \text{ EQ}$$

We can visualize G as a directed graph, omitting all loops. Notice how each path converges to the same term, a property known as *strong confluence*.



Let us suppose  $|\mathcal{X}|, |\Omega^*| \in \mathbb{N}$  and consider the complexity of establishing  $\mathrm{EQ}[f,g], \forall f \equiv_{\Omega} g \in \mathcal{X} \to \mathcal{Y}$ . It can be shown the above procedure requires:

$$\mathcal{O}_{\text{EQ}} = \max_{i \leq n} \underset{n}{\operatorname{argmin}} \{ |G| \mid \Gamma_g^i \vdash G, \Gamma_g^n = \Gamma_g^{n+1} \}$$

Assuming termination,  $\mathcal{O}_{\text{EQ}} = \Theta_{\text{NEQ}}$  although  $\mathbb{E}[\Theta_{\text{EQ}}|f \equiv g]$  is more tractable. However termination is not necessarily guaranteed, e.g.  $\Omega' := \{(\sigma, 1\sigma)\}$ . Equality and termination under arbitrary  $\Omega$  are known to be undecidable [3].

#### 2.3 Computational equivalence

Clearly, the procedure defined in § 2.2 is highly sensitive to  $|\mathcal{X}|$  and  $\Omega$ . While equality may be tractable, disequality is definitely an obstacle. In the computational setting, we will see the opposite holds, ceteris paribus.

$$\frac{fg: \mathcal{X} \to \mathcal{Y}, \Omega: \{\mathcal{X} \to \mathcal{Y}\} \to \mathcal{Y} \in \Gamma}{\Gamma \vdash fg(\Omega) \Downarrow f(\Omega) \cdot g(\Omega)} \text{ Inv} \qquad \frac{\Gamma \vdash f \qquad \Gamma \vdash \Omega}{\Gamma \vdash f(\Omega) \Downarrow \Omega[f]} \text{ Sub}$$

$$\frac{\Gamma, \Gamma' \vdash f(\Omega) \Downarrow g(\Omega) \ \forall \Omega}{\Gamma, \Gamma' \vdash f \equiv g} \text{ EQ} \qquad \frac{\Gamma, \Gamma' \vdash \exists \Omega \mid f(\Omega) \not \!\!\! / g(\Omega)}{\Gamma, \Gamma' \vdash f \not \equiv g \text{ by } \Omega} \text{ NeQ}$$

SUB loosely corresponds to  $\eta$ -reduction in the untyped  $\lambda$ -calculus, however  $f \notin \Omega$  is disallowed and we assume all variables are bound by INV. Let us consider  $f : \{a, b, c\} \mapsto abc, g : \{a, b, c\} \mapsto ac$  under  $\Omega := \{(a, 1), (b, 2), (c, 2)\}$ :

$$\frac{f := abc, \Omega := \{(a,1), (b,2), (c,2)\} \in \Gamma}{\Gamma \vdash a(\Omega) \cdot bc(\Omega)} \text{Inv} \\ \frac{\Gamma \vdash 1 \cdot bc(\Omega)}{\Gamma \vdash 1 \cdot b(\Omega) \cdot c(\Omega)} \text{Sub} \\ \frac{\Gamma \vdash 1 \cdot b(\Omega) \cdot c(\Omega)}{\Gamma \vdash f(\Omega) \Downarrow 4} \text{Sub} \\ \frac{g := ac, \Omega := \{(a,1), (b,2), (c,2)\} \in \Gamma'}{\Gamma' \vdash a(\Omega) \cdot c(\Omega)} \text{Sub} \\ \frac{\Gamma' \vdash a(\Omega) \cdot c(\Omega)}{\Gamma' \vdash g(\Omega) \Downarrow 2} \text{Sub} \\ \frac{\Gamma' \vdash 1 \cdot c(\Omega)}{\Gamma' \vdash g(\Omega) \Downarrow 2} \text{Neq}$$

We can view the above process as acting on a dataflow graph, where INV backpropagates  $\Omega$ , and Sub returns concrete values  $\mathcal{Y}$ , here depicted on g:



Assuming  $f,g \sim P(\mathcal{F}), \Omega \stackrel{iid}{\sim} P_{\mathrm{Test}}(\Omega \mid f \not\equiv g)$  yields a fixed but unknown distribution,  $P_{\mathrm{NeQ}}(\Omega) = P(f(\Omega) \not\not \downarrow g(\Omega) \mid f \not\equiv g)$ . Let  $\Theta_{\mathrm{Inv}} = 1$  for all  $f,\Omega$ . The complexity of certifying NEQ in n trials follows a geometric distribution:

$$\Theta_{\mathrm{NEO}} \sim (1 - P_{\mathrm{NEO}}(\Omega))^n P_{\mathrm{NEO}}(\Omega) \text{ with } \mathbb{E}[\Theta_{\mathrm{NEO}}] = (1 - P_{\mathrm{NEO}}(\Omega)) P_{\mathrm{NEO}}(\Omega)^{-1}$$

Although a single witness  $\Omega$  s.t.  $f(\Omega) \not \downarrow g(\Omega)$  is sufficient for disequality, this procedure may be intractable depending on  $|\mathcal{X}|, P_{\text{NEQ}}(\Omega)$  and  $\Theta_{\text{Inv}}$ . Other fuzzing methods for selecting  $\Omega$  based on the structure of f are also possible.

#### 2.4 Observational equivalence

As presented, both intensional (§ 2.2) and computational (§ 2.3) equivalence require an external definition of equality to satisfy. One solution to this problem, known as observational or contextual equivalence [36], allows a language  $\mathcal{L}$  to implement an internal mechanism to verify equality. Given  $\mathcal{L}$ , a term t, and one-hole context  $C[\![\cdot]\!]$ , our job is to check for termination: if  $C[\![t]\!]$  is both well-defined and halts, we write  $C[\![t]\!]$   $\downarrow$ , otherwise  $C[\![t]\!]$   $\uparrow$ .

$$\frac{\Gamma \vdash C[\![t]\!] \Downarrow \iff C[\![t']\!] \Downarrow \forall \ C[\![\cdot]\!] \in \mathcal{L}}{\Gamma \vdash t \equiv_{\mathcal{L}} t'} \operatorname{EQ}$$

$$\frac{\Gamma \vdash \exists C[\![\cdot]\!] \in \mathcal{L} \mid C[\![t]\!] \Uparrow \text{ and } C[\![t']\!] \Downarrow, \text{ or } C[\![t]\!] \Downarrow \text{ and } C[\![t']\!] \Uparrow}{\Gamma \vdash t \not\equiv_{\mathcal{L}} t' \text{ by } C[\![\cdot]\!]} \operatorname{NEQ}$$

We can think of this definition as dual to computational equivalence: instead of searching for inputs which distinguish functions, we search for contexts which distinguish terms, or a proof that no such context exists. Two terms t and t' are contextually equivalent with respect to  $\mathcal{L}$  if we can prove that for all contexts  $C[\cdot]$  in  $\mathcal{L}$ , C[t] halts if and only if C[t'] halts – if no such proof can be found, the test is inconclusive. While this definition does not admit a decision procedure, many promising SDPs exist.

A similar concept also arises in natural languages: "You shall know a word by the company it keeps". If two different words can be exchanged in all contexts, they are called *synonyms*. Based on this definition, we can define a context as a probabilistic description of the surrounding environment:

$$C[\![\cdot]\!] := P(w_i = \cdot \mid w_{i-n}, \dots, w_{i-1}, w_{i+1}, \dots, w_{i+n})$$

Thus, to determine whether two words a and b have the same meaning in some language  $\mathcal{L}$ , we must show that for all contexts  $C[\![\cdot]\!]$ , a and b have the same probability, i.e.  $\forall C[\![\cdot]\!] \in \mathcal{L}$ ,  $C[\![a]\!] \approx C[\![b]\!]$ . Alternatively, to show a and b have a different meaning, we need only demonstrate a single context where their probability significantly differs, i.e.  $\exists C[\![\cdot]\!] \in \mathcal{L}$  such that  $C[\![a]\!] \not\approx C[\![b]\!]$ .

We can define semantic equality in our setting using word embeddings. Interchangable words are semantically equivalent. [?]

#### 2.5 Approximate equivalence

Though precise, boolean equality is far too rigid for many applications. The spaces involved either lack formal semantics or are intractable to verify, leaving decidability out of reach. Furthermore, we would like to provide a more granular way to distinguish objects. This can be achieved by introducing a new relation for reasoning in discrete and continuous spaces, called a distance metric,  $\delta: \mathcal{Z} \times \mathcal{Z} \to \mathbb{R}_{\geq 0}$ . It has the following logical properties:

An approximate equivalence relation is a relation  $\mathcal{A} \subset (\mathcal{X} \to \mathcal{Y})^2$ , e.g.  $f \approx_d g \Leftrightarrow \delta_X(f,g) \leq d$ , where  $X \subseteq \mathcal{X}$ . For example, consider a dataset  $X := [x^{(i)}]_{i=1}^n$ ,  $Y := [f(x^{(i)})]_{i=1}^n$  and a program  $g : \mathcal{X} \to \mathcal{Y}$ . We could use the extensional approach to evaluate  $\delta_X : (f,g) \mapsto \delta_Y(Y,[g(x^{(i)})]_{i=1}^n)$  directly.

It is often the case we are given a dataset  $P_{\text{Train}}, P_{\text{Test}} : (X \times Y)^n$  sampled from an inaccessible distribution  $P^{(1..n)} \stackrel{iid}{\sim} P_{\text{Gen}}(X,Y)$ , and want to compare a deterministic computer program  $\hat{p}: X \times \Theta \to Y$  approximating  $P_{\text{Gen}}$  to detect errors with respect to that dataset. One mechanism for doing so can be described as follows:...

$$\frac{\Gamma \vdash f \circ g, f : Z \to Y, g : X \to Z, B ::= P_{\text{Train}}[i]}{\Gamma \vdash t \equiv_{\mathcal{L}} t'} \operatorname{SGD}$$

A kernel function  $\Delta: (\mathcal{X} \to \mathcal{Y})^2 \to \mathbb{R}_{\geq 0}$  can be defined as a metric on  $\mathcal{Z}$  with some additional structure. Specifically for every kernel function, there exists a feature map  $\varphi: (\mathcal{X} \to \mathcal{Y}) \to \mathcal{Z}$  such that  $\Delta: (f,g) \mapsto \langle \varphi(f), \varphi(g) \rangle$ . Given a feature map  $\varphi: \mathbb{R}^m \to \mathbb{R}^n$ , constructing a kernel corresponds to finding  $\Delta \in \mathbb{R}^{m \times m}$  symmetric PSD, i.e.  $\Delta^\top = \Delta$ , and  $0 \leq \mathbf{x}^\top \Delta \mathbf{x}$  for all  $\mathbf{x} \in \mathbb{R}^m$  [33]. Consider the complexity of evaluating the inner product  $\langle \varphi(f), \varphi(g) \rangle$  in feature space. The computational benefit of a kernel becomes apparent when  $m \ll n$ . Rather than applying  $\varphi$ , then evaluating  $\langle f^\top \varphi, g^\top \varphi \rangle$ , we may apply  $\Delta(f,g)$  directly for a  $\Theta(m^2-n^2)$  speedup. Known as the kernel trick, this shortcut may be easier to see categorically, where  $f,g: \mathcal{X} \to \mathcal{Y}$ .

$$(\mathcal{X} \to \mathcal{Y})^2 \xrightarrow{\varphi} \mathcal{Z} \times \mathcal{Z}$$

$$\downarrow^{\langle \cdot, \cdot \rangle}$$

$$\mathbb{R}_{\geq 0}$$

By planting a single valid kernel  $\Delta$ , one can grow a tree of kernel functions  $\blacktriangle \subset (\mathcal{X} \to \mathcal{Y})^2 \to \mathbb{R}_{\geq 0}$  which may be described by the following grammar:

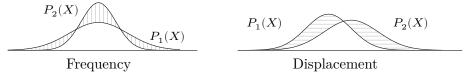
$$\begin{array}{c|c} \underline{\Delta \in \blacktriangle, k \in \mathbb{R}_{\geq 0}} & \underline{\Delta_{1,2} \in \blacktriangle} & \underline{\Delta_{1,2} \in \blacktriangle} & \underline{\Delta_{1,2} \in \blacktriangle} & \underline{\Delta_{1,2} \in \blacktriangle} & \underline{\Delta \in \blacktriangle, f \in (^* \to \mathcal{Z})^2} \\ \underline{\lambda \cap \Delta \in \blacktriangle} & \underline{\Delta \cap \Delta_{1,2} \in \blacktriangle} & \underline{\Delta \cap A_{1,2} \in \blacktriangle} & \underline{\Delta \cap A$$

Some elementary kernel functions for various datatypes are given below:

	$\Delta(f,g)$	$\varphi(x)$
Polynomial	$\left(\mathbf{f}^{ op}\mathbf{g}+r ight)^q$	$\left[\sqrt{inom{q}{\mathbf{n}}r^{n_0}}\prod_k x_k^{n_k} ight]_{\mathbf{n}\in\{\mathbf{n} 1^{ op}\mathbf{n}=q\}}^{ op}$
Gaussian RBF	$e^{-rac{\ \mathbf{f}-\mathbf{g}\ ^2}{2\sigma^2}}$	$e^{-\gamma x^2} \left[ 1, \sqrt{\frac{(2\gamma)^i}{i!}} x^i \right]_{i \in (0, \dim(x) - 1]}^{\top}$
Subset	$\prod_{i=1}^{n} (f_i g_i + 1)$	$[\varphi_{\mathrm{Poly}}(x)_A]_{A\subseteq[1,n]}^{\top}$
Substring		$ \{i\mid \sigma=x_{i(i+ \sigma )}\} $
Subtree [45]	$\Delta_{\mathrm{WL}}(f,g)$	$\begin{cases} \delta_k(t,x) & t \stackrel{?}{=} x \\ \varphi_t(\overleftarrow{x}) + \varphi_t(\overrightarrow{x}) & \text{otherwise.} \end{cases}$
Subgraph [20]	$\Delta_{\mathrm{SS}}\big(\varphi^{(h)}(f),\varphi^{(h)}(g)\big)$	$   \operatorname{Hash} \left( \left\{ \left\{ \varphi^{(i-1)}(u) \forall u \in \mathcal{N}(x) \right\} \right\} \right)   $

#### 2.6 Probabilistic equivalence

So far, we have seen various definitions of exact and approximate equivalence on deterministic functions. We now turn our attention to the probabilistic setting, where two distributions are seldom exactly equal, but rather agree in some places and disagree in others. How could we measure their similarity?



We need a way to quantify the "vertical" distance or relative frequency, as well as the "horizontal" distance, or displacement between distributions. One family of functions, known as the Rényi divergences, estimate the relative frequency of two distributions, P and Q by comparing their relative entropy. For example, consider the Kullback-Leibler (KL) divergence:

$$\delta_{\mathrm{KL}}(P \to Q) := \int P(X) \log \frac{P(X)}{Q(X)} dx$$

Although not strictly metrics, these functions are computationally tractable to estimate, although distinguish poorly between distributions which do not share overlapping support, such as in the following scenario:



Notice how  $\delta_{\mathrm{KL}}(P_1 \to P_2) \approx \delta_{\mathrm{KL}}(P_1 \to P_3)$ , despite  $P_3$  being nearly twice as "far" as  $P_2$ . Can we somehow quantify this? Let us now consider the Kantorovich–Rubinstein (KR) metric. Viewed as an optimal transport problem,  $\delta_{KR}$  minimizes over  $\Pi$ , the set of all mass-conserving transportation plans between two probability distributions  $\mu$  and  $\nu$ , on a sample space  $\Omega$ :

$$\delta_{\mathrm{KR}}(\mu,\nu) := \inf_{\pi \in \Pi(\mu,\nu)} \int_{\Omega \times \Omega} \delta(x,y) d\pi(x,y)$$

The distance between  $\mu$  and  $\nu$  is given by  $\pi^* \in \Pi$  minimizing the total displacement. However integrating these quantities grows computationally intractable in higher dimensions. Various approximations such as Gibbs sampling or Markov methods have been developed to mitigate this problem.

#### 2.7 Morphisms

Many objects we would like to compare cannot be reduced into a canonical form, making equality challenging. We can define a relation on these objects is called a *morphism*, e.g. graph sequence, morphisms, equivariance. For example, consider two different graphs with the same topology, but different labels. Is there a way to identify equality in this setting?

Query languages. For strings, we have automata. For relational databases, we have SQL. For code, we have CodeQL / symbolic automata. For graphs we have WL kernels.

## 3 From computation to graphs

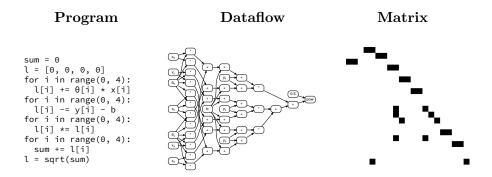
Graphs are algebraic structures [49] capable of representing a wide variety of procedural and relational information. A graph can be represented as a matrix  $\{\mathbb{B}, \mathbb{N}, \mathbb{R}\}^{n \times n}$ , with entries describing the presence, label, or distance between vertices. Linear algebra provides a unifying framework for studying many graph algorithms and program analysis tasks [27]. Graphs can also be defined algebraically, using an algebraic data type [12, 35], e.g.:

```
\begin{array}{ccc} \mathrm{vertex} & \to & \mathrm{int} \\ \mathrm{adj} & \to & [\mathrm{vertex}] \\ \mathrm{context} & \to & (\mathrm{adj, \, vertex, \, adj}) \\ \mathrm{graph} & \to & \mathrm{empty} \mid \mathrm{context} \, \& \, \mathrm{graph} \end{array}
```

The graph sum emerges naturally, however there are multiple valid ways to define a graph product. As we will now see, this simple data structure can be used to describe many fundamental computational processes.

#### 3.1 Programs are graphs

Programs are graphical structures with a rich denotational and operational semantics [21]. To effectively reason about programs in semantically similar but syntactically diverse settings requires models which incorporate features from the call graph [31] and surrounding typing context [2]. Many semantic structures, such as data and control flow [46] can be represented as a directed acyclic graph, which admits linear-time solutions to a variety of graph problems, including topological sorting, single-source shortest path and reachability queries. Many useful graph representations have been proposed in the literature, including call graphs, dataflow graphs, computation graphs [6], e-Graphs [51] down to boolean and arithmetic circuits [34].

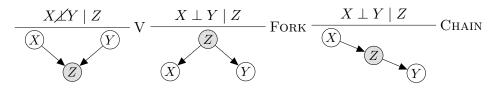


Effectively a program is a kind of typed attribute graph. A type is a unique string identifying a set of things which each node accepts. In category theory this is called a commutative diagram, i.e. the transitive closure of a type graph.

#### 3.2 Distributions are graphs

A probability distribution is like a random walk on a graph. We can think of a probability distribution as function P(X) measuring the relative frequency which certain values appear in the output of a black box stochastic function F, whose internal properties we can only guess by external observation. The intensional description  $\hat{F}(x)$  can be seen as a graph, whose vertices represent the internal states of some dynamical system, and edges represent the probabilities of transitioning between those states.

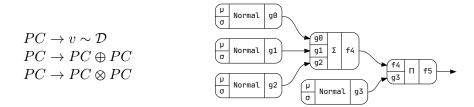
Probabilistic graphical models [26, 28] (PGMs) are one framework for modeling stochastic transition systems whose conditional independence structure may be expressed as a graph, with edges representing dependence relations between RVs. One type of PGM is a directed graphical model (DGM):



A Bayesian belief network (BN) is an acyclic DGM of the following form:

$$P(x_1,\dots,x_D) = \prod_{i=1}^D P(x_i \mid \mathsf{parents}(x_i))$$

PGMs are very expressive, but even approximate inference on BNs is known to be NP-hard [11]. We can faithfully represent a large class of PGMs and their corresponding distributions as probabilistic circuits (PCs) [8], which are capable of exact inference in polynomial time and empirically tractable to calibrate using SGD or EM. PCs share many algebraic properties in common with PGMs and can propagate statistical estimators like variance and higher moments using simple algebraic rules. A PC is formed by taking recursive sums and products of univariate distributions [14], as seen below:



#### 3.3 Knowledge is a graph

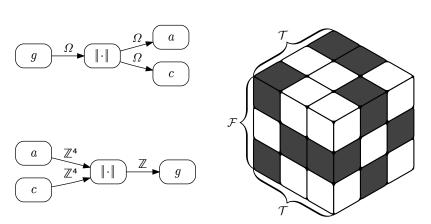
Abduction is the process of knowledge distillation: recognizing patterns or motifs in raw data, forming discrete categories or types of objects which share similar attributes, and adding edges representing abstract relations between types, such as composition, causation, or subsumption. The key idea is moving from the space of unstructured data to a structured domain containing abstract concepts and their relations. This domain is a graph.

Knowledge graphs [23] are multi-relational graphs, or hypergraphs whose edges possess at least one type. Our work is primarily concerned with procedural knowledge, or knowledge whose topology describes how to structure computation in order to achieve some desired task, although the technique we propose can also be applied to arbitrary directed, typed hypergraphs.

The vertices in our graph represent functions in some typed programming language and the edges represent their input and output types. Functions may be related by multiple types, and each type may relate multiple functions. Let  $|\mathcal{T}|$  be the number of types in our system and  $|\mathcal{F}|$  be the number of functions. We call our structure a type tensor,  $\mathbb{B}^{|\mathcal{F}|\times|\mathcal{T}|\times|\mathcal{T}|}$ , also known as a logic table or a data cube. Consider the case where  $|\mathcal{F}| = |\mathcal{T}| = 3$ :

Typed Graph

Type Tensor



A type tensor indexed by a bijection  $\mathcal{F} \times \mathcal{T} \times \mathcal{T} \to \mathbb{N}^3$  is a type-level function  $C[\![\cdot]\!] \subseteq \mathcal{F} \times \mathcal{T} \to \mathcal{T}$  mapping a function  $C: \mathcal{F}$  and type  $T: \mathcal{T}$  to a set of reachable types  $T^* \subseteq \mathcal{T}$ , the congruence closure  $C[\![T^*]\!] \equiv T^*$  of T under C. Given a concrete type  $S: \mathcal{T}$  and a one-hole context,  $C[\![\cdot]\!] : ? \to S$ , synthesis in our type system consists of applying tensor contraction to compute the preimage of S under C,  $C^{-1}[\![S^*]\!]$ . We leave this procedure for future work.

#### 3.4 Algebraic graphs

The connection between algebra and graphs runs deep, unifying many seemingly disparate topics. A commutative monoid  $(S, \bullet, \mathbb{O})$  is a set S with a binary operator  $\bullet : S \times S \to S$  which has the following properties:

$$\frac{a \bullet (b \bullet c)}{(a \bullet b) \bullet c} \qquad \frac{a \bullet \textcircled{1}}{a} \qquad \frac{a \bullet b}{b \bullet a}$$

$$Associativity \qquad Neutrality \qquad Commutativity$$

A semiring algebra, denoted  $(S, \oplus, \otimes, \odot, \odot)$ , is a set together with two binary operators  $\oplus, \otimes : S \times S \to S$  such that  $(S, \oplus, \odot)$  is a commutative monoid and  $(S, \otimes, \odot)$  is a monoid. It has the following additional properties:

$$\begin{array}{c} a \otimes (b \oplus c) \\ \hline (a \otimes b) \oplus (a \otimes c) \end{array} \qquad \begin{array}{c} (a \oplus b) \otimes c \\ \hline (a \otimes c) \oplus (b \otimes c) \end{array} \qquad \begin{array}{c} a \otimes \textcircled{\scriptsize 0} \\ \hline \textcircled{\scriptsize 0} \end{array}$$
 
$$Distributivity \qquad \qquad Annihilation$$

Using iterated matrix multiplication on semirings, it is possible solve a wide variety of path problems. Known as propagation or message passing, this procedure consists of two steps: aggregate and update. Let  $\mathbf{D}_{st}$  denote some distance metric on a path between two vertices s and t in a graph. To obtain  $\mathbf{D}_{st}$ , one may run the following procedure on a desired path algebra:

Many dynamic programming algorithms, including Bellman-Ford, Floyd-Warshall, Dijkstra, error, belief and expectation propagation, Markov chains, and others can all be neatly expressed as message passing with a semiring algebra. We refer the curious reader to explore [18, 4] for a more complete summary of the algebraic path problem and its many wonderful applications.

## 4 From code to procedural knowledge

Procedural knowledge is knowledge designed or discovered to facilitate a process. Unlike relational knowledge which may be bidirectional, procedural knowledge has a specific direction or goal. The methods presented in this literature review have broad applications to parsing and indexing procedural knowledge. Given a sequence of paths, or traces representing discrete steps in a goal-directed process with intermediate results, our research seeks to provide a mechanism for estimating procedural similarity, to synthesize or recommend semantically similar procedures under feasible reorderings. Specifically, our work focuses on the domain of programming knowledge.

Code shares many statistical properties in common with natural language and can be studied using natural language processing [22]. Unlike natural language, all syntactically-valid code has an unambiguous grammar. Furthermore, code primarily consists of functions or procedures intended to operate a machine. The underlying programming model may be relational, object-oriented or functional, but all mainstream programming languages support some form of procedure that accepts inputs and produces outputs.

All procedures in a statically-typed programming language have a *type signature*, for example, getHomePhone: Person → PhoneNumber. This represents a *contract* between the procedure and its caller: if the procedure getHomePhone is called with a Person, it will return a PhoneNumber. All other inputs and outputs are forbidden by the type system. While often beneficial to consider more narrow definitions such as soundness or correctness, for procedural similarity these assumptions are unnecessary.

Application programming interfaces (APIs) are a set of interfaces which describe available ways of structuring computation to achieve a set of related programming tasks. We call the graph of all possible ways to compose an API the API surface. One traverses the API surface by composing accessible procedures in a call graph. Thus, we can view the API as kind of a procedural knowledge base representing common data transformations and how to compose them. In practice, how to achieve some desired goal is often far from obvious, requiring a large amount of documentation to explain.

Many consumers of popular APIs publish code and documentation in open source repositories, a largely untapped source of knowledge for programming tools. Our work seeks to find ways of linking knowledge contained in open source repositories to help users locate examples and compose software applications. In the following section, we propose three applications of procedural pattern recognition for documentation alignment (§ 4.1), code search (§ 4.2), program repair (§4.2), and eDSL generation (§ 4.2).

## 4.1 Documentation alignment

Documentation is an indispensable resource for software developers learning to use a new API or programming language. Maintainers of popular software projects often publish web-based developer documents, typically in markup languages such as HTML or Markdown. These documents contain a mixture of natural language sentences, code snippets, and hyperlinks to related documents and source code files. All of these artifacts hold rich semantic information: the markup graph describes the text in relation to other entities in the document hierarchy, while the link graph describes relationships between relevant documents or artifacts in a software repository.

Consider the typical workflow of a software developer who is seeking information about an unfamiliar API. To effectively locate relevant documentation, she must first copy a specific fragment of text (e.g. a function name, error message, or identifier) from a development environment into a search engine, providing relevant contextual information. The query must be descriptive enough to retrieve relevant documents with high probability, while omitting extraneous information (e.g. user-defined tokens) unlikely to occur outside the scope of the developer's personal environment or project.

Prior work in information retrieval for software development investigated recommending API documentation [44] and Q&A content [47] to developers. Similar work in natural language processing has studied the relationship between comments and source code entities [24, 38] strictly within source code. Examples of cross-domain entity linking in the source-to-doc (S2D) and doc-to-source (D2S) setting are scarce, however these results indicate alignment between natural language and software artifacts may be feasible.

Our work seeks to facilitate procedural knowledge discovery by enriching lexical queries with semantic information extracted from a programming environment, and prioritizing semantically relevant software artifacts among a set of matching search results. Broadly, the tools we have proposed in this literature review can be used to study both source code and procedural knowledge graphs, however due to the paucity of cross-domain entity links between code and natural language artifacts, reasoning about cross-domain relations will require developing new approaches to feature engineering, unsupervised learning and entity alignment in the low-data regime.

Such an application, if successful, would allow developers to more quickly and easily locate semantically or contextually relevant code samples in API documentation and open source repositories. The same tools could also help authors maintain a consistent set of API documentation and usage examples across a large codebase, a persistent obstacle when evolving any API.

#### 4.2 Code search

Given a learned similarity metric between procedures, one straightforward application is code search. Prior work in this area has explored type-directed [25], learning-based [19] and semantic search [41] techniques. These techniques all use a fixed, or synthetic ordering over search results. For a given hole context, there are often many valid completions within an API or codebase. Given a corpus of procedures in their surrounding typing environment, is it possible to estimate a probability distribution on a shared embedding between contexts and results, and measure the likelihood that a given search result occurs in an empty location? This requires:

- 1. Efficiently searching a corpus for a well-typed pattern
- 2. Ranking the matching search results by semantic alignment
- 3. Incorporating information into user's context (e.g. variable renaming)

Given a cursor and the surrounding context (e.g. in an IDE or editor), such a tool would need to search a database for the most similar contexts, extract common snippets to estimate their concordance or agreement with the surrounding code context, then adapt the foreign code snippet into to the user's context. External contexts including public code samples or API-documentation (e.g. fixes or repairs for compiler error messages) could help the user to complete some unfinished piece of code. Some open research questions include:

- 1. **Semantic segmentation**: How do we slice or truncate context?
- 2. **Graph search**: What kernels enable fast subgraph detection?
- 3. Context ranking: What features best measure contextual similarity?
- 4. **Refactoring**: How to integrate a selected result into the user's code?

The proposed method presents an interesting engineering challenge. To work for code completion, it would need to have relatively low latency for a pleasant user experience. While the model could be trained on a large corpus offline, the contextual embedding would need to be periodically recomputed as the surrounding typing environment changes in the editor. Furthermore, the search and retrieval speed would need keystroke latency to be effective.

#### 4.3 Program synthesis

In this proposal, we have seen a number of procedures for generating, or synthesizing a short procedure from a space of valid procedures. Various approaches are presented for determining the equivalence of valid procedures and sampling from a constrained space of representable functions to produce a higher-order probabilistic function, satisfying a set of constraints.

Our initial approach described in §3.3, simply enumerates paths between types in our type system, but does not tell us how likely a given path is to occur in a codebase. To compute this probability, we need a stochastic transition matrix between functions,  $\mathcal{F} \times \mathcal{F}$  representing the probability that some function is to be composed with another. Given a grammar and type system, we would like a way of sampling novel programs according to the distribution of programs which occur in some desired codebase.

#### 4.4 DSL generation

Most knowledge starts with pen on paper. It is generally possible for developers to translate well-structured pseudocode into code. However, there are many valid translations – the same algorithm implemented in the same language by different authors is seldom written the same way. Instead of translating these ideas from scratch, it may be possible to encode just a few axioms and enough knowledge to derive a family of algorithms, reusing existing optimizations written by other developers and selecting the most appropriate procedure for computing a desired quantity, e.g. using a sufficiently expressive logic system, and a database of simple facts and relations.

Knowledge systems or *ontologies* are a collection of related facts designed or discovered by human beings. For example, we can treat mathematical knowledge as a kind of symbolic rewrite system. This has been successfully operationalized in Theano [5] and other DSLs. It is possible to view constructive mathematics libraries like Metamath [32], Rubi [43], Arend, KMath [37] and Kotlin $\nabla$  [10] as also working towards this high-level goal.

In knowledge engineering, approximate equality is known as entity alignment or matching. With an approximate matching algorithm, we could accurately detect near-duplicates in a large codebase, find similar documentation snippets, retrieve contextually or semantically relevant code samples to assist developers writing unfamiliar code, and search for bugs in code or fixes from an external knowledge base to repair them. All of these tasks require some notion of semantic similarity between procedures.

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## A Appendix

#### A.1 Equality notation

For such a fundamental concept, the notation for equality is recklessly overloaded in mathematics and computer science. Depending on the surrounding context, the expression x = y may be used to denote:

- 1. Define x to be y, e.g. "Let x = y".
- 2. x and y are the same, e.g. "...therefor, x = y".
- 3. For all x, x and y are the same, e.g.  $a^2 = a \cdot a$
- 4. There exists an x such that x and y are the same, e.g.  $x^2 = 4$
- 5. Store the value of y in the register x, e.g. val x = y
- 6. Are x and y the same? e.g. if(x = y)

Identity vs. equality vs. isomorphism

Thus, we say that the semantics of the expression x = y are context sensitive.

If either (1-3) apply, it should be possible to treat them in the same manner.

Suppose we need to compute the derivative of a logical function with respect to its inputs (6). The trouble is, logical equality is not differentiable. Consider the Kronecker  $\delta$ -function:

$$\delta_k(x,y) := \begin{cases} 1 \text{ if } x \stackrel{?}{=} y, \\ 0 \text{ otherwise} \end{cases}$$

When encountering  $\delta_k$ , how should we represent its derivative? Since  $\mathbb{B}$  is finite,  $\delta_k^{-1}(B \subset \mathbb{B})$  is not open, thus  $\delta_k$  is not continuous and  $\nabla \delta_k$  is undefined. Now consider the Dirac  $\delta$ -function, which is defined as follows:

$$\forall f \in \mathbb{R}^2 \rightarrow \mathbb{R}, \int_{\mathbb{R}^2} f(x,y) \delta_d(x-a,y-b) d(x,y) \stackrel{\Delta}{=} f(a,b)$$

Unlike  $\nabla \delta_k$ , it can be shown that  $\nabla \delta_d$  is well-behaved everywhere on  $\mathbb{R}^2$ . However we encounter an important distinction between intensional and extensional equality. Unlike elementary functions, there exist many functions which can only be described indirectly, e.g. a probability distribution on a set of measure zero. Nevertheless, these constructions are convenient abstractions for modeling many physical and computational processes.

Neither  $\delta_k$  nor  $\nabla \delta_d$  are a satisfactory basis for logical equality. To allow a more flexible definition of the  $\stackrel{?}{=}$  operator, we require a relation which approximates the logical properties of  $\delta_k$ , but can be made differentiable like  $\delta_d$ .

#### A.2 Probability notation

Probabilistic inference is a framework for reasoning about uncertainty. In this section, we define a denotational and operational semantics for probabilistic inference.

Let E be a set of events and S a set of subsets of E. A probability distribution is a function  $P: S \times \Sigma \to \mathbb{R}^+$ , which satisfies the Kolmogorov [29] axioms:

- (3)  $P(S=s) \in \mathbb{R}^+, \forall s \in S.$   $(S \stackrel{?}{=} s \text{ is usually written } S=s \text{ for brevity.})$
- (4) P(E) := 1. (P(S = E) may be shortened to P(E) for brevity.)
- (5)  $P(X \cup Y) := P(X) + P(Y), \forall X \cap Y = \emptyset.$

Given a distribution over a set X, we can sample from it to produce a single element from that set, a  $random\ variable$ :

$$\frac{\Gamma \vdash P(X): X \times \Sigma \to \mathbb{R}^+ \quad \Gamma \vdash x \sim P(X)}{\Gamma \vdash x: (X \times \Sigma \to \mathbb{R}^+) \leadsto X} \text{ Sample}$$

The *joint*, P(X,Y), is a distribution over the product of two sets,  $X \times Y$ :

$$\frac{\Gamma \vdash P(X): X \times \Sigma \to \mathbb{R}^+ \qquad \Gamma \vdash P(Y): Y \times \Sigma \to \mathbb{R}^+}{\Gamma \vdash P(X,Y): X \times Y \times \Sigma \to \mathbb{R}^+} \text{ Join}$$

Given a joint P(X, Y), if we observe an event y : Y, this observation is called *conditioning* and the resulting distribution over X, a *conditional distribution*:

$$\frac{\Gamma \vdash P(X,Y) : X \times Y \times \Sigma \to \mathbb{R}^+ \qquad \Gamma \vdash y : Y}{\Gamma \vdash P(X \mid Y = y) : X \times \Sigma \to \mathbb{R}^+} \text{ Cond}$$

In general, conditioning is order-dependent. Given a conditional distribution and its prior, to exchange the order of conditioning, we can use Bayes' rule:

$$\underbrace{\frac{P(X \mid Y)}{P(X \mid X)} \underbrace{P(Y)}_{\text{Normalize}} Prior}_{\text{Diserve}} Prior$$

$$\underbrace{P(Y \mid X) \propto P(X \mid Y)}_{\text{Normalize}} P(Y) = BAYES$$

When a conditional distribution  $P(X \mid Y)$  does not depend on its prior, the events are said to be *independent*. Equivalently, if two distributions P(X) and P(Y) are multiplied to form a joint distribution P(X,Y), we may also conclude that X and Y are independent events:

$$\frac{P(X\mid Y)\equiv P(X)}{X\perp Y}\,\text{Indep}\qquad \frac{P(X,Y)\equiv P(X)P(Y)}{X\perp Y}\,\text{Factor}$$

When two conditionals  $P(X \mid Z)$ ,  $P(Y \mid Z)$  are multiplied to form a joint distribution  $P(X,Y \mid Z)$ , X and Y are conditionally independent given Z:

$$\frac{P(X,Y\mid Z) \equiv P(X\mid Z)P(Y\mid Z)}{X\perp Y\mid Z} \text{CondIndep}$$

In order to sample from a univariate distribution, we can feed the output from a uniform PRNG into a *quantile function*. Unfortunately, most common distributions are defined in terms of their probability or cumulative density functions and have no representable quantile, so we are forced to approximate it by inverting the CDF, using e.g. Kolmogorov–Smirnov:

$$\frac{\mathsf{x} \sim P(X) \qquad \mathsf{CDF} : x \mapsto \int P(X = x) dx}{\mathsf{PRNG}() = \mathsf{CDF}(\mathsf{x})} \text{Draw}$$

$$\frac{\mathsf{PRNG}() = \mathsf{CDF}(\mathsf{x})}{\mathsf{x} = \mathsf{INVCDF}(\mathsf{PRNG}())} \text{Invert}$$

If we have two random variables sampled from known distributions and want to combine them, we must ensure the combination is also a probability distribution. Generally speaking, to combine two arbitrary RVs, we must integrate their density functions. For a dyadic function, we can take the double integral, which is known to be exchangeable under certain conditions [15]:

$$\frac{x \sim P(X) \quad y \sim P(Y) \quad z: X \times Y \to Z}{P(Z = z(x,y) \mid X = x, Y = y) = \iint z(x,y) dx dy}$$
 
$$\int_{Y} \int_{X} z(x,y) dx dy = \int_{X} \int_{Y} z(x,y) dy dx$$

While generally intractable in higher arity, these integrals can often be simplified by considering the specific dependence relation between the arguments. For instance, the sum of two independent RVs follows a distribution which can be obtained by convolving their respective density functions:

$$\frac{P(X) \quad P(Y)}{P(X+Y) = P(X) * P(Y)} \oplus \frac{P(X) \quad P(Y)}{P(X\times Y) = \int P(X) P(Y = \frac{x+y}{x}) \frac{1}{|x|} dx} \otimes$$

More specifically, linear combinations of random variables from certain families of so-called *stable distributions* [30] can be evaluated by combining the parameters directly. As described in [50], there exists many identities of the following variety, which may be established by analytic integration:

$$\frac{x \sim \mathcal{N}(\mu_x, \sigma_x^2) \qquad y \sim \mathcal{N}(\mu_y, \sigma_y^2) \qquad z = x + y}{z \sim \mathcal{N}(\mu_x + \mu_y, \sigma_x^2 + \sigma_x^2)}$$

Likewise, their product can be defined as an integral:

$$\frac{x \sim P(X) \qquad y \sim P(Y) \qquad z = xy}{P(Z=z) = \int P(X=x) P(Y=\frac{z}{x}) \frac{1}{|x|} dx} \text{ Conv}$$

Given a joint distribution P(X,Y), in order to remove a variable, we must sum or integrate over the conditional distribution. This procedure is known as marginalization, and the resulting distribution is called a marginalization.

$$\frac{\Gamma \vdash P(X,Y) : X \times Y \times \Sigma \to \mathbb{R}^+}{\Gamma \vdash P(X) : X \times \Sigma \to \mathbb{R}^+ \propto \int P(X \mid Y = y) P(Y = y) dy} \text{ MARG}$$

If we observe an event Y in a joint distribution where 0 < P(Y), we can divide or *normalize* by the prior to obtain the conditional distribution:

$$\frac{\Gamma \vdash P(X,Y) : X \times Y \times \Sigma \to \mathbb{R}^+}{\Gamma \vdash P(X \mid Y) : X \times \Sigma \to \mathbb{R}^+ = P(X,Y) \div P(Y)} \text{ Cond}$$